

OWEN JOSEPH J
Form 4
May 19, 2011

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
OWEN JOSEPH J

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
VP - Supply Chain Mgmt

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/17/2011

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | | | | | 10,987 ⁽¹⁾ | D | |
| Common Stock | 05/17/2011 | | F | 175 ⁽²⁾ | \$ 18.78 | D | |
| Common Stock | 05/18/2011 | | F | 239 ⁽²⁾ | \$ 19.04 | D | |
| Common Stock | | | | | 2,120.213 ⁽³⁾ | D | |
| Common Stock | | | | | 1,327 | I | By spouse |

Edgar Filing: OWEN JOSEPH J - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Incentive Stock Options (Right to Buy) | \$ 10 | | | | | 08/20/2002 | 08/19/2011 | Common Stock | 10,000 |
| Incentive Stock Options (Right to Buy) | \$ 5.46 | | | | | 05/17/2005 | 05/16/2014 | Common Stock | 7,500 |
| Non-Qualified Stock Options (Right to Buy) | \$ 28.45 | | | | | 05/19/2009 | 05/18/2018 | Common Stock | 1,660 |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.27 | | | | | 05/18/2010 | 05/17/2019 | Common Stock | 4,785 |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24 | | | | | 05/17/2011 | 05/16/2020 | Common Stock | 3,516 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| OWEN JOSEPH J 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228 | | | VP - Supply Chain Mgmt | |

Signatures

Joseph J. Owen

05/19/2011

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 3,540 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 876 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/19/2011; 1,915 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/18/2011; and the remaining 1,851 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting of 463 restricted stock units on 5/17/2011 and 639 restricted stock units on 5/18/2011.
 - (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
Originally a 40,500 share stock option, reporting person exercised 10,500 options on 2/13/2006, 2,500 options on 4/11/2006, 5,000 options on 4/20/2006, 2,500 options on 2/14/2011 and 10,000 options on 4/1/2011. The remaining 10,000 options are fully exercisable, subject to IRS limitations.
 - (3) Originally a 30,000 share stock option, reporting person exercised 7,500 options on 7/28/2005, 7,500 options on 6/11/2007 and 7,500 options on 2/08/2008. The remaining 7,500 options are fully exercisable, subject to IRS limitations.
 - (4) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
 - (5) Exercisable 25% per year for four years, beginning 5/18/2010, if reporting person remains an employee of issuer.
 - (6) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
 - (7)
 - (8)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.