SIEGALL CLAY B Form 4

March 20, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

03/18/2019

03/18/2019

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Stock

Stock

(Print or Type Responses)

See Instruction

1. Name and A SIEGALL (Address of Reporting I CLAY B	Syr SE	Issuer Name and Ticker or Trading abol ATTLE GENETICS INC /WA GEN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) 21823 30TF	(First) (N	(Mo	Pate of Earliest Transaction onth/Day/Year) 18/2019	_X_ Director 10% Owner Officer (give title below) Other (specify below) President and CEO		
(Street)		4. I	Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
BOTHELL,			d(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities A	cquired, Disposed of, or Beneficially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/Y	Code (Instr. 3, 4 and 5)	Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4)		

8,223

8,333

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

M

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740,836 (1)

749,169 (1)

12.16

\$12

D

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (right to buy)	\$ 12	03/18/2019		M	8,333	<u>(2)</u>	08/27/2020	Common Stock	8,333
Incentive Stock Option (right to buy)	\$ 12.16	03/18/2019		M	8,223	(3)	08/25/2019	Common Stock	8,223

Reporting Owners

Reporting Owner Name / Address	Relationships				
• 0	Director	10% Owner	Officer	Other	
SIEGALL CLAY B 21823 30TH DRIVE SE BOTHELL, WA 98021	X		President and CEO		

Signatures

By: /s/ Jean Liu For: Clay Siegall 03/20/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount of securities beneficially owned following reported transactions includes restricted stock units subject to vesting.
- (2) Shares vested at a rate of 25% on 8/27/2011 and monthly thereafter until all the shares were fully vested on 8/27/14.
- (3) Shares vested at a rate of 25% on 8/25/2010 and monthly thereafter until all the shares were fully vested on 8/25/13.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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