

DILLER JAMES
Form 5
December 06, 2011

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
DILLER JAMES

(Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol
Avago Technologies LTD [AVGO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

C/O AVAGO TECHNOLOGIES US INC., 350 WEST TRIMBLE ROAD

(Street)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
10/30/2011

Director 10% Owner
 Officer (give title below) Other (specify below)

SAN JOSE, CA 95131

(City) (State) (Zip)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|-----------------------------------|
| | | | | (A) or (D) Amount Price | | | |
| Ordinary Shares | 04/12/2011 | | G | 30,000 D \$ 0 | 2,395 (1) | D | |
| Ordinary Shares | 08/09/2011 | | G | 8,787 D \$ 0 | 66,213 (2) | I | By Trust (3) |
| Ordinary Shares | 08/09/2011 | | G | 16,869 D \$ 0 | 58,131 (2) | I | By Trust (4) |
| Ordinary Shares | | | | | 55,656 | I | By Trust (5) |

Edgar Filing: DILLER JAMES - Form 5

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. of D S B O E F (I |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | | | Date Exercisable (A) (D) | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

DILLER JAMES
C/O AVAGO TECHNOLOGIES US INC.
350 WEST TRIMBLE ROAD
SAN JOSE, CA 95131

Â X Â Â Â

Signatures

Patricia H. McCall, Attorney-in-Fact for James Diller

12/06/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2,395 restricted share units. Upon vesting thereof, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) restricted stock unit.
- (2) The James V. Diller and June P. Diller Trust UA 7/20/77 (the "Diller Trust") received 8,767 shares on August 9, 2011 from the June Diller Annuity Trust and 16,869 shares on August 9, 2011 from the James Diller Annuity Trust.
- (3) Shares held by the June P. Diller Annuity Trust - 2010B dated May 10, 2010 (the "June Diller Annuity Trust") for which the Reporting Person serves as Trustee.
- (4) Shares held by the James V. Diller Annuity Trust - 2010B dated May 10, 2010 (the "James Diller Annuity Trust") for which the Reporting Person serves as Trustee.
- (5) Shares held by the Diller Trust for which the Reporting Person serves as Trustee.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Edgar Filing: DILLER JAMES - Form 5

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.