Edgar Filing: DOBMEIER ERIC - Form 4

| DOBMEIER ERIC Form 4 Image: September 05, 2017 Image: September 05, 2017 Image: September 05, 2017 FORM 4 Image: September 05, 2017 Image: September 05, 2017 Image: September 05, 2017 FORM 4 Image: September 05, 2017 Image: September 05, 2017 Image: September 05, 2017 Form 4 or Subject to Subject to Sub | | | | | | | | | | | |
|--|---|----------|--|----------------------------------|--|-------------------|--|--|--|--|--|
| (Print or Type | Responses) | | | | | | | | | | |
| DOBMEIER ERIC Syr | | | Symbol | ymbol EATTLE GENETICS INC /WA | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) | Middle) | 3. Date of Earliest Transaction | | | - | Director 10% Owner X Officer (give title Other (specify | | | | |
| 21823 30TH DRIVE SE | | | (Month/Day/Year) 08/31/2017 | | | | t | below) below) Chief Operating Officer | | | |
| BOTHELI | (Street) 2, WA 98021 | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | - - | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| (City) | (State) | (Zip) | T -1-1-1 | T NT | | C | | Person | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deem | ned 3. n Date, if Th Cay/Year) (In | | 4. Securit our Dispos (Instr. 3, 4 | ies Ac ed of (| quired (A) (D) | ired, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | y Owned 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 08/31/2017 | | | М | 25,000 | A | \$ 15.46 | 142,424 <u>(1)</u> | D | | |
| Common Stock | 08/31/2017 | | | S | 25,000 | D | \$ 52.2357 (2) | 117,424 <u>(1)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amour Underlying Securit (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|--|---------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amo or Num of Sh |
| Non-Qualified Stock Option (right to buy) | \$ 15.46 | 08/31/2017 | | М | 25,000 | (3) | 08/24/2021 | Common Stock | 25,0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| DOBMEIER ERIC 21823 30TH DRIVE SE BOTHELL, WA 98021 | | | Chief Operating Officer | | | |
| Signatures | | | | | | |

| /s/ Jean Liu | 09/05/2017 | | |
|--|------------|--|--|
| <u>**</u> Signature of Reporting Person | Date | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount of securities beneficially owned following reported transactions includes restricted stock units subject to vesting.

Reflects sales of ordinary shares executed in multiple transactions at prices ranging from \$52.00 to \$52.68. The price reported reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request by the Securities and Exchange

- (2) Weighted average sate price. The Reporting Person hereby undertakes to provide upon request by the Securities and Exchange
 Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the sales were effected.
- (3) Shares vested at a rate of 25% on 8/24/12 and monthly thereafter until all the shares were fully vested on 8/24/15.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.