## Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Intercontinental Exchange, Inc. Form 4 September 17, 2014

September	17, 2014									
								OMB APPROVAL		
<b>CUNIVI 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check this box							Expires:	January 31,		
subject Section Form 4	if no longer subject to Section 16. Form 4 or StateMent OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated average burden hours per response 0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type	Responses)									
			2. issuer raune und riener er riading				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (		3. Date of Earliest Transaction (Check					all applicable)		
(M			Month/Day/Year) 9/16/2014				_X_ Director 10% Owner Officer (give title Other (specify below)			
	4. If Amendment, D Filed(Month/Day/Yea	(Month/Day/Year) A				5. Individual or Joint/Group Filing(Check Applicable Line) .X_ Form filed by One Reporting Person				
ATLANTA	A, GA 30328					– P	Form filed by Mo Person	ore than One Rep	oorting	
(City)	(State)	(Zip)	Table I - Non-	Derivativ	e Secu	ırities Acqui	red, Disposed of,	or Beneficiall	y Owned	
Security (Month/Day/Year) Execution Date, if Transactionor Dis				sed of		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	09/16/2014		S	1,347 (1)	D	\$ 194.1675 (2)	3,528	D		
Common Stock	09/16/2014		S	466 <u>(1)</u>	D	\$ 194.8288 ( <u>3)</u>	3,062 (4)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Relationships						
Director	10% Owner	Officer	Other			
Х						
09/17/2014						
	Da	te				
]		Director 10% Owner X 09/17	Director 10% Owner Officer			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
- The price range for the aggregate amount sold by the direct holder is \$193.5000 \$194.4999. The Issuer will upon request by the Staff of
   (2) the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- The price range for the aggregate amount sold by the direct holder is \$194.7400 \$195.7399. The Issuer will upon request by the Staff of
   (3) the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- (4) The common stock number referred to in Table I is an aggregate number and represents 2,106 shares of common stock and 956 restricted stock units of the Issuer. The restricted stock units vest on the one-year anniversary of the grant date, which is February 27, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.