

PLEXUS CORP
Form 3
May 06, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Jermain Patrick John | | (Month/Day/Year) | PLEXUS CORP [PLXS] | |
| (Last) | (First) | (Middle) | 05/05/2014 | |
| ONE PLEXUS WAY | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| NEENAH, WI 54956 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Vice President & CFO | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock, \$.01 par value | 788 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|--|--|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of | |

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| | | | | Shares | | (I) (Instr. 5) | |
|---------------------------|---------------------------|------------|--------------|--------|-------------------|-------------------|---|
| Stock Appreciation Rights | 04/23/2013 ⁽¹⁾ | 04/23/2019 | Common Stock | 250 | \$ 31.7 | D | Â |
| Stock Appreciation Rights | 07/23/2013 ⁽¹⁾ | 07/23/2019 | Common Stock | 250 | \$ 27.86 | D | Â |
| Stock Appreciation Rights | 10/29/2013 ⁽¹⁾ | 10/29/2019 | Common Stock | 250 | \$ 25.965 | D | Â |
| Stock Appreciation Rights | 01/21/2014 ⁽¹⁾ | 01/21/2020 | Common Stock | 625 | \$ 26.15 | D | Â |
| Stock Appreciation Rights | 04/22/2014 ⁽¹⁾ | 04/22/2020 | Common Stock | 625 | \$ 25.325 | D | Â |
| Stock Appreciation Rights | 07/22/2014 ⁽¹⁾ | 07/22/2020 | Common Stock | 625 | \$ 33.055 | D | Â |
| Stock Appreciation Rights | 10/28/2014 ⁽¹⁾ | 10/28/2020 | Common Stock | 625 | \$ 40.224 | D | Â |
| Stock Appreciation Rights | 01/20/2015 ⁽¹⁾ | 01/20/2021 | Common Stock | 663 | \$ 40.64 | D | Â |
| Stock Appreciation Rights | 04/22/2015 ⁽¹⁾ | 04/22/2021 | Common Stock | 663 | \$ 44.477 | D | Â |
| Restricted Stock Units | 01/23/2015 | 01/23/2015 | Common Stock | 1,200 | \$ ⁽²⁾ | D | Â |
| Restricted Stock Units | 01/21/2016 | 01/21/2016 | Common Stock | 1,500 | \$ ⁽²⁾ | D | Â |
| Restricted Stock Units | 01/20/2017 | 01/20/2017 | Common Stock | 1,590 | \$ ⁽²⁾ | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Jermain Patrick John ONE PLEXUS WAY NEENAH, WI 54956 | Â | Â | Â Vice President & CFO | Â |

Signatures

Patrick J. Jermain, By Mary J. Bathke,
Attorney-in-fact

05/06/2014

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Appreciation Rights granted under the Plexus Corp. 2008 Long-Term Incentive Plan, which qualifies under Rule 16b-3; one half of the original grant vests each year, commencing on the first anniversary of grant date.
- (2) Each Restricted Stock Unit granted under the Plexus Corp. 2008 Long-Term Incentive Plan, which qualifies under Rule 16b-3, represents a contingent right to receive one share of Plexus Corp. common stock. The Restricted Stock Units vest three years from the date granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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