## Edgar Filing: Minerva Neurosciences, Inc. - Form 4

Minerva Neurosciences, Inc. Form 4 February 07, 2017 FORM 4 Form 5 obligations may continue. See Instruction 1(b).							OMB Number: Expires: Estimated a burden hour response	•	
(Print or Type Responses)									
1. Name and Address of Reporting Pe Race Geoff	er Name <b>and</b> Ticker or Trading va Neurosciences, Inc. 7]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Min C/O MINERVA NEUROSCIENCES, INC., 160 TRAPELO ROAD, SUITE 284	of Earliest Transaction Day/Year) 2017				Director 10% Owner X Officer (give title Other (specify below) below) EVP, CFO & CBO				
(Street)		Filed(Month/Day/Year) App					Individual or Joint/Group Filing(Check oplicable Line) K_ Form filed by One Reporting Person _ Form filed by More than One Reporting		
WALTHAM, MA 02451						Person	ore than one Re	porting	
(City) (State) (Z	Cip) Tabl	e I - Non-D	Derivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
(Instr. 3)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8) Code V	4. Securiti n(A) or Dis (Instr. 3, 4) Amount	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common 02/03/2017 Stock		S <u>(1)</u>	19,758	D	\$ 11.02 (2)	186,890	D		
Common 02/06/2017 Stock		S <u>(1)</u>	1,692	D	\$ 11	185,198	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Race Geoff C/O MINERVA NEUROSCIENCES, 1601 TRAPELO ROAD, SUITE 284 WALTHAM, MA 02451	INC.		EVP, CFO & CBO					
Signatures								
/s/ Mark Levine, Attorney-in-Fact	02/07/2017							
**Signature of Reporting Person	Date							

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on December 15, 2016.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$11.00 - \$11.10, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(2) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.