

3D SYSTEMS CORP
Form 4
March 02, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LOEWENBAUM G WALTER II

(Last) (First) (Middle)

333 THREE D SYSTEMS CIRCLE

(Street)

ROCK HILL, SC 29730

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
3D SYSTEMS CORP [DDD]

3. Date of Earliest Transaction
(Month/Day/Year)
03/02/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)			
Common Stock	03/02/2017		G	V	258,277	D	\$ 0 0	I	Elizabeth Scott Loewenbaum 2010 Trust ⁽¹⁾ ₍₂₎
Common Stock	03/02/2017		G	V	239,475	D	\$ 0 0	I	Anna Loewenbaum Hargrove 2010 Trust ⁽¹⁾ ₍₂₎
Common Stock							376,441	I	By The Loewenbaum

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				1992 Trust ⁽¹⁾ <u>(3)</u>
Common Stock	176,944	I		By spouse ⁽¹⁾
Common Stock	33,279	I		By The Lillian Shaw Loewenbaum Trust ⁽¹⁾ <u>(3)</u>
Common Stock	1,062,816	D		
Common Stock	5,100	I		By Anna Willis Loewenbaum 1993 Trust ⁽¹⁾ <u>(3)</u>
Common Stock	5,100	I		The Elizabeth Scott Loewenbaum 1993 Trust ⁽¹⁾ <u>(3)</u>
Common Stock	60,000	I		By The Wally's Trust u/w/o Joel Simon Loewenbaum ⁽¹⁾ <u>(2)</u>
Common Stock	55,565	I		By The Waterproof Partnership, L.P. ⁽¹⁾ <u>(4)</u>
Common Stock	67,500	I		Blanco Cattle & Ranch, LLC ⁽⁵⁾
Common Stock	11,703	I		Lillian Shaw Loewenbaum TTEE the LSL3DS 2014 Annuity Trust U/A/ Dtd 11/13/2014 ⁽¹⁾ <u>(6)</u>
Common Stock	40,868	I		The GWL3D 2015 Annuity Trust U/A Dtd 05/18/2015 ⁽¹⁾ <u>(2)</u>

Common Stock	27,538	I	The LSL3D 2015 Annuity Trust U/A Dtd 05/18/2015 <u>(1)</u> <u>(6)</u>
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
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Date
Exercisable

Expiration
Date

Title

Amount
or
Number
of
Shares

Code V (A) (D)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LOEWENBAUM G WALTER II 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730	X			

Signatures

/s/ Andrew M. Johnson,
Attorney-in-Fact

03/02/2017

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Reporting Person disclaims beneficial ownership of these securities except to the extent of any pecuniary interest therein.

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- (2) Mr. Loewenbaum serves as Trustee.
- (3) Mr. and Mrs. Loewenbaum serve as Trustees.
- (4) Mr. and Mrs. Loewenbaum are the general partners.
- (5) The limited liability company is owned 100% by Mr. Loewenbaum.
- (6) Mrs. Loewenbaum serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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