## Edgar Filing: Whitehurst James M - Form 4

| Whitehurst J  | James M  |            |   |                       |     |            |   |  |   |  |           |  |
|---|--|------------|---|-----------------------|-----|------------|---|--|---|--|-----------|--|
| Form 4<br>April 24, 20  | 18   |            |   |                       |     |            |   |  |   |  |           |  |
| FORM  |  |            |   |                       |     |            |   |  |   | OMB AF   | PROVAL    |  |
|   | UNITE  | D STATES   |   |                       |     |            |   | NGE C  | OMMISSION   | OMB<br>Number:   | 3235-0287 |  |
| Subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>Filed pursuant to 5<br>Section 17(a) of the               |  |            | Washington, D.C. 20549<br>F CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Section 16(a) of the Securities Exchange Act of 1934,<br>Public Utility Holding Company Act of 1935 or Sectior<br>of the Investment Company Act of 1940 |                       |     |            |   |  |   | Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5 |           |  |
| (Print or Type ]  | Responses)   |            |   |                       |     |            |   |  |   |  |           |  |
| 1. Name and A<br>Whitehurst   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>RED HAT INC [RHT] |            |   |                       |     | 0          | 5. Relationship of Reporting Person(s) to<br>Issuer |  |   |  |           |  |
| (Last)  | (First)  | (Middle)   | 3. Date of Earliest Transaction (Cl   |                       |     |            |   | (Check   | ck all applicable)  |  |           |  |
| C/O RED HAT, INC., 100 EAST<br>DAVIE STREET   |  |            | (Month/Day/Year)<br>04/20/2018  |                       |     |            |   |  | X Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>CEO & President        |  |           |  |
|   |  |            |   | Filed(Month/Day/Year) |     |            |   |  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |  |           |  |
| RALEIGH,  | NC 27601   |            |   |                       |     |            |   |  | Form filed by Mo<br>Person  |  |           |  |
| (City)  | (State)  | (Zip)      | Tab   | le I - Noi            | n-E | Derivative | Secur   | ities Acqu   | iired, Disposed of,   | or Beneficial  | y Owned   |  |
| 1.Title of<br>Security       2. Transaction Date       2A. Deemed         (Month/Day/Year)       Execution Date, i<br>any<br>(Month/Day/Year) |  | n Date, if | Code (Instr. 3, 4 and 5)  |                       |     |            |   | Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4)                              | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)          |           |  |
|   |  |            |   | Code                  | V   | Amount     | (D)   | Price  | (Instr. 3 and 4)  |  |           |  |
| Common<br>Stock   | 04/20/2018   |            |   | S <u>(1)</u>          |     | 28,550     | D   | \$<br>159.04<br>(2)  | 349,813   | D  |           |  |
| Common<br>Stock   | 04/20/2018   |            |   | S <u>(1)</u>          |     | 11,201     | D   | \$<br>159.93<br>(3)  | 338,612   | D  |           |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | int of<br>lying                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|---|--|---|---|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |                 |       |  |  |  |  |
|---|---------------|-----------|-----------------|-------|--|--|--|--|
|   | Director      | 10% Owner | Officer         | Other |  |  |  |  |
| Whitehurst James M<br>C/O RED HAT, INC.<br>100 EAST DAVIE STREET<br>RALEIGH, NC 27601 | X             |           | CEO & President |       |  |  |  |  |
| Signatures  |               |           |                 |       |  |  |  |  |
| /s/ Stephanie Trunk, Atty in Fac<br>UPOA  | t             | 04/24/2   | 2018            |       |  |  |  |  |

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock sale was effected pursuant to a Rule 10b5-1 trading plan effective November 7, 2017.

Represents the weighted average sale price per share. The shares were sold at prices ranging from \$158.52 - \$159.52 per share. Full
 (2) information regarding the number of shares sold at each price shall be provided upon request to the Staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

Represents the weighted average sale price per share. The shares were sold at prices ranging from \$159.55 - \$160.51 per share. Full
 (3) information regarding the number of shares sold at each price shall be provided upon request to the Staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.