Bank of New York Mellon Corp Form 4

November 02, 2016

(Print or Type Responses)

Stock

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

| 1. Name and A HASSELL (| ^ | orting Person * | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | | | |
|----------------------------|-------------|-----------------|--|---|--|--|--|--|
| | | | Bank of New York Mellon Corp [BK] | (Check all applicable) | | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | _X_ Director 10% Owner X_ Officer (give title Other (specify | | | | |
| THE BANK | OF NEW Y | YORK | 10/31/2016 | below) below) Chairman & CEO | | | | |
| MELLON CORPORATION, 225 | | | | Chairman & CEO | | | | |
| LIBERTY S | TREET | | | | | | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | | |
| | | | Filed(Month/Day/Year) | Applicable Line) | | | | |
| | | | | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| NEW YORK | K, NY 10280 | 6 | | | | | | |

| (City) | (State) | (Zip) Ta | ble I - Non | -Derivativ | e Secu | rities Acqu | ired, Disposed of, or l | Beneficially (| Owned |
|--------------------------------------|--------------------------------------|---|---|---|---------|---------------|--|----------------|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securition Disposition Disposition (Instr. 3, 4) | ed of (| | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common | 10/31/2016 | | S | 20.000 | D | \$ 34 3512 | 22 140 | T | By Family |

| | | Code V | Amount | or (D) | Price | | (Instr. 4) | |
|-----------------|------------|--------|--------|-----------|-----------------------------|----------------|------------|--------------------------|
| Common Stock | 10/31/2016 | S | 20,000 | D | \$ 34.3512 <u>(1)</u> | 22,140 | I | By Family Trust |
| Common Stock | 10/31/2016 | S | 20,000 | D | \$ 34.3512 (1) | 22,140 | I | By Family Trust #2 |
| Common Stock | | | | | | 1,003,176.0604 | D | |
| Common | | | | | | 56 604 | T | By Wife |

56,604

I

(2)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | | 6. Date Exerc | cisable and | 7. Tit | le and | 8. Price of |
|-------------|-------------|---------------------|--------------------|---------|---------|----------|------------------|-------------|--------|-------------|-------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transa | ctionNu | mber | Expiration D | ate | Amou | ınt of | Derivative |
| Security | or Exercise | | any | Code | of | | (Month/Day/ | Year) | Unde | rlying | Security |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. | 8) De | rivative | e | | Secur | rities | (Instr. 5) |
| | Derivative | | | | Sec | curities | | | (Instr | . 3 and 4) | |
| | Security | | | | Ac | quired | | | | | |
| | | | | | (A) | or or | | | | | |
| | | | | | Dis | sposed | | | | | |
| | | | | | of (| (D) | | | | | |
| | | | | | (In: | str. 3, | | | | | |
| | | | | | 4, a | and 5) | | | | | |
| | | | | | | | | | | Amaunt | |
| | | | | | | | | | | Amount | |
| | | | | | | | Date | Expiration | T:41- | or Namel | |
| | | | | | | | Exercisable Date | Date | Title | | |
| | | | | C 1 | 37 (A) | (D) | | | | of | |
| | | | | Code | V (A) | (D) | | | | Shares | |

Reporting Owners

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director

HASSELL GERALD L THE BANK OF NEW YORK MELLON CORPORATION 225 LIBERTY STREET NEW YORK, NY 10286

X

Chairman & CEO

Signatures

/s/ Craig T. Beazer, 11/02/2016 Attorney-in-Fact

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the weighted average price of shares sold with actual prices ranging from \$43.34 to \$43.38. Upon request by the SEC staff,
- (1) the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price within this range.
- (2) I disclaim beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

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