Edgar Filing: NASDAQ OMX GROUP, INC. - Form 4

NASDAQ O Form 4 May 11, 201	MX GROUP, IN	C.									
FORM		STATES					NGE C	COMMISSION	OMB AF OMB Number:	PPROVAL 3235-0287	
Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	6. r Filed purs sinue. Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Image: Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Image: Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									
(Print or Type F	Responses)										
Wittman Thomas A Symbol				r Name and Ticker or Trading AQ OMX GROUP, INC. 2]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			of Earliest Transaction Day/Year) 2015				Director 10% Owner X_ Officer (give title Other (specify below) below) Executive Vice President				
	Filed(Mon			endment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
	X, NY 10006							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	n Date, if	3. Transactio Code (Instr. 8)	(Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock, par value \$0.01 per share	05/07/2015			Code V $F(1)$	Amount 3,999	(D) D	Price \$ 49.89	(Instr. 3 and 4) $50,687 \frac{(2)}{2}$	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 25.28					(3)	03/28/2021	Common Stock	12,748	

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Wittman Thomas A ONE LIBERTY PLAZA NEW YORK, NY 10006			Executive Vice President					
Signatures								

/s/ Edward S. Knight, by power of attorney

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

05/11/2015

Date

- Represents the surrender of shares to pay withholding taxes in connection with a vesting of equity previously granted under Nasdaq's (1) Equity Incentive Plan.
- Represents (i) 30,457 units of vested restricted stock, (ii) 19,991 shares underlying performance share units, of which 10,790 are vested (2)and (iii) 239 shares purchased under the Employee Share Purchase Plan.
- (3) Options exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.