

PLEXUS CORP
Form 4
January 25, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
CORTINOVIS STEPHEN P

(Last) (First) (Middle)

ONE PLEXUS WAY

(Street)

NEENAH, WI 54956

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PLEXUS CORP [PLXS]

3. Date of Earliest Transaction
(Month/Day/Year)
01/23/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.01 par value	01/23/2017		M	2,500	A \$ 27.465	26,858	D
Common Stock, \$.01 par value	01/23/2017		M	2,500	A \$ 22.17	29,358	D
Common Stock, \$.01 par value	01/23/2017		M	2,500	A \$ 24.21	31,858	D

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Common Stock, \$.01 par value	01/23/2017	M	2,500	A	\$ 29.71	34,358	D
Common Stock, \$.01 par value	01/23/2017	M	2,500	A	\$ 14.17	36,858	D
Common Stock, \$.01 par value	01/23/2017	M	1,250	A	\$ 14.625	38,108	D
Common Stock, \$.01 par value	01/23/2017	S	13,750	D	\$ 52.8791 (1)	24,358	D
Common Stock, \$.01 par value	01/25/2017	M(2)	3,174	A	(2)	27,532	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(3)	01/23/2017		A		2,540		(3)	(3)	Common Stock	2,540
Options to Buy	\$ 27.465	01/23/2017		M		2,500		(4)	11/23/2017	Common Stock	2,500
	\$ 22.17	01/23/2017		M		2,500		(4)	01/28/2018		2,500

Options to Buy								Common Stock	
Options to Buy	\$ 24.21	01/23/2017	M	2,500	<u>(4)</u>	04/28/2018		Common Stock	2,500
Options to Buy	\$ 29.71	01/23/2017	M	2,500	<u>(4)</u>	07/29/2018		Common Stock	2,500
Options to Buy	\$ 14.17	01/23/2017	M	2,500	<u>(4)</u>	11/19/2018		Common Stock	2,500
Options to Buy	\$ 14.625	01/23/2017	M	1,250	<u>(4)</u>	02/02/2019		Common Stock	1,250
Restricted Stock Units	<u>(2)</u>	01/25/2017	M ⁽²⁾	3,174	<u>(2)</u>	<u>(2)</u>		Common Stock	3,174

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CORTINOVIS STEPHEN P ONE PLEXUS WAY NEENAH, WI 54956	X			

Signatures

Stephen P. Cortinovis, by Kate A. Gitter,
Attorney-in-Fact

01/25/2017

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$52.67 to \$53.08 per share. The reported price reflects the

(1) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Each Restricted Stock Unit granted under the Plexus Corp. 2008 Long-Term Incentive Plan, which qualifies under Rule 16b-3,

(2) represented a contingent right to receive one share of Plexus Corp. common stock. The Restricted Stock Units vested and settled on January 25, 2017.

(3) Each Restricted Stock Unit granted under the Plexus Corp. 2016 Omnibus Incentive Plan, which qualifies under Rule 16b-3, represents a contingent right to receive one share of Plexus Corp. common stock. The Restricted Stock Units vest on January 23, 2018.

(4) Options granted under the Plexus Corp. 2008 Long-Term Incentive Plan, or a predecessor plan, which qualifies under Rule 16b-3; now fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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