VMWARE, INC. Form 3 March 03, 2016 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL FORM 3 Washington, D.C. 20549 OMB 3235-0104 Number: January 31, **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** Expires: 2005 **SECURITIES** Estimated average

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Ad Person <u>*</u> Rowe Zan | - | orting | 2. Date of Event Red Statement (Month/Day/Year) | | 3. Issuer Name and Ticker or Trading Symbol VMWARE, INC. [VMW] | | | | | |
|---|-------------------|-------------------------|---|--|--|--------------------------------|---|--|--|--|
| (Last) | (First) | (Middle) | 03/01/2016 | | 4. Relationship of Reporting Person(s) to Issuer | | | 5. If Amendment, Date Original Filed(Month/Day/Year) | | |
| 3401 HILLV | IEW AVE | NUE | | | | | × × | , | | |
| (Street) | | | | (Che | (Check all applicable) | | | 6. Individual or Joint/Group | | |
| PALO ALTO |), CA 9 | 94304 | | | | | Filing(Cho _X_ Form Person | eck Applicable Line) filed by One Reporting filed by More than One | | |
| (City) | (State) | (Zip) | Tabl | le I - Non-Deriv | Non-Derivative Securities Beneficially Owned | | | | | |
| 1.Title of Securi (Instr. 4) | ty | | | nount of Securities ficially Owned :. 4) | 3. Ownersh Form: Direct (E or Indirec (I) (Instr. 5) | ip Owr (Inst)) ct | nership | irect Beneficial | | |
| Reminder: Report owned directly o | | ate line for ea | ach class of securities | beneficially | SEC 1473 (| 7-02) | | | | |
| | inform require | ation contaied to respo | pond to the collect ained in this form a ond unless the forn MB control number | are not n displays a | | | | | | |
| Ta | able II - Der | ivative Secu | rities Beneficially Ov | wned (e.g., puts, cal | ls, warrants | , options, | convertible | securities) | | |
| 1. Title of Deriva (Instr. 4) | ative Securit | Expi (Month | ration Date //Day/Year) | 3. Title and Amount Securities Underlyir Derivative Security (Instr. 4) | g Conv or Ex Price | version (xercise I of I | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| | | Date | Expiration | | C | | $\sum_{i=1}^{n} (D)$ | | | |

Exercisable

Date

Title

1

Direct (D)

or Indirect

(Instr. 5)

(I)

Security

Amount or

Number of

Shares

burden hours per

0.5

response...

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|-------------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Rowe Zane 3401 HILLVIEW AVENUE PALO ALTO, CA 94304 | Â | Â | Chief Financial Officer & EVP | Â | | |
| Signatures | | | | | | |
| Larry Wainblat, attorney-in-fact | 03/03/2016 | | | | | |
| **Signature of Reporting Person | Date | | | | | |
| Evalenction of De | | | | | | |

Explanation of Responses:

No securities are beneficially owned

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.