**ASSURANT INC** Form 4

February 20, 2007

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

**FLOOR** 

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sondej John Andrew

(First)

2. Issuer Name and Ticker or Trading Symbol

ASSURANT INC [AIZ]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

ASSURANT, INC., ONE CHASE 02/15/2007 MANHATTAN PLAZA, 41ST

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

VP, Controller (PAO)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### NEW YORK, NY 10005

(City)	(State)	(Zip) Tab	le I - Non-	Derivative S	ecurit	ies Acqui	red, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie ord Disposed (Instr. 3, 4 a	of (D		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/15/2007		Code V M	Amount 178.59	(D)	Price \$ 30.11	3,664.59	D	
Common Stock	02/15/2007		D	98.45	D	\$ 54.62	3,566.14	D	
Common Stock	02/15/2007		F	30.72	D	\$ 54.62	3,535.42	D	
Common Stock	02/15/2007		M	165.47	A	\$ 32.51	3,700.89	D	
Common Stock	02/15/2007		D	98.49	D	\$ 54.62	3,602.4	D	

Edgar Filing: ASSURANT INC - Form 4

Common Stock	02/15/2007	F	25.67	D	\$ 54.62	3,576.73	D
Common Stock	02/15/2007	M	4,295.45	A	\$ 22	7,872.18	D
Common Stock	02/15/2007	D	1,730.13	D	\$ 54.62	6,142.05	D
Common Stock	02/15/2007	F	983.29	D	\$ 54.62	5,158.76	D
Common Stock	02/15/2007	M	149.11	A	\$ 36.33	5,307.87	D
Common Stock	02/15/2007	D	99.18	D	\$ 54.62	5,208.69	D
Common Stock	02/15/2007	F	19.14	D	\$ 54.62	5,189.55	D
Common Stock	02/15/2007	M	119.29	A	\$ 48.08	5,308.84	D
Common Stock	02/15/2007	D	105.01	D	\$ 54.62	5,203.83	D
Common Stock	02/15/2007	F	5.47	D	\$ 54.62	5,198.36	D
Common Stock	02/15/2007	M	185.54	A	\$ 30.83	5,383.9	D
Common Stock	02/15/2007	D	104.73	D	\$ 54.62	5,279.17	D
Common Stock	02/15/2007	F	30.98	D	\$ 54.62	5,248.19	D
Common Stock	02/15/2007	M	251.81	A	\$ 22.88	5,500	D
Common Stock	02/15/2007	D	105.48	D	\$ 54.62	5,394.52	D
Common Stock	02/15/2007	F	56.09	D	\$ 54.62	5,338.43	D
Common Stock	02/15/2007	M	237.42	A	\$ 25.08	5,575.85	D
Common Stock	02/15/2007	D	109.02	D	\$ 54.62	5,466.83	D
Common Stock	02/15/2007	F	49.22	D	\$ 54.62	5,417.61 <u>(1)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474 (9-02)

### Edgar Filing: ASSURANT INC - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An Nu Sha
Stock Appreciation Right	\$ 32.51	02/15/2007		M	165.47	02/04/2005	01/01/2011	Common Stock	1
Stock Appreciation Right	\$ 30.11	02/15/2007		M	178.59	02/04/2005	01/01/2011	Common Stock	1
Stock Appreciation Right	\$ 36.33	02/15/2007		M	149.11	02/04/2005	01/01/2011	Common Stock	1
Stock Appreciation Right	\$ 22.88	02/15/2007		M	251.81	02/04/2005	01/01/2012	Common Stock	2
Stock Appreciation Right	\$ 30.83	02/15/2007		M	185.54	02/04/2005	01/01/2012	Common Stock	1
Stock Appreciation Right	\$ 48.08	02/15/2007		M	119.29	02/04/2005	01/01/2012	Common Stock	1
Stock Appreciation Right	\$ 25.08	02/15/2007		M	237.42	12/31/2005	01/01/2013	Common Stock	2
Stock Appreciation Right	\$ 22	02/15/2007		M	4,295.45	02/04/2005	01/01/2011	Common Stock	4,

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships				
	Director	10% Owner	Officer	Other	
			VP, Controller (PAO)		

Reporting Owners 3

Edgar Filing: ASSURANT INC - Form 4

Sondej John Andrew ASSURANT, INC. ONE CHASE MANHATTAN PLAZA, 41ST FLOOR NEW YORK, NY 10005

# **Signatures**

Lisa Richter 02/20/2007 Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a total of 1,931 shares, net of tax withholding, (rounded to the nearest whole share) granted to Reporting Person pursuant to exercise of these SARs listed on form 1 of 2. (Total of all shares granted pursuant to all exercises on forms 1/2 and 2/2 is 2,379 shares).

#### Remarks:

This Form 4 is form 1 of 2 for SAR exercises on 2/15/07 by John Sondej

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4