

ASSURANT INC  
Form 4  
May 09, 2007

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**POLLOCK ROBERT B**

(Last) (First) (Middle)

**ONE CHASE MANHATTAN PLAZA, 41ST FLOOR**

(Street)

**NEW YORK, NY 10005**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**ASSURANT INC [AIZ]**

3. Date of Earliest Transaction (Month/Day/Year)  
**05/07/2007**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President, CEO, Director

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock                    | 05/07/2007                           |  | M                              |   | 92,524.09   | A  | \$ 22   |
| Common Stock                    | 05/07/2007                           |  | D                              |   | 33,572.98   | D  | \$ 60.63  |
| Common Stock                    | 05/07/2007                           |  | F                              |   | 28,178.63   | D  | \$ 60.63  |
| Common Stock                    | 05/07/2007                           |  | M                              |   | 2,797.08  | A  | \$ 26.82  |
| Common Stock                    | 05/07/2007                           |  | D                              |   | 1,237.3   | D  | \$ 60.63  |

Edgar Filing: ASSURANT INC - Form 4

|              |            |   |            |   |          |                   |   |                 |
|--------------|------------|---|------------|---|----------|-------------------|---|-----------------|
| Common Stock | 05/07/2007 | F | 745.57     | D | \$ 60.63 | 91,293.843        | D |                 |
| Common Stock | 05/07/2007 | M | 2,251.86   | A | \$ 33.36 | 93,545.703        | D |                 |
| Common Stock | 05/07/2007 | D | 1,239.02   | D | \$ 60.63 | 92,306.683        | D |                 |
| Common Stock | 05/07/2007 | F | 484.14     | D | \$ 60.63 | 91,822.543        | D |                 |
| Common Stock | 05/07/2007 | M | 106,699.09 | A | \$ 22    | 198,521.633       | D |                 |
| Common Stock | 05/07/2007 | D | 38,716.48  | D | \$ 60.63 | 159,805.153       | D |                 |
| Common Stock | 05/07/2007 | F | 32,495.69  | D | \$ 60.63 | 127,309.463       | D |                 |
| Common Stock | 05/07/2007 | M | 3,715.99   | A | \$ 36.33 | 131,025.453       | D |                 |
| Common Stock | 05/07/2007 | D | 2,226.65   | D | \$ 60.63 | 128,798.803       | D |                 |
| Common Stock | 05/07/2007 | F | 711.9      | D | \$ 60.63 | 128,086.903       | D |                 |
| Common Stock | 05/07/2007 | M | 4,151.19   | A | \$ 32.51 | 132,238.093       | D |                 |
| Common Stock | 05/07/2007 | D | 2,225.88   | D | \$ 60.63 | 130,012.213       | D |                 |
| Common Stock | 05/07/2007 | F | 920.3      | D | \$ 60.63 | 129,091.913       | D |                 |
| Common Stock | 05/07/2007 | M | 4,483.21   | A | \$ 30.11 | 133,575.123       | D |                 |
| Common Stock | 05/07/2007 | D | 2,226.45   | D | \$ 60.63 | 131,348.673       | D |                 |
| Common Stock | 05/07/2007 | F | 1,078.73   | D | \$ 60.63 | 130,269.943       | D |                 |
| Common Stock |            |   |            |   |          | <u>10,735.524</u> | I | By 401 (k) Plan |
|              |            |   |            |   |          | <u>(2)</u>        |   |                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: ASSURANT INC - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) |              |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date                                   | Title        |
| Stock Appreciation Right                   | \$ 33.36   | 05/07/2007                           |  | M                              | 2,251.86  | 02/04/2005   | 01/01/2010  | Common Stock |
| Stock Appreciation Right                   | \$ 26.82   | 05/07/2007                           |  | M                              | 2,797.08  | 02/04/2005   | 01/01/2010  | Common Stock |
| Stock Appreciation Right                   | \$ 32.51   | 05/07/2007                           |  | M                              | 4,151.19  | 02/04/2005   | 01/01/2011  | Common Stock |
| Stock Appreciation Right                   | \$ 30.11   | 05/07/2007                           |  | M                              | 4,483.21  | 02/04/2005   | 01/01/2011  | Common Stock |
| Stock Appreciation Right                   | \$ 36.33   | 05/07/2007                           |  | M                              | 3,715.99  | 02/04/2005   | 01/01/2011  | Common Stock |
| Stock Appreciation Right                   | \$ 22  | 05/07/2007                           |  | M                              | 92,524.09   | 02/04/2005   | 01/01/2010  | Common Stock |
| Stock Appreciation Right                   | \$ 22  | 05/07/2007                           |  | M                              | 106,699.09  | 02/04/2005   | 01/01/2011  | Common Stock |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                          |       |
|---|---------------|-----------|--------------------------|-------|
|   | Director      | 10% Owner | Officer                  | Other |
| POLLOCK ROBERT B<br>ONE CHASE MANHATTAN PLAZA<br>41ST FLOOR<br>NEW YORK, NY 10005 | X             |           | President, CEO, Director |       |

## Signatures

Lisa Richter  
Attorney-in-Fact  
05/09/2007

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a total of 70,563 shares, net of tax withholding, (rounded to the nearest whole share) granted to Reporting Person pursuant to exercise of these SARs.
- (2) Between May 26, 2004 and March 31, 2007, the reporting person acquired 10,735.524 shares of Assurant. Inc. common stock under the Assurant, Inc. 401 (k) Plan. The information in this report is based on a plan statement dated as of March 31, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.