Edgar Filing: McCauley Patrick - Form 4

| McCauley P Form 4 | Patrick | | | | | | | | | | |
|---|---|--|---------------------------------|--|--|-----------|------------|--|--|--|--|
| November 1 | 5, 2018 | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | OMB APPROVAL | | | |
| . • | - UNITED | STATES | | | | | NGE C | COMMISSION | OMB Number: | 3235-0287 | |
| Check th if no lon subject t Section Form 4 c | ger STATEN 16. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | January 31 2005 average irs per | |
| Form 5 obligatic may con See Instr 1(b). | Filed pur ons Section 17(| | | | | | | | | response 0.4 | |
| (Print or Type | Responses) | | | | | | | | | | |
| McCauley Patrick Sy | | | Symbol | Name and | | | g | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (I | Middle) | | Earliest Tr | • | ΠD | | (Chec | k all applicable | e) | |
| | SELL RANCH R | , | (Month/D 11/14/20 | ay/Year) | ansaction | | | Director <u>X</u> Officer (give below) Chief C | | o Owner er (specify icer | |
| | (Street) | | | ndment, Da | - | | | 6. Individual or Jo | oint/Group Filir | ng(Check | |
| WESTLAK | E VILLAGE, CA | 91362 | Filed(Mor | th/Day/Year) |) | | | Applicable Line) _X_ Form filed by C Form filed by M Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative S | ecuri | ties Acq | uired, Disposed of | , or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Executio any | med on Date, if Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit on(A) or Dis (Instr. 3, 4 | sposed | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | |
| Stock, \$0.01 Par Value | 11/14/2018 | | | А | 14,124 | А | \$ 1.77 | 45,165 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date | Amou Unde Secur | le and int of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|-----------------------|---|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | | | | |
|--|----------|-----------|--------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| McCauley Patrick 30930 RUSSELL RANCH ROAD WESTLAKE VILLAGE, CA 91362 | | | Chief Commercial Officer | | | | |
| Signatures | | | | | | | |

/s/ James Patrick 11/14/2018 McCauley Jr.

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.