#### Edgar Filing: LIEBERMAN GERALD M - Form 3

#### LIEBERMAN GERALD M

Form 3

January 02, 2018

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

MD.

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TEVA PHARMACEUTICAL INDUSTRIES LTD LIEBERMAN GERALD M (Month/Day/Year) [TEVA] 01/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O TEVA (Check all applicable) **PHARMACEUTICAL** INDUSTRIES LTD., Â 5 BASEL X Director 10% Owner STREET Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person **PETACH** Form filed by More than One TIKVA, L3Â 4951033 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities (Instr. 4) Beneficially Owned Ownership Ownership Form: (Instr. 5) (Instr. 4) Direct (D) or Indirect (I) (Instr. 5) Â D Ordinary Shares (1) 5,400 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Share Units	(2)	(2)	Ordinary Shares	2,162	\$ (3)	D	Â
Restricted Share Units	(4)	(4)	Ordinary Shares (1)	2,780	\$ <u>(3)</u>	D	Â
Restricted Share Units	(5)	(5)	Ordinary Shares (1)	7,956	\$ <u>(3)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships			
		10% Owner	Officer	Othe	
LIEBERMAN GERALD M C/O TEVA PHARMACEUTICAL INDUSTRIES LTD. 5 BASEL STREET PETACH TIKVA Â L3Â 4951033	ÂX	Â	Â	Â	

## **Signatures**

/s/ Dov Bergwerk, as attorney-in-fact for Gerald M. Lieberman

01/02/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
- (2) Restricted share units were granted on September 3, 2015 and vest on September 3, 2018.
- (3) Each restricted share unit represents a contingent right to receive, at settlement, one ordinary share or, at the option of the Human Resources and Compensation Committee, the cash value of one ordinary share.
- (4) Restricted share units were granted on May 16, 2016 and vest on May 16, 2019.
- (5) Restricted share units were granted on August 11, 2017 and vest on August 11, 2020.

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#### **Remarks:**

The Reporting Person is filing this form in connection with the Issuer's transition from a foreign p

#### Exhibit List

### Â Êxhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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