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AMERICAN EXPRESS FINANCIAL ADVISORS

Form 13F-HR

February 08, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13 (F) COVER PAGE

Report for the Calendar Year or Quarter Ended: December 29, 2000

Check here if Amendment ☐; Amendment Number:

This Amendment (Check only one.): ☐ is a restatement.

☐ adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: American Express Financial Corporation

Address: 430 AXP Financial Center

Minneapolis, MN 55474-0000

Form 13F File Number: 28-139

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: John Knight

Title: V.P. Investment Accounting

Phone: 612-671-3618

Signature, Place, and Date of Signing:

/s/ John Knight

Minneapolis, MN

February 08, 2001

[Signature]

[City, State]

[Date]

Report Type (Check only one.):

☐ 13F HOLDINGS REPORT.

☐ 13F NOTICE.

☒ 13F COMBINATION REPORT.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 13

Form 13F Information Table Entry Total: 6261

Form 13F Information Table Value Total: \$107380202 (thousands)

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List of Other Included Managers:

No.	13F File Number	Name
01	28-698	American Express Company
02	28-2069	IDS Advisory Group, Inc.
03	28-1151	American Express Trust Company
04	28-140	American Express Certificate Company
05	28-143	IDS Life Insurance Company
06		IDS Life Insurance Company of New York
07		American Partners Life
08		American Centurion Life of New York
09		American Enterprise Life
10		State Street
11		American Express Asset Management International
12		American Express Asset Management International-Japan
13		American Express Asset Management Limited

		FORM 13F INFORMATION TABLE								
NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHARES/ PRN AMT	SH/ PRN	PUT/ CALL	INVTMT DSCRETN			
AAR CORP	COM	000361105	1020	80817	SH		DEFINED	01		
ABBEY NATION	COM	0004455	61	3340	SH		DEFINED	01	1	
ADC TELECOM	COM	000886101	456	25220	SH		DEFINED	01		
ADC TELECOM	COM	000886101	3287	181348	SH		DEFINED	01	0	
ADC TELECOM	COM	000886101	8192	451976	SH		DEFINED	01	0	
ACTV INC	COM	00088E104	1	131	SH		DEFINED	01		
ABM INDUSTRIES INC	COM	000957100	2103	68691	SH		DEFINED	01		
AFLAC CORP	COM	001055102	136	1892	SH		DEFINED	01		
AFLAC CORP	COM	001055102	4536	62840	SH		DEFINED	01	0	
AFLAC CORP	COM	001055102	49	679	SH		DEFINED	01	0	
AGCO CORP	COM	001084102	2431	200521	SH		DEFINED	01		
AGCO CORP	COM	001084102	7	540	SH		DEFINED	01	0	
AGCO CORP	COM	001084102	116	9600	SH		DEFINED	01	0	
AIRTOURS PLC	COM	0010979	3	1124	SH		DEFINED	01	1	
AGL RESOURCES INC	COM	001204106	5550	251534	SH		DEFINED	01		
AGL RESOURCES INC	COM	001204106	8	340	SH		DEFINED	01	0	
AES CORP	COM	00130H105	69449	1254167	SH		DEFINED	01		
AES CORP	COM	00130H105	327	5900	SH		DEFINED	01	0	
AES CORP	COM	00130H105	7833	141450	SH		DEFINED	01	0	
AES CORP	COM	00130H105	516	9324	SH		DEFINED	01	0	
CHUBB PLC	COM	0015219	4	1717	SH		DEFINED	01	1	
KIDDE PLC	COM	0015402	2	1717	SH		DEFINED	01	1	
AK STEEL	COM	001547108	3	320	SH		DEFINED	01	0	
AK STEEL METALS	COM	001547108	22	2512	SH		DEFINED	01		
AMB PROPERTY CORP	COM	00163T109	3	135	SH		DEFINED	01		
AMR CORP DEL	COM	001765106	94027	2399391	SH		DEFINED	01		
AMR CORP DEL	COM	001765106	15539	396525	SH		DEFINED	01	0	
AMR CORP DEL	COM	001765106	3521	89855	SH		DEFINED	01	0	
AMR CORP DEL	COM	001765106	694	17735	SH		DEFINED	01	0	
ANC RENTAL CORPORATION	COM	001813104	0	91	SH		DEFINED	01		
AT&T	COM	001957109	70223	4056164	SH		DEFINED	01		
AT&T	COM	001957109	16846	973042	SH		DEFINED	01	0	
AT&T	COM	001957109	676	39057	SH		DEFINED	01	0	
AT&T CORP	COM	001957109	3	150	SH		DEFINED	01	0	
AT&T - LIBERTY MEDIA	COM	001957208	7869	580220	SH		DEFINED	01		
AT&T - LIBERTY MEDIA	COM	001957208	43077	3176200	SH		DEFINED	01		

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AT&T - LIBERTY MEDIA	COM	001957208	563	41500	SH	DEFINED	01	0
AT&T - LIBERTY MEDIA	COM	001957208	13781	1016200	SH	DEFINED	01	0
AT&T WIRELESS GROUP	COM	001957406	15581	900000	SH	DEFINED	01	0
AT&T WIRELESS GROUP	COM	001957406	27	1550	SH	DEFINED	01	0
ATMI INC	COM	00207R101	2	110	SH	DEFINED	01	0
AVT CORPORATION	COM	002420107	459	92353	SH	DEFINED	01	0
AVX	COM	002444107	4	268	SH	DEFINED	01	0
AXA FINANCIAL INC	COM	002451102	51	911	SH	DEFINED	01	0
AXT INC	COM	00246W103	2185	66092	SH	DEFINED	01	0
AARON RENTS INC	COM	002535201	840	59734	SH	DEFINED	01	0
ABBAY NATIONAL	COM	002811990	29889	1641415	SH	DEFINED	01	0
ABBAY NATIONAL	COM	002811990	38828	2132271	SH	DEFINED	01	0
ABBOTT LABS	COM	002824100	6711	138540	SH	DEFINED	01	0
ABBOTT LABS	COM	002824100	6558	135398	SH	DEFINED	01	0
ABBOTT LABS	COM	002824100	17690	365207	SH	DEFINED	01	0
ABBOTT LABS	COM	002824100	192	3959	SH	DEFINED	01	0
AMEC ORD	COM	0028262	2	478	SH	DEFINED	01	1
ABER DIAMOND CORP	COM	002893105	561	60000	SH	DEFINED	01	0
ABERCROMBIE & FITCH	COM	002896207	14034	701739	SH	DEFINED	01	0
ABERCROMBIE & FITCH	COM	002896207	28134	1406696	SH	DEFINED	01	0
ABERCROMBIE & FITCH	COM	002896207	1352	67600	SH	DEFINED	01	0
ABERCROMBIE & FITCH	COM	002896207	132	6600	SH	DEFINED	01	0
ABGENIX INC	COM	00339B107	38369	649631	SH	DEFINED	01	0
ABGENIX INC	COM	00339B107	219	3714	SH	DEFINED	01	0
ABGENIX INC	COM	00339B107	55448	938800	SH	DEFINED	01	0
ABOUT.COM INC	COM	003736105	1	39	SH	DEFINED	01	0
ACCELERATED NETWORKS	COM	00429P107	1	243	SH	DEFINED	01	0
ACCREDITO HEALTH INC	COM	00437V104	2563	51065	SH	DEFINED	01	0
ACCRUE SOFTWARE	COM	00437W102	0	64	SH	DEFINED	01	0
EMI GROUP	COM	0044473	15	1800	SH	DEFINED	01	1
ACLARA BIOSCIENCES	COM	00461P106	1	80	SH	DEFINED	01	0
DIXONS GROUP PLC	COM	0047245	13	3904	SH	DEFINED	01	1
AC NIELSON CORP	COM	004833109	55	1504	SH	DEFINED	01	0
ACTION PERFORMANCE	COM	004933107	117	49249	SH	DEFINED	01	0
ACTEL CORP	COM	004934105	677	28000	SH	DEFINED	01	0
ACTEL CORP	COM	004934105	5311	219578	SH	DEFINED	01	0
ACTEL CORP	COM	004934105	5	190	SH	DEFINED	01	0
ACTEL CORP	COM	004934105	109	4500	SH	DEFINED	01	0
ACTIVE POWER INC	COM	00504W100	1537	70060	SH	DEFINED	01	0
ACTUATE CORPORATION	COM	00508B102	2779	145236	SH	DEFINED	01	0
ACTUATE CORPORATION	COM	00508B102	5	260	SH	DEFINED	01	0
ACTUATE CORPORATION	COM	00508B102	96	5000	SH	DEFINED	01	0
ACTUANT CORPORATION -CL A	COM	00508X104	1	177	SH	DEFINED	01	0
ACXIOM CORP	COM	005125109	31228	801995	SH	DEFINED	01	0
ACXIOM CORP	COM	005125109	1924	49400	SH	DEFINED	01	0
ACXIOM CORP	COM	005125109	2659	68300	SH	DEFINED	01	0
ARM HOLDING PLC	COM	0059585	17	2222	SH	DEFINED	01	1
ADAPTIVE BROADBAND	COM	00650M104	696	113519	SH	DEFINED	01	0
ADAPTEC INC	COM	00651F108	12	1154	SH	DEFINED	01	0
ADAPTEC INC	COM	00651F108	222	21651	SH	DEFINED	01	0
ADAPTEC INC	COM	00651F108	3	252	SH	DEFINED	01	0
BAA	COM	0067340	23	2522	SH	DEFINED	01	1
BBA GROUP	COM	0067748	5	951	SH	DEFINED	01	1
ADELPHIA BUSINESS SOLUTIONS	COM	006847107	1	209	SH	DEFINED	01	0
ADELPH								