

PLEXUS CORP
Form 4
February 08, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
FOATE DEAN A

(Last) (First) (Middle)

ONE PLEXUS WAY

(Street)

NEENAH, WI 54956

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PLEXUS CORP [PLXS]

3. Date of Earliest Transaction
(Month/Day/Year)
02/06/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☒ Other (specify
below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock, \$.01 par value								6,140	D <u>(1)</u>
Common Stock, \$.01 par value	02/06/2017		M		15,500	A	\$ 30.19	246,856	D
Common Stock, \$.01 par value	02/06/2017		M		3,000	A	\$ 31.7	249,856	D

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Common Stock, \$.01 par value	02/06/2017	S	18,500	D	\$ 54.6404 (2)	231,356	D
Common Stock, \$.01 par value	02/07/2017	M	19,750	A	\$ 31.7	251,106	D
Common Stock, \$.01 par value	02/07/2017	S	19,750	D	\$ 54.7643 (3)	231,356	D
Common Stock, \$.01 par value	02/08/2017	M	1,000	A	\$ 31.7	232,356	D
Common Stock, \$.01 par value	02/08/2017	M	31,250	A	\$ 33.055	263,606	D
Common Stock, \$.01 par value	02/08/2017	S	32,250	D	\$ 54.9967 (4)	231,356	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Options to Buy	\$ 30.19	02/06/2017		M	15,500	(5) 07/25/2021	Common Stock	15,500

Options to Buy	\$ 31.7	02/06/2017	M	3,000	<u>(5)</u>	04/23/2022	Common Stock	3,000
Options to Buy	\$ 31.7	02/07/2017	M	19,750	<u>(5)</u>	04/23/2022	Common Stock	19,750
Options to Buy	\$ 31.7	02/08/2017	M	1,000	<u>(5)</u>	04/23/2022	Common Stock	1,000
Options to Buy	\$ 33.055	02/08/2017	M	31,250	<u>(5)</u>	07/22/2023	Common Stock	31,250

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FOATE DEAN A ONE PLEXUS WAY NEENAH, WI 54956	X			Chairman of the Board

Signatures

Dean A. Foate, by Kate A. Gitter,
Attorney-in-Fact

02/08/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares of Plexus Corp. common stock held in the Plexus Corp. Employee Stock Purchase Plan as of the last report from the Plan's Trustee.

This transaction was executed in multiple trades at prices ranging from \$54.48 to \$54.76 per share. The reported price reflects the

- (2) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades at prices ranging from \$54.69 to \$54.87 per share. The reported price reflects the

- (3) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades at prices ranging from \$54.90 to \$55.10 per share. The reported price reflects the

- (4) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (5) Options granted under the Plexus Corp. 2008 Long-Term Incentive Plan, or a predecessor plan, which qualifies under Rule 16b-3; now fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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