TransDigm Group INC Form 4 November 04, 2015

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number: Expires:

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Leary John F

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

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response...

3235-0287

January 31,

2005

0.5

TransDigm Group INC [TDG]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner

C/O HARTWELL CORPORATION, 900 RICHFIELD 11/02/2015

Other (specify X\_ Officer (give title below)

RD

(Street)

(State)

**Executive Vice President** 

(Zip)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PLACENTIA, CA 92870

(City)

| (City)                 | (State)                              | Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |            |                     |     |                         |                                 |                           |                      |  |  |
|------------------------|--------------------------------------|--|------------|---------------------|-----|-------------------------|---------------------------------|---------------------------|----------------------|--|--|
| 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year) | 3. 4. Securities Acquired (A) Transaction Disposed of (D)                        |            |                     |     | 5. Amount of Securities | 6. Ownership                    | 7. Nature of Indirect     |                      |  |  |
| (Instr. 3)             | • •                                  | any  | Code       | (Instr. 3, 4 and 5) |     |                         | Beneficially                    | Form:                     | Beneficial           |  |  |
|                        |                                      | (Month/Day/Year)   | (Instr. 8) |                     |     |                         | Owned<br>Following              | Direct (D)<br>or Indirect | Ownership (Instr. 4) |  |  |
|                        |                                      |  |            | (A)                 |     |                         | Reported                        | (I)                       |                      |  |  |
|                        |                                      |  |            |                     | or  |                         | Transaction(s) (Instr. 3 and 4) | (Instr. 4)                |                      |  |  |
|                        |                                      |  | Code V     | Amount              | (D) | Price                   | (Ilisti: 3 and 4)               |                           |                      |  |  |
| Common<br>Stock        | 11/02/2015                           |  | M          | 5,000               | A   | \$ 27.08                | 14,260                          | D                         |                      |  |  |
| Common<br>Stock        | 11/02/2015                           |  | S          | 5,000               | D   | \$<br>225.0074<br>(1)   | 9,260                           | D                         |                      |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: TransDigm Group INC - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|--|---|--|--------------------|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option                                     | \$ 27.08  | 11/02/2015                              |   | M                                      | 5,000   | 09/30/2009   | 11/17/2018         | Common<br>Stock   | 5,000                                  |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Leary John F C/O HARTWELL CORPORATION 900 RICHFIELD RD PLACENTIA, CA 92870

**Executive Vice President** 

 $\Gamma$ 

## **Signatures**

Halle Fine Terrion as attorney in fact for John Leary

11/04/2015

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Price reported constitutes the average weighted price of shares sold. Shares were sold at varying prices in the range of \$225.00 - 225.20.

(1) The reporting person hereby undertakes, upon request of the Commission, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.

#### **Remarks:**

All transactions reported hereunder were made pursuant to an established 10b5-1 plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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