Edgar Filing: HARTLEY SUSAN E - Form 4

| HARTLEY S Form 4 | USAN E | | | | | | | | | | |
|---|--|---|-------------------------------------|--|---|--------|---------|--|--|---|--|
| November 25 | , 2009 | | | | | | | | | | |
| FORM | UNITED | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | 9PROVAL 3235-0287 | |
| Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru- 1(b). | Filed pure S. Filed pure Section 17(a | | | | | | | | | January 31, 2005 average irs per 0.5 | |
| (Print or Type R | esponses) | | | | | | | | | | |
| HARTLEY SUSAN E Symbol CITIZE | | | Symbol | ZENS & NORTHERN CORP | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) 1293 HOFFM | (First) (M | fiddle) | 3. Date of (Month/Da 11/24/20 | nsaction | actionX Director Officer (give below) | | | title 10% Owner below) | | | |
| | | | | endment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| LOWMAN, | NY 14861 | | | | | | | | More than One R | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | Securi | ties Ac | quired, Disposed (| of, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year) | | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price | | |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 11/24/2009 | | | P | 1,250 | A | \$ 8 | 4,790 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. 6. Date Exercisabl onNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date | 7. Title and Amount of Underlying Securities (Instr. 3 and | Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--------------------------------------|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Amou or Title Numl of Share | ber | |

Reporting Owners

| Reporting Owner Name / Address | | Relationsh | | | |
|---|------------|------------|---------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| HARTLEY SUSAN E 1293 HOFFMAN HOLLOW ROAD LOWMAN, NY 14861 | Х | | | | |
| Signatures | | | | | |
| Jessica R. Brown for Susan E. Hartley 8/23/07 | 11/25/2009 | | | | |
| <u>**</u> Signature of Re | | Date | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.