

IntercontinentalExchange Group, Inc.
Form 4
November 14, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Sprecher Jeffrey C

2. Issuer Name and Ticker or Trading Symbol
IntercontinentalExchange Group, Inc.
[ICE]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
2100 RIVEREDGE
PARKWAY, SUITE 500
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/13/2013

Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Executive Officer

ATLANTA, GA 30328

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|-----------|
| | | | Code | V | Amount | (A) or (D) | Price | | |
| Common Stock | 11/13/2013 | | A | | 262,668 | A | <u>(1)</u> <u>(2)</u> 262,668 | D | |
| Common Stock | 11/13/2013 | | A | | 1,013,341 | A | <u>(3)</u> 1,013,341 | I | CPEX |
| Common Stock | 11/13/2013 | | A | | 24,030 | A | <u>(4)</u> <u>(5)</u> 24,030 | I | By spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 104.23 | 11/13/2013 | | A | 36,802 | ⁽⁷⁾ 12/22/2016 | 12/22/2016 | Common Stock | 36,802 |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 189.43 | 11/13/2013 | | A | 14,550 | ⁽⁷⁾ 12/28/2017 | 12/28/2017 | Common Stock | 14,550 |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 189.43 | 11/13/2013 | | A | 2,220 | ⁽⁷⁾ 12/28/2017 | 12/28/2017 | Common Stock | 2,220 |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 80.17 | 11/13/2013 | | A | 27,432 | ⁽⁷⁾ 12/16/2018 | 12/16/2018 | Common Stock | 27,432 |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 80.17 | 11/13/2013 | | A | 1,363 | ⁽⁷⁾ 12/16/2018 | 12/16/2018 | Common Stock | 1,363 |
| Employee Stock | \$ 106 | 11/13/2013 | | A | 26,672 | ⁽⁷⁾ 12/10/2019 | 12/10/2019 | Common Stock | 26,672 |

| | | | | | | | | | |
|--|-----------|------------|---|--------|-----------------|------------|-----------------|--------|--|
| Option (right to buy) Holding ⁽⁶⁾ | | | | | | | | | |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 106 | 11/13/2013 | A | 998 | ⁽⁷⁾ | 12/10/2019 | Common Stock | 998 | |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 112.15 | 11/13/2013 | A | 23,071 | ⁽⁸⁾ | 01/17/2022 | Common Stock | 23,071 | |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 112.15 | 11/13/2013 | A | 2,768 | ⁽⁸⁾ | 01/17/2022 | Common Stock | 2,768 | |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 129.36 | 11/13/2013 | A | 32,543 | ⁽⁹⁾ | 01/11/2023 | Common Stock | 32,543 | |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 129.36 | 11/13/2013 | A | 3,905 | ⁽⁹⁾ | 01/11/2023 | Common Stock | 3,905 | |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 104.23 | 11/13/2013 | A | 6,050 | ⁽⁷⁾ | 12/22/2016 | Common Stock | 6,050 | |
| Employee Stock Option (right to buy) Holding ⁽⁶⁾ | \$ 35.08 | 11/13/2013 | A | 3,529 | ⁽⁷⁾ | 12/28/2015 | Common Stock | 3,529 | |
| | \$ 112.48 | 11/13/2013 | A | 22,453 | ⁽¹⁰⁾ | 01/11/2021 | | 22,453 | |

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stock of ICE and 3,471 unvested performance based restricted stock units of ICE for which the performance period has been satisfied, and 678 restricted stock units of ICE. The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year on the anniversary of the respective grant dates.

(5) As previously reported, the reporting person also indirectly owns 1,013,341 shares that are beneficially owned directly by CPEX. The reporting person beneficially owns 100% of the equity interest in CPEX. Additionally, as previously reported, the reporting person also beneficially owns shares directly.

(6) As of the Effective Time, each ICE employee stock option was converted into an ICE Group employee stock option on the same terms and conditions (including vesting schedule and per share exercise price) as applied to such ICE stock option immediately prior to the Effective Time.

(7) These options are fully vested.

(8) 33.33% of the options vested on January 17, 2013, the first anniversary date of grant, and the remaining 66.67% of the options either have vested or will vest in equal monthly installments between February 17, 2013 and January 17, 2015.

(9) 33.33% of the options vest on January 11, 2014, the first anniversary date of grant, and the remaining 66.67% of the options either have vested or will vest in equal monthly installments between February 11, 2014 and January 11, 2016.

(10) 33.33% of the options vested on January 17, 2012, the first anniversary date of grant, and the remaining 66.67% of the options either have vested or will vest in equal monthly installments between February 11, 2012 and January 11, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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