### AUBURN NATIONAL BANCORPORATION, INC

Form 4 July 16, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

OMB

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5

obligations

2005 Estimated average burden hours per

0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

common

stock

07/15/2015

(Print or Type Responses)

	Address of Reporting N WILLIAM THO	M A C	2. Issuer Name and Ticker or Trading Symbol AUBURN NATIONAL BANCORPORATION, INC				5. Relationship of Reporting Person(s) to Issuer				
		BANG					(Check all applicable)				
		•	[AUBN]				Director 10% Owner X Officer (give title Other (specify				
(Last)	(First) (1	,	of Earliest T	ransaction			below)	below)	or (speeiny		
P. O. BOX	3110	`	(Month/Day/Year) 07/15/2015				SVP/Senior Lender				
	(Street)	4. If An	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
	Filed(M	Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					
AUBURN	, AL 36831-3110						Person	More man One Ke	porting		
(City)	(State)	(Zip) Ta	ble I - Non-	Derivative	Securiti	ies Acq	uired, Disposed o	of, or Beneficial	ly Owned		
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ties Acq	uired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	Transacti	on(A) or Di	sposed o	of (D)	Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code	(Instr. 3,	4 and 5)	)	Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8)		(A)		Owned Following Reported Transaction(s)	Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

6,763

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: AUBURN NATIONAL BANCORPORATION, INC - Form 4

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title at Amount of		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(monda, Day, Teal)	(Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		Underlyin Securities (Instr. 3 a	ng s	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title Nu of	nount umber uares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JOHNSON WILLIAM THOMAS P. O. BOX 3110

AUBURN, AL 36831-3110

SVP/Senior Lender

## **Signatures**

William Thomas 07/16/2015 Johnson

\*\*Signature of Reporting Date
Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

2nd qtr, 2015 drip/ocp

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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