### Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Intercontinental Exchange, Inc. Form 4 September 24, 2015

September 24	4, 2015										
FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OM         Check this box if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Exp							OMB Number: Expires: Estimated ar burden hour response				
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Short Johnathan H			2. Issuer Name <b>and</b> Ticker or Trading Symbol Intercontinental Exchange, Inc. [ICE]				8	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 3. I (M			. Date of Earliest Transaction Month/Day/Year) 99/22/2015					(Check all applicable) Director 10% Owner _X Officer (give title Other (specify below) General Counsel & Corp. Sec.			
(Street) ATLANTA, GA 30328			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	le I - Non-J	Derivativ	e Secu		ired, Disposed of,	or Beneficiall	v Owned	
	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution D any (Month/Day	ed 3. 4. Securities Acquired (A) Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) ny/Year) (Instr. 8) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common Stock	09/22/2015			Code V M	Amount 2,486 (1)	(D) A	Price \$ 129.36	, ,	D		
Common Stock	09/22/2015			S	2,986 (1)	D	\$ 226.722	9,607 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) Holding	\$ 129.36	09/22/2015		М	2,486	<u>(3)</u>	01/11/2023	Common Stock	2,486

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Short Johnathan H 5660 NEW NORTHSIDE DRIVE ATLANTA, GA 30328			General Counsel & Corp. Sec.				
Signatures							
/s/Andrew J. Surdykowski.							

Attorney-in-fact	09/24/2015		
**Signature of Reporting Person	Date		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.

The common stock number referred in Table I is an aggregate number and represents 4,271 shares of common stock and 5,336 unvested performance based restricted stock units, for which the performance period has been satisfied. These performance based restricted stock

- (2) units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year. The satisfaction of the performance target for the 2015 performance based restricted stock units and the one-time NYSE merger-related performance based restricted stock units, and the corresponding number of shares of common stock to be issued pursuant to these awards, will not be determined until February 2016 and February 2017, respectively, and will be reported at those times.
- (3) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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