Revance Therapeutics, Inc. Form 4

November 20, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

**OMB APPROVAL** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Ad Ruegg Curtis	•	orting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol Revance Therapeutics, Inc. [RVNC]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)			
C/O REVANCE THERAPEUTICS, INC., 7555 GATEWAY BOULEVARD			(Month/Day/Year) 11/18/2014	Director 10% OwnerX_ Officer (give title Other (specify below)  EVP R&D and Tech Operations			
(Street) NEWARK, CA 94560			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acc	quired. Disposed of, or Reneficially Owned			

	Tube 1 Tron Derivative Securities Required, Disposed of, or Deficiently Owned								
2. Transaction Date	2A. Deemed	3.	4. Secur	ities A	cquired	5. Amount of	6. Ownership	7. Nature of	
(Month/Day/Year)	Transaction(A) or Disposed of			d of	Securities	Form: Direct	Indirect		
	any			Code (D)			(D) or	Beneficial	
	(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership		
	•					Following	(Instr. 4)	(Instr. 4)	
				(4)		Reported			
						Transaction(s)			
		C 1 W			ъ.	(Instr. 3 and 4)			
		Code V	Amount	(D)					
11/18/2014		М	1.000	Δ		15 106 (1)	D		
11/10/2014		171	1,000	Λ	2.55	13,100 📉	D		
11/18/2014		$\mathbf{S}(2)$	1.000	D	\$ 16	1/ 106	D		
11/10/2017		<u> </u>	1,000	ט	ψ 10	17,100	D		
		2. Transaction Date (Month/Day/Year)  2A. Deemed Execution Date, if any (Month/Day/Year)  11/18/2014	2. Transaction Date   2A. Deemed   3.   Transaction Date   4   Execution Date   if any (Month/Day/Year)   (Month/Day/Year)   Code   (Instr. 8)   Code   V   11/18/2014   M	2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  Code (D) (Instr. 8)  Code V Amount  11/18/2014  M 1,000	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (A) or Code V Amount (D)  11/18/2014  M 1,000 A	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  2. Transaction(A) or Disposed of Code (D)  (Instr. 8)  (Instr. 3, 4 and 5)  (A) or  Code V Amount (D) Price  M 1,000 A \$ 2.55	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  2. Transaction(A) or Disposed of Securities Beneficially (Instr. 8) (Instr. 3, 4 and 5)  Code (D) Beneficially (Owned Following Reported Transaction(s) (Instr. 3 and 4)  Code V Amount (D) Price  11/18/2014  M 1,000 A \$ 15,106 (1)	2. Transaction Date (Month/Day/Year)   2A. Deemed   3.   4. Securities Acquired (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   (Instr. 8) (Instr. 3, 4 and 5)   Code (D) (Instr. 8)   (Instr. 3, 4 and 5)   (Instr. 4)   (Instr. 4)   (Instr. 3)   (Instr.	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		erivative Expiration Date rities (Month/Day/Year) ired r osed of  . 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 2.55	11/18/2014		M		1,000	(3)	07/20/2020	Common Stock	1,000

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ruegg Curtis C/O REVANCE THERAPEUTICS, INC. 7555 GATEWAY BOULEVARD NEWARK, CA 94560

EVP R&D and Tech Operations

8. I De Sec (In

# **Signatures**

/s/ Gordon Ho, Attorney-in-fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 838 shares acquired by the Reporting Person under the Issuer Employee Stock Purchase Plan were inadvertently omitted in Column 5 of the Reporting Person's Form 4 filed on September 19, 2014, and were also omitted from two subsequent Forms 4 filed by the Reporting Person on October 17, 2014 and October 30, 2014. The share amount reflects the total shares owned after all transactions that occurred on September 17, 2014 through October 28, 2014, i.e. as of October 28, 2014, the Reporting Person owned a total of 14,106 shares.
- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 15, 2014.
- (3) The Option is fully vested as of January 1, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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