FAIR ISAAC CORP Form 4

August 03, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

response...

5. Relationship of Reporting Person(s) to

(Instr. 3 and 4)

6,032.5686

6,969.5686

(1)

D

D

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average burden hours per

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

**SECURITIES** 

or

(D)

A

A

Price

\$41.89

\$ 35.99

Amount

300

937

1(b).

Common

Common

Stock

Stock

08/02/2016

08/02/2016

(Print or Type Responses)

1. Name and Address of Reporting Person \*

See Instruction

	Michael S	Symbo	ol R ISAAC CORP [FICO]	Issuer	Issuer (Check all applicable)			
(Last)	(First)	(Middle) 3. Date	e of Earliest Transaction	(Circuit)	an approacto)			
181 ME	TRO DRIVE	•	h/Day/Year) 2/2016	below)	Officer (give title Other (specify			
	(Street)	4. If A	amendment, Date Original	6. Individual or Join	6. Individual or Joint/Group Filing(Check			
SAN JO	SE, CA 95110	Filed(N	Month/Day/Year)		_X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip) Ta	able I - Non-Derivative Securities	Acquired, Disposed of, o	or Beneficially	Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5) (Instr. 8) (A)	(A) or 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			or	- (-)				

Common 08/02/2016 S 1,237 D 5,732.5686 D 128.691 Stock Common 08/02/2016 S 683.5686 D 5,049 D Stock

Code V

M

M

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction  Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non Qualified Stock Option (right to buy)	\$ 41.89	08/02/2016		M	300	12/13/2013(2)	12/12/2019	Common Stock	300
Non Qualified Stock Option (right to buy)	\$ 35.99	08/02/2016		M	937	12/13/2012(2)	12/12/2018	Common Stock	937

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

Leonard Michael S
181 METRO DRIVE
SAN JOSE, CA 95110

CAO and Vice President

## **Signatures**

/s/Nancy E. Fraser,
Attorney-in-fact
08/03/2016

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes reinvested dividends from ESPP plan.
- (2) This option vests in four equal annual installments commencing on this date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.