### Edgar Filing: DUN & BRADSTREET CORP/NW - Form 3

#### **DUN & BRADSTREET CORP/NW**

Form 3

February 11, 2016

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

**OMB APPROVAL** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *  Williams Roslynn			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol DUN & BRADSTREET CORP/NW [DNB]				
(Last)	(First)	(Middle)	02/01/2016	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
103 JFK PA	RKWAY							
(Street)				(Check all applement of the content		)	6. Individual or Joint/Group	
SHORT HILLS, NJ 07078								
(City)	(State)	(Zip)	Table I - I	Non-Derivat	tive Securiti	ies Be	eneficially Owned	
1.Title of Secu (Instr. 4)	rity		2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ture of Indirect Beneficial ership :. 5)	
Common St	ock		251 <u>(1)</u>		D	Â		
Common St	ock		167 <u>(2)</u>		D	Â	Â	
Common St	ock		21.6882		I	HEL	LD IN ESPP (3)	
Reminder: Rep owned directly	_		ach class of securities benefic	cially S	SEC 1473 (7-02	2)		
Persons who respond to the collection of information contained in this form are not								

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Leveraged Restricted Stock Units	(4)	03/02/2018	Common Stock	251	\$ <u>(4)</u>	D	Â
Leveraged Restricted Stock Units	(4)	04/01/2018	Common Stock	167	\$ <u>(4)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director 10% Owner		Officer	Other		
Williams Roslynn 103 JFK PARKWAY SHORT HILLS, NJ 07078	Â	Â	Chief People Officer	Â		

# **Signatures**

/s/ Karen K. Pettiford-Wilson for Roslynn Williams by POA previously filed 02/11/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock units issued on 3/2/2015 which will vest in three equal installments: 1/3 on the first anniversary of the date of grant, 1/3 on the second anniversary of the date of grant, and 1/3 on the third anniversary of the date of grant.
- (2) Grant of restricted stock units issued on 4/1/2015 which will vest in three equal installments: 1/3 on the first anniversary of the date of grant, 1/3 on the second anniversary of the date of grant, and 1/3 on the third anniversary of the date of grant.
- (3) Held in the issuer's employee stock purchase plan (ESPP) as of 2/11/2016.
  - Each performance share represents a contingent right for the reporting person to receive, on each of the first, second and third anniversaries of the date of the grant, a distribution of common stock equal to 0% to 200% of 1/3 of the reported target performance
- shares based on the issuer's stock price performance during the designated performance periods, as follows: the first distribution is tied to the issuer's one-year stock price performance (1/1/2015 12/31/2015; the second distribution is tied to the issuer's two-year stock price performance (1/1/2015 12/31/2016); and the third distribution is tied to the issuer's three-year stock price performance (1/1/2015 12/31/2017).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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