### Edgar Filing: Ahlholm Frederick W - Form 4

Ahlholm Free	lerick W										
Form 4 December 15	2017										
FORM	Л									PPROVAL	
	UNITE	D STATES					NGE (	COMMISSION	OMB Number:	3235-0287	
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	er <b>STATE</b> 5. 5. Filed p <sup>s</sup> Section 1	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							January 31, 2005 Estimated average burden hours per response 0.5		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Ahlholm Frederick W			2. Issuer Name <b>and</b> Ticker or Trading Symbol Minerva Neurosciences, Inc. [NERV]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	<sup>(First)</sup> VA ENCES, INC., ROAD, SUITE		3. Date of (Month/Da 12/13/20		nsaction			Director X Officer (give below) SVP, Chie		6 Owner er (specify Officer	
				ndment, Date Original th/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>			
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	becuri	ties Aco	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye	ar) Executio any		3. Transactio Code (Instr. 8)	Disposed (Instr. 3,	(A) of (D 4 and (A) or	)) 5)	Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	12/14/2017			Code V M	Amount 5,000	(D) A	Price ( <u>1</u> )	10,000	D		
Common Stock	12/14/2017			S	1,661 (2)	D	\$ 5.8	8,339	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities A (A) or Disp (D) (Instr. 3, 4,	Acquired osed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Num Shar
Employee Stock Option (Right to Buy)	\$ 6.1	12/13/2017		А	120,000		<u>(3)</u>	12/13/2027	Common Stock	120
Restricted Stock Unit	<u>(1)</u>	12/14/2017		М		5,000	(4)	<u>(4)</u>	Common Stock	5,(

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Ahlholm Frederick W C/O MINERVA NEUROSCIENCES, INC. 1601 TRAPELO ROAD, SUITE 286 WALTHAM, MA 02451			SVP, Chief Accounting Officer				
Signatures							
/s/ Matt Bartholomae, Attorney-in-Fact	12/15/201	17					
**Signature of Reporting Person	Date						

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.

Represents shares of the Issuer's common stock sold to satisfy tax obligations relating to the acquisition of shares of the Issuer's common(2) stock in connection with the settlement of the vested portion of RSUs pursuant to the terms of that certain RSU Agreement between the Reporting Person and the Issuer, as reflected in this Form 4.

(3) This option vests as to 25% of the shares on December 13, 2018 and then in quarterly installments over 3 years thereafter.

Represents shares issuable upon the vesting of RSUs awarded to the Reporting Person on December 14, 2016. The RSUs vest in 4 equal
(4) annual installments beginning on December 14, 2017 and will be fully vested on December 14, 2020, in all cases so long as there has been no break in the Reporting Person's continuous service through such date.

#### **Remarks:**

Exhibit List - Exhibit 24 - Power of Attorney

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.