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VanEck Vectors ETF Trust  
Form N-PX  
August 30, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act File Number: 811-10325

VanEck Vectors ETF Trust  
(Exact name of registrant as specified in charter)

666 3rd Ave - 9th Floor, New York, N.Y. 10017-4033  
(Address of Principal Executive Office)

Jan F. van Eck  
Chief Executive Officer  
VanEck Vectors ETF Trust  
666 3rd Ave - 9th Floor  
New York, NY 10017-4033  
(Name and Address of Agent for Service)

Registrants telephone number, including area code: (212) 293-2000

Date of fiscal year end: Various

Date of reporting period: July 1, 2015 through June 30, 2016

Fund Name : VanEck Vectors Emerging Markets Aggregate Bond ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Fallen Angel High Yield Bond ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors High-Yield Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free Intermediate Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free Long Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free Short Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Short High-Yield Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

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Fund Name : VanEck Vectors Pre-Refunded Municipal Index ETF

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Treasury-Hedged High Yield Bond ETF

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Investment Grade Floating Rate ETF

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors J.P. Morgan EM Local Currency Bond ETF

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors ChinaAMC China Bond ETF

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Africa Index ETF

Africa Oil Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
AOI	CINS 00829Q101	04/19/2016	Voted		
Meeting Type		Country of Trade			
Mix		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Keith C. Hill	Mgmt	For	For	For
4	Elect John H. Craig	Mgmt	For	For	For
5	Elect Gary S. Guidry	Mgmt	For	Against	Against
6	Elect Bryan Benitz	Mgmt	For	Against	Against
7	Elect Andrew D. Bartlett	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Approval of the Long Term Incentive Plan	Mgmt	For	For	For
11	Grant of Restricted and Performance Share Units Under the New Long Term Incentive Plan	Mgmt	For	For	For
12	Approval of the Stock Option	Mgmt	For	For	For

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	Plan				
13	Grant of Stock Options Under the New Stock Option Plan	Mgmt	For	For	For
14	Transaction of Other Business	Mgmt	For	Against	Against
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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African Rainbow Minerals Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ARI	CINS S01680107	12/04/2015	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Manana Bakane-Tuoane	Mgmt	For	Against	Against
2	Re-elect Anton D. Botha	Mgmt	For	For	For
3	Re-elect Alex Maditsi	Mgmt	For	Against	Against
4	Elect Thando Mkatshana	Mgmt	For	Against	Against
5	Appointment of Auditor	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Tom Boardman)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Frank Abbott)	Mgmt	For	Against	Against
8	Elect Audit and Risk Committee Member (Manana Bakane-Tuoane)	Mgmt	For	Against	Against
9	Elect Audit and Risk Committee Member (Anton Botha)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Alex Maditsi)	Mgmt	For	Against	Against
11	Elect Audit and Risk Committee Member (Rejoice Simelane)	Mgmt	For	Against	Against
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Approve Directors' Fees (Retainer and	Mgmt	For	For	For

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	Board Meeting Fees)					
14	Approve Directors' Fees (Committee Meeting Fees)	Mgmt	For	For	For	
15	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	
16	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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### Anglo American Platinum Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AMS	CINS S9122P108	04/08/2016	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Richard M.W. Dunne	Mgmt	For	For	For
2	Re-elect Rene Medori	Mgmt	For	For	For
3	Re-elect Nombulelo (Pinky) T. Moholi	Mgmt	For	For	For
4	Re-elect Dhanasagree (Daisy) Naidoo	Mgmt	For	For	For
5	Elect Ian Botha	Mgmt	For	For	For
6	Elect Andile H. Sangqu	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Richard M.W. Dunne)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Nkateko P. Mageza)	Mgmt	For	Against	Against
9	Elect Audit and Risk Committee Member (John M. Vice)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Daisy Naidoo)	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For

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12	General Authority to Issue Shares	Mgmt	For	For	For
13	Authorisation of Legal Formalities	Mgmt	For	For	For
14	Approve Remuneration Policy	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For
17	Authority to Reduce Authorised Capital	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For

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Anglo American plc

Ticker	Security ID:	Meeting Date	Meeting Status		
AAL	CINS G03764134	04/21/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Tony O'Neill	Mgmt	For	For	For
3	Elect Mark Cutifani	Mgmt	For	For	For
4	Elect Judy Dlamini	Mgmt	For	For	For
5	Elect Byron E. Grote	Mgmt	For	For	For
6	Elect Sir Philip Hampton	Mgmt	For	For	For
7	Elect Rene Medori	Mgmt	For	For	For
8	Elect Ray O'Rourke	Mgmt	For	For	For
9	Elect Sir John Parker	Mgmt	For	For	For
10	Elect Mphu Ramatlapeng	Mgmt	For	For	For
11	Elect Jim Rutherford	Mgmt	For	For	For
12	Elect Anne L. Stevens	Mgmt	For	For	For
13	Elect Jack E. Thompson	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Authority to Set Auditor's Fees	Mgmt	For	For	For
16	Remuneration Report (Advisory)	Mgmt	For	For	For
17	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	Against	Against
21	Shareholder Proposal Regarding Climate Change Reporting	ShrHoldr	For	For	For

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Anglogold Ashanti Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ANG	CUSIP 035128206	05/04/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Rhidwaan Gasant	Mgmt	For	For	For
2	Re-elect Michael J. Kirkwood	Mgmt	For	For	For
3	Re-elect Srinivasan Venkatakrisnan	Mgmt	For	For	For
4	Re-elect David Hodgson	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Rhidwaan Gasant)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Wiseman Nkuhlu)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Michael Kirkwood)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Rodney Ruston)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Albert Garner)	Mgmt	For	For	For
11	Elect Audit and Risk Committee Member (Maria	Mgmt	For	For	For

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Richter)

12	General Authority to Issue Shares	Mgmt	For	For	For
13	Approve Amendment to Share Incentive Schemes	Mgmt	For	For	For
14	Approve Amendment to Long Term Incentive Plan	Mgmt	For	For	For
15	Approve Remuneration Policy	Mgmt	For	For	For
16	Approve NEDs' Fees	Mgmt	For	For	For
17	Authority to Issue Shares for Cash	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Approve Financial Assistance	Mgmt	For	For	For
20	Authority to Create New Class of Preference Shares	Mgmt	For	For	For
21	Amendments to Memorandum	Mgmt	For	For	For
22	Authorisation of Legal Formalities	Mgmt	For	For	For

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ArcelorMittal South Africa Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ACL	CINS S05944111	09/18/2015	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Disposal	Mgmt	For	For	For
2	Approve Financial Assistance (Scheme)	Mgmt	For	For	For
3	Approve Financial Assistance (LTIP)	Mgmt	For	For	For
4	Authorisation of Legal Formalities	Mgmt	For	For	For

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Aspen Pharmacare Holdings Ltd

Ticker Security ID:

APN CINS S0754A105

Meeting Type

Annual

Meeting Date

12/07/2015

Country of Trade

South Africa

Meeting Status

Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Social and Ethics Committee Report	Mgmt	For	For	For
3	Re-elect Roy C. Andersen	Mgmt	For	For	For
4	Re-elect Douglas K. Dlamini	Mgmt	For	For	For
5	Re-elect Christopher N. Mortimer	Mgmt	For	Against	Against
6	Elect David Simon Redfern	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Roy Andersen)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (John Buchanan)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Maureen Manyama-Matome)	Mgmt	For	For	For
11	Elect Audit and Risk Committee Member (Sindi Zilwa)	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Authority to Issue Shares for Cash	Mgmt	For	For	For
14	Approve Remuneration Policy	Mgmt	For	For	For
15	Authorisation of Legal Formalities	Mgmt	For	For	For
16	Approve NEDs' Fees (Board)	Mgmt	For	For	For

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	Chairman)				
17	Approve NEDs' Fees (Board Member)	Mgmt	For	For	For
18	Approve NEDs' Fees (Audit and Risk Committee Chairman)	Mgmt	For	For	For
19	Approve NEDs' Fees (Audit and Risk Committee Member)	Mgmt	For	For	For
20	Approve NEDs' Fees (Remuneration and Nomination Committee Chairman)	Mgmt	For	For	For
21	Approve NEDs' Fees (Remuneration and Nomination Committee Member)	Mgmt	For	For	For
22	Approve NEDs' Fees (Social and Ethics Committee Chairman)	Mgmt	For	For	For
23	Approve NEDs' Fees (Social and Ethics Committee Member)	Mgmt	For	For	For
24	Approve Financial Assistance	Mgmt	For	For	For
25	Authority to Repurchase Shares	Mgmt	For	For	For

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### Attijariwafa Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
ATW	CINS V0378K121	04/28/2016	Take No Action		
Meeting Type		Country of Trade			
Annual		Morocco			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	TNA	N/A
2	Related Party Transactions	Mgmt	For	TNA	N/A
3	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
4	Ratification of Board and Auditor's Acts	Mgmt	For	TNA	N/A

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5	Directors' Fees	Mgmt	For	TNA	N/A
6	Elect Aymane Taud	Mgmt	For	TNA	N/A
7	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A
8	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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AVI Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AVI	CINS S0808A101	11/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect Michael J. Bosman	Mgmt	For	For	For
4	Re-elect Andisiwe Kawa	Mgmt	For	For	For
5	Re-elect Adriaan Nuhn	Mgmt	For	For	For
6	Re-elect Abe M. Thebyane	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Michael J. Bosman)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (James R. Hersov)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Neo P. Dongwana)	Mgmt	For	For	For
10	Approve NEDs' Fees (NED)	Mgmt	For	For	For
11	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
12	Approve NEDs' Fees (Foreign NED)	Mgmt	For	For	For
13	Approve NEDs' Fees (Remuneration, Nomination and Appointments Committee Members)	Mgmt	For	For	For
14	Approve NEDs' Fees (Audit and Risk Committee)	Mgmt	For	For	For

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Members)

15	Approve NEDs' Fees (Social and Ethics Committee Members)	Mgmt	For	For	For
16	Approve NEDs' Fees (Remuneration, Nomination and Appointments Committee Chairman)	Mgmt	For	For	For
17	Approve NEDs' Fees (Audit and Risk Committee Chairman)	Mgmt	For	For	For
18	Approve NEDs' Fees (Social and Ethics Committee Chairman)	Mgmt	For	For	For
19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Approve Remuneration Policy	Mgmt	For	For	For

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Banque Centrale Populaire		Meeting Date		Meeting Status	
Ticker	Security ID:	05/23/2016		Take No Action	
BCP	CINS V0985N104	Country of Trade			
Meeting Type		Morocco			
Ordinary					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports	Mgmt	For	TNA	N/A
3	Ratification of Board and Auditor's Acts	Mgmt	For	TNA	N/A
4	Auditor's Special Report	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Presentation of Report on Increase in Share Capital	Mgmt	For	TNA	N/A
7	Election of Director	Mgmt	For	TNA	N/A
8	Appointment of Auditor	Mgmt	For	TNA	N/A
9	Authority to Repurchase Shares	Mgmt	For	TNA	N/A

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10	Directors' Fees	Mgmt	For	TNA	N/A
11	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

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Banque Centrale Populaire					
Ticker	Security ID:	Meeting Date			Meeting Status
BCP	CINS V0985N104	10/13/2015			Take No Action
Meeting Type		Country of Trade			
Special		Morocco			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	TNA	N/A
3	Suppression of Preemptive Rights	Mgmt	For	TNA	N/A
4	Authorization of Legal Formalities Regarding Issuance of Shares	Mgmt	For	TNA	N/A
5	Amendments to Articles	Mgmt	For	TNA	N/A
6	Authorization of Legal Formalities	Mgmt	For	TNA	N/A

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Banque Marocaine Du Commerce Exterieur					
Ticker	Security ID:	Meeting Date			Meeting Status
BCE	CINS V08866143	05/30/2016			Take No Action
Meeting Type		Country of Trade			
Mix		Morocco			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports	Mgmt	For	TNA	N/A
3	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
4	Directors' Fees	Mgmt	For	TNA	N/A
5	Auditor's Report (Related Party Transactions)	Mgmt	For	TNA	N/A
6	Auditor's Report (Financial Statements)	Mgmt	For	TNA	N/A
7	Re-election of Directors	Mgmt	For	TNA	N/A
8	Resignation of Directors	Mgmt	For	TNA	N/A
9	Election of Directors	Mgmt	For	TNA	N/A
10	Ratification of Board	Mgmt	For	TNA	N/A

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Acts

11	Authority to Issue Bonds	Mgmt	For	TNA	N/A
12	Authorisation of Legal Formalities (Bond Issuance)	Mgmt	For	TNA	N/A
13	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A
14	Conversion of Subordinated Loan into Shares	Mgmt	For	TNA	N/A
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	TNA	N/A
16	Suppression of Preemptive Rights	Mgmt	For	TNA	N/A
17	Authorisation of Legal Formalities (Capital Increase)	Mgmt	For	TNA	N/A
18	Amendments to Articles	Mgmt	For	TNA	N/A
19	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A
20	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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Barclays Africa Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BGA	CINS S0850R101	05/17/2016	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor (2016 - PricewaterhouseCoopers)	Mgmt	For	For	For
3	Appointment of Auditor (2016 - Ernst & Young)	Mgmt	For	For	For
4	Appointment of Auditor (2017 - KPMG)	Mgmt	For	For	For
5	Appointment of Auditor (2017 - Ernst & Young)	Mgmt	For	For	For

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6	Re-elect Yolanda Cuba	Mgmt	For	Against	Against
7	Re-elect Mohamed J. Husain	Mgmt	For	For	For
8	Re-elect Trevor Munday	Mgmt	For	For	For
9	Re-elect Mark Merson	Mgmt	For	For	For
10	Re-elect David Hodnett	Mgmt	For	For	For
11	Elect Paul S. O'Flaherty	Mgmt	For	For	For
12	Elect Audit and Compliance Committee Member (Beggs)	Mgmt	For	For	For
13	Elect Audit and Compliance Committee Member (Husain)	Mgmt	For	For	For
14	Elect Audit and Compliance Committee Member (Munday)	Mgmt	For	For	For
15	Elect Audit and Compliance Committee Member (Darko)	Mgmt	For	For	For
16	Elect Audit and Compliance Committee Member (O'Flaherty)	Mgmt	For	For	For
17	General Authority to Issue Shares	Mgmt	For	For	For
18	Approve Remuneration Policy	Mgmt	For	For	For
19	Approve NEDs' Fees	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Approve Financial Assistance	Mgmt	For	For	For

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Barloworld Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BAW	CINS S08470189	02/03/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Neo P. Dongwana	Mgmt	For	For	For

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3	Re-elect Babalwa Ngonyama	Mgmt	For	For	For
4	Re-elect Isaac O. Shongwe	Mgmt	For	For	For
5	Re-elect Donald G. Wilson	Mgmt	For	For	For
6	Elect Audit Committee Member (Sango Ntsaluba)	Mgmt	For	For	For
7	Elect Audit Committee Member (Babalwa Ngonyama)	Mgmt	For	For	For
8	Elect Audit Committee Member (Frances Edozien)	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
12	Approve NEDs' Fees (Resident NEDs)	Mgmt	For	For	For
13	Approve NEDs' Fees (Non-Resident NEDs)	Mgmt	For	For	For
14	Approve NEDs' Fees (Resident Audit Committee Chairman)	Mgmt	For	For	For
15	Approve NEDs' Fees (Resident Audit Committee Members)	Mgmt	For	For	For
16	Approve NEDs' Fees (Non-Resident Audit Committee Members)	Mgmt	For	For	For
17	Approve NEDs' Fees (Non-Resident Remuneration Committee Chairman)	Mgmt	For	For	For
18	Approve NEDs' Fees (Resident Social, Ethics and Transformation)	Mgmt	For	For	For

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	Committee Chairman)					
19	Approve NEDs' Fees (Resident Risk and Sustainability Committee Chairman)	Mgmt	For	For	For	
20	Approve NEDs' Fees (Resident General Purposes Committee Chairman)	Mgmt	For	For	For	
21	Approve NEDs' Fees (Resident Nomination Committee Chairman)	Mgmt	For	For	For	
22	Approve NEDs' Fees (Resident Board Committee Members other than Audit Committee)	Mgmt	For	For	For	
23	Approve NEDs' Fees (Non-Resident Board Committee Members other than Audit Committee)	Mgmt	For	For	For	
24	Approve NEDs' Fees (Ad Hoc Work Performed by NEDs)	Mgmt	For	For	For	
25	Approve Financial Assistance	Mgmt	For	For	For	
26	Authority to Repurchase Shares	Mgmt	For	For	For	
27	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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Bourbon SA

Ticker	Security ID:	Meeting Date	Meeting Status		
GBB	CINS F11235136	05/26/2016	Voted		
Meeting Type		Country of Trade			
Mix		France			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Consolidated Accounts and Reports	Mgmt	For	For	For
6	Allocation of	Mgmt	For	For	For

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### Profits/Dividends

7	Acquisition	Mgmt	For	For	For
8	Related Party Transactions (Investment in Jaccar Holdings)	Mgmt	For	For	For
9	Elect Xiaowei Wang to the Board of Directors	Mgmt	For	Against	Against
10	Elect Jacques d'Armand de Chateauvieux to the Board of Directors	Mgmt	For	For	For
11	Elect Christian Lefevre to the Board of Directors	Mgmt	For	For	For
12	Remuneration of Jacques d'Armand de Chateauvieux, Chairman	Mgmt	For	For	For
13	Remuneration of Christian Lefevre, CEO	Mgmt	For	For	For
14	Remuneration of Gael Bodenes and Nicolas Malgrain, Deputy CEOs	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Authority to Cancel Shares and Reduce Capital	Mgmt	For	For	For
17	Authority to Increase Capital Through Capitalisations	Mgmt	For	For	For
18	Authority to Issue Shares and/or Convertible Securities w/ Preemptive Rights	Mgmt	For	For	For
19	Greenshoe	Mgmt	For	For	For
20	Authority to Issue Restricted Shares	Mgmt	For	Against	Against
21	Article Amendment Regarding Corporate	Mgmt	For	For	For

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Name					
22	Amendment to Article Regarding Company Management	Mgmt	For	Against	Against
23	Amendment to Article Regarding Rights and Obligations of Share Ownership	Mgmt	For	For	For
24	Authorisation of Legal Formalities	Mgmt	For	For	For

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Brait SE						
Ticker	Security ID:	Meeting Date			Meeting Status	
BAT	CINS L1201E101	07/22/2015			Voted	
Meeting Type		Country of Trade				
Annual		Malta				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Elect Phillip J. Moleketi	Mgmt	For	For	For	
3	Elect Alan S Jacobs	Mgmt	For	For	For	
4	Elect Colin D. Keogh	Mgmt	For	For	For	
5	Elect Lawrence Leon Porter	Mgmt	For	For	For	
6	Elect Chris S. Seabrooke	Mgmt	For	Against	Against	
7	Elect Hermanus R.W. Troskie	Mgmt	For	Against	Against	
8	Elect Christoffel Wiese	Mgmt	For	For	For	
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
10	Dividends on Preference Share	Mgmt	For	For	For	
11	Bonus Share Issuance/Special Dividend	Mgmt	For	For	For	
12	Authority to Repurchase Shares	Mgmt	For	For	For	
13	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For	

Brait SE

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Ticker	Security ID:	Meeting Date	Meeting Status		
BAT	CINS L1201E101	12/01/2015	Take No Action		
Meeting Type		Country of Trade			
Special		Malta			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Memorandum of Association	Mgmt	For	TNA	N/A
2	Delisting of Preference Shares	Mgmt	For	TNA	N/A
3	Authority to Repurchase Preference Shares	Mgmt	For	TNA	N/A
4	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

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### Capital Property Fund Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
CPL	CINS S1542R236	10/28/2015	Voted		
Meeting Type		Country of Trade			
Special		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Authorization of Legal Formalities	Mgmt	For	For	For

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### Capitec Bank Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
CPI	CINS S15445109	05/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Michiel S. du Pre le Roux	Mgmt	For	Against	Against
2	Re-elect John D. McKenzie	Mgmt	For	For	For
3	Re-elect Gerrit B. Pretorius	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Authority to Issue Shares pursuant to a Conversion of Loss Absorbent Capital Instruments	Mgmt	For	For	For
6	Authority to Issue	Mgmt	For	For	For

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	Shares for Cash				
7	Authority to Issue Shares Pursuant to Rights Offer	Mgmt	For	For	For
8	Approve Remuneration Policy	Mgmt	For	For	For
9	Approve NEDs' Fees	Mgmt	For	For	For
10	Authority to Repurchase Shares	Mgmt	For	For	For
11	Approve Financial Assistance	Mgmt	For	For	For
12	Amendments to Memorandum	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Centamin Plc

Ticker	Security ID:	Meeting Date	Meeting Status			
CEY	CINS G2055Q105	05/11/2016	Voted			
Meeting Type		Country of Trade				
Annual		Jersey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Remuneration Report (Advisory)	Mgmt	For	For	For	
4	Remuneration Policy (Advisory -- Non-UK Issuer)	Mgmt	For	For	For	
5	Elect Josef El-Raghy	Mgmt	For	For	For	
6	Elect Andrew M. Pardey	Mgmt	For	For	For	
7	Elect Trevor Schultz	Mgmt	For	For	For	
8	Elect Gordon E. Haslam	Mgmt	For	For	For	
9	Elect Mark R. Arnesen	Mgmt	For	For	For	
10	Elect Mark Bankes	Mgmt	For	For	For	
11	Elect Kevin M. Tomlinson	Mgmt	For	For	For	
12	Appointment of Auditor	Mgmt	For	For	For	
13	Authority to Set Auditor's Fees	Mgmt	For	For	For	
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	

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15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For

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Clicks Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CLS	CINS S17249111	01/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect David Nurek	Mgmt	For	Against	Against
4	Re-elect Fatima Jakoet	Mgmt	For	For	For
5	Re-elect David Kneale	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (John Bester)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Fatima Jakoet)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Nkaki Matlala)	Mgmt	For	Against	Against
9	Approve Remuneration Policy	Mgmt	For	For	For
10	Authority to Repurchase Shares	Mgmt	For	For	For
11	Approve NEDs' Fees	Mgmt	For	For	For
12	Approve Financial Assistance	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Commercial International Bank of Egypt

Ticker	Security ID:	Meeting Date	Meeting Status		
COMI	CINS 201712205	03/07/2016	Voted		
Meeting Type	Country of Trade				
Mix	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Presentation of Directors' Report	Mgmt	For	For	For

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2	Presentation of Auditor's Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends; Employee Profit-Sharing Plan	Mgmt	For	Abstain	Against
5	Ratification of Board Acts; Directors' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
7	Charitable Donations	Mgmt	For	For	For
8	Presentation of Information on Committee Members' Fees	Mgmt	For	For	For
9	Changes in Board Composition	Mgmt	For	For	For
10	Stock Option Plan	Mgmt	For	Abstain	Against
11	Amendments to Articles	Mgmt	For	Against	Against
12	Authority to Issue Bonds and Subordinated Loans	Mgmt	For	For	For

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Commercial International Bank of Egypt

Ticker	Security ID:	Meeting Date	Meeting Status		
COMI	CINS 201712205	03/21/2016	Voted		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	Abstain	Against
2	Amendments to Articles	Mgmt	For	Against	Against
3	Authority to Issue Bonds or Subordinated Loans	Mgmt	For	For	For

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Coronation Fund Managers Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
CML	CINS S19537109	01/12/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Re-elect Shams Pather	Mgmt	For	Against	Against
2	Re-elect Anton Pillay	Mgmt	For	For	For
3	Re-elect John Snalam	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (Alexandra Watson)	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Shams Pather)	Mgmt	For	Against	Against
7	Elect Audit and Risk Committee Member (Jock McKenzie)	Mgmt	For	For	For
8	Approve NEDs' Fees	Mgmt	For	For	For
9	Authority to Repurchase Shares	Mgmt	For	For	For

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Discovery Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
DSY	CINS S2192Y109	12/01/2015	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Elect Audit and Risk Committee Member (Les Owen)	Mgmt	For	For	For
4	Elect Audit and Risk Committee Member (Sindi Zilwa)	Mgmt	For	Against	Against
5	Elect Audit and Risk Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against
6	Elect Audit and Risk Committee Member (Jannie Durand)	Mgmt	For	For	For
7	Re-elect Monty I. Hilkowitz	Mgmt	For	For	For
8	Re-elect Brian A. Brink	Mgmt	For	Against	Against
9	Re-elect Jannie J. Durand	Mgmt	For	For	For

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10	Re-elect Steven B. Epstein	Mgmt	For	Against	Against
11	Re-elect Sindi V. Zilwa	Mgmt	For	Against	Against
12	Elect Faith Khanyile	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	Against	Against
14	Authorisation of Legal Formalities	Mgmt	For	For	For
15	General Authority to Issue A Preference Shares	Mgmt	For	For	For
16	General Authority to Issue B Preference Shares	Mgmt	For	For	For
17	General Authority to Issue C Preference Shares	Mgmt	For	For	For
18	Approve NEDs' Fees	Mgmt	For	For	For
19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Approve Financial Assistance	Mgmt	For	For	For
21	Amendments to Memorandum of Incorporation	Mgmt	For	For	For

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EFG-Hermes Holding Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
HRHO	CINS M3047P109	04/19/2016		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Ratification of Board Acts; Changes in Board Composition	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
8	Charitable Donations	Mgmt	For	TNA	N/A

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EFG-Hermes Holding Company					
Ticker	Security ID:	Meeting Date		Meeting Status	

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HRHO	CINS M3047P109	11/01/2015	Take No Action		
Meeting Type		Country of Trade			
Special		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Cancellation of Shares; Authority to Reduce Share Capital	Mgmt	For	TNA	N/A
3	Amendments to Articles	Mgmt	For	TNA	N/A

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EFG-Hermes Holding Company					
Ticker	Security ID:	Meeting Date	Meeting Status		
HRHO	CINS M3047P109	11/16/2015	Take No Action		
Meeting Type		Country of Trade			
Special		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Cancellation of Shares; Authority to Reduce Share Capital	Mgmt	For	TNA	N/A
4	Amendments to Articles	Mgmt	For	TNA	N/A

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Egypt Kuwait Holding Co.					
Ticker	Security ID:	Meeting Date	Meeting Status		
EKHO	CINS M3400B101	03/15/2016	Take No Action		
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Election of Directors	Mgmt	For	TNA	N/A

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Exxaro Resources Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
EXX	CINS S26949107	05/26/2016	Voted		

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Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Monhla W. Hlahla	Mgmt	For	For	For	
2	Elect Saleh Mayet	Mgmt	For	For	For	
3	Elect Mxolisi Mgojo	Mgmt	For	For	For	
4	Re-elect Vincent Mntambo	Mgmt	For	For	For	
5	Re-elect Fazel Randera	Mgmt	For	For	For	
6	Elect Audit Committee Member (Constantinus Fauconnier)	Mgmt	For	For	For	
7	Elect of Audit Committee Member (Vuyisa Nkonyeni)	Mgmt	For	For	For	
8	Elect Audit Committee Member (Jeffrey van Rooyen)	Mgmt	For	For	For	
9	Elect Social and Ethics Committee Member (Salukazi Dakile-Hlongwane)	Mgmt	For	For	For	
10	Elect Social and Ethics Committee Member (Constatinus Fauconnier)	Mgmt	For	For	For	
11	Elect Social and Ethics Committee Member (Fazel Randera)	Mgmt	For	For	For	
12	Approve Remuneration Policy	Mgmt	For	For	For	
13	Amendment to the 2006 Long-Term Incentive Plan	Mgmt	For	For	For	
14	Amendment to the 2006 Deferred Bonus Plan	Mgmt	For	For	For	
15	Appointment of Auditor	Mgmt	For	For	For	
16	Authorisation of Legal Formalities	Mgmt	For	For	For	
17	Approve NEDs' Fees	Mgmt	For	For	For	
18	Authority to Repurchase Shares	Mgmt	For	For	For	
19	Approve Financial Assistance (Section	Mgmt	For	For	For	

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44)

20 Approve Financial Assistance (Section 45) Mgmt For For For

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Ezz Steel Co SAE

Ticker Security ID: Meeting Date Meeting Status  
 ESRS CINS M07095108 07/01/2015 Take No Action  
 Meeting Type Country of Trade  
 Ordinary Egypt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Election of Directors	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Related Party Transactions	Mgmt	For	TNA	N/A
10	Charitable Donations	Mgmt	For	TNA	N/A
11	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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FBN Holdings Plc

Ticker Security ID: Meeting Date Meeting Status  
 FBNH CINS V342A5109 05/26/2016 Voted  
 Meeting Type Country of Trade  
 Annual Nigeria

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Muhammad Kabiru Ahmad	Mgmt	For	For	For
4	Elect Urum Eke	Mgmt	For	For	For
5	Elect Adesola Adeduntan	Mgmt	For	For	For
6	Elect Chidi Anya	Mgmt	For	For	For
7	Elect Oba Otudeko	Mgmt	For	For	For
8	Authority to Set Auditor's Fees	Mgmt	For	For	For
9	Election of Statutory Audit Committee Members	Mgmt	For	For	For
10	Reduction of Share	Mgmt	For	For	For

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Premium  
Account

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First Quantum Minerals Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
FM	CINS 335934105	05/05/2016	Voted
Meeting Type		Country of Trade	
Annual		Canada	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

  

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Philip K.R. Pascall	Mgmt	For	For	For
4	Elect G. Clive Newall	Mgmt	For	For	For
5	Elect Martin R. Rowley	Mgmt	For	Against	Against
6	Elect Peter St. George	Mgmt	For	For	For
7	Elect Andrew B. Adams	Mgmt	For	For	For
8	Elect Paul Brunner	Mgmt	For	For	For
9	Elect Robert J. Harding	Mgmt	For	For	For
10	Elect Martin Schady	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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FirstRand Limited

Ticker	Security ID:	Meeting Date	Meeting Status
FSR	CINS S5202Z131	12/01/2015	Voted
Meeting Type		Country of Trade	
Annual		South Africa	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

  

1	Re-elect Jan J. Durand	Mgmt	For	For	For
2	Re-elect Patrick M. Goss	Mgmt	For	Against	Against
3	Re-elect Paul K. Harris	Mgmt	For	For	For
4	Re-elect Roger W. Jardine	Mgmt	For	For	For
5	Re-elect Ethel G. Matenge-Sebesho	Mgmt	For	For	For
6	Re-elect Amanda T. Nzimande	Mgmt	For	For	For
7	Re-elect Vivian W. Bartlett	Mgmt	For	Against	Against
8	Elect Alan P. Pullinger	Mgmt	For	For	For
9	Elect Paballo J. Makosholo	Mgmt	For	For	For

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10	Appointment of Deloitte & Touche	Mgmt	For	For	For
11	Appointment of PricewaterhouseCoopers Inc.	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	Against	Against
13	General Authority to Issue Shares	Mgmt	For	For	For
14	Authority to Issue Shares for Cash	Mgmt	For	For	For
15	Authorisation of Legal Formalities	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For
17	Approve Financial Assistance (Prescribed Officers)	Mgmt	For	For	For
18	Approve Financial Assistance (Related and Inter-related companies)	Mgmt	For	For	For
19	Approve NEDs' Fees	Mgmt	For	For	For
20	Adopt New Memorandum	Mgmt	For	Against	Against

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Global Telecom Holding						
Ticker	Security ID:	Meeting Date		Meeting Status		
GTHE	CINS 37953P202	03/31/2016		Voted		
Meeting Type		Country of Trade				
Mix		Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Financial Statements	Mgmt	For	For	For	
3	Auditor's Report	Mgmt	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against	
5	Changes to the Board	Mgmt	For	Abstain	Against	
6	Ratification of Board Acts	Mgmt	For	For	For	
7	Directors' Fees	Mgmt	For	Abstain	Against	
8	Charitable Donations	Mgmt	For	Abstain	Against	
9	Related Party	Mgmt	For	Abstain	Against	

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### Transactions

10	Amendments to Articles	Mgmt	For	Abstain	Against
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Gold Fields Limited

Ticker	Security ID:	Meeting Date	Meeting Status
GFI	CUSIP 38059T106	05/18/2016	Voted

Meeting Type	Country of Trade
Annual	United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Appointment of Auditor	Mgmt	For	For	For
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2	Elect Steven P. Reid	Mgmt	For	For	For
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3	Re-elect Gayle M. Wilson	Mgmt	For	For	For
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4	Re-elect David N. Murray	Mgmt	For	For	For
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5	Re-elect Donald M.J. Ncube	Mgmt	For	For	For
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6	Re-elect Alan R. Hill	Mgmt	For	For	For
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7	Elect Audit Committee Members (Gayle Wilson)	Mgmt	For	For	For
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8	Elect Audit Committee Member (Richard Menell)	Mgmt	For	For	For
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9	Elect Audit Committee Members (Donald Ncube)	Mgmt	For	For	For
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10	General Authority to Issue Shares	Mgmt	For	For	For
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11	Authority to Issue Shares for Cash	Mgmt	For	For	For
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12	Approve NEDs' Fees	Mgmt	For	For	For
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13	Approve Financial Assistance (Section 44 and 45)	Mgmt	For	For	For
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14	Authority to Repurchase Shares	Mgmt	For	For	For
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15	Approve Amendments to the 2012 Share Plan	Mgmt	For	For	For
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16	Approve Financial Assistance (Directors and/or	Mgmt	For	For	For
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Prescribed  
Officers)

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Golden Agri-Resources Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
E5H	CINS V39076134	04/21/2016		Voted		
Meeting Type		Country of Trade				
Annual		Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Directors' Fees	Mgmt	For	For	For	
4	Elect Frankle (Djafar) Widjaja	Mgmt	For	For	For	
5	Elect Rafael Buhay Concepcion, Jr.	Mgmt	For	For	For	
6	Elect HONG Pian Tee	Mgmt	For	For	For	
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
8	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For	
9	Authority to Repurchase Shares	Mgmt	For	For	For	
10	Related Party Transactions	Mgmt	For	For	For	

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Growthpoint Properties Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
GRT	CINS S3373C239	11/17/2015		Voted		
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Re-elect Mzolisi G. Diliza	Mgmt	For	For	For	
3	Re-elect Peter H. Fechter	Mgmt	For	For	For	
4	Re-elect John C. Hayward	Mgmt	For	For	For	
5	Re-elect Herman S.P. Mashaba	Mgmt	For	For	For	

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6	Elect Audit Committee Member (Lynette Finlay)	Mgmt	For	For	For
7	Elect Audit Committee Member (Peter Fechter)	Mgmt	For	For	For
8	Elect Audit Committee Member (John Hayward)	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	Against	Against
11	General Authority to Issue Shares	Mgmt	For	For	For
12	Authority to Issue Shares Pursuant to Dividend Reinvestment	Mgmt	For	For	For
13	Authority to Issue Shares for Cash	Mgmt	For	For	For
14	Social, Ethics and Transformation Committee Report	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For

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Guaranty Trust Bank plc		Meeting Date		Meeting Status	
Ticker	Security ID:	04/05/2016		Voted	
GRTB	CINS V41619103	Country of Trade			
Meeting Type		Nigeria			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors (Slate)	Mgmt	For	For	For
4	Authority to Set Auditor's Fees	Mgmt	For	For	For

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5	Elect Members of Statutory Audit Committee	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Hyprop Investments Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HYP	CINS S3723H102	11/30/2015	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Louis L.S. van der Watt	Mgmt	For	Against	Against
3	Re-elect Thabo Mokgatlha	Mgmt	For	For	For
4	Re-elect Louis Norval	Mgmt	For	Against	Against
5	Elect Audit Committee Member (Lindie Engelbrecht)	Mgmt	For	For	For
6	Elect Audit Committee Member (Gavin Tipper)	Mgmt	For	Against	Against
7	Elect Audit Committee Member (Thabo Mokgatlha)	Mgmt	For	Against	Against
8	Appointment of Auditor	Mgmt	For	For	For
9	General Authority to Issue Shares	Mgmt	For	For	For
10	Authority to Issue Shares for Cash	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For
14	Authorisation of Legal Formalities	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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IAMGOLD Corporation

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Ticker	Security ID:	Meeting Date	Meeting Status		
IMG	CUSIP 450913108	05/04/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John E. Caldwell	Mgmt	For	For	For
1.2	Elect Donald Charter	Mgmt	For	For	For
1.3	Elect Sybil Veenman	Mgmt	For	For	For
1.4	Elect Richard J. Hall	Mgmt	For	For	For
1.5	Elect Stephen J.J. Letwin	Mgmt	For	For	For
1.6	Elect Mahendra Naik	Mgmt	For	For	For
1.7	Elect Timothy R. Snider	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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### Impala Platinum Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IMP	CINS S37840113	10/06/2015	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Memorandum	Mgmt	For	For	For
2	Authority to Issue Shares for Cash (Bookbuild Placement)	Mgmt	For	For	For
3	Authority to Issue Shares for Cash to Related Party (PIC)	Mgmt	For	For	For
4	Authority to Issue Shares for Cash to Related Party (Coronation)	Mgmt	For	For	For
5	Authority to Issue Shares for Cash to Related Party (RBH)	Mgmt	For	For	For
6	AUTHORISING RESOLUTION	Mgmt	For	For	For

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### Impala Platinum Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IMP	CINS S37840113	10/21/2015	Voted		
Meeting Type		Country of Trade			

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Annual Issue No.	Description	South Africa Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Audit Committee Member (Hugh Cameron)	Mgmt	For	For	For
3	Elect Audit Committee Member (Almorie Maule)	Mgmt	For	For	For
4	Elect Audit Committee Member (Babalwa Ngonyama)	Mgmt	For	For	For
5	Approve Remuneration Policy	Mgmt	For	For	For
6	Re-elect Peter Davey	Mgmt	For	For	For
7	Re-elect Mandla Gantsho	Mgmt	For	For	For
8	Elect Nkosana Moyo	Mgmt	For	For	For
9	Elect Sydney Mufamadi	Mgmt	For	For	For
10	Re-elect Brett Nagle	Mgmt	For	For	For
11	Elect Mpho Elizabeth K. Nkeli	Mgmt	For	For	For
12	Elect Bernard Swanepoel	Mgmt	For	For	For
13	Approve Financial Assistance (Sections 44 and 45)	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For

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### Imperial Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IPL	CINS S38127122	04/29/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Small Related Party Transaction	Mgmt	For	For	For
2	Authorisation of Legal Formalities	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Imperial Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
IPL	CINS S38127122	11/03/2015	Voted
Meeting Type		Country of Trade	
Annual		South Africa	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Elect Audit Committee Member (Raboijane Kgosana)	Mgmt	For	For	For
4	Elect Audit Committee Member (Graham Dempster)	Mgmt	For	For	For
5	Elect Audit Committee Member (Thembisa Dinga)	Mgmt	For	For	For
6	Elect Audit Committee Member (Phumzile Langeni)	Mgmt	For	Against	Against
7	Elect Audit Committee Member (Roderick Sparks)	Mgmt	For	For	For
8	Elect Audit Committee Member (Younaid Waja)	Mgmt	For	For	For
9	Re-elect Osman S. Arbee	Mgmt	For	For	For
10	Re-elect Manuel P. de Canha	Mgmt	For	For	For
11	Re-elect Thembisa Dinga	Mgmt	For	For	For
12	Re-elect Valli Moosa	Mgmt	For	Against	Against
13	Re-elect Marius Swanepoel	Mgmt	For	For	For
14	Re-elect Younaid Waja	Mgmt	For	For	For
15	Elect Peter Cooper	Mgmt	For	For	For
16	Elect Graham W. Dempster	Mgmt	For	For	For
17	Elect Suresh Kana	Mgmt	For	For	For
18	Elect Raboijane M. Kgosana	Mgmt	For	For	For
19	Approve Remuneration Policy	Mgmt	For	For	For
20	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
21	Approve NEDs' Fees (Deputy Chairman)	Mgmt	For	For	For
22	Approve NEDs' Fees (Board Member)	Mgmt	For	For	For

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23	Approve NEDs' Fees (Assets and Liabilities Committee Chairman)	Mgmt	For	For	For
24	Approve NEDs' Fees (Assets and Liabilities Committee Member)	Mgmt	For	For	For
25	Approve NEDs' Fees (Audit Committee Chairman)	Mgmt	For	For	For
26	Approve NEDs' Fees (Audit Committee Member)	Mgmt	For	For	For
27	Approve NEDs' Fees (Investment Committee Chairman)	Mgmt	For	For	For
28	Approve NEDs' Fees (Investment Committee Member)	Mgmt	For	For	For
29	Approve NEDs' Fees (Risk Committee Chairman)	Mgmt	For	For	For
30	Approve NEDs' Fees (Risk Committee Member)	Mgmt	For	For	For
31	Approve NEDs' Fees (Remuneration Committee Chairman)	Mgmt	For	For	For
32	Approve NEDs' Fees (Remuneration Committee Member)	Mgmt	For	For	For
33	Approve NEDs' Fees (Nomination Committee Chairperson)	Mgmt	For	For	For
34	Approve NEDs' Fees (Nomination Committee Member)	Mgmt	For	For	For
35	Approve NEDs' Fees (Social, Ethics and Sustainability Committee Chairman)	Mgmt	For	For	For
36	Approve NEDs' Fees (Social, Ethics and Sustainability	Mgmt	For	For	For

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	Committee Member)				
37	Authority to Repurchase Shares	Mgmt	For	For	For
38	General Authority to Issue Shares	Mgmt	For	For	For
39	AUTHORITY TO ISSUE SHARES FOR CASH	Mgmt	For	For	For
40	General Authority to Issue Preference Shares	Mgmt	For	For	For
41	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
42	Approve Financial Assistance (Section 45)	Mgmt	For	For	For

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Investec plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
INVP	CINS G49188116	08/06/2015	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Glynn R. Burger	Mgmt	For	For	For
3	Elect Cheryl A. Carolus	Mgmt	For	For	For
4	Elect Perry K.O. Crosthwaite	Mgmt	For	For	For
5	Elect Hendrik du Toit	Mgmt	For	For	For
6	Elect Bradley Fried	Mgmt	For	For	For
7	Elect David Friedland	Mgmt	For	Against	Against
8	Elect Bernard Kantor	Mgmt	For	For	For
9	Elect Ian R. Kantor	Mgmt	For	For	For
10	Elect Stephen Koseff	Mgmt	For	For	For
11	Elect Peter R.S. Thomas	Mgmt	For	For	For
12	Elect Fani Titi	Mgmt	For	For	For
13	Elect Charles Jacobs	Mgmt	For	For	For
14	Elect Mark Malloch-Brown	Mgmt	For	For	For
15	Elect Khumo Shuenyane	Mgmt	For	For	For
16	Elect Zarina Bibi Mahomed Bassa	Mgmt	For	For	For
17	Elect Laurel Charmaine Bowden	Mgmt	For	For	For

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18	Remuneration Report (Advisory)	Mgmt	For	Against	Against
19	Remuneration Policy (Binding)	Mgmt	For	For	For
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
21	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
22	Audit Committee Report	Mgmt	For	For	For
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
24	Allocation of Interim Dividend for Ordinary Shareholders (Investec Limited)	Mgmt	For	For	For
25	Allocation of Final Dividend for Ordinary and Preference Shareholders (Investec Limited)	Mgmt	For	For	For
26	Appointment of Joint Auditor (Ernst & Young) (Investec Limited)	Mgmt	For	For	For
27	Appointment of Joint Auditor (KPMG) (Investec Limited)	Mgmt	For	For	For
28	General Authority to Issue Ordinary Shares (Investec Limited)	Mgmt	For	For	For
29	General Authority to Issue Preference Shares (Investec Limited)	Mgmt	For	For	For
30	General Authority to Issue Non-Participating Preference Shares (Investec Limited)	Mgmt	For	For	For
31	General Authority to Issue Special Convertible Shares (Investec Limited)	Mgmt	For	For	For
32	Authority to Repurchase Shares (Investec Limited)	Mgmt	For	For	For
33	Authority to	Mgmt	For	For	For

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	Repurchase Preference Shares (Investec Limited)				
34	Approve Financial Assistance (Investec Limited)	Mgmt	For	For	For
35	Approve NEDs' Fees (Investec Limited)	Mgmt	For	For	For
36	Amendment to Authorised Share Capital	Mgmt	For	For	For
37	Amendments to Articles (Annexure A Memorandum of Incorporation Investec Limited)	Mgmt	For	For	For
38	Amendments to Articles (Annexure B Memorandum of Incorporation Investec Limited)	Mgmt	For	For	For
39	Accounts and Reports (Investec plc)	Mgmt	For	For	For
40	Allocation of Interim Dividend (Investec plc)	Mgmt	For	For	For
41	Allocation of Final Dividend (Investec plc)	Mgmt	For	For	For

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Investec plc

Ticker	Security ID:	Meeting Date	Meeting Status		
INVP	CINS G49188116	08/06/2015	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Appointment of Auditor and Authority to Set Fees (Investec plc)	Mgmt	For	For	For
3	Authority to Issue Shares w/ Preemptive Rights (Investec plc)	Mgmt	For	For	For

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4	Authority to Repurchase Ordinary Shares (Investec plc)	Mgmt	For	For	For
5	Authority to Repurchase Preference Shares (Investec plc)	Mgmt	For	For	For
6	Authorisation of Political Donations (Investec plc)	Mgmt	For	For	For

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Investec plc

Ticker	Security ID:	Meeting Date	Meeting Status		
INVP	CINS S39081138	08/06/2015	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Glynn R. Burger	Mgmt	For	For	For
3	Elect Cheryl A. Carolus	Mgmt	For	For	For
4	Elect Perry K.O. Crosthwaite	Mgmt	For	For	For
5	Elect Hendrik du Toit	Mgmt	For	For	For
6	Elect Bradley Fried	Mgmt	For	For	For
7	Elect David Friedland	Mgmt	For	Against	Against
8	Elect Bernard Kantor	Mgmt	For	For	For
9	Elect Ian R. Kantor	Mgmt	For	For	For
10	Elect Stephen Koseff	Mgmt	For	For	For
11	Elect Peter R.S. Thomas	Mgmt	For	For	For
12	Elect Fani Titi	Mgmt	For	For	For
13	Elect Charles Jacobs	Mgmt	For	For	For
14	Elect Mark Malloch-Brown	Mgmt	For	For	For
15	Elect Khumo Shuenyane	Mgmt	For	For	For
16	Elect Zarina Bibi Mahomed Bassa	Mgmt	For	For	For
17	Elect Laurel Charmaine Bowden	Mgmt	For	For	For
18	Remuneration Report (Advisory)	Mgmt	For	Against	Against
19	Remuneration Policy (Binding)	Mgmt	For	For	For
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
21	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
22	Authorisation of Legal Formalities	Mgmt	For	For	For

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23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
24	Allocation of Interim Dividend for Ordinary Shareholders (Investec Limited)	Mgmt	For	For	For
25	Allocation of Final Dividend for Ordinary and Preference Shareholders (Investec Limited)	Mgmt	For	For	For
26	Appointment of Joint Auditor (Ernst & Young) (Investec Limited)	Mgmt	For	For	For
27	Appointment of Joint Auditor (KPMG) (Investec Limited)	Mgmt	For	For	For
28	General Authority to Issue Ordinary Shares (Investec Limited)	Mgmt	For	For	For
29	General Authority to Issue Preference Shares (Investec Limited)	Mgmt	For	For	For
30	General Authority to Issue Non-Participating Preference Shares (Investec Limited)	Mgmt	For	For	For
31	General Authority to Issue Special Convertible Shares (Investec Limited)	Mgmt	For	For	For
32	Authority to Repurchase Shares (Investec Limited)	Mgmt	For	For	For
33	Authority to Repurchase Preference Shares (Investec Limited)	Mgmt	For	For	For
34	Approve Financial Assistance (Investec Limited)	Mgmt	For	For	For
35	Approve NEDs' Fees	Mgmt	For	For	For

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	(Investec Limited)				
36	Amendment to Authorised Share Capital	Mgmt	For	For	For
37	Amendments to Articles (Annexure A Memorandum of Incorporation Investec Limited)	Mgmt	For	For	For
38	Amendments to Articles (Annexure B Memorandum of Incorporation Investec Limited)	Mgmt	For	For	For
39	Accounts and Reports (Investec plc)	Mgmt	For	For	For
40	Allocation of Interim Dividend (Investec plc)	Mgmt	For	For	For
41	Allocation of Final Dividend (Investec plc)	Mgmt	For	For	For
42	Appointment of Auditor and Authority to Set Fees (Investec plc)	Mgmt	For	For	For
43	Authority to Issue Shares w/ Preemptive Rights (Investec plc)	Mgmt	For	For	For
44	Authority to Repurchase Ordinary Shares (Investec plc)	Mgmt	For	For	For
45	Authority to Repurchase Preference Shares (Investec plc)	Mgmt	For	For	For
46	Authorisation of Political Donations (Investec plc)	Mgmt	For	For	For

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Kosmos Energy Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
KOS	CUSIP G5315B107	06/16/2016	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Andrew G. Inglis	Mgmt	For	For	For
1.2	Elect Brian F. Maxted	Mgmt	For	For	For
1.3	Elect Yves-Louis Darricarrere	Mgmt	For	For	For
1.4	Elect Sir Richard B. Dearlove	Mgmt	For	For	For
1.5	Elect David I. Foley	Mgmt	For	For	For
1.6	Elect David B. Krieger	Mgmt	For	For	For
1.7	Elect Joseph P. Landy	Mgmt	For	For	For
1.8	Elect Prakash A. Melwani	Mgmt	For	For	For
1.9	Elect Abebayo O. Ogunlesi	Mgmt	For	For	For
1.10	Elect Chris Tong	Mgmt	For	For	For
1.11	Elect Christopher A. Wright	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Liberty Holdings Limited		Meeting Date			
Ticker	Security ID:	05/20/2016		Meeting Status	
LBH	CINS S44440121			Voted	
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Susan (Santie) L. Botha	Mgmt	For	Against	Against
3	Re-elect Steven Braudo	Mgmt	For	For	For
4	Re-elect Sibusiso P. Sibisi	Mgmt	For	For	For
5	Re-elect James H. Sutcliffe	Mgmt	For	For	For
6	Elect Yunus Suleman	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	General Authority to Issue Ordinary Shares	Mgmt	For	For	For
9	General Authority to Issue Preference Shares	Mgmt	For	Against	Against

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10	Authority to Issue Shares for Cash	Mgmt	For	For	For
11	Elect Audit and Actuarial Committee Member (Mike Ilsley)	Mgmt	For	For	For
12	Elect Audit and Actuarial Committee Member (Angus W.B. Band)	Mgmt	For	For	For
13	Elect Audit and Actuarial Committee Member (Tony Cunningham)	Mgmt	For	For	For
14	Elect Audit and Actuarial Committee Member (Yunus Suleman)	Mgmt	For	For	For
15	Elect Audit and Actuarial Committee Member (James H. Sutcliffe)	Mgmt	For	For	For
16	Approve Remuneration Policy	Mgmt	For	For	For
17	Authority to Issue Shares Pursuant to Share Incentive Schemes	Mgmt	For	For	For
18	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
19	Approve NEDs' Fees (Lead Independent Director)	Mgmt	For	For	For
20	Approve NEDs' Fees (Board Member)	Mgmt	For	For	For
21	Approve NEDs' Fees (International Board Member)	Mgmt	For	For	For
22	Approve NEDs' Fees (International Board Member and Chairman of a Committee)	Mgmt	For	For	For
23	Approve NEDs' Fees (Chairman of Audit and	Mgmt	For	For	For

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	Actuarial				
24	Committee) Approve NEDs' Fees (Member of Audit and Actuarial	Mgmt	For	For	For
25	Committee) Approve NEDs' Fees (Chairman of Actuarial	Mgmt	For	For	For
26	Committee) Approve NEDs' Fees (Member of Actuarial Committee)	Mgmt	For	For	For
27	Approve NEDs' Fees (Chairman of Risk Committee)	Mgmt	For	For	For
28	Approve NEDs' Fees (Member of Risk Committee)	Mgmt	For	For	For
29	Approve NEDs' Fees (Chairman of Remuneration	Mgmt	For	For	For
30	Committee) Approve NEDs' Fees (Member of Remuneration	Mgmt	For	For	For
31	Committee) Approve NEDs' Fees (Chairman of Social, Ethics and	Mgmt	For	For	For
32	Transformation Committee) Approve NEDs' Fees (Member of Social, Ethics and	Mgmt	For	For	For
33	Transformation Committee) Approve NEDs' Fees (Member of Directors' Affairs	Mgmt	For	For	For
34	Committee) Approve NEDs' Fees (Chairman of IT Committee)	Mgmt	For	For	For
35	Approve NEDs' Fees	Mgmt	For	For	For

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(Member of IT  
Committee)

36	Approve NEDs' Fees (Chairman of STANLIB Limited  Board)	Mgmt	For	For	For
37	Approve NEDs' Fees (Member of STANLIB Limited  Board)	Mgmt	For	For	For
38	Approve NEDs' Fees (Fee per Ad Hoc Board Meeting)	Mgmt	For	For	For
39	Approve NEDs' Fees (Fee per Ad Hoc Board  Committee Meeting)	Mgmt	For	For	For
40	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
41	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
42	Authority to Repurchase Shares	Mgmt	For	For	For
43	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Life Healthcare Group Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
LHC	CINS S4682C100	01/27/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Peter Ngatane	Mgmt	For	For	For
2	Re-elect Louisa Mojela	Mgmt	For	For	For
3	Re-elect Peter J. Golesworthy	Mgmt	For	For	For
4	Re-elect Pieter van der Westhuizen	Mgmt	For	For	For
5	Elect Mpho E. Nkeli	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect Audit Committee Member (Peter J. Golesworthy)	Mgmt	For	For	For
8	Elect Audit Committee Member (Louisa	Mgmt	For	For	For

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Mojela)

9	Elect Audit Committee Member (Royden T. Vice)	Mgmt	For	For	For
10	Elect Audit Committee Member (Garth C. Solomon)	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Authority to Issue Shares for Cash	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Approve Financial Assistance	Mgmt	For	For	For
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Maroc Telecom SA (Itissalat Al-Maghrib)

Ticker	Security ID:	Meeting Date	Meeting Status		
IAM	CINS V5721T117	04/26/2016	Take No Action		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ordinary	Morocco				
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports; Ratification of Directors' Acts	Mgmt	For	TNA	N/A
3	Consolidated Financial Statements	Mgmt	For	TNA	N/A
4	Related Party Transactions	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Elect Daniel Ritz	Mgmt	For	TNA	N/A
7	Appointment of Auditor	Mgmt	For	TNA	N/A
8	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
9	Authorization of Legal Formalities	Mgmt	For	TNA	N/A

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10	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
11	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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Massmart Holdings Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
MSM	CINS S4799N122	05/26/2016		Voted	
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Raboijane M. Kgosana	Mgmt	For	For	For
2	Elect Enrique Ostale	Mgmt	For	For	For
3	Re-elect Chris S. Seabrooke	Mgmt	For	Against	Against
4	Appointment of Auditor	Mgmt	For	For	For
5	Elect Audit Committee Member (Chris S. Seabrooke)	Mgmt	For	Against	Against
6	Elect Audit Committee Member (Nolulamo Gwagwa)	Mgmt	For	Against	Against
7	Elect Audit Committee Member (Raboijane M. Kgosana)	Mgmt	For	For	For
8	Elect Audit Committee Member (Phumzile Langeni)	Mgmt	For	For	For
9	Authority to Issue Shares for Cash	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
13	Approve NEDs' Fees (Deputy Chairman)	Mgmt	For	For	For
14	Approve NEDs' Fees (Independent Director)	Mgmt	For	For	For
15	Approve NEDs' Fees (Audit and Risk Committee -	Mgmt	For	For	For

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Chairmen)

16	Approve NEDs' Fees (Other Committees - Chairmen)	Mgmt	For	For	For
17	Approve NEDs' Fees (Other Committees - Members)	Mgmt	For	For	For
18	Approve Financial Assistance	Mgmt	For	For	For

Maurel and Prom

Ticker	Security ID:	Meeting Date		Meeting Status	
MAU	CINS F60858101	12/17/2015		Voted	
Meeting Type		Country of Trade			
Mix		France			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Merger by Absorption of MPI	Mgmt	For	For	For
5	Authority to Issue Restricted Shares	Mgmt	For	Against	Against
6	Ratification of Co-option of Eloi Duverger	Mgmt	For	For	For
7	Authorization of Legal Formalities	Mgmt	For	For	For

Mediclinic International Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
MDC	CINS S48510127	07/23/2015		Voted	
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect Robert Leu	Mgmt	For	For	For
4	Re-elect Nandi Mandela	Mgmt	For	For	For
5	Re-elect Desmond Smith	Mgmt	For	For	For
6	Re-elect Pieter Uys	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Desmond Smith)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member	Mgmt	For	For	For

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(Alan Grieve)

9	Elect Audit and Risk Committee Member (Trevor Petersen)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Anton Raath)	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Authority to Issue Shares for Cash	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For

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Mediclinic International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MDC	CINS S48510127	08/11/2015	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Specific Authority to Repurchase Shares	Mgmt	For	For	For
2	Specific Authority to Issue Shares for Cash	Mgmt	For	For	For
3	Approve Financial Assistance	Mgmt	For	For	For
4	Authorisation of Legal Formalities	Mgmt	For	For	For

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Mediclinic International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MDC	CINS S48510127	12/15/2015	Voted		
Meeting Type		Country of Trade			
Special		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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						Mgmt
1	Scheme of Arrangement	Mgmt	For	For	For	For
2	Assets Transfer	Mgmt	For	For	For	For
3	Approve Financial Assistance	Mgmt	For	For	For	For
4	Revocation	Mgmt	For	For	For	For
5	Approve Secondary Listing	Mgmt	For	For	For	For
6	Authorisation of Legal Formalities	Mgmt	For	For	For	For

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MMI Holdings Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
MMI	CINS S5143R107	11/20/2015	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Elect Mary Vilakazi	Mgmt	For		For	For
2	Re-elect Frans Truter	Mgmt	For		For	For
3	Re-elect Ben van der Ross	Mgmt	For		Against	Against
4	Re-elect Khehla C. Shubane	Mgmt	For		For	For
5	Re-elect Leon Crouse	Mgmt	For		For	For
6	Re-elect Syd Muller	Mgmt	For		For	For
7	Appointment of Auditor	Mgmt	For		For	For
8	Elect Audit Committee Member (Frans Truter)	Mgmt	For		For	For
9	Elect Audit Committee Member (Syd Muller)	Mgmt	For		For	For
10	Elect Audit Committee Members (Fatima Jakoet)	Mgmt	For		For	For
11	Elect Audit Committee Members (Louis von Zeuner)	Mgmt	For		For	For
12	Approve Remuneration Policy	Mgmt	For		For	For
13	Authorisation of Legal Formalities	Mgmt	For		For	For
14	Approve NEDs' Fees (Chairperson of the Board)	Mgmt	For		For	For
15	Approve NEDs' Fees (Deputy Chairperson)	Mgmt	For		For	For

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	of the Board)				
16	Approve NEDs' Fees (Board Member)	Mgmt	For	For	For
17	Approve NEDs' Fees (Chairperson of Audit Committee)	Mgmt	For	For	For
18	Approve NEDs' Fees (Member of Audit Committee)	Mgmt	For	For	For
19	Approve NEDs' Fees (Chairperson of Actuarial Committee)	Mgmt	For	For	For
20	Approve NEDs' Fees (Member of Actuarial Committee)	Mgmt	For	For	For
21	Approve NEDs' Fees (Chairperson of Remuneration Committee)	Mgmt	For	For	For
22	Approve NEDs' Fees (Member of Remuneration Committee)	Mgmt	For	For	For
23	Approve NEDs' Fees (Chairperson of Risk, Capital and Compliance Committee)	Mgmt	For	For	For
24	Approve NEDs' Fees (Member of Risk, Capital and Compliance Committee)	Mgmt	For	For	For
25	Approve NEDs' Fees (Chairperson of Social, Ethics and Transformation) Committee	Mgmt	For	For	For
26	Approve NEDs' Fees (Member of Social, Ethics and Transformation Committee)	Mgmt	For	For	For
27	Approve NEDs' Fees (Chairperson of Nominations Committee)	Mgmt	For	For	For

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28	Approve NEDs' Fees (Member of Nominations Committee)	Mgmt	For	For	For
29	Approve NEDs' Fees (Chairperson of Fair Practices Committee)	Mgmt	For	For	For
30	Approve NEDs' Fees (Member of Fair Practices Committee)	Mgmt	For	For	For
31	Approve NEDs' Fees (Chairperson of Board for Segments and Product House)	Mgmt	For	For	For
32	Approve NEDs' Fees (Member of Board for Segments and Product House)	Mgmt	For	For	For
33	Approve NEDs' Fees (Ad Hoc Work)	Mgmt	For	For	For
34	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
35	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
36	Authority to Repurchase Shares	Mgmt	For	For	For

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Mondi plc

Ticker	Security ID:	Meeting Date	Meeting Status			
MNDI	CINS S5274K111	05/12/2016	Voted			
Meeting Type	Country of Trade	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
Annual	South Africa					
Issue No.	Description					
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Elect Dominique Reiniche	Mgmt	For	For	For	For
3	Elect Stephen C. Harris	Mgmt	For	For	For	For
4	Elect David Hathorn	Mgmt	For	For	For	For
5	Elect Andrew King	Mgmt	For	For	For	For
6	Elect John E. Nicholas	Mgmt	For	For	For	For
7	Elect Peter Oswald	Mgmt	For	For	For	For
8	Elect Fred Phaswana	Mgmt	For	For	For	For

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9	Elect Anne Quinn	Mgmt	For	For	For
10	Elect David Williams	Mgmt	For	For	For
11	Elect Audit Committee Member (Stephen Harris)	Mgmt	For	For	For
12	Elect Audit Committee Member (John Nicholas)	Mgmt	For	For	For
13	Elect Audit Committee Member (Anne Quinn)	Mgmt	For	For	For
14	Accounts and Reports (Mondi Ltd)	Mgmt	For	For	For
15	Remuneration Policy (Mondi Ltd)	Mgmt	For	For	For
16	Approve NEDs' Fees (Mondi Ltd)	Mgmt	For	For	For
17	Allocation of Profits/Dividends (Mondi Ltd)	Mgmt	For	For	For
18	Appointment of Auditor (Mondi Ltd)	Mgmt	For	For	For
19	Authority to Set Auditor's Fees (Mondi Ltd)	Mgmt	For	For	For
20	Approve Financial Assistance (Mondi Ltd)	Mgmt	For	For	For
21	General Authority to Issue Shares (Mondi Ltd)	Mgmt	For	For	For
22	General Authority to Issue Special Converting Shares (Mondi Ltd)	Mgmt	For	For	For
23	Authority to Issue Shares w/o Preemptive Rights (Mondi Ltd)	Mgmt	For	For	For
24	Authority to Repurchase Shares (Mondi Ltd)	Mgmt	For	For	For

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25	Long-Term Incentive Plan (Mondi Ltd)	Mgmt	For	For	For
26	Bonus Share Plan (Mondi Ltd)	Mgmt	For	For	For
27	Accounts and Reports (Mondi plc)	Mgmt	For	For	For
28	Remuneration Report (Mondi plc)	Mgmt	For	For	For
29	Allocation of Profits/Dividends (Mondi plc)	Mgmt	For	For	For
30	Appointment of Auditor (Mondi plc)	Mgmt	For	For	For
31	Authority to Set Auditor's Fees (Mondi plc)	Mgmt	For	For	For
32	Authority to Issue Shares w/ Preemptive Rights (Mondi plc)	Mgmt	For	For	For
33	Authority to Issue Shares w/o Preemptive Rights (Mondi plc)	Mgmt	For	For	For
34	Authority to Repurchase Shares (Mondi plc)	Mgmt	For	For	For
35	Long-Term Incentive Plan (Mondi plc)	Mgmt	For	For	For
36	Bonus Share Plan (Mondi plc)	Mgmt	For	For	For

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Mr. Price Group Limited

Ticker Security ID:  
MPC CINS S5256M101

Meeting Date  
09/01/2015

Meeting Status  
Voted

Meeting Type  
Annual

Country of Trade  
South Africa

Issue No. Description

Proponent Mgmt Rec Vote Cast For/Against Mgmt

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1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Bobby Johnston	Mgmt	For	For	For
3	Re-elect Maud Motanyane	Mgmt	For	For	For
4	Re-elect Daisy Naidoo	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Elect Audit and Compliance Committee Member (Bobby Johnston)	Mgmt	For	For	For
7	Elect Audit and Compliance Committee Member (Daisy Naidoo)	Mgmt	For	For	For
8	Elect Audit and Compliance Committee Member (Myles J.D. Ruck)	Mgmt	For	For	For
9	Elect Audit and Compliance Committee Member (John Swain)	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Report of the Social, Ethics, Transformation and Sustainability Committee	Mgmt	For	For	For
12	Authorisation of Legal Formalities	Mgmt	For	For	For
13	General Authority to Issue Shares	Mgmt	For	For	For
14	Approve NEDs' Fees (Independent Non-Executive Chairman)	Mgmt	For	For	For
15	Approve NEDs' Fees (Honorary Chairman)	Mgmt	For	For	For
16	Approve NEDs' Fees (Lead Director)	Mgmt	For	For	For
17	Approve NEDs' Fees (Director)	Mgmt	For	For	For
18	Approve NEDs' Fees (Incoming Audit and Compliance Committee Chairman)	Mgmt	For	For	For

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19	Approve NEDs' Fees (Outgoing Audit and Compliance Committee Chairman)	Mgmt	For	For	For
20	Approve NEDs' Fees (Audit and Compliance Committee Member)	Mgmt	For	For	For
21	Approve NEDs' Fees (Remuneration and Nominations Committee Chairman)	Mgmt	For	For	For
22	Approve NEDs' Fees (Remuneration and Nominations Committee Member)	Mgmt	For	For	For
23	Approve NEDs' Fees (Social, Ethics, Transformation and Sustainability Committee Chairman)	Mgmt	For	For	For
24	Approve NEDs' Fees (Social, Ethics, Transformation and Sustainability Committee Member)	Mgmt	For	For	For
25	Authority to Repurchase Shares	Mgmt	For	For	For
26	Approve Financial Assistance	Mgmt	For	For	For
27	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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MTN Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MTN	CINS S8039R108	05/25/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Azmi Mikati	Mgmt	For	Against	Against
2	Re-elect Koosum Kalyan	Mgmt	For	For	For
3	Re-elect Alan van Biljon	Mgmt	For	For	For
4	Re-elect Jeff van Rooyen	Mgmt	For	For	For
5	Elect Shaygan Kheradpir	Mgmt	For	For	For
6	Elect Audit Committee Member (Christine	Mgmt	For	For	For

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	Ramo)					
7	Elect Audit Committee Member (Nkateko P. Mageza)	Mgmt	For	Against	Against	
8	Elect Audit Committee Member (Azmi Mikati)	Mgmt	For	Against	Against	
9	Elect Audit Committee Member (Jeff van Rooyen)	Mgmt	For	For	For	
10	Appointment of Joint Auditor	Mgmt	For	For	For	
11	General Authority to Issue Shares	Mgmt	For	For	For	
12	Authority to Issue Shares for Cash	Mgmt	For	For	For	
13	Approve Remuneration Policy	Mgmt	For	For	For	
14	Authority to Repurchase Shares	Mgmt	For	For	For	
15	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	
16	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Nampak Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NPK	CINS S5326R114	02/03/2016	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Glenn fullerton	Mgmt	For	For	For
2	Re-elect Emmanuel Ikazoboh	Mgmt	For	For	For
3	Re-elect Reuel Khoza	Mgmt	For	For	For
4	Re-elect Tito Mboweni	Mgmt	For	Against	Against
5	Re-elect Ipeleng Mkhari	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect Audit Committee Member (Nosipho Molope)	Mgmt	For	For	For

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8	Elect Audit Committee Member (Roy Andersen)	Mgmt	For	For	For
9	Elect Audit Committee Member (Nopasika Lila)	Mgmt	For	For	For
10	Elect Audit Committee Member (Ipeleng Mkhari)	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Approve NEDs' Fees	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Approve Financial Assistance	Mgmt	For	For	For

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Naspers Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
NPN	CINS S53435103	08/28/2015	Voted			
Meeting Type	Country of Trade	Proponent	Mgmt	Rec	Vote Cast	For/Against
Annual	South Africa					Mgmt
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against
1	Accounts and Reports	Mgmt	For		For	For
2	Approve Allocation of Dividends	Mgmt	For		For	For
3	Appointment of Auditor	Mgmt	For		For	For
4	Elect Steve J.Z. Pacak	Mgmt	For		For	For
5	Elect Mark R. Sorour	Mgmt	For		For	For
6	Elect Jacobus (Koos) P. Bekker	Mgmt	For		For	For
7	Re-elect Craig Enenstein	Mgmt	For		For	For
8	Re-elect Don Eriksson	Mgmt	For		For	For
9	Re-elect Fred Phaswana	Mgmt	For		For	For
10	Re-elect Ben J. van der Ross	Mgmt	For		Against	Against
11	Election of Audit Committee Member (Don Eriksson)	Mgmt	For		For	For
12	Election of Audit Committee Member (Ben van der Ross)	Mgmt	For		Against	Against

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13	Election of Audit Committee Member (Rachel Jafta)	Mgmt	For	For	For
14	Approve Remuneration Policy	Mgmt	For	Against	Against
15	General Authority to Issue Shares	Mgmt	For	Against	Against
16	Authority to Issue Shares for Cash	Mgmt	For	For	For
17	Approve Restricted Stock Plan Trust Deed	Mgmt	For	For	For
18	Amendments to the Company's Share Incentive Trust Deeds and Schemes	Mgmt	For	Against	Against
19	Authorisation of Legal Formalities	Mgmt	For	For	For
20	Approve NEDs' Fees (Board - chair)	Mgmt	For	For	For
21	Approve NEDs' Fees (Board - member)	Mgmt	For	For	For
22	Approve NEDs' Fees (Audit Committee - Chair)	Mgmt	For	For	For
23	Approve NEDs' Fees (Audit Committee - Member)	Mgmt	For	For	For
24	Approve NEDs' Fees (Risk Committee - Chair)	Mgmt	For	For	For
25	Approve NEDs' Fees (Risk Committee - Member)	Mgmt	For	For	For
26	Approve NEDs' Fees (Human Resources & Remuneration Committee - Chair)	Mgmt	For	For	For
27	Approve NEDs' Fees (Human Resources & Remuneration)	Mgmt	For	For	For

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	Committee - Member)				
28	Approve NEDs' Fees (Nomination Committee - Chair)	Mgmt	For	For	For
29	Approve NEDs' Fees (Nomination Committee - Member)	Mgmt	For	For	For
30	Approve NEDs' Fees (Social & Ethics Committee - Chair)	Mgmt	For	For	For
31	Approve NEDs' Fees (Social & Ethics Committee - Member)	Mgmt	For	For	For
32	Approve NEDs' Fees (Trustees of Group Share Schemes/Other Personnel Funds)	Mgmt	For	For	For
33	Approve NEDs' Fees (Media24 Pension Fund - Chair)	Mgmt	For	For	For
34	Approve NEDs' Fees (Media24 Pension Fund - Trustee)	Mgmt	For	For	For
35	Approve NEDs' Fees (2017)	Mgmt	For	For	For
36	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
37	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
38	Authority to Repurchase N Ordinary Shares	Mgmt	For	For	For
39	Authority to Repurchase A Ordinary Shares	Mgmt	For	Against	Against

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Nedbank Group Limited

Ticker  
NED

Security ID:  
CINS S5518R104

Meeting Date  
05/05/2016

Meeting Status  
Voted

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Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Elect Bruce Hemphill	Mgmt	For	For	For	
2	Elect Stanley Subramoney	Mgmt	For	For	For	
3	Re-elect David Adomakoh	Mgmt	For	For	For	
4	Re-elect Ian Gladman	Mgmt	For	For	For	
5	Re-elect Malcolm Wyman	Mgmt	For	For	For	
6	Appointment of Auditor (Deloitte & Touche)	Mgmt	For	For	For	
7	Appointment of Auditor (KPMG)	Mgmt	For	For	For	
8	General Authority to Issue Shares	Mgmt	For	For	For	
9	Authority to Issue Preference Shares	Mgmt	For	Against	Against	
10	Approve Remuneration Policy	Mgmt	For	For	For	
11	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For	
12	Approve NEDs' Fees (Lead Independent Director)	Mgmt	For	For	For	
13	Approve NEDs' Fees (Director)	Mgmt	For	For	For	
14	Approve NEDs' Fees (Audit Committee Chair)	Mgmt	For	For	For	
15	Approve NEDs' Fees (Audit Committee Member)	Mgmt	For	For	For	
16	Approve NEDs' Fees (Credit Committee Chair)	Mgmt	For	For	For	
17	Approve NEDs' Fees (Credit Committee Member)	Mgmt	For	For	For	
18	Approve NEDs' Fees (Directors' Affairs Committee Chair)	Mgmt	For	For	For	
19	Approve NEDs' Fees	Mgmt	For	For	For	

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	(Directors' Affairs Committee Member)				
20	Approve NEDs' Fees (Information Technology Committee Chair)	Mgmt	For	For	For
21	Approve NEDs' Fees (Information Technology Committee Member)	Mgmt	For	For	For
22	Approve NEDs' Fees (Related-Party Transaction Committee Chair)	Mgmt	For	For	For
23	Approve NEDs' Fees (Related-Party Transaction Committee Member)	Mgmt	For	For	For
24	Approve NEDs' Fees (Remuneration Committee Chair)	Mgmt	For	For	For
25	Approve NEDs' Fees (Remuneration Committee Member)	Mgmt	For	For	For
26	Approve NEDs' Fees (Risk and Capital Management Committee Chair)	Mgmt	For	For	For
27	Approve NEDs' Fees (Risk and Capital Management Committee Member)	Mgmt	For	For	For
28	Approve NEDs' Fees (Transformation, Social and Ethics Committee Chair)	Mgmt	For	For	For
29	Approve NEDs' Fees (Transformation, Social and Ethics Committee Member)	Mgmt	For	For	For
30	Authority to Repurchase Shares	Mgmt	For	For	For
31	Approve Financial Assistance	Mgmt	For	For	For

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32	Amendment to Memorandum	Mgmt	For	For	For
33	Amendment to the Employee Share Schemes	Mgmt	For	For	For

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### Nestle Nigeria PLC

Ticker	Security ID:	Meeting Date	Meeting Status		
NESTLE	CINS V6702N103	05/23/2016	Voted		
Meeting Type		Country of Trade			
Annual		Nigeria			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors (Slate)	Mgmt	For	Abstain	Against
4	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
5	Election of Statutory Audit Committee Members	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Abstain	Against

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### Netcare Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NTC	CINS S5507D108	02/05/2016	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Mark Bower	Mgmt	For	For	For
3	Elect Bukelwa Bulu	Mgmt	For	For	For
4	Re-elect Meyer Kahn	Mgmt	For	For	For
5	Re-elect Martin Kuscus	Mgmt	For	For	For
6	Re-elect Kgomotso Moroka	Mgmt	For	For	For
7	Elect Audit Committee Member (Thevendrie Brewer)	Mgmt	For	For	For
8	Elect Audit Committee Member (Mark Bower)	Mgmt	For	For	For
9	Elect Audit Committee Member (Azar Jamine)	Mgmt	For	For	For

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10	Elect Audit Committee Member (Norman Weltman)	Mgmt	For	Against	Against
11	Authority to Issue Shares for Cash	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Authorisation of Legal Formalities	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For

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Netcare Limited

Ticker	Security ID:	Meeting Date	Meeting Status
NTC	CINS S5507D108	07/10/2015	Voted
Meeting Type	Country of Trade		
Ordinary	South Africa		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Restructuring/Repurchase of Shares from PCT Finco	Mgmt	For
			For
			For
2	Repurchase of Shares from HPFL Finco	Mgmt	For
			For
			For
3	Issue of Shares to PCT	Mgmt	For
4	Issue of Shares to PPT	Mgmt	For
5	Issue of Shares to HLT	Mgmt	For
6	Issue of Shares to MCT	Mgmt	For
7	Authorization of Legal Formalities	Mgmt	For
			For

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Nigerian Breweries Plc

Ticker	Security ID:	Meeting Date	Meeting Status
NB	CINS V6722M101	05/11/2016	Voted
Meeting Type	Country of Trade		
Annual	Nigeria		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
			For
2	Allocation of Profits/Dividends	Mgmt	For
			For
			For
3	Election of Directors	Mgmt	For
4	Authority to Set	Mgmt	For
			Against
			Against

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Auditor's  
Fees

5	Election of Statutory Audit Committee Members	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Abstain	Against
8	Amendments to Articles (Interim Dividends)	Mgmt	For	For	For

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Northam Platinum Limited

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
	Ticker Security ID: NHM CINS S56540156	Meeting Date 11/11/2015		Meeting Status Voted	
	Meeting Type Annual	Country of Trade South Africa			
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Brian K. Mosehla	Mgmt	For	For	For
3	Elect Michael E. Beckett	Mgmt	For	For	For
4	Elect Emily T. Kgosi	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	Abstain	Against
6	Elect Audit and Risk Committee Member (Michael Beckett)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Ralph Havenstein)	Mgmt	For	For	For
8	Election of Audit & Risk Committee Member (Emily Kgosi)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Alwyn Martin)	Mgmt	For	For	For
10	Remuneration Report	Mgmt	For	For	For
11	Ratify NED's Fees	Mgmt	For	For	For
12	Approve NED's Fees	Mgmt	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For
14	General Authority to Repurchase Shares	Mgmt	For	For	For

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Old Mutual plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
OML	CINS G67395114	06/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Bruce Hemphill	Mgmt	For	For	For
3	Elect Trevor Manuel	Mgmt	For	For	For
4	Elect Mike Arnold	Mgmt	For	For	For
5	Elect Zoe Cruz	Mgmt	For	For	For
6	Elect Alan Gillespie	Mgmt	For	For	For
7	Elect Danuta Gray	Mgmt	For	For	For
8	Elect Adiba Ighodaro	Mgmt	For	For	For
9	Elect Ingrid Johnson	Mgmt	For	For	For
10	Elect Roger Marshall	Mgmt	For	For	For
11	Elect Nkosana Moyo	Mgmt	For	For	For
12	Elect Vassi Naidoo	Mgmt	For	For	For
13	Elect Nonkululeko Nyembezi-Heita	Mgmt	For	For	For
14	Elect Patrick O'Sullivan	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Remuneration Report (Advisory)	Mgmt	For	Against	Against
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Contingent Purchase Contracts	Mgmt	For	For	For

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Old Mutual plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
OML	CINS G67395114	06/28/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Remuneration Policy (Binding)	Mgmt	For	For	For
2	Managed Separation	Mgmt	For	For	For

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### Incentive Plan

3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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#### Petra Diamonds Limited

Ticker	Security ID:	Meeting Date	Meeting Status
PDL	CINS G70278109	11/30/2015	Voted
Meeting Type		Country of Trade	
Annual		Bermuda	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Authority to Set Auditor's Fees	Mgmt	For	For	For
6	Elect Adonis Pouroulis	Mgmt	For	For	For
7	Elect Johan Dippenaar	Mgmt	For	For	For
8	Elect David Abery	Mgmt	For	For	For
9	Elect Jim Davidson	Mgmt	For	For	For
10	Elect Anthony C. Lowrie	Mgmt	For	For	For
11	Elect Patrick Bartlett	Mgmt	For	For	For
12	Elect Gordon A. K. Hamilton	Mgmt	For	For	For
13	Elect Octavia M. Matloa	Mgmt	For	For	For
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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#### Pick N Pay Stores Limited

Ticker	Security ID:	Meeting Date	Meeting Status
PIK	CINS S60947108	07/27/2015	Voted
Meeting Type		Country of Trade	
Annual		South Africa	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Hugh S. Herman	Mgmt	For	For	For
3	Re-elect David Robins	Mgmt	For	For	For
4	Re-elect Jeff van Rooyen	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (Jeff van	Mgmt	For	For	For

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	Rooyen)					
6	Elect Audit and Risk Committee Member (Hugh S. Herman)	Mgmt	For	For	For	For
7	Elect Audit and Risk Committee Member (Audrey Mothupi)	Mgmt	For	For	For	For
8	Approve Remuneration Policy	Mgmt	For	For	For	For
9	Approve NEDs' Fees	Mgmt	For	For	For	For
10	Approve Financial Assistance (Related or inter-related companies)	Mgmt	For	For	For	For
11	Approve Financial Assistance (Persons)	Mgmt	For	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For	For
13	Authorisation of Legal Formalities	Mgmt	For	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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Pioneer Food Group Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
PFG	CINS S6279F107	02/12/2016	Voted			
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For		For	For
2	Authority to Issue Shares for Cash	Mgmt	For		For	For
3	Re-elect Sylvia N. Mjoli-Mncube	Mgmt	For		For	For
4	Re-elect Zituele (KK) Combi	Mgmt	For		For	For
5	Re-elect Thys (MM) du Toit	Mgmt	For		For	For
6	Elect Norman W. Thomson	Mgmt	For		For	For
7	Elect Petruse (Piet) J. Mouton	Mgmt	For		For	For

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8	Elect Sango S. Ntsaluba	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Andile Sangqu)	Mgmt	For	Against	Against
10	Elect Audit and Risk Committee Member (Lambert Retief)	Mgmt	For	For	For
11	Elect Audit and Risk Committee Member (Sylvia Mjoli-Mncube)	Mgmt	For	For	For
12	Elect Audit and Risk Committee Member (Norman Thomson)	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
16	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
17	Authority to Repurchase Shares (General)	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Pioneer Food Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status
PFG	CINS S6279F107	02/12/2016	Voted
Meeting Type	Country of Trade		
Ordinary	South Africa		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Repurchase Shares (Pioneer Aandele Aansporingstrust)	Mgmt	For
			For
			For

PPC Limited

Ticker	Security ID:	Meeting Date	Meeting Status
PPC	CINS S64165103	01/25/2016	Voted
Meeting Type	Country of Trade		
Annual	South Africa		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Salukazi	Mgmt	For
			For
			For

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Dakile-Hlongwane

2	Re-elect Sydney Mhlarhi	Mgmt	For	For	For
3	Re-elect Tim D.A. Ross	Mgmt	For	Against	Against
4	Appointment of Auditor	Mgmt	For	For	For
5	Authority to Set Auditor's Fees	Mgmt	For	For	For
6	Elect Audit Committee Member (Bridgette Modise)	Mgmt	For	For	For
7	Elect Audit Committee Member (Todd Moyo)	Mgmt	For	For	For
8	Elect Audit Committee Member (Peter Nelson)	Mgmt	For	For	For
9	Elect Audit Committee Member (Tim D.A. Ross)	Mgmt	For	Against	Against
10	Approve Remuneration Policy	Mgmt	For	For	For
11	General Authority to Issue Shares (Safika Share Issue)	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Authority to Issue Shares for Cash	Mgmt	For	For	For
14	Approve Financial Assistance	Mgmt	For	For	For
15	Approve NEDs' Fees (Board - Chairman)	Mgmt	For	For	For
16	Approve NEDs' Fees (Board - NED)	Mgmt	For	For	For
17	Approve NEDs' Fees (Audit Committee - Chairman)	Mgmt	For	For	For
18	Approve NEDs' Fees (Audit Committee - Member)	Mgmt	For	For	For
19	Approve NEDs' Fees (Remuneration)	Mgmt	For	For	For

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	Committee - Chairman)				
20	Approve NEDs' Fees (Remuneration Committee - Member)	Mgmt	For	For	For
21	Approve NEDs' Fees (Risk and Compliance Committee - Chairman)	Mgmt	For	For	For
22	Approve NEDs' Fees (Risk and Compliance Committee - Member)	Mgmt	For	For	For
23	Approve NEDs' Fees (Social, Ethics and Transformation Committee - Chairman)	Mgmt	For	For	For
24	Approve NEDs' Fees (Social, Ethics and Transformation Committee - Member)	Mgmt	For	For	For
25	Approve NEDs' Fees (Nominations Committee - Chairman)	Mgmt	For	For	For
26	Approve NEDs' Fees (Nominations Committee - Member)	Mgmt	For	For	For
27	Approve NEDs' Fees (Investment Committee - Chairman)	Mgmt	For	For	For
28	Approve NEDs' Fees (Investment Committee - Member)	Mgmt	For	For	For
29	Approve NEDs' Fees (Special Meetings - Chairman)	Mgmt	For	For	For
30	Approve NEDs' Fees (Special Meetings - Member)	Mgmt	For	For	For
31	Approve NEDs' Fees (Lead Independent NED)	Mgmt	For	For	For

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32	Authority to Repurchase Shares	Mgmt	For	For	For
33	Authority to Issue Shares (3Q Share Issue)	Mgmt	For	For	For

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PSG Group Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PSG	CINS S5959A107	06/24/2016	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Zitulele Combi	Mgmt	For	For	For
2	Re-elect Jaap (J de V) du Toit	Mgmt	For	For	For
3	Re-elect Matthys (Thys) M. du Toit	Mgmt	For	For	For
4	Re-elect Johannes F. Mouton	Mgmt	For	For	For
5	Re-elect Willem Theron	Mgmt	For	Against	Against
6	Elect Bridgitte Mathews	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Patrick E. Burton)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Jaap du Toit)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Bridgitte Mathews)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Chris Otto)	Mgmt	For	Against	Against
11	Appointment of Auditor	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Approve NEDs' Fees	Mgmt	For	For	For
14	Approve Financial Assistance (Inter-Company)	Mgmt	For	For	For
15	Approve Financial	Mgmt	For	For	For

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Assistance (Share Acquisition)						
16	Authority to Repurchase Shares	Mgmt	For	For	For	
17	Amendments to Memorandum	Mgmt	For	For	For	
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Rand Merchant Insurance Holdings Limited							
Ticker	Security ID:	Meeting Date	Meeting Status				
RMI	CINS S6815J100	11/20/2015	Voted				
Meeting Type	Country of Trade						
Annual	South Africa	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Lauritz L. Dippenaar	Mgmt	For	For	For		
2	Re-elect Jan W. Dreyer	Mgmt	For	For	For		
3	Re-elect Jannie J. Durand	Mgmt	For	For	For		
4	Re-elect Paul K. Harris	Mgmt	For	For	For		
5	Re-elect Obakeng Phetwe	Mgmt	For	For	For		
6	APPROVE REMUNERATION POLICY	Mgmt	For	Against	Against		
7	General Authority to Issue Shares	Mgmt	For	For	For		
8	General Authority to Issue Shares for Cash	Mgmt	For	For	For		
9	Appointment of Auditor	Mgmt	For	For	For		
10	Elect Audit and Risk Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against		
11	Elect Audit and Risk Committee Member (Jan Dreyer)	Mgmt	For	Against	Against		
12	Elect Audit and Risk Committee Member (Per-Erik Lagerstrom)	Mgmt	For	Against	Against		
13	Approve NEDs' Fees	Mgmt	For	For	For		
14	Authority to Repurchase Shares	Mgmt	For	For	For		
15	Approve Financial	Mgmt	For	For	For		

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Assistance (Sections  
44 and  
45)

16	Change in Company Name	Mgmt	For	For	For
17	Authority to Create New Class of Preference Shares	Mgmt	For	For	For
18	Amendments to Memorandum	Mgmt	For	For	For

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Randgold Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status				
RRS	CUSIP 752344309	05/03/2016	Voted				
Meeting Type	Country of Trade						
Annual	United States	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	For	
3	Remuneration Report (Advisory)	Mgmt	For	For	For	For	
4	Remuneration Policy (Advisory--Non-UK Issuer)	Mgmt	For	For	For	For	
5	Elect Safiatou F. Ba-N'Daw	Mgmt	For	For	For	For	
6	Elect Mark Bristow	Mgmt	For	For	For	For	
7	Elect Norborne P. Cole, Jr.	Mgmt	For	For	For	For	
8	Elect Christopher Coleman	Mgmt	For	For	For	For	
9	Elect Kadri Dagdelen	Mgmt	For	For	For	For	
10	Elect Jamil Kassum	Mgmt	For	For	For	For	
11	Elect Jeanine Mabunda Lioko	Mgmt	For	For	For	For	
12	Elect Andrew Quinn	Mgmt	For	For	For	For	
13	Elect Graham Shuttleworth	Mgmt	For	For	For	For	
14	Appointment of Auditor	Mgmt	For	For	For	For	
15	Authority to Set Auditor's Fees	Mgmt	For	For	For	For	
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	For	

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17	Share Grants (NEDs)	Mgmt	For	For	For
18	Share Grants (Senior Independent Director)	Mgmt	For	For	For
19	Share Grants (Chairman)	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For

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### Redefine Properties Limited

Ticker	Security ID:	Meeting Date	Meeting Status					
RDF	CINS S6815L196	02/18/2016	Voted					
Meeting Type		Country of Trade						
Annual		South Africa	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For			
2	Elect Marius Barkhuysen	Mgmt	For	For	For			
3	Elect Nomalizo B. Langa-Royds	Mgmt	For	For	For			
4	Elect Phumzile Langeni	Mgmt	For	For	For			
5	Re-elect Harish K. Mehta	Mgmt	For	Against	Against			
6	Re-elect Bernard Nackan	Mgmt	For	For	For			
7	Re-elect Gunter Steffens	Mgmt	For	For	For			
8	Elect Audit and Risk Committee Member (David Nathan)	Mgmt	For	For	For			
9	Elect Audit and Risk Committee Member (Bernard Nackan)	Mgmt	For	For	For			
10	Elect Audit and Risk Committee Member (Gunter Steffens)	Mgmt	For	For	For			
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For			
12	General Authority to Issue Shares	Mgmt	For	For	For			
13	Authority to Issue Shares for Cash	Mgmt	For	For	For			

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14	Authority to Issue Shares Pursuant to Reinvestment Options	Mgmt	For	Abstain	Against
15	Approve Remuneration Policy	Mgmt	For	For	For
16	Approve NEDs' Fees	Mgmt	For	For	For
17	Approve Financial Assistance	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For

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Redefine Properties Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RDF	CINS S6815L196	07/23/2015	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	General Authority to Issue Shares	Mgmt	For	Against	Against
2	Authorisation of Legal Formalities	Mgmt	For	Against	Against

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Remgro Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
REM	CINS S6873K106	11/23/2015	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect W. E. Buhrmann	Mgmt	For	For	For
4	Re-elect Gerrit T. Ferreira	Mgmt	For	For	For
5	Re-elect Fred Robertson	Mgmt	For	For	For
6	Re-elect Johann P. Rupert	Mgmt	For	For	For
7	Re-elect Herman Wessels	Mgmt	For	For	For
8	Elect Sonja E.N. Sebotsa	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Nkateko Mageza)	Mgmt	For	Against	Against
10	Elect Audit and Risk	Mgmt	For	For	For

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	Committee Member (Phillip Moleketi)					
11	Elect Audit and Risk Committee Member (Fred Robertson)	Mgmt	For	For	For	
12	Elect Audit and Risk Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against	
13	Elect Audit and Risk Committee Member (Herman Wessels)	Mgmt	For	For	For	
14	Approve NEDs' Fees	Mgmt	For	For	For	
15	Authority to Repurchase Shares	Mgmt	For	For	For	
16	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	
17	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	

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Resilient Property Income Fund Ltd

Ticker	Security ID:	Meeting Date	Meeting Status			
RES	CINS S6917M266	11/11/2015	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Re-elect Andries de Lange	Mgmt	For	Against	Against	
2	Re-elect Spiro Noussis	Mgmt	For	For	For	
3	Re-elect Umsha Reddy	Mgmt	For	For	For	
4	Re-elect Marthin P. Greyling	Mgmt	For	For	For	
5	Re-elect Mfundiso (JJ) Njeke	Mgmt	For	Against	Against	
6	Re-elect Barry van Wyk	Mgmt	For	For	For	
7	Elect Audit Committee Member (Marthin Greyling)	Mgmt	For	For	For	
8	Elect Audit Committee Member (Bryan Hopkins)	Mgmt	For	For	For	
9	Elect Audit Committee	Mgmt	For	For	For	

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Member (Barry van Wyk  
)

10	Appointment of Auditor	Mgmt	For	For	For
11	Authority to Set Auditor's Fees	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	Against	Against
13	Authority to Issue Shares for Cash	Mgmt	For	For	For
14	Authority to Issue Shares pursuant to the BEE Scheme	Mgmt	For	For	For
15	Remuneration Report	Mgmt	For	Against	Against
16	Change in Company Name	Mgmt	For	For	For
17	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
20	Approve NEDs' Fees	Mgmt	For	For	For
21	Authorisation of Legal Formalities	Mgmt	For	For	For

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### Reunert Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RLO	CINS S69566156	02/15/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Phuti Mahanyele	Mgmt	For	For	For
2	Elect Mohini Moodley	Mgmt	For	For	For
3	Elect Nick Thomson	Mgmt	For	For	For
4	Re-elect Sean Jagoe	Mgmt	For	For	For
5	Re-elect Sarita Martin	Mgmt	For	For	For
6	Re-elect Noluthando Orleyn	Mgmt	For	For	For
7	Re-elect Brand Pretorius	Mgmt	For	For	For
8	Re-elect Mark Taylor	Mgmt	For	For	For
9	Elect Audit Committee Member (Rynhardt van	Mgmt	For	For	For

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Rooyen)

10	Elect Audit Committee Member (Tasneem Abdool-Samad)	Mgmt	For	For	For
11	Elect Audit Committee Member (Phuti Mahanyele)	Mgmt	For	For	For
12	Elect Audit Committee Member (Sarita Martin)	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Approve Remuneration Policy	Mgmt	For	For	For
15	Ratify Director's Personal Financial Interest	Mgmt	For	For	For
16	Authority to Issue Shares Pursuant to Long-Term Incentive Schemes	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Approve NEDs' Fees	Mgmt	For	For	For
19	Approve NEDs' Fees (Ad-hoc assignments)	Mgmt	For	For	For
20	Approve Financial Assistance	Mgmt	For	For	For
21	Approve Share Matching Plan	Mgmt	For	For	For
22	Authorisation of Legal Formalities	Mgmt	For	For	For

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RMB Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RMH	CINS S6992P127	11/20/2015	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Leon Crouse	Mgmt	For	For	For
2	Re-elect Lauritz L. Dippenaar	Mgmt	For	For	For
3	Re-elect Jan W. Dreyer	Mgmt	For	Against	Against
4	Re-elect Paul K. Harris	Mgmt	For	For	For
5	Re-elect Albertina Kekana	Mgmt	For	For	For

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6	Remuneration Report	Mgmt	For	Against	Against
7	General Authority to Issue Shares	Mgmt	For	For	For
8	Authority to Issue Shares for Cash	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Jan Dreyer)	Mgmt	For	Against	Against
11	Elect Audit and Risk Committee Member (Sonja	Mgmt	For	Against	Against
	Sebotsa)				
12	Elect Audit and Risk Committee Member (Per-Erik	Mgmt	For	For	For
	Lagerstrom)				
13	Approve NEDs' Fees	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Approve Financial Assistance (Sections 44 and 45)	Mgmt	For	For	For
16	Authority to Create New Class of Preference Shares	Mgmt	For	For	For
17	Amendments to Memorandum	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Royal Caribbean Cruises Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
RCL	CUSIP V7780T103	05/20/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John F. Brock	Mgmt	For	For	For
2	Elect Richard D. Fain	Mgmt	For	For	For
3	Elect William L. Kimsey	Mgmt	For	For	For
4	Elect Maritza Gomez Montiel	Mgmt	For	For	For
5	Elect Ann S. Moore	Mgmt	For	For	For
6	Elect Eyal M. Ofer	Mgmt	For	For	For

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7	Elect Thomas J. Pritzker	Mgmt	For	For	For
8	Elect William K. Reilly	Mgmt	For	For	For
9	Elect Bernt Reitan	Mgmt	For	For	For
10	Elect Vagn Ove Sorensen	Mgmt	For	For	For
11	Elect Donald Thompson	Mgmt	For	For	For
12	Elect Arne Alexander Wilhelmsen	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Amendment to the 2008 Equity Incentive Plan	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Proxy Access	ShrHoldr	N/A	For	N/A

Safaricom Limited

Ticker	Security ID:	Meeting Date	Meeting Status
SAF	CINS V74587102	09/03/2015	Voted
Meeting Type	Country of Trade		
Annual	Kenya		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Elect Susan Mudhune	Mgmt	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For

Sanlam Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
SLM	CINS S7302C137	06/08/2016	Voted
Meeting Type	Country of Trade		
Annual	South Africa		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Appointment of Auditor	Mgmt	For
3	Elect Karabo Nondumo	Mgmt	For
4	Elect Johan Van Zyl	Mgmt	For
5	Re-elect Philip de Villiers Rademeyer	Mgmt	For
6	Re-elect Rejoice Simelane	Mgmt	For
7	Re-elect Christiaan G.	Mgmt	For

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Swanepoel

8	Re-elect Ian Kirk	Mgmt	For	For	For
9	Elect Audit, Actuarial and Finance Committee Member (Paul Bradshaw)	Mgmt	For	For	For
10	Elect Audit, Actuarial and Finance Committee Member (Karabo Nondumo)	Mgmt	For	For	For
11	Elect Audit, Actuarial and Finance Committee Member (Philip de Villiers  Rademeyer)	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Ratify NEDs' Fees	Mgmt	For	For	For
14	General Authority to Issue Shares	Mgmt	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	Authorisation of Legal Formalities	Mgmt	For	For	For
17	Approve NEDs' Fees	Mgmt	For	For	For
18	Authority to Cancel Authorised "A" and "B" Deferred Shares	Mgmt	For	For	For
19	Authority to Repurchase Shares	Mgmt	For	For	For

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Sappi Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SAP	CINS S73544108	02/10/2016	Voted		
Meeting Type	Country of Trade		Vote Cast		
Annual	South Africa		For/Against		
Issue No.	Description	Proponent	Mgmt	Rec	Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Robertus J. M. Renders	Mgmt	For	For	For
3	Re-elect Godefridus P.F. Beurskens	Mgmt	For	For	For
4	Re-elect Stephen R.	Mgmt	For	For	For

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	Binnie					
5	Re-elect Robert J. DeKoch	Mgmt	For	For	For	
6	Re-elect Karen R. Osar	Mgmt	For	For	For	
7	Re-elect Rudolf Thummer	Mgmt	For	For	For	
8	Elect Audit Committee Member (Len Konar)	Mgmt	For	Against	Against	
9	Elect Audit Committee Member (Godefridus P.F. Beurskens)	Mgmt	For	For	For	
10	Elect Audit Committee Member (Michael A. Fallon)	Mgmt	For	For	For	
11	Elect Audit Committee Member (Nkateko P. Mageza)	Mgmt	For	Against	Against	
12	Elect Audit Committee Member (Karen R. Osar)	Mgmt	For	For	For	
13	Appointment of Auditor	Mgmt	For	For	For	
14	Authority to Issue Shares Pursuant to Incentive Plan	Mgmt	For	For	For	
15	Authority to Issue Shares Pursuant to Scheme	Mgmt	For	For	For	
16	Approve Remuneration Policy	Mgmt	For	For	For	
17	Approve NEDs' Fees	Mgmt	For	For	For	
18	Approve Financial Assistance	Mgmt	For	For	For	
19	Authorisation of Legal Formalities	Mgmt	For	For	For	

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Sasol Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
SOL	CUSIP 803866300	12/04/2015	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Re-elect Nolitha Fakude	Mgmt	For	For	For	
2	Re-elect Mandla Gantsho	Mgmt	For	For	For	
3	Re-elect Imogen Mkhize	Mgmt	For	For	For	
4	Re-elect Stephen Westwell	Mgmt	For	For	For	

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5	Appointment of Auditor	Mgmt	For	For	For
6	Elect Audit Committee Member (Colin Beggs)	Mgmt	For	For	For
7	Elect Audit Committee Member (Nomgando Matyumza)	Mgmt	For	For	For
8	Elect Audit Committee Member (Imogen Mkhize)	Mgmt	For	For	For
9	Elect Audit Committee Member (Johnson Njeke)	Mgmt	For	Against	Against
10	Elect Audit Committee Member (Stephen Westwell)	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Approve NEDs' Fees	Mgmt	For	For	For
13	General Authority to Repurchase Shares	Mgmt	For	For	For
14	Specific Authority to Repurchase Shares	Mgmt	For	For	For

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SEMAFO Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SMF	CINS 816922108	05/12/2016	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Terence F. Bowles	Mgmt	For	For	For
3	Elect Benoit Desormeaux	Mgmt	For	For	For
4	Elect Flore Konan	Mgmt	For	For	For
5	Elect Jean Lamarre	Mgmt	For	For	For
6	Elect John LeBoutillier	Mgmt	For	For	For
7	Elect Gilles Masson	Mgmt	For	For	For
8	Elect Lawrence I. McBrearty	Mgmt	For	For	For
9	Elect Tertius Zongo	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Advisory Resolution on Approach to Executive Compensation	Mgmt	For	For	For

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Shoprite Holdings Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
SHP	CINS S76263102	10/19/2015	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect Christoffel Wiese	Mgmt	For	Against	Against
4	Re-elect EC Kieswetter	Mgmt	For	Against	Against
5	Re-elect JA Louw	Mgmt	For	Against	Against
6	Elect Audit and Risk Committee Chair (JF Bason)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (JA Louw)	Mgmt	For	Against	Against
8	Elect Audit and Risk Committee Member (JJ Fouche)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (JA Rock)	Mgmt	For	For	For
10	General Authority to Issue Shares	Mgmt	For	For	For
11	Authority to Issue Shares for Cash	Mgmt	For	For	For
12	Authorisation of Legal Formalities	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	Against	Against
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
16	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Schemes of Arrangement (SHP2 Preference Shares)	Mgmt	For	For	For
19	Scheme of Arrangement	Mgmt	For	For	For

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	(SHP3 Preference Shares)				
20	Scheme of Arrangement (SHP4 Preference Shares)	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sibanye Gold Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SGL	CUSIP 825724206	01/18/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval for the allotment and issue of Sibanye Shares,  including in particular but not limited to the Consideration Shares, as required  by and in terms of section 41(3) of the Companies Act	Mgmt	For	For	For
2	Rustenburg Acquisition	Mgmt	For	For	For
3	Authorization of Legal Formalities	Mgmt	For	For	For

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Sibanye Gold Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SGL	CUSIP 825724206	05/24/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve NEDs' Fees	Mgmt	For	For	For
2	Approve Financial Assistance	Mgmt	For	For	For
3	Amendment to Memorandum	Mgmt	For	For	For
4	Authority to Repurchase Shares	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Re-elect Barry E. Davison	Mgmt	For	For	For

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7	Re-elect Neal J. Froneman	Mgmt	For	For	For
8	Re-elect Nkosemntu G. Nika	Mgmt	For	For	For
9	Re-elect Susan C. van der Merwe	Mgmt	For	For	For
10	Elect Jiyu Yuan	Mgmt	For	For	For
11	Elect Audit Committee Member (Keith A. Rayner)	Mgmt	For	For	For
12	Elect Audit Committee Member (Richard P. Menell)	Mgmt	For	For	For
13	Elect Audit Committee Member (Nkosemntu G. Nika)	Mgmt	For	For	For
14	Elect Audit Committee Member (Susan C. van der Merwe)	Mgmt	For	For	For
15	General Authority to Issue Shares	Mgmt	For	For	For
16	Authority to Issue Shares for Cash	Mgmt	For	For	For
17	Approve Remuneration Policy	Mgmt	For	For	For

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Standard Bank Group Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
SBK	CINS S80605140	05/26/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Arno Daehnke	Mgmt	For	For	For
3	Elect Martin Oduor-Otieno	Mgmt	For	For	For
4	Re-elect Myles J.D. Ruck	Mgmt	For	For	For
5	Re-elect Peter D. Sullivan	Mgmt	For	For	For
6	Re-elect WANG Wenbin	Mgmt	For	For	For
7	Re-elect Ted Woods	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For

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(KPMG)

9	Appointment of Auditor (PricewaterhouseCoopers)	Mgmt	For	For	For
10	General Authority to Issue Ordinary Shares	Mgmt	For	For	For
11	General Authority to Issue Preference Shares	Mgmt	For	Against	Against
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
14	Approve NEDs' Fees (Director)	Mgmt	For	For	For
15	Approve NEDs' Fees (International Director)	Mgmt	For	For	For
16	Approve NEDs' Fees (Directors' Affairs Committee - Chairman)	Mgmt	For	For	For
17	Approve NEDs' Fees (Directors' Affairs Committee - Member)	Mgmt	For	For	For
18	Approve NEDs' Fees (Risk and Capital Management Committee - Chairman)	Mgmt	For	For	For
19	Approve NEDs' Fees (Risk and Capital Management Committee - Member)	Mgmt	For	For	For
20	Approve NEDs' Fees (Remuneration Committee - Chairman)	Mgmt	For	For	For
21	Approve NEDs' Fees (Remuneration Committee - Member)	Mgmt	For	For	For
22	Approve NEDs' Fees (Social and Ethics Committee -	Mgmt	For	For	For

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	Chairman)					
23	Approve NEDs' Fees (Social and Ethics Committee - Member)	Mgmt	For	For	For	
24	Approve NEDs' Fees (Audit Committee - Chairman)	Mgmt	For	For	For	
25	Approve NEDs' Fees (Audit Committee - Member)	Mgmt	For	For	For	
26	Approve NEDs' Fees (IT Committee - Chairman)	Mgmt	For	For	For	
27	Approve NEDs' Fees (IT Committee - Member)	Mgmt	For	For	For	
28	Approve NEDs' Fees (Ad Hoc Meeting Attendance)	Mgmt	For	For	For	
29	Authority to Repurchase Ordinary Shares	Mgmt	For	For	For	
30	Authority to Repurchase Preference Shares	Mgmt	For	For	For	
31	Approve Financial Assistance	Mgmt	For	For	For	
32	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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### Steinhoff International Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
SNH	CINS N8248H102	05/30/2016	Take No Action			
Meeting Type		Country of Trade				
Special		Netherlands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
2	Amendments to Articles	Mgmt	For	TNA	N/A	
3	Resignation of Supervisory Board Members	Mgmt	For	TNA	N/A	
4	Ratification of Supervisory Board Members (DC Brink, JF Mouton and PDJ van den Bosch)	Mgmt	For	TNA	N/A	
5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	

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6	Elect Claas E. Daun	Mgmt	For	TNA	N/A
7	Elect Bruno E. Steinhoff	Mgmt	For	TNA	N/A
8	Elect Christo Wiese	Mgmt	For	TNA	N/A
9	Elect Deenadayalen (Len) Konar	Mgmt	For	TNA	N/A
10	Elect Heather Joan Sonn	Mgmt	For	TNA	N/A
11	Elect Thierry Guibert	Mgmt	For	TNA	N/A
12	Elect Angela Kruger-Steinhoff	Mgmt	For	TNA	N/A
13	Elect Jacob Daniel Wiese	Mgmt	For	TNA	N/A
14	Elect Steve F. Booyesen	Mgmt	For	TNA	N/A
15	Elect Marthinus 'Theunie' Lategan	Mgmt	For	TNA	N/A
16	Elect Johan Van Zyl	Mgmt	For	TNA	N/A
17	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
18	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
19	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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### Steinhoff International Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SHF	CINS S8217G106	09/07/2015	Voted		
Meeting Type	Country of Trade				
Court	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve Scheme of Arrangement	Mgmt	For	For	For
2	Amend Articles of Association	Mgmt	For	For	For
3	Authority to Repurchase Shares	Mgmt	For	For	For
4	Approve Secondary Listing	Mgmt	For	For	For
5	Authorisation of Legal Formalities	Mgmt	For	For	For

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### Sun International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SUI	CINS S8250P120	11/02/2015	Voted		
Meeting Type	Country of Trade				
Ordinary	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For

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2	Authority for Directors to Implement Proposal 1	Mgmt	For	For	For
3	Put and Call Options	Mgmt	For	For	For
4	Authority for Directors to Implement Proposal 3	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sun International Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
SUI	CINS S8250P120	11/23/2015	Voted			
Meeting Type	Country of Trade	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Re-elect Peter Bacon	Mgmt	For		For	For
2	Re-elect Nolulamo Gwagwa	Mgmt	For		For	For
3	Re-elect Louisa Mojela	Mgmt	For		For	For
4	Elect Audit Committee Member (Peter Bacon)	Mgmt	For		For	For
5	Elect Audit Committee Member (Zarina Bassa)	Mgmt	For		Against	Against
6	Elect Audit Committee Member (Leon Campher)	Mgmt	For		For	For
7	Elect Audit Committee Member (Graham Rosenthal)	Mgmt	For		For	For
8	Approve Remuneration Policy	Mgmt	For		For	For
9	Appointment of Auditor	Mgmt	For		For	For
10	Approve Financial Assistance (Section 44)	Mgmt	For		For	For
11	Approve Financial Assistance (Section 45)	Mgmt	For		For	For
12	Authority to Repurchase Shares	Mgmt	For		For	For
13	Authorisation of Legal Formalities	Mgmt	For		For	For

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Talaat Moustafa Group (TMG) Holding

Ticker	Security ID:	Meeting Date	Meeting Status
TMGH	CINS M8763H108	03/26/2016	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
7	Election of Directors	Mgmt	For	TNA	N/A
8	Directors' Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Related Party Transactions	Mgmt	For	TNA	N/A

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Telecom Egypt

Ticker	Security ID:	Meeting Date	Meeting Status
ETEL	CINS M87886103	08/11/2015	Take No Action
Meeting Type	Country of Trade		
Special	Egypt		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Amendments to Articles	Mgmt	For	TNA	N/A

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Telecom Egypt

Ticker	Security ID:	Meeting Date	Meeting Status
ETEL	CINS M87886103	08/11/2015	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Changes to Board Composition	Mgmt	For	TNA	N/A
3	Election of Directors	Mgmt	For	TNA	N/A
4	Board Transactions	Mgmt	For	TNA	N/A

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Telkom SA Limited

Ticker	Security ID:	Meeting Date	Meeting Status
TKG	CINS S84197102	08/26/2015	Voted
Meeting Type	Country of Trade		
Annual	South Africa		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Graham W. Dempster	Mgmt	For	For	For
2	Elect Thembisa Dinga	Mgmt	For	For	For
3	Elect Nunu Ntshingila	Mgmt	For	For	For
4	Elect Rex G. Tomlinson	Mgmt	For	For	For
5	Re-elect Susan (Santie) L. Botha	Mgmt	For	Against	Against
6	Re-elect Khanyisile T. Kweyama	Mgmt	For	For	For
7	Re-elect Fagmeedah Petersen-Lurie	Mgmt	For	For	For
8	Re-elect Louis von Zeuner	Mgmt	For	For	For
9	Elect Audit Committee Member (Itumeleng Kgaboesele)	Mgmt	For	For	For
10	Elect Audit Committee Member (Kholeka W. Mzondeki)	Mgmt	For	For	For
11	Elect Audit Committee Member (Louis von Zeuner)	Mgmt	For	For	For
12	Elect Audit Committee Member (Thembisa Dinga)	Mgmt	For	For	For
13	Elect Audit Committee Member (Rex G. Tomlinson)	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	General Authority to Issue Shares	Mgmt	For	For	For
16	Approve Remuneration Policy	Mgmt	For	Against	Against
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Authority to Issue Shares for Cash	Mgmt	For	For	For
19	Approve NEDs' Fees	Mgmt	For	For	For
20	Approve Financial Assistance	Mgmt	For	For	For

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The Bidvest Group Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
BVT	CINS S1201R162	05/16/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Spin-off	Mgmt	For	For	For
2	Approve Amendments to Incentive Scheme	Mgmt	For	For	For
3	Approve Share Appreciation Rights Plan	Mgmt	For	For	For
4	Authorisation of Legal Formalities	Mgmt	For	For	For

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The Bidvest Group Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
BVT	CINS S1201R162	11/23/2015	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Gillian McMahon	Mgmt	For	For	For
3	Re-elect Paul Cambo Baloyi	Mgmt	For	Against	Against
4	Re-elect Alfred A. da Costa	Mgmt	For	For	For
5	Re-elect Eric K. Diack	Mgmt	For	For	For
6	Re-elect Alexander Komape Maditsi	Mgmt	For	For	For
7	Re-elect Nigel G. Payne	Mgmt	For	For	For
8	Re-elect Lorato Phalatse	Mgmt	For	For	For
9	Elect Audit Committee Member (Paul Baloyi)	Mgmt	For	Against	Against
10	Elect Audit Committee Member (Eric Diack)	Mgmt	For	For	For
11	Elect Audit Committee Member (Sibongile Masinga)	Mgmt	For	For	For
12	Elect Audit Committee Member (Nigel Payne)	Mgmt	For	For	For

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13	Approve Remuneration Policy (Base Pay)	Mgmt	For	For	For
14	Approve Remuneration Policy (Short-Term Incentives)	Mgmt	For	For	For
15	Approve Remuneration Policy (Long-Term Incentives)	Mgmt	For	For	For
16	General Authority to Issue Shares	Mgmt	For	For	For
17	Authority to Issue Shares for Cash	Mgmt	For	For	For
18	Approve Allocation to Distribute Share Capital or Premium	Mgmt	For	For	For
19	Authority to Issue Convertible Debentures	Mgmt	For	For	For
20	Authorisation of Legal Formalities	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Approve NEDs' Fees	Mgmt	For	For	For

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The Foschini Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TFG	CINS S29260155	09/01/2015	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect Eddy Oblowitz	Mgmt	For	For	For
4	Re-elect Nomahlubi V. Simamane	Mgmt	For	For	For
5	Re-elect Tumi Makgabo-Fiskerstrand	Mgmt	For	For	For
6	Elect Anthony Thunstrom	Mgmt	For	For	For
7	Elect Audit Committee Member (Sam Abrahams)	Mgmt	For	For	For

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8	Elect Audit Committee Member (Eddy Oblowitz)	Mgmt	For	For	For
9	Elect Audit Committee Member (Nomahlubi V. Simamane)	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Approve NEDs' Fees	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For
14	Authorisation of Legal Formalities	Mgmt	For	For	For

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### The Spar Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SPP	CINS S8050H104	02/09/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Marang Mashologu	Mgmt	For	For	For
2	Re-elect Michael Hankinson	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Audit Committee Member (Chris F. Wells)	Mgmt	For	For	For
5	Elect Audit Committee Member (Harish K. Mehta)	Mgmt	For	For	For
6	Elect Audit Committee Member (Marang Mashologu)	Mgmt	For	For	For
7	Authority to Issue Shares Pursuant to the Employee Share Trust	Mgmt	For	For	For
8	Authority to Issue Shares Pursuant to the Conditional Share Plan	Mgmt	For	For	For
9	Authority to Issue Shares for Cash	Mgmt	For	For	For

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10	General Authority to Issue Shares	Mgmt	For	For	For
11	Approve Financial Assistance	Mgmt	For	For	For
12	Approve NEDs' Fees	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	For	For

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The Spar Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SPP	CINS S8050H104	03/24/2016	Voted		
Meeting Type	Country of Trade				
Special	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares for Cash	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Tiger Brands Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
TBS	CINS S84594142	02/16/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael Ajukwu	Mgmt	For	For	For
2	Elect Yunus Suleman	Mgmt	For	For	For
3	Elect Noel P. Doyle	Mgmt	For	For	For
4	Re-elect Santie L. Botha	Mgmt	For	Against	Against
5	Re-elect Mark Bowman	Mgmt	For	Against	Against
6	Re-elect Khotso D.K. Mokhele	Mgmt	For	For	For
7	Re-elect Clive F.H. Vaux	Mgmt	For	For	For
8	Approve Remuneration Policy	Mgmt	For	For	For
9	Elect Audit Committee Member (Rob Nisbet)	Mgmt	For	For	For
10	Elect Audit Committee Member (Khotso D.K. Mokhele)	Mgmt	For	For	For
11	Elect Audit Committee Member (Yunus Suleman)	Mgmt	For	For	For

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12	Appointment of Auditor	Mgmt	For	For	For
13	Authorisation of Legal Formalities	Mgmt	For	For	For
14	Approve Financial Assistance	Mgmt	For	For	For
15	Approve NEDs' Fees (NEDs)	Mgmt	For	For	For
16	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
17	Approve NEDs' Fees (Deputy Chairman)	Mgmt	For	For	For
18	Approve NEDs' Fees (Subcommittees)	Mgmt	For	For	For
19	Approve NEDs' Fees (Special Meeting and Additional Work)	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For

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Truworths International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TRU	CINS S8793H130	11/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Roderick J.A. Sparks	Mgmt	For	For	For
3	Re-elect David Brian Pfaff	Mgmt	For	For	For
4	Re-elect Michael Mark	Mgmt	For	For	For
5	Elect Jean-Christophe Garbino	Mgmt	For	For	For
6	Authority to Issue Shares for Cash	Mgmt	For	For	For
7	Authority to Repurchase Shares	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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9	Approve NEDs' Fees	Mgmt	For	For	For
10	Elect Audit Committee Member (Michael Thompson)	Mgmt	For	For	For
11	Elect Audit Committee Member (Rob Dow)	Mgmt	For	For	For
12	Elect Audit Committee Member (Roderick Sparks)	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	For	For
14	Approve Social and Ethics Committee Report	Mgmt	For	For	For
15	Elect Social and Ethics Committee Member (Michael Thompson)	Mgmt	For	For	For
16	Elect Social and Ethics Committee Member (David Pfaff)	Mgmt	For	For	For
17	Elect Social and Ethics Committee Member (Thandi Ndlovu)	Mgmt	For	For	For
18	Approve Financial Assistance	Mgmt	For	For	For

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Tullow Oil Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
TLW	CINS G91235104	04/28/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (advisory)	Mgmt	For	For	For
3	Elect Tutu Agyare	Mgmt	For	For	For
4	Elect Mike Daly	Mgmt	For	For	For
5	Elect Anne Drinkwater	Mgmt	For	For	For
6	Elect Ann Grant	Mgmt	For	For	For
7	Elect Aidan Heavey	Mgmt	For	For	For
8	Elect Steve Lucas	Mgmt	For	For	For
9	Elect Angus McCoss	Mgmt	For	For	For
10	Elect Paul McDade	Mgmt	For	For	For
11	Elect Ian Springett	Mgmt	For	For	For
12	Elect Simon R. Thompson	Mgmt	For	For	For
13	Elect Jeremy R. Wilson	Mgmt	For	For	For

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14	Appointment of Auditor	Mgmt	For	For	For
15	Authority to Set Auditor's Fees	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	Against	Against
19	Authority to Repurchase Shares	Mgmt	For	For	For

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United Bank for Africa plc

Ticker	Security ID:	Meeting Date	Meeting Status		
UBA	CINS V9T62Y106	04/08/2016	Voted		
Meeting Type	Country of Trade				
Annual	Nigeria				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors (Slate)	Mgmt	For	Abstain	Against
4	Authority to Set Auditor's Fees	Mgmt	For	For	For
5	Election of Statutory Audit Committee Members	Mgmt	For	Abstain	Against
6	Cancellation of Shares	Mgmt	For	Abstain	Against

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Vodacom Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
VOD	CINS S9453B108	07/16/2015	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Bafeleang P. Mabelane	Mgmt	For	For	For
3	Re-elect David H. Brown	Mgmt	For	For	For
4	Re-elect Ivan Dittrich	Mgmt	For	For	For

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5	Re-elect Michael Joseph	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approve Remuneration Policy	Mgmt	For	For	For
8	Elect Audit, Risk and Compliance Committee Member (David Brown)	Mgmt	For	For	For
9	Elect Audit, Risk and Compliance Committee Member (Phillip Moleketi)	Mgmt	For	For	For
10	Elect Audit, Risk and Compliance Committee Member (Bafeleang Mabelane)	Mgmt	For	For	For
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Approve NEDs' Fees	Mgmt	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Woolworths Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WHL	CINS S98758121	11/30/2015	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Patrick N.J. Allaway	Mgmt	For	For	For
3	Re-elect Thomas A. Boardman	Mgmt	For	For	For
4	Re-elect Andrew Higginson	Mgmt	For	Against	Against
5	Elect Gail P. Kelly	Mgmt	For	For	For
6	Re-elect Zyda Rylands	Mgmt	For	For	For
7	Re-elect Thina Siwendu	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Elect Audit Committee Member (Patrick Allaway)	Mgmt	For	For	For
10	Elect Audit Committee Member (Peter Bacon)	Mgmt	For	For	For
11	Elect Audit Committee	Mgmt	For	Against	Against

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	Member (Zarina Bassa)					
12	Elect Audit Committee Member (Hubert Brody)	Mgmt	For	For	For	
13	Elect Audit Committee Member (Andrew Higginson)	Mgmt	For	Against	Against	
14	Approve Remuneration Policy	Mgmt	For	For	For	
15	Ratify NED's Fees (NED Patrick Allaway for quarter ended December 31, 2014)	Mgmt	For	For	For	
16	Ratify NED's Fees (NED Patrick Allaway)	Mgmt	For	For	For	
17	Ratify Fees (NED Gail Kelly)	Mgmt	For	For	For	
18	Ratify Fees (Treasury Committee Meetings)	Mgmt	For	For	For	
19	Increase NEDs' Fees	Mgmt	For	For	For	
20	Amendments to Memorandum	Mgmt	For	For	For	
21	Authority to Repurchase Shares	Mgmt	For	For	For	
22	Approve Financial Assistance	Mgmt	For	For	For	
23	Authority to Issue Shares Pursuant to Scheme	Mgmt	For	For	For	
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Zenith Bank plc

Ticker	Security ID:	Meeting Date	Meeting Status		
ZENITHBAN	CINS V9T871109	04/06/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	Nigeria				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Oyewusi	Mgmt	For	For	For

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4	Elect Gabriel ItaAsuquo Ukpeh	Mgmt	For	For	For
5	Re-elect Jeffrey Efeyini	Mgmt	For	For	For
6	Re-elect Chukuka S. Enwemeka	Mgmt	For	For	For
7	Re-elect Alhaji B. Tela	Mgmt	For	For	For
8	Authority to Set Auditor's Fees	Mgmt	For	For	For
9	Election of Statutory Audit Committee Members	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For

Fund Name : VanEck Vectors Agribusiness ETF

AGCO Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AGCO	CUSIP 001084102	04/28/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Roy V. Armes	Mgmt	For	For	For
2	Elect Michael C. Arnold	Mgmt	For	For	For
3	Elect P. George Benson	Mgmt	For	For	For
4	Elect Wolfgang Deml	Mgmt	For	For	For
5	Elect Luiz Fernando Furlan	Mgmt	For	For	For
6	Elect George E. Minnich	Mgmt	For	For	For
7	Elect Martin H. Richenhagen	Mgmt	For	For	For
8	Elect Gerald L. Shaheen	Mgmt	For	For	For
9	Elect Mallika Srinivasan	Mgmt	For	For	For
10	Elect Hendrikus Visser	Mgmt	For	For	For
11	Re-Approval of the 2006 Long-Term Incentive Plan for the Purposes of Section 162(m)	Mgmt	For	For	For
12	Advisory Vote on Executive	Mgmt	For	For	For

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Compensation

13 Ratification of Auditor Mgmt For For For

Agrium Inc.

Ticker Security ID: Meeting Date Meeting Status  
 AGU CUSIP 008916108 05/04/2016 Voted

Meeting Type Country of Trade  
 Annual United States

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1.1 Elect Maura J. Clark Mgmt For For For

1.2 Elect David C. Everitt Mgmt For For For

1.3 Elect Russell K. Mgmt For For For  
 Girling

1.4 Elect Russell J. Horner Mgmt For For For

1.5 Elect Miranda C. Hubbs Mgmt For For For

1.6 Elect Charles V. Magro Mgmt For For For

1.7 Elect A. Anne McLellan Mgmt For For For

1.8 Elect Derek G. Pannell Mgmt For For For

1.9 Elect Mayo M. Schmidt Mgmt For For For

1.10 Elect William S. Simon Mgmt For For For

2 Appointment of Auditor Mgmt For For For

3 Advisory Vote on Mgmt For For For  
 Executive  
 Compensation

4 Shareholder Rights Plan Mgmt For For For

Archer-Daniels-Midland Company

Ticker Security ID: Meeting Date Meeting Status  
 ADM CUSIP 039483102 05/05/2016 Voted

Meeting Type Country of Trade  
 Annual United States

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1 Elect Alan L. Boeckmann Mgmt For For For

2 Elect Mollie Hale Mgmt For For For  
 Carter

3 Elect Terrell K. Crews Mgmt For For For

4 Elect Pierre Dufour Mgmt For For For

5 Elect Donald E. Mgmt For For For  
 Felsing

6 Elect Juan Luciano Mgmt For For For

7 Elect Antonio Maciel Mgmt For For For  
 Neto

8 Elect Patrick J. Moore Mgmt For For For

9 Elect Francisco Sanchez Mgmt For For For

10 Elect Debra A. Sandler Mgmt For For For

11 Elect Daniel Shih Mgmt For For For

12 Elect Kelvin R. Mgmt For For For  
 Westbrook

13 Ratification of Auditor Mgmt For For For

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14	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
<hr/>						
Astra Agro Lestari Tbk						
Ticker	Security ID:	Meeting Date		Meeting Status		
AALI	CINS Y7116Q119	04/11/2016		Voted		
Meeting Type		Country of Trade				
Special		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Rights Issue	Mgmt	For	Against	Against	
<hr/>						
Astra Agro Lestari Tbk						
Ticker	Security ID:	Meeting Date		Meeting Status		
AALI	CINS Y7116Q119	04/11/2016		Voted		
Meeting Type		Country of Trade				
Annual		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Directors' and Commissioners' Fees	Mgmt	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
<hr/>						
Bakkafrost P/F						
Ticker	Security ID:	Meeting Date		Meeting Status		
BAKKA	CINS K4002E115	04/08/2016		Take No Action		
Meeting Type		Country of Trade				
Annual		Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
5	Election of Presiding Chairman	Mgmt	For	TNA	N/A	
6	Report of the Board of Directors; CEO's Address	Mgmt	For	TNA	N/A	
7	Accounts and Reports	Mgmt	For	TNA	N/A	
8	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A	
9	Election of Directors	Mgmt	For	TNA	N/A	
10	Directors' Fees	Mgmt	For	TNA	N/A	

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11	Nomination Committee	Mgmt	For	TNA	N/A
12	Nomination Committee Fees	Mgmt	For	TNA	N/A
13	Appointment of Auditor	Mgmt	For	TNA	N/A
14	Compensation Guidelines	Mgmt	For	TNA	N/A
15	Transaction of Other Business	Mgmt	For	TNA	N/A

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Balchem Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
BCPC	CUSIP 057665200	06/15/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Dino A. Rossi	Mgmt	For	For	For
1.2	Elect Theodore L. Harris	Mgmt	For	For	For
1.3	Elect Matthew D. Wineinger	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Bunge Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BG	CUSIP G16962105	05/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Repeal of Classified Board	Mgmt	For	For	For
2.1	Elect Paul Cornet de Ruart	Mgmt	For	For	For
2.2	Elect William Engels	Mgmt	For	For	For
2.3	Elect L. Patrick Lupo	Mgmt	For	For	For
2.4	Elect Soren Schroder	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	2016 Equity Compensation Plan	Mgmt	For	For	For

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CF Industries Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CF	CUSIP 125269100	05/12/2016	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert C. Arzbaecher	Mgmt	For	For	For
2	Elect William Davisson	Mgmt	For	For	For
3	Elect Stephen A. Furbacher	Mgmt	For	For	For
4	Elect Stephen J. Hagge	Mgmt	For	For	For
5	Elect John D. Johnson	Mgmt	For	For	For
6	Elect Robert G. Kuhbach	Mgmt	For	For	For
7	Elect Anne P. Noonan	Mgmt	For	For	For
8	Elect Edward A. Schmitt	Mgmt	For	For	For
9	Elect Theresa E Wagler	Mgmt	For	For	For
10	Elect W. Anthony Will	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against

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### CNH Industrial N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
CNHI	CUSIP N20944109	04/15/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
4	Elect Sergio Marchionne	Mgmt	For	For	For
5	Elect Richard Tobin	Mgmt	For	For	For
6	Elect Mina Gerowin	Mgmt	For	For	For
7	Elect Leo W. Houle	Mgmt	For	For	For
8	Elect Peter Kalantzis	Mgmt	For	For	For
9	Elect John Lanaway	Mgmt	For	For	For
10	Elect Guido Tabellini	Mgmt	For	For	For
11	Elect Jacqueline Tammenoms Bakker	Mgmt	For	For	For
12	Elect Jacques Theurillat	Mgmt	For	For	For
13	Elect Suzanne Heywood	Mgmt	For	For	For
14	Elect Silke Christina Scheiber	Mgmt	For	For	For

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15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Authority to Cancel Shares and Reduce Share Capital	Mgmt	For	For	For

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Deere and Company

Ticker	Security ID:	Meeting Date	Meeting Status		
DE	CUSIP 244199105	02/24/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Samuel R. Allen	Mgmt	For	For	For
2	Elect Crandall C. Bowles	Mgmt	For	For	For
3	Elect Vance D. Coffman	Mgmt	For	For	For
4	Elect Dipak C. Jain	Mgmt	For	For	For
5	Elect Michael O. Johanns	Mgmt	For	For	For
6	Elect Clayton M. Jones	Mgmt	For	For	For
7	Elect Brian M. Krzanich	Mgmt	For	For	For
8	Elect Gregory R. Page	Mgmt	For	For	For
9	Elect Sherry M. Smith	Mgmt	For	For	For
10	Elect Dmitri L. Stockton	Mgmt	For	For	For
11	Elect Sheila G. Talton	Mgmt	For	For	For
12	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	For	Against
15	Shareholder Proposal Regarding Net Zero Greenhouse Gas Emissions	ShrHoldr	Against	Against	For
16	Shareholder Proposal Regarding Corporate Values in Political Spending	ShrHoldr	Against	Against	For

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Felda Global Ventures Holdings Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
FGV	CINS Y2477B108	06/01/2016	Voted		
Meeting Type		Country of Trade			
Annual		Malaysia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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						Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For	For
2	Elect Mohd Isa Abdul Samad	Mgmt	For	For	For	For
3	Elect Sulaiman Mahbob	Mgmt	For	For	For	For
4	Elect Zakaria Arshad	Mgmt	For	For	For	For
5	Elect Zauyah Md Desa	Mgmt	For	Against	Against	Against
6	Directors' Fees	Mgmt	For	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For
8	Related Party Transactions	Mgmt	For	For	For	For

First Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EB5	CINS Y2560F107	04/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect LIM Ming Seong	Mgmt	For	For	For
4	Elect TENG Cheong Kwee	Mgmt	For	For	For
5	Elect NG Shin Ein	Mgmt	For	For	For
6	Elect ONG Beng Kee	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
10	Authority to Repurchase Shares	Mgmt	For	For	For

FMC Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
FMC	CUSIP 302491303	04/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Pierre R. Brondeau	Mgmt	For	For	For

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2	Elect Eduardo E. Cordeiro	Mgmt	For	For	For
3	Elect G. Peter D'Aloia	Mgmt	For	For	For
4	Elect C. Scott Greer	Mgmt	For	For	For
5	Elect K'Lynne Johnson	Mgmt	For	For	For
6	Elect Dirk A. Kempthorne	Mgmt	For	For	For
7	Elect Paul J. Norris	Mgmt	For	For	For
8	Elect Robert C. Pallash	Mgmt	For	For	For
9	Elect William H. Powell	Mgmt	For	For	For
10	Elect Vincent R. Volpe Jr.	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Shareholder Proposal Regarding Giving Preference to Share Repurchases	ShrHoldr	Against	Against	For

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### Golden Agri-Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
E5H	CINS V39076134	04/21/2016	Voted			
Meeting Type	Country of Trade					
Annual	Mauritius					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Directors' Fees	Mgmt	For	For	For	
4	Elect Frankle (Djafar) Widjaja	Mgmt	For	For	For	
5	Elect Rafael Buhay Concepcion, Jr.	Mgmt	For	For	For	
6	Elect HONG Pian Tee	Mgmt	For	For	For	
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
8	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For	
9	Authority to Repurchase Shares	Mgmt	For	For	For	
10	Related Party Transactions	Mgmt	For	For	For	

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Goldin Financial Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0530	CINS G3968F137	11/20/2015	Voted		
Meeting Type	Country of Trade				
Annual	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect PAN Sutong	Mgmt	For	Against	Against
5	Elect ZHOU Dengchao	Mgmt	For	Against	Against
6	Elect TANG Yiu Wing	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Authority to Repurchase Shares	Mgmt	For	For	For
11	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Idexx Laboratories, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
IDXX	CUSIP 45168D104	05/04/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jonathan W. Ayers	Mgmt	For	For	For
2	Elect Barry C. Johnson	Mgmt	For	For	For
3	Elect M. Anne Szostak	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Incitec Pivot Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IPL	CINS Q4887E101	12/17/2015	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Re-elect Paul Brasher	Mgmt	For	For	For
3	Re-elect Graham Smorgon	Mgmt	For	For	For
4	Equity Grant (MD/CEO James Fazzino)	Mgmt	For	For	For
5	Remuneration Report	Mgmt	For	For	For

IOI Corporation Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
IOICORP	CINS Y41763106	10/27/2015	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LEE Yeow Chor	Mgmt	For	For	For
2	Elect CHEAH Tek Kuang	Mgmt	For	For	For
3	Elect LEE Shin Cheng	Mgmt	For	Against	Against
4	Elect Peter CHIN Fah Kui	Mgmt	For	For	For
5	Directors' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Mandate for Recurrent Related Party Transactions	Mgmt	For	For	For

IOI Corporation Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
IOICORP	CINS Y41763106	10/27/2015	Voted		
Meeting Type	Country of Trade				
Special	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Executive Share Option Scheme	Mgmt	For	For	For
2	Equity Grant to LEE Shin Cheng	Mgmt	For	For	For
3	Equity Grant to LEE Yeow Chor	Mgmt	For	For	For

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4	Equity Grant to LEE Cheng Leang	Mgmt	For	For	For
5	Equity Grant to LEE Yoke Hean	Mgmt	For	For	For

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Israel Chemicals Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ICL	CUSIP M5920A109	12/23/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Nir Gilad	Mgmt	For	For	For
2	Elect Avisar Paz	Mgmt	For	For	For
3	Elect Eran Sarig	Mgmt	For	For	For
4	Elect Ovadia Eli	Mgmt	For	For	For
5	Elect Aviad Kaufman	Mgmt	For	For	For
6	Elect Geoffery E. Merszei	Mgmt	For	For	For
7	Elect Shimon Eckhaus	Mgmt	For	For	For
8	Elect Stefan Borgas	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Compensation of Unaffiliated Directors	Mgmt	For	Against	Against
11	Compensation of Affiliated Directors	Mgmt	For	Against	Against
12	Assignment of Compensation to Controlling Shareholders	Mgmt	For	For	For

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K and S AG

Ticker	Security ID:	Meeting Date	Meeting Status		
SDF	CINS D48164129	05/11/2016	Take No Action		
Meeting Type	Country of Trade				
Annual	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
6	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
7	Ratification of Management Board Acts	Mgmt	For	TNA	N/A

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8	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
9	Appointment of Auditor	Mgmt	For	TNA	N/A
10	Increase in Authorised Capital	Mgmt	For	TNA	N/A
11	Amendments to Articles Regarding Supervisory Board Members' Terms	Mgmt	For	TNA	N/A
12	Amendments to Articles Regarding Resignations from the Supervisory Board	Mgmt	For	TNA	N/A
13	Amendments to Articles Regarding the Chairman of General Meetings	Mgmt	For	TNA	N/A

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Kernel Holding SA

Ticker Security ID:  
KER CINS L5829P109

Meeting Date  
12/10/2015

Meeting Status  
Take No Action

Meeting Type  
Annual

Country of Trade  
Luxembourg

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Presentation of Board and Auditor Report	Mgmt	For	TNA	N/A
2	Consolidated Accounts and Reports	Mgmt	For	TNA	N/A
3	Accounts and Reports	Mgmt	For	TNA	N/A
4	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Elect Andrzej Danilczuk	Mgmt	For	TNA	N/A
7	Elect Ton Schurink	Mgmt	For	TNA	N/A
8	Elect Sergei Shibaev	Mgmt	For	TNA	N/A
9	Elect Andrey Verevskiy	Mgmt	For	TNA	N/A
10	Elect Anastasia Usachova	Mgmt	For	TNA	N/A
11	Elect Victoriia Lukyanenko	Mgmt	For	TNA	N/A
12	Elect Yuriy Kovalchuk	Mgmt	For	TNA	N/A
13	Elect Kostiantyn Lytvynskyi	Mgmt	For	TNA	N/A

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14	Independent Directors' Fees	Mgmt	For	TNA	N/A
15	Executive Directors' Fees	Mgmt	For	TNA	N/A
16	Ratification of Auditor's Acts	Mgmt	For	TNA	N/A
17	Appointment of Auditor	Mgmt	For	TNA	N/A
18	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Kernel Holding SA

Ticker	Security ID:	Meeting Date	Meeting Status
KER	CINS L5829P109	12/10/2015	Take No Action
Meeting Type	Country of Trade		
Special	Luxembourg		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Increase in Authorized Capital	Mgmt	For
			TNA
			N/A
2	Amendments to Articles (Authorized Capital)	Mgmt	For
			TNA
			N/A

Komipharm International Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
041960	CINS Y4934W105	03/25/2016	Voted
Meeting Type	Country of Trade		
Annual	Korea, Republic of		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For
			Against
			Against
2	Elect YANG Yong Jin	Mgmt	For
			For
3	Elect MOON Sung Chul	Mgmt	For
			For
4	Elect KIM Soo Jung	Mgmt	For
			For
5	Elect KIM Sang Hyeon	Mgmt	For
			For
6	Elect YOO Jung Ki	Mgmt	For
			For
7	Elect KIM Soo Young	Mgmt	For
			For
8	Election of Corporate Auditor	Mgmt	For
			For
9	Directors' Fees	Mgmt	For
			For
10	Corporate Auditors' Fees	Mgmt	For
			For

Kuala Lumpur Kepong Berhad

Ticker	Security ID:	Meeting Date	Meeting Status
KLK	CINS Y47153104	02/17/2016	Voted
Meeting Type	Country of Trade		
Annual	Malaysia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

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1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect Roy LIM Kiam Chye	Mgmt	For	For	For
3	Elect Azlan Zainol	Mgmt	For	For	For
4	Elect Raja Muhammad Alias bin Raja Muhammad Ali	Mgmt	For	For	For
5	Elect KWOK Kian Hai	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	Against	Against
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For

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Kubota Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
6326	CINS J36662138	03/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Elect Masatoshi Kimata	Mgmt	For	For	For
4	Elect Toshihiro Kubo	Mgmt	For	For	For
5	Elect Shigeru Kimura	Mgmt	For	For	For
6	Elect Kenshiroh Ogawa	Mgmt	For	For	For
7	Elect Yuichi Kitao	Mgmt	For	For	For
8	Elect Satoshi Iida	Mgmt	For	For	For
9	Elect Yuzuru Matsuda	Mgmt	For	For	For
10	Elect Kohichi Ina	Mgmt	For	For	For
11	Elect Masao Morishita as Alternate Statutory Auditor	Mgmt	For	For	For
12	Bonus	Mgmt	For	For	For

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Marine Harvest ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
MHG	CUSIP 56824R205	06/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Presiding Chairman; Minutes	Mgmt	For	For	For

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2	Agenda	Mgmt	For	For	For
3	Accounts and Reports; Allocation of Profits/Dividend	Mgmt	For	For	For
4	Remuneration Guidelines (Advisory)	Mgmt	For	Against	Against
5	Guidelines for Share Options (Binding)	Mgmt	For	Against	Against
6	Directors' Fees	Mgmt	For	For	For
7	Nomination Committee Fees	Mgmt	For	For	For
8	Authority to Set Auditor's Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	Abstain	Against
10	Elect Cecilie Fredriksen	Mgmt	For	For	For
11	Elect Paul Mulligan	Mgmt	For	For	For
12	Elect Jean-Pierre Bienfait	Mgmt	For	For	For
13	Elect Birgitte Ringstad Vartdal	Mgmt	For	For	For
14	Authority to Distribute Dividends	Mgmt	For	For	For
15	Authority to Repurchase Shares and to Issue Treasury Shares	Mgmt	For	For	For
16	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Convertible Debt Instruments	Mgmt	For	Against	Against

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Monsanto Company

Ticker	Security ID:	Meeting Date	Meeting Status
MON	CUSIP 61166W101	01/29/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Gregory H. Boyce	Mgmt	For
			For
			For

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2	Elect David L. Chicoine	Mgmt	For	For	For
3	Elect Janice L. Fields	Mgmt	For	For	For
4	Elect Hugh Grant	Mgmt	For	For	For
5	Elect Arthur H. Harper	Mgmt	For	For	For
6	Elect Laura K. Ipsen	Mgmt	For	For	For
7	Elect Marcos M. Lutz	Mgmt	For	For	For
8	Elect C. Steven McMillan	Mgmt	For	For	For
9	Elect Jon R. Moeller	Mgmt	For	For	For
10	Elect William U. Parfet	Mgmt	For	For	For
11	Elect George H. Poste	Mgmt	For	For	For
12	Elect Robert J. Stevens	Mgmt	For	For	For
13	Elect Patricia Verduin	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Approval of Performance Goals Under the Annual Incentive Plan	Mgmt	For	For	For
17	Shareholder Proposal Regarding Risks of Glyphosate	ShrHoldr	Against	Against	For
18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	For	Against

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Mosaic Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
MOS	CUSIP 61945C103	05/19/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Decrease of Authorized Common Stock	Mgmt	For	For	For
3	Elect Nancy E. Cooper	Mgmt	For	For	For
4	Elect Gregory L. Ebel	Mgmt	For	For	For
5	Elect Timothy S. Gitzel	Mgmt	For	For	For
6	Elect Denise C. Johnson	Mgmt	For	For	For
7	Elect Emery N. Koenig	Mgmt	For	Against	Against
8	Elect Robert L. Lumpkins	Mgmt	For	For	For
9	Elect William T. Monahan	Mgmt	For	For	For

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10	Elect James C. O'Rourke	Mgmt	For	For	For
11	Elect James L. Popowich	Mgmt	For	For	For
12	Elect David T. Seaton	Mgmt	For	For	For
13	Elect Steven M. Seibert	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Neogen Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
NEOG	CUSIP 640491106	10/01/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard T. Crowder	Mgmt	For	For	For
1.2	Elect A. Charles Fischer	Mgmt	For	For	For
1.3	Elect Ronald D. Green	Mgmt	For	For	For
2	2015 Omnibus Incentive Plan	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

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NH Foods Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
2282	CINS J4929Q102	06/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Juichi Suezawa	Mgmt	For	For	For
2	Elect Yoshihide Hata	Mgmt	For	For	For
3	Elect Kohji Kawamura	Mgmt	For	For	For
4	Elect Hiroji Ohkoso	Mgmt	For	For	For
5	Elect Katsumi Inoue	Mgmt	For	For	For
6	Elect Kazunori Shinohara	Mgmt	For	For	For
7	Elect Tetsuhiro Kitoh	Mgmt	For	For	For
8	Elect Hajime Takamatsu	Mgmt	For	For	For
9	Elect Toshiko Katayama	Mgmt	For	For	For
10	Elect Iwao Taka	Mgmt	For	For	For
11	Elect Shunichi Ogata as Statutory Auditor	Mgmt	For	For	For
12	Elect Masayuki Kitaguchi as	Mgmt	For	For	For

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Alternate Statutory  
Auditor

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Nufarm Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
NUF	CINS Q7007B105	12/03/2015	Voted			
Meeting Type	Country of Trade					
Annual	Australia					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Remuneration Report	Mgmt	For	For	For	
3	Re-elect W. Bruce Goodfellow	Mgmt	For	For	For	
4	Re-elect Frank Ford	Mgmt	For	For	For	
5	Equity Grant (MD/CEO Greg Hunt)	Mgmt	For	For	For	
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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OCI N.V.						
Ticker	Security ID:	Meeting Date	Meeting Status			
OCI	CINS N6667A111	06/28/2016	Take No Action			
Meeting Type	Country of Trade					
Annual	Netherlands					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
2	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
3	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
5	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A	
6	Ratification of Executive Directors' Acts	Mgmt	For	TNA	N/A	
7	Ratification of Non-Executive Directors' Acts	Mgmt	For	TNA	N/A	
8	Elect Nassef Sawiris as Executive Director	Mgmt	For	TNA	N/A	
9	Elect Salman Butt as Executive Director	Mgmt	For	TNA	N/A	
10	Elect Anjia Montijn as Non-Executive Director	Mgmt	For	TNA	N/A	

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11	Appointment of Auditor	Mgmt	For	TNA	N/A
12	Amendments to Par-Value; Decrease Authorized Capital	Mgmt	For	TNA	N/A
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	TNA	N/A
14	Authority to Suppress Preemptive Rights	Mgmt	For	TNA	N/A
15	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
16	Non-Voting Agenda Item	N/A	N/A	TNA	N/A

Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	01/15/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend (3Q2015)	Mgmt	For	For	For
2	Membership in the Russian Union of Industrialists and Entrepreneurs	Mgmt	For	For	For
3	Related Party Transactions	Mgmt	For	Abstain	Against

Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	05/31/2016	Voted		
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Elect Igor D. Antoshin	Mgmt	N/A	Abstain	N/A
6	Elect Andrey A. Guryev	Mgmt	N/A	Abstain	N/A
7	Elect Andrey G. Guryev	Mgmt	N/A	Abstain	N/A
8	Elect Yuriy N. Krugovykh	Mgmt	N/A	Abstain	N/A
9	Elect Sven Ombudstvedt	Mgmt	N/A	For	N/A
10	Elect Roman V. Osipov	Mgmt	N/A	Abstain	N/A

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11	Elect Oleg V. Osipenko	Mgmt	N/A	For	N/A
12	Elect Marcus J. Rhodes	Mgmt	N/A	For	N/A
13	Elect James Beeland Jr. Rogers	Mgmt	N/A	For	N/A
14	Elect Ivan I. Rodionov	Mgmt	N/A	Abstain	N/A
15	Elect Mikhail K. Rybnikov	Mgmt	N/A	Abstain	N/A
16	Elect Alexander F. Sharabaika	Mgmt	N/A	Abstain	N/A
17	Elect Ekaterina V. Viktorova	Mgmt	For	For	For
18	Elect Galina A. Kalinina	Mgmt	For	For	For
19	Elect Pavel N. Sinitisa	Mgmt	For	For	For
20	Appointment of Auditor	Mgmt	For	For	For
21	Directors' Fees	Mgmt	For	For	For
22	Related Party Transactions (Loan Agreement)	Mgmt	For	For	For
23	Related Party Transactions (Guarantee Agreement)	Mgmt	For	For	For
24	Related Party Transactions (Supplementary Guarantee Agreement)	Mgmt	For	For	For
25	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	07/14/2015	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Retained Earnings/Dividends	Mgmt	For	For	For
2	Related Party Transactions (Guarantee Agreement)	Mgmt	For	Abstain	Against
3	Related Party Transactions (Loan Agreement)	Mgmt	For	Abstain	Against
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Phosagro OJSC						
Ticker	Security ID:	Meeting Date	Meeting Status			
PHOR	CINS 71922G209	10/06/2015	Voted			
Meeting Type	Country of Trade					
Special	Russian Federation					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Interim Dividend	Mgmt	For	For	For	
2	Related Party Transactions (Loan Agreement (s))	Mgmt	For	For	For	
3	Related Party Transactions (Supplementary Guarantee Agreement)	Mgmt	For	For	For	
4	Related Party Transactions (Guarantee Agreement)	Mgmt	For	For	For	
5	Related Party Transactions (Guarantee Agreement)	Mgmt	For	For	For	
Pilgrim's Pride Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
PPC	CUSIP 72147K108	04/29/2016	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Gilberto Tomazoni	Mgmt	For	For	For	
1.2	Elect Joesley Mendonca Batista	Mgmt	For	Withhold	Against	
1.3	Elect Wesley Mendonca Batista	Mgmt	For	For	For	
1.4	Elect William W. Lovette	Mgmt	For	For	For	
1.5	Elect Andre Nogueira de Souza	Mgmt	For	For	For	
1.6	Elect Wallim Cruz de Vasconcellos Junior	Mgmt	For	For	For	
1.7	Elect David E. Bell	Mgmt	For	For	For	
1.8	Elect Michael L. Cooper	Mgmt	For	For	For	
1.9	Elect Charles Macaluso	Mgmt	For	For	For	
2	Advisory Vote on Executive	Mgmt	For	For	For	

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### Compensation

3	Ratification of Auditor	Mgmt	For	For	For
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Potash Corporation of Saskatchewan Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
POT	CUSIP 73755L107	05/10/2016	Voted

Meeting Type	Country of Trade
Special	United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1.1	Elect Christopher M. Burley	Mgmt	For	For	For
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1.2	Elect Donald G. Chynoweth	Mgmt	For	For	For
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1.3	Elect John W. Estey	Mgmt	For	For	For
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1.4	Elect Gerald W. Grandey	Mgmt	For	For	For
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1.5	Elect C. Steven Hoffman	Mgmt	For	For	For
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1.6	Elect Alice D. Laberge	Mgmt	For	For	For
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1.7	Elect Consuelo E. Madere	Mgmt	For	For	For
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1.8	Elect Keith G. Martell	Mgmt	For	For	For
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1.9	Elect Jeffrey J. McCaig	Mgmt	For	For	For
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1.10	Elect Aaron W. Regent	Mgmt	For	For	For
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1.11	Elect Jochen E. Tilk	Mgmt	For	For	For
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1.12	Elect Elena Viyella de Paliza	Mgmt	For	Withhold	Against
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1.13	Elect Zoe A. Yujnovich	Mgmt	For	For	For
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2	Appointment of Auditor	Mgmt	For	For	For
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3	Approval of the 2016 Long-Term Incentive Plan	Mgmt	For	For	For
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4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
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5	Shareholder Proposal Regarding Sourcing Phosphate from Western Sahara	ShrHoldr	Against	Against	For
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PPB Group Berhad

Ticker	Security ID:	Meeting Date	Meeting Status
PPB	CINS Y70879104	05/10/2016	Voted

Meeting Type	Country of Trade
Annual	Malaysia

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Accounts and Reports	Mgmt	For	For	For
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2	Allocation of Profits/Dividends	Mgmt	For	For	For
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3	Directors' Fees	Mgmt	For	For	For
4	Elect Ahmad Sufian bin Abdul Rashid	Mgmt	For	For	For
5	Elect TAM Chiew Lin	Mgmt	For	Against	Against
6	Elect OH Siew Nam	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
9	Related Party Transactions with PGEO Group Sdn Bhd.	Mgmt	For	For	For
10	Related Party Transactions with Kuok Brothers Sdn Berhad	Mgmt	For	For	For
11	Authority to Repurchase Shares	Mgmt	For	For	For

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PT Charoen Pokphand Indonesia Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
CPIN	CINS Y71207164	06/15/2016	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Election of Directors and Commissioners (Slate)	Mgmt	For	Against	Against

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Rumo Logistica Operadora Multimodal

Ticker	Security ID:	Meeting Date	Meeting Status		
ALLL3	CINS P8S112140	12/23/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Waiver of Shareholder	Mgmt	For	For	For

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Obligations

4	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
5	Increase in Authorised Capital	Mgmt	For	Against	Against

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Sociedad Quimica y Minera de Chile SA - SQM					
Ticker	Security ID:	Meeting Date		Meeting Status	
SQM-A	CUSIP 833635105	04/26/2016		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Annual Report and Account Inspectors' Report	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Appointment of Account Inspectors	Mgmt	For	For	For
5	Presentation of Report on Related Party Transactions	Mgmt	For	For	For
6	Investment and Finance Policy	Mgmt	For	For	For
7	Allocation of Profits	Mgmt	For	For	For
8	Dividend Payments from Reserves	Mgmt	For	For	For
9	Dividend Policy	Mgmt	For	For	For
10	Board of Directors' Expenses	Mgmt	For	Abstain	Against
11	Election of Directors	Mgmt	For	Abstain	Against
12	Elect Edward J. Waitzer	Mgmt	For	For	For
13	Directors' Fees	Mgmt	For	Abstain	Against
14	Matters Regarding the Directors' Committee	Mgmt	For	Abstain	Against
15	Transaction of Other Business	Mgmt	For	Against	Against

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Sociedad Quimica y Minera de Chile SA - SQM					
Ticker	Security ID:	Meeting Date		Meeting Status	
SQM-A	CUSIP 833635105	09/23/2015		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend	Mgmt	For	For	For

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2	Authorization of Legal Formalities	Mgmt	For	For	For
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Syngenta AG

	Ticker	Security ID:	Meeting Date	Meeting Status		
	SYNN	CUSIP 87160A100	04/26/2016	Voted		
	Meeting Type	Country of Trade				
	Annual	United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For		For	For
2	Compensation Report	Mgmt	For		For	For
3	Ratification of Board and Management Acts	Mgmt	For		For	For
4	Cancellation of Shares and Reduction in Share Capital	Mgmt	For		For	For
5	Allocation of Profits/Dividends	Mgmt	For		For	For
6	Special Dividend	Mgmt	For		For	For
7	Elect Vinita Bali	Mgmt	For		For	For
8	Elect Stefan Borgas	Mgmt	For		For	For
9	Elect Gunnar Brock	Mgmt	For		For	For
10	Elect Michel Demare	Mgmt	For		For	For
11	Elect Eleni Gabre-Madhin	Mgmt	For		For	For
12	Elect David Lawrence	Mgmt	For		For	For
13	Elect Eveline Saupper	Mgmt	For		For	For
14	Elect Jurg Witmer	Mgmt	For		For	For
15	Elect Michel Demare as Chairman	Mgmt	For		For	For
16	Elect Eveline Saupper as Compensation Committee Member	Mgmt	For		For	For
17	Elect Jurg Witmer as Compensation Committee Member	Mgmt	For		For	For
18	Elect Stefan Borgas as Compensation Committee Member	Mgmt	For		For	For
19	Board Compensation	Mgmt	For		For	For
20	Executive Compensation	Mgmt	For		For	For
21	Appointment of Independent Proxy	Mgmt	For		For	For
22	Appointment of Auditor	Mgmt	For		For	For

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23 Additional or  
Miscellaneous  
Shareholder  
Proposals

ShrHoldr For Abstain Against

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Taiwan Fertilizer Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
1722 CINS Y84171100 06/29/2016 Voted

Meeting Type Country of Trade  
Annual Taiwan

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Amendments to Procedural Rules: Capital Loans and Endorsements	Mgmt	For	For	For
6	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For

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The Andersons, Inc.

Ticker Security ID: Meeting Date Meeting Status  
ANDE CUSIP 034164103 05/13/2016 Voted

Meeting Type Country of Trade  
Annual United States

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1.1	Elect Michael J. Anderson	Mgmt	For	For	For
1.2	Elect Gerard M Anderson	Mgmt	For	For	For
1.3	Elect Patrick E. Bowe	Mgmt	For	For	For
1.4	Elect Catherine M. Kilbane	Mgmt	For	For	For
1.5	Elect Robert J. King, Jr.	Mgmt	For	For	For
1.6	Elect Ross W. Manire	Mgmt	For	For	For
1.7	Elect Donald L. Mennel	Mgmt	For	For	For
1.8	Elect Patrick S. Mullin	Mgmt	For	For	For
1.9	Elect John T. Stout, Jr.	Mgmt	For	For	For
1.10	Elect Jacqueline F. Woods	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on	Mgmt	For	Against	Against

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### Executive Compensation

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The Toro Company						
Ticker	Security ID:	Meeting Date	Meeting Status			
TTC	CUSIP 891092108	03/15/2016	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Robert C. Buhrmaster	Mgmt	For	For	For	
1.2	Elect Richard M. Olson	Mgmt	For	For	For	
1.3	Elect James C. O'Rourke	Mgmt	For	For	For	
1.4	Elect Christopher A. Twomey	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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Tongaat Hulett Group Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
TON	CINS S85920130	07/29/2015	Voted			
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Appointment of Auditor	Mgmt	For	For	For	
2	Re-elect Bahle Sibisi	Mgmt	For	For	For	
3	Re-elect Brand Pretorius	Mgmt	For	Against	Against	
4	Re-elect Murray H. Munro	Mgmt	For	For	For	
5	Elect Tomaz Salomao	Mgmt	For	For	For	
6	Elect Audit and Compliance Committee Member (Jenitha John)	Mgmt	For	For	For	
7	Elect Audit and Compliance Committee Member (Fatima Jakoet)	Mgmt	For	For	For	
8	Elect Audit and Compliance Committee Member (Rachel Kupara)	Mgmt	For	For	For	
9	Authority to Repurchase Shares	Mgmt	For	For	For	

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10	Authorisation of Legal Formalities	Mgmt	For	For	For
11	General Authority to Issue Shares	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Approve Remuneration Policy	Mgmt	For	Against	Against

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Tractor Supply Company

Ticker	Security ID:	Meeting Date	Meeting Status		
TSCO	CUSIP 892356106	05/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Cynthia T. Jamison	Mgmt	For	For	For
1.2	Elect Johnston C. Adams	Mgmt	For	For	For
1.3	Elect Peter D. Bewley	Mgmt	For	For	For
1.4	Elect Keith R. Halbert	Mgmt	For	For	For
1.5	Elect George MacKenzie	Mgmt	For	For	For
1.6	Elect Edna K. Morris	Mgmt	For	For	For
1.7	Elect Mark J. Weikel	Mgmt	For	For	For
1.8	Elect Gregory A. Sandfort	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Treasury Wine Estates Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TWE	CINS Q9194S107	11/12/2015	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Ed Chan	Mgmt	For	For	For
3	Re-elect Michael Cheek	Mgmt	For	For	For
4	Re-elect Garry A. Hounsell	Mgmt	For	For	For
5	REMUNERATION REPORT	Mgmt	For	For	For
6	Approve Share Cellar Plan	Mgmt	For	For	For

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7	Equity Grant (MD/CEO Michael Clarke)	Mgmt	For	For	For
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Tyson Foods, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
TSN	CUSIP 902494103	02/05/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John H. Tyson	Mgmt	For	For	For
2	Elect Gaurdie E. Banister, Jr.	Mgmt	For	For	For
3	Elect Mike Beebe	Mgmt	For	For	For
4	Elect Mikel A. Durham	Mgmt	For	For	For
5	Elect Kevin M. McNamara	Mgmt	For	For	For
6	Elect Brad T. Sauer	Mgmt	For	For	For
7	Elect Donnie Smith	Mgmt	For	For	For
8	Elect Robert Thurber	Mgmt	For	For	For
9	Elect Barbara A. Tyson	Mgmt	For	For	For
10	Renewal of the Annual Incentive Compensation Plan	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Shareholder Proposal Regarding Gestation Crates	ShrHoldr	Against	For	Against
13	Shareholder Proposal Regarding Water Stewardship Policy	ShrHoldr	Against	For	Against
14	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against
15	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Against	For	Against
16	Shareholder Proposal Regarding Report on Working Conditions	ShrHoldr	Against	For	Against
17	Shareholder Proposal Regarding Lobbying Expenditures	ShrHoldr	Against	For	Against

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Uralkaliy PAO (Uralkali JSC)

Ticker	Security ID:	Meeting Date	Meeting Status
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Major Transactions	Mgmt	For	For	For
4	Related Party Transactions (JSC Uralkali-Technologiya)	Mgmt	For	For	For
5	Related Party Transactions (Enterpro Services Limited)	Mgmt	For	For	For

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Uralkaliy PAO (Uralkali JSC)

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Major Transactions	Mgmt	For	For	For
3	Cancellation of Previously Approved Merger by Absorption	Mgmt	For	For	For
4	Cancellation of Previously Granted Authority to Cancel Shares Held by Uralkali-Technologia	Mgmt	For	For	For
5	Participation in Association for the Development of Application of Deicing Chemicals	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Uralkaliy PAO (Uralkali JSC)

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Meeting Procedures	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Financial Statements	Mgmt	For	For	For
5	Allocation of	Mgmt	For	For	For

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### Profits/Dividends

6	Related Party Transactions	Mgmt	For	For	For
7	Related Party Transaction with OJSC Ural Scientific Research and Project Institute of the Chemical Industry (Galurgii)	Mgmt	For	For	For
8	Related Party Transaction with JSC VNII Galurgii	Mgmt	For	For	For
9	Related Party Transaction with Berezniki Mine-Building Company LLC	Mgmt	For	For	For
10	Related Party Transaction with CJSC Novaya Nedvizhimost	Mgmt	For	For	For
11	Related Party Transaction with JSC Baltic Bulk Terminal	Mgmt	For	For	For
12	Related Party Transaction with Engine House Balakhontsy LLC	Mgmt	For	For	For
13	Related Party Transaction with Avtotranskali LLC	Mgmt	For	For	For
14	Related Party Transaction with Satellite-Service LLC	Mgmt	For	For	For
15	Related Party Transaction with N-Resource LLC	Mgmt	For	For	For
16	Related Party Transaction with Center for Automation and Measurements LLC	Mgmt	For	For	For
17	Related Party	Mgmt	For	For	For

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	Transaction with Uralkali-Remont LLC				
18	Related Party Transaction with OJSC Ural Scientific Research and Project Institute of the Chemical Industry (Galurgii)	Mgmt	For	For	For
19	Related Party Transaction with JSC VNII Galurgii	Mgmt	For	For	For
20	Related Party Transaction with Silvinit-Transport LLC	Mgmt	For	For	For
21	Related Party Transaction with Berezniki Mine-Building Company LLC	Mgmt	For	For	For
22	Related Party Transaction with CJSC Novaya Nedvizhimost	Mgmt	For	For	For
23	Related Party Transaction with Engine House Balakhontsy LLC	Mgmt	For	For	For
24	Related Party Transaction with Avtotranskali LLC	Mgmt	For	For	For
25	Related Party Transaction with Satellite-Service LLC	Mgmt	For	For	For
26	Related Party Transaction with JSC Uralkali-Technologiya	Mgmt	For	For	For
27	Related Party Transaction with N-Resource LLC	Mgmt	For	For	For
28	Related Party Transaction with Center for Automation and Measurements	Mgmt	For	For	For

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	LLC				
29	Related Party Transaction with Uralkali-Remont LLC	Mgmt	For	For	For
30	Related Party Transaction with Silvinit-Capital LLC	Mgmt	For	For	For
31	Related Party Transaction with Avtotranskali LLC	Mgmt	For	For	For
32	Related Party Transaction with JSC United Chemical Company URALCHEM	Mgmt	For	For	For
33	Related Party Transaction with JSC Voskresensk Mineral Fertilizers	Mgmt	For	For	For
34	Related Party Transaction with JSC Corporation VSMPO-AVISMA	Mgmt	For	For	For
35	Related Party Transaction with Berezniki Mine-Building Company LLC	Mgmt	For	For	For
36	Related Party Transaction with CJSC Solikamsk Construction Trust	Mgmt	For	For	For
37	Related Party Transaction with CJSC Novaya Nedvizhimost	Mgmt	For	For	For
38	Related Party Transaction with Engine House Balakhontsy LLC	Mgmt	For	For	For
39	Related Party Transaction with Avtotranskali LLC	Mgmt	For	For	For
40	Related Party	Mgmt	For	For	For

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	Transaction with Satellite-Service LLC				
41	Related Party Transaction with N-Resource LLC	Mgmt	For	For	For
42	Related Party Transaction with Center for Automation and Measurements LLC	Mgmt	For	For	For
43	Related Party Transaction with Uralkali-Remont LLC	Mgmt	For	For	For
44	Related Party Transaction with Vodokanal LLC	Mgmt	For	For	For
45	Related Party Transaction with JSC Voskresensk Mineral Fertilizers	Mgmt	For	For	For
46	Related Party Transaction with JSC United Chemical Company URALCHEM	Mgmt	For	For	For
47	Related Party Transaction with JSC Corporation VSMPO-AVISMA	Mgmt	For	For	For
48	Related Party Transaction with Berezniki Mine-Building Company LLC	Mgmt	For	For	For
49	Related Party Transaction with CJSC Solikamsk Construction Trust	Mgmt	For	For	For
50	Related Party Transaction with CJSC Novaya Nedvizhimost	Mgmt	For	For	For
51	Related Party Transaction with Engine House Balakhontsy	Mgmt	For	For	For

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	LLC				
52	Related Party Transaction with Satellite-Service LLC	Mgmt	For	For	For
53	Related Party Transaction with Uralkali-Remont LLC	Mgmt	For	For	For
54	Related Party Transaction with Center for Automation and Measurements LLC	Mgmt	For	For	For
55	Related Party Transaction with Avtotranskali LLC	Mgmt	For	For	For
56	Related Party Transaction with Vodokanal LLC	Mgmt	For	For	For
57	Related Party Transaction with N-Resource LLC	Mgmt	For	For	For
58	Related Party Transaction with Uralkali Trading SIA	Mgmt	For	For	For
59	Related Party Transaction with JSC Uralkali Technologiya	Mgmt	For	For	For
60	Related Party Transaction with Uralkali Trading SIA	Mgmt	For	For	For
61	Related Party Transaction with Soglasie	Mgmt	For	For	For
62	Asset Contributions Contracts	Mgmt	For	For	For
63	Elect Irina Razumova	Mgmt	For	For	For
64	Elect Andrei Kononov	Mgmt	For	For	For
65	Elect Maria Kuzmina	Mgmt	For	For	For
66	Elect Marina Risukhina	Mgmt	For	For	For
67	Elect Irina Sharandina	Mgmt	For	For	For
68	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
69	Elect Dmitry V. Konyaev	Mgmt	For	Abstain	Against

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70	Elect Dmitry Kabysh	Mgmt	For	For	For
71	Elect Luc Marc J. Maene	Mgmt	For	For	For
72	Elect Dmitry A. Mazepin	Mgmt	For	Abstain	Against
73	Elect Robert J. Margetts	Mgmt	For	For	For
74	Elect Dmitry V. Osipov	Mgmt	For	Abstain	Against
75	Elect Paul J. Ostling	Mgmt	For	For	For
76	Elect Dmitry V. Razumov	Mgmt	For	Abstain	Against
77	Elect Mikhail A. Sosnovsky	Mgmt	For	Abstain	Against
78	Elect Dmitry Usanov	Mgmt	For	Abstain	Against
79	Elect Sergey V. Chemezov	Mgmt	For	Abstain	Against
80	Appointment of Auditor (IFRS)	Mgmt	For	Against	Against
81	Appointment of Auditor (Russian Federal Law)	Mgmt	For	Against	Against
82	Appointment of Auditor (RAS)	Mgmt	For	For	For
83	Cost of D&O Insurance	Mgmt	For	For	For
84	Related Party Transactions (D&O Insurance)	Mgmt	For	For	For

Uralkaliy PAO (Uralkali JSC)

Ticker	Security ID:	Meeting Date	Meeting Status		
URKA	CINS 91688E206	08/07/2015	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Major Transaction	Mgmt	For	For	For
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Amendments to Board of Directors Regulations	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Uralkaliy PAO (Uralkali JSC)

Ticker	Security ID:	Meeting Date	Meeting Status		
URKA	CINS 91688E206	12/09/2015	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Major Transactions	Mgmt	For	For	For
3	Major Transaction (Share Purchase)	Mgmt	For	For	For

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	Agreement)					
4	Major Transaction (Supplementary Agreement to Indemnity Deed)	Mgmt	For	For	For	
5	Major Transactions (Supplementary Service Agreements)	Mgmt	For	For	For	
6	Amendments to Articles	Mgmt	For	Abstain	Against	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Declaration of Shareholder Type	Mgmt	N/A	For	N/A	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Wilmar International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
F34	CINS Y9586L109	04/28/2016	Voted		
	Meeting Type	Country of Trade			
	Annual	Singapore			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Elect Martua Sitorus	Mgmt	For	For	For
5	Elect KUOK Khoon Chen	Mgmt	For	Against	Against
6	Elect KUOK Khoon Ean	Mgmt	For	Against	Against
7	Elect PUA Seck Guan	Mgmt	For	For	For
8	Elect Kishore Mahbubani	Mgmt	For	For	For
9	Elect YEO Teng Yang	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
12	Authority to Grant Shares Under Wilmar Executives Share Option Scheme 2009	Mgmt	For	Against	Against
13	Related Party Transactions	Mgmt	For	Against	Against

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14	Authority to Repurchase Shares	Mgmt	For	For	For	
<hr/>						
Wilmar International Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
F34	CINS Y9586L109	04/28/2016		Voted		
Meeting Type		Country of Trade				
Special		Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Approval to Adopt the Company's Constitution	Mgmt	For	For	For	
<hr/>						
Yara International ASA						
Ticker	Security ID:	Meeting Date		Meeting Status		
YAR	CINS R9900C106	05/10/2016		Take No Action		
Meeting Type		Country of Trade				
Annual		Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
5	Opening of Meeting; Agenda	Mgmt	For	TNA	N/A	
6	Election of Presiding Chairman; Minutes	Mgmt	For	TNA	N/A	
7	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A	
8	Remuneration Guidelines	Mgmt	For	TNA	N/A	
9	Presentation of Corporate Governance Report	Mgmt	For	TNA	N/A	
10	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A	
11	Directors' Fees	Mgmt	For	TNA	N/A	
12	Nomination Committee Fees	Mgmt	For	TNA	N/A	
13	Election of Directors	Mgmt	For	TNA	N/A	
14	Election of Nomination Committee	Mgmt	For	TNA	N/A	
15	Cancellation of Shares	Mgmt	For	TNA	N/A	
16	Authority to Repurchase	Mgmt	For	TNA	N/A	

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Shares

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Zoetis Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
ZTS	CUSIP 98978V103	05/12/2016		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Juan Ramon Alaix	Mgmt	For	For	For	
2	Elect Paul M. Bisaro	Mgmt	For	For	For	
3	Elect Frank A. D'Amelio	Mgmt	For	For	For	
4	Elect Michael B. McCallister	Mgmt	For	For	For	
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
6	Ratification of Auditor	Mgmt	For	For	For	

Fund Name : VanEck Vectors BDC Income ETF

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Apollo Investment Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
AINV	CUSIP 03761U106	08/05/2015		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect John J. Hannan	Mgmt	For	For	For	
1.2	Elect R. Rudolph Reinfrank	Mgmt	For	For	For	
1.3	Elect James C. Zelter	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	

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Apollo Investment Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
AINV	CUSIP 03761U106	08/05/2015		Voted		
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Issue Common Stock Below NAV	Mgmt	For	For	For	

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Ares Capital Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
ARCC	CUSIP 04010L103	05/12/2016		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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Mgmt

1	Elect R. Kipp deVeer	Mgmt	For	For	For
2	Elect Daniel G. Kelly	Mgmt	For	For	For
3	Elect Eric B. Siegel	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

Ares Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ARCC	CUSIP 04010L103	05/12/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Sell Shares Below Net Asset Value	Mgmt	For	For	For

BlackRock Capital Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
BKCC	CUSIP 092533108	05/06/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark S. Lies	Mgmt	For	For	For
1.2	Elect Maureen K. Usifer	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

BlackRock Capital Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
BKCC	CUSIP 092533108	06/06/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Common Stock at a Discount to NAV	Mgmt	For	For	For

Fifth Street Finance Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
FSC	CUSIP 31678A103	04/13/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard P. Dutkiewicz	Mgmt	For	Withhold	Against
1.2	Elect Todd G. Owens	Mgmt	For	For	For
1.3	Elect Douglas F. Ray	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For

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### Gladstone Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
GLAD	CUSIP 376535100	02/11/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Elect Paul W. Adelgren	Mgmt	For
2	Elect David Gladstone	Mgmt	For
3	Elect John H. Outland	Mgmt	For
4	Authority to Sell Shares Below Net Asset Value	Mgmt	For

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### Gladstone Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
GAIN	CUSIP 376546107	08/06/2015	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Elect Terry Lee Brubaker	Mgmt	For
2	Elect Caren D. Merrick	Mgmt	For
3	Authority to Issue Common Stock Below NAV	Mgmt	For

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### Goldman Sachs BDC Inc

Ticker	Security ID:	Meeting Date	Meeting Status
GSBD	CUSIP 38147U107	11/06/2015	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Elect Ashok N. Bakhru	Mgmt	For
2	Elect Janet F. Clark	Mgmt	For

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### Golub Capital BDC Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
GBDC	CUSIP 38173M102	02/02/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Elect Lawrence E. Golub	Mgmt	For
2	Elect William M. Webster IV	Mgmt	For
3	Ratification of Auditor	Mgmt	For

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### Hercules Technology Growth Capital, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
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HTGC	CUSIP 427096508	07/07/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Allyn C. Woodward, Jr.	Mgmt	For	For	For
1.2	Elect Thomas J. Fallon	Mgmt	For	For	For
1.3	Elect Joseph A. Hoffman	Mgmt	For	For	For
1.4	Elect Susanne D. Lyons	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Amendment to the 2004 Equity Incentive Plan	Mgmt	For	For	For
4	Authority to Issue Common Stock Below NAV	Mgmt	For	For	For

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### KCAP Financial, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
KCAP	CUSIP 48668E101	08/17/2015	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares Below Net Asset Value	Mgmt	For	For	For

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### Main Street Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MAIN	CUSIP 56035L104	05/02/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael Appling, Jr.	Mgmt	For	For	For
2	Elect Joseph E. Canon	Mgmt	For	For	For
3	Elect Arthur L. French	Mgmt	For	For	For
4	Elect J. Kevin Griffin	Mgmt	For	For	For
5	Elect John E. Jackson	Mgmt	For	For	For
6	Elect Brian E. Lane	Mgmt	For	For	For
7	Elect Stephen B. Solcher	Mgmt	For	For	For
8	Elect Vincent D. Foster	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For

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MCG Capital Corporation		Meeting Date	Meeting Status		
Ticker	Security ID:	08/14/2015	Voted		
MCGC	CUSIP 58047P107	Country of Trade	United States		
Meeting Type		Proponent	Mgmt Rec	Vote Cast	For/Against
Special		Mgmt			
Issue No.	Description				
1	Merger	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

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MCG Capital Corporation		Meeting Date	Meeting Status		
Ticker	Security ID:	08/14/2015	Voted		
MCGC	CUSIP 58047P107	Country of Trade	United States		
Meeting Type		Proponent	Mgmt Rec	Vote Cast	For/Against
Special		Mgmt			
Issue No.	Description				
1	Merger	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

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Medallion Financial Corp.		Meeting Date	Meeting Status		
Ticker	Security ID:	06/17/2016	Voted		
MFIN	CUSIP 583928106	Country of Trade	United States		
Meeting Type		Proponent	Mgmt Rec	Vote Cast	For/Against
Annual		Mgmt			
Issue No.	Description				
1.1	Elect Andrew M. Murstein	Mgmt	For	For	For
1.2	Elect Lowell P. Weicker, Jr.	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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Medley Capital Corp.		Meeting Date	Meeting Status		
Ticker	Security ID:	03/14/2016	Voted		
MCC	CUSIP 58503F106	Country of Trade	United States		
Meeting Type		Proponent	Mgmt Rec	Vote Cast	For/Against
Annual		Mgmt			
Issue No.	Description				
1.1	Elect Seth Taube	Mgmt	For	Withhold	Against
1.2	Elect Arthur S. Ainsberg	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

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New Mountain Finance Corporation

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Ticker	Security ID:	Meeting Date	Meeting Status
NMFC	CUSIP 647551100	04/27/2016	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Robert A. Hamwee	Mgmt	For
1.2	Elect Alfred F. Hurley, Jr.	Mgmt	For
			Withhold
			Against
2	Ratification of Auditor	Mgmt	For
			For
			For

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PennantPark Companies			
Ticker	Security ID:	Meeting Date	Meeting Status
PFLT	CUSIP 70806A106	02/02/2016	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Adam K. Bernstein	Mgmt	For
1.2	Elect Jeffrey Flug	Mgmt	For
2	Ratification of Auditor	Mgmt	For
			Withhold
			Against
			For
			For

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PennantPark Companies			
Ticker	Security ID:	Meeting Date	Meeting Status
PNNT	CUSIP 708062104	02/23/2016	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Arthur H. Penn	Mgmt	For
2	Ratification of Auditor	Mgmt	For
			For
			For

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Prospect Capital Corporation			
Ticker	Security ID:	Meeting Date	Meeting Status
PSEC	CUSIP 74348T102	01/08/2016	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Andrew C. Cooper	Mgmt	For
1.2	Elect M. Grier Eliasek	Mgmt	For
2	Authority to Sell Common Stock Below NAV	Mgmt	For
			Withhold
			Against
			For
			For

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Solar Capital Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status
SLRC	CUSIP 83413U100	06/07/2016	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect David S. Wachter	Mgmt	For
			Withhold
			Against

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2	Authority to Issue Common Stock Below NAV	Mgmt	For	For	For
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TCP Capital Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
TCPC	CUSIP 87238Q103	05/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Eric J. Draut	Mgmt	For	For	For
1.2	Elect Franklin R. Johnson	Mgmt	For	Withhold	Against
1.3	Elect Peter E. Schwab	Mgmt	For	For	For
1.4	Elect Brian F. Wruble	Mgmt	For	For	For
1.5	Elect Howard M. Levkowitz	Mgmt	For	For	For
1.6	Elect Rajneesh Vig	Mgmt	For	For	For
2	Authority to Sell Shares Below Net Asset Value	Mgmt	For	For	For

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THL Credit, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TCRD	CUSIP 872438106	06/02/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David K. Downes	Mgmt	For	For	For
1.2	Elect Christopher J. Flynn	Mgmt	For	For	For
1.3	Elect Nancy Hawthorne	Mgmt	For	For	For
1.4	Elect James D. Kern	Mgmt	For	For	For
1.5	Elect Deborah McAneny	Mgmt	For	For	For
1.6	Elect David P. Southwell	Mgmt	For	For	For
1.7	Elect Sam W. Tillinghast	Mgmt	For	For	For
2	Authority to Issue Common Stock Below NAV	Mgmt	For	For	For
3	Authority to Issue Debt with Warrants or Convertible Securities Below NAV	Mgmt	For	For	For
4	Right to Adjourn Meeting	Mgmt	For	For	For

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TICC Capital Corp.  
 Ticker Security ID: Meeting Date Meeting Status  
 TICC CUSIP 87244T109 12/22/2015 Unvoted  
 Meeting Type Country of Trade  
 Special United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of a New Investment Advisory Agreement	Mgmt	For	N/A	N/A
2.1	Elect Dennis M. Schaney	Mgmt	For	N/A	N/A
2.2	Elect Lee S. Hillman	Mgmt	For	N/A	N/A
2.3	Elect Ronald J. Kramer	Mgmt	For	N/A	N/A
2.4	Elect Gary Katcher	Mgmt	For	N/A	N/A
2.5	Elect Thomas J. Gahan	Mgmt	For	N/A	N/A
2.6	Elect Richard J. Byrne	Mgmt	For	N/A	N/A
3	Right to Adjourn Meeting	Mgmt	For	N/A	N/A

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TICC Capital Corp.  
 Ticker Security ID: Meeting Date Meeting Status  
 TICC CUSIP 87244T109 12/22/2015 Unvoted  
 Meeting Type Country of Trade  
 Special United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of a New Investment Advisory Agreement	Mgmt	N/A	N/A	N/A
2	Elect Dennis M. Schaney	Mgmt	N/A	N/A	N/A
3	Elect Lee S. Hillman	Mgmt	N/A	N/A	N/A
4	Elect Ronald J. Kramer	Mgmt	N/A	N/A	N/A
5	Elect Gary Katcher	Mgmt	N/A	N/A	N/A
6	Elect Thomas J. Gahan	Mgmt	N/A	N/A	N/A
7	Elect Richard J. Byrne	Mgmt	N/A	N/A	N/A
8	Right to Adjourn Meeting	Mgmt	N/A	N/A	N/A

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TICC Capital Corp.  
 Ticker Security ID: Meeting Date Meeting Status  
 TICC CUSIP 87244T109 12/22/2015 Voted  
 Meeting Type Country of Trade  
 Special United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of a New Investment Advisory Agreement	Mgmt	N/A	Against	N/A
2.1	Elect Bob Froehlich	Mgmt	N/A	For	N/A
2.2	Elect John Honis	Mgmt	N/A	For	N/A
2.3	Elect Timothy Hui	Mgmt	N/A	Withhold	N/A
2.4	Elect William Swenson	Mgmt	N/A	For	N/A

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2.5	Elect Ethan Powell	Mgmt	N/A	For	N/A
2.6	Elect Bryan Ward	Mgmt	N/A	For	N/A
3	Right to Adjourn Meeting	Mgmt	N/A	Against	N/A

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### TPG Specialty Lending Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
TS LX	CUSIP 87265K102	05/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John Ross	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

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### Triangle Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
TCAP	CUSIP 895848109	05/25/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect E. Ashton Poole	Mgmt	For	For	For
2	Elect Brent P. W. Burgess	Mgmt	For	For	For
3	Elect Steven C. Lilly	Mgmt	For	Against	Against
4	Elect Garland S. Tucker, III	Mgmt	For	For	For
5	Elect W. McComb Dunwoody	Mgmt	For	Against	Against
6	Elect Mark M. Gambill	Mgmt	For	For	For
7	Elect Benjamin S. Goldstein	Mgmt	For	For	For
8	Elect Simon B. Rich, Jr.	Mgmt	For	For	For
9	Elect Sherwood H. Smith, Jr.	Mgmt	For	For	For
10	Authority to Sell Shares Below Net Asset Value	Mgmt	For	For	For

Fund Name : VanEck Vectors Biotech ETF

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### Alexion Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
ALXN	CUSIP 015351109	05/11/2016	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Leonard Bell	Mgmt	For	For	For
2	Elect Felix Baker	Mgmt	For	For	For
3	Elect David R. Brennan	Mgmt	For	For	For
4	Elect M. Michele Burns	Mgmt	For	For	For
5	Elect Christopher J. Coughlin	Mgmt	For	For	For
6	Elect David Hallal	Mgmt	For	For	For
7	Elect John T. Mollen	Mgmt	For	For	For
8	Elect R. Douglas Norby	Mgmt	For	For	For
9	Elect Alvin S. Parven	Mgmt	For	For	For
10	Elect Andreas Rummelt	Mgmt	For	For	For
11	Elect Ann M. Veneman	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Ratification of Auditor	Mgmt	For	For	For
14	Shareholder Proposal Regarding Right To Call A Special Meeting	ShrHoldr	Against	For	Against

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Alkermes plc

Ticker	Security ID:	Meeting Date	Meeting Status		
ALKS	CUSIP G01767105	05/25/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David W. Anstice	Mgmt	For	For	For
2	Elect Robert A. Breyer	Mgmt	For	For	For
3	Elect Wendy L. Dixon	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
5	Ratification of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Amendment to the 2011 Stock Option and Incentive Plan	Mgmt	For	For	For
7	Authority to Issue Shares with or without Preemptive Rights	Mgmt	For	For	For
8	Amendments to Articles Regarding Irish Companies Act	Mgmt	For	For	For

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2014

9	Amendments to Memorandum Regarding Irish Companies Act 2014	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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Alnylam Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALNY	CUSIP 02043Q107	05/03/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Steven M. Paul	Mgmt	For	Against	Against
2	Elect Amy W. Schulman	Mgmt	For	For	For
3	Elect Kevin P. Starr	Mgmt	For	Against	Against
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
5	Ratification of Auditor	Mgmt	For	For	For

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AMGEN Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AMGN	CUSIP 031162100	05/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David Baltimore	Mgmt	For	For	For
2	Elect Frank J. Biondi, Jr.	Mgmt	For	For	For
3	Elect Robert A. Bradway	Mgmt	For	For	For
4	Elect Francois de Carbonnel	Mgmt	For	For	For
5	Elect Robert A. Eckert	Mgmt	For	For	For
6	Elect Greg C. Garland	Mgmt	For	For	For
7	Elect Fred Hassan	Mgmt	For	For	For
8	Elect Rebecca M. Henderson	Mgmt	For	For	For
9	Elect Frank C. Herringer	Mgmt	For	For	For
10	Elect Tyler Jacks	Mgmt	For	For	For
11	Elect Judith C. Pelham	Mgmt	For	For	For
12	Elect Ronald D. Sugar	Mgmt	For	For	For
13	Elect R. Sanders Williams	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For

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15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	Against	For

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### Baxalta Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
BXLT	CUSIP 07177M103	05/27/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against
3	Right to Adjourn Meeting	Mgmt	For	For	For

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### Biogen Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BIIB	CUSIP 09062X103	06/08/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alexander J. Denner	Mgmt	For	For	For
2	Elect Caroline Dorsa	Mgmt	For	For	For
3	Elect Nancy L. Leaming	Mgmt	For	For	For
4	Elect Richard C. Mulligan	Mgmt	For	For	For
5	Elect Robert W. Pangia	Mgmt	For	For	For
6	Elect Stelios Papadopoulos	Mgmt	For	For	For
7	Elect Brian S. Posner	Mgmt	For	For	For
8	Elect Eric K. Rowinsky	Mgmt	For	For	For
9	Elect George A. Scangos	Mgmt	For	For	For
10	Elect Lynn Schenk	Mgmt	For	For	For
11	Elect Stephen A. Sherwin	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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### Biomarin Pharmaceutical Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BMRN	CUSIP 09061G101	06/06/2016	Voted		

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jean-Jacques Bienaime	Mgmt	For	For	For
1.2	Elect Michael Grey	Mgmt	For	For	For
1.3	Elect Elaine J. Heron	Mgmt	For	For	For
1.4	Elect V. Bryan Lawlis, Jr.	Mgmt	For	For	For
1.5	Elect Alan J. Lewis	Mgmt	For	For	For
1.6	Elect Richard A. Meier	Mgmt	For	For	For
1.7	Elect David Pyott	Mgmt	For	For	For
1.8	Elect Dennis J. Slamon	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Ratification of Auditor	Mgmt	For	For	For
4	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against	For	Against

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bluebird bio, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BLUE	CUSIP 09609G100	06/02/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Wendy L. Dixon	Mgmt	For	For	For
1.2	Elect James Mandell	Mgmt	For	For	For
1.3	Elect David P. Schenkein	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Ratification of Auditor	Mgmt	For	For	For

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Celgene Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CELG	CUSIP 151020104	06/15/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert J. Hugin	Mgmt	For	For	For
1.2	Elect Mark J. Alles	Mgmt	For	For	For
1.3	Elect Richard W. Barker	Mgmt	For	For	For
1.4	Elect Michael W. Bonney	Mgmt	For	For	For
1.5	Elect Michael D. Casey	Mgmt	For	For	For
1.6	Elect Carrie S. Cox	Mgmt	For	For	For
1.7	Elect Jacquelyn A.	Mgmt	For	For	For

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Fouse

1.8	Elect Michael A. Friedman	Mgmt	For	For	For
1.9	Elect Julia A. Haller	Mgmt	For	For	For
1.10	Elect Gilla Kaplan	Mgmt	For	For	For
1.11	Elect James J. Loughlin	Mgmt	For	For	For
1.12	Elect Ernest Mario	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 2008 Stock Incentive Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Exclusive Forum Provision	Mgmt	For	Against	Against
6	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHolder	Against	For	Against
7	Shareholder Proposal Regarding Proxy Access	ShrHolder	Against	For	Against

Cepheid

Ticker	Security ID:	Meeting Date	Meeting Status		
CPHD	CUSIP 15670R107	04/26/2016	Voted		
Meeting Type	Country of Trade	United States			
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Thomas L. Gutshall	Mgmt	For	For	For
2	Elect Cristina H. Kepner	Mgmt	For	For	For
3	Elect David H. Persing	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

Charles River Laboratories International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CRL	CUSIP 159864107	05/11/2016	Voted		
Meeting Type	Country of Trade	United States			
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James C. Foster	Mgmt	For	For	For
2	Elect Robert J.	Mgmt	For	For	For

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Bertolini

3	Elect Stephen D. Chubb	Mgmt	For	For	For
4	Elect Deborah T. Kochevar	Mgmt	For	For	For
5	Elect George E. Massaro	Mgmt	For	For	For
6	Elect George M. Milne, Jr.	Mgmt	For	Against	Against
7	Elect C. Richard Reese	Mgmt	For	For	For
8	Elect Craig B. Thompson	Mgmt	For	For	For
9	Elect Richard F. Wallman	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Approval of the 2016 Incentive Plan	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For

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Gilead Sciences, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GILD	CUSIP 375558103	05/11/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John F. Cogan	Mgmt	For	For	For
2	Elect Kevin E. Lofton	Mgmt	For	For	For
3	Elect John W. Madigan	Mgmt	For	For	For
4	Elect John C. Martin	Mgmt	For	For	For
5	Elect John F. Milligan	Mgmt	For	For	For
6	Elect Nicholas G. Moore	Mgmt	For	For	For
7	Elect Richard J. Whitley	Mgmt	For	For	For
8	Elect Gayle E. Wilson	Mgmt	For	For	For
9	Elect Per Wold-Olsen	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Amendment to the Code Section 162(m) Bonus Plan	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against

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Illumina, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
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ILMN	CUSIP 452327109	05/18/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Frances Arnold	Mgmt	For	For	For
2	Elect Francis deSouza	Mgmt	For	For	For
3	Elect Karin Eastham	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Ratify the Retention of the Company's Supermajority Requirements	Mgmt	For	Against	Against

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### Incyte Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
INCY	CUSIP 45337C102	05/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Julian C. Baker	Mgmt	For	For	For
1.2	Elect Jean-Jacques Bienaime	Mgmt	For	For	For
1.3	Elect Paul A. Brooke	Mgmt	For	For	For
1.4	Elect Paul J. Clancy	Mgmt	For	For	For
1.5	Elect Wendy L. Dixon	Mgmt	For	For	For
1.6	Elect Paul A. Friedman	Mgmt	For	For	For
1.7	Elect Herve Hoppenot	Mgmt	For	For	For
2	Amendment to the Stock Incentive Plan	Mgmt	For	For	For
3	Amendment to the Stock Purchase Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

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### Intercept Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ICPT	CUSIP 45845P108	11/24/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Srinivas Akkaraju	Mgmt	For	For	For
1.2	Elect Luca Benatti	Mgmt	For	For	For
1.3	Elect Paolo Fundaro	Mgmt	For	For	For

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1.4	Elect Mark Pruzanski	Mgmt	For	For	For
1.5	Elect Gino Santini	Mgmt	For	For	For
1.6	Elect Glenn P. Sblendorio	Mgmt	For	For	For
1.7	Elect Jonathan T. Silverstein	Mgmt	For	For	For
1.8	Elect Klaus R. Veitinger	Mgmt	For	For	For
1.9	Elect Daniel G. Welch	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

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### Ionis Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
IONS	CUSIP 462222100	06/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Stanley T. Crooke	Mgmt	For	For	For
1.2	Elect Joseph Klein, III	Mgmt	For	For	For
1.3	Elect Joseph Loscalzo	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

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### Medivation, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MDVN	CUSIP 58501N101	06/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Kim D. Blickenstaff	Mgmt	For	For	For
1.2	Elect Kathryn E. Falberg	Mgmt	For	For	For
1.3	Elect David T. Hung	Mgmt	For	For	For
1.4	Elect Michael L. King	Mgmt	For	For	For
1.5	Elect C. Patrick Machado	Mgmt	For	For	For
1.6	Elect Dawn Svoronos	Mgmt	For	Withhold	Against
1.7	Elect W. Anthony Vernon	Mgmt	For	For	For
1.8	Elect Wendy L. Yarno	Mgmt	For	For	For

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2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Amendment to the 2004 Equity Incentive Award Plan	Mgmt	For	For	For
5	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	For	Against

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Puma Biotechnology, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PBYI	CUSIP 74587V107	01/06/2016	Unvoted		
Meeting Type	Country of Trade	United States			
Consent					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Repeal of Bylaw Amendments	Mgmt	N/A	N/A	N/A
2	Removal of Director(s)	Mgmt	N/A	N/A	N/A
3	Change in Board Size	Mgmt	N/A	N/A	N/A
4.1	Elect Frederic N. Eshelman	Mgmt	N/A	N/A	N/A
4.2	Elect James M. Daly	Mgmt	N/A	N/A	N/A
4.3	Elect Seth A. Rudnick	Mgmt	N/A	N/A	N/A
4.4	Elect Kenneth B. Lee, Jr.	Mgmt	N/A	N/A	N/A

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Puma Biotechnology, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PBYI	CUSIP 74587V107	01/06/2016	Unvoted		
Meeting Type	Country of Trade	United States			
Consent					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Repeal of Bylaw Amendments	Mgmt	N/A	N/A	N/A
2	Removal of Director(s)	Mgmt	N/A	N/A	N/A
3	Change in Board Size	Mgmt	N/A	N/A	N/A
4.1	Elect Frederic N. Eshelman	Mgmt	N/A	N/A	N/A
4.2	Elect James M. Daly	Mgmt	N/A	N/A	N/A
4.3	Elect Seth A. Rudnick	Mgmt	N/A	N/A	N/A
4.4	Elect Kenneth B. Lee, Jr.	Mgmt	N/A	N/A	N/A

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Puma Biotechnology, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
PBYI	CUSIP 74587V107	01/06/2016	Voted

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Meeting Type		Country of Trade			
Consent		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Revoke Consent for the Repeal of Bylaw Amendments	Mgmt	For	For	For
2	Revoke Consent for the Removal of Director(s)	Mgmt	For	For	For
3	Revoke Consent for an Increase in Board Size	Mgmt	For	For	For
4.1	Revoke Consent for the Election of Frederic N. Esh	Mgmt	For	For	For
4.2	Revoke Consent for the Election of James M. Daly	Mgmt	For	For	For
4.3	Revoke Consent for the Election of Seth A. Rudnick	Mgmt	For	For	For
4.4	Revoke Consent for the Election of Kenneth B. Lee,	Mgmt	For	For	For

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Puma Biotechnology, Inc.

Ticker Security ID:  
 PBYI CUSIP 74587V107

Meeting Date  
 01/06/2016

Meeting Status  
 Voted

Meeting Type  
 Consent

Country of Trade  
 United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Revoke Consent for the Repeal of Bylaw Amendments	Mgmt	For	For	For
2	Revoke Consent for the Removal of Director(s)	Mgmt	For	For	For
3	Revoke Consent for an Increase in Board Size	Mgmt	For	For	For
4.1	Revoke Consent for the Election of Frederic N. Esh	Mgmt	For	For	For
4.2	Revoke Consent for the	Mgmt	For	For	For

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Election of James M.  
Daly

4.3	Revoke Consent for the Election of Seth A. Rudnick	Mgmt	For	For	For
4.4	Revoke Consent for the Election of Kenneth B. Lee,	Mgmt	For	For	For

Puma Biotechnology, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PBYI	CUSIP 74587V107	06/13/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Alan H. Auerbach	Mgmt	For	For	For
1.2	Elect Jay M. Moyes	Mgmt	For	Withhold	Against
1.3	Elect Adrian M. Senderowicz	Mgmt	For	For	For
1.4	Elect Troy E. Wilson	Mgmt	For	For	For
1.5	Elect Frank E. Zavrl	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Elimination of Written Consent	Mgmt	For	Against	Against
4	Elimination of Mandatory Indemnification	Mgmt	For	For	For

Qiagen N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
QGEN	CUSIP N72482107	06/21/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Opening	Mgmt	For	For	For
2	Report of the Management Board	Mgmt	For	For	For
3	Report of the Supervisory Board	Mgmt	For	For	For
4	Amendments to Articles to Permit Staggered Director Terms	Mgmt	For	Against	Against
5	Elect Stephane Bancel	Mgmt	For	For	For
6	Elect Metin Colpan	Mgmt	For	For	For
7	Elect Manfred Karobath	Mgmt	For	For	For

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8	Elect Ross L. Levine	Mgmt	For	For	For
9	Elect Elaine Mardis	Mgmt	For	For	For
10	Elect Lawrence A. Rosen	Mgmt	For	For	For
11	Elect Elizabeth E. Tallett	Mgmt	For	For	For
12	Elect Peer Schatz	Mgmt	For	For	For
13	Elect Roland Sackers	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Authority to Suppress Preemptive Rights	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For

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Quintiles Transnational Holdings Inc

Ticker	Security ID:	Meeting Date	Meeting Status
Q	CUSIP 74876Y101	05/05/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Jack M. Greenberg	Mgmt	For
1.2	Elect Thomas H. Pike	Mgmt	For
1.3	Elect Annie H. Lo	Mgmt	For
2	Advisory Vote on Executive Compensation	Mgmt	For
3	Ratification of Auditor	Mgmt	For

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Regeneron Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
REGN	CUSIP 75886F107	06/10/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Michael S. Brown	Mgmt	For
2	Elect Leonard S. Schleifer	Mgmt	For
3	Elect George D. Yancopoulos	Mgmt	For
4	Ratification of Auditor	Mgmt	For

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Seattle Genetics, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
SGEN	CUSIP 812578102	05/20/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against

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						Mgmt
1.1	Elect Marc E. Lippman	Mgmt	For	For	For	For
1.2	Elect Daniel G. Welch	Mgmt	For	Withhold	Against	Against
1.3	Elect Srinivas Akkaraju	Mgmt	For	For	For	For
2	Amendment to the 2007 Equity Incentive Plan	Mgmt	For	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against	Against

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United Therapeutics Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
UTHR	CUSIP 91307C102	06/21/2016	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Christopher Causey	Mgmt	For	For	For	
2	Elect Richard Giltner	Mgmt	For	For	For	
3	Elect Judy Olian	Mgmt	For	For	For	
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
5	Ratification of Auditor	Mgmt	For	For	For	

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Vertex Pharmaceuticals Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status			
VRTX	CUSIP 92532F100	06/15/2016	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Joshua Boger	Mgmt	For	For	For	
1.2	Elect Terrence C. Kearney	Mgmt	For	For	For	
1.3	Elect Yuchun Lee	Mgmt	For	For	For	
1.4	Elect Elaine S. Ullian	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
4	Shareholder Proposal Regarding Declassification of the Board	ShrHoldr	Against	For	Against	
5	Shareholder Proposal Regarding Compensation in the	ShrHoldr	Against	Against	For	

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Event of a Change in  
Control

6	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against	Against	For
7	Shareholder Proposal Regarding Linking Executive Pay to Sustainability	ShrHoldr	Against	Against	For

Fund Name : VanEck Vectors Brazil Small-Cap ETF

Adecoagro S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGRO	CUSIP L00849106	04/20/2016	Voted		
Meeting Type	Country of Trade		Vote Cast		
Annual	United States		For/Against		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	Mgmt
1	Approval of Consolidated Financial Statements	Mgmt	For	For	For
2	Approval of 2015 Accounts	Mgmt	For	For	For
3	Allocation of 2015 Results	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Approval of 2015 Directors Fees	Mgmt	For	For	For
6	Approval of 2016 Directors Fees	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Abstain	Against
8	Elect Alan L. Boyce	Mgmt	For	For	For
9	Elect Andres Velasco Branes	Mgmt	For	For	For
10	Elect Marcelo Vieira	Mgmt	For	For	For
11	Elect Walter M. Sanchez	Mgmt	For	For	For
12	Approval of Renewal of Authorized Share Capital	Mgmt	For	Against	Against
13	Authority to Repurchase	Mgmt	For	For	For

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Shares

14	Amend Articles Regarding Renewal of Authorized Share Capital	Mgmt	For	Against	Against
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Aliansce Shopping Centers

Ticker	Security ID:	Meeting Date	Meeting Status		
ALSC3	CINS P0161M109	04/29/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Ratification of Distribution of Interest on Capital	Mgmt	For	For	For
4	Amendments to Articles (Management Board)	Mgmt	For	For	For

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Aliansce Shopping Centers

Ticker	Security ID:	Meeting Date	Meeting Status		
ALSC3	CINS P0161M109	04/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	N/A	N/A
10	Elect Rafael Sales Guimaraes as Representative of Minority Shareholders	Mgmt	For	For	For
11	Remuneration Policy	Mgmt	For	Against	Against

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Aliansce Shopping Centers

Ticker	Security ID:	Meeting Date	Meeting Status		
ALSC3	CINS P0161M109	05/17/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Amendments to Articles (Management Board)	Mgmt	For	For	For

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Alpargatas S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALPA4	CINS P8511H118	04/13/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Elect Joao Jose Oliveira de Araujo to the Board as Representative of Preferred Shareholders	Mgmt	N/A	Against	N/A
6	Elect Jorge Michel Lepeltier to the Supervisory Council as Representative of Preferred Shareholders	Mgmt	N/A	Against	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Alupar Investimentos S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALUP11	CINS P0R676126	03/09/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Humberto Falcao Martins	Mgmt	For	For	For
4	Increase in Authorized Capital	Mgmt	For	Against	Against
5	Consolidation of Articles	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Alupar Investimentos S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
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ALUP11	CINS P0R676126	04/20/2016	Voted			
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	

Alupar Investimentos S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
ALUP11	CINS P0R676126	04/20/2016	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Remuneration Policy	Mgmt	For	For	For	
4	Capitalization of Reserves for Bonus Share Issuance	Mgmt	For	For	For	
5	Amendments to Articles (Share Capital Reconciliation)	Mgmt	For	For	For	
6	Consolidation of Articles	Mgmt	For	For	For	

Alupar Investimentos S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
ALUP11	CINS P0R676126	05/19/2016	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Increase in Authorized Capital	Mgmt	For	For	For	

Arezzo Industria e Comercio SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
ARZZ3	CINS P04508100	04/29/2016	Voted			
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	

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4	Capital Expenditure Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	Against	Against

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### Atento S.A

Ticker	Security ID:	Meeting Date	Meeting Status		
ATTO	CUSIP L0427L105	05/31/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Stuart Gent	Mgmt	For	For	For
2	Elect Alejandro Reynal	Mgmt	For	Against	Against
3	Elect Vishal Jugdeb	Mgmt	For	For	For
4	Management Report of Annual Accounts	Mgmt	For	For	For
5	Approval of Individual Accounts	Mgmt	For	For	For
6	Approval of Allocation of Annual Results	Mgmt	For	For	For
7	Consolidated Annual Reports	Mgmt	For	For	For
8	Discharge Members of the Board	Mgmt	For	For	For
9	Approve Appointment of Mark Foster and Vishal Jugdeb to Fill Board Vacancies	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Renumeration of Directors of the Company	Mgmt	For	For	For

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### B2W - Companhia Digital

Ticker	Security ID:	Meeting Date	Meeting Status		
BTOW3	CINS P19055113	04/27/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles (Corporate Purpose)	Mgmt	For	For	For

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3	Amendments to Articles (Capital Increase)	Mgmt	For	For	For
4	Amendment to the Stock Option Plan	Mgmt	For	Against	Against
5	Consolidation of Articles	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

B2W - Companhia Digital

Ticker	Security ID:	Meeting Date	Meeting Status		
BTOW3	CINS P19055113	04/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Remuneration Policy	Mgmt	For	Against	Against

B2W - Companhia Digital

Ticker	Security ID:	Meeting Date	Meeting Status		
BTOW3	CINS P19055113	05/31/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
4	Increase in Authorized Capital	Mgmt	For	Against	Against

Banco ABC Brasil SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ABCB3	CINS P0763M135	04/14/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Elect Guilherme de Moraes Vicente to the Board as	Mgmt	N/A	For	N/A

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Representative of  
Preferred  
Shareholders

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Banco do Estado do Rio Grande do Sul SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
BRSR5	CINS P12553247	04/29/2016	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
6	Election of Supervisory Board Member(s) Representative of Preferred Shareholders	Mgmt	N/A	Abstain	N/A	

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BR Properties S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
BRPR3	CINS P1909V120	04/29/2016	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Capital Expenditure Budget	Mgmt	For	For	For	
7	Ratify Global Remuneration Amount for 2015	Mgmt	For	Abstain	Against	
8	Remuneration Policy	Mgmt	For	For	For	
9	Board Size	Mgmt	For	For	For	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
12	Election of Directors	Mgmt	For	Against	Against	
13	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A	

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BR Properties S.A.

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Ticker	Security ID:	Meeting Date	Meeting Status		
BRPR3	CINS P1909V120	04/29/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For

BR Properties S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
BRPR3	CINS P1909V120	05/02/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Waiver of Public Tender Offer Requirement for GP Real Properties II C, LLC	Mgmt	For	Against	Against
4	Waiver of Public Tender Offer Requirement for Eventual Concurrent Offeror	Mgmt	For	Against	Against
5	Costs of Waiving Creditors' Rights Regarding Debt Maturity	Mgmt	For	Against	Against

BR Properties S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
BRPR3	CINS P1909V120	06/15/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Acknowledge the Election of Two Directors	Mgmt	For	For	For
4	Removal of Directors	Mgmt	For	For	For
5	Board Size	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors	Mgmt	For	For	For

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9	Election of Director(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### BR Properties S.A.

Ticker	Security ID:	Meeting Date		Meeting Status	
BRPR3	CINS P1909V120	10/30/2015		Voted	
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Spin-Off and Merger by Absorption Agreement	Mgmt	For	For	For
4	Ratification of Appointment of Appraiser	Mgmt	For	For	For
5	Valuation Report	Mgmt	For	For	For
6	Partial Spin-Off and Merger by Absorption (Cidade Jardim)	Mgmt	For	For	For
7	Amendment to Merger Agreement Approved on April 30, 2014	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Authorization of Legal Formalities	Mgmt	For	For	For

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### Bradespar S.A.

Ticker	Security ID:	Meeting Date		Meeting Status	
BRAP4	CINS P1808W104	04/25/2016		Voted	
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Elect Joao Moises De Oliveira to the Board as Representative of Preferred	Mgmt	For	N/A	N/A

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Shareholders.

8	Elect Eduardo Parente Menezes to the Board as Representative of Preferred Shareholders	Mgmt	For	For	For
9	Elec Julio Sergio de Souza Cardozo to the Board as Representative of Preferred Shareholders	Mgmt	For	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Elect Olidio Aralde Junior to the Supervisory Council as Representative of Preferred Shareholders	Mgmt	N/A	Against	N/A
13	Elect Isabella Saboya de Albuquerque to the Supervisory Council as Representative of Preferred Shareholders	Mgmt	N/A	For	N/A
14	Elect Marcelo Gasparino da Silva to the Supervisory Council as Representative of Preferred Shareholders	Mgmt	N/A	Against	N/A

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Cia Hering

Ticker	Security ID:	Meeting Date	Meeting Status		
HGTX3	CINS P50753105	04/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Capital Expenditure Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	For	For

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Cia Hering

Ticker	Security ID:	Meeting Date	Meeting Status
HGTX3	CINS P50753105	04/27/2016	Voted

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Meeting Type Special		Country of Trade Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Cancellation of Treasury Shares; Amendment to Article	Mgmt	For	For	For	
5	Capitalization of Reserves w/o Share Issuance; Amendment to Article	Mgmt	For	For	For	
6	Board Size; Amendment to Articles	Mgmt	For	For	For	
7	Amendments to Articles	Mgmt	For	For	For	
8	Ratify Co-option of Andrea Oliveira Mota Baril	Mgmt	For	For	For	
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
10	Election of Directors	Mgmt	For	Against	Against	
11	Transfer of Reserves	Mgmt	For	For	For	
12	Consolidation of Articles	Mgmt	For	For	For	

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Companhia de Saneamento de Minas Gerais - COPASA MG					
Ticker	Security ID:	Meeting Date		Meeting Status	
CSMG3	CINS P28269101	01/06/2016		Voted	
Meeting Type Special		Country of Trade Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Elect Remulo Borges de Azevedo Lemos	Mgmt	For	For	For

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Companhia de Saneamento de Minas Gerais - COPASA MG					
Ticker	Security ID:	Meeting Date		Meeting Status	
CSMG3	CINS P28269101	04/13/2016		Voted	
Meeting Type Special		Country of Trade Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Policy	Mgmt	For	Against	Against
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Companhia de Saneamento de Minas Gerais - COPASA MG

Ticker	Security ID:	Meeting Date	Meeting Status
CSMG3	CINS P28269101	04/13/2016	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Interest on Capital	Mgmt	For	Abstain	Against
8	Investment Plan	Mgmt	For	For	For
9	Election of Directors; Election of Supervisory Council	Mgmt	For	Against	Against

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Companhia de Saneamento de Minas Gerais - COPASA MG

Ticker	Security ID:	Meeting Date	Meeting Status
CSMG3	CINS P28269101	06/01/2016	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approval of Bidding Procedure to Hire Company Specialized in Food, Meals and Snack Vouchers Distribution	Mgmt	For	Abstain	Against
4	Authority to Issue Non-Convertible Debentures (Loan Agreement w/ Banco Nacional de Desenvolvimento Econonico e Social - BNDES)	Mgmt	For	For	For
5	Interest on Capital Distribution Date	Mgmt	For	Abstain	Against

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Companhia de Saneamento de Minas Gerais - COPASA MG

Ticker	Security ID:	Meeting Date	Meeting Status
CSMG3	CINS P28269101	07/01/2015	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
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						Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendment to Article 4 (Indebtedness/EBITDA)	Mgmt	For	For	For	
3	Authority to Issue Debentures	Mgmt	For	For	For	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
<hr/>						
Companhia de Saneamento de Minas Gerais - COPASA MG						
Ticker	Security ID:	Meeting Date		Meeting Status		
CSMG3	CINS P28269101	09/02/2015		Voted		
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Articles	Mgmt	For	For	For	
<hr/>						
CVC Brasil Operadora E Agencia De Viagens S.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
CVCB3	CINS P3R154102	04/26/2016		Voted		
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Capital Expenditure Budget	Mgmt	For	For	For	
6	Remuneration Policy	Mgmt	For	For	For	
<hr/>						
CVC Brasil Operadora E Agencia De Viagens S.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
CVCB3	CINS P3R154102	04/26/2016		Voted		
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Ratification of Appraiser for Valuation of Shares	Mgmt	For	For	For	
4	Valuation Report	Mgmt	For	For	For	
5	Acquisition (Grupo Duotur and Submarino Viagens)	Mgmt	For	For	For	

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6	Amendments to Articles (Corporate Purpose)	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
<hr/>					
CVC Brasil Operadora E Agencia De Viagens S.A.					
Ticker	Security ID:	Meeting Date	Meeting Status		
CVCB3	CINS P3R154102	10/09/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Election of Directors	Mgmt	For	For	For
5	Stock Option Plan	Mgmt	For	For	For
<hr/>					
Cyrela Brazil Realty SA					
Ticker	Security ID:	Meeting Date	Meeting Status		
CYRE3	CINS P34085103	04/28/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendment to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For
4	Amendments to Articles (Renumbering)	Mgmt	For	For	For
5	Capitalization of Reserves	Mgmt	For	For	For
6	Consolidation of bylaws	Mgmt	For	For	For
<hr/>					
Cyrela Brazil Realty SA					
Ticker	Security ID:	Meeting Date	Meeting Status		
CYRE3	CINS P34085103	04/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Election of Directors; Board	Mgmt	For	N/A	N/A

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Size

7	Elect Rogerio Frota Melzi as Representative of Minority Shareholders	Mgmt	For	For	For
8	Remuneration Policy	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Duratex S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
DURA3	CINS P3593G146	04/27/2016	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Articles	Mgmt	For	For	For	

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Duratex S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
DURA3	CINS P3593G146	04/27/2016	Voted			
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits; Distribution of Interest on Capital	Mgmt	For	For	For	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Board Size; Election of Directors	Mgmt	For	For	For	
8	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A	
9	Remuneration Policy	Mgmt	For	Against	Against	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Duratex S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
DURA3	CINS P3593G252	04/27/2016	Voted			

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Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits; Distribution of Interest on Capital	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Board Size; Election of Directors	Mgmt	For	For	For
9	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
10	Remuneration Policy	Mgmt	For	Against	Against

Duratex S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
DURA3	CINS P3593G252	04/27/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles	Mgmt	For	For	For

Duratex S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
DURA3	CINS P3593G146	06/16/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Board Size	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Elect Juliana Rozenbaum	Mgmt	For	For	For

Duratex S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
DURA3	CINS P3593G146	10/30/2015	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger Agreement	Mgmt	For	For	For
4	Ratification of Appointment of Appraiser	Mgmt	For	For	For
5	Merger by Absorption (DCE)	Mgmt	For	For	For

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Ecorodovias Infraestrutura e Logistica SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ECOR3	CINS P3661R107	04/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports; Capital Expenditure Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors; Board Size	Mgmt	For	For	For
9	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
10	Remuneration Policy	Mgmt	For	For	For

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Eletropaulo Metropolitana Eletricidade Sao Paulo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELPL4	CINS P36476169	04/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Electi Marcelo Gasparino da Silva as Representative of Preferred	Mgmt	N/A	For	N/A

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### Shareholders

7	Elect Mario Daud Filho Representative of Preferred Shareholders	Mgmt	N/A	For	N/A
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#### Even Construtora e Incorporadora S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
EVEN3	CINS P3904U107	04/22/2016	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendment to the Stock Option Plan	Mgmt	For	Against	Against	
3	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

#### Even Construtora e Incorporadora S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
EVEN3	CINS P3904U107	04/22/2016	Voted			
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Capital Expenditure Budget	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Remuneration Policy	Mgmt	For	Against	Against	

#### Even Construtora e Incorporadora S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
EVEN3	CINS P3904U107	08/14/2015	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Articles 22, 29 and 30 (Management	Mgmt	For	For	For	

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Board)

4	Amendments to Article 20 (Board of Directors)	Mgmt	For	For	For
5	Amendments to Article 50 (Share Acquisition)	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Elect Rodrigo Geraldi Arruy	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Even Construtora e Incorporadora S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EVEN3	CINS P3904U107	08/24/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Amendments to Articles 22, 29 and 30 (Management Board)	Mgmt	For	For	For
5	Amendments to Article 20 (Board of Directors)	Mgmt	For	For	For
6	Amendments to Article 50 (Share Acquisition)	Mgmt	For	For	For

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Even Construtora e Incorporadora S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EVEN3	CINS P3904U107	11/09/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Removal/Resignation of Director	Mgmt	For	For	For
3	Board Size	Mgmt	For	For	For
4	Election of Directors	Mgmt	For	Abstain	Against
5	Election of Chairman and Vice Chairman	Mgmt	For	Abstain	Against
6	Amendments to Articles	Mgmt	For	For	For

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7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Even Construtora e Incorporadora S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EVEN3	CINS P3904U107	12/08/2015	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Article 3 (Corporate Purpose)	Mgmt	For	For	For
3	Amendments to Articles (Management Board)	Mgmt	For	For	For
4	Amendments to Article 21 (Accumulation of Roles)	Mgmt	For	For	For
5	Amendments to Article 20 (Board Duties)	Mgmt	For	For	For

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### Ez Tec Empreendimentos E Participacoes S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EZTC3	CINS P3912H106	04/29/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Capitalization of Reserves for Bonus Share Issue	Mgmt	For	For	For
3	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For
4	Consolidation of Articles	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Ez Tec Empreendimentos E Participacoes S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EZTC3	CINS P3912H106	04/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Remuneration Policy	Mgmt	For	For	For

Fleury SA

Ticker	Security ID:	Meeting Date	Meeting Status		
FLRY3	CINS P418BW104	04/07/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Dividends	Mgmt	For	For	For
5	Allocation of Profits	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	For	For

Fleury SA

Ticker	Security ID:	Meeting Date	Meeting Status		
FLRY3	CINS P418BW104	10/06/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Board Size	Mgmt	For	For	For
5	Amend Article 16	Mgmt	For	For	For
6	Resignation of Directors	Mgmt	For	For	For
7	Election of Directors	Mgmt	For	For	For

FPC Par Corretora De Seguros S.A

Ticker	Security ID:	Meeting Date	Meeting Status		
PARC3	CINS P4R92B100	04/04/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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FPC Par Corretora De Seguros S.A

Ticker	Security ID:	Meeting Date	Meeting Status
PARC3	CINS P4R92B100	04/04/2016	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	For	For
4	Election of Directors	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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FPC Par Corretora De Seguros S.A

Ticker	Security ID:	Meeting Date	Meeting Status
PARC3	CINS P4R92B100	06/02/2016	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Interim Dividend	Mgmt	For	For	For

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FPC Par Corretora De Seguros S.A

Ticker	Security ID:	Meeting Date	Meeting Status
PARC3	CINS P4R92B100	11/19/2015	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Interim Dividend	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

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Fundo Invest Imobiliario FII BTG Pactual Fundo de Fundos

Ticker	Security ID:	Meeting Date	Meeting Status
BRCR11	CINS P458C1107	07/14/2015	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Election of Monitoring Committee	Mgmt	For	Abstain	Against

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Gaec Educacao S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
ANIM3	CINS P4611H116	04/25/2016	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
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Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Capital Expenditure Budget	Mgmt	For	For	For
5	Allocation of Dividends	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	Against	Against

Gaec Educacao S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ANIM3	CINS P4611H116	04/25/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Acquisition	Mgmt	For	For	For

Gaec Educacao S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ANIM3	CINS P4611H116	08/31/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Employee Stock Purchase Plan	Mgmt	For	For	For
4	Amendments to Article 5	Mgmt	For	For	For
5	Amendments to Article 18	Mgmt	For	For	For
6	Consolidation of Articles	Mgmt	For	For	For

Gafisa SA

Ticker	Security ID:	Meeting Date	Meeting Status		
GFSA3	CINS P4408T158	04/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For

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7	Board Size	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
12	Remuneration Policy	Mgmt	For	For	For
13	Supervisory Council Size	Mgmt	For	For	For
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Election of Supervisory Council	Mgmt	For	For	For
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A
18	Supervisory Council Fees	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Grendene SA

Ticker	Security ID:	Meeting Date	Meeting Status		
GRND3	CINS P49516100	04/11/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors; Board Size; Remuneration Policy	Mgmt	For	N/A	N/A
10	Elect Isabella Saboya de Albuquerque as Representative of Minority Shareholders	Mgmt	For	For	For

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Iguatemi Empresa de Shopping Centers S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
IGTA3	CINS P5352J104	04/25/2016	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Articles	Mgmt	For	For	For	

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Iguatemi Empresa de Shopping Centers S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
IGTA3	CINS P5352J104	04/25/2016	Voted			
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Election of Directors	Mgmt	For	Against	Against	
9	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
12	Election of Supervisory Council; Fees	Mgmt	For	Against	Against	
13	Elect Paola Rocha Ferreira as Representative of Minority Shareholders to the Supervisory Council	Mgmt	For	For	For	
14	Supervisory Council Fees	Mgmt	For	For	For	
15	Remuneration Policy	Mgmt	For	Against	Against	
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Iguatemi Empresa de Shopping Centers S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
IGTA3	CINS P5352J104	07/31/2015	Voted			
Meeting Type		Country of Trade				
Special		Brazil				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Paola Rocha Ferreira to the Supervisory Council as Representative of Minority Shareholders	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Iguatemi Empresa de Shopping Centers S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
IGTA3	CINS P5352J104	10/15/2015	Voted
Meeting Type	Country of Trade		
Special	Brazil		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Elect Jose Castro Araujo Rudge	Mgmt	For	For	For

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### International Meal Company Holdings SA

Ticker	Security ID:	Meeting Date	Meeting Status
IMCH3	CINS P5R73V106	07/17/2015	Voted
Meeting Type	Country of Trade		
Special	Brazil		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Election of Directors	Mgmt	For	Against	Against
5	Election of Chairman of the Board	Mgmt	For	Against	Against

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### Iochope-Maxion SA

Ticker	Security ID:	Meeting Date	Meeting Status
MYPK3	CINS P58749105	04/08/2016	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5 Remuneration Policy Mgmt For For For

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JHSF Participacoes SA

Ticker Security ID: Meeting Date Meeting Status  
 JHSF3 CINS P6050T105 09/02/2015 Voted

Meeting Type Country of Trade  
 Special Brazil

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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3	Approval of Stock Option Plan	Mgmt	For	For	For
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4	Amendments to Articles; Consolidation of Articles	Mgmt	For	For	For
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5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
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JSL S.A.

Ticker Security ID: Meeting Date Meeting Status  
 JSLG3 CINS P6065E101 01/20/2016 Voted

Meeting Type Country of Trade  
 Special Brazil

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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3	Amendments to Article 3 (Corporate Purpose)	Mgmt	For	For	For
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4	Amendments to Article 5 (Share Capital Reconciliation)	Mgmt	For	For	For
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5	Consolidation of Articles	Mgmt	For	For	For
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6	Interim Dividend	Mgmt	For	For	For
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JSL S.A.

Ticker Security ID: Meeting Date Meeting Status  
 JSLG3 CINS P6065E101 03/22/2016 Voted

Meeting Type Country of Trade  
 Special Brazil

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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3	Ratify Acquisition (Quick Logistica Ltda. and Quick Armazens Gerais)	Mgmt	For	For	For
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JSL S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
JSLG3	CINS P6065E101	04/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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JSL S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
JSLG3	CINS P6065E101	04/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	For	For

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Light SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LIGT3	CINS P63529104	04/28/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Ratification of Global Remuneration	Mgmt	For	For	For

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Light SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LIGT3	CINS P63529104	04/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	Against	Against
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	N/A	N/A

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10	Elect Ricardo Reisen de Pinho as the Board Representative of Minority Shareholders	Mgmt	For	For	For
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Election of Supervisory Council	Mgmt	For	Against	Against
14	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A
15	Remuneration Policy	Mgmt	For	For	For
16	Supervisory Council Fees	Mgmt	For	For	For

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### Light SA

Ticker	Security ID:	Meeting Date	Meeting Status
LIGT3	CINS P63529104	10/16/2015	Voted
Meeting Type	Country of Trade		
Special	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A
4	Elect Luiz Carlos da Silva Cantidio Junior as Alternate Board Member	Mgmt	For
			Against
			Against

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### Light SA

Ticker	Security ID:	Meeting Date	Meeting Status
LIGT3	CINS P63529104	11/05/2015	Voted
Meeting Type	Country of Trade		
Special	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A
4	Election of Board Member Representative of Minority Shareholders	Mgmt	N/A
			Abstain
			N/A

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### Light Servicos De Eletricidade S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
LIGH9	CINS P63529104	03/22/2016	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles (Management Board)	Mgmt	For	For	For
4	Consolidation of Articles	Mgmt	For	For	For

Linx S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
LINX3	CINS P6S933101	04/27/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approval of Long Term Incentive Plan	Mgmt	For	Against	Against
4	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For
5	Amendments to Article 24	Mgmt	For	For	For
6	Amendments to Article 25	Mgmt	For	For	For
7	Consolidation of Articles	Mgmt	For	For	For

Linx S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
LINX3	CINS P6S933101	04/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Annual Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors	Mgmt	For	For	For
9	Election of Board	Mgmt	For	N/A	N/A

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Member(s)  
Representative of  
Minority  
Shareholders

10	Remuneration Policy	Mgmt	For	Against	Against
11	Publication of Company Legal Notices	Mgmt	For	For	For

### Linx S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
LINX3	CINS P6S933101	05/31/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Stock Split	Mgmt	For	For	For
4	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For

### LPS Brasil-Consultoria de Imoveis S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
LPSB3	CINS P6413J109	07/27/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger Agreement	Mgmt	For	For	For
4	Ratification of Appointment of Appraiser	Mgmt	For	For	For
5	Valuation Report	Mgmt	For	For	For
6	Merger (by Absorption)	Mgmt	For	For	For
7	Authority to Carry Out Merger by Absorption Formalities	Mgmt	For	For	For

### Magnesita Refratarios SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MAGG3	CINS P6426L158	04/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Ratify Co-Option of Gustavo	Mgmt	For	Against	Against

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Pecorari

4	Cancellation of Treasury Shares	Mgmt	For	For	For
5	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For

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Magnesita Refratarios SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MAGG3	CINS P6426L158	04/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Election of Supervisory Council	Mgmt	For	For	For
8	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
9	Remuneration Policy	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Magnesita Refratarios SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MAGG3	CINS P6426L109	12/14/2015	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Capitalization of Reserves w/o Share Issuance	Mgmt	For	For	For
4	Authority to Reduce Share Capital	Mgmt	For	For	For
5	Reverse Stock Split	Mgmt	For	For	For

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6	Stock Split	Mgmt	For	For	For
7	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For

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### Mahle Metal Leve SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LEVE4	CINS P6528U106	04/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Capital Expenditure Budget	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors	Mgmt	For	N/A	N/A
9	Elect Mauro Gentile Rodrigues da Cunha to the Board as Representative of Minority Shareholders	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Election of Supervisory Council; Fees	Mgmt	For	Abstain	Against
13	Elect Mario Probst to the Supervisory Council as Representative of Minority Shareholders	Mgmt	N/A	For	N/A
14	Remuneration Policy	Mgmt	For	Against	Against
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Mahle Metal Leve SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LEVE4	CINS P6528U106	04/27/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	Against	Against

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Marcopolo SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
POMO4	CINS P64331112	03/30/2016	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Elect Luiza Damasio Ribeiro do Rosario to the Board as Representative of Preferred Shareholders	Mgmt	For	For	For	
4	Election of Supervisory Council Member Representative of Preferred Shareholders	Mgmt	For	Abstain	Against	
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Marfrig Global Foods S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
MRF3	CINS P64386116	04/29/2016	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Accounts and Reports	Mgmt	For	For	For	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Election of Supervisory Council	Mgmt	For	For	For	
8	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A	
9	Remuneration Policy	Mgmt	For	Against	Against	

Marisa Lojas SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
MARI3	CINS P6462B106	08/21/2015	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Capitalization of Reserves	Mgmt	For	For	For
4	Bonus Share Issuance	Mgmt	For	For	For
5	Merger by Absorption	Mgmt	For	For	For
6	Amendments to Articles (Executive Directors)	Mgmt	For	For	For

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Metalurgica Gerdau SA

Ticker	Security ID:	Meeting Date	Meeting Status
GOAU4	CINS P4834C118	04/28/2016	Voted
Meeting Type	Country of Trade		
Annual	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Election of Board Member(s) Representative of Preferred Shareholders	Mgmt	N/A	Abstain	N/A
7	Election of Supervisory Council Representative of Preferred Shareholders	Mgmt	N/A	Abstain	N/A

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Mills Estruturas e Servicos de Engenharia S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
MILS3	CINS P6799C108	09/21/2015	Voted
Meeting Type	Country of Trade		
Special	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Relocation of Company's Registered Office	Mgmt	For	For	For

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Minerva SA

Ticker	Security ID:	Meeting Date	Meeting Status
BEEF3	CINS P6831V106	01/22/2016	Voted
Meeting Type	Country of Trade		
Special	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Ratify Acquisition (Red Carnica S.A and Red Industrial Colombiana S.A.S)	Mgmt	For	Abstain	Against
3	Amendments to Articles 5 and 6 (Reconciliation of Share Capital)	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Minerva SA

Ticker	Security ID:	Meeting Date	Meeting Status		
BEEF3	CINS P6831V106	01/22/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
4	Authorization of Legal Formalities	Mgmt	For	For	For

Minerva SA

Ticker	Security ID:	Meeting Date	Meeting Status		
BEEF3	CINS P6831V106	04/11/2016	Take No Action		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Ratify Increase of Share Capital	Mgmt	For	TNA	N/A
4	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	TNA	N/A
5	Authorization of Legal Formalities	Mgmt	For	TNA	N/A

Minerva SA

Ticker	Security ID:	Meeting Date	Meeting Status
BEEF3	CINS P6831V106	04/29/2016	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Authority to Reduce Share Capital	Mgmt	For	For	For
4	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For
5	Authorization of Legal Formalities	Mgmt	For	For	For

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Minerva SA

Ticker	Security ID:	Meeting Date	Meeting Status		
BEEF3	CINS P6831V106	04/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors	Mgmt	For	For	For
9	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
10	Remuneration Policy	Mgmt	For	Against	Against

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Modec Incorporation

Ticker	Security ID:	Meeting Date	Meeting Status		
6269	CINS J4636K109	03/24/2016	Unvoted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	N/A	N/A
2	Elect Toshiroh Miyazaki	Mgmt	For	N/A	N/A
3	Elect Teruhisa Konishi	Mgmt	For	N/A	N/A
4	Elect Minoru Sawada	Mgmt	For	N/A	N/A
5	Elect Akira Nishihata	Mgmt	For	N/A	N/A
6	Elect Shinsuke Nippo	Mgmt	For	N/A	N/A

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7	Elect Yoshio Kometani	Mgmt	For	N/A	N/A
8	Elect Katsuya Natori	Mgmt	For	N/A	N/A
9	Elect Shigenobu Aikyoh	Mgmt	For	N/A	N/A
10	Elect Hiroshi Kanno	Mgmt	For	N/A	N/A
11	Elect Kenji Seto	Mgmt	For	N/A	N/A
12	Elect Yoshihiro Katoh	Mgmt	For	N/A	N/A
13	Statutory Auditors' Fees	Mgmt	For	N/A	N/A
14	Bonus	Mgmt	For	N/A	N/A

MRV Engenharia E Participacoes S.A

Ticker	Security ID:	Meeting Date	Meeting Status		
MRVE3	CINS P6986W107	06/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger Agreement	Mgmt	For	For	For
4	Ratification of Appointment of Appraiser	Mgmt	For	For	For
5	Valuation Report	Mgmt	For	For	For
6	Merger by Absorption (Palazzo di Spagna)	Mgmt	For	For	For
7	Authorization of Legal Formalities	Mgmt	For	For	For
8	Minutes	Mgmt	For	For	For

MRV Engenharia e Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MRVE3	CINS P6986W107	04/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends; Capital Expenditure Budget	Mgmt	For	For	For

MRV Engenharia e Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MRVE3	CINS P6986W107	04/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Capitalization of Reserves w/o Share Issue	Mgmt	For	For	For
3	Amendments to Article 6 (Authorized Capital)	Mgmt	For	For	For
4	Amendments to Article 21 (Related Party Transactions)	Mgmt	For	For	For
5	Consolidation of Articles	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	For	For
7	Minutes	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

MRV Engenharia e Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MRVE3	CINS P6986W107	06/07/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger Agreement	Mgmt	For	Abstain	Against
4	Ratification of Appointment of Appraiser	Mgmt	For	Abstain	Against
5	Merger	Mgmt	For	Abstain	Against
6	Authorization of Legal Formalities	Mgmt	For	Abstain	Against
7	Minutes	Mgmt	For	Abstain	Against

NII Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NIHD	CUSIP 62913F508	05/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Steven M. Shindler	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

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Odontoprev SA

Ticker	Security ID:	Meeting Date	Meeting Status	
ODPV3	CINS P7344M104	04/11/2016	Voted	
Meeting Type	Country of Trade			
Annual	Brazil			

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends; Capital Expenditure Budget	Mgmt	For	For	For
7	Remuneration Policy	Mgmt	For	For	For
8	Election of Directors; Board Size	Mgmt	For	For	For
9	Election of Supervisory Council	Mgmt	For	For	For

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Prumo Logistica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status	
LLXL3	CINS P7922A118	01/22/2016	Voted	
Meeting Type	Country of Trade			
Special	Brazil			

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Appoint Ernst & Young	Mgmt	For	N/A	N/A
5	Appoint Brasil Plural Consultoria e Assesoria Ltda.	Mgmt	For	For	For
6	Appoint Banco BNP Paribas Brasil S.A.	Mgmt	For	N/A	N/A
7	Delisting from Novo Mercado	Mgmt	For	For	For
8	Category A Delisting	Mgmt	For	For	For

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Prumo Logistica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status	
PRML3	CINS P7922A118	04/29/2016	Voted	
Meeting Type	Country of Trade			
Annual	Brazil			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors	Mgmt	For	For	For
9	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
10	Remuneration Policy	Mgmt	For	Against	Against

Prumo Logistica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
LLXL3	CINS P7922A118	11/12/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Reverse Stock Split	Mgmt	For	For	For
3	Amendments to Article 5 (Reconciliation of Share Capital); Consolidation of Articles	Mgmt	For	For	For
4	Board Size	Mgmt	For	For	For
5	Elect Luiz Fontoura de Oliveira Reis Filho	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

QGEP Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
QGEP3	CINS P7920K100	04/12/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For

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7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	For	For
10	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
11	Remuneration Policy	Mgmt	For	For	For
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Election of Supervisory Council; Fees	Mgmt	For	For	For
15	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A

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Qualicorp SA

Ticker	Security ID:	Meeting Date		Meeting Status	
QUAL3	CINS P7S21H105	04/30/2016		Voted	
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Board Size; Election of Directors	Mgmt	For	Against	Against
9	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
10	Remuneration Policy	Mgmt	For	Against	Against

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Rumo Logistica Operadora Multimodal

Ticker	Security ID:	Meeting Date		Meeting Status	
ALLL3	CINS P8S112140	02/03/2016		Voted	
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Cancellation of Previous Authority to Increase Capital	Mgmt	For	For	For
4	Ratification and Extension of Waiver of Shareholders Obligations	Mgmt	For	For	For

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Rumo Logistica Operadora Multimodal

Ticker	Security ID:	Meeting Date		Meeting Status	
RUM03	CINS P8S112140	02/23/2016		Voted	
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Increase in Authorized Capital	Mgmt	For	Against	Against
5	Amendments to Article 2 (Registered Address)	Mgmt	For	For	For
6	Election of Supervisory Council	Mgmt	For	For	For

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Rumo Logistica Operadora Multimodal

Ticker	Security ID:	Meeting Date		Meeting Status	
RUM03	CINS P8S112140	04/28/2016		Voted	
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	Against	Against
4	Supervisory Council Fees	Mgmt	For	For	For
5	Ratification of Supervisory Council Fees	Mgmt	For	For	For

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Rumo Logistica Operadora Multimodal

Ticker	Security ID:	Meeting Date		Meeting Status	
RUM03	CINS P8S112140	04/28/2016		Voted	
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Losses (Legal Reserve)	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Supervisory Board	Mgmt	For	For	For
9	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A

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Rumo Logistica Operadora Multimodal

Ticker	Security ID:	Meeting Date	Meeting Status		
RUM03	CINS P8S112140	05/13/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Losses (Legal Reserve)	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Supervisory Board	Mgmt	For	For	For
9	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Rumo Logistica Operadora Multimodal

Ticker	Security ID:	Meeting Date	Meeting Status		
RUM03	CINS P8S112140	05/13/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	Against	Against
4	Supervisory Council Fees	Mgmt	For	For	For
5	Ratification of Supervisory Council Fees	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Rumo Logistica Operadora Multimodal

Ticker	Security ID:	Meeting Date	Meeting Status		
ALLL3	CINS P8S112140	12/23/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Waiver of Shareholder Obligations	Mgmt	For	For	For
4	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
5	Increase in Authorised Capital	Mgmt	For	Against	Against

Santos Brasil Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
STBR11	CINS P8338G111	04/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	Against	Against
5	Allocation of Losses/Dividends	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors	Mgmt	For	For	For
9	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Election of Board Member(s) Representative of Preferred	Mgmt	N/A	Abstain	N/A

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	Shareholders					
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
14	Election of Supervisory Council	Mgmt	For	Against	Against	
15	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A	
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
17	Election of Supervisory Council Member(s) Representative of Preferred Shareholders	Mgmt	N/A	Abstain	N/A	
18	Remuneration Policy	Mgmt	For	For	For	

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Santos Brasil Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status			
STBR11	CINS P8338G111	06/17/2016	Voted			
	Meeting Type	Country of Trade				
	Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Conversion of Stock	Mgmt	For	For	For	
3	Increase in Authorized Capital	Mgmt	For	For	For	
4	Amendments to Articles (Admission to Novo Mercado)	Mgmt	For	For	For	
5	Authorization of Legal Formalities	Mgmt	For	For	For	
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Santos Brasil Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status			
STBR11	CINS P8338G111	06/17/2016	Voted			
	Meeting Type	Country of Trade				
	Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Ratification of Conversion of Stock	Mgmt	For	For	For	

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4 Authorization of Legal Formalities Mgmt For For For

Sao Martinho SA

Ticker	Security ID:	Meeting Date	Meeting Status		
SMT03	CINS P8493J108	07/31/2015	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends; Capital Expenditure Budget	Mgmt	For	For	For
6	Election of Supervisory Council	Mgmt	For	For	For
7	Remuneration Policy	Mgmt	For	For	For

Sao Martinho SA

Ticker	Security ID:	Meeting Date	Meeting Status		
SMT03	CINS P8493J108	07/31/2015	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Capitalization of Reserves w/o Share Issue	Mgmt	For	For	For

Ser Educacional S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
SEER3	CINS P8T84T100	04/20/2016	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends; Capital Budget	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Supervisory Council	Mgmt	For	Against	Against
10	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
11	Remuneration Policy	Mgmt	For	For	For

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Ser Educacional S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
SEER3	CINS P8T84T100	07/13/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Amendments to Articles (Management Board Size)	Mgmt	For	For	For
5	Amendments to Articles (Deputy CEO)	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Election of Directors	Mgmt	For	For	For
8	Elect Gilvanci Antonio de Oliveira Sousa	Mgmt	For	For	For
9	Ratify Updated Administrators' Global Remuneration for 2015	Mgmt	For	For	For
10	Ratify Supervisory Council's Remuneration for 2015	Mgmt	For	For	For
11	Amendments to Articles (Dividend Policy)	Mgmt	For	For	For
12	Increase in Authorized Capital	Mgmt	For	Against	Against
13	Consolidation of Articles	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Slc Agricola S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
SLCE3	CINS P8711D107	04/29/2016	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Remuneration Policy	Mgmt	For	For	For

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Slc Agricola S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
SLCE3	CINS P8711D107	09/01/2015	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger Agreement	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Ratification of Appointment of Appraiser	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Valuation Report	Mgmt	For	For	For
8	Merger by Absorption (Fazenda Paiaguas Empreendimentos Agrícolas Ltda.)	Mgmt	For	For	For
9	Merger Agreement of Spun-Off Portion	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Ratification of Appointment of Appraiser	Mgmt	For	For	For
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Valuation Report	Mgmt	For	For	For
14	Partial Spin-Off (Fazenda Parnaíba Empreendimentos Agrícolas Ltda.)	Mgmt	For	For	For
15	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
16	Capitalization of Reserves w/o Share	Mgmt	For	For	For

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### Issuance

17	Consolidation of Articles	Mgmt	For	For	For
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#### Smiles SA

Ticker	Security ID:	Meeting Date	Meeting Status
SMLE3	CINS P8T12E100	04/29/2016	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	For	For
7	Board Size	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Election of Directors	Mgmt	For	For	For
11	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	Abstain	Against

#### Smiles SA

Ticker	Security ID:	Meeting Date	Meeting Status
SMLE3	CINS P8T12E100	04/29/2016	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendment to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For

#### Technos SA

Ticker	Security ID:	Meeting Date	Meeting Status
TECN3	CINS P90188106	07/07/2015	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Approval of Stock Option	Mgmt	For	For	For

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Plans

5	Authorization of Plans' Legal Formalities	Mgmt	For	For	For
6	Election of Alternate Member to the Board of Directors	Mgmt	For	For	For
7	Board Size; Ratify Composition of Board of Directors	Mgmt	For	Against	Against

Tupy SA

Ticker	Security ID:	Meeting Date	Meeting Status		
TUPY3	CINS P9414P108	04/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Supervisory Council	Mgmt	For	Against	Against
9	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
10	Remuneration Policy	Mgmt	For	For	For

Tupy SA

Ticker	Security ID:	Meeting Date	Meeting Status		
TUPY3	CINS P9414P108	04/29/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Ricardo Doria Durazzo	Mgmt	For	For	For

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Valid Solucoes e Servicos de Seguranca em Meios de Pagamento e Identificacao S.A. (Valid)

Ticker	Security ID:	Meeting Date	Meeting Status		
VLID3	CINS P9656C112	04/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	Against	Against
7	Elect Diogo Lisa de Figueiredo	Mgmt	For	For	For
8	Elect Vanderlei da Rosa	Mgmt	For	For	For
9	Elect Murici dos Santos	Mgmt	For	For	For
10	Supervisory Council's Fees	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Valid Solucoes e Servicos de Seguranca em Meios de Pagamento e Identificacao S.A. (Valid)

Ticker	Security ID:	Meeting Date	Meeting Status		
VLID3	CINS P9656C112	04/29/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For
5	Amendments to Articles (Company's Representation)	Mgmt	For	For	For
6	Consolidation of Articles	Mgmt	For	For	For

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Valid Solucoes e Servicos de Seguranca em Meios de Pagamento e Identificacao S.A. (Valid)

Ticker	Security ID:	Meeting Date	Meeting Status
VLID3	CINS P9656C112	06/03/2016	Voted
Meeting Type		Country of Trade	
Special		Brazil	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For
5	Amendments to Articles (Company Representation)	Mgmt	For	For	For
6	Consolidation of Articles	Mgmt	For	For	For

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Valid Solucoes e Servicos de Seguranca em Meios de Pagamento e Identificacao S.A. (Valid)

Ticker	Security ID:	Meeting Date	Meeting Status		
VLID3	CINS P9656C112	09/30/2015	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Acquisition	Mgmt	For	For	For

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Vanguarda Agro SA

Ticker	Security ID:	Meeting Date	Meeting Status		
VAGR3	CINS P9724A106	09/18/2015	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Reverse Stock Split	Mgmt	For	For	For
4	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For

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Via Varejo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
VVAR3	CINS P9783A153	04/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For

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4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Election of Supervisory Council	Mgmt	For	For	For
8	Election of Marcel Cecchi Vieira to the Supervisory Council Member Representative of Minority Shareholders	Mgmt	For	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Election of Supervisory Council Member Representative of Preferred Shareholders	Mgmt	N/A	Abstain	N/A

Via Varejo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
VVAR3	CINS P9783A153	04/26/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Investment Plan	Mgmt	For	For	For
4	Remuneration Report	Mgmt	For	Against	Against
5	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For
6	Amendments to Articles (Extraordinary Meetings)	Mgmt	For	For	For
7	Consolidation of Articles	Mgmt	For	For	For

Via Varejo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
VVAR3	CINS P9783A153	12/22/2015	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger (Nova Pontocom)	Mgmt	For	For	For
4	Ratification of Appointment of the	Mgmt	For	For	For

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### Appraiser

5	Valuation Report	Mgmt	For	For	For
6	Authorization of Legal Formalities Regarding Merger	Mgmt	For	For	For
7	Amendments to Article 21 (Board Meetings)	Mgmt	For	For	For
8	Amendments to Article 23 (Related Party Transactions)	Mgmt	For	For	For
9	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For
10	Consolidation of Articles	Mgmt	For	For	For

Fund Name : VanEck Vectors CEF Municipal Income ETF

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AllianceBernstein National Municipal Income Fund Inc						
Ticker	Security ID:	Meeting Date	Meeting Status			
AFB	CUSIP 01864U106	03/31/2016	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

1.1	Elect Nancy P. Jacklin	Mgmt	For	For	For
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BlackRock Investment Quality Municipal Trust						
Ticker	Security ID:	Meeting Date	Meeting Status			
BKN	CUSIP 09247D105	07/29/2015	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

1.1	Elect James T. Flynn	Mgmt	For	For	For
1.2	Elect Babara G. Novick	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For
1.4	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock Long-Term Municipal Advantage Trust						
Ticker	Security ID:	Meeting Date	Meeting Status			
BTA	CUSIP 09250B103	07/29/2015	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

1.1	Elect Frank J. Fabozzi	Mgmt	For	For	For
1.2	Elect James T. Flynn	Mgmt	For	For	For
1.3	Elect Babara G. Novick	Mgmt	For	For	For

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1.4	Elect John M. Perlowski	Mgmt	For	For	For
1.5	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Muni Intermediate Duration Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MUI	CUSIP 09253X102	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Muniassets Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MUA	CUSIP 09254J102	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Frank J. Fabozzi	Mgmt	For	For	For
1.2	Elect James T. Flynn	Mgmt	For	For	For
1.3	Elect Babara G. Novick	Mgmt	For	For	For
1.4	Elect John M. Perlowski	Mgmt	For	For	For
1.5	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Municipal 2018 Term Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
BPK	CUSIP 09248C106	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Frank J. Fabozzi	Mgmt	For	For	For
1.2	Elect James T. Flynn	Mgmt	For	For	For
1.3	Elect Babara G. Novick	Mgmt	For	For	For
1.4	Elect John M. Perlowski	Mgmt	For	For	For
1.5	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Municipal 2020 Term Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
BKK	CUSIP 09249X109	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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Mgmt

1.1	Elect James T. Flynn	Mgmt	For	For	For
1.2	Elect Babara G. Novick	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For
1.4	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Municipal Bond Trust

Ticker	Security ID:	Meeting Date	Meeting Status
BBK	CUSIP 09249H104	07/29/2015	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1.1	Elect James T. Flynn	Mgmt	For	For	For
1.2	Elect Babara G. Novick	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For
1.4	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Municipal Income Investment Quality Trust

Ticker	Security ID:	Meeting Date	Meeting Status
BAF	CUSIP 09250G102	07/29/2015	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1.1	Elect James T. Flynn	Mgmt	For	For	For
1.2	Elect Babara G. Novick	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For
1.4	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Municipal Income Quality Trust

Ticker	Security ID:	Meeting Date	Meeting Status
BYM	CUSIP 092479104	07/29/2015	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1.1	Elect James T. Flynn	Mgmt	For	For	For
1.2	Elect Babara G. Novick	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For
1.4	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Municipal Income Trust

Ticker	Security ID:	Meeting Date	Meeting Status
BFK	CUSIP 09248F109	07/29/2015	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1.1	Elect James T. Flynn	Mgmt	For	For	For
1.2	Elect Babara G. Novick	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For
1.4	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Municipal Income Trust II

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Ticker	Security ID:	Meeting Date	Meeting Status		
BLE	CUSIP 09249N101	07/29/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect James T. Flynn	Mgmt	For	For	For
1.2	Elect Babara G. Novick	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For
1.4	Elect Karen P. Robards	Mgmt	For	For	For

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### BlackRock Municipal Target Term Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
BTT	CUSIP 09257P105	07/29/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect James T. Flynn	Mgmt	For	For	For
1.2	Elect Babara G. Novick	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For
1.4	Elect Karen P. Robards	Mgmt	For	For	For

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### BlackRock Munienhanced Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MEN	CUSIP 09253Y100	07/29/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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### BlackRock MuniHoldings Fund II Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MUH	CUSIP 09253P109	07/29/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For

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Cavanagh

1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniHoldings Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MHD	CUSIP 09253N104	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniHoldings Investment Quality Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
MFL	CUSIP 09254P108	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniHoldings Quality Fund II Inc

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Ticker	Security ID:	Meeting Date	Meeting Status		
MUE	CUSIP 09254C107	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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Ticker	Security ID:	Meeting Date	Meeting Status		
MUS	CUSIP 09254A101	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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Ticker	Security ID:	Meeting Date	Meeting Status		
MVT	CUSIP 09253T101	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For

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1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniVest Fund, Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MVF	CUSIP 09253R105	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniYield Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MYD	CUSIP 09253W104	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniYield Investment Fund

Ticker	Security ID:	Meeting Date	Meeting Status
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MYF	CUSIP 09254R104	07/29/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniYield Quality Fund II Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
MQT	CUSIP 09254G108	07/29/2015		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniYield Quality Fund III Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
MYI	CUSIP 09254E103	07/29/2015		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F.	Mgmt	For	For	For

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Feldstein

1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniYield Quality Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MQY	CUSIP 09254F100	07/29/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Kathleen F. Feldstein	Mgmt	For	For	For
1.4	Elect James T. Flynn	Mgmt	For	For	For
1.5	Elect Jerrold B. Harris	Mgmt	For	For	For
1.6	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.7	Elect Babara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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Deutsche Municipal Income Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
KTF	CUSIP 25160C106	09/30/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Dawn-Marie Driscoll	Mgmt	For	For	For
1.2	Elect Richard J. Herring	Mgmt	For	For	For
1.3	Elect William N. Searcy, Jr.	Mgmt	For	For	For

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Dreyfus Funds

Ticker	Security ID:	Meeting Date	Meeting Status		
LEO	CUSIP 261932107	06/08/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Joseph S.	Mgmt	For	For	For

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DiMartino

1.2	Elect Joni Evans	Mgmt	For	For	For
1.3	Elect Benaree Pratt Wiley	Mgmt	For	For	For

### Dreyfus Funds

Ticker	Security ID:	Meeting Date	Meeting Status		
DSM	CUSIP 26202F107	06/08/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ehud Houminer	Mgmt	For	For	For
1.2	Elect Gordon J. Davis	Mgmt	For	For	For
1.3	Elect Benaree Pratt Wiley	Mgmt	For	For	For

### Dreyfus Municipal Bond Infrastructure Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
DMB	CUSIP 26203D101	08/11/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Francine J. Bovich	Mgmt	For	For	For
1.2	Elect Gordon J. Davis	Mgmt	For	Withhold	Against
1.3	Elect Roslyn M. Watson	Mgmt	For	For	For

### Dreyfus Municipal Income, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
DMF	CUSIP 26201R102	06/14/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect J. Charles Cardona	Mgmt	For	For	For
1.2	Elect Robin A. Melvin	Mgmt	For	For	For

### Eaton Vance Municipal Bond Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
EIM	CUSIP 27827X101	07/23/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William H. Park	Mgmt	For	For	For
1.2	Elect Valerie A. Mosley	Mgmt	For	For	For
1.3	Elect Ralph F. Verni	Mgmt	For	For	For

### Eaton Vance Municipal Bond Fund II

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Ticker	Security ID:	Meeting Date	Meeting Status
EIV	CUSIP 27827K109	07/23/2015	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect William H. Park	Mgmt	For
1.2	Elect Valerie A. Mosley	Mgmt	For

Eaton Vance Municipal Income 2028 Term Trust			
Ticker	Security ID:	Meeting Date	Meeting Status
ETX	CUSIP 27829U105	11/19/2015	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Valerie A. Mosley	Mgmt	For
1.2	Elect Helen Frame Peters	Mgmt	For
1.3	Elect Ralph F. Verni	Mgmt	For

Eaton Vance Municipal Income Trust			
Ticker	Security ID:	Meeting Date	Meeting Status
EVN	CUSIP 27826U108	03/24/2016	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Scott E. Eston	Mgmt	For
1.2	Elect Thomas E. Faust Jr.	Mgmt	For

Eaton Vance National Municipal Opportunities Trust			
Ticker	Security ID:	Meeting Date	Meeting Status
EOT	CUSIP 27829L105	01/21/2016	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Scott E. Eston	Mgmt	For
1.2	Elect Thomas E. Faust Jr.	Mgmt	For
1.3	Elect Valerie A. Mosley	Mgmt	For

Invesco Mun Income Opportunities Trust II			
Ticker	Security ID:	Meeting Date	Meeting Status
IQI	CUSIP 46133G107	08/26/2015	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect James T. Bunch	Mgmt	For
1.2	Elect Bruce L. Crockett	Mgmt	For

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1.3	Elect Rodney F. Dammeyer	Mgmt	For	For	For
1.4	Elect Jack M. Fields, Jr.	Mgmt	For	For	For
1.5	Elect Martin L. Flanagan	Mgmt	For	For	For
2	Eliminate Fundamental Restriction Prohibiting Investments in Investment Companies	Mgmt	For	For	For

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### Invesco Mun Income Opportunities Trust II

Ticker	Security ID:	Meeting Date	Meeting Status		
IIM	CUSIP 46132P108	08/26/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect James T. Bunch	Mgmt	For	For	For
1.2	Elect Bruce L. Crockett	Mgmt	For	For	For
1.3	Elect Rodney F. Dammeyer	Mgmt	For	For	For
1.4	Elect Jack M. Fields, Jr.	Mgmt	For	For	For
1.5	Elect Martin L. Flanagan	Mgmt	For	For	For

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### Invesco Municipal Income Opportunities Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
OIA	CUSIP 46132X101	08/26/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect James T. Bunch	Mgmt	For	For	N/A
1.2	Elect Bruce L. Crockett	Mgmt	For	For	N/A
1.3	Elect Rodney F. Dammeyer	Mgmt	For	For	N/A
1.4	Elect Jack M. Fields, Jr.	Mgmt	For	For	N/A
1.5	Elect Martin L. Flanagan	Mgmt	For	For	N/A
2	Eliminate Fundamental Restriction Prohibiting Investments in Investment Companies	Mgmt	For	For	N/A

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Invesco Municipal Opportunity Trust						
Ticker	Security ID:	Meeting Date		Meeting Status		
VMO	CUSIP 46132C107	08/26/2015		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect James T. Bunch	Mgmt	For	For	For	
1.2	Elect Bruce L. Crockett	Mgmt	For	For	For	
1.3	Elect Rodney F. Dammeyer	Mgmt	For	For	For	
1.4	Elect Jack M. Fields, Jr.	Mgmt	For	For	For	
1.5	Elect Martin L. Flanagan	Mgmt	For	For	For	

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Invesco Municipal Trust						
Ticker	Security ID:	Meeting Date		Meeting Status		
VKQ	CUSIP 46131J103	08/26/2015		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect James T. Bunch	Mgmt	For	For	For	
1.2	Elect Bruce L. Crockett	Mgmt	For	For	For	
1.3	Elect Rodney F. Dammeyer	Mgmt	For	For	For	
1.4	Elect Jack M. Fields, Jr.	Mgmt	For	For	For	
1.5	Elect Martin L. Flanagan	Mgmt	For	For	For	

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Invesco Trust for Investment Grade Municipals						
Ticker	Security ID:	Meeting Date		Meeting Status		
VGM	CUSIP 46131M106	08/26/2015		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect James T. Bunch	Mgmt	For	For	For	
1.2	Elect Bruce L. Crockett	Mgmt	For	For	For	
1.3	Elect Rodney F. Dammeyer	Mgmt	For	For	For	
1.4	Elect Jack M. Fields, Jr.	Mgmt	For	For	For	
1.5	Elect Martin L. Flanagan	Mgmt	For	For	For	

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Invesco Trust For Investment Grade New York Municipals  
 Ticker Security ID: Meeting Date Meeting Status  
 VPV CUSIP 46132E103 08/26/2015 Voted  
 Meeting Type Country of Trade  
 Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect James T. Bunch	Mgmt	For	For	For
1.2	Elect Bruce L. Crockett	Mgmt	For	For	For
1.3	Elect Rodney F. Dammeier	Mgmt	For	For	For
1.4	Elect Jack M. Fields, Jr.	Mgmt	For	For	For
1.5	Elect Martin L. Flanagan	Mgmt	For	For	For

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MainStay DefinedTerm Municipal Opportunities Fund  
 Ticker Security ID: Meeting Date Meeting Status  
 MMD CUSIP 56064K100 09/30/2015 Voted  
 Meeting Type Country of Trade  
 Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John Y. Kim	Mgmt	For	For	For
1.2	Elect Richard S. Trutanic	Mgmt	For	For	For
1.3	Elect Roman L. Weil	Mgmt	For	For	For

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MFS High Income Municipal Trust  
 Ticker Security ID: Meeting Date Meeting Status  
 CXE CUSIP 59318D104 10/01/2015 Voted  
 Meeting Type Country of Trade  
 Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert E. Butler	Mgmt	For	For	For
1.2	Elect David H. Gunning	Mgmt	For	For	For
1.3	Elect Robin A. Stelmach	Mgmt	For	For	For

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MFS Municipal Income Trust  
 Ticker Security ID: Meeting Date Meeting Status  
 MFM CUSIP 552738106 10/01/2015 Voted  
 Meeting Type Country of Trade  
 Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert E. Butler	Mgmt	For	For	For
1.2	Elect David H. Gunning	Mgmt	For	For	For
1.3	Elect Robin A. Stelmach	Mgmt	For	For	For

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Neuberger Berman Funds  
 Ticker Security ID: Meeting Date Meeting Status  
 NBH CUSIP 64124P101 08/24/2015 Voted  
 Meeting Type Country of Trade

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert Conti	Mgmt	For	For	For
1.2	Elect Michael M. Knetter	Mgmt	For	For	For
1.3	Elect Peter P. Trapp	Mgmt	For	For	For

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Nuveen AMT-Free Municipal Income Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NEA	CUSIP 670657105	08/05/2015	Voted		
Meeting Type	Country of Trade	United States			
Annual Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For
1.2	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

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Nuveen Dividend Advantage Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NXZ	CUSIP 67070F100	02/10/2016	Voted		
Meeting Type	Country of Trade	United States			
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reorganization of the Funds Into Dividend Advantage Municipal Fund 3 ("NZF")	Mgmt	For	Against	Against

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Nuveen Dividend Advantage Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NXZ	CUSIP 67070X101	02/10/2016	Voted		
Meeting Type	Country of Trade	United States			
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Common Stock in Connection with the Reorganizations (NZF)	Mgmt	For	Against	Against
2	Approval of a New Investment Management Agreement (NZF)	Mgmt	For	Against	Against
3	Approval of a New Sub-Advisory Agreement	Mgmt	For	Against	Against

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(NZF)

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Nuveen Dividend Advantage Municipal Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
NZF	CUSIP 67070X101	08/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For
1.2	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

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Nuveen Dividend Advantage Municipal Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
NXZ	CUSIP 67070F100	08/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For
1.2	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

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Nuveen Dividend Advantage Municipal Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
NAD	CUSIP 67066V101	08/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For
1.2	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

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Nuveen Dividend Advantage Municipal Income Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
NVG	CUSIP 67071L106	03/02/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Common Stock in Connection with the Reorganizations (NVG)	Mgmt	For	Against	Against
2	Approval of a New Investment Management Agreement	Mgmt	For	Against	Against

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(NVG)

3	Approval of a New Sub-Advisory Agreement (NVG)	Mgmt	For	Against	Against
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Nuveen Dividend Advantage Municipal Income Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
NVG	CUSIP 67071L106	08/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For
1.2	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

Nuveen Enhanced Municipal Value Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
NEV	CUSIP 67074M101	08/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For
1.2	Elect William J. Schneider	Mgmt	For	For	For
1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

Nuveen Floating Rate Income Opportunity Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
JRO	CUSIP 670677103	04/22/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Judith M. Stockdale	Mgmt	For	For	For
1.2	Elect Carole E. Stone	Mgmt	For	For	For
1.3	Elect Margaret L. Wolff	Mgmt	For	For	For

Nuveen Funds					
Ticker	Security ID:	Meeting Date	Meeting Status		
NXR	CUSIP 67063X100	08/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For

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1.2	Elect William J. Schneider	Mgmt	For	For	For
1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

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Nuveen Funds

Ticker	Security ID:	Meeting Date	Meeting Status		
NXQ	CUSIP 67063C106	08/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For
1.2	Elect William J. Schneider	Mgmt	For	For	For
1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

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Nuveen Funds

Ticker	Security ID:	Meeting Date	Meeting Status		
NXP	CUSIP 67062F100	08/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For
1.2	Elect William J. Schneider	Mgmt	For	For	For
1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

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Nuveen Investment Quality Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NQM	CUSIP 67062E103	08/05/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams, IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For
1.7	Elect Carole E. Stone	Mgmt	For	For	For

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1.8	Elect Virginia L. Stringer	Mgmt	For	For	For
1.9	Elect Terence J. Toth	Mgmt	For	For	For

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Nuveen Municipal Advantage Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NMA	CUSIP 67062H106	02/10/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reorganization of the Funds Into Dividend Advantage Municipal Fund 3 ("NZF")	Mgmt	For	Against	Against

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Nuveen Municipal Advantage Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NMA	CUSIP 67062H106	08/05/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams, IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For
1.7	Elect Carole E. Stone	Mgmt	For	For	For
1.8	Elect Virginia L. Stringer	Mgmt	For	For	For
1.9	Elect Terence J. Toth	Mgmt	For	For	For

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Nuveen Municipal High Income Opportunity Fund 2

Ticker	Security ID:	Meeting Date	Meeting Status		
NMZ	CUSIP 670682103	08/05/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For
1.2	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

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Nuveen Municipal Market Opportunity Fund

Ticker	Security ID:	Meeting Date	Meeting Status
NMO	CUSIP 67062W103	08/05/2015	Voted

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Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect William Adams, IV	Mgmt	For	For	For	
1.2	Elect Jack B. Evans	Mgmt	For	For	For	
1.3	Elect David J. Kundert	Mgmt	For	For	For	
1.4	Elect John K. Nelson	Mgmt	For	For	For	
1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For	
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For	
1.7	Elect Carole E. Stone	Mgmt	For	For	For	
1.8	Elect Virginia L. Stringer	Mgmt	For	For	For	
1.9	Elect Terence J. Toth	Mgmt	For	For	For	

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Nuveen Municipal Opportunity Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status			
NIO	CUSIP 670984103	03/02/2016	Voted			
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Reorganization of the Funds Into Dividend Advantage Municipal Income Fund ("NVG")	Mgmt	For	Against	Against	

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Nuveen Municipal Opportunity Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status			
NIO	CUSIP 670984103	08/05/2015	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect William Adams, IV	Mgmt	For	For	For	
1.2	Elect Jack B. Evans	Mgmt	For	For	For	
1.3	Elect David J. Kundert	Mgmt	For	For	For	
1.4	Elect John K. Nelson	Mgmt	For	For	For	
1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For	
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For	
1.7	Elect Carole E. Stone	Mgmt	For	For	For	
1.8	Elect Virginia L. Stringer	Mgmt	For	For	For	
1.9	Elect Terence J. Toth	Mgmt	For	For	For	

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Nuveen Municipal Value Fund Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NUV	CUSIP 670928100	08/05/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jack B. Evans	Mgmt	For	For	For
1.2	Elect William J. Schneider	Mgmt	For	For	For
1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For

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Nuveen Performance Plus Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NPP	CUSIP 67062P108	08/05/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams, IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For
1.7	Elect Carole E. Stone	Mgmt	For	For	For
1.8	Elect Virginia L. Stringer	Mgmt	For	For	For
1.9	Elect Terence J. Toth	Mgmt	For	For	For

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Nuveen Premier Municipal Income Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NPF	CUSIP 670988104	08/05/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams, IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For
1.7	Elect Carole E. Stone	Mgmt	For	For	For

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1.8	Elect Virginia L. Stringer	Mgmt	For	For	For
1.9	Elect Terence J. Toth	Mgmt	For	For	For

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Nuveen Premium Income Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NPI	CUSIP 67062T100	08/05/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1.1	Elect William Adams, IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For
1.7	Elect Carole E. Stone	Mgmt	For	For	For
1.8	Elect Virginia L. Stringer	Mgmt	For	For	For
1.9	Elect Terence J. Toth	Mgmt	For	For	For

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Nuveen Premium Income Municipal Fund II

Ticker	Security ID:	Meeting Date	Meeting Status		
NPM	CUSIP 67063W102	08/05/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1.1	Elect William Adams, IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For
1.7	Elect Carole E. Stone	Mgmt	For	For	For
1.8	Elect Virginia L. Stringer	Mgmt	For	For	For
1.9	Elect Terence J. Toth	Mgmt	For	For	For

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Nuveen Premium Income Municipal Fund 4 Inc

Ticker	Security ID:	Meeting Date	Meeting Status
NPT	CUSIP 6706K4105	02/10/2016	Voted
Meeting Type	Country of Trade		
Special	United States		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reorganization of the Funds Into Dividend Advantage Municipal Fund 3 ("NZF")	Mgmt	For	Against	Against

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Nuveen Premium Income Municipal Fund 4 Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
NPT	CUSIP 6706K4105	08/05/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1.1	Elect William Adams, IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For
1.7	Elect Carole E. Stone	Mgmt	For	For	For
1.8	Elect Virginia L. Stringer	Mgmt	For	For	For
1.9	Elect Terence J. Toth	Mgmt	For	For	For

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Nuveen Quality Income Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NQU	CUSIP 670977107	03/02/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Reorganization of the Funds Into Dividend Advantage Municipal Income Fund ("NVG")	Mgmt	For	Against	Against
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Nuveen Quality Income Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NQU	CUSIP 670977107	08/05/2015	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1.1	Elect William Adams, IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For

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1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For
1.7	Elect Carole E. Stone	Mgmt	For	For	For
1.8	Elect Virginia L. Stringer	Mgmt	For	For	For
1.9	Elect Terence J. Toth	Mgmt	For	For	For

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Nuveen Quality Municipal Fund Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
NQI	CUSIP 67062N103	03/02/2016		Voted	
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reorganization of the Funds Into Dividend Advantage Municipal Income Fund ("NVG")	Mgmt	For	Against	Against

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Nuveen Quality Municipal Fund Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
NQI	CUSIP 67062N103	08/05/2015		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams, IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Thomas S. Schreier, Jr.	Mgmt	For	For	For
1.6	Elect Judith M. Stockdale	Mgmt	For	For	For
1.7	Elect Carole E. Stone	Mgmt	For	For	For
1.8	Elect Virginia L. Stringer	Mgmt	For	For	For
1.9	Elect Terence J. Toth	Mgmt	For	For	For

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Nuveen Select Quality Municipal Fund					
Ticker	Security ID:	Meeting Date		Meeting Status	
NQS	CUSIP 670973106	08/05/2015		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams, IV	Mgmt	For	For	For



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PYN	CUSIP 72201A103	12/23/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Hans W. Kertess	Mgmt	For	For	For
1.2	Elect William B. Ogden IV	Mgmt	For	For	For

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### Pioneer Municipal High Income Advantage Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
MAV	CUSIP 723762100	09/22/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Thomas J. Perna	Mgmt	For	For	For
1.2	Elect Fred J. Ricciardi	Mgmt	For	For	For
1.3	Elect Lisa M. Jones	Mgmt	For	For	For
1.4	Elect Lorraine H. Monchak	Mgmt	For	For	For

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### Pioneer Municipal High Income Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
MHI	CUSIP 723763108	09/22/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Thomas J. Perna	Mgmt	For	For	For
1.2	Elect Fred J. Ricciardi	Mgmt	For	For	For
1.3	Elect Lisa M. Jones	Mgmt	For	For	For
1.4	Elect Lorraine H. Monchak	Mgmt	For	For	For

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### Putnam Managed Municipal Income Trust.

Ticker	Security ID:	Meeting Date	Meeting Status		
PMM	CUSIP 746823103	04/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Liaquat Ahamed	Mgmt	For	For	For
2.2	Elect Ravi Akhoury	Mgmt	For	For	For
2.3	Elect Barbara M. Baumann	Mgmt	For	For	For
2.4	Elect Jameson A. Baxter	Mgmt	For	For	For
2.5	Elect Robert J. Darretta	Mgmt	For	For	For
2.6	Elect Katinka Domotorffy	Mgmt	For	For	For

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2.7	Elect Paul L. Joskow	Mgmt	For	For	For
2.8	Elect Kenneth R. Leibler	Mgmt	For	For	For
2.9	Elect George Putnam, III	Mgmt	For	For	For
2.10	Elect Robert L. Reynolds	Mgmt	For	For	For
2.11	Elect W. Thomas Stephens	Mgmt	For	For	For

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Putnam Municipal Opportunities Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
PMO	CUSIP 746922103	04/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Liaquat Ahamed	Mgmt	For	For	For
2.2	Elect Ravi Akhoury	Mgmt	For	For	For
2.3	Elect Barbara M. Baumann	Mgmt	For	For	For
2.4	Elect Jameson A. Baxter	Mgmt	For	For	For
2.5	Elect Robert J. Darretta	Mgmt	For	For	For
2.6	Elect Katinka Domotorffy	Mgmt	For	For	For
2.7	Elect Paul L. Joskow	Mgmt	For	For	For
2.8	Elect Kenneth R. Leibler	Mgmt	For	For	For
2.9	Elect George Putnam, III	Mgmt	For	For	For
2.10	Elect Robert L. Reynolds	Mgmt	For	For	For
2.11	Elect W. Thomas Stephens	Mgmt	For	For	For

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Western Asset Managed Municipals Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MMU	CUSIP 95766M105	09/25/2015	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Carol L. Colman	Mgmt	For	For	For
1.2	Elect Jane E. Trust	Mgmt	For	For	For
1.3	Elect Robert D. Agdern	Mgmt	For	For	For

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Western Asset Mortgage Defined Opportunity Fund Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status		
MTT	CUSIP 95768A109	03/24/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Carol L. Colman	Mgmt	For	For	For
1.2	Elect Daniel P. Cronin	Mgmt	For	For	For
1.3	Elect Eileen A. Kamerick	Mgmt	For	For	For
1.4	Elect Jane E. Trust	Mgmt	For	For	For

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Western Asset Municipal High Income Fund Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
MHF	CUSIP 95766N103	02/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jane E. Trust	Mgmt	For	For	For
1.2	Elect Daniel P. Cronin	Mgmt	For	For	For
1.3	Elect Paolo M. Cucchi	Mgmt	For	For	For
1.4	Elect Leslie H. Gelb	Mgmt	For	For	For

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Western Asset Municipal Partners Fund Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
MNP	CUSIP 95766P108	04/01/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert D. Agdern	Mgmt	For	For	For
1.2	Elect William R. Hutchinson	Mgmt	For	For	For
1.3	Elect Eileen A. Kamerick	Mgmt	For	For	For

Fund Name : VanEck Vectors ChinaAMC CSI 300 ETF

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Agricultural Bank of China Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
1288	CINS Y00289101	03/08/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Tier-2 Capital Instruments	Mgmt	For	For	For
2	Fixed Asset Investment Budget for 2016	Mgmt	For	For	For

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3	Elect ZHAO Huan	Mgmt	For	For	For
4	Elect WANG Xinxin	Mgmt	For	For	For

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Agricultural Bank of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1288	CINS Y00289101	06/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect ZHOU Mubing	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Adjustment of Authorization to Approve Bond Issuance	Mgmt	For	For	For

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Agricultural Bank of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1288	CINS Y00289101	12/10/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LOU Wenlong	Mgmt	For	For	For
2	Elect Francis YUEN Tin Fan	Mgmt	For	For	For
3	Directors' and Supervisors' Remuneration 2013	Mgmt	For	For	For
4	Directors' and Supervisors' Remuneration 2014	Mgmt	For	For	For

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Aier EYE Hospital Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300015	CINS Y0029J108	05/31/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For

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5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Social Responsibility Report	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Supervisors' Report	Mgmt	For	For	For
10	Supervisors' Fees	Mgmt	For	For	For
11	Range of Participants	Mgmt	For	For	For
12	Source and Size of Restricted Shares	Mgmt	For	For	For
13	Status of Allocation	Mgmt	For	For	For
14	Validity, Grant Date, Lock-up and Unlock Period	Mgmt	For	For	For
15	Grant Price and Pricing Method	Mgmt	For	For	For
16	Conditions to Grant and Unlock Shares	Mgmt	For	For	For
17	Adjustment Method and Procedure	Mgmt	For	For	For
18	Accounting Treatment	Mgmt	For	For	For
19	Grant and Unlock Procedure	Mgmt	For	For	For
20	Participants' Rights and Obligations	Mgmt	For	For	For
21	Treatment of Participants' Relocation	Mgmt	For	For	For
22	Cancellation Principle	Mgmt	For	For	For
23	Establishment of Procedural Rules for Performance Assessment and Management of Employee Restricted Shares Plan	Mgmt	For	For	For
24	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For
25	Approve a Major Shareholder to Participate in the	Mgmt	For	For	For

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Employee Restricted  
Shares  
Plan

26	Amendments to Business Scope and Articles	Mgmt	For	For	For
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Air China Limited

	Ticker	Security ID:	Meeting Date	Meeting Status		
	753	CINS Y002A6138	01/26/2016	Voted		
	Meeting Type		Country of Trade			
	Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Fulfilment of Conditions of Non-public Issuance of A Shares	Mgmt	For	For	For	
2	Class of Shares and Nominal Value	Mgmt	For	For	For	
3	Method and timing of Issue	Mgmt	For	For	For	
4	Offering Size	Mgmt	For	For	For	
5	Target Subscriber and Subscription Method	Mgmt	For	For	For	
6	Pricing Basis and Issue Price	Mgmt	For	For	For	
7	Lock-up Period	Mgmt	For	For	For	
8	Accumulated Profit Arrangement	Mgmt	For	For	For	
9	Place of Listing	Mgmt	For	For	For	
10	Effectiveness of the Resolution Approving the Non-public A	Mgmt	For	For	For	
11	Share Issue Amount and Use of Proceeds	Mgmt	For	For	For	
12	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	
13	Report on Use of Proceeds from Previous Fundraising	Mgmt	For	For	For	

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14	Share Subscription	Mgmt	For	For	For
15	Ratification of Board Acts	Mgmt	For	For	For

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Air China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
753	CINS Y002A6138	01/26/2016	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class of Shares and Nominal Value	Mgmt	For	For	For
2	Method and timing of Issue	Mgmt	For	For	For
3	Offering Size	Mgmt	For	For	For
4	Target Subscriber and Subscription Method	Mgmt	For	For	For
5	Pricing Basis and Issue Price	Mgmt	For	For	For
6	Lock-up Period	Mgmt	For	For	For
7	Accumulated Profit Arrangement	Mgmt	For	For	For
8	Place of Listing	Mgmt	For	For	For
9	Effectiveness of the Resolution Approving the Non-public A	Mgmt	For	For	For
10	Share Issue Amount and Use of Proceeds	Mgmt	For	For	For
11	Share Subscription	Mgmt	For	For	For

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Air China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
753	CINS Y002A6138	05/25/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Issue Shares w/o Preemptive	Mgmt	For	Against	Against

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Rights

7	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Remedial Measures to Dilutive Impact of Non-public	Mgmt	For	For	For
	A share Issue on Immediate Returns				

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Air China Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
753	CINS Y002A6138	12/22/2015	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Articles	Mgmt	For	For	For	
3	Amendments to Articles to Rules and Procedure of Shareholders' Meetings	Mgmt	For	For	For	
4	Amendments to Articles to Rules and Procedure of Meetings of the Board of Directors	Mgmt	For	For	For	
5	Shareholder Return Plan for Three Years from 2015 to 2017	Mgmt	For	For	For	
6	Continuing Connected Transaction Agreements	Mgmt	For	For	For	
7	Elect LI Dajin	Mgmt	For	For	For	

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Aisino Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600271	CINS Y0017G124	01/25/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Application for Postponement of Share	Mgmt	For	For	For	

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Trading  
Resumption

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Aisino Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600271	CINS Y0017G124	03/15/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Elect SHI Yang	Mgmt	For
3	Elect YU Liang	Mgmt	For
4	Elect HUANG Hui	Mgmt	For
5	Elect NIAN Feng	Mgmt	For
6	Elect E Shengguo	Mgmt	For
7	Elect YUAN Xiaoguang	Mgmt	For
8	Elect ZHU Limin	Mgmt	For
9	Elect SU Wenli	Mgmt	For
10	Elect ZOU Zhiwen	Mgmt	For
11	Elect GUAN Sujuan	Mgmt	Against
12	Elect YANG Qin	Mgmt	For

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Aisino Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600271	CINS Y0017G124	05/06/2016	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Accounts and Reports	Mgmt	For
4	Annual Report	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Appointment of Auditor	Mgmt	For

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Aluminum Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status
2600	CINS Y0094N125	04/08/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Elect YU Dehui	Mgmt	For

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Aluminum Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status
2600	CINS Y0094N125	06/28/2016	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Adoption of Remuneration Standards for Directors and Supervisors	Mgmt	For	Abstain	Against
6	Renewal of Liability Insurance	Mgmt	For	Abstain	Against
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Give Guarantees to Chalco Hong Kong and its subsidiaries	Mgmt	For	For	For
9	Authority to Give Guarantees to Shanxi Huaze	Mgmt	For	For	For
10	Authority to Give Guarantees to Ningxia Energy and its subsidiaries	Mgmt	For	For	For
11	Authority to Issue Debt Financing Instruments	Mgmt	For	Against	Against
12	Authority to Issue Overseas Bonds	Mgmt	For	Against	Against
13	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Elect YU Dehui	Mgmt	For	For	For
15	Elect AO Hong	Mgmt	For	For	For
16	Elect LIU Caiming	Mgmt	For	Against	Against
17	Elect LU Dongliang	Mgmt	For	For	For
18	Elect JIANG Yinggang	Mgmt	For	For	For
19	Elect WANG Jun	Mgmt	For	For	For
20	Elect CHEN Lijie	Mgmt	For	For	For
21	Elect HU Shihai	Mgmt	For	For	For
22	Elect David LIE-A-CHEONG Tai Chong	Mgmt	For	For	For
23	Elect LIU Xiangmin	Mgmt	For	For	For
24	Elect WANG Jun	Mgmt	For	Against	Against

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Aluminum Corporation of China Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
2600	CINS Y0094N125	12/29/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Capital Contribution to Chinalco Property Development Co., Ltd.	Mgmt	For	For	For
3	Transfer of Property Assets of Chalco Hong Kong Limited	Mgmt	For	For	For
4	Capital Contribution to Chinalco Capital Holdings Co., Ltd.	Mgmt	For	For	For
5	Disposal of China Aluminum Nanhai Alloy Co., Ltd.	Mgmt	For	For	For
6	Disposal of Aluminum Logistics Group Corporation Limited	Mgmt	For	For	For
7	Disposal of Shanxi Huaxing Aluminum Co., Ltd.	Mgmt	For	For	For
8	Revision of Annual caps Under General Agreement on Mutual Provision of Production Supplies and Ancillary Services	Mgmt	For	Abstain	Against
9	New Finance Lease Framework Agreement	Mgmt	For	Abstain	Against
10	Change of US Auditor	Mgmt	For	For	For
11	Non-Public Issuance of Corporate Bonds	Mgmt	For	Against	Against
12	Amendments to Articles	Mgmt	For	For	For
13	Elect AO Hong as Director	Mgmt	For	For	For
14	Elect David LIE-A-CHEONG Tai	Mgmt	For	For	For

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Chong as  
Director

15 Elect WANG Jun as Supervisor Mgmt For Against Against

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Angang Steel Company Limited

Ticker Security ID: Meeting Date Meeting Status  
0347 CINS Y0132F100 06/08/2016 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

6	Fees of Directors and Supervisors	Mgmt	For	For	For
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7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
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8	Elect YAO Lin	Mgmt	For	Against	Against
9	Elect WANG Yidong	Mgmt	For	For	For
10	Elect LI Zhongwu	Mgmt	For	For	For
11	Elect ZHANG Jingfan	Mgmt	For	For	For
12	Elect WU Dajun	Mgmt	For	For	For
13	Elect MA Weiguo	Mgmt	For	For	For
14	Elect LUO Yucheng	Mgmt	For	For	For
15	Elect LIN Daqing as Supervisor	Mgmt	For	For	For

16	Elect LIU Xiaohui as Supervisor	Mgmt	For	Against	Against
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17	Authority to Issue Debt Instruments	Mgmt	For	For	For
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18	Amendments to Articles	Mgmt	For	For	For
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Angang Steel Company Limited

Ticker Security ID: Meeting Date Meeting Status  
0347 CINS Y0132F100 08/21/2015 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Elect ZHANG Jingfan as Director	Mgmt	For	For	For
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2	Elect LIN Daqing as Supervisor	Mgmt	For	Against	Against
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Angang Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
0347	CINS Y0132F100	10/12/2015	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Supply of Materials and Services Agreement	Mgmt	For	For	For
2	Supply of Raw Materials Agreement	Mgmt	For	For	For

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Angang Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
0347	CINS Y0132F100	12/23/2015	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Financial Services Agreement	Mgmt	For	Against	Against

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Anhui Conch Cement Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
0914	CINS Y01373110	06/02/2016	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Elect GAO Dengbang	Mgmt	For	For	For
8	Elect WANG Jianchao	Mgmt	For	For	For
9	Elect WU Bin	Mgmt	For	For	For
10	Elect DING Feng	Mgmt	For	For	For
11	Elect ZHOU Bo	Mgmt	For	For	For
12	Elect YANG Mianzhi	Mgmt	For	For	For
13	Elect Alexander TAI Kwok Leung	Mgmt	For	For	For
14	Elect Simon LEUNG Tat	Mgmt	For	For	For

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Kwong

15	Elect QI Shengli	Mgmt	For	For	For
16	Elect WANG Pengfei	Mgmt	For	Against	Against
17	Amendment to Article 98	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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Anhui Conch Cement Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0914	CINS Y01373110	11/16/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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AVIC Aero-Engine Controls Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000738	CINS Y6203U108	05/10/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	2015 Related Party Transactions	Mgmt	For	For	For
9	2016 Related Party Transactions	Mgmt	For	For	For
10	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
11	Elect WAG Liwei	Mgmt	For	For	For
12	Production Line Update on Automotive Automatic Transmission Actuator	Mgmt	For	For	For
13	Stepless Drive and Control System Products	Mgmt	For	For	For
14	Expansion Project on Precision	Mgmt	For	For	For

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Products

15	Aero Engine Control Product	Mgmt	For	For	For
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AVIC Aero-Engine Controls Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000738	CINS Y6203U108	11/10/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Elect Zhang Zi	Mgmt	For	For	For
2	Elect QIN Haibao	Mgmt	For	For	For
3	Elect ZHANG Dengxin	Mgmt	For	For	For
4	Elect YANG Yuwu	Mgmt	For	For	For
5	Elect PENG Jianwu	Mgmt	For	For	For
6	Elect ZHU Jingbo	Mgmt	For	For	For
7	Elect YANG Hui	Mgmt	For	For	For
8	Elect JIANG Fuguo	Mgmt	For	For	For
9	Elect ZHAN Ying	Mgmt	For	For	For
10	Elect JING Xu	Mgmt	For	For	For
11	Elect LI Wanqiang	Mgmt	For	For	For
12	Elect QU Renbin	Mgmt	For	For	For
13	Elect LI Dongmei	Mgmt	For	For	For
14	Elect GAO Hua	Mgmt	For	Against	Against
15	Elect HAN Shupeng	Mgmt	For	For	For
16	Elect MA Chuanli	Mgmt	For	For	For
17	Independent Directors' Fees	Mgmt	For	For	For

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Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	01/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Amendments to Articles	Mgmt	For	For	For
2	Elect LIU Xuanmin as Director	Mgmt	For	For	For

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Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	04/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Financial Resolution	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For

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7	Related Party Transactions	Mgmt	For	For	For
8	Financial Service Agreement	Mgmt	For	Against	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	05/27/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HE Shengqiang	Mgmt	For	For	For

Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	06/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For

Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	11/12/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Alteration of Company's Development Strategy	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules: Board of Directors	Mgmt	For	For	For
4	Amendments to Procedural Rules: Board of Supervisors	Mgmt	For	For	For
5	Replenish Working Capital with Idle Funds	Mgmt	For	For	For

AVIC Aviation Engine Corporation PLC.

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Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	02/03/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve to Sign Management Service and Development Decision Consulting Agreement	Mgmt	For	For	For
2	2016 Predicted Related Party Transactions	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Approval of Borrowing	Mgmt	For	Against	Against

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AVIC Aviation Engine Corporation PLC.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	05/12/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	2015 Related Party Transactions	Mgmt	For	For	For
10	Financial Budget	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For

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AVIC Aviation Engine Corporation PLC.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	09/10/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Establishment of	Mgmt	For	Abstain	Against

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Administrative  
Measures for the  
Compensation of  
Directors,  
Supervisors and  
Executives

3	Authority to Give Guarantees	Mgmt	For	For	For
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AVIC Aviation Engine Corporation PLC.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	11/13/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Related Party Transactions	Mgmt	For	For	For
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AVIC Aviation Engine Corporation PLC.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	12/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Shareholder Eligibility for Capital Increase	Mgmt	For	For	For
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AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	01/19/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Adjustement to 2015 Related Party Transactions	Mgmt	For	For	For
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AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	05/18/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of	Mgmt	For	For	For

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Profits/Dividends

5	Appointment of Auditor	Mgmt	For	For	For
6	Internal Control Self-Assessment Report	Mgmt	For	For	For
7	Internal Control Audit Report	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Board Expenses and Budget	Mgmt	For	For	For
10	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	Against	Against
13	Supervisors' Report	Mgmt	For	For	For
14	Corporate Social Responsibility Report	Mgmt	For	For	For
15	Realization of Performance Target for Acquired Assets	Mgmt	For	For	For

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AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	10/26/2015	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Emerging Aviation Industry Investment Co., Ltd. Participation in Private Placement of Baosheng Science and Technology Innovation Co., Ltd. Shares	Mgmt	For	Abstain	Against
2	AVIC Aviation Industry Investment Co., Ltd. Participation in Private Placement of AVIC Electromechanical Systems Co., Ltd. Shares	Mgmt	For	Abstain	Against
3	Authority to Give	Mgmt	For	For	For

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Guarantees to  
Controlled  
Subsidiary

4	Authority to Give Guarantees to Wholly-owned Subsidiary	Mgmt	For	For	For
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AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	12/28/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Interim Allocation of Profits/Dividends	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Elect MENG Xiangtai	Mgmt	For	For	For
5	Elect ZHANG Minsheng	Mgmt	For	For	For
6	Elect LI Ping	Mgmt	For	For	For
7	Elect ZHAO Guibin	Mgmt	For	For	For
8	Elect DU Benzhen	Mgmt	For	For	For
9	Elect WANG Jinxi	Mgmt	For	For	For
10	Elect CHEN Jie	Mgmt	For	For	For
11	Elect HE Qiang	Mgmt	For	For	For
12	Elect LIU Jipeng	Mgmt	For	For	For
13	Elect HU Xiaofeng	Mgmt	For	Against	Against
14	Elect LIU Guangyun	Mgmt	For	For	For

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Avic Helicopter Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600038	CINS Y2981F108	06/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	Against	Against
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Internal Control Audit Report	Mgmt	For	For	For
9	Independent Directors'	Mgmt	For	For	For

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Report

10	Authority to Give Guarantees I	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Authority to Give Guarantees II	Mgmt	For	For	For
13	Establishment of Harbin General Aircraft Industrial Co., Ltd.	Mgmt	For	Abstain	Against

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Bank Of Beijing Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601169	CINS Y06958113	05/18/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Resolution Report	Mgmt	For	For	For
4	Financial Budget Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approval of Line of Credit to China Hitech Group Corporation	Mgmt	For	For	For
8	Approval of Line of Credit to Beijing State-Owned Assets Management Co.,Ltd.	Mgmt	For	For	For
9	Approval of Line of Credit to Beijing Energy Investment Holding Co.,Ltd.	Mgmt	For	For	For
10	Approval of Line of Credit to Bank of Beijing Finance Leasing Co., Ltd.	Mgmt	For	For	For
11	Approval of Line of Credit to Bank of Communications	Mgmt	For	For	For

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Co., Ltd.

12	Ratification of 2015 Related Party Transactions	Mgmt	For	Abstain	Against
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Bank Of Beijing Co., Ltd.

Ticker 601169	Security ID: CINS Y06958113	Meeting Date 12/30/2015	Meeting Status Voted
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Meeting Type Special	Country of Trade China
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Approval of Line of Credit	Mgmt	For	For	For
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2	Elect Johan de Mandt	Mgmt	For	For	For
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3	Class, Volume and Size of Issuance	Mgmt	For	For	For
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4	Method of Issuance	Mgmt	For	For	For
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5	Subscribers	Mgmt	For	For	For
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6	Face Value and Issuance Price	Mgmt	For	For	For
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7	Duration	Mgmt	For	For	For
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8	Basis for Dividend Determination	Mgmt	For	For	For
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9	Method of Profit Distribution	Mgmt	For	For	For
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10	Terms of Conditional Redemption	Mgmt	For	For	For
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11	Mandatory Share Terms	Mgmt	For	For	For
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12	Restriction of Voting Rights	Mgmt	For	For	For
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13	Recovery of Voting Rights	Mgmt	For	For	For
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14	Clearing Sequence and Method	Mgmt	For	For	For
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15	Rating Arrangement	Mgmt	For	For	For
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16	Guarantee Arrangement	Mgmt	For	For	For
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17	Transfer Arrangement	Mgmt	For	For	For
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18	Use of Proceeds	Mgmt	For	For	For
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19	Validity Period	Mgmt	For	For	For
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20	Authorization to Implement Share Issuance	Mgmt	For	For	For
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21	Amendments to Articles	Mgmt	For	Abstain	Against
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22	Dilution Measures for Share Issuance	Mgmt	For	For	For
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23 Non-Voting Meeting Note N/A N/A N/A N/A

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Bank of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3988	CINS Y13905107	06/07/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Budget	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect TIAN Guoli	Mgmt	For	For	For
8	Elect WANG Yong	Mgmt	For	Against	Against
9	Elect LU Zhengfei	Mgmt	For	For	For
10	Elect LEUNG Cheuk Yan	Mgmt	For	For	For
11	Elect WANG Changyun	Mgmt	For	For	For
12	Elect LI Jun	Mgmt	For	For	For
13	Elect WANG Xueqiang	Mgmt	For	For	For
14	Elect LIU Wanming	Mgmt	For	Against	Against
15	Issuance of Bonds	Mgmt	For	For	For
16	Issuance of Qualified Write-down Tier-2 Capital Instruments	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Bank of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3988	CINS Y13905107	12/04/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHU Hexin	Mgmt	For	For	For
2	Elect ZHANG Jinliang	Mgmt	For	For	For
3	Directors' and Supervisors' Remuneration Distribution Plan	Mgmt	For	For	For
4	Plan of Overseas Listing of BOC Aviation	Mgmt	For	For	For
5	Board Authorization To Implement Overseas	Mgmt	For	For	For

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### Listing

6	Description of Sustained Profitability and Prospects	Mgmt	For	For	For
7	Undertaking of Independent Listing Status	Mgmt	For	For	For
8	Compliance of Overseas Listing of BOC Aviation Pte. Ltd.	Mgmt	For	For	For
9	Provision of Assured Entitlement	Mgmt	For	For	For

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#### Bank of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3988	CINS Y13905107	12/04/2015	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision of Assured Entitlement	Mgmt	For	For	For

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#### Bank Of Communications Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3328	CINS Y06988110	06/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Fixed Assets Investment Plan	Mgmt	For	For	For
7	Elect NIU Ximing	Mgmt	For	For	For
8	Elect PENG Chun	Mgmt	For	For	For
9	Elect YU Yali	Mgmt	For	For	For
10	Elect HOU Weidong	Mgmt	For	For	For
11	Elect HU Huating	Mgmt	For	For	For
12	Elect WANG Taiyin	Mgmt	For	Against	Against
13	Elect LIU Changshun	Mgmt	For	Against	Against

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14	Elect Peter WONG Tung Shun	Mgmt	For	For	For
15	Elect Helen WONG Pik Kuen	Mgmt	For	For	For
16	Elect LIU Hanxing	Mgmt	For	For	For
17	Elect LIU Haoyang	Mgmt	For	For	For
18	Elect LUO Mingde	Mgmt	For	For	For
19	Elect YU Yongshun	Mgmt	For	For	For
20	Elect LI Jian	Mgmt	For	For	For
21	Elect LIU Li	Mgmt	For	For	For
22	Elect Jason YEUNG Chi Wai	Mgmt	For	For	For
23	Elect Danny Quah	Mgmt	For	For	For
24	Elect WANG Neng	Mgmt	For	For	For
25	Elect SONG Shuguang	Mgmt	For	For	For
26	Elect TANG Xinyu	Mgmt	For	For	For
27	Elect XIA Zhihua	Mgmt	For	For	For
28	Elect ZHAO Yuguo	Mgmt	For	For	For
29	Elect LIU Mingxing	Mgmt	For	For	For
30	Elect GU Huizhong	Mgmt	For	For	For
31	Elect YAN Hong	Mgmt	For	Against	Against
32	Elect ZHANG Lili	Mgmt	For	Against	Against
33	Dilution of Current Returns and Remedial Measures	Mgmt	For	For	For
34	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
35	Authority to Issue Tier II Capital Bonds	Mgmt	For	For	For

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Bank Of Nanjing Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601009	CINS Y0698E109	02/01/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement of Preferred Shares	Mgmt	For	For	For
2	Share Type and Size of Issuance	Mgmt	For	For	For
3	Par Value and Issuing Price	Mgmt	For	For	For
4	Duration of Shares	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Issuing Method and Target Investors	Mgmt	For	For	For

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7	Dividend Arrangements	Mgmt	For	For	For
8	Provisions for Compulsive Conversion	Mgmt	For	For	For
9	Conditional Redemption Clause	Mgmt	For	For	For
10	Restrictions on Voting Right and Recovery	Mgmt	For	For	For
11	Method Liquidation Payment and Settlement	Mgmt	For	For	For
12	Grading Arrangement	Mgmt	For	For	For
13	Guarantee Arrangement	Mgmt	For	For	For
14	Transfer and Trading Arrangement	Mgmt	For	For	For
15	Validity Period	Mgmt	For	For	For
16	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement of Preferred Shares	Mgmt	For	For	For
18	Dilution Measures for Share Issuance	Mgmt	For	For	For
19	Authority to Issue Climate Bonds	Mgmt	For	For	For
20	Board Authorization to Implement Issuance of Climate Bonds	Mgmt	For	For	For
21	Elect XIAO Binqing as Independent Directors	Mgmt	For	For	For

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Bank Of Nanjing Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601009	CINS Y0698E109	05/24/2016	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Accounts and Reports	Mgmt	For

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4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions in 2015	Mgmt	For	For	For
6	Related Party Transactions in 2016	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Against	Against
8	Appointment of Internal Control Auditor	Mgmt	For	Against	Against
9	Elect YANG Bohao	Mgmt	For	For	For
10	Elect SHEN Yongjian as Supervisor	Mgmt	For	For	For
11	Elect PU Baoying as Supervisor	Mgmt	For	For	For
12	Amendments to Management System for External supervisor	Mgmt	For	For	For
13	Supervisory Board's Evaluation Report of Supervisors	Mgmt	For	For	For
14	Supervisory Board's Evaluation Report of Directors	Mgmt	For	For	For

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Bank Of Nanjing Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601009	CINS Y0698E109	08/13/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Allocation of Interim Profits/Dividends	Mgmt	For
2	Eligibility for Private Placement of Preferred Shares	Mgmt	For
3	Stock Type and Issuance Volume	Mgmt	For
4	Par Value and Issuance Price	Mgmt	For
5	Duration	Mgmt	For
6	Purpose of Raised Funds	Mgmt	For

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7	Target Subscribers and Subscription Method	Mgmt	For	For	For
8	Dividend Arrangements	Mgmt	For	For	For
9	Provisions for Compulsive Conversion	Mgmt	For	For	For
10	Conditional Redemption Clause	Mgmt	For	For	For
11	Restrictions on Voting Right and Recovery	Mgmt	For	For	For
12	Method Liquidation Payment and Settlement	Mgmt	For	For	For
13	Grading Arrangement	Mgmt	For	For	For
14	Guarantee Arrangement	Mgmt	For	For	For
15	Transfer and Trading Arrangement	Mgmt	For	For	For
16	Validity Period	Mgmt	For	For	For
17	Feasibility Report on Use of Proceeds	Mgmt	For	Abstain	Against
18	Board Authorization to Implement Private Placement	Mgmt	For	For	For
19	Capital Management Plan (2014-2017)	Mgmt	For	Abstain	Against
20	Dilution Measures for Share Issuance	Mgmt	For	For	For
21	Elect ZHU Qiuya as Supervisor	Mgmt	For	For	For
22	Amendments to Articles	Mgmt	For	For	For
23	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
24	Amendments to Management System: Raised Funds	Mgmt	For	For	For

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Bank of Ningbo Ltd.

Ticker Security ID:  
002142 CINS Y0698G104

Meeting Date  
02/03/2016

Meeting Status  
Voted

Meeting Type  
Special

Country of Trade  
China

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Bonds Type	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Face Value and Issuing Price	Mgmt	For	For	For
4	Bond Terms and Maturity	Mgmt	For	For	For
5	Coupon Rate	Mgmt	For	For	For
6	Interest Payment Method and Period	Mgmt	For	For	For
7	Conversion Period	Mgmt	For	For	For
8	Conversion Price and Determination	Mgmt	For	For	For
9	Provisions for Downward Price Adjustment	Mgmt	For	For	For
10	Conversion Size	Mgmt	For	For	For
11	Dividend Allocation after Conversion	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Buy-back Clauses	Mgmt	For	For	For
14	Offering Method and Target Investors	Mgmt	For	For	For
15	Allocation Arrangement to Existing Shareholders	Mgmt	For	For	For
16	Bondholders' Meetings	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Guarantees	Mgmt	For	For	For
19	Valid Period of Resolution	Mgmt	For	For	For
20	Approval of Long-term Capital Planning (2016-2018)	Mgmt	For	For	For
21	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
22	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
23	Measures to Counteract Diluted Current Returns	Mgmt	For	For	For
24	Board Authorization to Issue Convertible	Mgmt	For	For	For

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Bonds

25	Establishment of Consumer Finance Company	Mgmt	For	For	For
26	Elect FENG Peijiong	Mgmt	For	For	For

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Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	05/16/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Report of Related Party Transactions Implementation in 2015 and Arrangements for 2016	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
9	Eligibility for Private Placement of Preferred Shares	Mgmt	For	For	For
10	Stock Type and Size of Issuance	Mgmt	For	For	For
11	Issuance Method	Mgmt	For	For	For
12	Subscribers	Mgmt	For	For	For
13	Par Value and Issue Price	Mgmt	For	For	For
14	Duration	Mgmt	For	For	For
15	Interest Pricing Principle	Mgmt	For	For	For
16	Participation of Shareholders and Dividends Arrangements	Mgmt	For	For	For
17	Conditional Redemption Clause	Mgmt	For	For	For

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18	Mandatory Conversion Terms	Mgmt	For	For	For
19	Restrictions on Voting Right	Mgmt	For	For	For
20	Recovery on Voting Right	Mgmt	For	For	For
21	Liquidation Repayment Settlement and Method	Mgmt	For	For	For
22	Grading Arrangements	Mgmt	For	For	For
23	Guarantee Arrangements	Mgmt	For	For	For
24	Transfer Arrangements	Mgmt	For	For	For
25	Use of Proceeds	Mgmt	For	For	For
26	Validity of Resolution	Mgmt	For	For	For
27	Board Authority to Implement Preferred Stock	Mgmt	For	For	For
28	Identification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
29	Authority to Issue Subordinated Debentures	Mgmt	For	For	For
30	Authority to Issue Green Financial Bonds	Mgmt	For	For	For
31	Approval of Establishment of Legal Direct Bank	Mgmt	For	For	For
32	Approval of Capital Increase of Yongying Finance Leasing Co., Ltd.	Mgmt	For	For	For
33	Supervisors' Report	Mgmt	For	For	For
34	Supervisors' 2015 Summary and 2016 Work Plan	Mgmt	For	For	For
35	Supervisors' Performance Evaluation Report	Mgmt	For	For	For
36	Directors' Performance Evaluation Report	Mgmt	For	For	For

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Bank of Ningbo Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	09/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Joint Venture	Mgmt	For	Against	Against
2	Approval to Establish a Wholly-owned Subsidiary	Mgmt	For	For	For
3	Approval to Conduct Credit Assets Securitization Business	Mgmt	For	For	For

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Baoshan Iron and Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600019	CINS Y0698U103	01/27/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHOU Zhuping as Supervisor	Mgmt	For	Against	Against

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Baoshan Iron and Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600019	CINS Y0698U103	04/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Directors', Supervisors' and Executives Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Authority to Issue Corporate Bonds	Mgmt	For	For	For

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Baoshan Iron and Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600019	CINS Y0698U103	11/18/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Increase the Limit of Short-term Bonds	Mgmt	For
			For
			For

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BBMG Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
2009	CINS Y076A3113	05/18/2016	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Accounts and Reports	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
			For
5	Remuneration of Executive Directors	Mgmt	For
			For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For
			For
7	Authority to Give Guarantees	Mgmt	For
			Against
			Against
8	Eligibility to Issue Corporate Bonds via Public Offering	Mgmt	For
			For
9	Bond Class	Mgmt	For
10	Issue Size	Mgmt	For
11	Face Value and Issuance Price	Mgmt	For
			For
12	Bond Term and Class	Mgmt	For
13	Interest Rate	Mgmt	For
14	Method of Issuance and Subscribers	Mgmt	For
			For
15	Use of Proceeds	Mgmt	For
16	Arrangement for Placement	Mgmt	For
			For
17	Listing Market	Mgmt	For
18	Guarantees Arrangement	Mgmt	For
19	Safeguard Measures For	Mgmt	For
			For

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Repayment

20	Validity of Resolution	Mgmt	For	For	For
21	Board Authorization to Issue Corporate Bonds via Public Offering	Mgmt	For	For	For
22	Eligibility to Issue Corporate Bonds via Private Placement	Mgmt	For	For	For
23	Bond Class	Mgmt	For	For	For
24	Issue Size	Mgmt	For	For	For
25	Face Value and Issuance Price	Mgmt	For	For	For
26	Bond Term and Class	Mgmt	For	For	For
27	Interest Rate	Mgmt	For	For	For
28	Method of Issuance and Subscribers	Mgmt	For	For	For
29	Use of Proceeds	Mgmt	For	For	For
30	Arrangement for Placement	Mgmt	For	For	For
31	Listing Market	Mgmt	For	For	For
32	Guarantees Arrangement	Mgmt	For	For	For
33	Safeguard Measures For Repayment	Mgmt	For	For	For
34	Validity of Resolution	Mgmt	For	For	For
35	Board Authorization to Issue Corporate Bonds via Private Placement	Mgmt	For	For	For
36	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
37	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

BBMG Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
2009	CINS Y076A3113	05/18/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Remuneration of Executive	Mgmt	For	For	For

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### Directors

6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Eligibility to Issue Corporate Bonds via Public Offering	Mgmt	For	For	For
9	Bond Class	Mgmt	For	For	For
10	Issue Size	Mgmt	For	For	For
11	Face Value and Issuance Price	Mgmt	For	For	For
12	Bond Term and Class	Mgmt	For	For	For
13	Interest Rate	Mgmt	For	For	For
14	Method of Issuance and Subscribers	Mgmt	For	For	For
15	Use of Proceeds	Mgmt	For	For	For
16	Arrangement for Placement	Mgmt	For	For	For
17	Listing Market	Mgmt	For	For	For
18	Guarantees Arrangement	Mgmt	For	For	For
19	Safeguard Measures For Repayment	Mgmt	For	For	For
20	Validity of Resolution	Mgmt	For	For	For
21	Board Authorization to Issue Corporate Bonds via Public Offering	Mgmt	For	For	For
22	Eligibility to Issue Corporate Bonds via Private Placement	Mgmt	For	For	For
23	Bond Class	Mgmt	For	For	For
24	Issue Size	Mgmt	For	For	For
25	Face Value and Issuance Price	Mgmt	For	For	For
26	Bond Term and Class	Mgmt	For	For	For
27	Interest Rate	Mgmt	For	For	For
28	Method of Issuance and Subscribers	Mgmt	For	For	For
29	Use of Proceeds	Mgmt	For	For	For
30	Arrangement for Placement	Mgmt	For	For	For
31	Listing Market	Mgmt	For	For	For
32	Guarantees Arrangement	Mgmt	For	For	For

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33	Safeguard Measures For Repayment	Mgmt	For	For	For
34	Validity of Resolution	Mgmt	For	For	For
35	Board Authorization to Issue Corporate Bonds via Private Placement	Mgmt	For	For	For
36	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
37	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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BBMG Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
2009	CINS Y076A3113	08/12/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Self-Inspection Report on Property Business	Mgmt	For	For	For
3	Undertakings to Property Business	Mgmt	For	For	For
4	Elect ZHANG Jianli	Mgmt	For	For	For
5	Update on Self-Inspection Report	Mgmt	For	For	For

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BBMG Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
2009	CINS Y076A3113	11/27/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Fees	Mgmt	For	For	For
2	Supervisors' Fees	Mgmt	For	For	For
3	Eligibility For Public Issue of Corporate Bonds	Mgmt	For	For	For
4	Type	Mgmt	For	For	For
5	Size	Mgmt	For	For	For
6	Face Value and Issue Price	Mgmt	For	For	For
7	Term of issue and Variety	Mgmt	For	For	For
8	Bonds Interest Rate	Mgmt	For	For	For

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9	Method of Issue and Target Investors	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Pre-emptive Rights	Mgmt	For	For	For
12	Place of Listing	Mgmt	For	For	For
13	Arrangement of Guarantee	Mgmt	For	For	For
14	Measures For Protection of Repayment	Mgmt	For	For	For
15	Validity of Resolution	Mgmt	For	For	For
16	Board Authority To Issue Corporate Bodns	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For
18	Elect JIANG Deyi	Mgmt	For	For	For
19	Elect WU Dong	Mgmt	For	For	For
20	Elect SHI Xijun	Mgmt	For	Against	Against
21	Elect ZHANG Jianli	Mgmt	For	For	For
22	Elect LI Weidong	Mgmt	For	For	For
23	Elect WANG Shizhong	Mgmt	For	For	For
24	Elect WANG Guangjin	Mgmt	For	For	For
25	Elect TIAN Lihui	Mgmt	For	For	For
26	Elect TANG Jun	Mgmt	For	For	For
27	Elect NGAI Wai Fung	Mgmt	For	Against	Against
28	Elect WANG Xiaojun	Mgmt	For	Against	Against
29	Elect LI Bichi	Mgmt	For	Against	Against
30	Elect YU Kaijun	Mgmt	For	Against	Against
31	Elect HU Juan	Mgmt	For	For	For

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Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600008	CINS Y07716106	03/16/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Use of Idle Raised Funds for Investment in Wealth Management Products	Mgmt	For
			For
			For
2	Use of Self-owned Funds for Investment in Wealth Management Products	Mgmt	For
			For
			For

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Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600008	CINS Y07716106	04/19/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

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1	Elect LI Songping as Director	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Authority to Set Auditor's Fees	Mgmt	For	For	For
9	Authority to Set Internal Control Auditor's Fees	Mgmt	For	For	For
10	Appointment of 2016 Auditor	Mgmt	For	For	For
11	Appointment of 2016 Internal Control Auditor	Mgmt	For	For	For
12	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
13	Size of Issuance	Mgmt	For	For	For
14	Par Value and Issuance Price	Mgmt	For	For	For
15	Issuance Targets and Arrangements for Shareholders	Mgmt	For	For	For
16	Bond Type and Maturity	Mgmt	For	For	For
17	Interest Payment Method	Mgmt	For	For	For
18	Method of Issuance	Mgmt	For	For	For
19	Guarantee Matters	Mgmt	For	For	For
20	Use of Proceeds	Mgmt	For	For	For
21	Listing Market	Mgmt	For	For	For
22	Credit and Guarantee Measures for Debt Repayment	Mgmt	For	For	For
23	Underwriting Method	Mgmt	For	For	For
24	Validity Period	Mgmt	For	For	For
25	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

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Beijing Capital Co., Ltd.

Ticker

Security ID:

Meeting Date

Meeting Status

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600008	CINS Y07716106	05/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing Capital Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	06/24/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Equity	Mgmt	For	For	For

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Beijing Capital Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	07/17/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Subsidiary's Overseas Borrowing	Mgmt	For	Against	Against
2	Authority to Issue Perpetual Bonds	Mgmt	For	For	For

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Beijing Capital Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	08/11/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Subsidiary's Overseas Loans	Mgmt	For	Against	Against

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Beijing Capital Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	11/20/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YANG Bin as Supervisor	Mgmt	For	Against	Against

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2	Elect WU Lishun	Mgmt	For	For	For
3	Elect GUO Peng	Mgmt	For	For	For

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Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	12/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Handling of Loan Business	Mgmt	For	Abstain	Against
2	Application for Line of Credit	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	03/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Minority Stake in Two Firms	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	04/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Audit Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authorization of Chairman of the Board	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	06/06/2016	Voted		

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Ultra Short-term Financing Bills	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002385	CINS Y0772N108	08/24/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Lizhong	Mgmt	For	For	For
2	Elect JI Weiguo as Supervisor	Mgmt	For	Against	Against
3	Change Technology Center as a Wholly-owned Subsidiary	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002385	CINS Y0772N108	11/23/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Hedging Business	Mgmt	For	For	For
2	Investment in Wealth Management Products	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002385	CINS Y0772N108	12/28/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition - Joint Venture	Mgmt	For	For	For

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Beijing Enlight Media Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
300251	CINS Y0773B103	05/05/2016		Voted	

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Directors', Supervisors' and Senior Management Remuneration	Mgmt	For	For	For
9	Elect HOU Jun	Mgmt	For	For	For

Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300251	CINS Y0773B103	06/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transaction Regarding External Investment	Mgmt	Against	Abstain	N/A

Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300251	CINS Y0773B103	07/13/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Replenish Working Capital with Idle Funds	Mgmt	For	For	For

Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300251	CINS Y0773B103	08/21/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Chantian	Mgmt	For	For	For
2	Elect LI Xiaoping	Mgmt	For	For	For
3	Elect LI Delai	Mgmt	For	For	For
4	Elect SHAO Xiaofeng	Mgmt	For	For	For
5	Elect WANG Jian	Mgmt	For	For	For

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6	Elect CHEN Shaofeng	Mgmt	For	For	For
7	Elect WANG Xuechun	Mgmt	For	For	For
8	Elect GONG Lei	Mgmt	For	For	For
9	Elect YUAN Ruowei	Mgmt	For	For	For
10	Elect ZHANG Hang	Mgmt	For	Against	Against
11	Related Party Transactions	Mgmt	For	For	For
12	Eligibility to Issue Corporate Bonds	Mgmt	For	For	For
13	Size of Issuance	Mgmt	For	For	For
14	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For
15	Method of Issuance	Mgmt	For	For	For
16	Bond Duration	Mgmt	For	For	For
17	Interest Rate	Mgmt	For	For	For
18	Purpose of Raised Funds	Mgmt	For	For	For
19	Guarantee Clauses	Mgmt	For	For	For
20	Listing Place	Mgmt	For	For	For
21	Underwriting Method	Mgmt	For	For	For
22	Valid Period of Resolution	Mgmt	For	For	For
23	Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
24	Guarantee Measures for Debt Repayment	Mgmt	For	For	For

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### Beijing Jingneng Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600578	CINS Y0771L103	04/07/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHU Yan	Mgmt	For	For	For
2	Elect SHI Xiaowen	Mgmt	For	For	For

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### Beijing Jingneng Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600578	CINS Y0771L103	06/13/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Counter Parties	Mgmt	For	For	For
2	Target Assets	Mgmt	For	For	For
3	Price and Consideration	Mgmt	For	For	For
4	Consideration Payment	Mgmt	For	For	For

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	Method				
5	Cash Payment	Mgmt	For	For	For
6	Share Issuance for Asset Acquisition: Share Type and Par Value	Mgmt	For	For	For
7	Share Issuance for Asset Acquisition: Issuing Method, Targets and Subscription Method	Mgmt	For	For	For
8	Share Issuance for Asset Acquisition: Pricing	Mgmt	For	For	For
9	Share Issuance for Asset Acquisition: Size of Issuance	Mgmt	For	For	For
10	Share Issuance for Asset Acquisition: Price Adjustments	Mgmt	For	For	For
11	Share Issuance for Asset Acquisition: Listing Market	Mgmt	For	For	For
12	Share Issuance for Asset Acquisition: Lock-up Period	Mgmt	For	For	For
13	Share Issuance for Asset Acquisition: Profit and Losses during Transaction Period	Mgmt	For	For	For
14	Share Issuance for Asset Acquisition: Undistributed Accumulated Profits	Mgmt	For	For	For
15	Share Issuance for Asset Acquisition: Contract Liabilities and Accountability	Mgmt	For	For	For
16	Share Issuance for Asset Acquisition: Validity Period	Mgmt	For	For	For

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17	Share Issuance for Fund Raising: Share Type and Par Value	Mgmt	For	For	For
18	Share Issuance for Fund Raising: Targets, Issuing Method and Subscription Method	Mgmt	For	For	For
19	Share Issuance for Fund Raising: Pricing	Mgmt	For	For	For
20	Share Issuance for Fund Raising: Size of Issuance	Mgmt	For	For	For
21	Share Issuance for Fund Raising: Price Adjustments	Mgmt	For	For	For
22	Share Issuance for Fund Raising: Listing Place	Mgmt	For	For	For
23	Share Issuance for Fund Raising: Lock-up Period	Mgmt	For	For	For
24	Share Issuance for Fund Raising: Undistributed Accumulated Profits	Mgmt	For	For	For
25	Share Issuance for Fund Raising: Use of Proceeds	Mgmt	For	For	For
26	Share Issuance for Fund Raising: Validity Period	Mgmt	For	For	For
27	Eligibility for Acquisition and Fund Raising	Mgmt	For	For	For
28	Report (Draft) on Acquisition and Fund Raising	Mgmt	For	For	For
29	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
30	Conditional Acquisition Agreements	Mgmt	For	For	For

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31	Profit Indemnification Agreements	Mgmt	For	For	For
32	Measures for Diluted Earnings	Mgmt	For	For	For
33	Board Authorization to Implement Acquisition and Fund Raising	Mgmt	For	For	For

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Beijing Jingneng Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600578	CINS Y0771L103	12/26/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Inner Mongolia Jingneng Xilin Thermal Power Co., Ltd.	Mgmt	For	For	For
2	Establishment of Inner Mongolia Jingneng Shuangxin Thermal Power Project Co., Ltd.	Mgmt	For	For	For
3	Increase in Capital of Jingneng Shiyan Thermal Power Co., Ltd.	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Establishment of Jingneng Qinhuangdao Thermal Power Project Co., Ltd.	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	08/04/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Transfer Agreement for Beneficial Right of	Mgmt	For	For	For

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Trust

Beijing Orient Landscape Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
002310	CINS Y0772D100	11/17/2015		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Asset Acquisition	Mgmt	For	For	For	
2	Transaction Counterparty	Mgmt	For	For	For	
3	Target Asset	Mgmt	For	For	For	
4	Price and Pricing Basis	Mgmt	For	For	For	
5	Source of Funds	Mgmt	For	For	For	
6	Arrangements for Consideration	Mgmt	For	For	For	
7	Settlement	Mgmt	For	For	For	
8	Arrangements for Dividends Allocation	Mgmt	For	For	For	
9	Business Commitment Compensation	Mgmt	For	For	For	
10	Confirmation Transaction Does Not Constitute Related Party Transaction	Mgmt	For	For	For	
11	Compliance with Article 4 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	For	For	
12	Acquisition Report and Summary	Mgmt	For	For	For	
13	Conditional Agreement Regarding Equity Stake Transfer	Mgmt	For	For	For	
14	Approval of Audit Report, Appraisal Report and Profit Forecast Report	Mgmt	For	For	For	
15	Ratification of Fairness of Independence,	Mgmt	For	For	For	

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Assumptions, Method  
and Pricing of  
Appraisal  
Report

16	Statement Regarding Compliance and Completeness of Legal Proceedings Relating to the Acquisition	Mgmt	For	For	For
17	Statement Regarding Stock Price Fluctuations	Mgmt	For	For	For
18	Board Authorization to Implement Major Asset Restructuring	Mgmt	For	For	For
19	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
20	Size of Issuance	Mgmt	For	For	For
21	Par Value and Issuance Price	Mgmt	For	For	For
22	Issuance Targets	Mgmt	For	For	For
23	Bond Type and Bond Duration	Mgmt	For	For	For
24	Interest Rate	Mgmt	For	For	For
25	Guarantee Method	Mgmt	For	For	For
26	Method of Issuance	Mgmt	For	For	For
27	Purpose of Raised Funds	Mgmt	For	For	For
28	Setup of Special Account for Raised Funds	Mgmt	For	For	For
29	Repayment Guarantee Measures	Mgmt	For	For	For
30	Trading and Circulation of Bonds to be Issued	Mgmt	For	For	For
31	Validity Period	Mgmt	For	For	For
32	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
33	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002310	CINS Y0772D100	12/15/2015	Voted
Meeting Type		Country of Trade	
Special		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Assets Acquisition by Stock and Cash and Raising Matching Fund	Mgmt	For	For	For
2	Overview	Mgmt	For	For	For
3	Acquisition of Assets: Target Assets	Mgmt	For	For	For
4	Acquisition of Assets: Valuation of Target Assets	Mgmt	For	For	For
5	Acquisition of Assets: Payment Method	Mgmt	For	For	For
6	Acquisition of Assets: Schedule for Cash Payment	Mgmt	For	For	For
7	Acquisition of Assets: Stock Issuance Method	Mgmt	For	For	For
8	Acquisition of Assets: Stock Type and Par Value	Mgmt	For	For	For
9	Acquisition of Assets: Target of Issuance and Subscription Method	Mgmt	For	For	For
10	Acquisition of Assets: Issue Price and Pricing Principle	Mgmt	For	For	For
11	Acquisition of Assets: Size of Issuance	Mgmt	For	For	For
12	Acquisition of Assets: Lock-up Period	Mgmt	For	For	For
13	Acquisition of Assets: Treatment of Undistributed Profits	Mgmt	For	For	For
14	Acquisition of Assets: Ownership Transfer of Target Assets	Mgmt	For	For	For

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15	Acquisition of Assets: Profit and Loss during Transaction Period	Mgmt	For	For	For
16	Acquisition of Assets: Listing Market	Mgmt	For	For	For
17	Acquisition of Assets: Validity of Resolution	Mgmt	For	For	For
18	Raising Fund: Stock Type and Par Value	Mgmt	For	For	For
19	Raising Fund: Target of Issuance and Subscription Method	Mgmt	For	For	For
20	Raising Fund: Pricing Benchmark Date and Issue Price	Mgmt	For	For	For
21	Raising Fund: Issue Price Adjustment System	Mgmt	For	For	For
22	Raising Fund: Amount of Fund to be Raised	Mgmt	For	For	For
23	Raising Fund: Size of Issuance	Mgmt	For	For	For
24	Raising Fund: Use of Proceeds	Mgmt	For	For	For
25	Raising Fund: Lock-up Period	Mgmt	For	For	For
26	Raising Fund: Listing Arrangement	Mgmt	For	For	For
27	Raising Fund: Validity of Resolution	Mgmt	For	For	For
28	Transaction Not Constituting Major Asset Restructure, Backdoor Listing or Related Party Transactions	Mgmt	For	For	For
29	Approval of Preliminary Plan for Asset Acquisition and Raising Matching	Mgmt	For	For	For

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Fund					
30	Approval to Sign Agreement with Shareholders of Zhongshan Environmental Protection Industry Co., Ltd. regarding Asset Acquisition by Stock and Cash	Mgmt	For	For	For
31	Approval to Sign Share Pledge Agreement	Mgmt	For	For	For
32	Approval to Sign Execution Deposit Agreement	Mgmt	For	For	For
33	Approval to Sign Contingent Agreements with Shareholders of Shanghai Liyuan Water Treatment Technology Co., Ltd.	Mgmt	For	For	For
34	Compliance with Article 4 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	For	For
35	Regarding Eligibility for Relevant Conditions to Implement Asset Acquisition by Stock and Cash and Raising Matching Fund	Mgmt	For	For	For
36	Completeness and Compliance in Legal Procedure and Validity of Legal Documents	Mgmt	For	For	For
37	Ratification of Relevant Reports	Mgmt	For	For	For
38	Independence of Appraiser, Rationality of Assumptions, Relevance of Valuation and	Mgmt	For	For	For

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Fairness of Pricing  
Method

39	Regarding the Company's Share Price Variation Reaching the Standard in Article 5 of Provisions on Issues of Listed Companies' Information Disclosure and Notification of Relevant Actions	Mgmt	For	For	For
40	Board Authorization to Implement the Transaction and Related Matters	Mgmt	For	For	For
41	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Beijing Originwater Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300070	CINS Y0772Q101	05/05/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions with Yunan Water Investment Co., Ltd.	Mgmt	For	For	For
7	Related Party Transactions with Nanjing City Construction Water Co., Ltd.	Mgmt	For	For	For
8	Related Party Transactions with Neimenggu Dongyuan Water Investment and Technology Development Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with	Mgmt	For	For	For

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Xinjiang Originwater  
Environment Resources  
Co.,  
Ltd.

10	Related Party Transactions with Guangdong Haiyuan Environment Technology Co., Ltd.	Mgmt	For	For	For
11	Related Party Transactions with Wuhan Sanzhen Co., Ltd.	Mgmt	For	For	For
12	Related Party Transactions with Shanxi Taigang Originwater Environment Technology Co., Ltd.	Mgmt	For	For	For
13	Related Party Transactions with Qingdao Water Investment Originwater Technology Development Co., Ltd.	Mgmt	For	For	For
14	Related Party Transactions with Beijing Originwater Boda Water Investment Co., Ltd.	Mgmt	For	For	For
15	Related Party Transactions with Jilin Originwater Water Investment and Technology Co., Ltd.	Mgmt	For	For	For
16	Related Party Transactions with Wuhan Water Investment and Environment Technology Co., Ltd.	Mgmt	For	For	For
17	Related Party Transactions with Guizhou Originwater Environment Technology Co., Ltd.	Mgmt	For	For	For

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18	Related Party Transactions Feng County Fengshui Water Investment Co., Ltd.	Mgmt	For	For	For
19	Related Party Transactions with Neimenggu Chunyuan Environment Technology Co., Ltd.	Mgmt	For	For	For
20	Related Party Transactions with Fujian Zhangfa Originwater Water Investment and Technology Co., Ltd.	Mgmt	For	For	For
21	Related Party Transactions with Xiantao Water Investment and Environment Technology Co., Ltd.	Mgmt	For	For	For
22	Related Party Transactions with Huaihua City Water Investment and Environment Development Co., Ltd.	Mgmt	For	For	For
23	Related Party Transactions with Tianjin Baoxing Water Construction Management Co., Ltd.	Mgmt	For	For	For
24	Related Party Transactions with Xinjiang Kunlun Xinsuiyuan Water Technology Co., Ltd.	Mgmt	For	For	For
25	Employee Stock Options Plan and Restricted Shares Plan (Draft) and Its Summary	Mgmt	For	For	For
26	Establishment of Administrative Measures for Employee Stock Options Plan	Mgmt	For	For	For

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and Restricted Share  
Incentive  
Plan

27	Board Authorization for Employee Stock Options Plan and Restricted Share Incentive Plan	Mgmt	For	For	For
28	Appointment of Auditor	Mgmt	For	For	For
29	Authority to Give Guarantees to Xinjiang Originwater Environment Resources Co., Ltd.	Mgmt	For	For	For
30	Authority to Give Guarantees to Originwater Boda Water Investment Co., Ltd.	Mgmt	For	For	For
31	Authority to Give Guarantees to Shawankefa Reclaimed Water Co., Ltd.	Mgmt	For	For	For

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Beijing Originwater Technology Co., Ltd.				Meeting Status	
Ticker	Security ID:	Meeting Date		Meeting Status	
300070	CINS Y0772Q101	11/23/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Acquisition of Asset	Mgmt	For	Against	Against
2	Counterparty	Mgmt	For	Against	Against
3	Pricing and Valuation	Mgmt	For	Against	Against
4	Payment Method	Mgmt	For	Against	Against
5	Type and Par Value of Stocks	Mgmt	For	Against	Against
6	Subscribers and Subscription Method	Mgmt	For	Against	Against
7	Benchmark Date and Issue Price	Mgmt	For	Against	Against
8	Size of Issuance	Mgmt	For	Against	Against
9	Lock-up Period	Mgmt	For	Against	Against
10	Listing Market	Mgmt	For	Against	Against

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11	Treatment of Undistributed Profits	Mgmt	For	Against	Against
12	Profit and Loss of Transaction Period	Mgmt	For	Against	Against
13	Obligation and Responsibility of Agreement	Mgmt	For	Against	Against
14	Indemnification Plan	Mgmt	For	Against	Against
15	Validity of Resolution	Mgmt	For	Against	Against
16	Transaction's Not Constituting Related Party Transactions	Mgmt	For	Against	Against
17	Approval of Preliminary Plan of Acquisition	Mgmt	For	Against	Against
18	Approval to Sign Contingent Agreement on Acquisition Transaction with Counterparty	Mgmt	For	Against	Against
19	Ratification of Relevant Reports	Mgmt	For	Against	Against
20	Compliance with Article 4 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	Against	Against
21	Compliance with Article 11 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	Against	Against
22	Compliance with Article 43 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	Against	Against
23	Compliance of Legal Procedures and Validity of Legal Documents	Mgmt	For	Against	Against

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24	Independence of Appraiser, Rationality of Valuation Assumptions, Relevance of Valuation Method and Fairness of Pricing	Mgmt	For	Against	Against
25	Board Authorization to Implement Acquisition Transaction	Mgmt	For	Against	Against
26	Authority to Give Guarantees for Urumqi Scientific Development Industrial Water Treatment Co., Ltd.	Mgmt	For	For	For
27	Authority to Give Guarantees for Wuxi Liyangmo Scientific Development Co., Ltd.	Mgmt	For	For	For
28	Approval to Amend Private Placement Investment Projects	Mgmt	For	For	For
29	Amendments to Articles	Mgmt	For	For	For
30	Elect HE Jinlei	Mgmt	For	For	For
31	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For

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Beijing Shiji Information Technology Co. Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
002153	CINS Y0772L102	02/18/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Listing of a Subsidiary on National Equities Exchange and Quotations	Mgmt	For	For	For
2	Investment in Wealth Management Products	Mgmt	For	For	For
3	Board Authorization to Handle the Listing of Subsidiary	Mgmt	For	For	For

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Beijing Shiji Information Technology Co. Ltd  
Ticker Security ID: Meeting Date Meeting Status  
002153 CINS Y0772L102 04/21/2016 Voted  
Meeting Type Country of Trade  
Annual China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For

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Beijing Shiji Information Technology Co. Ltd  
Ticker Security ID: Meeting Date Meeting Status  
002153 CINS Y0772L102 10/15/2015 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Private Placement	Mgmt	For	For	For
2	Extension of Board Authorization Validity Period for Private Placement Implementation	Mgmt	For	For	For

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Beijing SL Pharmaceutical Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002038 CINS Y0773L119 05/26/2016 Voted  
Meeting Type Country of Trade  
Annual China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Investment in Wealth Management Products	Mgmt	For	For	For
9	Approval of Entrusted Loans	Mgmt	For	For	For

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10	Amendments to Articles	Mgmt	For	For	For
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Beijing Tong Ren Tang Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600085	CINS Y0771B105	06/17/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
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Beijing Ultrapower Software Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	01/06/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Joint Venture	Mgmt	For	For	For
2	Modification of Company's Registered Capital	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
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Beijing Ultrapower Software Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	02/25/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Super and Short-term Commercial Paper	Mgmt	For	For	For
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Beijing Ultrapower Software Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	05/17/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For

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3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Indemnification Plan for Performance Commitment	Mgmt	For	For	For
8	Board Authorization to Implement Indemnification Plan for Performance Commitment	Mgmt	For	For	For
9	Approval of Line of Credit Application and Provision of Guarantees	Mgmt	For	For	For

Beijing Ultrapower Software Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	05/27/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect WANG Ning	Mgmt	For	For	For
3	Elect LI Li	Mgmt	For	For	For
4	Elect HUANG Songlang	Mgmt	For	For	For
5	Elect ZHAI Yibing	Mgmt	For	For	For
6	Elect XU Siping	Mgmt	For	For	For
7	Elect WANG Cheng	Mgmt	For	For	For
8	Elect LUO Jianbei	Mgmt	For	For	For
9	Elect LIU Tiemin	Mgmt	For	For	For
10	Elect WANG Xuechun	Mgmt	For	For	For
11	Elect SHEN Yang	Mgmt	For	For	For
12	Elect LI Guanggang	Mgmt	For	For	For
13	Elect DING Yanchao	Mgmt	For	Against	Against

Beijing Ultrapower Software Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	06/22/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification of the Company's Registered Capital	Mgmt	For	For	For
2	Change of Business Scope	Mgmt	For	For	For
3	Change of Disclosure of the Company	Mgmt	For	For	For

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4	Amendments to Articles	Mgmt	For	For	For
5	Directors', Superisors' and Senior Managements' Fees	Mgmt	For	For	For
6	Authority to Issue Medium-term Notes	Mgmt	For	For	For

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Beijing Ultrapower Software Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	07/10/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing Ultrapower Software Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	07/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Constitution of Administrative Measures for Employee Stock Ownership Plan	Mgmt	For	Abstain	Against

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Beijing Ultrapower Software Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	08/20/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Disposal of Equity Stake in Longtu Game	Mgmt	For	For	For
2	Equity Transfer Agreements	Mgmt	For	For	For

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3	Increase in Authorized Capital	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
Beijing Xinwei Telecom Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	01/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
Beijing Xinwei Telecom Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	04/01/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustments to Authority to Give Guarantees	Mgmt	For	Against	Against
Beijing Xinwei Telecom Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	05/18/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
Beijing Xinwei Telecom Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	06/01/2016	Voted		
Meeting Type		Country of Trade			
Special		China			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
600485 CINS Y07723102 09/25/2015 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Authority to Give Guarantees to Hainan Xinwei Information Technology Co., Ltd.	Mgmt	For	For	For
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2	Authority to Give Guarantees to Chongqing Xinwei Telecom Technology Co., Ltd.	Mgmt	For	For	For
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3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
600485 CINS Y07723102 10/09/2015 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Eligibility for Private Placement of Corporate Bonds	Mgmt	For	For	For
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2	Size of Issuance	Mgmt	For	For	For
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3	Subscribers	Mgmt	For	For	For
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4	Issuance Method	Mgmt	For	For	For
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5	Bond Term	Mgmt	For	For	For
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6	Interest Rate	Mgmt	For	For	For
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7	Use of Proceeds	Mgmt	For	For	For
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8	Guarantees	Mgmt	For	For	For
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9	Trading and Circulation	Mgmt	For	For	For
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10	Underwriting	Mgmt	For	For	For
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11	Validity of Resolution	Mgmt	For	For	For
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12	Board Authorization of Private Placement of Corporate Bonds	Mgmt	For	For	For
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13	Repayment Guarantees of Corporate Bond	Mgmt	For	For	For
14	Eligibility for the Company's Subsidiary to Issue Corporate Bond	Mgmt	For	For	For
15	Size of Issuance	Mgmt	For	For	For
16	Subscribers and Treatment to Shareholders	Mgmt	For	For	For
17	Issuance Method	Mgmt	For	For	For
18	Bond Term	Mgmt	For	For	For
19	Interest Rate	Mgmt	For	For	For
20	Use of Proceeds	Mgmt	For	For	For
21	Guarantees	Mgmt	For	For	For
22	Listing Market	Mgmt	For	For	For
23	Underwriting Method	Mgmt	For	For	For
24	Validity of Resolution	Mgmt	For	For	For
25	The Company's Subsidiary's Board Authorization for Issuance of Corporate Bond	Mgmt	For	For	For
26	The Company's Subsidiary's Safeguards for Repayment of Corporate Bond	Mgmt	For	For	For
27	Authority to Give Guarantees I	Mgmt	For	For	For
28	Authority to Give Guarantees II	Mgmt	For	For	For

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Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	11/27/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adjustment to Guarantee Provision	Mgmt	For	Against	Against
3	Guarantee for Line of Credit Provided by Evergrowing Bank	Mgmt	For	Against	Against

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4	Guarantee for Line of Credit Provided by Bank of Beijing	Mgmt	For	Against	Against
5	Guarantee for Line of Credit Provided by China Zheshang Bank	Mgmt	For	Against	Against
6	Financing Related to Equity Stake Aquisition	Mgmt	For	For	For
7	Authority for Beijing Xinwei Telecom Technique Co., Ltd to Issue Debt Instruments	Mgmt	For	For	For
8	Authority to Give Guarantees for Line of Credit Provided by Minsheng Bank	Mgmt	For	Against	Against

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Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	12/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Amendments to Use of Proceeds - Capital Injection	Mgmt	For	For	For
2	Amendments to Use of Proceeds - Implementation Method	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing Yanjing Brewery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000729	CINS Y0771Z102	06/03/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For

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Beijing Zhong Ke San Huan High-tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000970	CINS Y07728101	08/21/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Related Party Transactions	Mgmt	For
			For
			For

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Beingmate Baby and Child Food Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002570	CINS Y988BE106	11/02/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Related Party Transactions	Mgmt	For
			For
			For

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Beingmate Baby and Child Food Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002570	CINS Y988BE106	11/16/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Unincorporated Joint Venture	Mgmt	For
			For
			For

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Beiqi Foton Motor Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600166	CINS Y0770V102	05/26/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends: Cash	Mgmt	For	For	For
6	Shareholder Proposal by Weichai Power Co., Ltd, Shandong Laidong Co., Ltd. and Yihe Axles Co., Ltd. regarding Cash Dividends	ShrHoldr	Against	Against	For
7	Allocation of Profits/Dividends from Capital Reserve	Mgmt	For	For	For
8	Shareholder Proposal by Shenwanlingxin Co., Ltd. regarding Stock Dividends from Capital Reserve	ShrHoldr	Against	Against	For
9	Shareholder Proposal by Weichai Power Co., Ltd., Shandong Laidong Co., Ltd. and Yihe Axles Co., Ltd. regarding Stock Dividends from Capital Reserve	ShrHoldr	Against	Against	For
10	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
11	Related Party Transactions with Zhucheng City Yihe Axles Co., Ltd.	Mgmt	For	For	For
12	Related Party Transactions with Changsha Yihe Axles Co., Ltd.	Mgmt	For	For	For
13	Related Party Transactions with Weichai Power Holding Co., Ltd.	Mgmt	For	For	For
14	Related Party Transactions with	Mgmt	For	For	For

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Weichai Power  
Yangzhou Diesel  
Engine Machine Co.,  
Ltd.

15	Related Party Transactions with Shanxi Fashite Gear Co., Ltd.	Mgmt	For	For	For
16	Related Party Transactions with Beijing Pulaide New Energy Battery Technology Co., Ltd.	Mgmt	For	For	For
17	Related Party Transactions with Weifang Qingte Axles Co., Ltd.	Mgmt	For	For	For
18	Related Party Transactions with Qingdao Qingte Zhongli Axles Co., Ltd.	Mgmt	For	For	For
19	Related Party Transactions with Shandong Huayuanlaidong Internal Combustion Engine Co., Ltd.	Mgmt	For	For	For
20	Related Party Transactions with Beijing Foton Kangmingsi Engine Co., Ltd.	Mgmt	For	For	For
21	Related Party Transactions with Beijing Foton Daimule Automobile Co., Ltd.	Mgmt	For	For	For
22	Related Party Transactions with Beijing Automobile Group Finance Co., Ltd.	Mgmt	For	For	For
23	Authority to Give Guarantees	Mgmt	For	For	For
24	Appointment of Auditor	Mgmt	For	For	For
25	Appointment of	Mgmt	For	For	For

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Internal Control  
Auditor

26	Approval of Line of Credit	Mgmt	For	For	For
27	Independent Directors' Fees	Mgmt	For	For	For

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Beiqi Foton Motor Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600166	CINS Y0770V102	11/20/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Statement Regarding Horizontal Competition and Development	Mgmt	For	For	For
3	Elect LU Ruizhi as Director	Mgmt	For	For	For

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Beiqi Foton Motor Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600166	CINS Y0770V102	12/10/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Company	Mgmt	For	For	For

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BlueFocus Communication Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300058	CINS Y091AZ103	03/24/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Options Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Options Plan	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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BlueFocus Communication Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300058	CINS Y091AZ103	05/18/2016	Voted		

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Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Annual Report and Its Summary	Mgmt	For	For	For	For
3	Directors' Report	Mgmt	For	For	For	For
4	Supervisors' Report	Mgmt	For	For	For	For
5	Accounts and Reports	Mgmt	For	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For	For
7	Directors and Supervisors' Fees	Mgmt	For	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For	For
9	Election of Directors (Slate)	Mgmt	For	For	For	For
10	Amendments to Employee Restricted Shares Plan	Mgmt	For	For	For	For
11	Share Compensation	Mgmt	For	For	For	For
12	Board Authorization to Implement of Repurchase Shares	Mgmt	For	For	For	For
13	Amendments to Articles	Mgmt	For	For	For	For
14	Approval of Line of Credit	Mgmt	For	Abstain	Against	Against
15	Amendment of Line of Credit Limit Amount	Mgmt	For	Abstain	Against	Against

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BlueFocus Communication Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
300058	CINS Y091AZ103	08/13/2015	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Approval of Capital Injection in Subsidiary	Mgmt	For	For	For	For
3	Approval to Extend Validity Period for Public Offering of Convertible Corporate Bonds	Mgmt	For	For	For	For
4	Approval of Line of	Mgmt	For	For	For	For

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Credit

5	Amendments to Articles	Mgmt	For	For	For
BlueFocus Communication Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300058	CINS Y091AZ103	10/26/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Target of Issuance	Mgmt	For	For	For
4	Term and Maturity	Mgmt	For	For	For
5	Par Value and Issue Price	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Issuance Method	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Guarantee Provision	Mgmt	For	For	For
10	Payment of Principal and Interest	Mgmt	For	For	For
11	Listing Schedule	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

BlueFocus Communication Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300058	CINS Y091AZ103	11/17/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Asset Restructuring	Mgmt	For	For	For
2	Overall Scheme	Mgmt	For	For	For
3	Target Assets	Mgmt	For	For	For
4	Pricing	Mgmt	For	For	For
5	Cash Payment for Transaction	Mgmt	For	For	For
6	Stock Payment for Transaction	Mgmt	For	For	For
7	Stock Type and Par Value	Mgmt	For	For	For
8	Issuance Methods and	Mgmt	For	For	For

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### Targets

9	Pricing Basis, Benchmark Date and Issuance Price	Mgmt	For	For	For
10	Adjustment to Issuance Price	Mgmt	For	For	For
11	Basis for Selecting Market Reference Price	Mgmt	For	For	For
12	Size of Issuance	Mgmt	For	For	For
13	Lock-up Period	Mgmt	For	For	For
14	Performance Commitment and Compensation Measures	Mgmt	For	For	For
15	Arrangement for Profit and Losses During Transitional Period	Mgmt	For	For	For
16	Contractual Obligations and Liability for Asset Transfer	Mgmt	For	For	For
17	Listing Market	Mgmt	For	For	For
18	Arrangement for Profit Distribution of Target	Mgmt	For	For	For
19	Arrangement for Profit Distribution of the Company	Mgmt	For	For	For
20	Auxiliary Fund: Stock Type and Par Value	Mgmt	For	For	For
21	Auxiliary Fund: Issuance Targets and Method	Mgmt	For	For	For
22	Auxiliary Fund: Pricing Basis, Benchmark Date, and Issuance Price	Mgmt	For	For	For
23	Auxiliary Fund: Size of Issuance	Mgmt	For	For	For
24	Auxiliary Fund: Use of Raised Funds	Mgmt	For	For	For
25	Auxiliary Fund:	Mgmt	For	For	For

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	Lock-up Period				
26	Auxiliary Fund: Listing Market	Mgmt	For	For	For
27	Auxiliary Fund: Arrangements for Undistributed Profits	Mgmt	For	For	For
28	Auxiliary Fund: Validity Period	Mgmt	For	For	For
29	Eligibility for Asset Purchase via Share Issuance	Mgmt	For	For	For
30	Related Party Transactions involved in Asset Restructuring	Mgmt	For	For	For
31	Conditional Agreements Involved in Asset Restructuring	Mgmt	For	For	For
32	Conditional Profit Forecast Compensation Agreement	Mgmt	For	For	For
33	Conditional Share Subscription Agreements	Mgmt	For	For	For
34	Asset Restructuring Constitution of Related Party Transaction	Mgmt	For	For	For
35	Approval of Audit Report, Appraisal Report and Profit Forecast Report	Mgmt	For	For	For
36	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For
37	Measures for Diluted Returns	Mgmt	For	For	For
38	Statement on the Compliance and Completeness of Legal	Mgmt	For	For	For

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Documents and  
Proceedings

39	Board Authorization to Implement Asset Restructuring	Mgmt	For	For	For
40	Board Authorization to Handle Matters Relating to Performance Compensation, Share Repurchase and Share Cancellation	Mgmt	For	For	For

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BOE Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
000725	CINS Y0920M101	01/29/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For	
2	Size of Issuance, Par Value and Price	Mgmt	For	For	For	
3	Target Subscribers and Placement to Shareholders	Mgmt	For	For	For	
4	Bond Duration and Bond Type	Mgmt	For	For	For	
5	Bond Interest Rate and Determination Method	Mgmt	For	For	For	
6	Guarantee Method	Mgmt	For	For	For	
7	Issuance Method	Mgmt	For	For	For	
8	Redemption or Resale Clause	Mgmt	For	For	For	
9	Use of Proceeds	Mgmt	For	For	For	
10	Special Account for Raised Funds	Mgmt	For	For	For	
11	Repayment Guarantee Measures	Mgmt	For	For	For	
12	Trading and Circulation of Issued Bonds	Mgmt	For	For	For	
13	Underwriting Method	Mgmt	For	For	For	
14	Validity Period	Mgmt	For	For	For	

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15	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
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16	Elect DONG Youmei	Mgmt	For	For	For
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BOE Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000725	CINS Y0920M101	03/28/2016	Voted

Meeting Type	Country of Trade
Special	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Approval of Increasing Project Investment through Capital Investment in Subsidiary	Mgmt	For	For	For
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2	Approval of Increasing Investment of Fuzhou BOE Optoelectronics Technology Co., Ltd.	Mgmt	For	For	For
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BOE Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000725	CINS Y0920M101	05/20/2016	Voted

Meeting Type	Country of Trade
Annual	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

6	Related Party Transactions	Mgmt	For	For	For
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7	Approval of Borrowing	Mgmt	For	Against	Against
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8	Investment in Wealth Management Products	Mgmt	For	For	For
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9	Appointment of Auditor	Mgmt	For	For	For
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10	Elect WANG Dongsheng	Mgmt	For	For	For
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11	Elect XIE Xiaoming	Mgmt	For	For	For
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12	Elect CHEN Yanshun	Mgmt	For	For	For
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13	Elect WANG Jing	Mgmt	For	For	For
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14	Elect ZHANG Jinsong	Mgmt	For	For	For
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15	Elect LIU Xiaodong	Mgmt	For	For	For
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16	Elect SONG Jie	Mgmt	For	For	For
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17	Elect YAO Xiangjun	Mgmt	For	For	For
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18	Elect YU Ning	Mgmt	For	For	For
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19	Elect LU Tingjie	Mgmt	For	For	For
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20	Elect WANG Huacheng	Mgmt	For	For	For
21	Elect HU Xiaolin	Mgmt	For	For	For
22	Elect CHEN Ming	Mgmt	For	For	For
23	Elect XU Tao	Mgmt	For	For	For
24	Elect MU Chengyuan	Mgmt	For	Against	Against
25	Elect ZHAO Wei	Mgmt	For	For	For
26	Elect SHI Hong	Mgmt	For	For	For
27	Elect ZHUANG Haoyu	Mgmt	For	Against	Against
28	Indemnification of Directors, Supervisors and Officers	Mgmt	For	For	For
29	Amendments to Articles	Mgmt	For	For	For
30	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
31	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

BOE Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000725	CINS Y0920M101	07/06/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Jinsong as Director	Mgmt	For	For	For
2	Elect WANG Huacheng as Director	Mgmt	For	For	For
3	Elect CHEN Ming	Mgmt	For	Against	Against
4	Elect SHI Hong	Mgmt	For	Against	Against
5	Investment in Production Line Construction	Mgmt	For	For	For
6	Acquisition of Equity Stake and Increase in Registered Capital	Mgmt	For	For	For

BOE Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000725	CINS Y0920M101	09/14/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

BOE Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000725	CINS Y0920M101	12/25/2015	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Abstain	Against
2	Merger/Acquisition - Capital Increase	Mgmt	For	Abstain	Against

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Bohai Financial Investment Holding Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000415	CINS Y9722D102	03/29/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Appointment of Internal Control Auditor	Mgmt	For	For	For

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Bohai Financial Investment Holding Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000415	CINS Y9722D102	04/29/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application of Continued Trading Suspension	Mgmt	For	For	For

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Bohai Financial Investment Holding Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000415	CINS Y9722D102	05/09/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control Self-Assessment Report	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For

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8	Approval of Borrowing	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Related Party Transactions: Trusteeship Management Agreement	Mgmt	For	For	For
11	Elect LI Junwen as Director	Mgmt	For	For	For
12	Elect ZHUANG Qishan	Mgmt	For	For	For
13	Elect MA Chunhua	Mgmt	For	For	For

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Bohai Leasing Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	01/05/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Forecast of Total Loan Amounts	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Bohai Leasing Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	02/01/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Company Name	Mgmt	For	For	For
2	Change of Business Scope	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Capital Increase in Bohai Life Insurance Co., Ltd.	Mgmt	For	For	For
5	Investment in Jubaohui Co., Ltd.	Mgmt	For	For	For
6	Airplane Leasing with Tianjin Airlines Co., Ltd.	Mgmt	For	For	For
7	Airplane Leasing with Hainan Airlines Co., Ltd.	Mgmt	For	For	For

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8	Approval of Loans	Mgmt	For	For	For
9	2016 Predicted Related Party Transactions	Mgmt	For	For	For
10	Elect SUN Zhikun as Supervisor	Mgmt	For	Against	Against

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Bright Dairy & Food Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600597	CINS Y7680R105	08/14/2015	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Target Subscribers and Subscription Method	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Issuance Price and Pricing Principals	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Purpose of Raised Funds	Mgmt	For	For	For
9	Arrangements for Undistributed Profits	Mgmt	For	For	For
10	Validity Period	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Approval of Share Subscription Agreements	Mgmt	For	For	For
15	Waiver of Tender Offer	Mgmt	For	For	For
16	Board Authorization to Implement Private Placement	Mgmt	For	For	For
17	Report on Deposit and Use of Raised Funds	Mgmt	For	Abstain	Against

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18	Acquisition of Equity	Mgmt	For	For	For
19	Approval of Audit Report, Appraisal Report and Profit Forecast Report	Mgmt	For	For	For
20	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For
21	Approval of Animal Husbandry Agreement	Mgmt	For	For	For
22	Elect ZHU Hangming as Director	Mgmt	For	For	For
23	Shareholder Returns Plan	Mgmt	For	For	For
24	Amendments to Articles	Mgmt	For	For	For

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By-Health Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300146	CINS Y2924V103	01/25/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Independent Directors	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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By-Health Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300146	CINS Y2924V103	03/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Financial Budget	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Special Report on Storage and Use of	Mgmt	For	For	For

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	Raised Funds				
9	Special Audit Statement on Fund Occupation by Controlling Shareholder and Other Related Parties	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
11	Directors' Fees	Mgmt	For	For	For
12	Investment in Wealth Management Products	Mgmt	For	For	For

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By-Health Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
300146	CINS Y2924V103	07/28/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For

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BYD Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
1211	CINS Y1045E107	06/06/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Provision of Guarantee by the Group	Mgmt	For	Against	Against

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8	Guarantees to External Company in Respect of Sales of New Energy Vehicles, New Energy Forklifts and New Technological Products	Mgmt	For	Against	Against
9	Estimated Caps of Continuing Connected Transactions	Mgmt	For	For	For
10	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to Issue Shares w/o Preemptive Rights of BYD Electronic (International) Company Limited	Mgmt	For	Against	Against
12	Amendments to Articles	Mgmt	For	For	For
13	Investment in Wealth Management Products	Mgmt	For	For	For

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BYD Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1211	CINS Y1045E107	07/21/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Non-Public Issuance of A Shares	Mgmt	For	For	For
2	Class of Share and Nominal Value	Mgmt	For	For	For
3	Method of Issue	Mgmt	For	For	For
4	Target Subscribers and Method of Subscription	Mgmt	For	For	For
5	Price Determination Date and Basis of Determination of Issue Price	Mgmt	For	For	For
6	Issue Size	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Amount and Use of Proceeds	Mgmt	For	For	For

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9	Arrangement of Undeclared Distributable Accumulated Profits	Mgmt	For	For	For
10	Validity Period	Mgmt	For	For	For
11	Place of Listing	Mgmt	For	For	For
12	Approval of Preliminary Plan of Non-Public Issuance	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Shareholder Return Plan 2015-2017	Mgmt	For	For	For
16	Board Authorization to Implement the Non-Public Issuance	Mgmt	For	For	For
17	Report on Use of Proceeds from Previous Fundraising	Mgmt	For	For	For
18	Amendments to Management Policy for Funds Raised	Mgmt	For	Abstain	Against
19	Amendments to Procedural Rules of Shareholders' Meeting	Mgmt	For	Abstain	Against

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CECEP Wind-Power Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
601016	CINS Y12351105	02/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Increase in Authorized Capital	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Authority to Give Guarantees to Wind Farm Project in Guangxi	Mgmt	For	Against	Against

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5	Authority to Give Guarantees to Wind Farm Project in Gansu	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Wind Farm Project in Shichuan	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Wind Farm Project in Zhejiang	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Wind Farm Project in Xinjiang	Mgmt	For	Against	Against

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CECEP Wind-Power Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
601016	CINS Y12351105	04/22/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	Abstain	Against
8	2016 INVESTMENT PLAN	Mgmt	For	Abstain	Against
9	Appointment of Auditor	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Purchase of Electric Generator	Mgmt	For	For	For
12	Investment in Construction Project	Mgmt	For	For	For

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CECEP Wind-Power Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
601016	CINS Y12351105	06/08/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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CECEP Wind-Power Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
601016	CINS Y12351105	06/28/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect LI Shusheng	Mgmt	For	For	For	
2	Elect LIU Bin	Mgmt	For	For	For	
3	Elect PEI Hongwei	Mgmt	For	For	For	
4	Elect HU Zhengming	Mgmt	For	For	For	
5	Elect WANG Lijuan	Mgmt	For	For	For	
6	Elect TIAN Qi	Mgmt	For	For	For	
7	Elect QI Hesheng	Mgmt	For	For	For	
8	Elect LI Huajie	Mgmt	For	For	For	
9	Elect XU Hongliang	Mgmt	For	For	For	
10	Elect LI Sufen	Mgmt	For	For	For	
11	Elect WANG Yan	Mgmt	For	Against	Against	

Changjiang Securities Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
000783	CINS Y1314J100	04/19/2016	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	Risk Control Index Report	Mgmt	For	For	For	
7	Amendments to Articles	Mgmt	For	For	For	
8	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For	
9	Amendments to Procedural Rules for Board Meetings	Mgmt	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	
11	Special Report on Directors' Fees	Mgmt	For	For	For	
12	Special Report on Supervisors' Fees	Mgmt	For	For	For	
13	Special Report on Remuneration of	Mgmt	For	For	For	

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Senior  
Management

14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Changjiang Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000783	CINS Y1314J100	08/03/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	Against	Against
2	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Against	Against
3	Adjustment to Scale of Securities Investment	Mgmt	For	For	For

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Changjiang Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000783	CINS Y1314J100	08/19/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Amendments to Issuing Price for Private Placement	Mgmt	For	For	For
3	Amendments to Private Placement Plan	Mgmt	For	For	For
4	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Changjiang Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000783	CINS Y1314J100	12/28/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Risk Control Indicator Report	Mgmt	For	For	For

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2	Approval of Gold Contract Business	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Approval of Fund Investment	Mgmt	For	For	For
5	2016 Risk and Business Scale Mandate	Mgmt	For	For	For
6	2016 Related Party Transactions	Mgmt	For	For	For
7	Elect LUO Pengfei as Supervisor	Mgmt	For	Against	Against
8	Elect WANG Ying	Mgmt	For	For	For
9	Elect YUAN Xiaobin	Mgmt	For	For	For
10	Elect WEN Xiaojie	Mgmt	For	For	For

Chengdu Xingrong Environment Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000598	CINS Y1R189102	02/17/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Benwen	Mgmt	For	For	For

Chengdu Xingrong Environment Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000598	CINS Y1R189102	03/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Financial Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Against	Against

Chengdu Xingrong Environment Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000598	CINS Y1R189102	06/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of the Validity of Resolution for the	Mgmt	For	For	For

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Issuance of H  
shares

2	Board Authorization to Revise Framework Agreement on Related Party Transactions	Mgmt	For	For	For
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Chengdu Xingrong Environment Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000598	CINS Y1R189102	08/17/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	H Share Issuance: Amendments to Articles	Mgmt	For	For	For
2	H Share Issuance: Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against
3	H Share Issuance: Amendments to Procedural Rules for Board Meetings	Mgmt	For	Abstain	Against
4	H Share Issuance: Amendments to Procedural Rules for Supervisor Meetings	Mgmt	For	Abstain	Against
5	Use of Proceeds from H Share Issuance	Mgmt	For	For	For
6	H Share Issuance: Agreement to Avoid Horizontal Competition	Mgmt	For	For	For
7	H Share Issuance: Adjustments to Related Party Transactions	Mgmt	For	For	For
8	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
9	Elect Simon YICK Wing Fat	Mgmt	For	For	For

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Chengdu Xingrong Environment Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000598	CINS Y1R189102	12/14/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Size and Method of Issuance	Mgmt	For	For	For
2	Bond Term	Mgmt	For	For	For
3	Face Value and Issuance Price	Mgmt	For	For	For
4	Coupon Rate and Determination Method	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Arrangement for the Placement to Original Shareholders	Mgmt	For	For	For
7	Guarantee Arrangements	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Method of Repaying Principal and Interest	Mgmt	For	For	For
10	Safeguard Measures on Debt Repayment	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Board Authorization to Implement Corporate Bond Measuring	Mgmt	For	For	For
13	Resignation of Director YANG Guang	Mgmt	For	For	For

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China AVIC Electronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600372	CINS Y44456104	06/22/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5	Special Explanation of Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Internal Control Self-Assessment Report	Mgmt	For	For	For
10	Internal Control Audit Report	Mgmt	For	For	For
11	Corporate Social Responsibility Report	Mgmt	For	For	For
12	Independent Directors' Report	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Baoan Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000009	CINS Y1394W104	06/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Elect CHEN Zhengli	Mgmt	For	For	For
8	Elect CHEN Taiquan	Mgmt	For	For	For
9	Elect CHEN Ping	Mgmt	For	For	For
10	Elect YANG Lu	Mgmt	For	For	For
11	Elect GUO Chaohui	Mgmt	For	For	For
12	Elect LIANG Faxian	Mgmt	For	For	For
13	Elect LI Yao	Mgmt	For	For	For
14	Elect LUO Wenming	Mgmt	For	Against	Against
15	Elect LI Xinxiang	Mgmt	For	For	For
16	Directors' and Supervisors' Fees	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Baoan Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000009	CINS Y1394W104	09/21/2015	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Stock Transfer by Subsidiary	Mgmt	For	Abstain	Against

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China Baoan Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000009	CINS Y1394W104	10/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size and Method of Issuance	Mgmt	For	For	For
3	Issuance Targets and Placement to Company Shareholders	Mgmt	For	For	For
4	Interest Rate and Determination Method	Mgmt	For	For	For
5	Maturity	Mgmt	For	For	For
6	Use of Proceeds	Mgmt	For	For	For
7	Listing Market	Mgmt	For	For	For
8	Validity	Mgmt	For	For	For
9	Authorization Matters	Mgmt	For	For	For

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China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0998	CINS Y1434M108	03/17/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect CHEN Lihua	Mgmt	For	For	For
3	Elect HE Cao	Mgmt	For	For	For
4	Elect HUANG Fang	Mgmt	For	For	For
5	Elect WAN Liming	Mgmt	For	For	For

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China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0998	CINS Y1434M108	05/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Financial Report	Mgmt	For	For	For
6	Financial Budget 2016	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
9	Related Party Transactions for the Fiscal Year 2015	Mgmt	For	For	For
10	Adjustment of Annual Cap of Continuing Connected Transactions of 2016 and 2017	Mgmt	For	For	For
11	Authority to Issue Bonds	Mgmt	For	Against	Against
12	Extension of Validity Period of Non-Public Issue of Preference Shares	Mgmt	For	For	For
13	Extension of Authorization Period for Non-Public Offer of Preference Shares and Related Matters	Mgmt	For	For	For
14	Dilution of Immediate Returns	Mgmt	For	For	For
15	Adjustment of Validity Period of Non-Public Issue of Preference Shares	Mgmt	For	For	For
16	Adjustment of Authorization Period for Non-Public Offer of Preference Shares and Related Matters	Mgmt	For	For	For

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China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status
0998	CINS Y1434M108	05/26/2016	Voted
Meeting Type		Country of Trade	
Special		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Extension of Validity Period of Non-Public Issue of Preference Shares	Mgmt	For	For	For
3	Extension of Authorization Period for Non-Public Offer of Preference Shares and Related Matters	Mgmt	For	For	For
4	Adjustment of Validity Period of Non-Public Issue of Preference Shares	Mgmt	For	For	For
5	Adjustment of Authorization Period for Non-Public Offer of Preference Shares and Related Matters	Mgmt	For	For	For

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### China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0998	CINS Y1434M108	10/15/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect SHU Yang as Supervisor	Mgmt	For	For	For

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### China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0998	CINS Y1434M108	12/16/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Private Placement of A Share	Mgmt	For	For	For
2	Extension of Authorization Period for Private Placement of A Share	Mgmt	For	For	For
3	Elect ZHANG Yanling as	Mgmt	For	For	For

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Director

4	Elect CAO Guoqiang as Supervisor	Mgmt	For	For	For
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China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status
0998	CINS Y1434M108	12/16/2015	Voted
Meeting Type		Country of Trade	
Other		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Private Placement of A Share	Mgmt	For	For	For

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China Coal Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1898	CINS Y1434L118	06/21/2016	Voted
Meeting Type		Country of Trade	
Annual		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Capital Expenditure Budget	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Revised Annual Caps of Connected Transactions	Mgmt	For	For	For
9	Amendments to Articles: Scope of Business	Mgmt	For	For	For
10	Amendments to Articles: Audit Committee	Mgmt	For	For	For
11	Authority to Issue Debt Instruments	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Coal Energy Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
1898	CINS Y1434L118	10/27/2015		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Authority to Give Guarantee to Zhongtian Synergetic Energy	Mgmt	For	For	For	
3	Authority to Give Joint Liability Guarantee	Mgmt	For	For	For	
4	Fees of Directors and Supervisors	Mgmt	For	For	For	

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China Communications Construction Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
1800	CINS Y1R36A107	06/16/2016		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
4	Authority to Give Guarantees	Mgmt	For	Abstain	Against	
5	Directors' Report	Mgmt	For	For	For	
6	Supervisors' Report	Mgmt	For	For	For	
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
8	Authority to Issue Asset-Backed Securities	Mgmt	For	For	For	
9	Authority to Issue Debt Instruments	Mgmt	For	Against	Against	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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China Communications Construction Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
1800	CINS Y1R36A107	08/17/2015		Voted		

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision of Assured Entitlement to H Shareholders Only for the Spin-off and Listing of CCCC Dredging (Group) Co., Ltd.	Mgmt	For	For	For

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### China Construction Bank Corporation

Ticker	Security ID:	Meeting Date		Meeting Status	
0939	CINS Y1397N119	06/17/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2016 Fixed Asset Investment Plan	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Supervisors' Fees	Mgmt	For	For	For
9	Elect ZHANG Long as Director	Mgmt	For	For	For
10	Elect Timpson CHUNG Shui Ming as Director	Mgmt	For	Against	Against
11	Elect WIM Kok as Director	Mgmt	For	For	For
12	Elect Murray Horn as Director	Mgmt	For	For	For
13	Elect LIU Jin as Supervisor	Mgmt	For	For	For
14	Elect LI Xiaoling as Supervisor	Mgmt	For	For	For
15	Elect BAI Jianjun as Supervisor	Mgmt	For	For	For
16	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
17	Amendment to the	Mgmt	For	For	For

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Impact of Dilution  
Immediate Return from  
the Issuance of  
Preference Shares and  
Remedial  
Measures

18	Elect GUO Yanpeng as Director	Mgmt	For	For	For
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China Construction Bank Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0939	CINS Y1397N119	10/28/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Elect Carl Walter	Mgmt	For	For	For
2	Elect Anita FUNG Yuen Mei	Mgmt	For	For	For

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China COSCO Holdings Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	02/01/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Eligibility for Material Asset Restructuring	Mgmt	For	For	For
2	Material Asset Restructuring	Mgmt	For	For	For
3	Disposal of Share of COSCO Bulk	Mgmt	For	For	For
4	Acquisition of Equity Interests in 33 Agency Companies	Mgmt	For	For	For
5	Disposal of Shares of FCHL	Mgmt	For	For	For
6	Acquisition of Shares of CSPD	Mgmt	For	For	For
7	Draft Report for the Material Asset Disposal and Acquisition and Connected Transactions	Mgmt	For	For	For

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8	Compliance of Rule 4 of Provision on Issues Concerning Regulating Material Asset Restructuring	Mgmt	For	For	For
9	Leasing Agreement	Mgmt	For	For	For
10	Waiver of Right to Pro-Rata Capital Contribution	Mgmt	For	For	For
11	Ratification of Board Acts for Material Asset Restructuring	Mgmt	For	For	For
12	Elect XU Zunwu	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China COSCO Holdings Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	05/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect HUANG Xiaowen	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China COSCO Holdings Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	10/28/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	COSCO KHI Shipbuilding Agreements	Mgmt	For	For	For
2	CSSC Shipbuilding Agreements and CSIC Shipbuilding Agreements	Mgmt	For	For	For

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China CSSC Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600150	CINS Y1414Q103	05/18/2016	Voted

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	Against	Against
6	Approval of Subsidiaries to Give Guarantees	Mgmt	For	For	For
7	Approval to Entrust the Wealth Management Business	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China CSSC Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600150	CINS Y1414Q103	11/05/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect CHEN Qi	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

China Eastern Airlines Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0670	CINS Y1407D101	06/15/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Domestic and International Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Internal Control Auditor and Authority to Set	Mgmt	For	For	For

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Fees

7	Authority to Give Guarantees	Mgmt	For	For	For
8	Authority to Issue Corporate Bonds	Mgmt	For	Against	Against
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Amendments to Article 157 (D)	Mgmt	For	For	For
11	Finance Lease Framework Agreement (2016)	Mgmt	For	For	For
12	Finance Lease Framework Agreement (2017 - 2019)	Mgmt	For	For	For
13	Adjustments to Directors' Allowances	Mgmt	For	For	For
14	Elect LIU Shaoyong	Mgmt	For	Against	Against
15	Elect MA Xulun	Mgmt	For	For	For
16	Elect XU Zhao	Mgmt	For	Against	Against
17	Elect GU Jiadan	Mgmt	For	For	For
18	Elect LI Yangmin	Mgmt	For	For	For
19	Elect TANG Bing	Mgmt	For	For	For
20	Elect TIAN Liuwen	Mgmt	For	For	For
21	Elect LI Ruoshan	Mgmt	For	For	For
22	Elect MA Weihua	Mgmt	For	For	For
23	Elect SHAO Ruiqing	Mgmt	For	For	For
24	Elect CAI Hong Ping	Mgmt	For	For	For
25	Elect XI Sheng	Mgmt	For	Against	Against
26	Elect BA Shengji	Mgmt	For	Against	Against
27	Elect JIA Shaojun	Mgmt	For	For	For

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China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	06/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	2016 Fixed Asset Investment Budget	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For

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6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors' Remuneration	Mgmt	For	For	For
8	Supervisors' Remuneration	Mgmt	For	For	For
9	Authority to Issue Domestic Non-Public Preference Shares	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Amendment to Plan of Authorization	Mgmt	For	Abstain	Against
12	Elect TANG Shuangning	Mgmt	For	For	For
13	Elect GAO Yunlong	Mgmt	For	For	For
14	Elect LIU Jun	Mgmt	For	For	For
15	Elect ZHANG Shude	Mgmt	For	For	For
16	Elect WU Gang	Mgmt	For	For	For
17	Elect LI Huaqiang	Mgmt	For	For	For
18	Elect ZHAO Wei	Mgmt	For	For	For
19	Elect YANG Jigui	Mgmt	For	Against	Against
20	Elect ZHANG Jinliang	Mgmt	For	For	For
21	Elect MA Teng	Mgmt	For	For	For
22	Elect LI Jie	Mgmt	For	For	For
23	Elect QIAO Zhimin	Mgmt	For	For	For
24	Elect XIE Rong	Mgmt	For	For	For
25	Elect Catherine FOK Oi Ling	Mgmt	For	For	For
26	Elect XU Hongcai	Mgmt	For	For	For
27	Elect FENG Lun	Mgmt	For	Against	Against
28	Elect LI Xin	Mgmt	For	Against	Against
29	Elect YIN Lianchen	Mgmt	For	Against	Against
30	Elect WU Junhao	Mgmt	For	For	For
31	Elect YU Erniu	Mgmt	For	For	For
32	Elect WU Gaolian	Mgmt	For	For	For
33	Elect DENG Ruilin	Mgmt	For	For	For
34	Type	Mgmt	For	For	For
35	Size	Mgmt	For	For	For
36	Par Value and Issue Price	Mgmt	For	For	For
37	Term of Bond	Mgmt	For	For	For
38	Interest Rate	Mgmt	For	For	For
39	Interest Payment	Mgmt	For	For	For
40	Conversion Period	Mgmt	For	For	For
41	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
42	Downward Adjustment to Conversion Price	Mgmt	For	For	For
43	Conversion Size	Mgmt	For	For	For

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Determination Method					
44	Dividends Rights	Mgmt	For	For	For
45	Terms of Redemption	Mgmt	For	For	For
46	Terms of Saleback	Mgmt	For	For	For
47	Issuance of Method and Target Investors	Mgmt	For	For	For
48	Subscription Arrangement For Existing Shareholders	Mgmt	For	For	For
49	Convertible Bond Holders and Meetings	Mgmt	For	For	For
50	Use of Proceeds	Mgmt	For	For	For
51	Guarantee and Securities	Mgmt	For	For	For
52	Validity Period of Resolution	Mgmt	For	For	For
53	Downward Adjustment to Conversion Price	Mgmt	For	For	For
54	Feasibility Analysis Report	Mgmt	For	For	For
55	Utilization Report of Funds Raised	Mgmt	For	For	For
56	Dilution of Current Return and Remedial Measures	Mgmt	For	For	For

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China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	06/29/2016	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Domestic Non-Public Preference Shares	Mgmt	For	For	For
3	Type	Mgmt	For	For	For
4	Size	Mgmt	For	For	For
5	Par Value and Issue Price	Mgmt	For	For	For
6	Term of Bond	Mgmt	For	For	For

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7	Interest Rate	Mgmt	For	For	For
8	Interest Payment	Mgmt	For	For	For
9	Conversion Period	Mgmt	For	For	For
10	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
11	Downward Adjustment to Conversion Price	Mgmt	For	For	For
12	Conversion Size Determination Method	Mgmt	For	For	For
13	Dividends Rights	Mgmt	For	For	For
14	Terms of Redemption	Mgmt	For	For	For
15	Terms of Saleback	Mgmt	For	For	For
16	Issuance of Method and Target Investors	Mgmt	For	For	For
17	Subscription Arrangement For Existing Shareholders	Mgmt	For	For	For
18	Convertible Bond Holders and Meetings	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Guarantee and Securities	Mgmt	For	For	For
21	Validity Period of Resolution	Mgmt	For	For	For
22	Authorization Matters	Mgmt	For	For	For

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China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	11/19/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Target of Issuance	Mgmt	For	For	For
5	Number of Shares	Mgmt	For	For	For
6	Method of Subscription	Mgmt	For	For	For
7	Benchmark Date	Mgmt	For	For	For
8	Issuance Price	Mgmt	For	For	For
9	Lock-up Period	Mgmt	For	For	For
10	Venue of Listing	Mgmt	For	For	For
11	Arrangements	Mgmt	For	For	For
12	Use of Proceeds	Mgmt	For	For	For

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13	Effective Period	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	Abstain	Against
15	Subscription Agreement	Mgmt	For	For	For
16	Whitewash Waiver	Mgmt	For	For	For
17	Ratification of Board Acts	Mgmt	For	For	For
18	Approval of Connected Transaction	Mgmt	For	For	For
19	Amendments to Rules of Procedures of General Meeting	Mgmt	For	Abstain	Against
20	Amendments to Rules of Procedures of Board of Directors	Mgmt	For	Abstain	Against
21	Amendments to Administration Measures for External Equity Investment	Mgmt	For	Abstain	Against
22	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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### China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	11/19/2015	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Type and Par Value	Mgmt	For	For	For
2	Method of Issuance	Mgmt	For	For	For
3	Target of Issuance	Mgmt	For	For	For
4	Number of Shares	Mgmt	For	For	For
5	Method of Subscription	Mgmt	For	For	For
6	Benchmark Date	Mgmt	For	For	For
7	Issuance Price	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Venue of Listing	Mgmt	For	For	For
10	Arrangements	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For
12	Effective Period	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	Abstain	Against
14	Ratification of Board Acts	Mgmt	For	For	For

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### China First Heavy Industries Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601106	CINS Y148AA106	05/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Financial Budget	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors, Supervisors and Senior Managements' Fees	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	01/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Wealth Management Products	Mgmt	For	For	For
2	Related Party Transactions: Deposit and Settlement	Mgmt	For	For	For
3	Related Party Transactions: Deposit	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Approval of Guarantee Limits	Mgmt	For	Against	Against

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	03/25/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Signing of Special Settlement Supplemental Agreement	Mgmt	For	For	For
2	Signing of Supplemental	Mgmt	For	For	For

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	Cooperation Agreement				
3	Transfer of Account Receivable	Mgmt	For	For	For
4	Change of Sum of Shares and Registered Capital	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Approval of Guarantee Limit	Mgmt	For	Against	Against
7	Signing of Cooperation Memorandum	Mgmt	For	For	For

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	04/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Board Authorization to Approve Projects	Mgmt	For	For	For
8	Establishment of Subsidiaries	Mgmt	For	Abstain	Against
9	Commercial Land Purchases	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Supervisors' Report	Mgmt	For	For	For

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	05/13/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cooperation Development	Mgmt	For	Abstain	Against

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Agreement

2	TO BID FOR A RAILWAY PROJECT AS AN INSTITUTIONAL INVESTOR	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	06/07/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Signing of Supplementary Agreement with Jiashan County Government	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	06/24/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority for Subsidiaries to Ratify Guarantees Limit	Mgmt	For	Against	Against

China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	07/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Sign Cooperative Agreement for Land Management	Mgmt	For	For	For
2	Investment in Wealth Management	Mgmt	For	For	For

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Products

3	Authority to Give Guarantees	Mgmt	For	Against	Against
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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	07/20/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Supplimentary Agreement for Area Development, Construction and Operation	Mgmt	For	For	For
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2	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	08/10/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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2	Supplementary Agreement for Zhangfang Area Development, Construction and Operation	Mgmt	For	For	For
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3	Authority to Give Guarantees	Mgmt	For	Against	Against
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4	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
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5	Par Value and Size of Issuance	Mgmt	For	For	For
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6	Maturity	Mgmt	For	For	For
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7	Interest Rate and Payment	Mgmt	For	For	For
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8	Issuance Method	Mgmt	For	For	For
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9	Guarantee Arrangements	Mgmt	For	For	For
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10	Provisions for Redemption or Resale	Mgmt	For	For	For
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11	Use of Raised Funds	Mgmt	For	For	For
12	Issuance Targets	Mgmt	For	For	For
13	Underwriting Method and Listing Arrangements	Mgmt	For	For	For
14	Credit and Guarantee Measures for Debt Repayment	Mgmt	For	For	For
15	Validity Period	Mgmt	For	For	For
16	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For
17	Authorization to Set Up Account for Raised Funds	Mgmt	For	For	For
18	Additional Supplementary Agreement for Xianghe County Area Development, Construction and Operation	Mgmt	For	For	For
19	Authority to Give Guarantees to Jiutong Touzi	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Two Subsidiaries	Mgmt	For	Against	Against

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600340	CINS Y98912101	08/10/2015	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Supplementary Agreement for Zhangfang Area Development,  Construction and Operation	Mgmt	For	For	For	
3	Authority to Give Guarantees	Mgmt	For	Against	Against	
4	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For	
5	Par Value and Size of Issuance	Mgmt	For	For	For	

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6	Maturity	Mgmt	For	For	For
7	Interest Rate and Payment	Mgmt	For	For	For
8	Issuance Method	Mgmt	For	For	For
9	Guarantee Arrangements	Mgmt	For	For	For
10	Provisions for Redemption or Resale	Mgmt	For	For	For
11	Use of Raised Funds	Mgmt	For	For	For
12	Issuance Targets	Mgmt	For	For	For
13	Underwriting Method and Listing Arrangements	Mgmt	For	For	For
14	Credit and Guarantee Measures for Debt Repayment	Mgmt	For	For	For
15	Validity Period	Mgmt	For	For	For
16	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For
17	Authorization to Set Up Account for Raised Funds	Mgmt	For	For	For
18	Additional Supplementary Agreement for Xianghe County Area Development, Construction and Operation	Mgmt	For	For	For
19	Authority to Give Guarantees to Jiutong Touzi	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Two Subsidiaries	Mgmt	For	Against	Against

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	09/15/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Agreements on Joint Development and Construction	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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China Fortune Land Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
600340 CINS Y98912101 09/29/2015 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Co-development Agreement in Lai An County	Mgmt	For	For	For
3	Authority to Give Guarantees by the Company and its Subsidiaries	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Subsidiary	Mgmt	For	Against	Against
5	Approval of Guarantees to the Company and a Subsidiary by Joint Ventures	Mgmt	For	For	For
6	Authority to Issue Corporate Bonds	Mgmt	For	For	For

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China Fortune Land Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
600340 CINS Y98912101 11/03/2015 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authorization of Subsidiary to Approve External Guarantee Amount	Mgmt	For	Against	Against

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China Fortune Land Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
600340 CINS Y98912101 11/17/2015 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cooperation Agreement in Renqiu City	Mgmt	For	For	For

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2	Cooperation Agreement with CITIC Bank	Mgmt	For	For	For
3	Cooperation Agreement with Ping An Bank	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authorization of Subsidiary to Approve External Guarantee Amount	Mgmt	For	Against	Against

China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	11/26/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Construction Cooperation Agreement With He Xian County Government	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Approval of Subsidiary Guarantee Limit	Mgmt	For	Against	Against
4	Appointment of Auditor	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	12/09/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Make Donation and Sign Collaboration Agreements	Mgmt	For	For	For
2	Authority to Issue Short-term Corporate Bond	Mgmt	For	For	For
3	Approval of Subsidiary Issuing Asset-backed Securities	Mgmt	For	For	For
4	Authority to Give	Mgmt	For	Against	Against

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Guarantees

5	Authority to Give Approved Guarantees for Subsidiary	Mgmt	For	Against	Against
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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	12/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Signing of Cooperation Agreement	Mgmt	For	For	For
2	Investment in Wealth Management Products	Mgmt	For	For	For

China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600068	CINS Y1495L114	05/13/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Financial Service Agreement	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Appointment of Auditor, Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Elect LIU Shuyou	Mgmt	For	Against	Against
13	Increase in Subsidiary's	Mgmt	For	For	For

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Registered Capital

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China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600068	CINS Y1495L114	06/14/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Size of Issuance	Mgmt	For	For	For
2	Type, Maturity, Repayment of Principal and Interest	Mgmt	For	For	For
3	Interest Rates	Mgmt	For	For	For
4	Use of Proceeds	Mgmt	For	For	For
5	Target Subscribers and Issuance Method	Mgmt	For	For	For
6	Placing Arrangements for Shareholders	Mgmt	For	For	For
7	Safeguard Measures for Debt Repayment	Mgmt	For	For	For
8	Underwriting Method	Mgmt	For	For	For
9	Method of Guarantee	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Matters of Authorization	Mgmt	For	For	For

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China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600068	CINS Y1495L114	09/23/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect SU Xianglin	Mgmt	For	For	For
2	Elect FENG Fan as Supervisor	Mgmt	For	Against	Against

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China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600068	CINS Y1495L114	11/20/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for	Mgmt	For	For	For

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Corporate Bond  
Issuance

2	Size of Issuance	Mgmt	For	For	For
3	Type and Term of Bond	Mgmt	For	For	For
4	Interest Rate	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Issuance Method	Mgmt	For	For	For
7	Arrangement for Shareholders	Mgmt	For	For	For
8	Redemption and Recall Clause	Mgmt	For	For	For
9	Repayment Guarantee Measure	Mgmt	For	For	For
10	Underwriting Method	Mgmt	For	For	For
11	Collateralization	Mgmt	For	For	For
12	Listing Market	Mgmt	For	For	For
13	Validity of Resolution	Mgmt	For	For	For
14	Board Authorization	Mgmt	For	For	For

China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600068	CINS Y1495L114	12/17/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Issue Long-term and Medium-term Notes	Mgmt	For
			For
			For
2	Authority to Issue Super and Short-term Commercial Paper	Mgmt	For
			For
			For

China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601118	CINS Y1497E100	04/07/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Appointment of Auditor	Mgmt	For
			For
2	Amendments to Articles	Mgmt	For
			For
			For

China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601118	CINS Y1497E100	05/20/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Capital Depreciation Preparation and Verification	Mgmt	For	For	For
8	Financial Budget	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	2016 Financial Limits	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Investment in Financial Derivatives	Mgmt	For	For	For
13	Elect CHEN Shengwen as Supervisor	Mgmt	For	Against	Against

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China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601118	CINS Y1497E100	06/20/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Method of Issuance	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Subscribers and Subscription Method	Mgmt	For	For	For
6	Issue Price and Pricing Principle	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Arrangement for Undistributed Profits	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Preliminary Plan of Private	Mgmt	For	For	For

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Placement					
13	Related Party Transactions for Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
17	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
18	Establishment of Account for Proceeds	Mgmt	For	For	For
19	Signing of Equity Acquisition Agreement	Mgmt	For	For	For
20	Signing of Profit Compensation Agreement	Mgmt	For	For	For
21	Board Authorization to Implement Private Placement	Mgmt	For	For	For
22	Financial Reports in Relation to Equity Acquisition	Mgmt	For	For	For
23	Approval of Independence of Appraisal Results	Mgmt	For	For	For
24	Rationality of Pricing and Fairness of Pricing for Equity Acquisition	Mgmt	For	For	For
25	Shareholder Returns Plan	Mgmt	For	For	For
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Hainan Rubber Industry Group Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
601118	CINS Y1497E100	06/20/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Method of Issuance	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Subscribers and Subscription Method	Mgmt	For	For	For
6	Issue Price and Pricing Principle	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Arrangement for Undistributed Profits	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Preliminary Plan of Private Placement	Mgmt	For	For	For
13	Related Party Transactions for Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
17	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
18	Establishment of Account for Proceeds	Mgmt	For	For	For

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19	Signing of Equity Acquisition Agreement	Mgmt	For	For	For
20	Signing of Profit Compensation Agreement	Mgmt	For	For	For
21	Board Authorization to Implement Private Placement	Mgmt	For	For	For
22	Financial Reports in Relation to Equity Acquisition	Mgmt	For	For	For
23	Approval of Independence of Appraisal Results	Mgmt	For	For	For
24	Rationality of Pricing and Fairness of Pricing for Equity Acquisition	Mgmt	For	For	For
25	Shareholder Returns Plan	Mgmt	For	For	For
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601118	CINS Y1497E100	11/19/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Amendments to Procedural Rules: Work System for Independent Directors	Mgmt	For	Abstain	Against

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China International Marine Containers (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2039	CINS Y1457J115	05/31/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compliance with the Criteria for the Non-public Issuance	Mgmt	For	For	For

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2	of A Shares Feasibility Report on the Use of Proceeds	Mgmt	For	For	For
3	Use of Proceeds from Previous Funding Activities	Mgmt	For	For	For
4	Shareholders' Return Plan	Mgmt	For	For	For
5	Impact of Dilution of Current Returns	Mgmt	For	For	For
6	Undertakings of Remedial Measures Adopted for the Dilution of Current Returns	Mgmt	For	For	For
7	Special Self-inspection Report	Mgmt	For	For	For
8	Undertaking on the Relevant Matters of the Real Estate Business	Mgmt	For	For	For
9	Amendments to the Management Policy for Fundraising	Mgmt	For	For	For
10	Amendments to the Rules of Procedures for the Board	Mgmt	For	For	For
11	Meeting Class of Shares	Mgmt	For	For	For
12	Method and Timing	Mgmt	For	For	For
13	Target	Mgmt	For	For	For
14	Number of Shares	Mgmt	For	For	For
15	Issuance Price	Mgmt	For	For	For
16	Lock-up Period	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Place of Listing	Mgmt	For	For	For
19	Accumulated Profit Arrangement	Mgmt	For	For	For
20	Validity Period	Mgmt	For	For	For
21	Plan for the Non-public Issuance of A Shares	Mgmt	For	For	For
22	Ratification of Board Acts	Mgmt	For	For	For
23	Amendments to Articles	Mgmt	For	For	For

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24	Amendments to the Equity Trust Plan	Mgmt	For	For	For
25	Elect WANG Hong	Mgmt	For	For	For
26	Elect WANG Yuhang	Mgmt	For	For	For
27	Elect MAI Boliang	Mgmt	For	For	For
28	Elect WANG Zhixian	Mgmt	For	For	For
29	Elect LIU Chong	Mgmt	For	For	For
30	Elect PAN Zhengqi	Mgmt	For	For	For
31	Elect PAN Chengwei	Mgmt	For	For	For
32	Elect Albert WONG Kwai Huen	Mgmt	For	For	For
33	Elect LAM Yuk Lan	Mgmt	For	Against	Against
34	Elect ZHANG Mingwen	Mgmt	For	For	For
35	Directors' Report	Mgmt	For	For	For
36	Supervisors' Report	Mgmt	For	For	For
37	Annual Report	Mgmt	For	For	For
38	Allocation of Profits/Dividends	Mgmt	For	For	For
39	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
40	Banking Facility and Project Guarantee for Subsidiaries	Mgmt	For	Against	Against
41	Banking Facility Guarantees provided by CIMC Vehicle to its subsidiaries	Mgmt	For	Against	Against
42	Credit Guarantee provided by CIMC Vehicle to Distributors and Customers	Mgmt	For	Against	Against
43	Application of External Guarantee provided by CIMC Finance Company	Mgmt	For	Against	Against
44	Credit Guarantee provided by C&C Trucks to Distributors and Customers	Mgmt	For	Against	Against
45	Authority to Issue Debt Instruments	Mgmt	For	For	For
46	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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China International Marine Containers (Group) Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
2039	CINS Y1457J115	05/31/2016	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class of Shares	Mgmt	For	For	For
2	Method and Timing	Mgmt	For	For	For
3	Target	Mgmt	For	For	For
4	Number of Shares	Mgmt	For	For	For
5	Issuance Price	Mgmt	For	For	For
6	Lock-up Period	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Place of Listing	Mgmt	For	For	For
9	Accumulated Profit Arrangement	Mgmt	For	For	For
10	Validity Period	Mgmt	For	For	For
11	Plan for the Non-public Issuance of A Shares	Mgmt	For	For	For
12	Ratification of Board Acts	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For

China International Travel Service Corporation Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
601888	CINS Y149A3100	02/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2016 INVESTMENT PLAN	Mgmt	For	Abstain	Against
2	2016 Financial Budget	Mgmt	For	Abstain	Against
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Appointment of Internal Auditor	Mgmt	For	For	For

China International Travel Service Corporation Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
601888	CINS Y149A3100	05/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For

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4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Life Insurance Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2628	CINS Y1477R220	05/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Elect Elsie LEUNG Oi Sie	Mgmt	For	For	For
7	Authority to Set Auditor's Fees for 2015	Mgmt	For	For	For
8	Appointment of Auditor for 2016	Mgmt	For	For	For
9	Charitable Donations	Mgmt	For	For	For
10	Amendments to Procedural Rules	Mgmt	For	For	For
11	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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China Life Insurance Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2628	CINS Y1477R220	12/29/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Elect TANG Xin	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Entrusted Investment and Management	Mgmt	For	For	For

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### Agreement

4	Capital Debt Financing	Mgmt	For	For	For
5	Overseas Issue of Senior Bonds	Mgmt	For	For	For
6	Change of Business Scope	Mgmt	For	For	For

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### China Merchants Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3968	CINS Y14896107	06/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Report on Duty Performance of Directors	Mgmt	For	For	For
8	Report on Duty Performance of Supervisors	Mgmt	For	For	For
9	Duty Performance Report and Cross-Evaluation of Independent Directors	Mgmt	For	For	For
10	Duty Performance Report and Cross-Evaluation of External Supervisors	Mgmt	For	For	For
11	Report on Related Party Transactions	Mgmt	For	For	For
12	Authority to Issue domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Elect LI Jianhong	Mgmt	For	For	For
14	Elect XU Lirong	Mgmt	For	For	For
15	Elect LI Xiaopeng	Mgmt	For	For	For

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16	Elect SUN Yueying	Mgmt	For	Against	Against
17	Elect FU Gangfeng	Mgmt	For	Against	Against
18	Elect HONG Xiaoyuan	Mgmt	For	For	For
19	Elect SU Min	Mgmt	For	For	For
20	Elect ZHANG Jian	Mgmt	For	For	For
21	Elect WANG Daxiong	Mgmt	For	For	For
22	Elect Zhang Feng	Mgmt	For	For	For
23	Elect TIAN Huiyu	Mgmt	For	For	For
24	Elect LI Hao	Mgmt	For	For	For
25	Elect Antony LEUNG Kam Chung	Mgmt	For	For	For
26	Elect WONG Kwai Lam	Mgmt	For	For	For
27	Elect PAN Chengwei	Mgmt	For	For	For
28	Elect PAN Yingli	Mgmt	For	For	For
29	Elect ZHAO Jun	Mgmt	For	For	For
30	Elect WONG See Hong	Mgmt	For	For	For
31	Elect FU Junyuan	Mgmt	For	For	For
32	Elect WU Heng	Mgmt	For	For	For
33	Elect WEN Jianguo	Mgmt	For	For	For
34	Elect JIN Qingjun	Mgmt	For	Against	Against
35	Elect DING Huiping	Mgmt	For	For	For
36	Elect HAN Zirong	Mgmt	For	For	For
37	Amendments to Articles	Mgmt	For	For	For
38	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Merchants Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3968	CINS Y14896107	09/25/2015	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment of Employee Stock Ownership Scheme	Mgmt	For	For	For

China Merchants Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3968	CINS Y14896107	09/25/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Zhang Feng as Director	Mgmt	For	For	For
3	Adjustment of Employee Stock Ownership Scheme	Mgmt	For	For	For
4	Adjusted Connected Issue	Mgmt	For	For	For
5	Elect Eric ZHU Liwei as Director	Mgmt	For	For	For

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6	Elect FU Junyuan as Supervisor	Mgmt	For	For	For
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China Merchants Energy Shipping Co

Ticker	Security ID:	Meeting Date	Meeting Status		
	CINS Y15039129	06/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Elect CAO Hong as Supervisor	Mgmt	For	Against	Against
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2	Elect YAO Ping as Director	Mgmt	For	Against	Against
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China Merchants Energy Shipping Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601872	CINS Y15039129	02/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	PROVISION FOR ASSET DEPRECIATION	Mgmt	For	For	For
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2	Elect LI Zhonghan	Mgmt	For	For	For
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China Merchants Energy Shipping Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601872	CINS Y15039129	04/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
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2	Independent Directors' Report	Mgmt	For	For	For
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3	Supervisors' Report	Mgmt	For	For	For
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4	Accounts and Reports	Mgmt	For	For	For
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5	Allocation of Profits/Dividends	Mgmt	For	For	For
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6	Ship Maintenance Transactions	Mgmt	For	For	For
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7	Shipping of Crude Oil and Supply of Fuel Oil and Lubricating Oil	Mgmt	For	For	For
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8	Spare Material and Marine Equipment Transactions	Mgmt	For	For	For
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9	Approval of Line of Credit	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Continue to Purchase Wealth Management Products from China Merchants Bank	Mgmt	For	For	For
12	Approval to Offer Loans	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Merchants Property Development Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
200024	CINS Y1492Y127	09/16/2015		Voted	
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Removal/Resignation of Director	Mgmt	For	For	For

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China Merchants Property Development Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
200024	CINS Y1492Y127	10/09/2015		Voted	
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Regarding Compliance of the Merger and Acquisition, Targeted Issuance of Matching Funds and Related Party Transactions with Relevant Laws and Regulations	Mgmt	For	Abstain	Against
4	Method of Merger and Acquisition and Matching Fund Issuance	Mgmt	For	Abstain	Against
5	Type of Shares and Par Value	Mgmt	For	Abstain	Against
6	Target of Issuance and Share Swap	Mgmt	For	Abstain	Against
7	Price of Issuance and Share Swap for the Merger and	Mgmt	For	Abstain	Against

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### Acquisition

8	Share Swap Ratio for the Merger and Acquisition	Mgmt	For	Abstain	Against
9	Share Swap for the Merger and Acquisition	Mgmt	For	Abstain	Against
10	Size of Matching Fund Issuance for the Merger and Acquisition	Mgmt	For	Abstain	Against
11	Listing Market for Swapped and Issued Shares	Mgmt	For	Abstain	Against
12	Lock-up Period for Swapped and Issued Shares	Mgmt	For	Abstain	Against
13	Use of Proceeds from Swapped and Issued Shares	Mgmt	For	Abstain	Against
14	Treatment of Undistributed Profits from the Merger and Acquisition	Mgmt	For	Abstain	Against
15	Cash Options for Dissenting Shareholders	Mgmt	For	Abstain	Against
16	Treatment of Fractional Shares from the Merger and Acquisition	Mgmt	For	Abstain	Against
17	Treatment of Restricted Shares	Mgmt	For	Abstain	Against
18	Treatment of Liabilities from the Merger and Acquisition	Mgmt	For	Abstain	Against
19	Placement of Employees' Affected from the Merger and Acquisition	Mgmt	For	Abstain	Against
20	Validity of Resolution	Mgmt	For	Abstain	Against
21	Closing of the Merger and Acquisition	Mgmt	For	Abstain	Against
22	Completion and Effective Date of the	Mgmt	For	Abstain	Against

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	Merger and Acquisition				
23	Related Party Transactions Regarding the Merger and Acquisition	Mgmt	For	Abstain	Against
24	Agreements on the Merger and Acquisition	Mgmt	For	Abstain	Against
25	Signing Agreements with Designated Stock Subscribers	Mgmt	For	Abstain	Against
26	Approval of Preliminary Plan of Merger and Acquisition and Issuance of Matching Funds	Mgmt	For	Abstain	Against
27	Compliance with Article 4 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	Abstain	Against
28	Completeness and Compliance in Transaction Procedures and Validity of Submitted Legal Documents	Mgmt	For	Abstain	Against
29	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	Abstain	Against
30	Employee Stock Ownership Plan	Mgmt	For	Abstain	Against
31	Approval of Profit Forecast Report, Audit Report and Profit Indemnification Agreements	Mgmt	For	Abstain	Against
32	Company's Commitment to the	Mgmt	For	Abstain	Against

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Transactions

33	Board Authorization to Implement the Transactions	Mgmt	For	Abstain	Against
34	Termination of First Granting of Company's Stock Option Plan	Mgmt	For	Abstain	Against
35	Approval of the Self-Assessment Report on the Company's Real Estate Businesses	Mgmt	For	Abstain	Against
36	Termination of Trading and Delisting of the Company's B Share	Mgmt	For	Abstain	Against
37	Board Authorization to Implement Termination of Trading and Delisting of the Company's B Share	Mgmt	For	Abstain	Against
38	Ratification of Intermediary Institutions Regarding the Merger and Acquisition Transactions	Mgmt	For	Abstain	Against
39	Ratification of Independent Financial Advisor	Mgmt	For	Abstain	Against
40	Ratification of Audit Institution	Mgmt	For	Abstain	Against
41	Ratification of Legal Advisor	Mgmt	For	Abstain	Against

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China Merchants Property Development Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 200024 CINS Y1492Y127 11/26/2015 Voted

Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Equity Transfer Agreement	Mgmt	For	For	For
2	Provision of Financial Aid	Mgmt	For	Against	Against

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China Merchants Securities Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
	CINS Y14904109	06/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHU Haiwu as Independent Director	Mgmt	For	For	For
2	Elect WANG Daxiong as Non-Independent Director	Mgmt	For	For	For
3	Authority to Issue Short-term Commercial Papers	Mgmt	For	For	For

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China Merchants Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600999	CINS Y14904109	04/22/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2016 Proprietary Investment Plan	Mgmt	For	For	For
7	Related Party Transactions with China Merchants Bank Co., Ltd.	Mgmt	For	Abstain	Against
8	Related Party Transactions with China Merchants Group Co., Ltd. and Its Controlled Subsidiaries	Mgmt	For	Abstain	Against
9	Related Party Transactions with China Ocean Shipping (Group) Co. and Its Controlling Company	Mgmt	For	Abstain	Against

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10	Related Party Transactions with Other Related Parties	Mgmt	For	Abstain	Against
11	Appointment of Auditor	Mgmt	For	For	For
12	Elect SU Min	Mgmt	For	For	For
13	Employee H Share Subscription Plan	Mgmt	For	For	For

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China Merchants Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600999	CINS Y14904109	07/27/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification of 2015 Proprietary Investment Amount	Mgmt	For	For	For

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China Merchants Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600999	CINS Y14904109	07/27/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification of 2015 Proprietary Investment Amount	Mgmt	For	For	For

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China Merchants Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600999	CINS Y14904109	08/12/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	Abstain	Against
2	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against
3	Amendments to Procedural Rules for Board Meetings	Mgmt	For	Abstain	Against
4	Amendments to Procedural Rules for Supervisor	Mgmt	For	Abstain	Against

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Meetings

5	Amendments to Work System for Independent Directors	Mgmt	For	Abstain	Against
6	Amendments to Decision-making System for Related Party Transactions	Mgmt	For	Abstain	Against
7	Amendments to Administrative Measures for Use of Raised Funds	Mgmt	For	Abstain	Against

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China Merchants Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600999	CINS Y14904109	09/18/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Interim Profits/Dividends	Mgmt	For	For	For
2	Purchase of Liability Insurance	Mgmt	For	Abstain	Against

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China Merchants Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600999	CINS Y14904109	12/25/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Expansion of Business Scope for Foreign Exchange Transactions	Mgmt	For	For	For

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China Minmetals Rare Earth Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000831	CINS Y1521G105	05/05/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	2016 Financial Budget	Mgmt	For	For	For
5	Allocation of	Mgmt	For	For	For

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Profits/Dividends

6	Annual Report and Its Summary	Mgmt	For	For	For
7	2016 Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	Against	Against
9	Framework Agreement on Related Party Transactions	Mgmt	For	For	For

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China Minmetals Rare Earth Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000831	CINS Y1521G105	11/10/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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China Minmetals Rare Earth Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000831	CINS Y1521G105	12/22/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Xingguo	Mgmt	For	For	For

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China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1988	CINS Y1495M104	02/01/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2015 Interim Profit Distribution Plan	Mgmt	For	For	For
2	Increase in Registered Capital	Mgmt	For	For	For
3	Amendments to Articles Regarding Capital Increase	Mgmt	For	For	For
4	Elect ZHENG Wanchun	Mgmt	For	For	For
5	Qualification of Non-public Issuance of Preference Shares	Mgmt	For	For	For
6	Type and Number	Mgmt	For	For	For

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7	Maturity	Mgmt	For	For	For
8	Method of Issuance	Mgmt	For	For	For
9	Placees	Mgmt	For	For	For
10	Nominal Value and Issue Price	Mgmt	For	For	For
11	Dividend Distribution Provisions	Mgmt	For	For	For
12	Conditional Redemption	Mgmt	For	For	For
13	Mandatory Conversion	Mgmt	For	For	For
14	Restriction on and Restoration of Voting Rights	Mgmt	For	For	For
15	Order of Distribution of Residual Assets and Basis for Liquidation	Mgmt	For	For	For
16	Use of Proceeds	Mgmt	For	For	For
17	Rating	Mgmt	For	For	For
18	Guarantee	Mgmt	For	For	For
19	Transferability	Mgmt	For	For	For
20	Legal Compliance	Mgmt	For	For	For
21	Effective Period	Mgmt	For	For	For
22	Relationship between Domestic and Offshore Issuances	Mgmt	For	For	For
23	Type and Number	Mgmt	For	For	For
24	Maturity	Mgmt	For	For	For
25	Method of Issuance	Mgmt	For	For	For
26	Placees	Mgmt	For	For	For
27	Nominal Value and Issue Price	Mgmt	For	For	For
28	Dividend Distribution Provisions	Mgmt	For	For	For
29	Conditional Redemption	Mgmt	For	For	For
30	Mandatory Conversion	Mgmt	For	For	For
31	Restriction on and Restoration of Voting Rights	Mgmt	For	For	For
32	Order of Distribution of Residual Assets and Basis for Liquidation	Mgmt	For	For	For
33	Use of Proceeds	Mgmt	For	For	For
34	Rating	Mgmt	For	For	For
35	Guarantee	Mgmt	For	For	For
36	Transferability	Mgmt	For	For	For
37	Legal Compliance	Mgmt	For	For	For
38	Effective Period	Mgmt	For	For	For
39	Relationship between Domestic and Offshore	Mgmt	For	For	For

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### Issuances

40	Feasibility Analysis Report of the Use of Proceeds from Non-public Issuance of Preference Shares	Mgmt	For	For	For
41	Authorization to the Board to Issue Preference Shares	Mgmt	For	For	For
42	Capital Management Planning for 2016 to 2018	Mgmt	For	For	For
43	Formulation of Shareholder Return Plan for 2016 to 2018	Mgmt	For	For	For
44	Diluted Immediate Returns	Mgmt	For	For	For
45	Amendments to Articles Regarding Issuance of Preference Shares	Mgmt	For	For	For
46	Amendments to the Rules of Procedures for Shareholders' General Meeting	Mgmt	For	For	For
47	Amendments to the Rules of Procedure for the Meeting of the Board of Directors	Mgmt	For	For	For
48	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1988	CINS Y1495M104	06/07/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Report	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Allocation of Interim Dividends	Mgmt	For	For	For

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5	Annual Budget	Mgmt	For	For	For
6	Directors' Report	Mgmt	For	For	For
7	Supervisors' Report	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
3993	CINS Y1503Z113	06/29/2016		Voted	
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase H Shares	Mgmt	For	For	For

China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
3993	CINS Y1503Z113	06/29/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Financial Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	2016 Budget Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' Report	Mgmt	For	For	For
7	Supervisors' Report	Mgmt	For	For	For
8	Annual Report	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Liability Insurance	Mgmt	For	For	For
11	Authority to Declare Interim and Quarterly Dividends	Mgmt	For	For	For
12	Approve Capital Increase in Subsidiaries (External Investment)	Mgmt	For	Abstain	Against
13	Authority to Repurchase H Shares	Mgmt	For	For	For

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14	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
15	Authority to Give Guarantees	Mgmt	For	Abstain	Against

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	10/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Bonus Share Issuance	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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China National Chemical Engineering Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601117	CINS Y1504G106	01/26/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Elect LU Hongxing	Mgmt	For	For	For
3	Elect YU Jinbo	Mgmt	For	For	For
4	Elect HU Haiyin	Mgmt	For	For	For
5	Elect LIU Jie	Mgmt	For	For	For
6	Elect LI Haiquan	Mgmt	For	For	For
7	Elect ZHANG Zhonglin	Mgmt	For	For	For
8	Elect DUN Yilan	Mgmt	For	For	For
9	Elect HU Yonghong	Mgmt	For	Against	Against

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China National Chemical Engineering Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601117	CINS Y1504G106	06/02/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China National Chemical Engineering Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
601117	CINS Y1504G106	12/10/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Replenish Working Capital with Idle Funds	Mgmt	For	For	For
3	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For

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### China National Nuclear Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601985	CINS Y1507R109	05/31/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Independent Directors' Fees	Mgmt	For	For	For
5	Supervisors' Fees	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	2016 Investment Plan	Mgmt	For	For	For
11	Annual Report	Mgmt	For	For	For
12	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
13	AMENDMENTS TO WORK SYSTEM FOR INDEPENDENT DIRECTORS	Mgmt	For	Abstain	Against
14	Framework Agreements on Routine Related Party Transactions	Mgmt	For	For	For
15	Approval of Estimated Amount of Investments on Fuqing Nuclear	Mgmt	For	For	For

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Power  
Plant

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China Northern Rare Earth (Group) High-Tech Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600111	CINS Y40840103	01/21/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For

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China Northern Rare Earth (Group) High-Tech Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600111	CINS Y40840103	05/18/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	Against	Against
10	Approval of Line of Credit	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Elect WEI Shuanshi	Mgmt	For	For	For
13	Elect CANG Daqiang	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For

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China Oilfield Services Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
2883	CINS Y15002119	05/31/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For

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4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Authority to Repurchase Domestic and/or H Shares	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Elect Albert WONG Kwai Huen	Mgmt	For	For	For

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China Oilfield Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2883	CINS Y15002119	05/31/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase Domestic and/or H Shares	Mgmt	For	For	For

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China Oilfield Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2883	CINS Y15002119	12/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Elect CHENG Chi as Director	Mgmt	For	Against	Against
3	Elect WEI Junchao as Supervisor	Mgmt	For	For	For
4	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For

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China Pacific Insurance Group

Ticker	Security ID:	Meeting Date	Meeting Status		
2601	CINS Y1505Z111	06/17/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report of A Shares	Mgmt	For	For	For
4	Annual Report of H Shares	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Due Diligence Report	Mgmt	For	For	For
9	Report on Performance	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Related Party Transactions: Fund Utilization	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Pacific Insurance Group

Ticker	Security ID:	Meeting Date	Meeting Status		
2601	CINS Y1505Z111	10/29/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Carmelo LEE Ka Sze as Director	Mgmt	For	Against	Against
2	Elect ZHANG Xinmei as Supervisor	Mgmt	For	Against	Against

China Petroleum & Chemical Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0386	cins Y15010112	05/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Profit Distribution Plan	Mgmt	For	For	For

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5	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Declare Interim Dividends	Mgmt	For	For	For
7	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Petroleum and Chemical Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0386	cins Y15010112	02/25/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect MA Yongsheng	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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China Petroleum and Chemical Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0386	cins Y15010112	10/23/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Major Continuing Connected Transactions and Non-Major Continuing Connected Transactions	Mgmt	For	For	For

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China Railway Construction Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1186	CINS Y1508P102	06/16/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of	Mgmt	For	For	For

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### Profits/Dividends

5	Annual Report	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Authority to Set External Auditor's Fees	Mgmt	For	For	For
8	Appointment of External Auditor	Mgmt	For	For	For
9	Authority to Set Internal Control Auditor's Fees	Mgmt	For	For	For
10	Appointment of Internal Control Auditor	Mgmt	For	For	For
11	Directors' and Supervisors' Fees	Mgmt	For	For	For
12	Transfer of Qualifications	Mgmt	For	For	For
13	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Increase in Registered Capital	Mgmt	For	For	For
15	Amendments to Articles (Registered Capital)	Mgmt	For	For	For

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China Railway Construction Corporation Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
1186	CINS Y1508P102	10/29/2015		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Management Reward Scheme for 2014	Mgmt	For	For	For	
3	Increase in Authority to Issue Debt Instruments	Mgmt	For	For	For	
4	Reward Scheme for Chairman of Supervisory Committee	Mgmt	For	For	For	

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for  
2014

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China Railway Group Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0390	CINS Y1509D108	01/28/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Zongyan	Mgmt	For	For	For
2	Authority to Issue Domestic and Overseas Debt Financing Instruments	Mgmt	For	For	For
3	Increase in Authorized Capital	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

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China Railway Group Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0390	CINS Y1509D108	06/24/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For
8	Appointment of Internal Control Auditors and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	Abstain	Against
10	Salary Management Measures of Directors and Supervisors	Mgmt	For	For	For
11	Directors' and	Mgmt	For	For	For

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Supervisors'  
Fees

12	Liability Insurance	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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China Resources Sanjiu Medical and Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000999	CINS Y7503B105	05/23/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Elect LI Guohui	Mgmt	For	Against	Against

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China Resources Sanjiu Medical and Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000999	CINS Y7503B105	08/25/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Equity Stake	Mgmt	For	For	For

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China Resources Sanjiu Medical and Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000999	CINS Y7503B105	11/13/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Wealth Management Products	Mgmt	For	For	For
2	Capital Increase in Wholly-owned Subsidiary	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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China Resources Sanjiu Medical and Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000999	CINS Y7503B105	12/28/2015	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Liqiang	Mgmt	For	For	For
2	Elect WEN Quan	Mgmt	For	For	For
3	Elect WENG Jingwen	Mgmt	For	For	For
4	Elect LIU Wentao as Supervisor	Mgmt	For	Against	Against

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China Shenhua Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1088	CINS Y1504C121	06/17/2016	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Final Dividend	Mgmt	For	For	For
5	Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Mutual Coal Supply Agreement	Mgmt	For	For	For
8	Mutual Supplies and Services Agreement	Mgmt	For	For	For
9	Financial Services Agreement	Mgmt	For	For	For
10	Elect DI Dong	Mgmt	For	For	For
11	Elect ZHAO Jibin	Mgmt	For	For	For
12	Elect ZHOU Dayu as Supervisor	Mgmt	For	Against	Against

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China Shipbuilding Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601989	CINS Y1504X109	03/07/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Approval of Provision of Asset Impairment	Mgmt	For	For	For
3	Approval of Equity Transfer to Substantial Shareholder	Mgmt	For	For	For

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China Shipbuilding Industry Company Limited  
Ticker Security ID: Meeting Date Meeting Status  
601989 CINS Y1504X109 06/28/2016 Voted  
Meeting Type Country of Trade  
Annual China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
7	Purchase of Products	Mgmt	For	For	For
8	Provision of Services	Mgmt	For	For	For
9	Deposit and Loan Businesses	Mgmt	For	Against	Against
10	Entrusted Loans Services	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Adjustment to Final Completion and Timeline of Projects	Mgmt	For	For	For

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China Shipbuilding Industry Company Limited  
Ticker Security ID: Meeting Date Meeting Status  
601989 CINS Y1504X109 12/29/2015 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Asset Swap	Mgmt	For	For	For
2	Approval to Sign Asset Acquisition Agreements with Fengfan Stock Co., Ltd.	Mgmt	For	For	For

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3	Approval of Controlling Shareholder's Partial Amendment to the Agreement with the Company	Mgmt	For	For	For
4	Approval to Amend the Use of Proceeds from Previously Raised Fund	Mgmt	For	For	For
5	Board Authorization to Implement Major Asset Restructure and Its Relevant Matters	Mgmt	For	For	For
6	Related Party Transactions to Adjust Cap Amount for Deposit and Loans	Mgmt	For	For	For

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China Shipping Container Lines Co

Ticker	Security ID:	Meeting Date	Meeting Status				
	CINS Y1513C112	06/30/2016	Voted				
Meeting Type	Country of Trade						
Annual	China	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
		1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
		2	Directors' Report	Mgmt	For	For	For
		3	Supervisors' Report	Mgmt	For	For	For
		4	Independent Directors' Report	Mgmt	For	For	For
		5	Accounts and Reports	Mgmt	For	For	For
		6	Annual Report	Mgmt	For	For	For
		7	Allocation of Profits/Dividends	Mgmt	For	For	For
		8	Directors' and Supervisors' Fees	Mgmt	For	For	For
		9	Appointment of PRC Auditor and Authority to Set Fees	Mgmt	For	For	For
		10	Appointment of Internal Auditor and Authority to Set Fees	Mgmt	For	For	For
		11	Appointment of International Auditor and Authority to Set	Mgmt	For	For	For

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Fees

12	Revision of Annual caps Under Financial Services Agreement for Deposit Services	Mgmt	For	For	For
13	Revision of Annual caps Under Financial Services Agreement for Loans	Mgmt	For	For	For
14	Revision of Annual caps Under Financial Services Agreement for Settlement Services	Mgmt	For	For	For
15	Authority to Give Guarantees	Mgmt	For	Against	Against
16	Election of Independent Directors	Mgmt	For	For	For
17	Authority to Issue Mid-term Debt Instruments	Mgmt	For	For	For
18	Authority to Issue Short-term Debt Instruments	Mgmt	For	For	For
19	Ratification of Board Acts	Mgmt	For	For	For
20	Elect SUN Yueying	Mgmt	For	For	For
21	Elect WANG Daxiong	Mgmt	For	For	For
22	Elect LIU Chong	Mgmt	For	For	For
23	Elect XU Hui	Mgmt	For	For	For
24	Elect YANG Jigui	Mgmt	For	Against	Against
25	Elect FENG Boming	Mgmt	For	For	For
26	Elect HUANG Jian	Mgmt	For	For	For
27	Elect CAI Hong Ping	Mgmt	For	For	For
28	Elect TSANG Hing Lun	Mgmt	For	For	For
29	Elect HAI Chi Yuet	Mgmt	For	For	For
30	Elect Graeme A. Jack	Mgmt	For	For	For
31	Elect YE Hongjun	Mgmt	For	For	For
32	Elect HAO Wenyi	Mgmt	For	For	For
33	Elect GU Xu	Mgmt	For	For	For
34	Elect ZHANG Weihua	Mgmt	For	For	For

China Shipping Container Lines Company Limited

Ticker Security ID: Meeting Date Meeting Status  
2866 CINS Y1513C112 02/01/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

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1	Restructuring: Overall Scheme	Mgmt	For	For	For
2	Restructuring: Equity Sales in 34 Subsidiaries	Mgmt	For	For	For
3	Restructuring: Sales of 49% Equity Interest	Mgmt	For	For	For
4	Restructuring: Acquisition of 100% Equity Interest in CS Investment	Mgmt	For	For	For
5	Restructuring: Acquisition of 100% Equity Interest in CS Leasing	Mgmt	For	For	For
6	Restructuring: Acquisition of 40% Equity Interest in CS Finance	Mgmt	For	For	For
7	Restructuring: Acquisition of 13.67% Equity Interest in CBHB	Mgmt	For	For	For
8	Restructuring: Acquisition of 100% Equity interest in Dong Fang International	Mgmt	For	For	For
9	Restructuring: Acquisition of 100% Equity interest in CS Nauticgreen and Helen Insurance	Mgmt	For	For	For
10	Restructuring: Acquisition of 100% Equity interest in Long Honour	Mgmt	For	For	For
11	Restructuring: Acquisition of 100% Equity interest in Florens	Mgmt	For	For	For
12	Restructuring: Acquisition of 17.53% Equity interest in COSCO Finance	Mgmt	For	For	For
13	Validity Period of Resolution	Mgmt	For	For	For

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14	Restructuring: Related Party Transactions	Mgmt	For	For	For
15	Report of Major Asset Disposal and Major Asset Acquisition	Mgmt	For	For	For
16	Restructuring Agreements	Mgmt	For	For	For
17	Assets Lease Framework Agreement	Mgmt	For	For	For
18	Authorization of Legal Formalities For Restructuring	Mgmt	For	For	For
19	Compliance With Rule 4 of Provisions on Issues Concerning Regulating Material Asset Reorganizations	Mgmt	For	For	For
20	Accountants' Reports and Valuation Reports	Mgmt	For	For	For
21	Independence of Valuer	Mgmt	For	For	For
22	Legal Procedures Regarding Restructuring	Mgmt	For	For	For
23	Board Authorization to Implement the Agreements	Mgmt	For	For	For
24	Financial Assistance To the Company For the Acquisition of Financial Equity	Mgmt	For	For	For
25	Financial Services Provision Framework Agreement	Mgmt	For	Against	Against
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
China Shipping Container Lines Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
2866	CINS Y1513C112	05/10/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect SUN Yueying	Mgmt	For	For	For

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3	Elect WANG Daxiong	Mgmt	For	For	For
4	Elect LIU Chong	Mgmt	For	For	For

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China Shipping Container Lines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2866	CINS Y1513C112	12/22/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transactions in respect of Maximum Daily Outstanding Balance of Deposits	Mgmt	For	Against	Against
2	Transactions in respect of Maximum Daily Outstanding Balance of Loans	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	Against	Against
4	Elect YANG Jigui	Mgmt	For	For	For
5	Elect HAN Jun	Mgmt	For	For	For

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China South Publishing and Media Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601098	CINS Y15174108	05/17/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Supervisors' Fees	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Accounts and Reports	Mgmt	For	For	For
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
10	Signing Financial Service Agreement with Controlling Shareholder	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	For	For

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12	Expansion of Business Scope and Amendments to Articles	Mgmt	For	For	For
13	Elect PENG Bo	Mgmt	For	For	For
14	Elect HE Xiaogang	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### China South Publishing and Media Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601098	CINS Y15174108	12/07/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Joint Venture/Strategic Alliance	Mgmt	For	For	For
2	Elect JI Shuihe as Director	Mgmt	For	For	For
3	Elect PENG Zhaoping as Supervisor	Mgmt	For	Against	Against
4	Elect ZHANG Xudong as Supervisor	Mgmt	For	Against	Against

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### China Southern Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1055	CINS Y1503W110	05/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
10	Authority to Give Guarantees	Mgmt	For	For	For

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11 Elect WANG Chang Shun Mgmt For For For

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China Spacesat Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600118	CINS Y1500D107	04/05/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Annual Report	Mgmt	For
2	Directors' Report	Mgmt	For
3	Supervisors' Report	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Accounts and Reports	Mgmt	For
6	Independent Directors' Report	Mgmt	For
7	Deposit Service	Mgmt	For
8	Related Party Transactions	Mgmt	For
9	Elect JIN Zhanming	Mgmt	For

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China State Construction Engineering Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601668	CINS Y1R16Z106	05/09/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Independent Directors' Report	Mgmt	For
3	Supervisors' Report	Mgmt	For
4	Financial Resolution Report	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Annual Report	Mgmt	For
7	Financial Budget Report	Mgmt	For
8	Investment Budget Report	Mgmt	Abstain
9	Appointment of Auditor	Mgmt	For
10	Appointment of Internal Control Auditor	Mgmt	For
11	Related Party Transactions	Mgmt	For
12	Authority to Give Guarantees	Mgmt	Abstain

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13	Allocation of Dividends of Preferred Stocks	Mgmt	For	For	For
14	Allocation of Dividends of Preferred Stocks from 2016-2017	Mgmt	For	Abstain	Against
15	Authority to Issue Corporate Bonds	Mgmt	For	Abstain	Against
16	Authority to Issue Overseas Bonds	Mgmt	For	Abstain	Against

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China United Network Communications Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600050	CINS Y15117107	05/11/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Election of Directors and Directors' Fee	Mgmt	For	For	For
9	Authority to Repurchase Shares for China Unicom (Hong Kong) Limited	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights for China Unicom (Hong Kong) Limited	Mgmt	For	Against	Against
11	Elect WANG Xiaochu	Mgmt	For	Against	Against
12	Elect LU Yimin	Mgmt	For	Against	Against
13	Elect LI Fushen	Mgmt	For	For	For
14	Elect SHAO Guanglu	Mgmt	For	For	For
15	Elect LU Tingjie	Mgmt	For	For	For
16	Elect CHEN Yonghong	Mgmt	For	For	For
17	Elect LI Hongbin	Mgmt	For	For	For

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18	Elect JIANG Zhengxin	Mgmt	For	Against	Against
19	Elect CAI Quangen	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For

China United Network Communications Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600050	CINS Y15117107	09/18/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Xiaochu as Director	Mgmt	For	For	For

China United Network Communications Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600050	CINS Y15117107	10/30/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Asset Transfer	Mgmt	For	Abstain	Against
2	Agreements Associated with Asset Transfer	Mgmt	For	Abstain	Against

China Vanke Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
2202	CINS Y77421116	03/17/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of continued stock suspension	Mgmt	For	For	For

China Vanke Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
2202	CINS Y77421116	06/27/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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China Vanke Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
2202	CINS Y77421116	08/31/2015	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Method of Repurchase	Mgmt	For	For	For
2	Price Range	Mgmt	For	For	For
3	Type, Quantity and Proportion	Mgmt	For	For	For
4	Proceeds and Source of Funding	Mgmt	For	For	For
5	Period of Share Purchase	Mgmt	For	For	For
6	Validity Period of Resolution	Mgmt	For	For	For
7	Board Authorization to Repurchase A Shares	Mgmt	For	For	For

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China XD Electric Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601179	CINS Y1565A105	04/26/2016	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Appointment of Internal Control Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Foreign Exchange Transactions	Mgmt	For	For	For
10	Approval of Line of Credit	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Approval of Securities Investment Quota of China XD Group	Mgmt	For	Abstain	Against

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Finance Co.,  
Ltd.

13	Elect ZHANG Jie as Supervisor	Mgmt	For	Against	Against
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China XD Electric Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601179	CINS Y1565A105	11/19/2015	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Amendments to Articles	Mgmt	For	For	For
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2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
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China Yangtze Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600900	CINS Y1516Q142	02/04/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Asset Acquisition by Share Offering and Cash Payment: Transaction Counterparties	Mgmt	For	For	For
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2	Asset Acquisition by Share Offering and Cash Payment: Target Assets	Mgmt	For	For	For
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3	Asset Acquisition by Share Offering and Cash Payment: Transaction Amount	Mgmt	For	For	For
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4	Asset Acquisition by Share Offering and Cash Payment: Payment of Consideration	Mgmt	For	For	For
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5	Asset Acquisition by Share Offering and Cash Payment: Payment Arrangement of Cash Consideration	Mgmt	For	For	For
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6	Asset Acquisition by Share Offering and Cash Payment: Share Type and Par	Mgmt	For	For	For
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	Value				
7	Asset Acquisition by Share Offering and Cash Payment: Offering Method, Target Investors and Subscription Method	Mgmt	For	For	For
8	Asset Acquisition by Share Offering and Cash Payment: Issuance Pricing	Mgmt	For	For	For
9	Asset Acquisition by Share Offering and Cash Payment: Size of Issuance	Mgmt	For	For	For
10	Asset Acquisition by Share Offering and Cash Payment: Listing Market	Mgmt	For	For	For
11	Asset Acquisition by Share Offering and Cash Payment: Lock-up Period	Mgmt	For	For	For
12	Asset Acquisition by Share Offering and Cash Payment: Arrangements for Profits and Losses During the Transitional Period	Mgmt	For	For	For
13	Asset Acquisition by Share Offering and Cash Payment: Arrangements for Dividends Allocation	Mgmt	For	For	For
14	Asset Acquisition by Share Offering and Cash Payment: Contractual Obligations and Liabilities for Breach of Contract for	Mgmt	For	For	For
15	Handling Asset Transfer Asset Acquisition by	Mgmt	For	For	For

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	Share Offering and Cash Payment: Validity Period					
16	Auxiliary Fund Raising via Private Placement: Share Type and Par Value	Mgmt	For	For	For	For
17	Auxiliary Fund Raising via Private Placement: Target Subscribers, Issuing Method and Subscription Method	Mgmt	For	For	For	For
18	Auxiliary Fund Raising via Private Placement: Issuance Pricing	Mgmt	For	For	For	For
19	Auxiliary Fund Raising via Private Placement: Size of Issuance	Mgmt	For	For	For	For
20	Auxiliary Fund Raising via Private Placement: Listing Market	Mgmt	For	For	For	For
21	Auxiliary Fund Raising via Private Placement: Lock-up Period	Mgmt	For	For	For	For
22	Auxiliary Fund Raising via Private Placement: Arrangements for Dividends Allocation	Mgmt	For	For	For	For
23	Auxiliary Fund Raising via Private Placement: Use of Proceeds	Mgmt	For	For	For	For
24	Auxiliary Fund Raising via Private Placement: Validity Period	Mgmt	For	For	For	For
25	Cash Dividend Arrangements	Mgmt	For	For	For	For
26	Eligibility for Asset Acquisition by Share Offering and Cash	Mgmt	For	For	For	For

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	Payment and Auxiliary Funds Raising				
27	Approval Transaction Constitutes Related Party Transaction	Mgmt	For	For	For
28	Approval of the Report and Summary for Asset Acquisition and Auxiliary Funds Raising	Mgmt	For	For	For
29	Approval of Conditional Asset Purchase Agreements and Supplemental Agreements	Mgmt	For	For	For
30	Approval of Conditional Share Subscription Agreements and Supplemental Agreements	Mgmt	For	For	For
31	Compliance with Article 4 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	For	For
32	Approval of Audit Report and Appraisal Report	Mgmt	For	For	For
33	Board Authorization to Implement Asset Acquisition by  Stock and Cash and Auxiliary Funds Raising	Mgmt	For	For	For
34	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
35	Size of Issuance and Par Value	Mgmt	For	For	For
36	Maturity	Mgmt	For	For	For
37	Interest Rate and Determination	Mgmt	For	For	For
38	Offering Method	Mgmt	For	For	For

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39	Guarantee Arrangement	Mgmt	For	For	For
40	Redemption or Repurchase Terms	Mgmt	For	For	For
41	Use of Proceeds	Mgmt	For	For	For
42	Target Investors and Allocation to Existing Shareholders	Mgmt	For	For	For
43	Underwriting Method and Listing Market	Mgmt	For	For	For
44	Rating and Repayment Guarantee Measures	Mgmt	For	For	For
45	Validity Period	Mgmt	For	For	For
46	Board Authorization to Implement Corporate Bonds Issuance	Mgmt	For	For	For

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China Yangtze Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600900	CINS Y1516Q142	05/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
7	Amendments to Management System for Investor Relations	Mgmt	For	For	For
8	Authority to Issue Debt Instruments	Mgmt	For	For	For
9	Authority to Issue Overseas Bonds	Mgmt	For	For	For
10	Elect HONG Wenhao	Mgmt	For	Against	Against
11	Elect ZONG Renhuai	Mgmt	For	For	For
12	Elect HUANG Ning	Mgmt	For	For	For

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13	Elect	ZHOU Chuangen	Mgmt	For	For	For
14	Elect	ZHAO Yan	Mgmt	For	For	For
15	Elect	WANG Xin	Mgmt	For	Against	Against
16	Elect	HUANG Ping	Mgmt	For	Against	Against

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### China Yangtze Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600900	CINS Y1516Q142	10/16/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LU Zhenyong	Mgmt	For	For	For
2	Elect ZHENG Weijun	Mgmt	For	For	For

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### Chinese Universe Publishing And Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600373	CINS Y4445Q100	03/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit and authority to Give Guarantees	Mgmt	For	For	For

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### Chinese Universe Publishing And Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600373	CINS Y4445Q100	04/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Independent Directors' Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For

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### Chinese Universe Publishing And Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600373	CINS Y4445Q100	07/24/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue	Mgmt	For	For	For

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Ultra Short-term  
Financing  
Bills

2	Appointment of Auditor	Mgmt	For	For	For
3	Appointment of Internal Control Auditor	Mgmt	For	For	For

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Chinese Universe Publishing And Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600373	CINS Y4445Q100	09/18/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZENG Guanghui	Mgmt	For	For	For

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Chongqing Changan Automobile Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000625	CINS Y1584K100	03/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Elect XU Liuping	Mgmt	For	For	For
4	Elect ZHANG Baolin	Mgmt	For	For	For
5	Elect ZHU Huarong	Mgmt	For	For	For
6	Elect ZHOU Zhiping	Mgmt	For	For	For
7	Elect TAN Xiaogang	Mgmt	For	For	For
8	Elect WANG Xiaoxiang	Mgmt	For	For	For
9	Elect WANG Kun	Mgmt	For	For	For
10	Elect SHUAI Tianlong	Mgmt	For	For	For
11	Elect LIU Jipeng	Mgmt	For	For	For
12	Elect LI Xiang	Mgmt	For	For	For
13	Elect LI Qingwen	Mgmt	For	For	For
14	Elect TAN Xiaosheng	Mgmt	For	For	For
15	Elect HU Yu	Mgmt	For	For	For
16	Elect PANG Yong	Mgmt	For	For	For
17	Elect CHEN Quanshi	Mgmt	For	For	For
18	Elect XIAO Yong	Mgmt	For	For	For
19	Elect SUN Dahong	Mgmt	For	Against	Against
20	Elect ZHAO Huixia	Mgmt	For	Against	Against

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Chongqing Changan Automobile Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000625	CINS Y1584K100	04/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For

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2	Share Type and Par Value	Mgmt	For	For	For
3	Issue Method and Issue Date	Mgmt	For	For	For
4	Issue Price and Pricing Principle	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Target Subscriber and Subscription Method	Mgmt	For	For	For
7	Amount and Use of Proceeds	Mgmt	For	For	For
8	Arrangement for Undistributed Profits	Mgmt	For	For	For
9	Lock-up Period	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Contractual Obligations and Liability for Breach of Contract	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Preliminary Plan of Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
16	Measures for Diluted Returns for Private Placement	Mgmt	For	For	For
17	Approval of Conditional Share Subscription Agreement	Mgmt	For	For	For
18	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
19	Approval of Waiver of Tender Offer	Mgmt	For	For	For

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20 Board Authorization to Implement Private Placement Mgmt For For For

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Chongqing Changan Automobile Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 000625 CINS Y1584K100 05/24/2016 Voted

Meeting Type Country of Trade  
 Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Approval of 2016 Investment Plan	Mgmt	For	For	For
8	Approval of 2016 Financing Plan	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Signing Financial Agreement with Chongqing Auto Finance Co., Ltd.	Mgmt	For	Against	Against
11	Amendments to Management System for Multi-level Authorization for Non-routine Business	Mgmt	For	Abstain	Against
12	Signing Financial Agreement with China South Industries Group Finance Co., Ltd.	Mgmt	For	Against	Against
13	Related Party Transaction with United Prosperity Investment Co., Ltd.	Mgmt	For	For	For

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Chongqing Changan Automobile Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 000625 CINS Y1584K100 08/24/2015 Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Fund Financing	Mgmt	For	For	For

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### Chongqing Changan Automobile Co., Ltd.

Ticker		Security ID:		Meeting Date		Meeting Status	
000625		CINS Y1584K100		11/24/2015		Voted	
Meeting Type		Country of Trade					
Special		China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt		
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For		
2	Appointment of Internal Control Auditor	Mgmt	For	For	For		
3	Regarding Construction Project of Global Research and Development Center	Mgmt	For	For	For		
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A		

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### Chongqing Changan Automobile Company Limited

Ticker		Security ID:		Meeting Date		Meeting Status	
		CINS Y1584K100		06/30/2016		Voted	
Meeting Type		Country of Trade					
Special		China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt		
1	Approval of Banknotes Pool	Mgmt	For	For	For		

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### Chongqing Water Group Co., Ltd.

Ticker		Security ID:		Meeting Date		Meeting Status	
601158		CINS Y15967105		04/27/2016		Voted	
Meeting Type		Country of Trade					
Annual		China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt		
1	Directors' Report	Mgmt	For	For	For		
2	Supervisors' Report	Mgmt	For	For	For		
3	Accounts and Reports	Mgmt	For	For	For		
4	Allocation of Profits/Dividends	Mgmt	For	For	For		
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For		

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6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
11	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
12	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	Abstain	Against
13	Amendments to Management System for Investment, Financing and Guarantees	Mgmt	For	Abstain	Against
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Chongqing Water Group Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
601158	CINS Y15967105	10/13/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Shian	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Chongqing Water Group Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
601158	CINS Y15967105	12/18/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of External Donation	Mgmt	For	For	For

CITIC Guoan Information Industry Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
000839	CINS Y1636B108	01/05/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Participation in Privatization of Qihoo 360 Technology Co.Ltd.	Mgmt	For	Abstain	Against
2	Establishment of Limited Partnership Fund	Mgmt	For	Abstain	Against

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CITIC Guoan Information Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000839	CINS Y1636B108	06/28/2016	Voted		
Meeting Type	Annual	Country of Trade	China		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Appointment of Internal Control Auditor	Mgmt	For	For	For
8	Customer Service, Outsourcing Service And Mess Texting Service	Mgmt	For	For	For
9	Cooperation In Call Center Business	Mgmt	For	For	For
10	Provision of Labor	Mgmt	For	For	For
11	Technical Consultant Services	Mgmt	For	For	For
12	System Integration, and Software and Hardware Services	Mgmt	For	For	For
13	System Development and Integration Services	Mgmt	For	For	For
14	Authority to Give Guarantees to CITIC Guoan Information Technology Co., Ltd.	Mgmt	For	Against	Against
15	Authority to Give Guarantees to CITIC Guoan MGL Power	Mgmt	For	Against	Against

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Technology Co.,  
Ltd.

16	Authority to Give Guarantees to Tianjin Guoan MGL New Material Technology Co., Ltd.	Mgmt	For	Against	Against
17	Authority to Give Guarantees to CITIC Guoan MGL Power Technology Co., Ltd.	Mgmt	For	Against	Against
18	Registration and Issuance of Private Placement Notes	Mgmt	For	For	For
19	Approval of Borrowing	Mgmt	For	For	For
20	Elect LUO Ning	Mgmt	For	For	For
21	Elect XIA Guilan	Mgmt	For	For	For
22	Elect QIN Yongzhong	Mgmt	For	For	For
23	Elect LI Jianyi	Mgmt	For	For	For
24	Elect LIU Xin	Mgmt	For	For	For
25	Elect ZHANG Jianxin	Mgmt	For	For	For
26	Elect SUN Lu	Mgmt	For	For	For
27	Elect LIAO Xiaotong	Mgmt	For	For	For
28	Elect LI Xiangyu	Mgmt	For	For	For
29	Elect ZHUANG Yu	Mgmt	For	For	For
30	Elect LIU Jing	Mgmt	For	For	For
31	Elect LU Xiawei	Mgmt	For	For	For
32	Elect WANG Hongliang	Mgmt	For	For	For
33	Elect CHENG Yuan	Mgmt	For	For	For
34	Elect ZENG Huiming	Mgmt	For	For	For
35	Elect LIU XIN	Mgmt	For	Against	Against
36	Elect LI Xiaoshan	Mgmt	For	For	For
37	Directors' Fees	Mgmt	For	For	For
38	Supervisors' Fees	Mgmt	For	For	For

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CITIC Guoan Information Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status				
000839	CINS Y1636B108	11/13/2015	Voted				
Meeting Type	Country of Trade						
Special	China	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Postponement of Trading Resumption	Mgmt	For	For	For		
2	Authority to Give Guarantees for Subsidiary	Mgmt	For	For	For		

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CITIC Guoan Information Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000839	CINS Y1636B108	12/25/2015	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Participation in Private Placement	Mgmt	For	For	For
2	Acquisition of 100% Equity Stake	Mgmt	For	For	For
3	Cash Management Using Idle Funds	Mgmt	For	For	For

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CITIC Heavy Industries Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601608	CINS Y163AK103	02/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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CITIC Heavy Industries Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601608	CINS Y163AK103	06/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Independent Directors' Fees	Mgmt	For	For	For
8	Financial Budget	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Appointment of Internal Control Auditor	Mgmt	For	For	For
12	Investment in Wealth Management Products	Mgmt	For	For	For

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13	Elect WANG Chunmin	Mgmt	For	For	For
14	Replenish Working Capital with Idle Funds	Mgmt	For	For	For

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Citic Securities Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6030	CINS Y1639N109	01/19/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Youjun	Mgmt	For	For	For
2	Elect YIN Ke	Mgmt	For	For	For
3	Elect YANG Minghui	Mgmt	For	For	For
4	Elect FANG Jun	Mgmt	For	For	For
5	Elect LIU Ke	Mgmt	For	For	For
6	Elect HE Jia	Mgmt	For	For	For
7	Elect Charles CHAN Sheung Wai	Mgmt	For	For	For
8	Elect LI Fang	Mgmt	For	For	For
9	Elect GUO Zhao	Mgmt	For	For	For
10	Elect RAO Geping	Mgmt	For	For	For

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Citic Securities Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6030	CINS Y1639N109	06/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Investment Amount for Proprietary Business for 2016	Mgmt	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Connected Transactions with CITIC Group	Mgmt	For	For	For

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10	Connected Transactions with Subsidiaries	Mgmt	For	For	For
11	Elect CHEN Zhong	Mgmt	For	For	For

Citic Securities Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6030	CINS Y1639N109	08/25/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class of Shares	Mgmt	For	Against	Against
2	Time of Issuance	Mgmt	For	Against	Against
3	Method of Issuance	Mgmt	For	Against	Against
4	Target Placees	Mgmt	For	Against	Against
5	Number of Shares	Mgmt	For	Against	Against
6	Issue Price	Mgmt	For	Against	Against
7	Method of Subscription	Mgmt	For	Against	Against
8	Accumulated Profits	Mgmt	For	Against	Against
9	Use of Proceeds	Mgmt	For	Against	Against
10	Validity Period	Mgmt	For	Against	Against
11	Amendments to Articles	Mgmt	For	Against	Against
12	Ratification of Board Acts	Mgmt	For	Against	Against

Citic Securities Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6030	CINS Y1639N109	08/25/2015	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class of Shares	Mgmt	For	Against	Against
2	Time of Issuance	Mgmt	For	Against	Against
3	Method of Issuance	Mgmt	For	Against	Against
4	Target Placees	Mgmt	For	Against	Against
5	Number of Shares	Mgmt	For	Against	Against
6	Issue Price	Mgmt	For	Against	Against
7	Method of Subscription	Mgmt	For	Against	Against
8	Accumulated Profits	Mgmt	For	Against	Against
9	Use of Proceeds	Mgmt	For	Against	Against
10	Validity Period	Mgmt	For	Against	Against
11	Amendments to Articles	Mgmt	For	Against	Against
12	Ratification of Board Acts	Mgmt	For	Against	Against

Cofco Tunhe Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600737	CINS Y9722G105	06/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Financing Quota	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Independent Director's Report	Mgmt	For	For	For

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Cofco Tunhe Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600737	CINS Y9722G105	06/29/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Assets	Mgmt	For	For	For

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CRRC Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1766	CINS Y1516S106	06/16/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Change in Use of Proceeds	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Framework Agreements with CRRC Group	Mgmt	For	For	For
8	Financial Services Framework Agreement	Mgmt	For	For	For
9	Allocation of Profits/Dividends	Mgmt	For	For	For
10	Directors' and Supervisors' Fees	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set	Mgmt	For	For	For

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Fees					
12	Authority to Issue Debt Instruments	Mgmt	For	For	For
13	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	Against	Against
14	Compliance with Conditions for Issuance of A Share	Mgmt	For	For	For
15	Feasibility Analysis Report of Use of Proceeds from A Share Issue	Mgmt	For	For	For
16	Report on Previous Proceeds	Mgmt	For	For	For
17	Class and Par Value of Shares to be Issued	Mgmt	For	For	For
18	Method and Time of Issuance	Mgmt	For	For	For
19	Target Subscribers	Mgmt	For	For	For
20	Issue Price and Pricing Policy	Mgmt	For	For	For
21	Number of Shares to be Issued	Mgmt	For	For	For
22	Method of Subscription	Mgmt	For	For	For
23	Lock-up Period	Mgmt	For	For	For
24	Use of Proceeds	Mgmt	For	For	For
25	Arrangement Relating to the Accumulated Undistributed Profits Prior to the Proposed Placing	Mgmt	For	For	For
26	Place of Listing	Mgmt	For	For	For
27	Validity of the Resolution in Relation to the Non-public Issue of A Shares	Mgmt	For	For	For
28	Proposal in relation to A Share Issue Plan	Mgmt	For	For	For
29	CRRCG Subscription and CRRCG Subscription Agreement	Mgmt	For	For	For

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30	Subscription Agreement with China Development Bank Capital Corporation Limited	Mgmt	For	For	For
31	Subscription Agreement with China Development Bank Jingcheng (Beijing) Investment Fund	Mgmt	For	For	For
32	Subscription Agreement with China Development Bank Siyuan (Beijing) Investment Fund	Mgmt	For	For	For
33	Subscription Agreement with Shanghai Xinghan Asset Management Company Limited	Mgmt	For	For	For
34	Subscription Agreement with Shanghai China Merchant Equity Investment Fund Management	Mgmt	For	For	For
35	Ratification of Board Acts	Mgmt	For	For	For
36	Shareholders' Return Plan for Next Three Years	Mgmt	For	For	For
37	Dilutive Impact of the Non-public Issuance of A Shares	Mgmt	For	For	For

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CRRC Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1766	CINS Y1516S106	10/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Authority to Issue Debt Instruments	Mgmt	For	For	For

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4	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
5	Authority to Issue Convertible Bonds	Mgmt	For	Abstain	Against

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Daqin Railway Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601006	CINS Y1997H108	03/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YANG Wandong	Mgmt	For	For	For
2	Elect ZAN Zhihong	Mgmt	For	For	For

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Daqin Railway Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601006	CINS Y1997H108	05/25/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
8	Board authorization to Implement Financing Bills	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Elect LIU Xingwu	Mgmt	For	Against	Against

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Daqin Railway Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601006	CINS Y1997H108	09/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect ZHAO Chunlei	Mgmt	For	For	For

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Datang International Power Generation Co., Ltd

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Ticker	Security ID:	Meeting Date	Meeting Status		
0991	CINS Y20020122	02/26/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Framework Agreement of Sale of Natural Gas	Mgmt	For	For	For
3	Sale and Purchase Contract of Chemical Products (Keqi)	Mgmt	For	For	For
4	Sale and Purchase Contract of Chemical Products (Duolun)	Mgmt	For	For	For
5	Authority to Give Guarantees	Mgmt	For	For	For
6	Entrusted Loan Framework Agreement (Duolun)	Mgmt	For	For	For
7	Entrusted Loan Framework Agreement (Renewable Resource)	Mgmt	For	For	For
8	Provision of RMB 160 million in Entrusted Loan	Mgmt	For	For	For
9	Provision of RMB 100 million in Entrusted Loan	Mgmt	For	For	For
10	Provision of RMB 1.1 billion in Entrusted Loan	Mgmt	For	For	For
11	Coal Purchase and Sale Framework Agreement (Beijing)	Mgmt	For	For	For
12	Coal Purchase and Sale Framework Agreement (Inner Mongolia)	Mgmt	For	For	For
13	Coal Purchase and Sale Framework Agreement (Chaozhou)	Mgmt	For	For	For
14	Framework Agreement For Engineering Materials	Mgmt	For	For	For

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### Purchase

15	Elect ZHU Shaowen	Mgmt	For	For	For
16	Resignation of Director	Mgmt	For	For	For
17	Provisions for Asset Impairments	Mgmt	For	For	For

### Datang International Power Generation Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
0991	CINS Y20020122	08/14/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision of Entrusted Loan to Duolun Coal Chemical Company	Mgmt	For	For	For
2	Provision of Entrusted Loan to Xilinhaote Mining Company	Mgmt	For	For	For
3	Elect LOU Zhongwei	Mgmt	For	For	For
4	Elect LIU Huangsong	Mgmt	For	For	For
5	Elect JIANG Fuxiu	Mgmt	For	For	For
6	Resignation of DONG Heyi	Mgmt	For	For	For
7	Resignation of YE Yansheng	Mgmt	For	For	For
8	Resignation of ZHAO Jie	Mgmt	For	For	For

### Datang International Power Generation Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
0991	CINS Y20020122	10/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Leasing and Factoring Business Cooperation Agreement	Mgmt	For	For	For
3	Guarantee for Zhejiang Datang International Jiangshan Xincheng Thermal Power	Mgmt	For	For	For
4	Guarantee for Yunnan Datang International Honghe Electric Power Generation	Mgmt	For	For	For

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5	Guarantee for Yunnan Datang International  Wenshan Hydropower Development	Mgmt	For	For	For
6	Guarantee for Yunnan Datang International  Mengyejiang Hydropower Development	Mgmt	For	For	For
7	Guarantee for Yunnan Datang International Nalan  Hydropower Development	Mgmt	For	For	For
8	Guarantee for Ningxia Datang International  Qingtongxia Wind Power	Mgmt	For	For	For
9	Elect YING Xuejun	Mgmt	For	For	For
10	Adjustment of Undertakings by Controlling Shareholder	Mgmt	For	For	For

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Datang International Power Generation Co., Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
0991	CINS Y20020122	12/22/2015		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Transfer of Desulfurisation Assets and/or Denitrification Assets	Mgmt	For	For	For	
3	Absorption and Merger of Wholly-owned Subsidiaries	Mgmt	For	For	For	
4	Authority to Give Guarantees	Mgmt	For	For	For	

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Datang International Power Generation Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
	CINS Y20020122	06/30/2016		Voted		
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect CHEN Jinhang	Mgmt	For	Against	Against
8	Elect LIU Chandong	Mgmt	For	For	For
9	Elect WANG Xin	Mgmt	For	For	For
10	Elect LIANG Yongpan	Mgmt	For	For	For
11	Elect YING Xuejun	Mgmt	For	For	For
12	Elect LIU Haixia	Mgmt	For	For	For
13	Elect GUAN Tiangang	Mgmt	For	Against	Against
14	Elect CAO Xin	Mgmt	For	For	For
15	Elect ZHAO Xianguo	Mgmt	For	For	For
16	Elect ZHU Shaowen	Mgmt	For	For	For
17	Elect FENG Genfu	Mgmt	For	For	For
18	Elect LOU Zhongwei	Mgmt	For	For	For
19	Elect LIU Huangsong	Mgmt	For	Against	Against
20	Elect JIANG Fuxiu	Mgmt	For	For	For
21	Elect LIU Quancheng	Mgmt	For	Against	Against
22	Elect ZHANG Xiaoxu	Mgmt	For	Against	Against
23	Registration and Issue of Debt and Financing Instruments	Mgmt	For	Against	Against
24	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002065	CINS Y0771R100	03/14/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Postpone the Resumption of Stock Trading	Mgmt	For	For	For
2	Elect LUAN Dalong	Mgmt	For	For	For

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DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002065	CINS Y0771R100	05/17/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of	Mgmt	For	For	For

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### Profits/Dividends

6	Appointment of Auditor	Mgmt	For	For	For
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DHC Software Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
002065	CINS Y0771R100	09/15/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Replenish Working Capital with Idle Funds	Mgmt	For	For	For
<hr/>					
Dongfang Electric Co Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
1072	CINS Y20958115	05/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Dongxing Securities Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
601198	CINS Y209G1100	02/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Filing Measures for Diluted Returns	Mgmt	For	For	For
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Dongxing Securities Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
601198	CINS Y209G1100	05/31/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For

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4	Related Party Transactions	Mgmt	For	Abstain	Against
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Approval of Investment Scale of Securities Proprietary Business	Mgmt	For	For	For
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
8	Approval of Application for Qualification for Stock Option Market-making Business	Mgmt	For	Abstain	Against
9	Approval of Management System for Directors' and Supervisors' Remunerations	Mgmt	For	Abstain	Against
10	Independent Directors' Fees	Mgmt	For	For	For

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Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000413	CINS Y7789C116	02/22/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	Against	Against
2	Stock Type and Par Value	Mgmt	For	Against	Against
3	Method and Date of Issuance	Mgmt	For	Against	Against
4	Issuance Targets and Subscription Method	Mgmt	For	Against	Against
5	Lock-up Period	Mgmt	For	Against	Against
6	Pricing Benchmark Date, Issuance Price and Pricing Principal	Mgmt	For	Against	Against
7	Size of Issuance	Mgmt	For	Against	Against
8	Amount and Use of Raised	Mgmt	For	Against	Against

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Funds

9	Arrangement for Undistributed Profits	Mgmt	For	Against	Against
10	Listing Market	Mgmt	For	Against	Against
11	Validity Period	Mgmt	For	Against	Against
12	Preliminary Plan for Private Placement	Mgmt	For	Against	Against
13	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
15	Approval of Dilution Measures for Share Issuance	Mgmt	For	Against	Against
16	Approval of Commitments of Management for Dilution Measures	Mgmt	For	Against	Against
17	Board Authorization to Implement Private Placement	Mgmt	For	Against	Against

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Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000413	CINS Y7789C116	02/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Extension for Period of Capital Expansion	Mgmt	For	For	For

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Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000413	CINS Y7789C116	11/04/2015	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Beijing Xufeng Property Co., Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantees to Wuhu Dongxu Optoelectronics Technology Co., Ltd.	Mgmt	For	For	For

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### Dr. Peng Telecom and Media Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600804	CINS Y13067106	05/12/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Adjustments to Equity Incentive Plan	Mgmt	For	For	For
8	Capital Increase in a Wholly-owned Subsidiary	Mgmt	For	For	For

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### Dr. Peng Telecom and Media Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600804	CINS Y13067106	08/17/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve to Terminate Private Placement Plan	Mgmt	For	For	For
2	Elect YANG Xueping	Mgmt	For	For	For
3	Elect LU Liu	Mgmt	For	For	For
4	Elect LI Jinkun	Mgmt	For	For	For
5	Elect ZHANG Guangjian	Mgmt	For	For	For
6	Elect REN Chunxiao	Mgmt	For	For	For
7	Elect TANG Lin	Mgmt	For	For	For
8	Elect LIN Nan	Mgmt	For	For	For

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9	Elect LIU Wei	Mgmt	For	For	For
10	Elect LIU Shengliang	Mgmt	For	For	For
11	Elect YANG Yujing	Mgmt	For	For	For
12	Elect SONG Guangju	Mgmt	For	Against	Against

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### East Money Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300059	CINS Y2234B102	04/08/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	Against	Against
6	Amendments to Articles	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Elect ZHU Yuchen	Mgmt	For	For	For
9	Elect CHEN Kai	Mgmt	For	For	For
10	Elect YAN Jie	Mgmt	For	For	For

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### Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	06/22/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHOU Ghohui	Mgmt	For	For	For
2	Elect CHEN WEIMIN	Mgmt	For	For	For
3	Elect FENG JUNHONG	Mgmt	For	For	For
4	Elect LI ZHENG	Mgmt	For	For	For
5	Elect LI LUOLI	Mgmt	For	For	For
6	Elect ZHANG SHUNHE	Mgmt	For	For	For
7	Elect ZHANG XIANG	Mgmt	For	For	For
8	Elect ZHANG Yumin	Mgmt	For	For	For
9	Elect HUANG WEIQUN	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Supervisors' Fees	Mgmt	For	For	For
12	Authority to Give Guarantees to Anqing Yida Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Wuhu Yi Jia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give	Mgmt	For	Against	Against

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	Guarantees to Wuhu Yi-Run Supply Chain Management Co., Ltd.				
15	Authority to Give Guarantees to Luoyang Yi Hui Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Xinxiang Yi Fung Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
17	Authority to Give Guarantees to Shang Qiu Tianyi Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Zhumadian Huatongyuan Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Pingdingshan Chengyi Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Zhengzhou Feng He Tong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
21	Authority to Give Guarantees to Nanyang Chaoran Yitong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
22	Authority to Give Guarantees to Jiangsu Yi Si Te Wei Er	Mgmt	For	Against	Against

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Supply Chain  
Management Co.,  
Ltd.

23	Authority to Give Guarantees to Guangzhou Yi Yue Wines Co., Ltd.	Mgmt	For	Against	Against
24	Authority to Give Guarantees to Shanghai External Asia Longchuan Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
25	Authority to Give Guarantees to Zhengzhou Feng He Tong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
26	Investment in a Company	Mgmt	For	For	For
27	Increase in Capital and Amendments to Articles	Mgmt	For	For	For

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Everbright Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
601788	CINS Y2357S106	02/26/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	2016 SCALE FOR SECURITIES PROPRIETARY BUSINESS	Mgmt	For	For	For	
2	Amendments to Articles	Mgmt	For	For	For	
3	Elect QU Shengqin as Independent Director	Mgmt	For	For	For	
4	Change of Use of Partial Raised Funds from Private Placement	Mgmt	For	For	For	
5	Expansion of Scope for Foreign Exchange Transactions	Mgmt	For	For	For	

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Everbright Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601788	CINS Y2357S106	03/21/2016	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For

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Everbright Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601788	CINS Y2357S106	08/24/2015	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Approval of Foreign Exchange Business and Expansion of Business Scope	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Elect LIN Jiali	Mgmt	For	For	For
5	Liability Insurance for Directors, Supervisors and Senior Management	Mgmt	For	Abstain	Against
6	Related Party Transactions	Mgmt	For	Against	Against
7	AMENDMENTS TO WORK SYSTEM FOR INDEPENDENT DIRECTORS	Mgmt	For	For	For
8	Amendments to Deposit and Use of Raised Funds	Mgmt	For	For	For
9	Amendments to Management System for Related Party Transactions	Mgmt	For	For	For
10	Amendments to Management System for External Guarantees	Mgmt	For	For	For

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11	Removal of Supervisor: ZHAO Jin	Mgmt	For	For	For
12	Elect NIE Tingming as Supervisor	Mgmt	For	Against	Against

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### Everbright Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601788	CINS Y2357S106	11/18/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Expansion of Business Scope	Mgmt	For	For	For
3	Approval of Application to Launch Silver Trading Business	Mgmt	For	For	For
4	Authority to Issue Asset-backed Securities	Mgmt	For	Abstain	Against

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### Fangda Carbon New Material Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600516	CINS Y5209T107	08/05/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Public Offering of Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Issuing Method	Mgmt	For	For	For
4	Par Value and Issuing Price	Mgmt	For	For	For
5	Target Subscribers and Arrangement regarding Allocation to Existing Shareholders	Mgmt	For	For	For
6	Maturity	Mgmt	For	For	For
7	Coupon Rate	Mgmt	For	For	For
8	Payment Method of Principal and Interest	Mgmt	For	For	For
9	Guarantee Measures	Mgmt	For	For	For
10	Redemption and Repurchase	Mgmt	For	For	For

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Terms

11	Use of Proceeds	Mgmt	For	For	For
12	Listing Place	Mgmt	For	For	For
13	Repayment Guarantee Measures	Mgmt	For	For	For
14	Underwriting Methods	Mgmt	For	For	For
15	Validity Period of Resolution	Mgmt	For	For	For
16	Preliminary Plan of Corporate Bonds Issuance	Mgmt	For	For	For
17	Board Authorization to Implement Corporate Bonds Issuance	Mgmt	For	For	For

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Fangda Carbon New Material Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600516	CINS Y5209T107	08/07/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition of Jilin Carbon Co., Ltd.	Mgmt	For	Against	Against

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Fangda Carbon New Material Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600516	CINS Y5209T107	08/07/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition of Jilin Carbon Co., Ltd.	Mgmt	For	Against	Against

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Fangda Carbon New Material Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600516	CINS Y5209T107	08/31/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Fangda Carbon New Material Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
600516	CINS Y5209T107	09/14/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Wealth Management Products	Mgmt	For	For	For

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Ticker	Security ID:	Meeting Date	Meeting Status		
000800	CINS Y24745104	06/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Appointment of Financial Auditor	Mgmt	For	For	For
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
11	Amendments to Procedural Rules for Directors	Mgmt	For	For	For
12	Change of Performance Period	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Ticker	Security ID:	Meeting Date	Meeting Status		
000800	CINS Y24745104	12/07/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect XU Xianping	Mgmt	For	For	For

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2	Elect QIN Huanming	Mgmt	For	For	For
3	Elect TENG Tieqi	Mgmt	For	For	For
4	Elect LI Jun	Mgmt	For	For	For
5	Elect AN Tiecheng	Mgmt	For	For	For
6	Elect LUO Yucheng	Mgmt	For	For	For
7	Elect WANG Aiqun	Mgmt	For	For	For
8	Elect GUAN Xin	Mgmt	For	For	For
9	Elect YANG Yanchen as Supervisor	Mgmt	For	Against	Against
10	Appointment of Auditor	Mgmt	For	For	For
11	Appointment of Internal Control Auditor	Mgmt	For	For	For

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Financial Street Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000402	CINS Y2496E109	05/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Authority to Publicly Issue Corporate Bonds	Mgmt	For	For	For
8	Authority for Non-public Issuance of Corporate Bonds	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Procedural Rules: Management Rules for Director and Supervisor Remunerations	Mgmt	For	Abstain	Against

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Financial Street Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000402	CINS Y2496E109	07/20/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	SCHEME FOR PUBLIC	Mgmt	For	For	For

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### OFFERING OF CORPORATE BONDS

2	Scheme for Private Offering of Corporate Bonds	Mgmt	For	For	For
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#### Foshan Haitian Flavouring and Food Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
603288	CINS Y23840104	03/31/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Elect ZHU Tao	Mgmt	For	For	For

#### Founder Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601901	CINS Y261GX101	02/19/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Removal of Director ZHAO Dajian	Mgmt	For	For	For
2	Removal of Supervisor YANG Kesen	Mgmt	For	For	For
3	Liability Insurance	Mgmt	For	For	For
4	Supervisors' Fees	Mgmt	For	For	For
5	Elect CHE Lili	Mgmt	For	For	For
6	Elect HU Ying	Mgmt	For	Against	Against
7	Elect XU Jianwei	Mgmt	For	Against	Against

#### Founder Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601901	CINS Y261GX101	05/20/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
8	2015 Performance Appraisal and Remunerations for Directors	Mgmt	For	For	For
9	2015 Performance Appraisal and Remunerations for Supervisors	Mgmt	For	For	For
10	2015 Performance Appraisal and Remunerations for Senior Management	Mgmt	For	For	For
11	Application for Line of Credit	Mgmt	For	For	For

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Fuyao Glass Industry Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3606	CINS Y26783103	05/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Domestic Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Appointment of International Auditor	Mgmt	For	For	For
9	Independent Directors'	Mgmt	For	For	For

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Report

10	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
11	Size and Par Value	Mgmt	For	For	For
12	Coupon Rate and Determination Method	Mgmt	For	For	For
13	Terms and Method of Repayment	Mgmt	For	For	For
14	Method of Issuance and Target Investors	Mgmt	For	For	For
15	Guarantee	Mgmt	For	For	For
16	Safeguard Measures For Repayment	Mgmt	For	For	For
17	Underwriting Method and Listing Arrangement	Mgmt	For	For	For
18	Use of Proceeds	Mgmt	For	For	For
19	Validity Period of Resolution	Mgmt	For	For	For
20	Board Authorization to Implement Public Issuance of Bonds	Mgmt	For	For	For

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Fuyao Glass Industry Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3606	CINS Y26783103	10/26/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect CHEN Jicheng	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Gansu Yasheng Industrial (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600108	CINS Y2684Y107	08/04/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Gansu Asian Shengluxin Beer Materials Group Co., Ltd.	Mgmt	For	For	For

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2	Authority to Give Guarantees to Gansu Yasheng International Trade Co., Ltd.	Mgmt	For	For	For
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Gansu Yasheng Industrial (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600108	CINS Y2684Y107	08/04/2015	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Authority to Give Guarantees to Gansu Asian Shengluxin Beer Materials Group Co., Ltd.	Mgmt	For	For	For
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2	Authority to Give Guarantees to Gansu Yasheng International Trade Co., Ltd.	Mgmt	For	For	For
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Gansu Yasheng Industrial (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600108	CINS Y2684Y107	11/18/2015	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Partial Amendment to Use of Proceeds from Private Placement	Mgmt	For	For	For
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2	Asset Transfer	Mgmt	For	For	For
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3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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GD Power Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600795	CINS Y2685C112	04/06/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Elect LU Yuegang as Independent Director	Mgmt	For	For	For
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GD Power Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600795	CINS Y2685C112	05/05/2016	Voted
Meeting Type	Country of Trade		
Annual	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Authority to Give Guarantees	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For

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GD Power Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	07/01/2015	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Ultra Short-term Financing Bills	Mgmt	For	For	For
2	Board Authorization to Issue Debt Instruments	Mgmt	For	Abstain	Against

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GD Power Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	07/01/2015	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Ultra Short-term Financing Bills	Mgmt	For	For	For
2	Board Authorization to Issue Debt	Mgmt	For	Abstain	Against

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### Instruments

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GD Power Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	08/17/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustmetns to Related Party Transactions	Mgmt	For	Against	Against
2	Elect TIAN Yong	Mgmt	For	For	For
3	Elect GAO Debu	Mgmt	For	For	For
4	Elect XIAO Xiangning	Mgmt	For	For	For

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GD Power Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	09/09/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Independent Directors' Fees	Mgmt	For	For	For

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GD Power Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	10/28/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Capital of a Subsidiary	Mgmt	For	For	For

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Gemdale Corp.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600383	CINS Y2685G105	05/31/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	Abstain	Against

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8	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For
9	Eligibility for Corporate Bonds Issuance	Mgmt	For	For	For
10	Authority to Issue Corporate Bonds	Mgmt	For	For	For
11	Self-inspection Report on Real Estate Business	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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GF Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1776	CINS Y270AF107	06/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Charitable Donations	Mgmt	For	For	For
8	Authorization for 2016 Investment Plan Budget	Mgmt	For	For	For
9	2016 Related Party Transactions	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Supervisors' Fees	Mgmt	For	For	For
12	Elect TAN Yue	Mgmt	For	For	For
13	Elect GU Naikang	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
15	Amendments to Articles	Mgmt	For	For	For

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GF Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000776	CINS Y270AF107	07/21/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Issuing Entity, Size, and Method	Mgmt	For	Against	Against
2	Type of Debt	Mgmt	For	Against	Against
3	Maturity	Mgmt	For	Against	Against
4	Interest Rate	Mgmt	For	Against	Against
5	Guarantees and Other Matters	Mgmt	For	Against	Against
6	Use of Proceeds	Mgmt	For	Against	Against
7	Issuing Price	Mgmt	For	Against	Against
8	Target Subscribers	Mgmt	For	Against	Against
9	Listing of Debt	Mgmt	For	Against	Against
10	Repayment Measures	Mgmt	For	Against	Against
11	Valid Period of Resolution	Mgmt	For	Against	Against
12	Authorization Matters	Mgmt	For	Against	Against
13	Elect XU Xinzhong as Supervisor	Mgmt	For	For	For

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Glodon Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002410	CINS Y2726S100	04/21/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Board Authorization to Modify Business Scope and Registered Address	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

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Glodon Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002410	CINS Y2726S100	07/10/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Management System for	Mgmt	For	For	For

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Venture  
Investment

2	Investment in Wealth Management Products	Mgmt	For	For	For
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Glodon Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002410	CINS Y2726S100	10/16/2015	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for the Issuance of Corporate Bonds	Mgmt	For	For	For
2	Authority to Issue Corporate Bonds	Mgmt	For	For	For
3	Size and Method	Mgmt	For	For	For
4	Placement to Company Shareholders	Mgmt	For	For	For
5	Coupon Rate and Determination Method	Mgmt	For	For	For
6	Issuance Targets	Mgmt	For	For	For
7	Guarantees	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Safeguard Measures for Debt Repayment	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
13	Shareholder Returns Plan	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Amendments to Administrative Measures for Raised Funds	Mgmt	For	Abstain	Against

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GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
002241	CINS Y27360109	02/04/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
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Mgmt

1	Elect DUAN Huilu	Mgmt	For	For	For
2	Replenish Working Capital Temporarily with Idle Funds	Mgmt	For	For	For
3	Replenish Working Capital Permanently with Idle Funds	Mgmt	For	For	For

GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
002241	CINS Y27360109	05/13/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Adjustments to Registered Capital and Business Scope	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Authority to Issue Short-term Commercial Paper	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
002241	CINS Y27360109	09/08/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Authority to Give	Mgmt	For	For	For
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### Guarantees

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Great Wall Motor Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
2333	CINS Y28741109	05/17/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase H Shares	Mgmt	For	For	For

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Great Wall Motor Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
2333	CINS Y28741109	05/17/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Business Strategy for 2016	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Amendments to Rules and Procedures	Mgmt	For	For	For
10	Authority to Repurchase H Shares	Mgmt	For	For	For
11	Termination of Implementation of Non-Public Issuance of A Shares	Mgmt	For	For	For
12	Amendments to Articles (Board Size)	Mgmt	For	For	For

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Great Wall Motor Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		

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2333	CINS Y28741109	09/22/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility For Non-Public A Share Issue	Mgmt	For	For	For
3	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
4	Report on Use of Proceeds From Previous Fund Raising Exercise 2014	Mgmt	For	For	For
5	Profit Distribution Plan For 2015 - 2017	Mgmt	For	For	For
6	Report on Use of Proceeds From Previous Fund Raising Exercise 2015	Mgmt	For	For	For
7	Class and Nominal Value	Mgmt	For	For	For
8	Method of Issuance	Mgmt	For	For	For
9	Target Investors and Method of Subscription	Mgmt	For	For	For
10	Number of Shares	Mgmt	For	For	For
11	Price Determination and Pricing Principle	Mgmt	For	For	For
12	Valid Period	Mgmt	For	For	For
13	Lock-Up Arrangement	Mgmt	For	For	For
14	Use of Proceeds	Mgmt	For	For	For
15	Retained Profits Prior to Non-Public Issuance	Mgmt	For	For	For
16	Place of Listing	Mgmt	For	For	For
17	Proposal on Non-Public Issuance of A Shares	Mgmt	For	For	For
18	Board Authorization	Mgmt	For	For	For
19	Amendments to Articles: A Share Issue	Mgmt	For	For	For
20	Capitalization: Special Dividend and Bonus Share	Mgmt	For	For	For

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Issuance

21	Amendments to Articles: Capitalization	Mgmt	For	For	For
22	Absorption of Wholly-owned Subsidiaries	Mgmt	For	For	For
23	Amendments to Articles: Absorption	Mgmt	For	For	For

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Gree Electric Appliances, Inc. of Zhuhai

Ticker	Security ID:	Meeting Date	Meeting Status			
000651	CINS Y2882R102	05/19/2016	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Related Party Transactions	Mgmt	For	For	For	
8	Foreign Exchange Transactions	Mgmt	For	For	For	
9	Approval of Continued Trading Suspension due to Asset Acquisition	Mgmt	For	For	For	

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Gree Electric Appliances, Inc. of Zhuhai

Ticker	Security ID:	Meeting Date	Meeting Status			
000651	CINS Y2882R102	12/25/2015	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Approval to Change Auditor	Mgmt	For	For	For	

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Guangdong Alpha Animation and Culture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002292	CINS Y2927R109	02/19/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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						Mgmt
1	Amendments to Articles	Mgmt	For	For	For	For
<hr/>						
Guangdong Alpha Animation and Culture Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002292	CINS Y2927R109	04/25/2016	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
<hr/>						
Guangdong Alpha Animation and Culture Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002292	CINS Y2927R109	04/26/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Private Placement	Mgmt	For	For	For	
2	Share Type and Par Value	Mgmt	For	For	For	
3	Issue Method and Issue Date	Mgmt	For	For	For	
4	Pricing Principle and Issue Price	Mgmt	For	For	For	
5	Amount of Proceeds and Size of Issuance	Mgmt	For	For	For	
6	Target Subscriber and Subscription Method	Mgmt	For	For	For	
7	Lock-up Period	Mgmt	For	For	For	
8	Arrangement for Undistributed Profits	Mgmt	For	For	For	
9	Listing Market	Mgmt	For	For	For	
10	Use of Proceeds	Mgmt	For	For	For	
11	Validity of Resolution	Mgmt	For	For	For	
12	Preliminary Plan for	Mgmt	For	For	For	

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Private Placement					
13	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For
16	Measures for Diluted Returns for Private Placement	Mgmt	For	For	For
17	Commitments of Controlling Shareholders, De Facto Controllers, Directors and Executives for Dilution Measures	Mgmt	For	For	For

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Guangdong Alpha Animation and Culture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002292	CINS Y2927R109	10/16/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Acquisition of Assets by Stock and Cash and Raising Auxiliary Funds	Mgmt	For	For	For
2	Transaction Counterparty	Mgmt	For	For	For
3	Target	Mgmt	For	For	For
4	Transaction Price and Premium	Mgmt	For	For	For
5	Payment Method	Mgmt	For	For	For
6	Cash Payment Schedule	Mgmt	For	For	For
7	Stock Issuance for Asset Acquisition: Issuance Method	Mgmt	For	For	For
8	Stock Issuance for Asset Acquisition: Subscribers	Mgmt	For	For	For

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9	Stock Issuance for Asset Acquisition: Stock Type and Par Value	Mgmt	For	For	For
10	Stock Issuance for Asset Acquisition: Issue Price and Pricing Principle	Mgmt	For	For	For
11	Stock Issuance for Asset Acquisition: Size of Issuance	Mgmt	For	For	For
12	Stock Issuance for Asset Acquisition: Adjustments on Size and Price of Issuance	Mgmt	For	For	For
13	Stock Issuance for Asset Acquisition: Listing Market	Mgmt	For	For	For
14	Stock Issuance for Asset Acquisition: Closing of Target Asset and Stock Issuance	Mgmt	For	For	For
15	Stock Issuance for Asset Acquisition: Lock-up Period	Mgmt	For	For	For
16	Attribution of Profit and Losses of Target during Transaction Period	Mgmt	For	For	For
17	Stock Issuance for Asset Acquisition: Allocation Arrangement of Undistributed Profits	Mgmt	For	For	For
18	Stock Issuance for Asset Acquisition: Preemptive Rights	Mgmt	For	For	For
19	Share Issuance for Fund Raising: Subscribers	Mgmt	For	For	For
20	Share Issuance for Fund Raising: Issuance	Mgmt	For	For	For

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Method					
21	Share Issuance for Fund Raising: Stock Type and Par Value	Mgmt	For	For	For
22	Share Issuance for Fund Raising: Issue Price and Pricing Principle	Mgmt	For	For	For
23	Share Issuance for Fund Raising: Size of Issuance	Mgmt	For	For	For
24	Share Issuance for Fund Raising: Adjustment on Size and Price of Issuance	Mgmt	For	For	For
25	Share Issuance for Fund Raising: Lock-up Period	Mgmt	For	For	For
26	Share Issuance for Fund Raising: Use of Proceeds	Mgmt	For	For	For
27	Share Issuance for Fund Raising: Listing Market	Mgmt	For	For	For
28	Share Issuance for Fund Raising: Allocation Arrangement of Undistributed Profits	Mgmt	For	For	For
29	Validity of Resolution	Mgmt	For	For	For
30	Compliance with Article 4 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	For	For
31	Approval of Preliminary Plan for Acquisition of Assets by Stock and Cash and Auxiliary Fundraising	Mgmt	For	For	For
32	Regarding the Transaction Not Constituting Related Party Transactions	Mgmt	For	For	For

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33	Approval to Sign Agreements with Shareholders of Beijing April Star Network Technology Co., Ltd. regarding Acquisition	Mgmt	For	For	For
34	Compliance with Article 43 Section 2 of Provisions on Issues of Management of Major Assets Restructuring of Listed Companies	Mgmt	For	For	For
35	Special Report on Asset Acquisition's Validity, Compliance and Completeness	Mgmt	For	For	For
36	Ratification of Audit Report, Assessment Report and Valuation Report Regarding the Transaction	Mgmt	For	For	For
37	Regarding Independence of Appraiser, Rationality of Assumptions, Relevance of Valuation and Fairness of Pricing Method	Mgmt	For	For	For
38	Board Authorization to Implement Acquisition and Fundraising	Mgmt	For	For	For
39	Capital Increase to a Wholly Owned Subsidiary	Mgmt	For	For	For
40	Regarding Hedging Limit on Foreign Currency	Mgmt	For	For	For
41	Regarding Company Subsidiary's Investment	Mgmt	For	For	For
42	Amendments to Articles	Mgmt	For	For	For

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Guangdong Electric Power Development Co., Ltd.

Ticker  
000539

Security ID:  
CINS Y2923E102

Meeting Date  
05/20/2016

Meeting Status  
Voted

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	General Manager's Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Signing Financial Service Framework Agreement with Guangdong Yudean Finance Co., Ltd.	Mgmt	For	Against	Against
10	Approval of Line of Credit	Mgmt	For	For	For
11	Elect SHEN Hongtao	Mgmt	For	For	For
12	Elect WANG Xi	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Guangdong Electric Power Development Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
000539	CINS Y2923E102	12/28/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Type and Par Value	Mgmt	For	For	For
3	Issuance and Subscription Method	Mgmt	For	For	For
4	Issuance Targets	Mgmt	For	For	For
5	Pricing Benchmark Date	Mgmt	For	For	For
6	Issuance Price and Pricing Method	Mgmt	For	For	For
7	Issuing Size	Mgmt	For	For	For
8	Adjustment to Issuance Size and Price	Mgmt	For	For	For

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9	Lock-up Period	Mgmt	For	For	For
10	Listing Place	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For
12	Arrangement of Accumulated Retained Profits Before Issuance	Mgmt	For	For	For
13	Validity Period of Resolution	Mgmt	For	For	For
14	Pre-plan for Non-public Share Offering	Mgmt	For	For	For
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
17	Shareholder Returns Plan	Mgmt	For	For	For
18	Dilution Remedial Measures for Share Issuance	Mgmt	For	For	For
19	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For
21	Amendments to Articles	Mgmt	For	For	For
22	Authority to Give Guarantees	Mgmt	For	For	For

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Guangdong Golden Dragon Development Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
000712	CINS Y2930D105	01/20/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Termination of Previous Private Placement	Mgmt	For	For	For	
3	Eligibility for Private Placement	Mgmt	For	For	For	
4	Adjustment to Private	Mgmt	For	For	For	

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	Placement Scheme				
5	Stock Type and Par Value	Mgmt	For	For	For
6	Method and Date of Issuance	Mgmt	For	For	For
7	Issuance Targets and Subscription Method	Mgmt	For	For	For
8	Pricing Benchmark Date and Issuance Price	Mgmt	For	For	For
9	Issuance Size	Mgmt	For	For	For
10	Lock-up Period	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Amount and Use of Raised Funds	Mgmt	For	For	For
13	Arrangement for Undistributed Profits	Mgmt	For	For	For
14	Validity Period	Mgmt	For	For	For
15	Preliminary Plan for Private Placement	Mgmt	For	For	For
16	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
17	Agreements with Dongguan New Century Science and Education Development Co., Ltd.	Mgmt	For	For	For
18	Agreements with Beijing Tulip Fortune Capital Management Center	Mgmt	For	For	For
19	Agreements with Dongguan Shiyan Industrial Investment Co., Ltd.	Mgmt	For	For	For
20	Agreements with Lide Capital Management Co., Ltd.	Mgmt	For	For	For
21	Agreements with Shanghai Fengyu Investment Co.,	Mgmt	For	For	For

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Ltd.

22	Related Party Transactions for Private Placement	Mgmt	For	For	For
23	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
24	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
25	Board Authorization to Implement Private Placement	Mgmt	For	For	For
26	Participation in Capital Increase of a Company	Mgmt	For	For	For
27	Measures for Diluted Returns	Mgmt	For	For	For
28	Commitments Regarding Diluted Returns by Controlling Shareholder, De facto Controller, Directors and Senior Management	Mgmt	For	For	For

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Guangdong Golden Dragon Development Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000712	CINS Y2930D105	03/25/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Participation in Establishment of Insurance Company	Mgmt	For	For	For

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Guangdong Golden Dragon Development Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000712	CINS Y2930D105	05/05/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	Against	Against
2	Stock Type and Par	Mgmt	For	Against	Against

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	Value				
3	Method and Date of Issuance	Mgmt	For	Against	Against
4	Issuance Targets	Mgmt	For	Against	Against
5	Pricing Base Date, Issuance Price and Pricing Methods	Mgmt	For	Against	Against
6	Issuance Volume and Subscription Method	Mgmt	For	Against	Against
7	Lock-up Period	Mgmt	For	Against	Against
8	Listing Market	Mgmt	For	Against	Against
9	Amount and Purpose of Raised Funds	Mgmt	For	Against	Against
10	Arrangement for Undistributed Profits	Mgmt	For	Against	Against
11	Validity Period	Mgmt	For	Against	Against
12	Private Placement Preliminary Plan	Mgmt	For	Against	Against
13	Feasibility Report	Mgmt	For	Against	Against
14	Conditional Share Subscription Agreement	Mgmt	For	Against	Against
15	Related Party Transactions for Private Placement	Mgmt	For	Against	Against
16	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
17	Waiver of Mandatory Takeover Requirement	Mgmt	For	Against	Against
18	Approval of Dilution Measures for Share Issuance	Mgmt	For	Against	Against
19	Approval of Commitments of Management for Dilution Measures	Mgmt	For	Against	Against
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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21	Participation in Capital Increase of a Company	Mgmt	For	For	For
22	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
23	Authority to Issue Corporate Bonds	Mgmt	For	For	For
24	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For

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Guangdong Golden Dragon Development Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
000712	CINS Y2930D105	05/31/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For

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Guanghui Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	02/19/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2016 INVESTMENT FRAMEWORK AND FINANCING PLAN	Mgmt	For	For	For
2	2016 GUARANTEE PLAN	Mgmt	For	Against	Against
3	2016 Related Party Transactions Estimate	Mgmt	For	For	For
4	Elect LIU Changjin	Mgmt	For	For	For
5	Elect QI Junjie	Mgmt	For	For	For

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Guanghui Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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600256	CINS Y9724D100	06/16/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Amendments to Company's Internal Accountability System	Mgmt	For	Abstain	Against
8	Amendments to Procedural Rules for Company's Internal Accountability System	Mgmt	For	Abstain	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Guanghui Energy Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	09/11/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Amendments to Administrative Measures for External Guarantees	Mgmt	For	Abstain	Against
3	Amendments to Procedural Rules for Supervisor Meetings	Mgmt	For	Abstain	Against

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Guanghui Energy Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	11/30/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private	Mgmt	For	Against	Against

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	Placement				
2	Pricing	Mgmt	For	Against	Against
3	Size of Issuance and Subscription Method	Mgmt	For	Against	Against
4	Validity Period	Mgmt	For	Against	Against
5	Revision of Private Placement Preliminary Plan	Mgmt	For	Against	Against
6	Elect MA Fengyun	Mgmt	For	For	For

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Guangshen Railway Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0525	CINS Y2930P116	05/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Appointment of Domestic Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Appointment of International Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Remove Shen Yi	Mgmt	For	For	For
9	Elect Hu Lingling	Mgmt	For	For	For
10	Amendments to Articles of Association	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Guangshen Railway Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0525	CINS Y2930P116	05/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5	Financial Budget	Mgmt	For	For	For
6	Appointment of Domestic Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Appointment of International Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Remove Shen Yi	Mgmt	For	For	For
9	Elect Hu Lingling	Mgmt	For	For	For
10	Amendments to Articles of Association	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Guangxi Wuzhou Zhongheng Group Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
600252 CINS Y2930E103 02/18/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditors	Mgmt	For	For	For
2	Elect RONG Xianbiao	Mgmt	For	For	For
3	Elect OU YANG Jingbo	Mgmt	For	For	For

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Guangxi Wuzhou Zhongheng Group Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
600252 CINS Y2930E103 03/07/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Guangxi Wuzhou Zhongheng Group Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
600252 CINS Y2930E103 04/28/2016 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For

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7	Appointment of Auditor	Mgmt	For	For	For
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	Company Donations for 2016	Mgmt	For	For	For
10	Elect Cui Weiwei as Director	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Guangxi Wuzhou Zhongheng Group Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600252 CINS Y2930E103 07/21/2015 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect OU Yangjian	Mgmt	For	For	For
3	Acquisition of Equity Stake by Public Bidding	Mgmt	For	For	For
4	Authorization to Extend Validity Period for Share Disposal of Sealand Securities Co., Ltd	Mgmt	For	For	For

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Guangxi Wuzhou Zhongheng Group Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600252 CINS Y2930E103 08/31/2015 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Allocation of Interim Profits/Dividends	Mgmt	For	For	For
2	2015 Interim Report and Summary	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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Guangxi Wuzhou Zhongheng Group Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600252 CINS Y2930E103 11/23/2015 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Amendments to Articles	Mgmt	For	For	For
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Guangzhou Automobile Group Company Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
2238	CINS Y2931M112	05/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Appointment of Internal Control Auditor.	Mgmt	For	Against	Against
8	Elect WANG Junyang as Supervisor	Mgmt	For	Against	Against

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Guangzhou Baiyunshan Pharmaceutical Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
0874	CINS Y2932P114	03/10/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period of Non-Public Issue of A Shares	Mgmt	For	For	For
2	Extension of Board Authorization Regarding A Share Issuance	Mgmt	For	For	For

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Guangzhou Baiyunshan Pharmaceutical Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
0874	CINS Y2932P114	03/10/2016	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period of Non-Public Issue of A Shares	Mgmt	For	For	For
2	Extension of Board Authorization	Mgmt	For	For	For

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Regarding A Share  
Issuance

Guangzhou Baiyunshan Pharmaceutical Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
0874	CINS Y2932P114	06/23/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Financial Budget Report	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Supervisors' Fees	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Application for Bank Loans	Mgmt	For	For	For
11	Approval of Entrusted Loans	Mgmt	For	Abstain	Against
12	Continuing Connected Transactions for 2016	Mgmt	For	For	For
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
15	Amendments to Rules of Procedures of the General Meeting	Mgmt	For	Abstain	Against
16	Amendments to the Rules of Procedures for the Board Meeting	Mgmt	For	Abstain	Against
17	Amendments to the Rules of Procedures for Supervisory Committee	Mgmt	For	Abstain	Against
18	Authority to Issue Shares w/o Preemptive	Mgmt	For	Against	Against

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### Rights

19	Amendments to Articles	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

#### Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	05/16/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Increase Investment Quota of Low-Risk Wealth Management Products	Mgmt	For	For	For
9	Approval to Purchase Wealth Management Products	Mgmt	For	For	For
10	Investment in Research Building Construction	Mgmt	For	For	For

#### Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	09/16/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Declare Interim Dividends	Mgmt	For	For	For
2	Change of Registered Capital Upon Stock Dividends	Mgmt	For	For	For
3	Amendments to Articles Upon Stock Dividends	Mgmt	For	For	For

#### Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
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002465	CINS Y29328104	12/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Subsidiary's Listing on National Equities Exchange Board	Mgmt	For	For	For

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Guizhou Panjiang Refined Coal Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600395	CINS Y2946P100	07/24/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Plan for Postponement of Trading Resumption	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Guosen Securities Co. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002736	CINS Y295A2103	04/12/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Xinjian as Director	Mgmt	For	For	For

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Guosen Securities Co. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002736	CINS Y295A2103	05/20/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Shenzhen Investment Holding	Mgmt	For	For	For
7	China Resources Szitic Trust Co., Ltd.	Mgmt	For	For	For
8	Yunnan Hongta Group Co.,	Mgmt	For	For	For

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Ltd.

9	Penghua Fund Management	Mgmt	For	For	For
10	Other Related Parties	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	2016 Proprietary Investment Amount	Mgmt	For	For	For
14	Validity Extension	Mgmt	For	For	For
15	Board Authorization Extension	Mgmt	For	For	For

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Guosen Securities Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002736	CINS Y295A2103	11/04/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Debt Financing Tools	Mgmt	For	Against	Against
2	Authorization for the Company to Launch Creditors Right Securitization Business	Mgmt	For	For	For
3	Expansion of the Company's Business Scope	Mgmt	For	For	For

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Guosen Securities Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002736	CINS Y295A2103	11/20/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Shuangyou	Mgmt	For	For	For

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Guotai Junan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601211	CINS Y2R614107	05/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Allocation of	Mgmt	For	For	For

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### Profits/Dividends

4	Appointment of Auditor	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Shanghai International	Mgmt	For	For	For
7	Shenzhen Investment Holdings	Mgmt	For	For	For
8	Directors, Supervisors and Senior Management of Related Companies	Mgmt	For	For	For
9	Other Entities	Mgmt	For	For	For
10	YANG Dehong	Mgmt	For	For	For
11	WANG Song	Mgmt	For	For	For
12	FU Fan	Mgmt	For	For	For
13	ZHONG Maojun	Mgmt	For	For	For
14	DENG Weili	Mgmt	For	For	For
15	ZHOU Lei	Mgmt	For	For	For
16	WANG Yongjian	Mgmt	For	For	For
17	XIANG Dong	Mgmt	For	For	For
18	LIU Qiang	Mgmt	For	For	For
19	YU Jian	Mgmt	For	For	For
20	XIA Dawei	Mgmt	For	For	For
21	WANG Jin	Mgmt	For	For	For
22	CHEN Guogang	Mgmt	For	For	For
23	LING Tao	Mgmt	For	For	For
24	JIN Qingjun	Mgmt	For	For	For
25	SHANG Hongbo	Mgmt	For	For	For
26	TENG Tieqi	Mgmt	For	For	For
27	SHAO Chong	Mgmt	For	For	For
28	ZUO Zhipeng	Mgmt	For	For	For
29	Directors' and Supervisors' Fees	Mgmt	For	For	For
30	Company Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
31	Convertible Bond Issuance	Mgmt	For	For	For
32	Size of Issuance	Mgmt	For	For	For
33	Par Value and Price	Mgmt	For	For	For
34	Maturity	Mgmt	For	For	For
35	Interest Rate	Mgmt	For	For	For
36	Time-frame and Payment Method	Mgmt	For	For	For
37	Conversion Period	Mgmt	For	For	For
38	Determination and Adjustment to Conversion Price	Mgmt	For	For	For
39	Determination and Adjustment Clauses	Mgmt	For	For	For

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40	Amount Determination Method	Mgmt	For	For	For
41	Redemption Clause	Mgmt	For	For	For
42	Resale Clause	Mgmt	For	For	For
43	Attribution of Related Dividends for Conversion	Mgmt	For	For	For
44	Issuance Targets and Method	Mgmt	For	For	For
45	Arrangement for Placement to Shareholders	Mgmt	For	For	For
46	Bondholders and Bondholders Meetings	Mgmt	For	For	For
47	Use of Raised Funds	Mgmt	For	For	For
48	Guarantee Matters	Mgmt	For	For	For
49	Validity Period	Mgmt	For	For	For
50	Feasibility Report for Convertible Bond Issuance	Mgmt	For	For	For
51	Board Authorization to Implement Convertible Corporate Bond Issuance	Mgmt	For	For	For
52	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
53	Report on Deposit and Use of Raised Funds	Mgmt	For	Abstain	Against

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Guoyuan Securities Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
	CINS Y0771H102	06/30/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Independent Director System	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For

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9	Supervisors' Fees	Mgmt	For	For	For
10	Executives' Compensation	Mgmt	For	For	For

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Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000728	CINS Y0771H102	09/14/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
2	Authority to Issue Short-term Corporate Bonds	Mgmt	For	For	For

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Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	01/25/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Fund	Mgmt	For	For	For

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Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	04/15/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against
10	Authority to Give Mutual Guarantees between the Company and it's Related Parties	Mgmt	For	Against	Against

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11	Approval of Aircrafts' Aquisition Plan	Mgmt	For	For	For
12	Independent Directors' Report	Mgmt	For	For	For
13	Appointment of Internal Control Auditor	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status				
600221	CINS Y2981E119	07/08/2015	Voted				
Meeting Type	Country of Trade						
Special	China	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For	For	
2	Stock Type and Par Value	Mgmt	For	For	For	For	
3	Method of Issuance	Mgmt	For	For	For	For	
4	Pricing	Mgmt	For	For	For	For	
5	Size of Issuance	Mgmt	For	For	For	For	
6	Target Subscribers and Subscription Method	Mgmt	For	For	For	For	
7	Lock-up Period	Mgmt	For	For	For	For	
8	Listing Market	Mgmt	For	For	For	For	
9	Arrangements for Dividends Allocation	Mgmt	For	For	For	For	
10	Amount and Use of Proceeds	Mgmt	For	For	For	For	
11	Validity Period	Mgmt	For	For	For	For	
12	Approval of Preliminary Plan of Private Placement	Mgmt	For	For	For	For	
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	For	
14	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For	For	
15	Conditional Share	Mgmt	For	For	For	For	

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Subscription Agreement					
16	Equity Stake Transfer Agreement	Mgmt	For	For	For
17	Increase in Authorized Capital	Mgmt	For	For	For
18	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
19	Connected Transactions Involved with Private Placement	Mgmt	For	For	For
20	Shareholder Returns Plan	Mgmt	For	For	For
21	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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### Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	07/08/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Pricing	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Target Subscribers and Subscription Method	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Arrangements for Dividends Allocation	Mgmt	For	For	For
10	Amount and Use of Proceeds	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Approval of Preliminary Plan of Private Placement	Mgmt	For	For	For

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13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
15	Conditional Share Subscription Agreement	Mgmt	For	For	For
16	Equity Stake Transfer Agreement	Mgmt	For	For	For
17	Increase in Authorized Capital	Mgmt	For	For	For
18	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
19	Connected Transactions Involved with Private Placement	Mgmt	For	For	For
20	Shareholder Returns Plan	Mgmt	For	For	For
21	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600221	CINS Y2981E119	07/13/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Extension of Commitment Period for Company Shareholder	Mgmt	For
			For
			For

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Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600221	CINS Y2981E119	08/31/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Related Party Transactions	Mgmt	For
			For
			For

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Hainan Airlines Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	09/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Entrusted Equity Management of Beijing Capital Airlines Holdings Co., Ltd.	Mgmt	For	For	For
3	Entrusted Equity Management of Chongqing West Air Holdings Co., Ltd.	Mgmt	For	For	For
4	Entrusted Equity Management of Tianjin Airlines Co., Ltd.	Mgmt	For	For	For
5	Entrusted Equity Management of HKA Group Holdings Company Limited	Mgmt	For	For	For
6	Equity Acquisition of Changan Airlines Co., Ltd.	Mgmt	For	For	For
7	Establishment of a Subsidiary and Capital Increase of China Xinhua Airlines Group Co., Ltd.	Mgmt	For	For	For

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Hainan Airlines Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	12/23/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Stock Type and Par Value	Mgmt	For	For	For
2	Method of Issuance	Mgmt	For	For	For
3	Pricing Benchmark Date, Issuance Price and Pricing	Mgmt	For	For	For

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Principal

4	Issuance Volume and Scale	Mgmt	For	For	For
5	Issuance Targets and Subscription Method	Mgmt	For	For	For
6	Lock-up Period	Mgmt	For	For	For
7	Listing Market	Mgmt	For	For	For
8	Arrangement for Undistributed Profits	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Validity Period	Mgmt	For	For	For
11	Preliminary Plan for Private Placement	Mgmt	For	For	For
12	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
13	Termination of Share Subscription of HNA Aviation Group Co., Ltd.	Mgmt	For	For	For
14	Termination of Share Subscription of Tianhang Holding Co., Ltd.	Mgmt	For	For	For

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Hainan Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601969	CINS Y29845107	02/24/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Private Placement Plan	Mgmt	For	For	For
2	Approval of Revised Private Placement Plan	Mgmt	For	For	For
3	Revised Feasibility Report on Use of Proceeds	Mgmt	For	For	For
4	Counter Measures for Diluted Earnings	Mgmt	For	For	For

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Hainan Mining Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
601969	CINS Y29845107	04/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Financial Resolution	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Internal Control Report and Assessment Report	Mgmt	For	For	For
9	Social Responsibility Report	Mgmt	For	For	For
10	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
11	Authority to Issue Short-term Commercial Paper	Mgmt	For	For	For
12	Authority to Issue Medium-term Notes	Mgmt	For	For	For
13	Approval of Line of Credit	Mgmt	For	For	For

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### Hainan Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601969	CINS Y29845107	06/02/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity of Resolution for Private Placement	Mgmt	For	For	For
2	Extension of Board Authorization to Implement Private Placement	Mgmt	For	For	For

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### Hainan Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
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601969	CINS Y29845107	11/02/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase of Wholly-owned Subsidiary	Mgmt	For	For	For
2	Elect LI Changzheng	Mgmt	For	For	For
3	Elect ZHANG Banglong	Mgmt	For	For	For
4	Elect SHI Li	Mgmt	For	Against	Against
5	Elect ZHENG Min	Mgmt	For	For	For

Hainan Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601969	CINS Y29845107	11/12/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Private Placement Issuance Price	Mgmt	For	For	For
2	Adjustment to Private Placement Preliminary Plan	Mgmt	For	For	For
3	Revised Feasibility Report on Use of Proceeds	Mgmt	For	For	For

Hainan Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601969	CINS Y29845107	12/28/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YAN Guanglin	Mgmt	For	For	For
2	Amendments to Use of Proceeds	Mgmt	For	For	For

Haitong Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6837	CINS Y7443K108	05/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For

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5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Investment Asset Allocation	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	Abstain	Against
10	BNP Paribas Investment Partners BE Holding SA	Mgmt	For	Abstain	Against
11	Shanghai Shengyuan Real Estate (Group)	Mgmt	For	Abstain	Against
12	Board Authorization	Mgmt	For	Abstain	Against
13	Other Parties	Mgmt	For	Abstain	Against
14	Elect ZHANG Ming as Director	Mgmt	For	For	For
15	Elect SONG Chunfeng as Supervisor	Mgmt	For	Against	Against
16	Adjustments to Directors' and Supervisors' Allowances	Mgmt	For	For	For
17	Type	Mgmt	For	Against	Against
18	Term	Mgmt	For	Against	Against
19	Interest rate	Mgmt	For	Against	Against
20	Issuer, issue size and issue method	Mgmt	For	Against	Against
21	Issue price	Mgmt	For	Against	Against
22	Security and other credit enhancement arrangements	Mgmt	For	Against	Against
23	Use of proceeds	Mgmt	For	Against	Against
24	Issue target and placement arrangements	Mgmt	For	Against	Against
25	Guarantee measures for repayment	Mgmt	For	Against	Against
26	Listing of debt financing instruments	Mgmt	For	Against	Against
27	Validity period	Mgmt	For	Against	Against
28	Authorization for issuance	Mgmt	For	Against	Against

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29	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
30	Elect Lawrence CHU Sheng Yu as Director	Mgmt	For	For	For
31	Elect Carman CHAN Wah Man as Director	Mgmt	For	For	For
32	Amendments to Articles	Mgmt	For	For	For

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Haitong Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status				
6837	CINS Y7443K108	05/26/2016	Voted				
Meeting Type	Country of Trade						
Annual	China	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For	For	For
3	Supervisors' Report	Mgmt	For	For	For	For	For
4	Annual Report	Mgmt	For	For	For	For	For
5	Accounts and Reports	Mgmt	For	For	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For	For
8	Investment Asset Allocation	Mgmt	For	For	For	For	For
9	Authority to Give Guarantees	Mgmt	For	Abstain	Against	Against	Against
10	BNP Paribas Investment Partners BE Holding SA	Mgmt	For	Abstain	Against	Against	Against
11	Shanghai Shengyuan Real Estate (Group)	Mgmt	For	Abstain	Against	Against	Against
12	Board Authorization	Mgmt	For	Abstain	Against	Against	Against
13	Other Parties	Mgmt	For	Abstain	Against	Against	Against
14	Elect ZHANG Ming as Director	Mgmt	For	For	For	For	For
15	Elect SONG Chunfeng as Supervisor	Mgmt	For	Against	Against	Against	Against
16	Adjustments to Directors' and Supervisors'	Mgmt	For	For	For	For	For

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Allowances

17	Type	Mgmt	For	Against	Against
18	Term	Mgmt	For	Against	Against
19	Interest rate	Mgmt	For	Against	Against
20	Issuer, issue size and issue method	Mgmt	For	Against	Against
21	Issue price	Mgmt	For	Against	Against
22	Security and other credit enhancement arrangements	Mgmt	For	Against	Against
23	Use of proceeds	Mgmt	For	Against	Against
24	Issue target and placement arrangements	Mgmt	For	Against	Against
25	Guarantee measures for repayment	Mgmt	For	Against	Against
26	Listing of debt financing instruments	Mgmt	For	Against	Against
27	Validity period	Mgmt	For	Against	Against
28	Authorization for issuance	Mgmt	For	Against	Against
29	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
30	Elect Lawrence CHU Sheng Yu as Director	Mgmt	For	For	For
31	Elect Carman CHAN Wah Man as Director	Mgmt	For	For	For
32	Amendments to Articles	Mgmt	For	For	For

Haitong Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
6837	CINS Y7443K108	09/21/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Approach	Mgmt	For
2	Repurchase Price	Mgmt	For
3	Class and Size	Mgmt	For
4	Fund amount and Source of Funding	Mgmt	For
5	Time Limitation	Mgmt	For
6	Purpose	Mgmt	For

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7	Valid Period	Mgmt	For	For	For
8	Authorizations	Mgmt	For	For	For
9	Adoption of Share Option Scheme	Mgmt	For	Abstain	Against
10	Employee Stock Ownership Plan	Mgmt	For	Against	Against
11	Adjustment to Proprietary Equity Investment	Mgmt	For	For	For
12	Elect ZHENG Xiaoyun as Supervisor	Mgmt	For	For	For

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Haitong Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
6837	CINS Y7443K108	09/21/2015	Voted
Meeting Type		Country of Trade	
Other		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Approach	Mgmt	For	For	For
2	Repurchase Price	Mgmt	For	For	For
3	Class and Size	Mgmt	For	For	For
4	Fund Amount and Source of Funding	Mgmt	For	For	For
5	Time Limitation	Mgmt	For	For	For
6	Purpose	Mgmt	For	For	For
7	Valid Period	Mgmt	For	For	For
8	Authorizations	Mgmt	For	For	For
9	Adoption of Share Option Scheme	Mgmt	For	Abstain	Against
10	Employee Stock Ownership Plan	Mgmt	For	Against	Against

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Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002008	CINS Y3063F107	05/09/2016	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Removal of Director ZHANG Peng	Mgmt	For	For	For

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Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002008	CINS Y3063F107	10/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Issue Price and Pricing Principle	Mgmt	For	For	For
2	Adjustment to Size of Issuance	Mgmt	For	For	For
3	Adjustment to Preliminary Plan for Private Placement	Mgmt	For	For	For
4	Adjustment to Feasibility Report on Use of Proceeds from Private Placement	Mgmt	For	For	For

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Hangzhou Hikvision Digital Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002415	CINS Y3038Z105	01/08/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Size of Issuance	Mgmt	For	For	For
2	Method of Issuance	Mgmt	For	For	For
3	Currency	Mgmt	For	For	For
4	Issuance Quota	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Issuance Targets	Mgmt	For	For	For
8	Listing Place	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Guarantee and Credit Arrangements	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
13	Approval of Line of Credit	Mgmt	For	For	For

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14 Authority to Give Mgmt For For For  
Guarantees

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Hangzhou Hikvision Digital Technology Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002415 CINS Y3038Z105 05/05/2016 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
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7	Internal Control Self-Assessment Report	Mgmt	For	For	For
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8	Appointment of Auditor	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For

10	Authority to Give Guarantees	Mgmt	For	For	For
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11	Amendments to Articles	Mgmt	For	For	For
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Hangzhou Hikvision Digital Technology Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002415 CINS Y3038Z105 10/22/2015 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Approval of Lines of Credit	Mgmt	For	For	For
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2	Authority to Give Guarantees	Mgmt	For	For	For
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3	Amendments to Articles	Mgmt	For	Against	Against
4	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against

5	Amendments to Management System: Raised	Mgmt	For	Abstain	Against
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Funds

6	Amendments to Management System: Related Party Transactions	Mgmt	For	Abstain	Against
7	Amendments to Procedural Rules: Independent Directors	Mgmt	For	Abstain	Against
8	Amendments to Management System: Authorizations	Mgmt	For	Abstain	Against
9	Constitution of Administrative Measures: Key Employee Co-investment	Mgmt	For	For	For
10	Modification of the Company's Registered Address	Mgmt	For	For	For

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Hebei Iron and Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000709	CINS Y85343104	02/02/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Public Offering of Corporate bonds	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Placing Arrangement to Shareholders	Mgmt	For	For	For
4	Maturity	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Listing Market	Mgmt	For	For	For
7	Guarantee Provision	Mgmt	For	For	For
8	Validity Period	Mgmt	For	For	For
9	Board Authorization	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For

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Hebei Iron and Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000709	CINS Y85343104	03/09/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification of	Mgmt	For	For	For

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Company Name					
2	Amendments to Articles	Mgmt	For	For	For
<hr/>					
Hebei Iron and Steel Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
000709	CINS Y85343104	05/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Financial Operation Agreement	Mgmt	For	For	For
8	Elect YU Yong	Mgmt	For	For	For
9	Elect PENG Zhaofeng	Mgmt	For	For	For
10	Elect LI Guiyang	Mgmt	For	For	For
11	Elect ZHANG Haiwei	Mgmt	For	For	For
12	Elect WANG Hongren	Mgmt	For	For	For
13	Elect LI Zhensuo	Mgmt	For	For	For
14	Elect LI Xinchuang	Mgmt	For	For	For
15	Elect LV Guihua	Mgmt	For	For	For
16	Elect WANG Zhengwei	Mgmt	For	For	For
17	Elect DONG Weijun	Mgmt	For	Against	Against
18	Elect HU Zhigang	Mgmt	For	For	For

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Hebei Iron and Steel Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
000709	CINS Y85343104	10/23/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Hongren	Mgmt	For	For	For
2	Eligibility for Private Placement Related to the Acquisition of Tangshan Iron and Steel Auto Sheet Co., Ltd. ("Tangshan Auto")	Mgmt	For	For	For
3	Share Type and Par Value	Mgmt	For	For	For
4	Issuing Method and Period	Mgmt	For	For	For

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5	Issuing Price	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Target Subscribers and Subscription Method	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Arrangements for Undistributed Profits	Mgmt	For	For	For
11	Listing Place	Mgmt	For	For	For
12	Validity Period of Resolution	Mgmt	For	For	For
13	Preliminary Report on Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
16	Board Authorization to Implement Private Placement	Mgmt	For	For	For
17	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
18	Shareholder Returns Plan	Mgmt	For	For	For
19	Amendments to Administrative Measures of Raised Funds	Mgmt	For	Abstain	Against
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Heilan Home Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600398	CINS Y1081Q105	05/17/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Annual Report	Mgmt	For
2	Directors' Report	Mgmt	For
3	Supervisors' Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of	Mgmt	For

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Profits/Dividends

6	Appointment of Auditor	Mgmt	For	For	For
7	Directors', Supervisors' and Senior Management Fees	Mgmt	For	For	For
8	Constitution of Procedural Rules: External Investment Management	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

Heilan Home Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600398	CINS Y1081Q105	08/07/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Employee Stock Ownership Plan Phase 1	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Constitution of Administrative Measures: Employee Stock Ownership Plan Phase 1	Mgmt	For	For	For

Heilan Home Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600398	CINS Y1081Q105	08/07/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Employee Stock Ownership Plan Phase 1	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Constitution of Administrative Measures: Employee Stock Ownership Plan Phase	Mgmt	For	For	For

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Henan Shuanghui Investment and Development Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
000895 CINS Y3121R101 04/19/2016 Voted  
Meeting Type Country of Trade  
Annual China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Repurchase Shares	Mgmt	For	For	For
8	Board Authorization to Repurchase and Cancel Shares	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

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Henan Shuanghui Investment and Development Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
000895 CINS Y3121R101 08/27/2015 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Elect WAN Long	Mgmt	For	For	For
2	Elect JIAO Shuge	Mgmt	For	For	For
3	Elect YOU Mu	Mgmt	For	For	For
4	Elect YIN Xiaohua	Mgmt	For	For	For
5	Elect ZHAO Hulin	Mgmt	For	For	For
6	Elect YANG Dongsheng	Mgmt	For	For	For
7	Elect HU Yungong	Mgmt	For	Against	Against
8	Elect HU Yuhong	Mgmt	For	For	For
9	Elect LI Xianghui	Mgmt	For	Against	Against
10	Independent Directors' Fees	Mgmt	For	For	For

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Henan Shuanghui Investment and Development Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
000895 CINS Y3121R101 12/24/2015 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Approval to Increase	Mgmt	For	For	For
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the Amount of Related  
Party Transactions  
for  
2015

2	Approval of Projected Related Party Transactions for 2016	Mgmt	For	For	For
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Hisense Electric Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600060	CINS Y32263108	06/17/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approval of Entrusted Wealth Management	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Elect HU Jianyong	Mgmt	For	Against	Against
10	Elect CHEN Caixia as Supervisor	Mgmt	For	Against	Against

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Hua Xia Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600015	CINS Y37467118	05/13/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Resolution Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Financial Budget Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Abstain	Against
8	Approval of Line of Credit to Shougang	Mgmt	For	For	For

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Corporation and Its  
Affiliates

9	Approval of Line of Credit to Sate Grid Yingda International Holding Group Co., Ltd. and its Related Parties	Mgmt	For	For	For
10	Approval of Line of Credit to Huaxia Financial Leasing Co., Ltd.	Mgmt	For	For	For
11	Supervisors' Evaluation Report of Board of Directors	Mgmt	For	For	For
12	Supervisors' Evaluation of Board of Supervisors	Mgmt	For	For	For
13	Elect WANG Hongjun	Mgmt	For	For	For
14	Amendments to Procedural Rules: Appointment of Directors Executives	Mgmt	For	For	For
15	Amendments to Procedural Rules: Election of Supervisors	Mgmt	For	For	For
16	Authority to Issue Subordinated Debentures	Mgmt	For	For	For
17	Authority to Issue Financial Bonds	Mgmt	For	For	For

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Huadian Power International Corporation Limited

Ticker      Security ID:      Meeting Date      Meeting Status  
1071      CINS Y3738Y119      05/31/2016      Voted

Meeting Type      Country of Trade  
Annual      China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
2	Authority to Issue Debt Instruments	Mgmt	For	For	For

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3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of International and Domestic Auditor	Mgmt	For	Against	Against
8	Appointment of Internal Auditor	Mgmt	For	Against	Against
9	Report of Independent Directors	Mgmt	For	For	For
10	Elect WANG Chuanshun	Mgmt	For	For	For

Huadian Power International Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1071	CINS Y3738Y119	12/28/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Purchase of Coal	Mgmt	For	For	For
3	Purchase of Engineering Equipment and Other Relevant Services	Mgmt	For	For	For
4	Sale of Coal and Provision of Power Plant Related Services	Mgmt	For	For	For

Huadong Medicine Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000963	CINS Y3740R102	01/06/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect LI Bangliang	Mgmt	For	For	For
3	Elect LU Liang	Mgmt	For	For	For
4	Elect YUE Jin	Mgmt	For	For	For
5	Elect ZHANG Ji	Mgmt	For	For	For
6	Elect FU Hang	Mgmt	For	For	For
7	Elect LI Yuedong	Mgmt	For	For	For
8	Elect XIE Huisheng	Mgmt	For	Against	Against
9	Elect ZHANG Wei	Mgmt	For	For	For
10	Elect ZHONG Xiaoming	Mgmt	For	For	For
11	Elect LIU Chengwei	Mgmt	For	Against	Against
12	Elect BAI Xinhua	Mgmt	For	For	For

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13	Elect QIN Yun	Mgmt	For	For	For
14	Elect ZHANG Yun	Mgmt	For	Against	Against
15	Amendments to Articles	Mgmt	For	For	For
16	Directors' Fees	Mgmt	For	For	For
17	Board Authorization for Delivery Guarantee Related Matter	Mgmt	For	Abstain	Against
18	Board Authorization for Capital Related Matter	Mgmt	For	Abstain	Against
19	Investment in Wealth Management Products	Mgmt	For	For	For

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Huadong Medicine Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000963	CINS Y3740R102	06/03/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report and Its Summary	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions with Huadong Group Co., Ltd.	Mgmt	For	For	For
7	Related Party Transactions with Yuanda Group Co., Ltd.	Mgmt	For	For	For
8	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Elect NIU Zhanqi	Mgmt	For	For	For

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Huadong Medicine Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000963	CINS Y3740R102	08/20/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

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1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Issuance Volume	Mgmt	For	For	For
6	Pricing	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Listing Place	Mgmt	For	For	For
9	Use of Raised Funds	Mgmt	For	For	For
10	Arrangements for Dividends Allocation	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Rationale	Mgmt	For	For	For
13	Preliminary Plan for Private Placement	Mgmt	For	For	For
14	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
15	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
16	Conditional Share Subscription Agreement with Yuanda Group Co., Ltd.	Mgmt	For	For	For
17	Conditional Share Subscription Agreement with Huadong Medicine Group Co., Ltd.	Mgmt	For	For	For
18	Waiver of Tender Offer	Mgmt	For	For	For
19	Amendments to Articles	Mgmt	For	For	For
20	Amendments to Administrative Measures: Raised Funds	Mgmt	For	Abstain	Against
21	Authorization to Implement Private Placement	Mgmt	For	For	For

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22	Shareholder Returns Plan	Mgmt	For	For	For
23	Statement Regarding Preparation of Report on Previously Raised Funds	Mgmt	For	For	For

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Hualan Biological Engineering, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002007	CINS Y3737H125	04/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Investment in Wealth Management Products	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Elect AN Kang	Mgmt	For	For	For
11	Elect FAN Bei	Mgmt	For	For	For
12	Elect WANG Qiping	Mgmt	For	For	For
13	Elect AN Ying	Mgmt	For	For	For
14	Elect SU Zhiguo	Mgmt	For	For	For
15	Elect ZHANG Jingang	Mgmt	For	Against	Against
16	Elect TIAN Lijun	Mgmt	For	For	For
17	Elect HAO Changmei	Mgmt	For	For	For
18	Elect ZHANG Zhaofei	Mgmt	For	Against	Against

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Huaneng Power International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
0902	CINS Y3744A113	01/12/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2016 Continuing Connected Transactions with Huaneng Group	Mgmt	For	For	For
2	Finance Leasing and Leaseback by Huaneng Pingliang	Mgmt	For	For	For

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3	Finance Leasing and Leaseback by Controlled Subsidiaries	Mgmt	For	For	For
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Huaneng Power International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
0902	CINS Y3744A113	06/23/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect XU Mengzhou	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Authority to Issue Debt Instruments	Mgmt	For	For	For

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Huatai Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6886	CINS Y37426106	03/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Elect CHEN Chuanming	Mgmt	For	For	For
2	Elect YANG Xiongsheng	Mgmt	For	For	For

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Huatai Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6886	CINS Y37426106	06/07/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5	Annual Report	Mgmt	For	For	For
6	Jiangsu Guoxin Investment Group Limited	Mgmt	For	For	For
7	Jiangsu Communications Holding Co., Ltd.	Mgmt	For	For	For
8	Other Related Parties	Mgmt	For	For	For
9	2016 Proprietary Investment	Mgmt	For	For	For
10	Authority to Set Auditor's Fees	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Amendments to Foreign Exchange Business Scope	Mgmt	For	For	For
13	Size of issuance	Mgmt	For	For	For
14	Maturity of issuance	Mgmt	For	For	For
15	Methods of issuance	Mgmt	For	For	For
16	Use of proceeds	Mgmt	For	For	For
17	Repayment arrangement	Mgmt	For	For	For
18	Validity period	Mgmt	For	For	For
19	Authorised matters	Mgmt	For	For	For
20	Elect WANG Huiqing as Supervisor	Mgmt	For	Against	Against
21	Elect GAO Xu	Mgmt	For	For	For
22	Elect CHEN Ning	Mgmt	For	For	For
23	Elect XU Qing	Mgmt	For	For	For

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Huatai Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6886	CINS Y37426106	10/09/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Elect XU Min as Director	Mgmt	For	For	For
2	Elect CHEN Zhibin as Director	Mgmt	For	For	For
3	Elect LIU Zhihong as Supervisor	Mgmt	For	Against	Against
4	Adoption of Stock Remuneration Plan	Mgmt	For	For	For
5	Issuing Entity, Size and Method	Mgmt	For	For	For

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6	Type of Debt Financing Instruments	Mgmt	For	For	For
7	Term of Debt Financing Instruments	Mgmt	For	For	For
8	Interest of Debt Financing Instruments	Mgmt	For	For	For
9	Security and Other Credit Arrangement	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Issue Price	Mgmt	For	For	For
12	Issue Target and Arrangements to Existing Shareholders	Mgmt	For	For	For
13	Guarantee Measures for Repayment	Mgmt	For	For	For
14	Listing of the Debt Financing Instruments	Mgmt	For	For	For
15	Validity Period of Resolution	Mgmt	For	For	For
16	Authorization for Issuance of Domestic Debt Financing Instruments	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000793	CINS Y3R42R108	02/24/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Measures for Diluted Current Returns due to Private Placement	Mgmt	For
		For	For

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Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000793	CINS Y3R42R108	04/26/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
		For	For

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3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Implementation of Plan for 2015 Performance Commitment	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	2016 Related Party Transactions	Mgmt	For	For	For

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### Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000793	CINS Y3R42R108	07/22/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Financial Aid	Mgmt	For	For	For

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### Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000793	CINS Y3R42R108	09/15/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Issuance Method	Mgmt	For	For	For
4	Subscribers and Subscription Method	Mgmt	For	For	For
5	Pricing Principles and Issuing Price	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Treatment of Undistributed Profits	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For

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11	Validity of Resolution	Mgmt	For	For	For
12	Approval of Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Report on Deposit and Use of Previously Raised Fund	Mgmt	For	For	For
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For
16	Elect WANG Fanghuai	Mgmt	For	For	For
17	Elect LIU Dongming	Mgmt	For	For	For
18	Elect ZHANG Xiaoyong	Mgmt	For	For	For
19	Elect ZHU Weijun	Mgmt	For	For	For
20	Elect LI Xiangmin	Mgmt	For	For	For
21	Elect ZHENG Yi	Mgmt	For	For	For
22	Elect ZHANG Jingping	Mgmt	For	For	For
23	Elect CHEN Jianguan	Mgmt	For	Against	Against
24	Elect GUO Quanzhong	Mgmt	For	For	For
25	Elect JIN Bofu	Mgmt	For	Against	Against
26	Elect TAN Haiyan	Mgmt	For	Against	Against
27	Elect LU Wenlong	Mgmt	For	For	For
28	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000793	CINS Y3R42R108	10/12/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Entrust Lhasa Hongxin Asset Management Co., Ltd. to Manage Shanghai Hongli Equity Investment Co., Ltd.	Mgmt	For	For	For

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### Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000793	CINS Y3R42R108	11/30/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For

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2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Pricing	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Arrangement for Undistributed Profits	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Adjustment to Private Placement Preliminary Plan	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300027	CINS Y3743R109	01/05/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For
			For
			For

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300027	CINS Y3743R109	02/15/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Increase in Holding of Beijing Huayi Brothers Chuangxing Entertainment Technology Co., Ltd.	Mgmt	For
			Abstain
			Against

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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300027	CINS Y3743R109	04/13/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Against	Against
8	Elect YE Ning	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Authority to Issue Super & Short-term Commercial Paper	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	04/22/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Fuye as Director	Mgmt	For	For	For

Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	07/01/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Xiaorong	Mgmt	For	For	For
2	Approval to List a Subsidiary on National Equity Exchange and Quatations	Mgmt	For	For	For

Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	10/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	11/09/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Acquisition	Mgmt	For	For	For
2	Capital Increase in Wholly-owned Subsidiary	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	12/04/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Investment in Zhejiang Dongyang Merhah Media Holdings Co., Ltd.	Mgmt	For	For	For
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2	Investment in Beijing Hero Huwu Technology Co., Ltd.	Mgmt	For	For	For
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3	Approval of Line of Credit for Huayi Brothers Theater Investment Co., Ltd.	Mgmt	For	For	For
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4	Authority to Give Guarantees to Huayi Brothers Theater Investment Co., Ltd.	Mgmt	For	For	For
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5	Approval of Line of Credit for Beijing Huayi Brothers Entertainment Investment Co., Ltd.	Mgmt	For	For	For
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6	Authority to Give Guarantees to Beijing Huayi Brothers Entertainment Investment Co.,	Mgmt	For	For	For
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7	Approval of Company's Line of Credit	Mgmt	For	For	For
8	Authority to Issue Commercial Paper	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	12/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Share Purchasing	Mgmt	For	Against	Against

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Huayu Automotive Systems Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600741	CINS Y3750U102	05/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Approval of Line of Credit and Provision of Guarantees for Subsidiaries	Mgmt	For	For	For
9	Authority to Give Guarantees to Huayu Kolbenschmidt Aluminum Technology Co., Ltd.	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Appointment of Internal Control Auditor	Mgmt	For	For	For

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Hubei Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000883	CINS Y3747A102	06/24/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Asset Depreciation	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	Against	Against
10	Extension of Validity of Resolution for the Issuance of Corporate Bonds	Mgmt	For	For	For
11	Elect XIE Feng	Mgmt	For	For	For
12	Elect QU Dingyuan	Mgmt	For	For	For
13	Elect HUANG Zhongchu	Mgmt	For	For	For
14	Elect ZHANG Tangrong as Supervisor	Mgmt	For	Against	Against

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Hubei Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000883	CINS Y3747A102	08/27/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Issuance Volume	Mgmt	For	For	For
5	Issuance Targets and Subscription Method	Mgmt	For	For	For
6	Pricing	Mgmt	For	For	For

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7	Lock-up Period	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Amount and Purpose of Raised Funds	Mgmt	For	For	For
10	Arrangements for Dividends Allocation	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
13	Preliminary Plan for Private Placement	Mgmt	For	For	For
14	Board Authorization to Implement Private Placement	Mgmt	For	For	For
15	Approval of Share Subscription	Mgmt	For	For	For

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### Agreements

16	Measures for Diluted Returns	Mgmt	For	For	For
17	Shareholder Returns Plan	Mgmt	For	For	For
18	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
19	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
20	Elect Liu Huihao	Mgmt	For	For	For
21	Extension of Validity Period for Corporate Bonds	Mgmt	For	For	For

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#### Hubei Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000883	CINS Y3747A102	11/02/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profit/Dividends	Mgmt	For	For	For
2	Capital Increase of a Subsidiary	Mgmt	For	For	For

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#### Hunan TV and Broadcast Intermediary Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000917	CINS Y37672105	05/12/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Appointment of Internal Control Auditor	Mgmt	For	For	For
8	2015 Related Party Transactions	Mgmt	For	For	For

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9	2016 Related Party Transactions	Mgmt	For	For	For
10	Elect XU Liping	Mgmt	For	For	For
11	Authority to Give Guarantees to Guangzhou Yunhong Advertising Co., Ltd.	Mgmt	For	For	For
12	Authority to Give Guarantees to Beijing Yunhongwanhao Advertising Co., Ltd.	Mgmt	For	For	For

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Hunan TV and Broadcast Intermediary Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000917 CINS Y37672105 06/17/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Asset Purchase	Mgmt	For	Abstain	Against
2	Asset Purchase via Share Offering and Cash Payment	Mgmt	For	Abstain	Against
3	Asset Aquisition: Transaction Scheme and Method	Mgmt	For	Abstain	Against
4	Asset Aquisition: Stock Type and Par Value	Mgmt	For	Abstain	Against
5	Asset Aquisition: Issuance Targets	Mgmt	For	Abstain	Against
6	Asset Aquisition: Issuance Method and Subscription Method	Mgmt	For	Abstain	Against
7	Asset Aquisition: Pricing Base Date, Pricing Basis and Issuance Price	Mgmt	For	Abstain	Against
8	Asset Aquisition: Target Assets	Mgmt	For	Abstain	Against
9	Asset Aquisition:	Mgmt	For	Abstain	Against

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	Transaction Price and Pricing Basis				
10	Asset Aquisition: Size of Issuance	Mgmt	For	Abstain	Against
11	Asset Aquisition: Lock-up Period	Mgmt	For	Abstain	Against
12	Asset Aquisition: Listing Market	Mgmt	For	Abstain	Against
13	Asset Aquisition: Attribution of Profits and Losses during Transitional Period	Mgmt	For	Abstain	Against
14	Asset Acquisition: Contractual Obligations and Liability	Mgmt	For	Abstain	Against
15	Asset Aquisition: Validity Period	Mgmt	For	Abstain	Against
16	Matching Fund Raising: Stock Type and Par Value	Mgmt	For	Abstain	Against
17	Matching Fund Raising: Issuance Targets and Subscription Method	Mgmt	For	Abstain	Against
18	Matching Fund Raising:Pricing Method and Issuance Price	Mgmt	For	Abstain	Against
19	Matching Fund Raising: Amount of Funds and Issuance Size	Mgmt	For	Abstain	Against
20	Matching Fund Raising: Purpose of Raised Funds	Mgmt	For	Abstain	Against
21	Matching Fund Raising: Lock- up Period	Mgmt	For	Abstain	Against
22	Matching Fund Raising: Disposal of Accumulated Retained Profits	Mgmt	For	Abstain	Against

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23	Matching Fund Raising: Listing Market	Mgmt	For	Abstain	Against
24	Validity Period	Mgmt	For	Abstain	Against
25	Price Adjustment Scheme for Transaction	Mgmt	For	Abstain	Against
26	Report on Acquisition	Mgmt	For	Abstain	Against
27	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	Abstain	Against
28	Regarding the Company's Transaction Constituting Related Party Transactions	Mgmt	For	Abstain	Against
29	Conditional Agreements Regarding Asset Purchase	Mgmt	For	Abstain	Against
30	Board Authorization to Implement Asset Aquisition	Mgmt	For	Abstain	Against
31	Authority to Give Guarantees	Mgmt	For	For	For

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Hunan TV and Broadcast Intermediary Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
000917	CINS Y37672105	12/03/2015		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Asset Purchase Via Share Offering, Cash Payment and Auxiliary Fund Raising	Mgmt	For	For	For	
2	Capital Increase of Beijing WidePalm Mobile Communication Technology Co., Ltd.	Mgmt	For	For	For	
3	Transaction Plan and Method	Mgmt	For	For	For	
4	Stock Type and Par Value	Mgmt	For	For	For	

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5	Issuance Targets	Mgmt	For	For	For
6	Method of Issuance and Subscription Method	Mgmt	For	For	For
7	Pricing	Mgmt	For	For	For
8	Target Assets	Mgmt	For	For	For
9	Transaction Price and Pricing Basis	Mgmt	For	For	For
10	Size of Issuance	Mgmt	For	For	For
11	Lock-up Period	Mgmt	For	For	For
12	Listing Market	Mgmt	For	For	For
13	Treatment of Profit and Loss during Transaction Period	Mgmt	For	For	For
14	Contractual Obligations and Liability for Breach of Contract	Mgmt	For	For	For
15	Disposal of Accumulated Profits	Mgmt	For	For	For
16	Validity Period	Mgmt	For	For	For
17	Auxiliary Fund: Stock Type and Par Value	Mgmt	For	For	For
18	Auxiliary Fund: Issuance Targets and Subscription Method	Mgmt	For	For	For
19	Auxiliary Fund: Pricing	Mgmt	For	For	For
20	Auxiliary Fund: Amount of Raised Funds and Issuance Size	Mgmt	For	For	For
21	Auxiliary Fund: Use of Raised Funds	Mgmt	For	For	For
22	Auxiliary Fund: Lock-up Period	Mgmt	For	For	For
23	Auxiliary Fund: Treatment of Undistributed Profits	Mgmt	For	For	For
24	Auxiliary Fund: Listing Market	Mgmt	For	For	For

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25	Auxiliary Fund: Validity Period	Mgmt	For	For	For
26	Price Adjustment Scheme Involved in Transaction	Mgmt	For	For	For
27	Report Regarding Asset Purchase	Mgmt	For	For	For
28	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For
29	Regarding the Company's Transaction Not Constituting Related Party Transactions	Mgmt	For	For	For
30	Conditional Agreements Relating to Share Offering for Asset Purchase	Mgmt	For	For	For
31	Board Authorization to Implement Asset Purchase	Mgmt	For	For	For
32	Elect NIE Mei as Director	Mgmt	For	For	For
33	Elect LIU Shabai as Supervisor	Mgmt	For	Against	Against
34	Authority to Give Guarantees to Hong Yun Advertising Co., Ltd.	Mgmt	For	For	For
35	Authority to Give Guarantees to Subsidiary's Project Company	Mgmt	For	For	For

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Hundsun Technologies Inc., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600570	CINS Y3041V109	02/03/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect JING Xiandong	Mgmt	For	For	For
3	Elect HAN Xinyi	Mgmt	For	For	For

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4	Elect YUAN Leiming	Mgmt	For	For	For
5	Elect GAO Junguo	Mgmt	For	For	For
6	Elect PENG Zhenggang	Mgmt	For	For	For
7	Elect JIANG Jiansheng	Mgmt	For	For	For
8	Elect LIU Shufeng	Mgmt	For	For	For
9	Elect DING Wei	Mgmt	For	For	For
10	Elect GUO Tianyong	Mgmt	For	For	For
11	Elect WANG Xiangyao	Mgmt	For	For	For
12	Elect LIU Lanyu	Mgmt	For	For	For
13	Elect HUANG Chenli	Mgmt	For	Against	Against
14	Elect ZHAO Ying	Mgmt	For	For	For
15	Related Party Transactions Regarding Share Transfer	Mgmt	For	For	For

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### Hundsun Technologies Inc., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600570	CINS Y3041V109	03/02/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Equity Disposal	Mgmt	For	For	For

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### Hundsun Technologies Inc., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600570	CINS Y3041V109	04/22/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Director's Report	Mgmt	For	For	For
3	Supervisor's Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Give Guarantees for Loans	Mgmt	For	For	For
8	Authority to Give Guarantees for Business Contracts	Mgmt	For	For	For
9	Approval of Financing by a Subsidiary	Mgmt	For	For	For
10	Authority to Give	Mgmt	For	For	For

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Guarantees for  
Subsidiary's  
Financing

11	Approval of Wealth Management and Investment	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Iflytek Co., Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ticker Security ID: Meeting Date Meeting Status					
002230 CINS Y013A6101		04/07/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Annual Report and Summary	Mgmt	For	For	For
9	Change in Use of Proceeds to Acquire Equity	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For

Iflytek Co., Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ticker Security ID: Meeting Date Meeting Status					
002230 CINS Y013A6101		05/30/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
1	Eligibility for Asset Acquisition by Share Issuance, Cash, and Auxiliary Funds Raising	Mgmt	For	For	For
2	Stock Type And Par Value	Mgmt	For	For	For
3	Issuance Method	Mgmt	For	For	For
4	Targets Subscribers And Subscription	Mgmt	For	For	For

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	Method: Share Issuance for Asset Acquisition				
5	Targets Subscribers And Subscription Method: Share Issuance for Auxiliary Funds Raising	Mgmt	For	For	For
6	Pricing Principle And Issuing Price: Share Issuance for Asset Acquisition	Mgmt	For	For	For
7	Pricing Principle And Issuing Price: Share Issuance for Auxiliary Funds Raising	Mgmt	For	For	For
8	Size of Issuance: Share Issuance for Asset Acquisition	Mgmt	For	For	For
9	Size of Issuance: Share Issuance for Auxiliary Funds Raising	Mgmt	For	For	For
10	Lock-Up Period For Share Issuance	Mgmt	For	For	For
11	Lock-Up Period For Counterparty	Mgmt	For	For	For
12	Lock-Up Period For Shares to be Issued For Auxiliary Fund Raising	Mgmt	For	For	For
13	Listing Market	Mgmt	For	For	For
14	Use of Proceeds	Mgmt	For	For	For
15	Arrangement of Profits and Losses During The Transition	Mgmt	For	For	For
16	Contractual Obligation of Handling the Transfer of Ownership of Related Assets	Mgmt	For	For	For
17	Liability For Breach of Contract	Mgmt	For	For	For
18	Arrangement for Undistributed	Mgmt	For	For	For

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Profits

19	Validity of Resolution	Mgmt	For	For	For
20	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For
21	Compliance with Article 43 of Provisions on Management Measures of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For
22	Report on Assets Acquisition by Share Issuance, Cash, and Auxiliary Funds Raising	Mgmt	For	For	For
23	Signing Assets Acquisition Agreements	Mgmt	For	For	For
24	Signing Profit Compensation Agreements	Mgmt	For	For	For
25	Signing Share Subscription Agreements	Mgmt	For	For	For
26	Regarding the Company's Transaction Not Constituting Related Party Transactions	Mgmt	For	For	For
27	Regarding the Company's Transaction Not Diluting Immediate Returns	Mgmt	For	For	For
28	Board Authorization to Implement the Asset Acquisition	Mgmt	For	For	For

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Iflytek Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002230	CINS Y013A6101	08/13/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Amendments to Articles	Mgmt	For	For	For
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2	Amendments to Administrative Measures for Raised Funds	Mgmt	For	Abstain	Against
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Iflytek Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002230	CINS Y013A6101	08/27/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Investment in Wealth Management Products	Mgmt	For	For	For
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Industrial & Commercial Bank Of China

Ticker	Security ID:	Meeting Date	Meeting Status		
1398	CINS Y3990B104	06/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Elect YI Huiman as Director	Mgmt	For	Against	Against

4	Elect SHEN Si	Mgmt	For	For	For
5	Elect ZHANG Wei as Supervisor	Mgmt	For	For	For

6	Elect SHEN Bingxi	Mgmt	For	For	For
7	Accounts and Reports	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For

9	2016 Fixed Asset Investment Plan	Mgmt	For	For	For
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10	Authority to Issue Tier II Capital Instruments	Mgmt	For	For	For
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11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
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Industrial and Commercial Bank Of China

Ticker	Security ID:	Meeting Date	Meeting Status		
1398	CINS Y3990B104	12/21/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect HONG Yongmiao as Director	Mgmt	For	For	For
3	Elect YANG Siu Shun as Director	Mgmt	For	For	For
4	Elect QU Qiang as Supervisor	Mgmt	For	For	For
5	Amendments to Plan on Authorization of Shareholders' General Meeting	Mgmt	For	For	For
6	2014 Payment Plan of Remuneration to Directors and Supervisors	Mgmt	For	For	For

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Industrial Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601166	CINS Y3990D100	05/23/2016	Voted		
Meeting Type	Annual	Country of Trade	China		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Evaluation Report of Directors	Mgmt	For	For	For
4	Evaluation Report of Supervisors	Mgmt	For	For	For
5	Supervisory Board's Evaluation Report of Directors and Senior Managements	Mgmt	For	For	For
6	Annual Report and Its Summary	Mgmt	For	For	For
7	Accounts and Reports	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Elect FU Anping	Mgmt	For	For	For
11	Elect TAO Yiping	Mgmt	For	For	For
12	Elect CHEN Jinguang	Mgmt	For	For	For
13	Elect XUE Hefeng	Mgmt	For	For	For
14	Elect CHEN Xinjian	Mgmt	For	For	For
15	Elect SU Xijia	Mgmt	For	For	For
16	Elect XIA Dawei	Mgmt	For	For	For

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Industrial Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601166	CINS Y3990D100	10/13/2015	Voted

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Meeting Type Special		Country of Trade China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Second-Tier Capital Bonds	Mgmt	For	For	For
2	Amendments to Medium-term Capital Management Plan	Mgmt	For	For	For

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Industrial Securities Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
601377	CINS Y3994T101	02/18/2016		Voted	
Meeting Type Special		Country of Trade China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Repurchase Method	Mgmt	For	For	For
2	Repurchase Price	Mgmt	For	For	For
3	Type, Amount and Percentage of Shares for Repurchase	Mgmt	For	For	For
4	Total Amount and Source of Funds for Repurchase	Mgmt	For	For	For
5	Time Period for Share Repurchase	Mgmt	For	For	For
6	Purpose of Repurchased Shares	Mgmt	For	For	For
7	Validity Period	Mgmt	For	For	For
8	Board Authorization to Implement Share Repurchase	Mgmt	For	For	For
9	Election of Independent Directors	Mgmt	For	For	For

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Industrial Securities Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
601377	CINS Y3994T101	04/20/2016		Voted	
Meeting Type Annual		Country of Trade China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For

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5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Approval of 2016 Securities Investment Plan	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval of Debt Financing	Mgmt	For	Against	Against
9	Elect ZHUANG Zhanjian as Supervisor	Mgmt	For	Against	Against

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Industrial Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601377	CINS Y3994T101	12/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition - Notice of Matters Regarding Restructuring and Non-domestic Listing for Industrial Securities International Finance Group Co., Ltd.	Mgmt	For	For	For
2	Merger/Acquisition - Restructuring and Non-domestic Listing for Industrial Securities International Finance Group Co., Ltd.	Mgmt	For	For	For
3	Agreements to Maintain the Company's Independent Status as a Listing Company	Mgmt	For	For	For
4	Explanation and Forecast of Maintaining Profitability	Mgmt	For	For	For
5	Board Authorization to Implement Restructuring and Non-domestic Listing for China Industrial Securities International Finance	Mgmt	For	For	For

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Group Ltd.					
6	Elect GENG Yong	Mgmt	For	For	For
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Inner Mongolia Baotou Steel Union Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600010	CINS Y4084T100	05/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Against	Against
8	Production and Operation Plan	Mgmt	For	For	For
9	Investment Plan	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Approval of Line of Credit	Mgmt	For	For	For
12	Financial Services Agreement	Mgmt	For	Against	Against
13	Authority to Issue Medium-term Notes	Mgmt	For	For	For
14	Elect WEI Shuanshi	Mgmt	For	For	For
15	Elect SUN Guolong	Mgmt	For	For	For
16	Elect LI Deigang	Mgmt	For	For	For
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Inner Mongolia Junzheng Energy and Chemical Industry Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601216	CINS Y40887104	06/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For

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7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For

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Inner Mongolia Junzheng Energy and Chemical Industry Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601216	CINS Y40887104	07/17/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement Issuance	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Pricing	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Use of Raised Funds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Place	Mgmt	For	For	For
10	Arrangements for Dividends Allocation	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Preliminary Plan of Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
15	Measures for Diluted Returns	Mgmt	For	For	For
16	Shareholder Returns Plan	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For
19	Amendments to Procedural Rules: Raised Fund	Mgmt	For	Abstain	Against

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Management  
System

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Inner Mongolia Junzheng Energy and Chemical Industry Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
601216	CINS Y40887104	10/08/2015	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Private Placement	Mgmt	For	For	For	
2	Pricing	Mgmt	For	For	For	
3	Size of Issuance	Mgmt	For	For	For	
4	Use of Proceeds	Mgmt	For	For	For	
5	Validity Period	Mgmt	For	For	For	
6	Adjustment to Preliminary Plan for Private Placement	Mgmt	For	For	For	
7	Adjustment to Feasibility Report on Use of Proceeds	Mgmt	For	For	For	
8	Adjustment to Measures for Diluted Returns	Mgmt	For	For	For	

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Inner Mongolia Mengdian Huaneng Thermal Power Corporation Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
600863	CINS Y40842109	06/20/2016	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Independent Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Annual Report	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Elect HAO Guangping	Mgmt	For	For	For	
9	Elect XI Bin	Mgmt	For	For	For	
10	Elect SUN Fuzhong as Supervisor	Mgmt	For	Against	Against	

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Inner Mongolia Yili Energy Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			

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600277	CINS Y4084U107	07/20/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Postponement of Resumption of Trade for Preparation of Private Placement	Mgmt	For	For	For

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Inner Mongolia Yili Energy Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600277	CINS Y4084U107	09/14/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Postpone Stock Trading Resumption	Mgmt	For	For	For

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Inner Mongolia Yili Energy Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600277	CINS Y4084U107	10/26/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Eligibility for Private Placement	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Method and Date of Issuance	Mgmt	For	For	For
5	Target Subscribers	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Pricing	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Use of Raised Funds	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Arrangements for Undistributed Profits	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Preliminary Plan for Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of	Mgmt	For	For	For

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Proceeds

15	Related Party Transactions associated with Private Placement	Mgmt	For	For	For
16	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
17	Conditional Share Subscription Agreements	Mgmt	For	For	For
18	Board Authorization to Implement Private Placement	Mgmt	For	For	For
19	Acquisition of Yili Jie Technology Co., Ltd.	Mgmt	For	For	For

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Inner Mongolia Yili Industrial Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600887	CINS Y40847108	02/03/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition (between Company Employees and Third Party)	Mgmt	For	For	For

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Inner Mongolia Yili Industrial Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600887	CINS Y40847108	04/21/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Management Policy and Investment Plan	Mgmt	For	Abstain	Against
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Independent Directors' Report	Mgmt	For	For	For
8	Authority to Give	Mgmt	For	For	For

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Guarantees by  
Subsidiary to  
Cooperative  
Partners

9	Amendments to Articles	Mgmt	For	For	For
10	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
11	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
12	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	Abstain	Against
13	Appointment of Auditor and Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For

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Inner Mongolia Yili Industrial Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600887	CINS Y40847108	07/27/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Method and Purpose	Mgmt	For	For	For
2	Repurchase Price Range	Mgmt	For	For	For
3	Share Type, Number and Proportion	Mgmt	For	For	For
4	Amount and Capital Source	Mgmt	For	For	For
5	Repurchase Period	Mgmt	For	For	For
6	Validity Period	Mgmt	For	For	For
7	Board Authorization to Implement Share Repurchase	Mgmt	For	For	For

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Inner Mongolia Yili Industrial Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600887	CINS Y40847108	07/27/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Method and Purpose	Mgmt	For	For	For
2	Repurchase Price Range	Mgmt	For	For	For
3	Share Type, Number and	Mgmt	For	For	For

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Proportion

4	Amount and Capital Source	Mgmt	For	For	For
5	Repurchase Period	Mgmt	For	For	For
6	Validity Period	Mgmt	For	For	For
7	Board Authorization to Implement Share Repurchase	Mgmt	For	For	For

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Jiangsu Broadcasting Cable Information Network Corp Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600959	CINS Y4S3B1104	05/10/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Fixed Asset Investment Plan	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	The 13th Five-Year Plan of China	Mgmt	For	For	For
10	Capital Increase in a Company	Mgmt	For	For	For
11	Authority to Issue Medium-term Notes	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	Against	Against
13	Independent Directors' Report	Mgmt	For	For	For
14	Elect LIAO Xiaotong	Mgmt	For	Against	Against
15	Elect GAO Shunqing	Mgmt	For	For	For
16	Elect LU Yufang	Mgmt	For	For	For
17	Elect WAN Yongliang	Mgmt	For	For	For

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Jiangsu Hengrui Medicine Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600276	CINS Y4446S105	05/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect SUN Piaoyang	Mgmt	For	For	For
8	Elect JIANG Xinhua	Mgmt	For	For	For
9	Elect ZHOU Yunshu	Mgmt	For	For	For
10	Elect JIANG Sumei	Mgmt	For	For	For
11	Elect ZHANG Lianshan	Mgmt	For	For	For
12	Elect WANG Shudong	Mgmt	For	For	For
13	Elect LI Yuanchao	Mgmt	For	For	For
14	Elect WANG Qian	Mgmt	For	For	For
15	Elect XUE Shuang	Mgmt	For	For	For
16	Elect DONG Wei	Mgmt	For	For	For
17	Elect LI Peichen	Mgmt	For	Against	Against
18	Amendments to Articles	Mgmt	For	For	For

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Jiangsu Kangde Xin Composite Material Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	01/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Independent Directors' Fees	Mgmt	For	For	For
3	Authority to Issue Super and Short-term Commercial Paper	Mgmt	For	For	For
4	Authority to Issue Private Placement Notes	Mgmt	For	For	For
5	Elect ZHONG Yu	Mgmt	For	For	For
6	Elect XU Shu	Mgmt	For	For	For
7	Elect WANG Yu	Mgmt	For	For	For
8	Elect LIU Jingsong	Mgmt	For	For	For
9	Elect SUI Guojun	Mgmt	For	For	For
10	Elect DAN Runze	Mgmt	For	For	For
11	Elect SU Zhongfeng	Mgmt	For	For	For
12	Elect ZHANG Yanhong	Mgmt	For	Against	Against
13	Elect WU Yan	Mgmt	For	For	For

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Jiangsu Kangde Xin Composite Material Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	01/27/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Measures for Diluted Returns for Private Placement	Mgmt	For	For	For
2	Director and Senior Executive Commitments for Filing Measures for Diluted Returns	Mgmt	For	For	For

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Jiangsu Kangde Xin Composite Material Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	05/05/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Authority to Issue Domestic Corporate Bonds	Mgmt	For	For	For
2	Overall Plan on Overseas Debt Financing	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Approval of Price Adjustment Mechanism of Private Placement	Mgmt	For	Against	Against
5	Amendments to Preliminary Plan of Private Placement	Mgmt	For	Against	Against
6	Related Party Transactions Involved in Private Placement	Mgmt	For	Against	Against
7	Conditional Supplemental Agreement on Share Subscription	Mgmt	For	Against	Against

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Jiangsu Kangde Xin Composite Material Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	05/16/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For

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4	Directors, Supervisors and Management' Fees	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds in 2015	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
10	Supervisors' Report	Mgmt	For	For	For

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Jiangsu Kangde Xin Composite Material Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	07/10/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Size of Issuance	Mgmt	For	For	For
2	Adjustment to Target Subscribers	Mgmt	For	For	For
3	Adjustment to Amount and Use of Raised Funds	Mgmt	For	For	For
4	Amendment to Private Placement Preliminary Plan	Mgmt	For	For	For
5	Amendment to Feasibility Report for Use of Proceeds	Mgmt	For	For	For
6	Termination of Conditional Share Subscription Agreement with Kangde Investment Group Co., Ltd.	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For

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Jiangsu Kangde Xin Composite Material Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	07/21/2015	Voted		
Meeting Type	Country of Trade				

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Stock Ownership Plan	Mgmt	For	For	For

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### Jiangsu Kangde Xin Composite Material Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	11/24/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Private Placement	Mgmt	For	For	For
2	Board Authorization to Implement Validity Period Extension	Mgmt	For	For	For

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### Jiangsu Kangde Xin Composite Material Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	12/28/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Eligibility for Private Placement	Mgmt	For	For	For
4	Stock Type and Par Value	Mgmt	For	For	For
5	Method and Date of Issuance	Mgmt	For	For	For
6	Issuance Price and Pricing Principal	Mgmt	For	For	For
7	Size of Issuance	Mgmt	For	For	For
8	Issuance Targets and Subscription Method	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For

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10	Lock-up Period	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Arrangement for Undistributed Profits	Mgmt	For	For	For
13	Validity Period	Mgmt	For	For	For
14	Preliminary Plan for Private Placement	Mgmt	For	For	For
15	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
16	Related Party Transactions Involved in the Private Placement	Mgmt	For	For	For
17	Conditional Share Subscription Agreements	Mgmt	For	For	For
18	Report on Deposit and Use of Raised Funds	Mgmt	For	Abstain	Against
19	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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### Jiangsu Phoenix Publishing and Media Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601928	CINS Y444CW109	03/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect ZHANG Jiankang	Mgmt	For	For	For
3	Elect ZHOU Bin	Mgmt	For	For	For
4	Elect WANG Yixuan	Mgmt	For	For	For
5	Elect WU Xiaoping	Mgmt	For	For	For
6	Elect SUN Zhenfu	Mgmt	For	For	For
7	Elect SHE Jiangtao	Mgmt	For	For	For
8	Elect SHAN Xiang	Mgmt	For	For	For
9	Elect YING Wenlu	Mgmt	For	For	For
10	Elect YANG Xiongsheng	Mgmt	For	For	For
11	Elect RUO Rong	Mgmt	For	For	For
12	Elect XU Chengbao	Mgmt	For	For	For
13	Elect WANG Weihong	Mgmt	For	Against	Against
14	Elect LIN Haitao	Mgmt	For	For	For

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### Jiangsu Phoenix Publishing and Media Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601928	CINS Y444CW109	06/17/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Independent Directors' Report	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Replenish Working Capital with Surplus Funds	Mgmt	For	For	For

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Jiangsu Phoenix Publishing and Media Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601928	CINS Y444GW105	11/16/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For

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Jiangsu Yanghe Brewery Joint-Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002304	CINS Y444AE101	05/31/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Adjustments to Investment in Wealth Management Products	Mgmt	For	For	For
8	Change of Business Scope and Amendments to Articles	Mgmt	For	Abstain	Against
9	Elect TANG Jian	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Jiangsu Zhongda New Mat					
Ticker	Security ID:	Meeting Date	Meeting Status		
	CINS Y62035103	06/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase in Liuzhou Yanlong Automobile Co., Ltd.	Mgmt	For	For	For
2	Establishment of Joint Venture	Mgmt	For	For	For
3	Related Party Transaction for Establishment of Joint Venture	Mgmt	For	For	For

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Jiangxi Copper Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
0358	CINS Y4446C118	06/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	Abstain	Against
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Amendments to Rules for Independent Directors	Mgmt	For	For	For
8	Amendments to Measures for Administration of Proceeds from Fund Raising	Mgmt	For	For	For
9	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Rules for General	Mgmt	For	For	For

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### Meetings

12	Amendments to Rules for Board of Directors	Mgmt	For	For	For
13	Amendments to Rules for Supervisory Committee	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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#### Jiangxi Hongdu Aviation Industry Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600316	CINS Y4445M109	09/14/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium Term Note	Mgmt	For	For	For
2	Elect WANG Shujun	Mgmt	For	For	For
3	Elect ZHANG Hong	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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#### Jiangxi Hongdu Aviation Industry Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600316	CINS Y4445M109	11/12/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Financial Service Agreement	Mgmt	For	Against	Against

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#### Jihua Group Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601718	CINS Y444B4102	03/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Issue Price	Mgmt	For	For	For
3	Amount and Use of Proceeds	Mgmt	For	For	For
4	Valid Period of Resolution	Mgmt	For	For	For
5	Adjustment to Preliminary Plan for	Mgmt	For	For	For

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	Private Placement				
6	Adjustment to Feasibility Report on Use of Proceeds from Private Placement	Mgmt	For	For	For
7	Adjustment to Measures for Diluted Returns due to Private Placement	Mgmt	For	For	For
8	Commitments from Controlling shareholders, Directors and Executives for Diluted Return Measures	Mgmt	For	For	For
9	Board Authorization to Implement Private Placement	Mgmt	For	For	For
10	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For

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Jihua Group Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
601718	CINS Y444B4102	05/17/2016	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Shareholder Returns Plan	Mgmt	For	For	For	

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Jilin Aodong Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
000623	CINS Y4451E108	04/19/2016	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Repurchase of Shares	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Jilin Aodong Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000623	CINS Y4451E108	07/21/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Establishment of Wholly-owned Subsidiary	Mgmt	For
			For
			For
2	Implementation of Market Value Management of Shareholding in GF Securities Co., Ltd.	Mgmt	For
			For
			For

Jinduicheng Molybdenum Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601958	CINS Y44498106	05/06/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
			For
			For
2	Supervisors' Report	Mgmt	For
			For
			For
3	Annual Report	Mgmt	For
			For
			For
4	Accounts and Reports	Mgmt	For
			For
			For
5	Allocation of Profits/Dividends	Mgmt	For
			For
			For
6	Technical Transformation Measures and Investment Plan for Equipment Update	Mgmt	For
			For
			For
7	2016 Related Party Transactions	Mgmt	For
			For
			For
8	Appointment of Auditor	Mgmt	For
			For
			For
9	Cancellation of Project	Mgmt	For
			For
			For

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### Implementation

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Jinduicheng Molybdenum Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601958	CINS Y44498106	07/17/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Jishi Media Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
601929	CINS Y444DQ101	09/17/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Interim Profits/Dividends	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Jizhong Energy Resources Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000937	CINS Y3121C104	02/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Jizhong Energy Resources Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000937	CINS Y3121C104	03/18/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Corporate Bond Issuance	Mgmt	For	For	For

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Jizhong Energy Resources Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000937	CINS Y3121C104	05/12/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

Jizhong Energy Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000937	CINS Y3121C104	05/30/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Issue Short-term Commercial Papers	Mgmt	For
			For
			For

Jizhong Energy Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000937	CINS Y3121C104	07/29/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Acquisition	Mgmt	For
			For
2	Board Authorization to Implement Asset Acquisition	Mgmt	For
			For
			For
3	Amendments to Articles	Mgmt	For
			For
4	Elect Zhang Chengwen	Mgmt	For
			For
5	Elect Zhao Shengshan	Mgmt	For
			For
6	Elect ZHANG Jiansheng as Supervisor	Mgmt	For
			Against
			Against
7	Authority to Give Guarantees	Mgmt	For
			For
			For

Jizhong Energy Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000937	CINS Y3121C104	11/23/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Transfer of Equity Stake	Mgmt	For
			For
			For

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2	Related Party Transactions	Mgmt	For	For	For
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Jizhong Energy Resources Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000937	CINS Y3121C104	12/24/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Partial Equity Stake	Mgmt	For	Abstain	Against
<hr/>					
Jointown Pharmaceutical Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600998	CINS Y444BD102	05/27/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval of Borrowing	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Authority to Issue Asset-backed Securities	Mgmt	For	For	For
11	Investment in Wealth Management Products	Mgmt	For	For	For
<hr/>					
Jointown Pharmaceutical Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600998	CINS Y444BD102	07/01/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Perpetual Bonds	Mgmt	For	For	For

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2	Approval of Line of Credit	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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Jointown Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600998	CINS Y444BD102	07/01/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Authority to Issue Perpetual Bonds	Mgmt	For	For	For
2	Approval of Line of Credit	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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Jointown Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600998	CINS Y444BD102	09/11/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Authority to Issue Ultra Short-term Commercial Paper	Mgmt	For	For	For
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Jointown Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600998	CINS Y444BD102	12/21/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Extension of Validity Period for Convertible Corporate Bond Issuance	Mgmt	For	For	For
4	Extension of Authorization Period	Mgmt	For	For	For

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for Convertible  
Corporate Bond  
Issuance

Juneyao Airlines Co Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
603885	CINS Y446EY108	06/02/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Supervisors' Fees	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Amendments to Articles	Mgmt	For	For	For
Kangmei Pharmaceutical Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600518	CINS Y2930H106	03/24/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Range of Participants	Mgmt	For	For	For
2	Source and Size of Restricted Shares and status of distribution	Mgmt	For	For	For
3	Validity, Grant Date, Lock-up and Unlock Period	Mgmt	For	For	For
4	Grant Price and Pricing Method	Mgmt	For	For	For
5	Conditions to Grant and Unlock Shares	Mgmt	For	For	For
6	Plan's Adjustment	Mgmt	For	For	For

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	Method and Procedure				
7	Cancellation Principle	Mgmt	For	For	For
8	Accounting Treatment	Mgmt	For	For	For
9	Plan's Implementation, Grant and Unlock Procedure	Mgmt	For	For	For
10	Participants' Rights and Obligations	Mgmt	For	For	For
11	Modification and Termination	Mgmt	For	For	For
12	Establishment of Procedural Rules for Performance Assessment and Management of Restricted Share Incentive Plan	Mgmt	For	For	For
13	Board Authorization to Implement Restricted Employee Share Incentive Plan	Mgmt	For	For	For

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Kangmei Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600518	CINS Y2930H106	05/16/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Allocation of Dividends of Preferred Stocks	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For

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10	Change of Business Scope and Amendments to Articles	Mgmt	For	For	For
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Kangmei Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600518	CINS Y2930H106	12/28/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Issuance Price and Pricing Method	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Lock-up Period	Mgmt	For	For	For
10	Arrangement for Undistributed Profits	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
14	Adoption of Employee Stock Purchase Plan	Mgmt	For	For	For
15	Constitution of Procedural Rules: Employee Stock Purchase Plan	Mgmt	For	For	For
16	Related Party Transactions for	Mgmt	For	For	For

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	Private Placement				
17	Conditional Share Subscription Agreement	Mgmt	For	For	For
18	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
19	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	For	For
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For
21	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
22	Measures for Diluted Returns	Mgmt	For	For	For
23	Amendments to Articles	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	04/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approval of Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Investment in Wealth Management Products	Mgmt	For	For	For

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with idle  
capital

12	Change of Registered Capital and Amendments to Articles	Mgmt	For	Abstain	Against
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	04/26/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	06/20/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	07/31/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	Abstain	Against

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	12/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Industrial Investment Fund	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	Abstain	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against

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Kweichow Moutai Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
600519	CINS Y5070V116	05/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
8	Independent Directors' Report	Mgmt	For	For	For
9	Signing Trademark License Contract with Related Party	Mgmt	For	For	For
10	Provision of Financial Service to Related Party	Mgmt	For	For	For
11	Change of Business Scope and Amendments to Articles	Mgmt	For	For	For
12	Adjustments to Construction Project	Mgmt	For	For	For
13	Elect LU Jinhai	Mgmt	For	For	For

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Kweichow Moutai Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600519	CINS Y5070V116	09/16/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
3	Amendments to Procedural Rules for Directors	Mgmt	For	For	For
4	Elect LI Baofang	Mgmt	For	For	For

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5 Financial Service Transaction Mgmt For Against Against

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Lepu Medical Technology (Beijing) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300003	CINS Y52384107	03/10/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement of Shares	Mgmt	For	For	For
2	Stock Class and Par Value	Mgmt	For	For	For
3	Issuance Method	Mgmt	For	For	For
4	Subscribers and Method of Subscription	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Issuance Price and Pricing Methods	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Arrangement for Undistributed Profits	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Demonstration Analysis Report for Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Evaluation and Audit Report for Private Placement	Mgmt	For	For	For
17	Independence of Appraiser, Rationality of Assumptions, Relevance of	Mgmt	For	For	For

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Valuation and  
Fairness of  
Evaluation  
Methods

18	Conditional Agreements for Share Transfer	Mgmt	For	For	For
19	Board Authorization to Implement Private Placement	Mgmt	For	For	For
20	Approval of Dilution Measures and Management Commitments for Private Placement	Mgmt	For	For	For

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Lepu Medical Technology (Beijing) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300003	CINS Y52384107	04/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Report on Deposit and Use of Raised Funds	Mgmt	For	Abstain	Against

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Lepu Medical Technology (Beijing) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300003	CINS Y52384107	09/10/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules for Board Meetings	Mgmt	For	For	For
3	Amendments to Administrative Measures for External	Mgmt	For	For	For

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Investment					
4	Authority to Issue Medium-term Notes	Mgmt	For	For	For
5	Authority to Issue Ultra Short-term Financing Bills	Mgmt	For	For	For
6	Equity Acquisition	Mgmt	For	For	For
<hr/>					
Lepu Medical Technology (Beijing) Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300003	CINS Y52384107	11/24/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Private Placement	Mgmt	For	For	For
2	Board Authorization to Implement Validity Period Extension	Mgmt	For	For	For
<hr/>					
Leshi Internet Information and Technology (Beijing) Corp.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300104	CINS Y5238F102	01/14/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compliance of Asset Purchase with the Relevant Laws and Regulations	Mgmt	For	For	For
2	Transaction Scheme	Mgmt	For	For	For
3	Transaction Counterparties	Mgmt	For	For	For
4	Underlying Assets	Mgmt	For	For	For
5	Transaction Price and Pricing Principals	Mgmt	For	For	For
6	Method of Payment	Mgmt	For	For	For
7	Term of Payment	Mgmt	For	For	For
8	Contractual Obligations Resulting from Ownership Transfer	Mgmt	For	For	For
9	Validity Period	Mgmt	For	For	For
10	Approval of Asset	Mgmt	For	For	For

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Purchase Not  
Constituting a  
Related Party  
Transaction

11	Approval of Asset Purchase Report Draft and Summary	Mgmt	For	For	For
12	Signing of Stock Subscription Agreements	Mgmt	For	For	For
13	Completeness and Compliance in Legal Procedure and Validity of Legal Documents	Mgmt	For	For	For
14	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For
15	Compliance with Article 11 of the Management Rules for Material Asset Restructuring	Mgmt	For	For	For
16	Approval of Evaluation Reports Related to Major Asset Purchase	Mgmt	For	For	For
17	Statement on Accounting Policy Differences	Mgmt	For	For	For
18	Board Authorization to Implement Asset Purchase	Mgmt	For	For	For
19	Board Authorization to Purchase Liability Insurance	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For

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Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	03/15/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Modification of Auditor	Mgmt	For	For	For
3	Approval of Extension of Trading Resumption	Mgmt	For	For	For
4	Approval of Extension of Equity Extension Plan Third Exercise Period	Mgmt	For	For	For
5	Authority to Give Guarantees	Mgmt	For	Abstain	Against

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### Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	04/12/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Financial Statements	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Auditors' Report	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	Against	Against
9	Ratification of 2015 Related Party Transactions	Mgmt	For	For	For
10	2016 Related Party Transactions	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	Abstain	Against

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### Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	06/14/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity of Resolution for Private Placement	Mgmt	For	For	For
2	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	10/14/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Beijing Yisibo Network Technology Co., Ltd.	Mgmt	For	Abstain	Against
2	Approval of Borrowing	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Participants	Mgmt	For	For	For
5	Source, Quantity and Allotment of Stocks	Mgmt	For	For	For
6	Validity Period, Grant Date, Vesting Period, Exercise Date and Lock-up Period	Mgmt	For	For	For
7	Exercise Price	Mgmt	For	For	For
8	Vesting and Exercising Requirements	Mgmt	For	For	For
9	Adjustment Methods and Procedures	Mgmt	For	For	For
10	Accounting Treatment	Mgmt	For	For	For
11	Implementation, Grant and Exercise Procedures	Mgmt	For	For	For
12	Obligations and Rights for the Company and Plan Participants	Mgmt	For	For	For
13	Measures for Changes in Company and Plan Participants	Mgmt	For	For	For
14	Constitution of Procedural Rules: Third Tranche of Stock Option Plan	Mgmt	For	For	For
15	Board Authorization to Implement Stock Option Plan	Mgmt	For	For	For
16	Elect JIA Yueting	Mgmt	For	For	For
17	Elect HAN Fangming	Mgmt	For	For	For
18	Elect LIU Hong	Mgmt	For	For	For
19	Elect ZHU Ning	Mgmt	For	For	For

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20	Elect CAO Bin	Mgmt	For	For	For
21	Elect TIAN Bingxin	Mgmt	For	Against	Against
22	Elect JI Xiaoqing	Mgmt	For	For	For

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Liaoning Chengda Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600739	CINS Y5279J104	02/22/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Preparation of Financial Statement for Long-term Shutdown of Subsidiary and Asset Impairment Provisions	Mgmt	For	For	For

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Liaoning Chengda Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600739	CINS Y5279J104	04/18/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Elect YAO Hong	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Approval of Financing Plan	Mgmt	For	Abstain	Against
11	Investment in Short-term Wealth Management Products	Mgmt	For	For	For
12	Investment in Short-term Wealth Management Products from Related Party	Mgmt	For	For	For
13	Authority to Issue Super Short-term Financing	Mgmt	For	For	For

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### Bonds

14	Provision for Asset Impairment	Mgmt	For	For	For
15	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Liaoning Chengda Co., Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
600739	Security ID: CINS Y5279J104	Meeting Date	05/03/2016	Meeting Status	Voted
	Meeting Type	Country of Trade	China		
	Special Issue No.				
1	Eligibility for Private Placement	Mgmt	For	Against	Against
2	Stock Type and Par Value	Mgmt	For	Against	Against
3	Size of Issuance	Mgmt	For	Against	Against
4	Target of Issuance	Mgmt	For	Against	Against
5	Subscription Method	Mgmt	For	Against	Against
6	Lock-up Period	Mgmt	For	Against	Against
7	Issue Price and Pricing Principle	Mgmt	For	Against	Against
8	Use of Proceeds	Mgmt	For	Against	Against
9	Issuance Method	Mgmt	For	Against	Against
10	Arrangement for Undistributed Profits	Mgmt	For	Against	Against
11	Listing Market	Mgmt	For	Against	Against
12	Validity of Resolution	Mgmt	For	Against	Against
13	Revised Preliminary Plan of Private Placement	Mgmt	For	Against	Against
14	Agreement with Liaoning State-owned Assets Management Co., Ltd.	Mgmt	For	Against	Against
15	Agreement with Giant Investment Co., Ltd.	Mgmt	For	Against	Against
16	Agreement with Zhongou Bonded Logistics Co., Ltd.	Mgmt	For	Against	Against
17	Agreement with Galaxy	Mgmt	For	Against	Against

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Jinhui Securities  
Asset Management Co.,  
Ltd.

18	Agreement with Liaoning State-owned Assets Management Co., Ltd.	Mgmt	For	Against	Against
19	Agreement with Giant Investment Co., Ltd.	Mgmt	For	Against	Against
20	Agreement with Zhongou Bonded Logistics Co., Ltd.	Mgmt	For	Against	Against
21	Private Placement Constituting Related Party Transactions	Mgmt	For	Against	Against
22	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
23	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
24	Measures for Diluted Returns for Private Placement	Mgmt	For	Against	Against
25	Ratification of Independence, Rationality and Applicability of Evaluation Result	Mgmt	For	Against	Against
26	Board Authorization to Implement Private Placement	Mgmt	For	Against	Against

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Liaoning Chengda Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600739	CINS Y5279J104	12/21/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Participation in Equity Transfer	Mgmt	For	For	For
2	Compliance with	Mgmt	For	For	For

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	Relevant Laws				
3	Compliance with Article 4 of the Provisions Regulation Major Asset Restructuring	Mgmt	For	For	For
4	Regarding Article 13 of the Provisions Regulation Major Asset Restructuring	Mgmt	For	For	For
5	Equity Transfer Report Draft and Summary	Mgmt	For	For	For
6	Legal Compliance of Submitted Documents Relating to Equity Transfer	Mgmt	For	For	For
7	Approval of Evaluation of Assets	Mgmt	For	For	For
8	Board Authorization to Implement Equity Transfer	Mgmt	For	For	For
9	Authority to Issue Medium-term Notes	Mgmt	For	For	For

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Luxin Venture Capital Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600783	CINS Y5S47U100	05/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Transfer of Subsidiary	Mgmt	For	For	For
9	Elect WANG Xudong	Mgmt	For	For	For
10	Elect WAN ZHONG	Mgmt	For	For	For
11	Elect ZHAO Zikun	Mgmt	For	For	For
12	Elect WANG Biao	Mgmt	For	For	For
13	Elect LIU Bozhe	Mgmt	For	For	For

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14	Elect LI Gaofeng	Mgmt	For	For	For
15	Elect REN Hui	Mgmt	For	For	For
16	Elect YU Shaoming	Mgmt	For	For	For
17	Elect LIU Jiankang	Mgmt	For	For	For
18	Elect LIU Mei	Mgmt	For	Against	Against
19	Elect MA Guanghui	Mgmt	For	For	For
20	Elect YANG Gongmin	Mgmt	For	For	For

Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	03/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Preliminary Plan for Private Placement	Mgmt	For	For	For
2	Measures for Diluted Returns	Mgmt	For	For	For
3	Commitments from Directors and Executives for Diluted Return Measures	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	05/16/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	09/01/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Authority to Give Guarantees	Mgmt	For	For	For
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Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	10/09/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Employee Restricted Shares Plan	Mgmt	For	For	For
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2	Establishment of Management System for Assessment Procedure of Employee Restricted Shares Plan	Mgmt	For	For	For
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3	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For
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Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	12/30/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Eligibility for Private Placement	Mgmt	For	For	For
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2	Stock Type and Par Value	Mgmt	For	For	For
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3	Issuance Method	Mgmt	For	For	For
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4	Target of Issuance and subscription Method	Mgmt	For	For	For
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5	Size of Issuance	Mgmt	For	For	For
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6	Issue Price and Pricing Principle	Mgmt	For	For	For
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7	Use of Proceeds	Mgmt	For	For	For
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8	Lock-up Period and Listing Market	Mgmt	For	For	For
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9	Treatment of Undistributed	Mgmt	For	For	For
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Profits

10	Validity of Resolution	Mgmt	For	For	For
11	Preliminary Plan for Private Placement	Mgmt	For	For	For
12	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
13	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
14	Board Authorization to Implement Private Placement	Mgmt	For	For	For
15	Amendments to Procedural Rules: Internal Control System	Mgmt	For	Abstain	Against
16	Authority to Issue Short-term Corporate Bonds	Mgmt	For	For	For
17	Authority to Extend the Term of Guarantees	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Luzhou Laojiao Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000568	CINS Y5347R104	06/22/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Use of Proceeds	Mgmt	For	For	For
8	Approval of Subsidiary's Wine-making Upgrade Project	Mgmt	For	For	For

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Meihua Holdings Group Company Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
600873	CINS Y9719G100	03/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Continue Suspension of Trading due to Asset Acquisiiton	Mgmt	For	For	For
2	Termination of Employee Stock Purchase Plan	Mgmt	For	For	For

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### Meihua Holdings Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600873	CINS Y9719G100	05/11/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Appointment of Internal Control Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For

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### Meihua Holdings Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600873	CINS Y9719G100	07/27/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For

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### Meihua Holdings Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
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600873	CINS Y9719G100	07/27/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For

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Meihua Holdings Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600873	CINS Y9719G100	09/16/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of Asset Purchase and Fund Raising	Mgmt	For	For	For
2	Termination of Agreements Relating to Asset Purchase	Mgmt	For	For	For
3	Authority to Issue Commercial Paper	Mgmt	For	For	For

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Metallurgical Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1618	CINS Y5949Y101	04/20/2016	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Nominal Value	Mgmt	For	For	For
2	Method of Issuance	Mgmt	For	For	For
3	Target Investors and Method of Subscription	Mgmt	For	For	For
4	Issue Price and Pricing Principles	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Use of Proceeds	Mgmt	For	For	For
7	Arrangement For Profits Accumulated	Mgmt	For	For	For

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8	Lock-up Period	Mgmt	For	For	For
9	Place of Listing	Mgmt	For	For	For
10	Validity Period Of Resolution	Mgmt	For	For	For
11	Contingency Plan on the Non-public Issuance of A Shares	Mgmt	For	For	For

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### Metallurgical Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1618	CINS Y5949Y101	04/20/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Non-Public Issuance of A Shares	Mgmt	For	For	For
3	Class and Nominal Value	Mgmt	For	For	For
4	Method of Issuance	Mgmt	For	For	For
5	Target Investors and Method of Subscription	Mgmt	For	For	For
6	Issue Price and Pricing Principles	Mgmt	For	For	For
7	Size of Issuance	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Arrangement For Profits Accumulated	Mgmt	For	For	For
10	Lock-up Period	Mgmt	For	For	For
11	Place of Listing	Mgmt	For	For	For
12	Validity Period Of Resolution	Mgmt	For	For	For
13	Contingency Plan on the Non-public Issuance of A Shares	Mgmt	For	For	For
14	Remedial Measures For Dilution	Mgmt	For	For	For
15	Undertakings by Directors and Senior Management	Mgmt	For	For	For
16	Adjustment to Authorization Duration	Mgmt	For	For	For

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17	Accounts and Reports	Mgmt	For	For	For
18	Allocation of Profits/Dividends	Mgmt	For	For	For
19	Authority to Issue Debt Instruments	Mgmt	For	For	For

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### Metallurgical Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1618	CINS Y5949Y101	06/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Directors' and Supervisors' Fees	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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### Metallurgical Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1618	CINS Y5949Y101	10/15/2015	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Nominal Value	Mgmt	For	For	For
2	Method of Issuance	Mgmt	For	For	For
3	Target Investors and Method of Subscription	Mgmt	For	For	For
4	Issue Price and Pricing Principles	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Use of Proceeds	Mgmt	For	For	For
7	Arrangements for Distribution Of Accumulated Profits	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Place of Listing	Mgmt	For	For	For
10	Valid Period of Resolution	Mgmt	For	For	For
11	Plan on Non-Public	Mgmt	For	For	For

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### Issuance

Metallurgical Corporation of China Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1618	CINS Y5949Y101	10/15/2015	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
3	Authorization of Legal Formalities For Non-Public Issuance of A Shares	Mgmt	For	For	For	
4	Class and Nominal Value	Mgmt	For	For	For	
5	Method of Issuance	Mgmt	For	For	For	
6	Target Investors and Method of Subscription	Mgmt	For	For	For	
7	Issue Price and Pricing Principles	Mgmt	For	For	For	
8	Size of Issuance	Mgmt	For	For	For	
9	Use of Proceeds	Mgmt	For	For	For	
10	Arrangements for Distribution Of Accumulated Profits	Mgmt	For	For	For	
11	Lock-up Period	Mgmt	For	For	For	
12	Place of Listing	Mgmt	For	For	For	
13	Valid Period of Resolution	Mgmt	For	For	For	
14	Plan on Non-Public Issuance	Mgmt	For	For	For	
15	Report on Use of Proceeds From Non-Public Issuance	Mgmt	For	For	For	
16	Dilution of Current Returns and Remedial Measures	Mgmt	For	For	For	
17	Amendments to Articles	Mgmt	For	For	For	
18	Use of Proceeds From Previous Fund Raising Activities	Mgmt	For	For	For	
19	Board Authorization	Mgmt	For	For	For	

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For Non-Public  
Issuance

20	Shareholders' Return Plan	Mgmt	For	For	For
21	Special Self-Inspection Report on Real Estate Business	Mgmt	For	For	For
22	Letter of Undertaking Issued by Controlling Shareholder	Mgmt	For	For	For
23	Letter of Undertaking Issued by Directors, Supervisors and Senior Management	Mgmt	For	For	For

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### Midea Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000333	CINS Y6S40V103	02/01/2016	Voted		
	Meeting Type	Country of Trade			
	Special	China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Super & Short-term Commercial Paper	Mgmt	For	For	For
2	Elect LIU Min as Supervisor	Mgmt	For	Against	Against

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### Midea Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000333	CINS Y6S40V103	04/26/2016	Voted		
	Meeting Type	Country of Trade			
	Annual	China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report and Its Summary	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Shareholder Returns Plan	Mgmt	For	For	For
7	Termination of Share Repurchase	Mgmt	For	For	For

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8	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
9	Authority to Give Guarantees to Midea Group Financial Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Midea Microfinance Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Foshan Shunde Midea Microfinance Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Guangdong Midea Refrigeration Equipment Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Guangdong Midea Kitchen Appliance Manufacturing Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Guangdong Weite Vacuum Electronics Manufacturing Co., Ltd.	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Guangdong Midea HVAC Equipment Co., Ltd.	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Guangdong Midea Commercial Air Conditioning Equipment Co., Ltd.	Mgmt	For	Against	Against
17	Authority to Give Guarantees to Guangdong Midea Hicks Electronics Co., Ltd.	Mgmt	For	Against	Against

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18	Authority to Give Guarantees to Guangdong Midea Life Electronics Manufacturing Co., Ltd.	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Foshan Shunde Midea Electric Appliances Manufacturing Co., Ltd.	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Guangdong Midea Kitchen Appliances Manufacturing Co., Ltd.	Mgmt	For	Against	Against
21	Authority to Give Guarantees to Foshan Shunde Midea Fountains Manufacturing Co., Ltd.	Mgmt	For	Against	Against
22	Authority to Give Guarantees to Foshan Midea Qinghu Water Purification Equipment Co., Ltd.	Mgmt	For	Against	Against
23	Authority to Give Guarantees to Guangdong Midea Environment Electric Manufacturing Co., Ltd.	Mgmt	For	Against	Against
24	Authority to Give Guarantees to Foshan Shunde Midea Washing Appliances Manufacturing Co., Ltd.	Mgmt	For	Against	Against
25	Authority to Give Guarantees to Guangdong Meizhi Refrigeration Equipment Co., Ltd.	Mgmt	For	Against	Against
26	Authority to Give Guarantees to Guangdong Meizhi Precision Manufacturing Co., Ltd.	Mgmt	For	Against	Against

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27	Authority to Give Guarantees to Guangdong Welling Motor Manufacturing Co., Ltd.	Mgmt	For	Against	Against
28	Authority to Give Guarantees to Foshan Welling Electronics Co., Ltd.	Mgmt	For	Against	Against
29	Authority to Give Guarantees to Foshan Welling Washing Motor Manufacturing Co., Ltd.	Mgmt	For	Against	Against
30	Authority to Give Guarantees to Ningbo Midea United Supplies Co., Ltd.	Mgmt	For	Against	Against
31	Authority to Give Guarantees to Foshan Welling Material Supplies Co., Ltd.	Mgmt	For	Against	Against
32	Authority to Give Guarantees to Guangzhou Kaizhao Trading Co., Ltd.	Mgmt	For	Against	Against
33	Authority to Give Guarantees to Foshan Midea Kaili Refrigeration Equipment Co., Ltd.	Mgmt	For	Against	Against
34	Authority to Give Guarantees to Guangdong Midea Group Wuhu Refrigeration Equipment Co., Ltd.	Mgmt	For	Against	Against
35	Authority to Give Guarantees to Wuhu Meizhi Air Conditioning Co., Ltd.	Mgmt	For	Against	Against
36	Authority to Give Guarantees to Hefei Midea Refrigerator Co.,	Mgmt	For	Against	Against

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	Ltd.				
37	Authority to Give Guarantees to Hubei Midea Refrigerator Co., Ltd.	Mgmt	For	Against	Against
38	Authority to Give Guarantees to Hefei Hualing Co., Ltd.	Mgmt	For	Against	Against
39	Authority to Give Guarantees to Guangzhou Midea Hualing Refrigerator Co., Ltd.	Mgmt	For	Against	Against
40	Authority to Give Guarantees to Hefei Midea HVAC Equipment Co., Ltd.	Mgmt	For	Against	Against
41	Authority to Give Guarantees to Hefei Meilianbo Air Conditioner Co., Ltd.	Mgmt	For	Against	Against
42	Authority to Give Guarantees to Wuhu Midea Kitchen Appliances Manufacturing Co., Ltd.	Mgmt	For	Against	Against
43	Authority to Give Guarantees to Wuhu Midea Washing Appliances Manufacturing Co., Ltd.	Mgmt	For	Against	Against
44	Authority to Give Guarantees to Anhui Meizhi Refrigeration Equipment Co., Ltd.	Mgmt	For	Against	Against
45	Authority to Give Guarantees to Anhui Meizhi Precision Manufacturing Co., Ltd.	Mgmt	For	Against	Against
46	Authority to Give Guarantees to Welling (Wuhu) Motor Manufacturing Co.,	Mgmt	For	Against	Against

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	Ltd.				
47	Authority to Give Guarantees to Ande Logistics Co., Ltd.	Mgmt	For	Against	Against
48	Authority to Give Guarantees to Hefei Midea Washing Machine Co., Ltd.	Mgmt	For	Against	Against
49	Authority to Give Guarantees to Jiangsu Midea Cleaning Appliances Co., Ltd.	Mgmt	For	Against	Against
50	Authority to Give Guarantees to Jiangxi Midea Guiya Lighting Co., Ltd.	Mgmt	For	Against	Against
51	Authority to Give Guarantees to Changzhou Welling Motor Manufacturing Co., Ltd.	Mgmt	For	Against	Against
52	Authority to Give Guarantees to Midea Internationals Holdings Ltd.	Mgmt	For	Against	Against
53	Authority to Give Guarantees to Midea International Trading Co., Ltd.	Mgmt	For	Against	Against
54	Authority to Give Guarantees to Midea Electric (BVI) Co., Ltd.	Mgmt	For	Against	Against
55	Authority to Give Guarantees to Kapu Macao Offshore Business Service Co., Ltd.	Mgmt	For	Against	Against
56	Authority to Give Guarantees to Welling International Hong Kong Limited	Mgmt	For	Against	Against
57	Authority to Give	Mgmt	For	Against	Against

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	Guarantees to Main Electronics Co., Ltd.				
58	Authority to Give Guarantees to Midea Electronics (Singapore) Trading Co., Ltd.	Mgmt	For	Against	Against
59	Authority to Give Guarantees to Midea Life Electronics (Vietnam) Co., Ltd.	Mgmt	For	Against	Against
60	Authority to Give Guarantees to Springer Carrier Ltda. and Climazon Industrial Ltda.	Mgmt	For	Against	Against
61	Authority to Give Guarantees to Carrier S.A. and Carrier Fueguina S.A.	Mgmt	For	Against	Against
62	Authority to Give Guarantees to Carrier (Chile) S.A.	Mgmt	For	Against	Against
63	Authority to Give Guarantees to Midea Electrics Netherlands B.V.	Mgmt	For	Against	Against
64	Authority to Give Guarantees to Midea America Corp.	Mgmt	For	Against	Against
65	Authority to Give Guarantees to PT. Midea Planet Indonesia Co., Ltd.	Mgmt	For	Against	Against
66	Authority to Give Guarantees to Midea Scott & English Electronics SDN BHD	Mgmt	For	Against	Against
67	Authority to Give Guarantees to Midea America (Canada) Corp.	Mgmt	For	Against	Against

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68	Authority to Give Guarantees to Midea Middle East	Mgmt	For	Against	Against
69	Authority to Give Guarantees to Midea Europe GmbH	Mgmt	For	Against	Against
70	Authority to Give Guarantees to Midea ITALIA S.R.L.	Mgmt	For	Against	Against
71	Authority to Give Guarantees to Midea MEXICO, S. DE R.L. DE C.V.	Mgmt	For	Against	Against
72	Authority to Give Guarantees to Orient Household Appliances Ltd.	Mgmt	For	Against	Against
73	Authority to Give Guarantees to Shanxi Huaxiang Group Co., Ltd.	Mgmt	For	Against	Against
74	Related Party Transactions: 2016 Forcast	Mgmt	For	For	For
75	Related Party Transactions: Bill Discounting Business	Mgmt	For	For	For
76	Related Party Transactions: Deposit and Loan Service	Mgmt	For	For	For
77	Foreign Exchange Transactions	Mgmt	For	For	For
78	Investment in Wealth Management Products with Idle Funds	Mgmt	For	For	For
79	Amendments to Articles	Mgmt	For	For	For
80	Appointment of Auditor	Mgmt	For	Against	Against

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Midea Group Company Limited

Ticker Security ID:  
000333 CINS Y6S40V103

Meeting Date  
05/11/2016

Meeting Status  
Voted

Meeting Type  
Special

Country of Trade  
China

Issue No. Description

Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
Midea Group Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
000333	CINS Y6S40V103	06/06/2016		Voted	
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Approval of Borrowing and Authority to Give Guarantees	Mgmt	For	Against	Against
3	Approval of Tender Offer to Shareholders of KUKA Aktiengesellschaft	Mgmt	For	Abstain	Against
4	Approval of Eligibility for Asset Restructuring	Mgmt	For	Abstain	Against
5	Method of Aquisition	Mgmt	For	Abstain	Against
6	Target Assets	Mgmt	For	Abstain	Against
7	Transaction Counter-parties	Mgmt	For	Abstain	Against
8	Transaction Price and Estimated Total Price	Mgmt	For	Abstain	Against
9	Amounts of Shares to be Acquired	Mgmt	For	Abstain	Against
10	Validity Period	Mgmt	For	Abstain	Against
11	Approval of Transactions Non-constitution of Related Party Transaction	Mgmt	For	Abstain	Against
12	Approval of Transaction Report and Summary	Mgmt	For	Abstain	Against
13	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	Abstain	Against
14	Completeness and Compliance in Legal Procedure and	Mgmt	For	Abstain	Against

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Validity of Legal Documents					
15	Approval of Differences in Accounting Standards involved in Transaction	Mgmt	For	Abstain	Against
16	Approval of Fairness of Pricing	Mgmt	For	Abstain	Against
17	Approval of Matters Relating Possible Dilution to Current Returns	Mgmt	For	Abstain	Against
18	Board Authorization to Implement Acquisition	Mgmt	For	Abstain	Against
19	Plan Participants	Mgmt	For	For	For
20	Source and Number of Shares	Mgmt	For	For	For
21	Duration, Grant Date, Waiting Period, Exercise Date and Lock-in Period	Mgmt	For	For	For
22	Exercise Price or Method of Determination	Mgmt	For	For	For
23	Conditions for Granting and Exercising	Mgmt	For	For	For
24	Size, Method and Adjustment to Procedures to Adjust Exercise Price	Mgmt	For	For	For
25	Accounting Treatment	Mgmt	For	For	For
26	Procedures for Granting and Exercising	Mgmt	For	For	For
27	Rights and Obligations of Plan Participants and the Company	Mgmt	For	For	For
28	Approval of Conditions to Change or Terminate the Plan	Mgmt	For	For	For

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29	Formulation of Methods to Assess Performance of Plan Participants	Mgmt	For	For	For
30	Board Authorization to Implement Stock Option Incentive Plan	Mgmt	For	For	For
31	Amendments to Articles	Mgmt	For	For	For
32	Authority to Give Guarantees to Subsidiaries	Mgmt	For	For	For

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Midea Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000333	CINS Y6S40V103	06/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Midea Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000333	CINS Y6S40V103	07/13/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Repurchase Method and Purpose	Mgmt	For	For	For
2	Pricing Method	Mgmt	For	For	For
3	Size of Repurchase and Source of Funds	Mgmt	For	For	For
4	Type, Amount and Percentage of Shares	Mgmt	For	For	For
5	Period of Repurchase	Mgmt	For	For	For
6	Validity Period	Mgmt	For	For	For
7	Board Authorization to Implement Share Repurchase	Mgmt	For	For	For

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Midea Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000333	CINS Y6S40V103	09/18/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Elect FANG Hongbo	Mgmt	For	Against	Against
2	Elect YUAN Liqun	Mgmt	For	Against	Against
3	Elect WU Wenxin	Mgmt	For	For	For
4	Elect HE Jianfeng	Mgmt	For	For	For
5	Elect LI Feide	Mgmt	For	Against	Against
6	Elect HU Xiaoling	Mgmt	For	For	For
7	Elect XU Hai	Mgmt	For	For	For
8	Elect WU Shinong	Mgmt	For	Against	Against
9	Elect RUI Meng	Mgmt	For	For	For
10	Elect GUO Xuejin	Mgmt	For	Against	Against
11	Elect LI Wenjing	Mgmt	For	Against	Against
12	Elect ZENG Qiao	Mgmt	For	For	For
13	Elect ZHAO Jun	Mgmt	For	Against	Against
14	Amendments to Articles	Mgmt	For	For	For

Midea Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000333	CINS Y6S40V103	12/04/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles - Business Scope	Mgmt	For	For	For
2	Change of Auditor	Mgmt	For	For	For

NARI Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600406	CINS Y6S99Q112	04/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
10	Independent Directors' Fees	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Elect XI Guofu	Mgmt	For	For	For

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13	Elect WU Weining	Mgmt	For	Against	Against
14	Elect HU Jiangyi	Mgmt	For	For	For
15	Elect ZHANG Ningjie	Mgmt	For	For	For
16	Elect JI Kan	Mgmt	For	For	For
17	Elect ZHENG Yuping	Mgmt	For	For	For
18	Elect ZHENG Zongqiang	Mgmt	For	For	For
19	Elect MIN Tao	Mgmt	For	For	For
20	Elect ZHENG Chuiyong	Mgmt	For	For	For
21	Elect ZENG Ming	Mgmt	For	For	For
22	Elect LIU Xiangming	Mgmt	For	For	For
23	Elect CHANG Guihua	Mgmt	For	For	For
24	Elect ZHANG Jianwei	Mgmt	For	Against	Against
25	Elect DING Haidong	Mgmt	For	For	For
26	Elect ZHANG Guohui	Mgmt	For	For	For
27	Elect SHENG Fang	Mgmt	For	Against	Against

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Neusoft Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
600718	CINS Y6280M102	01/13/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Private Placement	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Method of Issuance	Mgmt	For	For	For
5	Target Subscribers and Subscription Method	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Issue Price and Pricing Principle	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Amount and Use of Proceeds	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Treatment of Undistributed Profits	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Preliminary Plan for Private Placement	Mgmt	For	For	For
14	Approval to Sign Contingent Subscription Agreement for Private Placement	Mgmt	For	For	For

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15	Related Party Transactions Regarding Private Placement	Mgmt	For	For	For
16	Report on Use of Previously Raised Funds	Mgmt	For	For	For
17	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
18	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
19	Shareholder Returns Plan	Mgmt	For	For	For
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For
21	Transfer of ERP Business and Computer Software Copyright	Mgmt	For	For	For

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Neusoft Corporation

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ticker	Security ID:	Meeting Date	Meeting Status		
600718	CINS Y6280M102	04/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Purchase of Raw Materials	Mgmt	For	For	For
10	Sales of Products	Mgmt	For	For	For
11	Provision of Labor and Services	Mgmt	For	For	For
12	Receipt of Labor and Services	Mgmt	For	For	For

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13	Intra-company Agreements	Mgmt	For	For	For
14	Elect DENG Feng	Mgmt	For	For	For

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Neusoft Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
600718	CINS Y6280M102	07/23/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Extension of Provision of Financial Aid	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Neusoft Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
600718	CINS Y6280M102	08/20/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Participants	Mgmt	For	For	For
2	Source, Size and Allocation of Restricted Shares	Mgmt	For	For	For
3	Validity Period of Plan, Grant Date, Lock-up Period, Unlocking Period and Transfer Restrictions	Mgmt	For	For	For
4	Granting Price and Determination	Mgmt	For	For	For
5	Grant and Unlock Conditions	Mgmt	For	For	For
6	Plan Adjustment Method and Procedure	Mgmt	For	For	For
7	Accounting Treatment	Mgmt	For	For	For
8	Implement, Granting and Unlocking Procedures	Mgmt	For	For	For
9	Arrangements for Reserved Restricted Shares	Mgmt	For	For	For
10	Rights and Obligations	Mgmt	For	For	For

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of the Company and  
Participants

11	Contingency Measures	Mgmt	For	For	For
12	Principles for Share Repurchase and Cancellation	Mgmt	For	For	For
13	Establishment of Administrative Measures for Employee Equity Compensation Plan	Mgmt	For	For	For
14	Board Authorization to Implement Employee Equity Compensation Plan	Mgmt	For	For	For

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New China Life Insurance Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1336	CINS Y625A4107	03/04/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue the 2016 Capital Supplement Bond	Mgmt	For	For	For
2	Elect WAN Feng	Mgmt	For	For	For
3	Elect LI Zongjian	Mgmt	For	For	For
4	Elect LIU Xiangdong	Mgmt	For	Against	Against
5	Elect CHEN Yuanling	Mgmt	For	For	For
6	Elect WU Kunzong	Mgmt	For	Against	Against
7	Elect HU Aimin	Mgmt	For	For	For
8	Elect John Robert Dacey	Mgmt	For	For	For
9	Elect ZHANG Guozheng	Mgmt	For	For	For
10	Elect LI Xianglu	Mgmt	For	For	For
11	Elect Anthony Francis Neoh	Mgmt	For	For	For
12	Elect ZHENG Wei	Mgmt	For	For	For
13	Elect WANG Chengran	Mgmt	For	Against	Against
14	Elect LIU Zhiyong	Mgmt	For	Against	Against
15	Elect Desmond LIN Chi Fai	Mgmt	For	For	For
16	Elect Robert D Campbell as Director	Mgmt	For	For	For
17	Elect Mark FONG Chung (FANG Zhong) as Director	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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New China Life Insurance Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1336	CINS Y625A4107	06/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report of Directors' Performance	Mgmt	For	For	For
7	Report of Independent Non-executive Directors' Performance	Mgmt	For	For	For
8	Elect CHENG Lie	Mgmt	For	For	For
9	Chairman's Fees	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Amendments to Articles	Mgmt	For	For	For

New China Life Insurance Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1336	CINS Y625A4107	10/30/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
2	Establishment of New China Insurance Charity Foundation	Mgmt	For	For	For

New Hope Liuhe Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000876	CINS Y7933V101	04/13/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Related Party Transactions	Mgmt	For	For	For
2	Authority to Issue Super & Short-term Commercial Paper	Mgmt	For	For	For
3	Authority to Issue Medium-term Notes	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For

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New Hope Liuhe Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000876	CINS Y7933V101	05/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Elect LIU Yonghao	Mgmt	For	For	For
7	Elect LIU Chang	Mgmt	For	For	For
8	Elect LI Bing	Mgmt	For	Against	Against
9	Elect WANG Hang	Mgmt	For	For	For
10	Elect LI Jianxiong	Mgmt	For	For	For
11	Elect WEN Tiejun	Mgmt	For	For	For
12	Elect HU Zhi	Mgmt	For	For	For
13	Elect WANG Pu	Mgmt	For	For	For
14	Elect XU Zhigang	Mgmt	For	Against	Against
15	Elect LIU Jun	Mgmt	For	Against	Against
16	Elect HAN Xiaowei	Mgmt	For	For	For
17	Authority to Give Guarantees	Mgmt	For	For	For

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New Hope Liuhe Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000876	CINS Y7933V101	06/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Eligibility for Asset Acquisition by Stock and Cash	Mgmt	For	For	For
3	Overall Plan	Mgmt	For	For	For
4	Share Type and Par	Mgmt	For	For	For

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	Value				
5	Issuing Method and Targets	Mgmt	For	For	For
6	Pricing	Mgmt	For	For	For
7	Size of Issuance	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Distribution Arrangement of Undistributed Profits	Mgmt	For	For	For
10	Profits and Losses during Transaction Period	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Cash Payment Plan	Mgmt	For	For	For
13	Breach of Contract and Liability	Mgmt	For	For	For
14	Validity Period of Resolution	Mgmt	For	For	For
15	Preliminary Plan of Acquisition	Mgmt	For	For	For
16	Signing Agreements	Mgmt	For	For	For
17	Signing Supplemental Agreements	Mgmt	For	For	For
18	Non Related Party Transaction	Mgmt	For	For	For
19	Board Authorization to Implement Acquisition	Mgmt	For	For	For
20	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For
21	Approval of Audit Report and Appraisal Report	Mgmt	For	For	For
22	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
23	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For

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New Hope Liuhe Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
000876	CINS Y7933V101	11/19/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Postponement of Trading Resumption	Mgmt	For	For	For

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Ningbo Port Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601018	CINS Y6298E100	04/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and Its Summary	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Supervisors' Fees	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Financial Budget	Mgmt	For	For	For
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Approval of Debt Financing Limit	Mgmt	For	For	For
12	Compliance of Asset Purchase with the Relevant Laws and Regulations	Mgmt	For	For	For
13	Transaction Counterparty	Mgmt	For	For	For
14	Target Asset	Mgmt	For	For	For
15	Payment Method	Mgmt	For	For	For
16	Transaction Amount	Mgmt	For	For	For
17	Stock Type and Par Value	Mgmt	For	For	For
18	Method of Issuance	Mgmt	For	For	For
19	Target and Method of Subscription	Mgmt	For	For	For
20	Issue Price and Size	Mgmt	For	For	For

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	of Issuance				
21	Profits and Losses Arrangement During the Assets to be Purchased	Mgmt	For	For	For
22	Transfer of related assets' ownership and contractual liability and obligations	Mgmt	For	For	For
23	Lock-up Period	Mgmt	For	For	For
24	Market of Listing	Mgmt	For	For	For
25	Staff Resettlement	Mgmt	For	For	For
26	Validity of Resolution	Mgmt	For	For	For
27	Approval of Report of Assets Acquisition by Stock	Mgmt	For	For	For
28	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For
29	Regarding the Company's Acquisition Constituting Related Party Transactions	Mgmt	For	For	For
30	Contingent Agreements with Counterparty	Mgmt	For	For	For
31	Supplemental Agreements with Counterparty	Mgmt	For	For	For
32	Sign Impairment Compensation Agreement with Counterparty	Mgmt	For	For	For
33	Independence of Appraise, Rationality of Assumptions, Relevance of Valuation and Fairness of Pricing Method	Mgmt	For	For	For
34	Ratification of Relevant Reports	Mgmt	For	For	For
35	Dilution of Immediate	Mgmt	For	For	For

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Return and Measures					
36	Pricing Principle and Fairness	Mgmt	For	For	For
37	Completeness and Compliance in Legal Procedure and Validity of Legal Documents	Mgmt	For	For	For
38	Board Authorization to Implement Assets Acquisition	Mgmt	For	For	For
39	Elect HAO Bin	Mgmt	For	For	For
40	Elect JIANG Yipeng	Mgmt	For	For	For
41	Elect SUN Daqing	Mgmt	For	For	For
42	Elect CHEN Zhiang	Mgmt	For	For	For
43	Elect LU Jing	Mgmt	For	For	For
44	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	02/02/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HE Junyan as Director	Mgmt	For	For	For
2	Elect YANG Shucai as Supervisor	Mgmt	For	Against	Against

Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	02/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Measures for Diluted Returns	Mgmt	For	For	For
2	Commitments from Executives and Directors for Diluted	Mgmt	For	For	For

Return Measures

Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	05/17/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisor' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Amendments to Articles	Mgmt	For	For	For
8	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	Abstain	Against
9	Amendments to Management System for Remuneration and Appraisal for Directors and Supervisors	Mgmt	For	For	For
10	Deputy Chief Supervisor's Fees	Mgmt	For	For	For
11	Amendments to Company Domicile and Articles	Mgmt	For	For	For
12	Establishment of a Subsidiary	Mgmt	For	For	For
13	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For

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Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	05/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase in a Wholly-owned Subsidiary	Mgmt	For	For	For

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Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	10/12/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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2	Amendment to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
3	Elect DU Jie as Independent Director	Mgmt	For	For	For

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### Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
000686	CINS Y6411E102	12/15/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Fuchun as Director	Mgmt	For	For	For

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### Oceanwide Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
000046	CINS Y8345D101	01/25/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase of Wholly-owned Subsidiary Wuhan Zhongyang Business District Investment Co., Ltd.	Mgmt	For	For	For
2	Capital Increase of Wholly-owned Subsidiary Fanhai Equity Investment Management Co., Ltd.	Mgmt	For	For	For

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### Oceanwide Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
000046	CINS Y8345D101	02/22/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Purchase of Equity Stake	Mgmt	For	For	For
2	Participation in Capital Increase	Mgmt	For	For	For
3	Authority to Give	Mgmt	For	Against	Against

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### Guarantees

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Oceanwide Holdings Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
000046	CINS Y8345D101	03/21/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For	
3	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against	
4	Related Party Transactions Forecast	Mgmt	For	For	For	
5	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against	
6	Authority to Give Guarantees to Beijing Shanhaitian Trading Co., Ltd.	Mgmt	For	Against	Against	
7	Authority to Give Guarantees to Wuhan CBD Investment & Development Co., Ltd.	Mgmt	For	Against	Against	
8	Authority to Give Guarantees to Wuhan Central Building Investment & Development Co., Ltd.	Mgmt	For	Against	Against	

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Oceanwide Holdings Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
000046	CINS Y8345D101	03/31/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Private Placement	Mgmt	For	Against	Against	

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2	Stock Type and Par Value	Mgmt	For	Against	Against
3	Method and Date of Issuance	Mgmt	For	Against	Against
4	Issuance Targets and Subscription Method	Mgmt	For	Against	Against
5	Issuance Price and Pricing Principals	Mgmt	For	Against	Against
6	Size of Issuance	Mgmt	For	Against	Against
7	Lock-up Period	Mgmt	For	Against	Against
8	Listing Period	Mgmt	For	Against	Against
9	Amount and Purpose of Raised Funds	Mgmt	For	Against	Against
10	Arrangement for Undistributed Profits	Mgmt	For	Against	Against
11	Validity Period	Mgmt	For	Against	Against
12	Preliminary Plan for Private Placement Issuance	Mgmt	For	Against	Against
13	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
14	Related Party Transactions for Private Placement	Mgmt	For	Against	Against
15	Conditional Share Subscription Agreements for Private Placement	Mgmt	For	Against	Against
16	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
17	Self-inspection Report for Real Estate Business	Mgmt	For	For	For
18	Commitments for Real Estate Business by Directors, Supervisors, Senior Management, Controlling Shareholder and De Facto Controller	Mgmt	For	For	For

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19	Filing Measures for Diluted Immediate Returns	Mgmt	For	Against	Against
20	Approval of Commitments of Management for Dilution Measures	Mgmt	For	Against	Against
21	Board Authorization to Implement Private Placement	Mgmt	For	Against	Against

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Oceanwide Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000046	CINS Y8345D101	04/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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Oceanwide Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000046	CINS Y8345D101	05/25/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
3	Elect FENG Zhuangyong	Mgmt	For	For	For

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Oceanwide Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000046	CINS Y8345D101	07/09/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Subsidiary's Bonds Issuance	Mgmt	For	Against	Against

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Oceanwide Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000046	CINS Y8345D101	08/31/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility to Issue Corporate Bonds	Mgmt	For	For	For
2	Volume of Issuance	Mgmt	For	For	For
3	Issuance Targets and Method	Mgmt	For	For	For
4	Bond Type and Maturity	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Guarantee Method	Mgmt	For	For	For
7	Purpose of Raised Funds	Mgmt	For	For	For
8	Account for Raised Funds	Mgmt	For	For	For
9	Repayment Guarantee Measures	Mgmt	For	For	For
10	Trading and Circulation of Issuance	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Volume of Issuance	Mgmt	For	For	For
13	Issuance Targets and Method	Mgmt	For	For	For
14	Bond Type and Maturity	Mgmt	For	For	For
15	Interest Rate	Mgmt	For	For	For
16	Guarantee Method	Mgmt	For	For	For
17	Purpose of Raised Funds	Mgmt	For	For	For
18	Account for Raised Funds	Mgmt	For	For	For
19	Repayment Guarantee Measures	Mgmt	For	For	For
20	Listing Market	Mgmt	For	For	For
21	Validity Period	Mgmt	For	For	For
22	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
23	Elect YU Yumiao	Mgmt	For	For	For
24	Elect XU Xinzong	Mgmt	For	For	For

Oceanwide Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000046	CINS Y8345D101	11/02/2015		Voted	
Meeting Type		Country of Trade			
Special		China			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Method and Targets of Issuance	Mgmt	For	For	For
4	Bond Type and Duration	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Guarantee Method	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Raised Fund Account	Mgmt	For	For	For
9	Guarantee Repayment Measures	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Size of Issuance	Mgmt	For	For	For
13	Issuance Method and Targets	Mgmt	For	For	For
14	Bond Type and Duration	Mgmt	For	For	For
15	Interest Rate	Mgmt	For	For	For
16	Guarantee Method	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Account for Raised Funds	Mgmt	For	For	For
19	Repayment Guarantee Measures	Mgmt	For	For	For
20	Trading and Circulation of Bonds	Mgmt	For	For	For
21	Validity Period	Mgmt	For	For	For
22	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
23	Elect CHEN Feixiang	Mgmt	For	For	For
24	Elect ZHU Ciyun	Mgmt	For	For	For
25	Portfolio Investment Plan	Mgmt	For	For	For

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Oceanwide Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000046	CINS Y8345D101	11/26/2015	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Beijing Shanhaitian Material	Mgmt	For	Against	Against

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Trading Co.,  
Ltd.

2	Authority to Give Guarantees to Company Beijing Real Estate Development Co., Ltd. and Beijing East Asia Standard Properties Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Zhejiang Asia Standard Construction Investment Co., Ltd.	Mgmt	For	Against	Against

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Oceanwide Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000046	CINS Y8345D101	12/14/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Construction Project Investment	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

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Oceanwide Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000046	CINS Y8345D101	12/28/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition - Capital Increase to Minsheng Securities Co., Ltd.	Mgmt	For	For	For
2	Merger/Acquisition - Capital Increase to Minsheng Futures Co., Ltd.	Mgmt	For	For	For
3	Elect ZANG Wei as Supervisor	Mgmt	For	Against	Against

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Offshore Oil Engineering Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600583	CINS Y6440J106	05/17/2016	Voted		

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect ZHU Lei	Mgmt	For	For	For

Offshore Oil Engineering Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600583	CINS Y6440J106	09/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Joint Venture with Fluor Limited	Mgmt	For	For	For
2	Authority to Give Guarantees to a Subsidiary	Mgmt	For	For	For

Orient Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600958	CINS Y6S79P100	05/25/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Scale of Proprietary Business	Mgmt	For	For	For
7	Size of Issuance	Mgmt	For	For	For
8	Term of Bonds	Mgmt	For	For	For
9	Coupon Rate	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Listing Market and Target Investor	Mgmt	For	For	For
12	Advanced Redemption and Renewal	Mgmt	For	For	For

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13	Deferred Interest Payment	Mgmt	For	For	For
14	Compulsory Interest Payment Matters	Mgmt	For	For	For
15	Validity of Resolution	Mgmt	For	For	For
16	Scope of Authorization	Mgmt	For	For	For
17	Issue Method	Mgmt	For	For	For
18	Issuance Type	Mgmt	For	For	For
19	Size of Issuance	Mgmt	For	For	For
20	Issuer	Mgmt	For	For	For
21	Issue Period	Mgmt	For	For	For
22	Interest, Payment Method and Issue Price	Mgmt	For	For	For
23	Guarantee and Other Arrangement	Mgmt	For	For	For
24	Use of Proceeds	Mgmt	For	For	For
25	Target Investor	Mgmt	For	For	For
26	Listing of Debt Financing Instruments	Mgmt	For	For	For
27	Validity of Resolution	Mgmt	For	For	For
28	Scope of Authorization	Mgmt	For	For	For
29	Approval of Trust Business of Securities Investment Fund	Mgmt	For	For	For
30	Appointment of Auditor	Mgmt	For	For	For
31	Related Party Transactions with Shenergy Group Co., Ltd.	Mgmt	For	For	For
32	Related Party Transactions with Wenhui Xinmin United Press Group	Mgmt	For	For	For
33	Other Related Party Transactions	Mgmt	For	For	For
34	Independent Directors' Fees	Mgmt	For	For	For
35	Elect HUANG Laifang	Mgmt	For	For	For

Orient Securities Company Limited

Ticker Security ID: Meeting Date Meeting Status  
600958 CINS Y6S79P100 09/22/2015 Voted

Meeting Type Country of Trade  
Special China

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

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1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Regarding Asset Securitization Business	Mgmt	For	For	For
3	Expansion of Business Scope	Mgmt	For	For	For
4	Approval to Issue H Shares and List in Hong Kong Stock	Mgmt	For	For	For
5	Exchange Share Type and Par Value	Mgmt	For	For	For
6	Issuance Date	Mgmt	For	For	For
7	Offering Method	Mgmt	For	For	For
8	Size of Issuance	Mgmt	For	For	For
9	Pricing Method	Mgmt	For	For	For
10	Target Subscribers	Mgmt	For	For	For
11	Share Allocation Principles	Mgmt	For	For	For
12	Stated-owned Shares Reduction	Mgmt	For	For	For
13	Reclassification as Overseas-Listed Company	Mgmt	For	For	For
14	Valid Period of Resolution of H Share Issuance and Hong Kong Listing	Mgmt	For	For	For
15	Board Authorization to Implement H Share Issuance and Hong Kong Listing	Mgmt	For	For	For
16	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
17	Approval of Use of Proceeds of H Shares	Mgmt	For	For	For
18	Arrangements for Undistributed Profits	Mgmt	For	For	For
19	Amendments to Articles	Mgmt	For	For	For
20	Amendments to	Mgmt	For	For	For

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Procedural Rules:  
Shareholder  
Meetings

21	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
22	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
23	Amendments to the Administrative System for Independent Directors	Mgmt	For	For	For
24	Amendments to Management System for Related Party Transaction	Mgmt	For	For	For
25	Amendments to Management System for External Guarantees	Mgmt	For	For	For
26	Amendments to Management System for Raised Funds	Mgmt	For	For	For
27	Liability Insurance	Mgmt	For	Abstain	Against
28	Elect XU Zhiming as Independent Director	Mgmt	For	For	For

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Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	02/02/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Public Offer of Corporate Bonds	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Placing Arrangement to Shareholders	Mgmt	For	For	For
5	Bond Type and Maturity	Mgmt	For	For	For
6	Coupon Rate and Interest Payment Method	Mgmt	For	For	For

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7	Offering Method	Mgmt	For	For	For
8	Target Investors	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Rating Enhance Measures	Mgmt	For	For	For
11	Repayment Guarantee Measures	Mgmt	For	For	For
12	Underwriting Method	Mgmt	For	For	For
13	Listing Market	Mgmt	For	For	For
14	Validity Period	Mgmt	For	For	For
15	Board Authorization to Implement Corporate Bonds	Mgmt	For	For	For
16	Investment in Shenzhen Tianji Venture Capital Enterprises	Mgmt	For	For	For
17	Approve Company Auditor to Conduct Asset Impairment Test	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For
19	Investment in Shenzhen Guojin Tianhui Venture Capital Enterprises	Mgmt	For	For	For

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Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300315	CINS Y6298P105	03/23/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Modification of Use of Proceeds and Asset Aquisition	Mgmt	For
			For
			For

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Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300315	CINS Y6298P105	03/28/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For
			For
			For

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Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300315	CINS Y6298P105	04/26/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against

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Mgmt

1	Elect YAO Wenzhe	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against

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Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	05/12/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and Its Summary	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Approve Repurchase of Shares from Liu Zhijun and Others with Write-off related Shares	Mgmt	For	For	For
8	Board Authorization to Implement Matters Related to the Repurchase	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

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Pangang Group Vanadium Titanium & Resources Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000629	CINS Y6724S103	06/14/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Financial Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Purchase and Sales Agreement with Pangang Group Co.,	Mgmt	For	For	For

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Ltd.

8	Related Party Transactions	Mgmt	For	Against	Against
9	Investment Plan	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For

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Pangang Group Vanadium Titanium and Resources Company Limited  
 Ticker Security ID: Meeting Date Meeting Status  
 000629 CINS Y6724S103 11/19/2015 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Approval of Purchasing and Sales Agreement with Panzhihua Iron and Steel Co., Ltd.	Mgmt	For	For	For
2	Approval of Supply Agreements with Angang Steel Co., Ltd.	Mgmt	For	For	For
3	Approval of Service and Supply Agreements with Anshan Iron and Steel Group Company	Mgmt	For	For	For
4	Elect ZHANG Qiang as Director	Mgmt	For	For	For
5	Elect WU Ming as Supervisor	Mgmt	For	Against	Against
6	Correction of Previous Accounting Errors	Mgmt	For	For	For
7	Authority to Issue Corporate Bonds	Mgmt	For	For	For

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Pangda Automobile Trade Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 601258 CINS Y708BH101 05/03/2016 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Employee Restricted Shares Plan	Mgmt	For	For	For
2	Appraisal Management Measures of Employee	Mgmt	For	For	For

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Restricted Shares  
Plan

3	Board Authority to Implement Employee Restricted Shares Plan	Mgmt	For	For	For
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Pangda Automobile Trade Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601258	CINS Y708BH101	05/16/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Internal Control Audit Firm	Mgmt	For	For	For
8	Independent Directors' Report	Mgmt	For	For	For
9	Application for Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees for Subsidiaries	Mgmt	For	Against	Against
11	Authority to Give Guarantees for Customers	Mgmt	For	Against	Against
12	Renewal of Merchandise Service Contract	Mgmt	For	For	For
13	2016 Related Party Transactions	Mgmt	For	For	For
14	Modification of Project Investment	Mgmt	For	For	For
15	Elect CHEN Xiguang	Mgmt	For	For	For
16	Eligibility to Issue Corporate Bonds	Mgmt	For	For	For
17	Authority to Issue	Mgmt	For	For	For

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Corporate  
Bonds

18	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For
19	Amendments to Articles	Mgmt	For	For	For
20	Implementation of the Three in One Registration System	Mgmt	For	For	For

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Pangda Automobile Trade Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601258	CINS Y708BH101	07/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Elect JIE Dongling as Supervisor	Mgmt	For	Against	Against

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Pangda Automobile Trade Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601258	CINS Y708BH101	07/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Elect JIE Dongling as Supervisor	Mgmt	For	Against	Against

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Pangda Automobile Trade Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601258	CINS Y708BH101	10/12/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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Mgmt

1	Adjustments to Terms of Corporate Bonds Issuance	Mgmt	For	For	For
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People.cn Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
603000 CINS Y6788E107 01/28/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Amendments to Articles	Mgmt	For	For	For
2	Approval of Signing Entrusted Construction Contract for Data Center	Mgmt	For	For	For
3	Approval of Signing Entrusted Contract for Client Development, Upgrading and Maintenance	Mgmt	For	For	For
4	Modification of Company's Business Scope	Mgmt	For	For	For
5	Election of TANG Ning as Supervisor	Mgmt	For	Against	Against
6	WANG Yibiao	Mgmt	For	For	For
7	YU Qingchu	Mgmt	For	For	For

People.cn Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
603000 CINS Y6788E107 06/29/2016 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Internal Auditors	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Supervisors' Fees	Mgmt	For	For	For

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9	Replenish Working Capital with Idle Funds	Mgmt	For	For	For
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People.cn Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
603000	CINS Y6788E107	12/07/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Removal of LIAO Hong as Director	Mgmt	For	For	For
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2	Removal of CHEN Zhixia as Director	Mgmt	For	For	For
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3	Elect NIU Yibing	Mgmt	For	For	For
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4	Transfer of Equity Stake	Mgmt	For	For	For
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Petrochina Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0857	CINS Y6883Q138	05/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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2	Directors' Report	Mgmt	For	For	For
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3	Supervisors' Report	Mgmt	For	For	For
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4	Accounts and Reports	Mgmt	For	For	For
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5	Allocation of Profits/Dividends	Mgmt	For	For	For
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6	Authority to Declare Interim Dividends	Mgmt	For	For	For
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7	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For
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8	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
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9	Elect XU Wenrong	Mgmt	For	For	For
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Ping An Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000001	CINS Y6896T103	05/19/2016	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2015 Related Party Transactions	Mgmt	For	Abstain	Against
7	Appointment of Auditor	Mgmt	For	For	For
8	Elect GUO Tianyong	Mgmt	For	For	For
9	Authority to Issue Financial Bonds	Mgmt	For	For	For

Ping An Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000001	CINS Y6896T103	07/31/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Extend Validity Period for Private Placement of Preferred Shares	Mgmt	For	For	For
2	Directors' Fees	Mgmt	For	For	For
3	Supervisors' Fees	Mgmt	For	For	For

Ping An Insurance (Group) Company of China, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2318	CINS Y6898C116	06/15/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect HUANG Baokui as Supervisor	Mgmt	For	For	For
8	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	For	For

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Ping An Insurance (Group) Company of China, Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
2318	CINS Y6898C116	12/17/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect LIU Chong	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Elect XIONG Peijin	Mgmt	For	For	For

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Poly Real Estate Group Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600048	CINS Y6987R107	05/05/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2016 INVESTMENT PLAN	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Related Party Transactions with Poly Finance Co., Ltd.	Mgmt	For	For	For
10	Related Party Transactions with Joint Ventures and Affiliated Entities	Mgmt	For	For	For
11	Extension of Validity of Resolution for Private Placement	Mgmt	For	For	For
12	Extension of Board Authority to Implement Private Placement	Mgmt	For	For	For
13	Eligibility for Bond Issuance	Mgmt	For	For	For
14	Par Value, Issue Price and Size of	Mgmt	For	For	For

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Issuance

15	Term of Bond	Mgmt	For	For	For
16	Interest Rates and Method of Determination	Mgmt	For	For	For
17	Method of Issuance	Mgmt	For	For	For
18	Guarantee Clause	Mgmt	For	For	For
19	Recall Provision	Mgmt	For	For	For
20	Deferred Interests Payment Provision	Mgmt	For	For	For
21	Deferred Interests Payment Restriction	Mgmt	For	For	For
22	Use of Proceeds	Mgmt	For	For	For
23	Target of Subscription and Treatment of the Company's Shareholders	Mgmt	For	For	For
24	Underwriting Method and Listing Market	Mgmt	For	For	For
25	The Company's Credit Status and Repayment Guarantee Measure	Mgmt	For	For	For
26	Validity of Resolution	Mgmt	For	For	For
27	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For

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Poly Real Estate Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600048	CINS Y6987R107	09/11/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance and Face Value	Mgmt	For	For	For
3	Maturity	Mgmt	For	For	For
4	Coupon Rate and Determination	Mgmt	For	For	For
5	Issuing Method	Mgmt	For	For	For
6	Guarantee Measures	Mgmt	For	For	For
7	Redemption and	Mgmt	For	For	For

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Repurchase  
Terms

8	Use of Proceeds	Mgmt	For	For	For
9	Issuing Targets	Mgmt	For	For	For
10	Underwriting Method and Listing Place	Mgmt	For	For	For
11	Repayment Guarantee Measures	Mgmt	For	For	For
12	Valid Period of Resolution	Mgmt	For	For	For
13	Board Authorization to Implement Corporate Bonds Issuance	Mgmt	For	For	For

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Power Construction Corporation of China, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601669	CINS Y7999Z103	01/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Signing of Project Completion Guarantee Agreement	Mgmt	For	For	For
2	Elect PEI Zhen as Outside Director	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Power Construction Corporation of China, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601669	CINS Y7999Z103	05/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Investment Plan	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Approval of Borrowing	Mgmt	For	Abstain	Against
10	Related Party	Mgmt	For	For	For

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Transactions

11	Appointment of Auditor and Internal Auditor	Mgmt	For	For	For
12	Directors' Fees 2015	Mgmt	For	For	For
13	Directors' Fees 2016	Mgmt	For	For	For
14	Supervisors' Fees 2015	Mgmt	For	For	For

Power Construction Corporation of China, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601669	CINS Y7999Z103	08/18/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	2015 FINANCIAL BUDGET ADJUSTMENT	Mgmt	For	Abstain	Against
3	2015 Investment Plan Adjustment	Mgmt	For	Abstain	Against
4	2015 Financing Budget Adjustment	Mgmt	For	Abstain	Against
5	2015 GUARANTEE PLAN ADJUSTMENT	Mgmt	For	Against	Against
6	Elect LEI Jianrong as Supervisor	Mgmt	For	Against	Against
7	Amendments to Articles	Mgmt	For	Abstain	Against

Qingdao Haier Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600690	CINS Y7166P102	01/07/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Merger/Acquisition - Acquisition of Assets	Mgmt	For	For	For
2	Approval of Amendment to Capital Injection Agreement with Haier Group Company	Mgmt	For	For	For
3	Approval of Entrusted Management of Assets	Mgmt	For	For	For
4	Continuation of Trading Suspension Due to Major Asset	Mgmt	For	For	For

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### Restructure

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Qingdao Haier Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600690 CINS Y7166P102 01/07/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition - Acquisition of Assets	Mgmt	For	For	For
2	Approval of Amendment to Capital Injection Agreement with Haier Group Company	Mgmt	For	For	For
3	Approval of Entrusted Management of Assets	Mgmt	For	For	For
4	Continuation of Trading Suspension Due to Major Asset Restructure	Mgmt	For	For	For

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Qingdao Haier Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600690 CINS Y7166P102 03/31/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compliance of Asset Purchase with the Relevant Laws	Mgmt	For	For	For
2	Approval of Asset Purchase Not Constituting a Related Party Transaction	Mgmt	For	For	For
3	Transaction Scheme	Mgmt	For	For	For
4	Transaction Counter-parties	Mgmt	For	For	For
5	Target Assets	Mgmt	For	For	For
6	Transaction Price	Mgmt	For	For	For
7	Consideration Payment	Mgmt	For	For	For
8	Financing Arrangements	Mgmt	For	For	For
9	Approval of Evaluation Reports Related to Major Asset	Mgmt	For	For	For

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Purchase					
10	Regarding the Report Draft and its Summary Concerning the Major Assets Purchase	Mgmt	For	For	For
11	Approval to Sign Contingent Agreements with Counterparty	Mgmt	For	For	For
12	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For
13	Completeness and Compliance in Legal Procedure and Validity of Legal Documents	Mgmt	For	For	For
14	Independence of Appraiser, Rationality of Assumptions, Relevance of Valuation and Fairness of Pricing Method	Mgmt	For	For	For
15	Statement on Accounting Policy Differences	Mgmt	For	For	For
16	Board Authorization to Implement Asset Purchase	Mgmt	For	For	For
17	Board Authorization to Implement Application for M&A Loans from China Development Bank Corporation	Mgmt	For	For	For

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Qingdao Haier Co., Ltd.

Ticker Security ID:  
600690 CINS Y7166P102

Meeting Type  
Annual

Meeting Date  
05/31/2016  
Country of Trade  
China

Meeting Status  
Voted

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control Audit Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Directors' Fees	Mgmt	For	For	For
11	Employee Stock Ownership Plan	Mgmt	For	For	For
12	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Amendments to Management System for External Guarantees	Mgmt	For	Abstain	Against
15	Elect LIANG Haishan	Mgmt	For	For	For
16	Elect TAN Lixia	Mgmt	For	For	For
17	Elect LIU Haifeng	Mgmt	For	Against	Against
18	Elect WU Changqi	Mgmt	For	Against	Against
19	Elect PENG Jianfeng	Mgmt	For	Against	Against
20	Elect ZHOU Honbo	Mgmt	For	Against	Against
21	Elect WU Cheng	Mgmt	For	Against	Against
22	Elect SHI Tiantao	Mgmt	For	Against	Against
23	Elect DAI Deming	Mgmt	For	Against	Against
24	Elect WANG Peihua	Mgmt	For	Against	Against
25	Elect MING Guoqing	Mgmt	For	For	For

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Qingdao Haier Co., Ltd.

Ticker Security ID:  
600690 CINS Y7166P102

Meeting Date  
08/24/2015

Meeting Status  
Voted

Meeting Type  
Special  
Country of Trade  
China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Purpose	Mgmt	For	For	For
2	Repurchase Method and Use of Repurchased Shares	Mgmt	For	For	For

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3	Repurchase Price and Pricing Principles	Mgmt	For	For	For
4	Share Type, Size and Proportion	Mgmt	For	For	For
5	Repurchase Budget and Source of Capital	Mgmt	For	For	For
6	Repurchase Period	Mgmt	For	For	For
7	Shareholding Change	Mgmt	For	For	For
8	Management Analysis on Impact on the Company's Performance	Mgmt	For	For	For
9	Board Authorization to Implement Share Repurchase	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For

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Qinghai Salt Lake Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
000792	CINS Y7170U105	05/19/2016	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report and Its Summary	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Appointment of Auditor	Mgmt	For	For	For	
6	Directors' Fees	Mgmt	For	For	For	
7	Related Party Transactions with Sinofert Co., Ltd.	Mgmt	For	For	For	
8	Related Party Transactions with Qinghai Minguang Coal Sale Co., Ltd.	Mgmt	For	For	For	
9	Related Party Transactions with Qinghai Muli Coal Industry Group Energy Co., Ltd.	Mgmt	For	For	For	

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10	Related Party Transactions with China Cinda Asset Management Co., Ltd.	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Approval of Line of Credit	Mgmt	For	For	For
13	Authority to Issue Super and Short-term Commercial Paper	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Qinghai Salt Lake Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000792	CINS Y7170U105	06/20/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application For Construction Fund	Mgmt	For	For	For
2	Asset Pledge and Mortgage of Equity in Subsidiary	Mgmt	For	For	For
3	Financial Aid to Qinghai Salt Lake Magnesium	Mgmt	For	For	For
4	Financial Aid to Qinghai Salt Lake Haina Chemical	Mgmt	For	For	For
5	Financial Aid to Qinghai Salt Lake Haihong Chemical	Mgmt	For	For	For
6	Financial Aid to Qinghai Salt Lake Tianshi Mining Industry	Mgmt	For	For	For
7	Financial Aid to Qinghai Salt Lake Teli Magnesium	Mgmt	For	For	For
8	Financial Aid to Qinghai Salt Lake Yuantong Potash	Mgmt	For	For	For

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9	Financial Aid to Qinghai Salt Lake Lanke Lithium Industry	Mgmt	For	For	For
10	Financial Aid to Qinghai Salt Lake Sanyuan Potash	Mgmt	For	For	For
11	Financial Aid to Qinghai Salt Lake Energy	Mgmt	For	For	For

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Qinghai Salt Lake Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000792	CINS Y7170U105	09/10/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provide Financial Aid to Qinghai Salt Lake Haina Chemical Co., Ltd.	Mgmt	For	For	For
2	Provide Financial Aid to Qinghai Salt Lake Magnesium Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantee to Qinghai Salt Lake Magnesium Co., Ltd	Mgmt	For	For	For
4	Authority to Give Guarantee to Qinghai Salt Lake Haina Chemical Co., Ltd.	Mgmt	For	For	For
5	Elect LIU Feng as Supervisor	Mgmt	For	Against	Against

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Qinghai Salt Lake Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000792	CINS Y7170U105	11/19/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Perpetual Bond	Mgmt	For	Against	Against

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2	Amendments to Administrative Measures for Raised Funds	Mgmt	For	Abstain	Against
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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	01/13/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Merger/Acquisition - Capital Increase in Sizhong Internet Beijing Network Technology Co., Ltd.	Mgmt	For	Abstain	Against
3	Merger/Acquisition - Capital Increase in Hebei Xingcheng Investment Co., Ltd.	Mgmt	For	Abstain	Against
4	Merger/Acquisition - Asset Transfer	Mgmt	For	Abstain	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	02/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Bank Loan Guarantees	Mgmt	For	For	For
2	Authority to Give Financing Guarantees	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	03/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Authority to Give Guarantees to Lingshui Junsheng Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Hebei Risesun Real Estate Development Co., Ltd.	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	04/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Authority to Give Asset-Based Financing Guarantees	Mgmt	For	Abstain	Against
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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	05/16/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Accounts and Reports	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report and Summary	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Financial Budget Report	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Appointment of Auditor and Inner Control Audit Firm	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	06/13/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Authority to Give Guarantees to Chengdu Risesun Huafu Property Co., Ltd	Mgmt	For	For	For
2	Authority to Give Guarantees to Ling Shui Junsheng Real Estate Development Co., Ltd	Mgmt	For	For	For
3	Authority to Give Guarantees to Langfang Ruisheng Investment Co., Ltd	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	07/30/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Authority to Give Guarantees for Shangdong Rongsheng Fuxiang Real Estate Development Co., Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantees for Nanjing Huaou Shundou Real Estate Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees for Bangbu Rongshengjia Real Estate Development Co., Ltd.	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	08/20/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Size of Issuance	Mgmt	For	For	For
2	Type and Maturity	Mgmt	For	For	For

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3	Coupon Rate and Interest Payment	Mgmt	For	For	For
4	Use of Proceeds	Mgmt	For	For	For
5	Offering Method and Target Investors	Mgmt	For	For	For
6	Guarantee Measures	Mgmt	For	For	For
7	Allocation Arrangements to Existing Shareholders	Mgmt	For	For	For
8	Transfer Method	Mgmt	For	For	For
9	Repayment Guarantee Measures	Mgmt	For	For	For
10	Validity Period of Resolution	Mgmt	For	For	For
11	Board Authorization to Implement Private Placement of Corporate Bonds	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	09/10/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantee to Xianghe Manley Industrial Co., Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantee to Xuzhou Rongkai Real Estate Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantee to Jinan Rongshang Real Estate Development Co., Ltd.	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002146	CINS Y7286J101	10/29/2015	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Size of Issuance	Mgmt	For	For	For
2	Bond Type and Maturity	Mgmt	For	For	For
3	Interest Rate and Payment Method	Mgmt	For	For	For
4	Use of Raised Funds	Mgmt	For	For	For
5	Issuance Targets and Method	Mgmt	For	For	For
6	Guarantee Arrangements	Mgmt	For	For	For
7	Arrangement for Placement to Shareholders	Mgmt	For	For	For
8	Listing and Transfer Method	Mgmt	For	For	For
9	Repayment Guarantees Measures	Mgmt	For	For	For
10	Validity Period	Mgmt	For	For	For
11	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For

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### RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	12/28/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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### SAIC Motor Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600104	CINS Y7443N102	02/03/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Diluted Current Returns due to Private Placement	Mgmt	For	For	For

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2	Commitments to Counteract Diluted Current Returns	Mgmt	For	For	For
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SAIC Motor Corporation Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600104	CINS Y7443N102	05/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Authority to Give Guarantees to SAIC-GMAC	Mgmt	For	For	For
8	Authority for HUAYU Automotive Systems Co., Ltd. to give guarantees to its subsidiaries	Mgmt	For	For	For
9	Authority for subsidiaries of HUAYU Automotive Systems Co., Ltd. to give guarantees to its subsidiaries	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Appointment of Internal Control Auditor	Mgmt	For	For	For
12	Elect Li Ruoshan	Mgmt	For	For	For

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SAIC Motor Corporation Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600104	CINS Y7443N102	12/11/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par	Mgmt	For	For	For

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	Value				
3	Method of Issuance	Mgmt	For	For	For
4	Target of Issuance	Mgmt	For	For	For
5	Issue Price and Pricing Principle	Mgmt	For	For	For
6	Size of Issuance and Method of Subscription	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Treatment of Undistributed Profits	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
13	Employee Stock Ownership Plan	Mgmt	For	For	For
14	Approval of Preliminary Plan for Private Placement	Mgmt	For	For	For
15	Approval to Sign Contingent Subscription Agreements with Shanghai Automotive Industry Corporation Group	Mgmt	For	For	For
16	Approval to Sign Contingent Subscription Agreements with Changjiang Pension Insurance Co., Ltd.	Mgmt	For	For	For
17	Related Party Transactions regarding Private Placement	Mgmt	For	For	For
18	Report on Use of Previously Raised Funds	Mgmt	For	For	For
19	Shareholder Return Plan	Mgmt	For	For	For
20	Board Authorization to Implement Employee Stock Ownership	Mgmt	For	For	For

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Plan

21	Board Authorization to Implement Private Placement	Mgmt	For	For	For
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Sanan Optoelectronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600703	CINS Y7478M102	01/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Authority to Give Guarantees	Mgmt	For	For	For
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2	Approval of Borrowing	Mgmt	For	For	For
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Sanan Optoelectronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600703	CINS Y7478M102	04/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Authority to Give Guarantees	Mgmt	For	For	For
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2	Amendments to Articles	Mgmt	For	For	For
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3	Equity Transfer	Mgmt	For	For	For
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4	Elect REN Kai	Mgmt	For	For	For
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5	Elect YU Feng as Supervisor	Mgmt	For	Against	Against
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Sanan Optoelectronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600703	CINS Y7478M102	06/08/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' report	Mgmt	For	For	For
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2	Supervisors' report	Mgmt	For	For	For
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3	Accounts and Reports	Mgmt	For	For	For
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4	Allocation of Profits/Dividends	Mgmt	For	For	For
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5	Independent Directors' Report	Mgmt	For	For	For
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6	Annual Report	Mgmt	For	For	For
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7	Appointment of Auditor and Internal Control Auditor, and Authority to Set Fees	Mgmt	For	For	For
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8	Authority to Give Guarantees	Mgmt	For	For	For
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Sanan Optoelectronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600703	CINS Y7478M102	11/17/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sany Heavy Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600031	CINS Y75268105	03/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employee Stock Purchase Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
4	Equity Transfer	Mgmt	For	For	For

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Sany Heavy Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600031	CINS Y75268105	06/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For
7	Approval of Pledge and Financial Leasing	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Related Party	Mgmt	For	For	For

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### Transactions

10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Investment in Derivatives	Mgmt	For	Abstain	Against
12	Appointment of Auditor	Mgmt	For	For	For
13	Appointment of Internal Control Auditor	Mgmt	For	For	For
14	Independent Directors' Report	Mgmt	For	For	For
15	Elect LIANG Wengen	Mgmt	For	Against	Against
16	Elect TANG Xiuguo	Mgmt	For	Against	Against
17	Elect XIANG Wenbo	Mgmt	For	Against	Against
18	Elect YI Xiaogang	Mgmt	For	Against	Against
19	Elect LIANG Zaizhong	Mgmt	For	Against	Against
20	Elect HUANG Jianlong	Mgmt	For	Against	Against
21	Elect SU Zimeng	Mgmt	For	For	For
22	Elect XU Dingbo	Mgmt	For	Against	Against
23	Elect TANG Ya	Mgmt	For	For	For
24	Elect ZHAI Xian	Mgmt	For	For	For
25	Elect YAO Chuanda	Mgmt	For	For	For

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#### Sany Heavy Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600031	CINS Y75268105	11/09/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Proposed Related Party Transactions for Fiscal Year 2015	Mgmt	For	For	For
2	Elect HU Jizhi	Mgmt	For	For	For
3	Approval to Extend Validity of Resoluton for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For

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#### SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	01/11/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendment to Agreement to Avoid Competition	Mgmt	For	For	For

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Among Group  
Companies

2	Absorption of a Wholly-owned Subsidiary	Mgmt	For	For	For
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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600886	CINS Y3746G100	01/29/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Eligibility for Private Placement	Mgmt	For	For	For
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2	Stock Type and Par Value	Mgmt	For	For	For
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3	Method and Date of Issuance	Mgmt	For	For	For
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4	Issuance Targets and Subscription Method	Mgmt	For	For	For
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5	Issuance Price and Pricing Principal	Mgmt	For	For	For
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6	Size of Issuance	Mgmt	For	For	For
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7	Amount and Purpose of Raised Funds	Mgmt	For	For	For
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8	Lock-up Period	Mgmt	For	For	For
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9	Listing Market	Mgmt	For	For	For
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10	Arrangement for Undistributed Profits	Mgmt	For	For	For
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11	Validity Period	Mgmt	For	For	For
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12	Preliminary Plan for Private Placement	Mgmt	For	For	For
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13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
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14	Board Authorization to Implement Private Placement	Mgmt	For	For	For
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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600886	CINS Y3746G100	02/26/2016	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of Private Placement	Mgmt	For	For	For
2	Termination of Subscription Agreements	Mgmt	For	For	For
3	Election of Directors (Slate)	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	03/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Jaderock Investment	Mgmt	For	For	For
2	Singapore Pte. Ltd. Authority to Give Guarantees to Redrock Investment Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees to Repsol Beatrice Co., Ltd.	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	03/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Lester Listrik Pte. Ltd. (LLPL)	Mgmt	For	For	For
2	Authority to Give Guarantees to PT. Lesteri Banten Energi (PTLBE)	Mgmt	For	For	For
3	Authority to Give Guarantees to Inch	Mgmt	For	For	For

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Cape Offshore Limited  
(ICOL)

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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	05/06/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	2016 Business Plan	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Remuneration Committee Report	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	06/07/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Equity Transfer and Related Capital Increase	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	07/27/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZENG Ming	Mgmt	For	For	For
2	Commitments From the Company and Related Entities Report	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	07/27/2015	Voted		
Meeting Type	Country of Trade				
Special	China				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZENG Ming	Mgmt	For	For	For
2	Commitments From the Company and Related Entities Report	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	07/31/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Postponement of Trading Resumption	Mgmt	For	For	For
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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	07/31/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Postponement of Trading Resumption	Mgmt	For	For	For
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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	10/30/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Eligibility for Private Placement	Mgmt	For	For	For
2	Share Type and Par Value	Mgmt	For	For	For
3	Method and Period of Issuance	Mgmt	For	For	For
4	Subscribers and Method of Subscription	Mgmt	For	For	For
5	Issuance Price and Pricing Principle	Mgmt	For	For	For

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6	Size of Issuance	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Treatment of Undistributed Profits	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Approval of Preliminary Plan of Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
15	Approval to Sign Conditional Subscription Agreements with Subscribers	Mgmt	For	For	For
16	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
17	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
18	Board Authorization to Implement Private Placement	Mgmt	For	For	For
19	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
20	AMENDMENTS TO WORK SYSTEM FOR INDEPENDENT DIRECTORS	Mgmt	For	Abstain	Against

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Sealand Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
000750	CINS Y2941X108	04/27/2016	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Routine Related Party Transactions	Mgmt	For	For	For
7	Securities Proprietary Business Scale and Risk Limitation	Mgmt	For	For	For
8	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
9	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
10	Elect WU Zenglin	Mgmt	For	For	For
11	Elect XIE Shengxiu	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sealand Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000750	CINS Y2941X108	09/14/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Administrative Measures for Raised Funds	Mgmt	For	For	For
4	Increase in Size and Risk Limit for Proprietary Trading	Mgmt	For	For	For

Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
000503	CINS Y29815100	05/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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6	Appointment of Auditor	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
000503	CINS Y29815100	07/28/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cancellation of Private Placement Plan	Mgmt	For	For	For

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Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
000503	CINS Y29815100	09/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Establishment of Assessment Measures for Employee Stock Ownership Plan	Mgmt	For	For	For

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Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
000503	CINS Y29815100	12/21/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Transfer of Equity	Mgmt	For	For	For
3	Adjustment to Employee Stock Purchase Plan	Mgmt	For	For	For

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Shaanxi Coal Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601225	CINS Y7679D109	04/22/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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Mgmt

1	Transfer and Acquisition of Assets	Mgmt	For	For	For
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Shaanxi Coal Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601225	CINS Y7679D109	06/28/2016	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For

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Shaanxi Coal Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601225	CINS Y7679D109	08/27/2015	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect MIN Long	Mgmt	For	For	For
2	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against
3	Amendments to Articles	Mgmt	For	Abstain	Against

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Shaanxi Coal Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601225	CINS Y7679D109	11/11/2015	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect DUAN Xiaochang as Supervisor	Mgmt	For	Abstain	Against

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Shan Dong Dong-E E-Jiao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000423	CINS Y7689C109	05/26/2016	Voted

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and Its Summary	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Investment in Wealth Management Products	Mgmt	For	For	For
9	Elect WU Jun	Mgmt	For	For	For
10	Elect LI Guohui	Mgmt	For	For	For
11	Elect FENG Yi as Supervisor	Mgmt	For	Against	Against
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Shandong Gold Mine Co

Ticker	Security ID:	Meeting Date	Meeting Status		
	CINS Y76831109	06/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Extension of Board Authorization for Major Asset Restructuring	Mgmt	For	For	For
2	Extension of the Deadline for Commitment Fulfillment	Mgmt	For	For	For

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Shandong Gold Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600547	CINS Y76831109	04/22/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Directors' Report	Mgmt	For	For	For
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2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Social Responsibility Report	Mgmt	For	For	For
9	Annual Investment Plan	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Appointment of Internal Control Auditor	Mgmt	For	For	For
13	Financial Service Agreement	Mgmt	For	Abstain	Against

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### Shandong Gold Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600547	CINS Y76831109	05/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Xiaoping as Supervisor	Mgmt	For	Against	Against
2	Amendments to Articles	Mgmt	For	For	For
3	Elect LI Guohong	Mgmt	For	For	For
4	Elect WANG Peiyue	Mgmt	For	For	For
5	Elect WANG Xiaoling	Mgmt	For	For	For

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### Shandong Gold Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600547	CINS Y76831109	09/14/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Debt Instruments	Mgmt	For	For	For

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### Shandong Hi-speed Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600350	CINS Y767AH108	05/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Financial Resolution Report	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Elect WANG Xiufeng as Director	Mgmt	For	For	For
10	Elect MENG Jie as Director	Mgmt	For	For	For

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Shandong Iron & Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600022	CINS Y7677W109	06/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	XU Youfang	Mgmt	For	For	For
2	LUO Dengwu	Mgmt	For	For	For

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Shanghai 2345 Network Holding Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002195	CINS Y7683P106	05/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Management System for Connected Transaction	Mgmt	For	For	For
8	AMENDMENTS TO WORK SYSTEM FOR INDEPENDENT DIRECTORS	Mgmt	For	For	For
9	Investment in Wealth Management Products with Raised Funds	Mgmt	For	For	For

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10	Investment in Wealth Management Products with Idle capital	Mgmt	For	For	For
11	Elect CHEN Yubing	Mgmt	For	For	For
12	Elect PANG Shengdong	Mgmt	For	For	For
13	Elect PAN Shilei	Mgmt	For	For	For
14	Elect DAI Xiaohu	Mgmt	For	For	For
15	Elect QIU Junqi	Mgmt	For	For	For
16	Elect LI Juan	Mgmt	For	For	For
17	Elect XU Junmin	Mgmt	For	For	For
18	Elect SHI Jian	Mgmt	For	For	For
19	Elect XUE Haibo	Mgmt	For	For	For
20	Elect LI Zhiqing	Mgmt	For	Against	Against
21	Elect KANG Feng	Mgmt	For	For	For

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Shanghai Bailian Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600827	CINS Y7682E110	06/13/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Asset Swap	Mgmt	For	For	For

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Shanghai Chengtou Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600649	CINS Y7689R106	06/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For

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7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Authority to Set Auditor's Fees	Mgmt	For	For	For
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
10	Elect GAO Chao	Mgmt	For	For	For

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Shanghai Chengtou Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status				
600649	CINS Y7689R106	09/22/2015	Voted				
Meeting Type	Country of Trade						
Special	China	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Major Asset Restructuring	Mgmt	For	For	For	For	
2	Transaction Overview	Mgmt	For	For	For	For	
3	Merger Target	Mgmt	For	For	For	For	
4	Merger Methodology	Mgmt	For	For	For	For	
5	Share Swap Type and Par Value	Mgmt	For	For	For	For	
6	Share Swap Target	Mgmt	For	For	For	For	
7	Share Swap Price and Ratio	Mgmt	For	For	For	For	
8	Youngsun First Cash Option	Mgmt	For	For	For	For	
9	Chengtou First Cash Option	Mgmt	For	For	For	For	
10	Share Swap Amount	Mgmt	For	For	For	For	
11	Implementation of Share Swap	Mgmt	For	For	For	For	
12	Listing of Share Swap Issued Shares	Mgmt	For	For	For	For	
13	Disposal Method of Fractional Shares	Mgmt	For	For	For	For	
14	Youngsun Limited Right Share Disposal	Mgmt	For	For	For	For	
15	Use of Raised Funds	Mgmt	For	For	For	For	
16	Arrangements for	Mgmt	For	For	For	For	

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	Dividends Allocation				
17	Creditor Protection for Merger	Mgmt	For	For	For
18	Arrangements for Transitional Period	Mgmt	For	For	For
19	Asset Settlement	Mgmt	For	For	For
20	Employee Arrangements	Mgmt	For	For	For
21	Liability for Breach of Contract	Mgmt	For	For	For
22	Effective Date and Completion Date of Merger	Mgmt	For	For	For
23	Spin-off Method	Mgmt	For	For	For
24	Spin-off: Basic Principals	Mgmt	For	For	For
25	Spin-off: Plan for Division	Mgmt	For	For	For
26	Spin-off: Asset Placement Arrangements	Mgmt	For	For	For
27	Spin-off: Chengtou's Second Cash Option	Mgmt	For	For	For
28	Spin-off: Implementation	Mgmt	For	For	For
29	Spin-off: Follow-up Arrangements for Implementation	Mgmt	For	For	For
30	Spin-off: Listing Arrangements	Mgmt	For	For	For
31	Spin-off: Disposal Method for Fractional Shares	Mgmt	For	For	For
32	Spin-off: Disposal of Restricted Shares	Mgmt	For	For	For
33	Spin-off: Undertaking and Inheritance of Assets, Liabilities and Business	Mgmt	For	For	For
34	Spin-off: Employee Arrangements	Mgmt	For	For	For

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35	Spin-off: Protection for Creditors	Mgmt	For	For	For
36	Spin-off: Arrangements for Transitional Period	Mgmt	For	For	For
37	Spin-off: Lock-up Period Arrangements	Mgmt	For	For	For
38	Spin-off: Stock Allocation	Mgmt	For	For	For
39	Spin-off: Transaction Conditions	Mgmt	For	For	For
40	Spin-off: Conditions for Implementation	Mgmt	For	For	For
41	Validity Period	Mgmt	For	For	For
42	Transaction Report and Summary	Mgmt	For	For	For
43	Conditional Agreements	Mgmt	For	For	For
44	Constitution of Related Party Transaction	Mgmt	For	For	For
45	Compliance with Article 4 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	For	For
46	Completeness and Compliance in Transaction Procedures and Validity of Submitted Legal Documents	Mgmt	For	For	For
47	Asset Restructuring Financial Report	Mgmt	For	For	For
48	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Merger Appraisal Report	Mgmt	For	For	For
49	Ratification of Fairness of	Mgmt	For	For	For

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Independence,  
Assumptions, Method  
and Pricing of  
Spin-off Appraisal  
Report

50	Eligibility for Spin-off	Mgmt	For	For	For
51	Board Authorization to Implement Major Asset Restructuring	Mgmt	For	For	For
52	Waiver of Tender Offer by Substantial Shareholder	Mgmt	For	For	For

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Shanghai Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status					
600170	CINS Y7680W104	04/19/2016	Voted					
Meeting Type		Country of Trade						
Annual		China	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	For	For	
4	Independent Directors' Report	Mgmt	For	For	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	For	For	
7	Related Party Transactions	Mgmt	For	For	For	For	For	
8	Authority to Give Guarantees	Mgmt	For	For	For	For	For	
9	2016 Investment Plan	Mgmt	For	For	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	For	For	
11	Daily Related Party Transaction Agreement with Shanghai Construction (Group) Corporation	Mgmt	For	For	For	For	For	
12	Amendments to Articles	Mgmt	For	For	For	For	For	
13	Transfer of Investment Project and Related Party Transactions	Mgmt	For	For	For	For	For	
14	Adoption of Employee Stock Purchase Plan	Mgmt	For	For	For	For	For	
15	Eligibility of Private Placement	Mgmt	For	For	For	For	For	

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16	Share Type and Par Value	Mgmt	For	For	For
17	Size of Issuance	Mgmt	For	For	For
18	Issuing Method	Mgmt	For	For	For
19	Target Subscribers and Subscription Method	Mgmt	For	For	For
20	Pricing Benchmark Date and Issuing Price	Mgmt	For	For	For
21	Lock-up Period	Mgmt	For	For	For
22	Listing Place	Mgmt	For	For	For
23	Use of Proceeds	Mgmt	For	For	For
24	Distribution Arrangement for Profits	Mgmt	For	For	For
25	Validity Resolution	Mgmt	For	For	For
26	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
27	Preliminary Plan of Private Placement	Mgmt	For	For	For
28	Conditional Subscription Agreement with Changjiang Pension Insurance Co., Ltd.	Mgmt	For	For	For
29	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
30	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
31	Shareholder Returns Plan	Mgmt	For	For	For
32	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	For	For
33	Board Authorization to Implement Private Placement	Mgmt	For	For	For
34	Approval of Dilution Measures and Commitments for Private	Mgmt	For	For	For

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Placement

35	Approval of Self-inspection Report and Commitments on Real Estate Business	Mgmt	For	For	For
36	Elect ZHOU Ping as Supervisor	Mgmt	For	Against	Against

Shanghai Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600170	CINS Y7680W104	06/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to 2016 Investment Plan	Mgmt	For	For	For
2	Elect XU Zheng	Mgmt	For	For	For
3	Elect HANG Yingwei	Mgmt	For	For	For
4	Elect ZHANG Lixin	Mgmt	For	For	For
5	Elect LIU Hongzhong	Mgmt	For	For	For
6	Elect DING Xiaowen	Mgmt	For	For	For
7	Elect WU Nianzu	Mgmt	For	For	For
8	Elect HU Yiming	Mgmt	For	For	For
9	Elect LIANG Weibin	Mgmt	For	For	For
10	Elect ZHOU Ping	Mgmt	For	For	For
11	Elect HE Shilin	Mgmt	For	Against	Against
12	Elect LIU Guangling	Mgmt	For	Against	Against

Shanghai Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600170	CINS Y7680W104	09/14/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For
2	Elect HE Shilin as Supervisor	Mgmt	For	Against	Against

Shanghai Electric Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2727	CINS Y76824112	01/18/2016	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Share Issuance for Aquisition:	Mgmt	For	For	For

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### Parties

2	Share Issuance for Aquisition: Transaction Subjects	Mgmt	For	For	For
3	Share Issuance for Aquisition: Basis of Pricing and Transaction Price	Mgmt	For	For	For
4	Share Issuance for Aquisition: Arrangement for Profit or Loss	Mgmt	For	For	For
5	Share Issuance for Aquisition: Procedures for Transfer of Ownership and Liability For Breach of Contract	Mgmt	For	For	For
6	Share Issuance for Aquisition: Class and Nominal Value of Shares	Mgmt	For	For	For
7	Share Issuance for Aquisition: Target Subscribers	Mgmt	For	For	For
8	Share Issuance for Aquisition: Method of Issuance and Subscription of Shares	Mgmt	For	For	For
9	Share Issuance for Aquisition: Pricing Principles	Mgmt	For	For	For
10	Share Issuance for Aquisition: Number of Shares	Mgmt	For	For	For
11	Share Issuance for Aquisition: Lock-up Period	Mgmt	For	For	For
12	Share Issuance for Aquisition: Listing Place	Mgmt	For	For	For
13	Share Issuance for Aquisition: Arrangement for Undistributed	Mgmt	For	For	For

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	Retained Earnings				
14	Share Issuance for Aquisition: Validity of the Resolution	Mgmt	For	For	For
15	Shares for Auxiliary Funds: Class and Nominal Value	Mgmt	For	For	For
16	Shares for Auxiliary Funds: Target Subscribers and Method of Subscription	Mgmt	For	For	For
17	Shares for Auxiliary Funds: Issue Price	Mgmt	For	For	For
18	Shares for Auxiliary Funds: Number of Shares	Mgmt	For	For	For
19	Shares for Auxiliary Funds: Use of Proceeds	Mgmt	For	For	For
20	Shares for Auxiliary Funds: Lock-up Period Arrangement	Mgmt	For	For	For
21	Shares for Auxiliary Funds: Stock Exchange For the Proposed Listing	Mgmt	For	For	For
22	Shares for Auxiliary Funds Raising: Validity of Resolution	Mgmt	For	For	For
23	Report for Asset Swap and Issuance of Shares	Mgmt	For	For	For
24	Asset Swap and Issuance of Shares for Acquisition of Asset Agreement	Mgmt	For	For	For
25	Share Subscription Agreement	Mgmt	For	For	For
26	Ratification of Board Acts	Mgmt	For	For	For
27	Report on Deposit and	Mgmt	For	For	For

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Use of Raised  
Funds

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Shanghai Electric Group Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
2727	CINS Y76824112	01/18/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Share Issuance for Aquisition: Parties	Mgmt	For	For	For	
2	Share Issuance for Aquisition: Transaction Subjects	Mgmt	For	For	For	
3	Share Issuance for Aquisition: Basis of Pricing and Transaction Price	Mgmt	For	For	For	
4	Share Issuance for Aquisition: Arrangement for Profit or Loss	Mgmt	For	For	For	
5	Share Issuance for Aquisition: Procedures for Transfer of Ownership and Liability For Breach of Contract	Mgmt	For	For	For	
6	Share Issuance for Aquisition: Class and Nominal Value of Shares	Mgmt	For	For	For	
7	Share Issuance for Aquisition: Target Subscribers	Mgmt	For	For	For	
8	Share Issuance for Aquisition: Method of Issuance and Subscription of Shares	Mgmt	For	For	For	
9	Share Issuance for Aquisition: Pricing Principles	Mgmt	For	For	For	
10	Share Issuance for Aquisition: Number of	Mgmt	For	For	For	

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Shares

11	Share Issuance for Aquisition: Lock-up Period	Mgmt	For	For	For
12	Share Issuance for Aquisition: Listing Place	Mgmt	For	For	For
13	Share Issuance for Aquisition: Arrangement for Undistributed Retained Earnings	Mgmt	For	For	For
14	Share Issuance for Aquisition: Validity of the Resolution	Mgmt	For	For	For
15	Shares for Auxiliary Funds: Class and Nominal Value	Mgmt	For	For	For
16	Shares for Auxiliary Funds: Target Subscribers and Method of Subscription	Mgmt	For	For	For
17	Shares for Auxiliary Funds: Issue Price	Mgmt	For	For	For
18	Shares for Auxiliary Funds: Number of Shares	Mgmt	For	For	For
19	Shares for Auxiliary Funds: Use of Proceeds	Mgmt	For	For	For
20	Shares for Auxiliary Funds: Lock-up Period Arrangement	Mgmt	For	For	For
21	Shares for Auxiliary Funds: Stock Exchange For the Proposed Listing	Mgmt	For	For	For
22	Shares for Auxiliary Funds Raising: Validity of Resolution	Mgmt	For	For	For
23	Report for Asset Swap and Issuance of Shares	Mgmt	For	For	For

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24	Asset Swap and Issuance of Shares for Acquisition of Asset Agreement	Mgmt	For	For	For
25	Share Subscription Agreement	Mgmt	For	For	For
26	Ratification of Board Acts	Mgmt	For	For	For
27	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
28	Connected Transaction Involving Asset Swap and Issuance of Share For Acquisition Of Assets	Mgmt	For	For	For
29	Independence of Appraisal Firm	Mgmt	For	For	For
30	Accounts and Reports In Respect of the Transaction	Mgmt	For	For	For
31	Authorization of Legal Formalities Regarding the Asset Swap and Acquisition	Mgmt	For	For	For
32	Compliance With Clause 4 In Respect of the Transaction	Mgmt	For	For	For

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Shanghai Electric Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2727	CINS Y76824112	06/28/2016	Voted		
Meeting Type	Annual	Country of Trade	China		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For

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8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Liability Insurance	Mgmt	For	For	For
10	Authority to Give Guarantee to Shanghai Electric Wind Power Equipment	Mgmt	For	For	For
11	Authority to Give Guarantee to Shanghai Electric Wind Energy	Mgmt	For	For	For
12	Authority to Give Guarantee to SEC-KSB Nuclear Pumps & Valves	Mgmt	For	For	For
13	Provision of Electronic Bankers Acceptances by Shanghai Electric Group Finance to Subsidiaries of Shanghai Electric (Group) Corp.	Mgmt	For	For	For
14	Elect LI Jianjin	Mgmt	For	For	For

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Shanghai Electric Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2727	CINS Y76824112	09/11/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Debt-to-Equity Conversion of an Indirect Affiliate	Mgmt	For	For	For

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Shanghai Electric Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600021	CINS Y7T829116	06/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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6	Related Party Transactions	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Capital Increase in a Joint Venture	Mgmt	For	For	For
11	Bond Capital of China Power Investment Corporation	Mgmt	For	For	For
12	Authority to Issue Private Placement Notes	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Shanghai Electric Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
600021	CINS Y7T829116	12/28/2015	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	For	For	
3	Elect WANG Jiangong	Mgmt	For	For	For	
4	Elect WANG Jintao	Mgmt	For	For	For	

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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status			
2196	CINS Y7687D117	04/26/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Impact and Measures For Dilution of Current Returns	Mgmt	For	For	For	
2	Undertakings of Remedial Measures	Mgmt	For	For	For	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Shanghai Fosun Pharmaceutical (Group)

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Ticker	Security ID:	Meeting Date	Meeting Status		
2196	CINS Y7687D117	06/07/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Estimates of Ongoing Related Party Transactions	Mgmt	For	For	For
8	Appraisal Results and Directors' Fees	Mgmt	For	For	For
9	Appraisal Program for 2016	Mgmt	For	For	For
10	Approval of Entrusted Loans	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Approval of Line of Credit	Mgmt	For	For	For
13	Approval to Dispose of Listed Shares and Securities	Mgmt	For	For	For
14	Adjustments to Directors' Allowances	Mgmt	For	For	For
15	Amendments to Procedural Rules of the Board	Mgmt	For	For	For
16	Size and method of issuance	Mgmt	For	For	For
17	Bond Rate	Mgmt	For	For	For
18	Maturity	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Investors and Placing Arrangements	Mgmt	For	For	For
21	Guarantee	Mgmt	For	For	For
22	Credit Standing	Mgmt	For	For	For

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23	Underwriting	Mgmt	For	For	For
24	Listing	Mgmt	For	For	For
25	Validity	Mgmt	For	For	For
26	Board Authorization to Implement Corporate Bonds	Mgmt	For	For	For
27	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
28	Extension of Effective Period for Non-Public Issuance	Mgmt	For	For	For
29	Extension of Effective Period for the Authorization of the Non-Public Issuance	Mgmt	For	For	For
30	Elect CHEN Qiyu	Mgmt	For	Against	Against
31	Elect YAO Fang	Mgmt	For	For	For
32	Elect GUO Guangchang	Mgmt	For	For	For
33	Elect WANG Qunbin	Mgmt	For	Against	Against
34	Elect Lan Kang	Mgmt	For	For	For
35	Elect John MA Changzheng	Mgmt	For	Against	Against
36	Elect WANG Can	Mgmt	For	For	For
37	Elect CHEN Qiyu	Mgmt	For	Against	Against
38	Elect JIANG Xian	Mgmt	For	For	For
39	Elect Kelvin WONG Tin Yau	Mgmt	For	Against	Against
40	Elect Danny WAI Shiu Kwan	Mgmt	For	For	For
41	Elect CAO Genxing as Supervisor	Mgmt	For	For	For
42	Elect GUAN Yimin as supervisor	Mgmt	For	For	For

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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status		
2196	CINS Y7687D117	11/16/2015	Voted		
Meeting Type	Other	Country of Trade			
		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Plan Participants and Scope	Mgmt	For	For	For
2	Stock Source and Amount	Mgmt	For	For	For
3	Results of Granting	Mgmt	For	For	For
4	Validity Period, Granting Date, Lock-up Period, Unlocking Date and	Mgmt	For	For	For

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Other Related Restrictions					
5	Grant Price and Determination Method	Mgmt	For	For	For
6	Conditions for Granting and Unlocking	Mgmt	For	For	For
7	Methods and Procedures for Plan Adjustment	Mgmt	For	For	For
8	Accounting Treatment	Mgmt	For	For	For
9	Procedures for Granting and Unlocking	Mgmt	For	For	For
10	Rights and Obligations for the Company and Plan Participants	Mgmt	For	For	For
11	Alteration and Termination of Plan	Mgmt	For	For	For
12	Principals for Repurchase and Cancellation of Restricted Stocks	Mgmt	For	For	For
13	Constitution of Administrative Measures: Management Measures for the Implementation and Appraisal of the Employee Restricted Share Plan	Mgmt	For	For	For
14	Board Authorization to Implement the Employee Restricted Share Plan	Mgmt	For	For	For

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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status		
2196	CINS Y7687D117	11/16/2015	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Plan Participants and Scope	Mgmt	For	For	For

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2	Stock Source and Amount	Mgmt	For	For	For
3	Results of Granting	Mgmt	For	For	For
4	Validity Period, Granting Date, Lock-up Period, Unlocking Date and Other Related Restrictions	Mgmt	For	For	For
5	Grant Price and Determination Method	Mgmt	For	For	For
6	Conditions for Granting and Unlocking	Mgmt	For	For	For
7	Methods and Procedures for Plan Adjustment	Mgmt	For	For	For
8	Accounting Treatment	Mgmt	For	For	For
9	Procedures for Granting and Unlocking	Mgmt	For	For	For
10	Rights and Obligations for the Company and Plan Participants	Mgmt	For	For	For
11	Alteration and Termination of Plan	Mgmt	For	For	For
12	Principals for Repurchase and Cancellation of Restricted Stocks	Mgmt	For	For	For
13	Constitution of Administrative Measures: Management Measures for the Implementation and Appraisal of the Employee Restricted Share Plan	Mgmt	For	For	For
14	Board Authorization to Implement the Employee Restricted Share Plan	Mgmt	For	For	For
15	Authority to Give Guarantees	Mgmt	For	For	For
16	Approval to Offer Loans	Mgmt	For	Against	Against

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17	Approval of Line of Credit	Mgmt	For	For	For
18	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
19	Issuance Volume and Method	Mgmt	For	For	For
20	Interest Rate and Determination Method	Mgmt	For	For	For
21	Bond Duration, Method of Payment, Interest and Other Arrangements	Mgmt	For	For	For
22	Purpose of Raised Funds	Mgmt	For	For	For
23	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For
24	Guarantee	Mgmt	For	For	For
25	Redemption and Resale Provisions	Mgmt	For	For	For
26	Credit and Guarantee Measures for Debt Repayment	Mgmt	For	For	For
27	Underwriting Method	Mgmt	For	For	For
28	Listing Arrangement	Mgmt	For	For	For
29	Valid Period of the Resolution	Mgmt	For	For	For
30	Board Authorization to Implement Corporate Bond Registration and Issuance	Mgmt	For	For	For

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Shanghai International Airport Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600009	CINS Y7682X100	06/29/2016		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Appointment of Auditor	Mgmt	For	For	For	
6	Appointment of Internal Control Auditor	Mgmt	For	For	For	

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7	Elect JIA Ruijun	Mgmt	For	For	For
8	Elect YUAN Shunzhou	Mgmt	For	For	For
9	Elect WANG Xu	Mgmt	For	For	For
10	Elect YU Zhou	Mgmt	For	For	For
11	Elect YANG Peng	Mgmt	For	For	For
12	Elect HUANG Zhenglin	Mgmt	For	For	For
13	Elect CHEN Derong	Mgmt	For	For	For
14	Elect YANG Yuluo	Mgmt	For	For	For
15	Elect HE Wanpeng	Mgmt	For	For	For
16	Elect SHEN Shujun	Mgmt	For	Against	Against
17	Elect SHEN Xiaoling	Mgmt	For	Against	Against
18	Elect LIU Shaojie	Mgmt	For	For	For

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Shanghai International Airport Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600009	CINS Y7682X100	11/18/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	CAO Wenjian	Mgmt	For	For	For
2	LIU Xiangming	Mgmt	For	For	For
3	WANG Bin	Mgmt	For	For	For
4	Elect YANG Yuluo as Independent Director	Mgmt	For	For	For
5	Elect SHEN Shujun	Mgmt	For	Against	Against
6	Elect SHEN Xiaoling	Mgmt	For	For	For
7	Elect LIU Shaojie	Mgmt	For	Against	Against
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Shanghai International Port (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600018	CINS Y7683N101	05/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Authority to Issue Debt Instruments	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Elect BAI Jingtao as Director	Mgmt	For	Against	Against
10	Elect LU Shengzhou as Supervisor	Mgmt	For	Against	Against

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11	Appointment of Auditor	Mgmt	For	For	For
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Shanghai International Port (Group) Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600018	CINS Y7683N101	09/25/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Yifan as Independent Director	Mgmt	For	For	For
<hr/>					
Shanghai Jahwa United Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600315	CINS Y7685E109	08/20/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Sale of Equity Stake	Mgmt	For	For	For
<hr/>					
Shanghai Jahwa United Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600315	CINS Y7685E109	12/15/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect XIE Wenjian	Mgmt	For	For	For
3	Elect LIU Dong	Mgmt	For	For	For
4	Elect DENG Minghui	Mgmt	For	For	For
5	Elect HAN Min	Mgmt	For	For	For
6	Elect FU Dingsheng	Mgmt	For	For	For
7	Elect HUANG Yuchang	Mgmt	For	For	For
8	Elect SUN Dajian	Mgmt	For	For	For
9	Elect LI Xinhui	Mgmt	For	Against	Against
10	Elect ZHENG Li	Mgmt	For	For	For
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Shanghai Lujiazui Finance & Trade Zone Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
900932	CINS Y7686Q101	05/27/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	2016 Financial Budget	Mgmt	For	For	For

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7	2016 Budget for Investment Projects	Mgmt	For	For	For
8	2016 Financing Plan	Mgmt	For	For	For
9	Allocation of Profits/Dividends	Mgmt	For	For	For
10	Acceptance of Loans	Mgmt	For	For	For
11	Eligibility to Issue Corporate Bonds	Mgmt	For	For	For
12	Authority to Issue Corporate Bonds	Mgmt	For	For	For
13	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Directors' and Supervisors' Remuneration	Mgmt	For	For	For
16	Amendments to Articles	Mgmt	For	For	For

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Shanghai Lujiazui Finance & Trade Zone Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
900932	CINS Y7686Q101	06/29/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YAN Xuehai	Mgmt	For	For	For

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Shanghai Oriental Pearl Media Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600637	CINS Y0875J103	05/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Amendments to Management System for	Mgmt	For	Abstain	Against

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External  
Guarantees

10	Authority to Give Guarantees	Mgmt	For	For	For
11	Elect ZHANG Wei	Mgmt	For	For	For

Shanghai Oriental Pearl Media Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600637	CINS Y0875J103	12/04/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Company	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Appointment of Auditor, Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect CHEN Yuren	Mgmt	For	Against	Against

Shanghai Pharmaceuticals Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
2607	CINS Y7685S116	06/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	2016 Financial Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Set Auditor's Fees	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Financial Services Agreement and Annual Caps	Mgmt	For	Against	Against
10	Jiangxi Nanhua Medicines Framework Agreement	Mgmt	For	For	For

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11	Implementation Rules of the Cumulative Voting System	Mgmt	For	For	For
12	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Authority to Issue Debt Instruments	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Elect ZHOU Jie	Mgmt	For	For	For
16	Elect CHO Man	Mgmt	For	For	For
17	Elect LI Yongzhong	Mgmt	For	For	For
18	Elect SHEN Bo	Mgmt	For	For	For
19	Elect LI An	Mgmt	For	For	For
20	Elect WAN Kam To	Mgmt	For	Against	Against
21	Elect Edward Tse CHO Che	Mgmt	For	For	For
22	Elect CAI Jiangnan	Mgmt	For	For	For
23	Elect HONG Liang	Mgmt	For	For	For
24	Elect XU Youli	Mgmt	For	Against	Against
25	Elect XIN Keng	Mgmt	For	For	For

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Shanghai Pudong Development Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600000	CINS Y7689F102	04/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Elect SHAO Yaliang	Mgmt	For	For	For
7	Elect GU Jianzhong	Mgmt	For	For	For
8	Elect SHA Yuejia	Mgmt	For	Against	Against
9	Elect ZHU Min	Mgmt	For	Against	Against
10	Elect DONG Xiuming	Mgmt	For	Against	Against
11	Elect HUA Renchang	Mgmt	For	For	For
12	Elect WANG Zhe	Mgmt	For	For	For
13	Elect TIAN Suning	Mgmt	For	For	For
14	Elect QIAO Wenjun	Mgmt	For	For	For
15	Elect ZHANG Ming	Mgmt	For	For	For
16	Elect YUAN Zhigang	Mgmt	For	For	For
17	Elect JI Xiaohui	Mgmt	For	For	For
18	Elect LIU Xinyi	Mgmt	For	For	For
19	Elect JIANG Mingsheng	Mgmt	For	For	For
20	Elect PAN Weidong	Mgmt	For	For	For
21	Elect LU Yong	Mgmt	For	For	For

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22	Elect CHEN Bichang	Mgmt	For	For	For
23	Elect LI Qingfeng	Mgmt	For	For	For
24	Elect SUN Jianping	Mgmt	For	For	For
25	Elect ZHAO Jiusu	Mgmt	For	For	For
26	Elect CHEN Shimin	Mgmt	For	For	For
27	Management Measures for Reduction of Non-performing Loan	Mgmt	For	Abstain	Against
28	Authority to Issue Financial Bonds	Mgmt	For	Abstain	Against
29	Eligibility for Private Placement	Mgmt	For	For	For
30	Share Type and Par Value	Mgmt	For	For	For
31	Issue Method	Mgmt	For	For	For
32	Target Subscribers and Subscription Method	Mgmt	For	For	For
33	Issue Price and Pricing Principle	Mgmt	For	For	For
34	Size of Issuance	Mgmt	For	For	For
35	Amount and Use of Proceeds	Mgmt	For	For	For
36	Lock-up Period	Mgmt	For	For	For
37	Listing Market	Mgmt	For	For	For
38	Arrangement for Undistributed Profits	Mgmt	For	For	For
39	Validity of Resolution	Mgmt	For	For	For
40	Preliminary Plan for Private Placement	Mgmt	For	For	For
41	Related Party Transactions for Private Placement	Mgmt	For	For	For
42	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
43	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
44	Signing Conditional Share Purchase Agreement	Mgmt	For	For	For

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45	Board Authorization to Implement Private Placement	Mgmt	For	For	For
46	Measures for Diluted Returns for Private Placement	Mgmt	For	For	For
47	Commitments of Directors and Management for Dilution Measures	Mgmt	For	For	For
48	Shareholder Returns Plan	Mgmt	For	For	For
49	Approval of Medium-term capital investment plan from 2016 to 2018	Mgmt	For	For	For
50	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Shanghai Pudong Development Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600000	CINS Y7689F102	07/01/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Assets Acquisition by Stock	Mgmt	For	For	For
2	Counter Parties	Mgmt	For	For	For
3	Target Assets	Mgmt	For	For	For
4	Transaction Price	Mgmt	For	For	For
5	Consideration Payment	Mgmt	For	For	For
6	Arrangements for Undistributed Profits	Mgmt	For	For	For
7	Attributable Arrangements for Profit and Losses during	Mgmt	For	For	For
8	Transaction Period Regarding Qualification of Assets Acquisition as Related	Mgmt	For	For	For
9	Party Transactions Regarding Qualification of Assets Acquisition as Non-major Asset Restructuring	Mgmt	For	For	For

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10	Regarding Qualification of Assets Acquisition as Non-reverse Merger	Mgmt	For	For	For
11	Share Type and Par Value	Mgmt	For	For	For
12	Method of Issuance	Mgmt	For	For	For
13	Target Subscriber	Mgmt	For	For	For
14	Offering Price	Mgmt	For	For	For
15	Size of Issuance	Mgmt	For	For	For
16	Lock-up Period	Mgmt	For	For	For
17	Listing Place	Mgmt	For	For	For
18	Validity Period	Mgmt	For	For	For
19	Approval of Draft and Summary of Report on Assets	Mgmt	For	For	For
20	Acquisition by Stock Regarding Qualification of Assets Acquisition as Related Party Transaction	Mgmt	For	For	For
21	Approval to Sign Conditional Assets Acquisition	Mgmt	For	For	For
22	Agreement Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
23	Explanations on Pricing and Fairness	Mgmt	For	For	For
24	Approval of Audit Report, Appraisal Report and Profit Forecast Report	Mgmt	For	For	For
25	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For
26	Compliance with Article 4 of Provisions on Issues of Major	Mgmt	For	For	For

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### Assets Restructuring of Listed Companies

27	Compliance with Applicable Administrative Measures Regarding Implementation Procedures	Mgmt	For	For	For
28	Board Authorization to Implement Assets Acquisition by Stock	Mgmt	For	For	For

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### Shanghai Pudong Development Bank Co., Ltd.

Ticker 600000	Security ID: CINS Y7689F102	Meeting Date 07/01/2015	Meeting Status Voted		
Meeting Type Special	Country of Trade China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Assets Acquisition by Stock	Mgmt	For	For	For
2	Counter Parties	Mgmt	For	For	For
3	Target Assets	Mgmt	For	For	For
4	Transaction Price	Mgmt	For	For	For
5	Consideration Payment	Mgmt	For	For	For
6	Arrangements for Undistributed Profits	Mgmt	For	For	For
7	Attributable Arrangements for Profit and Losses during  Transaction Period	Mgmt	For	For	For
8	Regarding Qualification of Assets Acquisition as Related	Mgmt	For	For	For
9	Party Transactions Regarding Qualification of Assets Acquisition as Non-major Asset Restructuring	Mgmt	For	For	For
10	Regarding Qualification of Assets Acquisition as Non-reverse Merger	Mgmt	For	For	For

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11	Share Type and Par Value	Mgmt	For	For	For
12	Method of Issuance	Mgmt	For	For	For
13	Target Subscriber	Mgmt	For	For	For
14	Offering Price	Mgmt	For	For	For
15	Size of Issuance	Mgmt	For	For	For
16	Lock-up Period	Mgmt	For	For	For
17	Listing Place	Mgmt	For	For	For
18	Validity Period	Mgmt	For	For	For
19	Approval of Draft and Summary of Report on Assets	Mgmt	For	For	For
20	Acquisition by Stock Regarding Qualification of Assets Acquisition as Related Party Transaction	Mgmt	For	For	For
21	Approval to Sign Conditional Assets Acquisition	Mgmt	For	For	For
22	Agreement Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
23	Explanations on Pricing and Fairness	Mgmt	For	For	For
24	Approval of Audit Report, Appraisal Report and Profit Forecast Report	Mgmt	For	For	For
25	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For
26	Compliance with Article 4 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	For	For
27	Compliance with Applicable Administrative	Mgmt	For	For	For

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### Measures Regarding Implementation Procedures

28	Board Authorization to Implement Assets Acquisition by Stock	Mgmt	For	For	For
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#### Shanghai RAAS Blood Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002252	CINS Y7687Z100	02/22/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	UPWARD ADJUSTMENT OF INVESTMENT QUOTA AND INVESTMENT PERIOD	Mgmt	For	For	For

#### Shanghai RAAS Blood Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002252	CINS Y7687Z100	04/06/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Elect ZHENG Yuewen	Mgmt	For	For	For
10	Elect Kieu Hoang	Mgmt	For	For	For
11	Elect CHEN Jie	Mgmt	For	For	For
12	Elect XU Jun	Mgmt	For	For	For
13	Elect Tommy Trong Hoang	Mgmt	For	Against	Against
14	Elect XUE Lei	Mgmt	For	Against	Against
15	Elect ZHOU Zhiping	Mgmt	For	Against	Against
16	Elect TAN Jinsong	Mgmt	For	For	For
17	Elect LI Rao	Mgmt	For	For	For
18	Elect Binh Hoang	Mgmt	For	Against	Against
19	Increase in Registered Capital	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For
21	Approval of Borrowing	Mgmt	For	Against	Against

#### Shanghai RAAS Blood Products Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status
002252	CINS Y7687Z100	07/13/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Amendments to Investment Projects	Mgmt	For
		For	For

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### Shanghai RAAS Blood Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002252	CINS Y7687Z100	09/08/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Authority to Declare Interim Dividends	Mgmt	For
		For	For
2	Amendments to Articles	Mgmt	For
		For	For

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### Shanghai Tunnel Engineering Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600820	CINS Y7692K106	05/23/2016	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For
		For	For
2	Supervisors' Report	Mgmt	For
		For	For
3	Accounts and Reports	Mgmt	For
		For	For
4	Allocation of Profits/Dividends	Mgmt	For
		For	For
5	Appointment of Auditor	Mgmt	For
		For	For
6	Board Authorization for Related Party Transactions	Mgmt	For
		For	For
7	Investment in Hangshaotai Highway Project (Taizhou Part)	Mgmt	For
		For	For

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### Shanghai Waigaoqiao Free Trade Zone Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600648	CINS Y7688F111	06/24/2016	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For
		For	For
2	Supervisors' Report	Mgmt	For
		For	For
3	Independent Directors'	Mgmt	For
		For	For

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### Report

4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Approval of Borrowing, Guarantees, Entrusted Loans and Lending	Mgmt	For	Against	Against
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	Against	Against
10	Supplemental Agreement for Pre-ordering Residential Houses	Mgmt	For	For	For
11	Amendments to Articles and Procedural Rules	Mgmt	For	For	For
12	Board Authorization for Land Reserve	Mgmt	For	For	For
13	Elect PENG Wangjue	Mgmt	For	For	For
14	Elect SONG Yao	Mgmt	For	For	For
15	Elect ZONG Shu	Mgmt	For	For	For

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Shanghai Waigaoqiao Free Trade Zone Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600648	CINS Y7688F111	09/02/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Projects Invested by Raised Funds	Mgmt	For	For	For
3	Elect LIU Hong	Mgmt	For	For	For
4	Elect LIU Ying	Mgmt	For	For	For

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Shanghai Waigaoqiao Free Trade Zone Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600648	CINS Y7688F111	11/20/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue	Mgmt	For	For	For

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	Short-term Financing Bills				
2	Authority to Issue Ultra Short-term Financing Bills	Mgmt	For	For	For
3	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Par Value and Issuance Price	Mgmt	For	For	For
6	Bond Type and Maturity	Mgmt	For	For	For
7	Interest Rate	Mgmt	For	For	For
8	Guarantee Provision	Mgmt	For	For	For
9	Arrangements for Redemption and Put Provisions	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Method of Issuance	Mgmt	For	For	For
12	Issuance Targets and Placement to Shareholders	Mgmt	For	For	For
13	Listing Market	Mgmt	For	For	For
14	Company's Credit Condition and Repayment Guarantee Measures	Mgmt	For	For	For
15	Validity Period	Mgmt	For	For	For
16	Establishment of Special Account for Raised Funds	Mgmt	For	For	For
17	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

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Shanghai Zhangjiang Hi-tech Park Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600895	CINS Y7699D105	03/18/2016	Voted

Meeting Type	Country of Trade
Special	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect XI Yongping as Director	Mgmt	For	For	For
2	Approval of Share Transfer and Assignment of Creditor's	Mgmt	For	For	For

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### Rights

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Shanghai Zhangjiang Hi-tech Park Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600895	CINS Y7699D105	04/29/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Financing and Funds Management in 2016	Mgmt	For	For	For
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
8	Plan for Debt Financing Instruments	Mgmt	For	For	For
9	Elect CHEN Zhijun	Mgmt	For	Against	Against
10	Elect XU Junxue	Mgmt	For	For	For

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Shanghai Zhangjiang Hi-tech Park Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600895	CINS Y7699D105	12/22/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Public Offering of Corporate Bonds	Mgmt	For	For	For
3	Issuance Size	Mgmt	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For
5	Bond Duration and Type	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Guarantee Arrangement	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Issuance Method	Mgmt	For	For	For
10	Subscribers and Arrangement for Placement to Shareholders	Mgmt	For	For	For
11	Underwriting Method	Mgmt	For	For	For

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12	Listing Exchange	Mgmt	For	For	For
13	Company's Credit Condition and Safeguard Measures for Debt Repayment	Mgmt	For	For	For
14	Validity Period for the Resolution	Mgmt	For	For	For
15	Authorization for Board of Directors to Implement Corporate Bond Issuance	Mgmt	For	For	For
16	Transfer of Equity Stake	Mgmt	For	For	For

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Shanxi Lu'an Environmental Energy Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601699	CINS Y7699U107	05/19/2016	Voted		
Meeting Type	Country of Trade	Annual	China		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Authority to Issue Corporate Bonds	Mgmt	For	For	For
9	Board Authorization to Implement Corporate Bonds Issuance	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Internal Control Self-Assessment Report	Mgmt	For	For	For
13	INTERNAL CONTROL AUDIT REPORT	Mgmt	For	For	For

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14	2015 Social Responsibility Report	Mgmt	For	For	For
15	Elect YOU Hao	Mgmt	For	For	For
16	Elect ZHAO Lixin	Mgmt	For	For	For

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Shanxi Lu'an Environmental Energy Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601699	CINS Y7699U107	09/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WU Youzeng	Mgmt	For	For	For
2	Elect TANG Junhua	Mgmt	For	For	For

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Shanxi Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002500	CINS Y7700J109	05/13/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Executives' Remuneration	Mgmt	For	For	For
7	Related Party Transactions with Shanxi Guoxin Investment Group Co., Ltd., Shanxi Financial Investment Holding Co., Ltd., and its Affiliated Entities	Mgmt	For	For	For
8	Related Party Transactions with Taiyuan Iron and Steel (Group) Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with Shanxi International Electricity Group Co., Ltd.	Mgmt	For	For	For

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10	Related Party Transactions with Directors' , Supervisors, and Executives' Affiliated Entities	Mgmt	For	For	For
11	Related Party Transactions with Deutsche Bank Co., Ltd.	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	Against	Against
13	Amendments to Administrative Measures for Raised Funds	Mgmt	For	Abstain	Against
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Shanxi Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002500	CINS Y7700J109	05/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance and Par Value	Mgmt	For	For	For
3	Target of Subscription and Treatment of the Company's Shareholders	Mgmt	For	For	For
4	Method of Issuance	Mgmt	For	For	For
5	Term of Bond	Mgmt	For	For	For
6	Issue Price and Interest Rates	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Underwriting Method	Mgmt	For	For	For
10	Guarantee Clause	Mgmt	For	For	For
11	Validity of Resoluton	Mgmt	For	For	For
12	Repayment Guarantee Measure	Mgmt	For	For	For
13	Scope of Authorization	Mgmt	For	For	For

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14	Eligibility for Short-term Corporate Bonds	Mgmt	For	For	For
15	Size of Issuance	Mgmt	For	For	For
16	Target of Subscription and Treatment of the Company's Shareholders	Mgmt	For	For	For
17	Method of Issuance	Mgmt	For	For	For
18	Term of Bond	Mgmt	For	For	For
19	Interest Rates	Mgmt	For	For	For
20	Use of Proceeds	Mgmt	For	For	For
21	Listing Market	Mgmt	For	For	For
22	Underwriting Method	Mgmt	For	For	For
23	Guarantee Clause	Mgmt	For	For	For
24	Validity of Resolution	Mgmt	For	For	For
25	Repayment Guarantee Measure	Mgmt	For	For	For
26	Scope of Authorization	Mgmt	For	For	For
27	Eligibility for Short-term Financing Bills	Mgmt	For	For	For
28	Size of Issuance	Mgmt	For	For	For
29	Term of Financing Bill	Mgmt	For	For	For
30	Interest Rates or Issue Price	Mgmt	For	For	For
31	Use of Proceeds	Mgmt	For	For	For
32	Validity of Resolution	Mgmt	For	For	For
33	Issuance Market	Mgmt	For	For	For
34	Scope of Authorization	Mgmt	For	For	For

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Shanxi Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002500	CINS Y7700J109	10/19/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Method and Date of Issuance	Mgmt	For	For	For
5	Target Subscribers and	Mgmt	For	For	For

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Subscription Method					
6	Pricing	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Arrangements for Dividends Allocation	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Amendment to Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Amendment to Feasibility Report on Use of Proceeds	Mgmt	For	For	For

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Shanxi Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002500	CINS Y7700J109	12/30/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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Shanxi Taigang Stainless Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000825	CINS Y8481S102	05/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Management Plan	Mgmt	For	For	For
7	Fixed Asset Investment Financial Budget	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Appointment of Internal Control Auditor	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For

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11	Financial Derivatives Hedging	Mgmt	For	For	For
12	Commercial Bill Pledge Business	Mgmt	For	For	For

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Shanxi Taigang Stainless Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000825	CINS Y8481S102	07/08/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GAO Jianbing	Mgmt	For	For	For
2	Elect XIE Li	Mgmt	For	For	For

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Shanxi Taigang Stainless Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000825	CINS Y8481S102	12/16/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions with Taiyuan Iron and Steel Group Finance Co., Ltd.	Mgmt	For	Against	Against
2	Related Party Transactions with Taiyuan Iron and Steel Tianjin Financial Leasing Co., Ltd.	Mgmt	For	For	For

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Shanxi Xishan Coal and Electricity Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000983	CINS Y7701C103	05/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions: 2015	Mgmt	For	For	For

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7	Related Party Transactions: 2016	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Shareholder Returns Plan	Mgmt	For	For	For
12	Elect CAO Shenggen as Independent Director	Mgmt	For	For	For

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Shenergy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600642	CINS Y7T046109	05/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Financial Budget in 2016	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Elect FAN Jianlin	Mgmt	For	For	For
10	Elect LIU Hao	Mgmt	For	For	For

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Shenergy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600642	CINS Y7T046109	12/02/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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2 Elect ZHU Zongyao as Mgmt For For For  
Director

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Shenzhen Agricultural Products Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000061 CINS Y7741H104 04/25/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Adjustment of Equity Transfer Projects Publicly Listed Conditions	Mgmt	For	For	For
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2	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
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3	Joint Venture (Limited Partnership)	Mgmt	For	Against	Against
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Shenzhen Agricultural Products Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000061 CINS Y7741H104 05/23/2016 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Accounts and Reports	Mgmt	For	For	For
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2	Allocation of Profits/Dividends	Mgmt	For	For	For
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3	Adjustment to Guangxi Higerteen Investment Project and Use of Raised Funds	Mgmt	For	For	For
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4	Report on Deposit and Use of Raised Funds in 2015	Mgmt	For	For	For
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5	Directors' Report	Mgmt	For	For	For
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6	Supervisors' Report	Mgmt	For	For	For
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7	Directors' Fees	Mgmt	For	For	For
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8	Supervisors' Fees	Mgmt	For	For	For
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9	Annual Report	Mgmt	For	For	For
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10	Appointment of Auditor	Mgmt	For	For	For
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Shenzhen Energy Group Company Limited

Ticker Security ID: Meeting Date Meeting Status  
000027 CINS Y7740R103 04/13/2016 Voted

Meeting Type Country of Trade  
Special China

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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### Shenzhen Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
000027	CINS Y7740R103	05/26/2016	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Approval of Borrowing	Mgmt	For	For	For
7	Approval of Investment in Shenzhen Eastern Environmental Power Station	Mgmt	For	For	For

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### Shenzhen Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
000027	CINS Y7740R103	06/13/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect XIONG Peijin as Director	Mgmt	For	For	For

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### Shenzhen Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
000027	CINS Y7740R103	09/08/2015	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes and Short-term Financing Bills	Mgmt	For	For	For
2	Approval to Participate in	Mgmt	For	For	For

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Establishment of  
Industry Investment  
Fund

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Shenzhen Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000027	CINS Y7740R103	10/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of CPT Wyndham Holdings Co., Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Shenzhen Hepalink Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002399	CINS Y7744K104	05/18/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For

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Shenzhen Hepalink Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002399	CINS Y7744K104	08/27/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase Shares	Mgmt	For	For	For

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Shenzhen Hepalink Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002399	CINS Y7744K104	09/18/2015	Voted		
Meeting Type		Country of Trade			
Special		China			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Bank Loans	Mgmt	For	For	For
2	Authority to Give Guarantees for Overseas Loans	Mgmt	For	For	For

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Shenzhen Infogem Technologies Company Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
300085	CINS Y7744Q101	06/17/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Eligibility for Private Placement	Mgmt	For	For	For
2	Par Value and Share Type	Mgmt	For	For	For
3	Issuing Method	Mgmt	For	For	For
4	Pricing	Mgmt	For	For	For
5	Target Investors	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Listing Place	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Undistributed Accumulated Profits	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Preliminary Report of Private Placement	Mgmt	For	For	For
13	Research Report on Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For

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17	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
18	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Shenzhen Inovance Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300124	CINS Y7744Z101	05/09/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Investment in Wealth Management Products with Idle Capital	Mgmt	For	For	For
9	Investment in Wealth Management Products with Raised Funds	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Management System for External Guarantees	Mgmt	For	Abstain	Against
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Shenzhen Inovance Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300124	CINS Y7744Z101	10/23/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Modification of the Company's Registered Address and	Mgmt	For	For	For

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Amendments to  
Articles

3	Amendments to Work System for Independent Directors	Mgmt	For	Abstain	Against
4	Amendments to Management System: Information Disclosure	Mgmt	For	Abstain	Against

Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	06/20/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Purchase Plan	Mgmt	For	For	For
2	Constitution of Procedural Rules for the Employee Stock Purchase Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	For	For

Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	02/19/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Procedural Rules: Decision System for External Investment	Mgmt	For	Abstain	Against
3	Elect GAO Weimin as Independent Director	Mgmt	For	For	For
4	Dilution Measures for Returns for Private Placement	Mgmt	For	For	For

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Shenzhen O-film Tech Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 002456 CINS Y7744T105 05/10/2016 Voted  
 Meeting Type Country of Trade  
 Annual China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Internal Control Self-Assessment Report	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Supervisors' Report	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	Abstain	Against
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Approval of Notes Pool Services	Mgmt	For	For	For

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Shenzhen O-film Tech Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 002456 CINS Y7744T105 05/16/2016 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
2	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	Abstain	Against

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Shenzhen O-film Tech Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 002456 CINS Y7744T105 09/07/2015 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Shenzhen O-film Tech Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 002456 CINS Y7744T105 09/28/2015 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit and Providing Guarantee	Mgmt	For	For	For
2	Merger/Acquisition	Mgmt	For	For	For

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Shenzhen O-film Tech Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 002456 CINS Y7744T105 11/16/2015 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights - Private Placement	Mgmt	For	For	For
3	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
4	Plan For Private Placement	Mgmt	For	For	For
5	Board Authorization to Implement Private Placement	Mgmt	For	For	For
6	Shareholder Returns Plan	Mgmt	For	For	For
7	Approval of Line of Credit and Authority to Give Guarantees	Mgmt	For	Against	Against

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Shenzhen O-film Tech Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 002456 CINS Y7744T105 12/04/2015 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and	Mgmt	For	For	For

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Use of Raised Funds

2	Amendments to Use of Proceeds	Mgmt	For	For	For
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Shenzhen Overseas Chinese Town Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 000069 CINS Y7742V102 05/23/2016 Voted

Meeting Type Country of Trade  
 Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	General Credit Financing for 2016 and 2017	Mgmt	For	For	For
6	Entrusted Loans	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Related Party Transactions	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Shenzhen Overseas Chinese Town Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 000069 CINS Y7742V102 07/13/2015 Voted

Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Self-inspection report on Real Estate Business	Mgmt	For	For	For

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Shenzhen Overseas Chinese Town Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 000069 CINS Y7742V102 09/28/2015 Voted

Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect DUAN Xianian	Mgmt	For	For	For
2	Elect WANG Xiaowen	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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4	Restricted Stock Incentive Plan	Mgmt	For	For	For
5	Amendment to Procedural Rules for the Restricted Stock Incentive Plan	Mgmt	For	Abstain	Against
6	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For

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Shenzhen Overseas Chinese Town Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000069	CINS Y7742V102	11/06/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Absorption of Wholly-owned Subsidiary	Mgmt	For	For	For

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Shenzhen Overseas Chinese Town Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000069	CINS Y7742V102	12/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
2	Par Value and Issuance Size	Mgmt	For	For	For
3	Duration	Mgmt	For	For	For
4	Interest Rate and Repayment	Mgmt	For	For	For
5	Method of Issuance	Mgmt	For	For	For
6	Guarantee	Mgmt	For	For	For
7	Redemption Or Resale Provisions	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Issuance Targets and Arrangement For Placement to Shareholders	Mgmt	For	For	For
10	Underwriting Method and Listing Arrangement	Mgmt	For	For	For

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11	Guarantees Measures and Credit Arrangement	Mgmt	For	For	For
12	Validity Period of Resolution	Mgmt	For	For	For
13	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For

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Shenzhen Salubris Pharmaceuticals Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002294	CINS Y77443102	04/15/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	Against	Against
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Shenzhen Zhongjin Lingnan Nonfemet Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000060	CINS Y77458100	02/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Lixin	Mgmt	For	For	For
2	Elect LIU Fanglai	Mgmt	For	For	For

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Shenzhen Zhongjin Lingnan Nonfemet Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000060	CINS Y77458100	04/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For

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8	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Elect QI Siyin as Supervisor	Mgmt	For	Against	Against

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Shenzhen Zhongjin Lingnan Nonfemet Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
000060	CINS Y77458100	06/03/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Target of Issuance and Method of Subscription	Mgmt	For	For	For
5	Pricing Principle	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Arrangement for Undistributed Profits	Mgmt	For	For	For
10	Validity of Resolution	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For
16	Shareholder Returns Plan	Mgmt	For	For	For
17	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For

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18	Self-Inspection Report on Real Estate Business	Mgmt	For	For	For
19	Commitments of Management on Real Estate Business	Mgmt	For	For	For

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Shenzhen Zhongjin Lingnan Nonfemet Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000060	CINS Y77458100	07/07/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WU Shenghui	Mgmt	For	For	For
2	Elect QIU Guanzhou	Mgmt	For	For	For
3	Elect NIU Hong	Mgmt	For	Against	Against

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Siasun Robot and Automation Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300024	CINS Y7912E104	03/09/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Wealth Management Products	Mgmt	For	For	For
2	Investment in Securities and Wealth Management Products	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules:Management Policy for External Investment	Mgmt	For	Abstain	Against

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Siasun Robot and Automation Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300024	CINS Y7912E104	03/31/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5	Accounts and Reports	Mgmt	For	For	For
6	Amendments to Procedural Rules: Shareholder and Board Meetings	Mgmt	For	Abstain	Against
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For

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Siasun Robot and Automation Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300024	CINS Y7912E104	09/15/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Private Placement	Mgmt	For	For	For
2	Extension of Validity Period for Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Sichuan Changhong Electric Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600839	CINS Y7931Y107	10/28/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
3	Related Party Transactions	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

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Sichuan Chuantou Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600674	CINS Y7925C103	04/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For

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4	Annual Report and Its Summary	Mgmt	For	For	For
5	Headquarter Financing Report	Mgmt	For	Abstain	Against
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Sichuan Chuantou Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600674	CINS Y7925C103	08/19/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect SUN Zhixiang	Mgmt	For
2	Repurchase Method and Use of Repurchased Shares	Mgmt	For
3	Repurchase Price and Pricing Principles	Mgmt	For
4	Share Type, Size and Portion	Mgmt	For
5	Source and Amount of Capital	Mgmt	For
6	Repurchase Period	Mgmt	For
7	Validity Period	Mgmt	For
8	Board Authorization	Mgmt	For

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### Sichuan Kelun Pharmaceutical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002422	CINS Y7931Q104	01/22/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect GUO Yunpei	Mgmt	For
			Against
			Against

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### Sichuan Kelun Pharmaceutical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002422	CINS Y7931Q104	04/20/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Directors' Report	Mgmt	For
			For
			For

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3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Investment in Construction of Industrial Park	Mgmt	For	For	For

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Sichuan Kelun Pharmaceutical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002422	CINS Y7931Q104	09/16/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Purpose	Mgmt	For	For	For
2	Repurchase Method and Use of Repurchased Shares	Mgmt	For	For	For
3	Shareholding Change	Mgmt	For	For	For
4	Repurchase Price and Pricing Principles	Mgmt	For	For	For
5	Share Type, Size and Proportion	Mgmt	For	For	For
6	Amount and Source of Capital	Mgmt	For	For	For
7	Repurchase Period	Mgmt	For	For	For
8	Board Authorization to Repurchase Shares	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sichuan Kelun Pharmaceutical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002422	CINS Y7931Q104	10/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Verification of the Usage of Repurchased Shares	Mgmt	For	For	For
<hr/>					
Sinolink Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600109	CINS Y8004P106	04/13/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions with the de facto Controller and its Affiliates	Mgmt	For	For	For
8	Related Party Transactions with Tsinghua Holdings Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with Joint Ventures	Mgmt	For	For	For
10	Related Party Transactions with Affiliates of Directors, Supervisors and Senior Management	Mgmt	For	For	For
11	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
12	Amendments to Management Systems for Related Party Transactions	Mgmt	For	Abstain	Against
13	Approval of Adjusted Preliminary Plan for Private Placement	Mgmt	For	For	For
14	Measures for Diluted	Mgmt	For	For	For

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Earnings due to  
Private  
Placement

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Sinolink Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600109	CINS Y8004P106	05/25/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors (Slate)	Mgmt	For	For	For
2	Election of Supervisors (Slate)	Mgmt	For	Against	Against

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Sinolink Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600109	CINS Y8004P106	07/10/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Target Subscribers and Subscription Method	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Pricing	Mgmt	For	For	For
7	Amount and Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Arrangements for Dividends Allocation	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Approval of Preliminary Plan of Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of	Mgmt	For	For	For

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Proceeds

14	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For
16	Return Dilution Measures for Private Placement	Mgmt	For	For	For

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Sinolink Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status				
600109	CINS Y8004P106	07/10/2015	Voted				
Meeting Type	Country of Trade						
Special	China	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For	For	
2	Stock Type and Par Value	Mgmt	For	For	For	For	
3	Method and Date of Issuance	Mgmt	For	For	For	For	
4	Target Subscribers and Subscription Method	Mgmt	For	For	For	For	
5	Size of Issuance	Mgmt	For	For	For	For	
6	Pricing	Mgmt	For	For	For	For	
7	Amount and Use of Proceeds	Mgmt	For	For	For	For	
8	Lock-up Period	Mgmt	For	For	For	For	
9	Listing Market	Mgmt	For	For	For	For	
10	Arrangements for Dividends Allocation	Mgmt	For	For	For	For	
11	Validity Period	Mgmt	For	For	For	For	
12	Approval of Preliminary Plan of Private Placement	Mgmt	For	For	For	For	
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	For	
14	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	For	

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15	Board Authorization to Implement Private Placement	Mgmt	For	For	For
16	Return Dilution Measures for Private Placement	Mgmt	For	For	For

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Sinolink Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600109	CINS Y8004P106	09/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment of Auditors' Fees for Fiscal Year 2015	Mgmt	For	For	For
2	Elect ZHANG Weihong as Supervisor	Mgmt	For	Against	Against

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Sinolink Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600109	CINS Y8004P106	11/26/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement of Shares	Mgmt	For	For	For
2	Stock Class and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Subscribers and Method of Subscription	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Issuance Price and Pricing Principals	Mgmt	For	For	For
7	Amount and Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Arrangements for Dividends Allocation	Mgmt	For	For	For

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11	Validity Period	Mgmt	For	For	For
12	Revision of Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Revision Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Revision Report on Deposit and Use of Raised Funds	Mgmt	For	Abstain	Against
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For
16	Revision of Measures for Diluted Returns	Mgmt	For	For	For

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### Sinopec Shanghai Petrochemical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0338	CINS Y80373114	06/15/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Amendments to Articles of Association and Rules of Procedure for Board of Directors' Meeting	Mgmt	For	For	For

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### Songcheng Performance Development Co., Ltd.,

Ticker	Security ID:	Meeting Date	Meeting Status		
300144	CINS Y30421104	03/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For

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3	Annual Report	Mgmt	For	For	For
4	Audit Report	Mgmt	For	For	For
5	Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

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Soochow Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601555	CINS Y8074Z109	05/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Investment Quota of Securities Proprietary Business	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Elect FAN Li	Mgmt	For	For	For
10	Elect ZHU Jian	Mgmt	For	For	For
11	Elect SONG Zizhou	Mgmt	For	For	For
12	Elect QIAN Xiaohong	Mgmt	For	Against	Against
13	Elect ZHU Jiangen	Mgmt	For	Against	Against
14	Elect ZHANG Tong	Mgmt	For	For	For
15	Elect JIN Dehuan	Mgmt	For	For	For
16	Elect PEI Ping	Mgmt	For	For	For
17	Elect HAN Xiaomei	Mgmt	For	For	For
18	Elect HUANG Zuyan	Mgmt	For	For	For
19	Elect YUAN Weijing	Mgmt	For	Against	Against
20	Elect TANG Ye	Mgmt	For	Against	Against
21	Elect LI Meiyong	Mgmt	For	For	For
22	Elect MA Xiao	Mgmt	For	For	For
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Soochow Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601555	CINS Y8074Z109	07/13/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For

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2	Par Value and Share Type	Mgmt	For	For	For
3	Issuing Method	Mgmt	For	For	For
4	Target Subscribers and Subscription Method	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Issuing Price and Pricing Principles	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Place	Mgmt	For	For	For
10	Distribution Arrangements for Accumulated Profits	Mgmt	For	For	For
11	Valid Period of Resolution	Mgmt	For	For	For
12	Preliminary Plan of Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For
16	Measures for Diluted Returns	Mgmt	For	For	For
17	Establishment of a Research Subsidiary	Mgmt	For	For	For
18	Board Authorization for Issuance of Short-term Financing Bills	Mgmt	For	Abstain	Against

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Soochow Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601555	CINS Y8074Z109	09/08/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
			N/A
			N/A

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2	Appointment of Auditor	Mgmt	For	For	For
3	Authority to Issue Subordinated Bonds	Mgmt	For	For	For
4	Shareholder Returns Plan	Mgmt	For	For	For
5	Elect YUAN Weijing as Supervisor	Mgmt	For	Against	Against
6	Elect ZHU Jian	Mgmt	For	For	For
7	Elect ZHU Jiangen	Mgmt	For	For	For
8	Eligibility for Private Placement	Mgmt	For	For	For
9	Approval of Adjustments to Private Placement	Mgmt	For	For	For
10	Approval of Adjustments to Preliminary Plan of Private Placement	Mgmt	For	For	For
11	Approval of Adjustments to Use of Proceeds	Mgmt	For	For	For
12	Approval of Adjustments to Measures for Diluted Returns	Mgmt	For	For	For
13	Board Authorization to Implement Private Placement	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Soochow Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
601555	CINS Y8074Z109	11/16/2015	Voted			
Meeting Type	Country of Trade	Proponent	Mgmt	Rec	Vote Cast	For/Against
Special	China					Mgmt
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against
1	Eligibility for Private Placement	Mgmt	For		For	For
2	Adjustment to Private Placement Plan	Mgmt	For		For	For
3	Adjustment to Private Placement Preliminary Plan	Mgmt	For		For	For

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4	Adjustment to Feasibility Report on Use of Proceeds	Mgmt	For	For	For
5	Adjustment to Measures for Dilution of Immediate Returns	Mgmt	For	For	For
6	Board Authorization to Implement Private Placement	Mgmt	For	For	For
7	Establishment of Wholly-owned Subsidiary	Mgmt	For	For	For

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Sound Environmental Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000826	CINS Y8076H107	02/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Hunan Sangdun New Energy Co., Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantees to Bozhou Clean Energy Power Co., Ltd.	Mgmt	For	For	For
3	Elect ZHOU Qi as Independent Director	Mgmt	For	For	For

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Sound Environmental Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000826	CINS Y8076H107	08/05/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions with Kangbo Huayuan New Energy Co., Ltd.	Mgmt	For	For	For
2	Related Party Transactions with Beijing Guozhong	Mgmt	For	For	For

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	Biological Technology Co., Ltd.					
3	Approval of Line of Credit from Bank of China	Mgmt	For	For	For	
4	Approval of Line of Credit from Agricultural Bank of China	Mgmt	For	For	For	
5	Approval of Line of Credit from China Minsheng Ban	Mgmt	For	For	For	
6	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For	
7	Authority to Issue Medium-term Notes	Mgmt	For	For	For	
8	Authority to Issue Ultra Short-term Commercial Paper	Mgmt	For	For	For	
9	Authority to Issue Perpetual Bonds	Mgmt	For	For	For	
<hr/>						
Sound Environmental Resources Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
000826	CINS Y8076H107	10/20/2015	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Modification of Company Name	Mgmt	For	For	For	
3	Amendments to Articles	Mgmt	For	For	For	
4	Elect WANG Shugui	Mgmt	For	For	For	
5	Elect CAO Da	Mgmt	For	For	For	
6	Elect YUAN Wei	Mgmt	For	For	For	
7	Elect HAN Yong	Mgmt	For	For	For	
8	Elect LIU Jinhai as Independent Director	Mgmt	For	For	For	
9	Elect SHAO Li as Supervisor	Mgmt	For	Against	Against	
10	Authorization for	Mgmt	For	For	For	

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### Board Implementation of the Amendment to Articles

11	Independent Directors' Fees	Mgmt	For	For	For
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Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600369	CINS Y1584M106	01/06/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Corporate Debt Financing Instruments	Mgmt	For	Abstain	Against

Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600369	CINS Y1584M106	03/02/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIAO Qingxuan	Mgmt	For	For	For

Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600369	CINS Y1584M106	03/25/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Transactions with Chongqing Yufu Asset Operation Management Group Co., Ltd.	Mgmt	For	For	For
6	Transactions with China Jianyin Investment Ltd. and Its Affiliated Companies	Mgmt	For	For	For
7	Transactions with Chongqing Jiangbeizui Cbd Investment Group	Mgmt	For	For	For

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Co., Ltd. And Its  
Affiliated  
Company

8	Transactions with Chongqing Urban Construction Investment Group Co., Ltd. And Its Affiliated Company	Mgmt	For	For	For
9	Transactions with Chongqing Expressway Group Co., Ltd. And Its Affiliated Company	Mgmt	For	For	For
10	Transactions with Chongqing International Trust Co., Ltd., and Other Companies	Mgmt	For	For	For
11	Other Transactions	Mgmt	For	For	For
12	Supervisors' Report	Mgmt	For	For	For

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Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600369	CINS Y1584M106	07/23/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Raise the Company's Proprietary Equity Securities and Equity Derivatives to Net Capital Ratio	Mgmt	For	For	For

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Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600369	CINS Y1584M106	09/15/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Authority to Adjust Auditor's Fees	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Adjustment to Authorization for Securities and	Mgmt	For	For	For

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### Security Derivative Transactions

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Southwest Securities Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
600369	CINS Y1584M106	11/19/2015	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Participate in Development Project	Mgmt	For	For	For	

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Spring Airlines Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
601021	CINS Y8131G102	03/02/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authorization of Legal Formalities	Mgmt	For	For	For	
2	Par Value And Size of Issuance	Mgmt	For	For	For	
3	Target of Subscription/Subscribers	Mgmt	For	For	For	
4	Term and Type of Bond	Mgmt	For	For	For	
5	Interest Rate	Mgmt	For	For	For	
6	Method of Guarantee	Mgmt	For	For	For	
7	Redemption or Resale Provisions	Mgmt	For	For	For	
8	Use of Proceeds	Mgmt	For	For	For	
9	Special Account for Raised Funds	Mgmt	For	For	For	
10	Company's Credit and Repayment Guarantee Measure	Mgmt	For	For	For	
11	Underwriting and Listing of the Bonds	Mgmt	For	For	For	
12	Validty of Resolution	Mgmt	For	For	For	
13	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For	
14	Amendments to Articles	Mgmt	For	For	For	
15	Investment in Wealth	Mgmt	For	For	For	

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Management  
Products

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Spring Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601021	CINS Y8131G102	05/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Directors' and Executives' Compensation	Mgmt	For	For	For
7	Supervisors' Compensation	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Appointment of Auditor and Internal Auditor	Mgmt	For	Against	Against

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Spring Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601021	CINS Y8131G102	08/07/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Volume of Issuance	Mgmt	For	For	For
6	Pricing	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For

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8	Purpose of Raised Funds	Mgmt	For	For	For
9	Arrangements for Dividends Allocation	Mgmt	For	For	For
10	Validity Period	Mgmt	For	For	For
11	Preliminary Plan of Private Placement	Mgmt	For	For	For
12	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
13	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
14	Board Authorization to Implement Private Placement	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	Abstain	Against
16	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against

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Spring Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601021	CINS Y8131G102	09/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Interim Stock Dividend	Mgmt	For	For	For
2	Amendments to Articles due to Stock Dividend	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	04/21/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Investment in Wealth Management Products	Mgmt	For	For	For
9	Related Party Transactions with PPTV	Mgmt	For	For	For
10	Related Party Transactions regarding Trademark Transfer and Licensing	Mgmt	For	For	For

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Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	05/03/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Borrowing	Mgmt	For	For	For
2	Investment in Wealth Management Products by Using Idle Funds	Mgmt	For	For	For

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Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	05/11/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Introduction of Strategic Investor for Subsidiaries	Mgmt	For	For	For
2	Staff Shareholder Partnership	Mgmt	For	For	For

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Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	07/27/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Second Period Employee	Mgmt	For	For	For

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### Stock Ownership Plan

2	Board Authorization To Implement Employee Stock Ownership Plan	Mgmt	For	For	For
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### Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	09/10/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Business Cooperation Framework Agreement	Mgmt	For	For	For
2	Eligibility for Private Placement	Mgmt	For	Against	Against
3	Stock Type and Par Value	Mgmt	For	Against	Against
4	Issuance Method	Mgmt	For	Against	Against
5	Issuance Targets and Subscription Method	Mgmt	For	Against	Against
6	Benchmark Date	Mgmt	For	Against	Against
7	Pricing	Mgmt	For	Against	Against
8	Size of Issuance	Mgmt	For	Against	Against
9	Lock-up Period	Mgmt	For	Against	Against
10	Listing Place	Mgmt	For	Against	Against
11	Use of Raised Funds	Mgmt	For	Against	Against
12	Arrangements for Dividends Allocation	Mgmt	For	Against	Against
13	Validity Period	Mgmt	For	Against	Against
14	Connected Transactions Relating to Private Placement	Mgmt	For	Against	Against
15	Conditional Share Subscription Agreements with Taobao (China) Co., Ltd.	Mgmt	For	Against	Against
16	Conditional Share Subscription Agreements with Anxin-Suning Zhongcheng Second Asset Management Plan	Mgmt	For	Against	Against

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17	Preliminary Plan for Private Placement	Mgmt	For	Against	Against
18	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
19	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
20	Board Authorization to Implement Private Placement	Mgmt	For	Against	Against
21	Investment in Share Issuance of Alibaba Group Holding Limited	Mgmt	For	For	For
22	Modification of Second Phase of Employee Stock Ownership Plan	Mgmt	For	For	For
23	Shareholder Returns Plan	Mgmt	For	For	For

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Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	11/17/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Divestiture	Mgmt	For	For	For

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Suzhou Gold Mantis Construction and Decoration Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002081	CINS Y8315V107	04/08/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect NI Lin	Mgmt	For	For	For
2	Elect YANG Zhen	Mgmt	For	For	For
3	Elect YAN Duolin	Mgmt	For	For	For
4	Elect ZHU Ming	Mgmt	For	For	For
5	Elect WANG Hanlin	Mgmt	For	For	For
6	Elect CAO Liming	Mgmt	For	For	For
7	Elect YIN Xin	Mgmt	For	For	For
8	Elect WAN Jieqiu	Mgmt	For	For	For
9	Elect YU Xuehua	Mgmt	For	For	For
10	Independent Directors' Fees	Mgmt	For	For	For
11	Elect WANG Anli	Mgmt	For	Against	Against
12	Elect QIAN Ping	Mgmt	For	For	For

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Suzhou Gold Mantis Construction and Decoration Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002081	CINS Y8315V107	05/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Increase in Registered Capital	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Approval of Banknotes Pool	Mgmt	For	For	For
11	Transfer and Repurchase of Financial Assets	Mgmt	For	For	For
12	Investment in Wealth Management Products	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Suzhou Gold Mantis Construction and Decoration Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002081	CINS Y8315V107	07/03/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	For	For
2	Constitution of Management System for Employee Stock Ownership Plan	Mgmt	For	For	For

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3	Eligibility for Private Placement	Mgmt	For	For	For
4	Share Type and Par Value	Mgmt	For	For	For
5	Offering Method	Mgmt	For	For	For
6	Target Subscribers and Subscription Method	Mgmt	For	For	For
7	Size of Issuance	Mgmt	For	For	For
8	Offering Price and Benchmark Date	Mgmt	For	For	For
9	Lock-up Period	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For
12	Arrangements for Undistributed Profit	Mgmt	For	For	For
13	Valid Period of Resolution	Mgmt	For	For	For
14	Approval of Preliminary Plan of Private Placement	Mgmt	For	For	For
15	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
16	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
17	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
18	Approval of Conditional Share Subscription Agreement	Mgmt	For	For	For
19	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For
21	Shareholder Returns	Mgmt	For	For	For

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Plan

22	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
23	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against

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Suzhou Gold Mantis Construction and Decoration Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002081	CINS Y8315V107	10/12/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	For	For
2	Constitution of Procedural Rules for the Employee Stock Ownership Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Transfer and Purchase of Financial Assets	Mgmt	For	For	For

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Suzhou Gold Mantis Construction and Decoration Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002081	CINS Y8315V107	11/30/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
3	Authority to Give Guarantees for Lines of Credit	Mgmt	For	For	For
4	Authority to Give	Mgmt	For	For	For

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Guarantees for  
Company

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### Tasly Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600535	CINS Y88318103	04/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Change in Company Name	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Merger with Tianjin Tasly Sants Pharmaceutical Co., Ltd.	Mgmt	For	For	For
12	Merger with Tianjin Jinshi New Energy Co., Ltd.	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000100	CINS Y8549C107	04/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Budget	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Appointment of Auditor	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000100	CINS Y8549C107	06/13/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Authority to Issue Short-Term Commercial Papers	Mgmt	For
		For	For

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000100	CINS Y8549C107	07/17/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Share Repurchase Plan (2015-2017)	Mgmt	For
		For	For
2	Method and Use of shares	Mgmt	For
		For	For
3	Repurchase Price	Mgmt	For
4	Source of Capital	Mgmt	For
5	Share Type, Size and Percentage	Mgmt	For
		For	For
6	Repurchase Period	Mgmt	For
7	Validity Period	Mgmt	For
8	Board Authorization to Repurchase Shares	Mgmt	For
		For	For

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000100	CINS Y8549C107	08/31/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For
		Against	Against
2	Amendments to Articles	Mgmt	For
3	Elect HE Zhuohui as Supervisor	Mgmt	For
		Against	Against
4	Elect WU Shihong	Mgmt	For
5	Elect LIU Bin	Mgmt	For
		For	For

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000100	CINS Y8549C107	11/09/2015	Voted
Meeting Type		Country of Trade	

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Maturity	Mgmt	For	For	For
5	Interest Rate and Repayment	Mgmt	For	For	For
6	Purpose of Raised Funds	Mgmt	For	For	For
7	Issuance Targets	Mgmt	For	For	For
8	Method of Issuance	Mgmt	For	For	For
9	Guarantee Method	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
14	Authority to Issue Enterprise Bond	Mgmt	For	For	For

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### Tebian Electric Apparatus Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600089	CINS Y8550D101	05/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Annual Report and Its Summary	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Hedging and Forward Foreign Exchange Business	Mgmt	For	For	For
9	Related Party Transactions with TBEA Group Co., Ltd.	Mgmt	For	For	For

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10	Authority to Issue Mid-Term Notes	Mgmt	For	For	For
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11	Authority to Issue Short-Term Financial Bills	Mgmt	For	For	For
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Tebian Electric Apparatus Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600089	CINS Y8550D101	07/09/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Adjustment to 2014 Annual Dividend Plan	Mgmt	For	For	For
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Tebian Electric Apparatus Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600089	CINS Y8550D101	07/09/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Adjustment to 2014 Annual Dividend Plan	Mgmt	For	For	For
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Tebian Electric Apparatus Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600089	CINS Y8550D101	07/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Capital Injection and Project Investment	Mgmt	For	For	For
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Tebian Electric Apparatus Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600089	CINS Y8550D101	07/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Capital Injection and Project Investment	Mgmt	For	For	For
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Tebian Electric Apparatus Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600089	CINS Y8550D101	09/16/2015	Voted
Meeting Type	Country of Trade		
Special	China		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Xin	Mgmt	For	Against	Against
2	Elect YE Jun	Mgmt	For	For	For
3	Elect HUANG Hanjie	Mgmt	For	For	For
4	Elect HU SHUJUN	Mgmt	For	For	For
5	Elect LI Bianqu	Mgmt	For	Against	Against
6	Elect GUO Junxiang	Mgmt	For	Against	Against
7	Elect DU Beiwei	Mgmt	For	For	For
8	Elect DONG Jingzhen	Mgmt	For	For	For
9	Elect CHANG Qing	Mgmt	For	For	For
10	Elect QIAN Aimin	Mgmt	For	Against	Against
11	Elect HU Benyuan	Mgmt	For	Against	Against
12	Elect ZHANG Aiqin	Mgmt	For	Against	Against
13	Elect BU Xiaoxia	Mgmt	For	Against	Against

Tebian Electric Apparatus Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600089	CINS Y8550D101	11/12/2015	Voted
Meeting Type	Country of Trade		
Special	China		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Long-term Callable Bonds	Mgmt	For	For	For
2	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Face Value	Mgmt	For	For	For
5	Bond Term	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Repayment Method	Mgmt	For	For	For
8	Option to Raise Coupon Rate	Mgmt	For	For	For
9	Call Option	Mgmt	For	For	For
10	Collateral	Mgmt	For	For	For
11	Issuance Method	Mgmt	For	For	For
12	Use of Proceeds	Mgmt	For	For	For
13	Guarantee Measure	Mgmt	For	For	For
14	Listing and Distribution of Issued Bonds	Mgmt	For	For	For
15	Validity of Resolution	Mgmt	For	For	For
16	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

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The Pacific Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601099	CINS Y6587L104	02/17/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Hong Kong Subsidiary	Mgmt	For	For	For

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The Pacific Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601099	CINS Y6587L104	03/25/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

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The Pacific Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601099	CINS Y6587L104	06/13/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Type	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Par Value and Issue Price	Mgmt	For	For	For
4	Maturity	Mgmt	For	For	For
5	Interest Rates	Mgmt	For	For	For
6	Interest Payment Term and Method	Mgmt	For	For	For
7	Conversion Period	Mgmt	For	For	For
8	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
9	Downward Adjustment to	Mgmt	For	For	For

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Conversion Price					
10	Determination of Size for Converted Shares	Mgmt	For	For	For
11	Redemption Clauses	Mgmt	For	For	For
12	Resale Clauses	Mgmt	For	For	For
13	Attribution of Related Dividends for Years of Conversion	Mgmt	For	For	For
14	Subscribers and Method of Issuance	Mgmt	For	For	For
15	Placement Arrangements for Shareholders	Mgmt	For	For	For
16	Bondholders and Bondholder Meetings	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Matters of Guarantees	Mgmt	For	For	For
19	Deposit Account for Raised Funds	Mgmt	For	For	For
20	Validity of Resolution	Mgmt	For	For	For
21	Preliminary Plan of Bond Issuance	Mgmt	For	For	For
22	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
23	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
24	Approval of Dilution Measures for Issuance	Mgmt	For	For	For
25	Board Authorization to Issue Bonds	Mgmt	For	For	For

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The Pacific Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601099	CINS Y6587L104	11/17/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

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1	Debt Issuer	Mgmt	For	Abstain	Against
2	Type of Debt	Mgmt	For	Abstain	Against
3	Size of Debt	Mgmt	For	Abstain	Against
4	Maturity	Mgmt	For	Abstain	Against
5	Method of Debt Financing	Mgmt	For	Abstain	Against
6	Interest Rate	Mgmt	For	Abstain	Against
7	Guarantee Arrangements	Mgmt	For	Abstain	Against
8	Use of Proceeds	Mgmt	For	Abstain	Against
9	Listing	Mgmt	For	Abstain	Against
10	Safeguard Measures for Debt Repayment	Mgmt	For	Abstain	Against
11	Validity Period of Resolution	Mgmt	For	Abstain	Against
12	Board Authorization to Implement Debt Issuance	Mgmt	For	Abstain	Against
13	Amendments to Articles	Mgmt	For	For	For
14	Extension of Validity Period For Rights Issue	Mgmt	For	For	For
15	Extension of Board Authorization to Implement Rights Issue	Mgmt	For	For	For

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### Tian Di Science and Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600582	CINS Y8817K104	06/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Bonus Share Issuance	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Tianjin Port Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600717	CINS Y8829Y100	04/28/2016	Voted
Meeting Type	Country of Trade		
Annual	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Annual Report Summary	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Accounts and Reports	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Independent Directors' Fees	Mgmt	For	For	For
11	Elect LU Wei	Mgmt	For	For	For
12	Elect ZHAO Yanhu	Mgmt	For	Against	Against
13	Elect ZHAO Mingkui	Mgmt	For	For	For
14	Elect LI Quanyong	Mgmt	For	For	For
15	Elect ZHANG Zengxin	Mgmt	For	For	For
16	Elect SUN Bin	Mgmt	For	For	For
17	Elect ZHANG Xuan	Mgmt	For	For	For
18	Elect GUO Yaoli	Mgmt	For	For	For
19	Elect WANG Cunjie	Mgmt	For	Against	Against
20	Elect YAO Zhigang	Mgmt	For	For	For
21	Elect WANG Jian	Mgmt	For	Against	Against

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### Tianjin Port Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600717	CINS Y8829Y100	08/19/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Li Wei as Director	Mgmt	For	For	For

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### Tianjin Port Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600717	CINS Y8829Y100	12/24/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LU Wei as Director	Mgmt	For	For	For

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### Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002129	CINS Y88171106	02/18/2016	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules: Raised Fund Management	Mgmt	For	Abstain	Against
2	Authority to Give Guarantees to Hua Xia Juguang (Inner Mongolia) Photovoltaic Power Co., Ltd. and Central Europe Tianjin International Silicon Materials Co., Ltd.	Mgmt	For	For	For
3	Appoint SHEN Haoping as Chairman	Mgmt	For	For	For
4	Authority to Give Guarantees to Tianjin Central European International Silicon Materials Co., Ltd.; Inner Mongolia Central PV Material Co., Ltd.; Tianjin Central Financial Leasing Co., Ltd. and Central Hong Kong Holdings Limited	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Approval of 2016 Financing	Mgmt	For	For	For
7	Authority to Give Guarantees to Dongfang Huansheng Co., Ltd.	Mgmt	For	For	For

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Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002129	CINS Y88171106	06/08/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For

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3	Treatment of the Company's Shareholders	Mgmt	For	For	For
4	Par Value and Issue Price	Mgmt	For	For	For
5	Term of Bonds	Mgmt	For	For	For
6	Interest Rates	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Issue Method	Mgmt	For	For	For
9	Target of Subscription	Mgmt	For	For	For
10	Guarantee Method	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Bond Listing	Mgmt	For	For	For
13	Board Authorization to Implement Corporate Bonds Issuance	Mgmt	For	For	For
14	Eligibility for Private Placement of Corporate Bonds	Mgmt	For	For	For
15	Size of Issuance	Mgmt	For	For	For
16	Treatment of the Company's Shareholders	Mgmt	For	For	For
17	Par Value and Issue Price	Mgmt	For	For	For
18	Term of Bonds	Mgmt	For	For	For
19	Interest Rates	Mgmt	For	For	For
20	Use of Proceeds	Mgmt	For	For	For
21	Issue Method	Mgmt	For	For	For
22	Target of Subscription	Mgmt	For	For	For
23	Guarantee Method	Mgmt	For	For	For
24	Validity of Resolution	Mgmt	For	For	For
25	Transfer of Bonds	Mgmt	For	For	For
26	Board Authorization to Implement Private Placement of Corporate Bonds	Mgmt	For	For	For
27	Related Party Transactions Regarding Guarantee	Mgmt	For	For	For

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28	Directors' Report	Mgmt	For	For	For
29	Supervisors' Report	Mgmt	For	For	For
30	Accounts and Reports	Mgmt	For	For	For
31	Allocation of Profits/Dividends	Mgmt	For	For	For
32	Annual Report	Mgmt	For	For	For
33	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
34	Appointment of Auditor	Mgmt	For	For	For
35	2016 Routine Related Party Transactions	Mgmt	For	For	For
36	Elect ZHOU Hong	Mgmt	For	For	For
37	Elect ZHANG Xiongwei	Mgmt	For	For	For
38	Authority to Give Guarantees	Mgmt	For	For	For

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Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002129	CINS Y88171106	08/26/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2015 Related Party Transactions	Mgmt	For	For	For
2	Establishment of Joint Ventures	Mgmt	For	Abstain	Against
3	Establishment of Subsidiary	Mgmt	For	For	For
4	Increase in Authorized Capital of Inner Mongolia Crystal Ring Electronic Materials Co., Ltd.	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Approval of Related Party Transactions with Zhonghuan Electronic and Information (Group) Co., Ltd	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Capital Increase in Huanou Co., Ltd.	Mgmt	For	For	For

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Tonghua Dongbao Pharmaceutical Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600867	CINS Y8864T107	01/06/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect SHI Wei	Mgmt	For	For	For
2	ELECT WANG Yanming	Mgmt	For	For	For

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Tonghua Dongbao Pharmaceutical Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600867	CINS Y8864T107	01/27/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve of Revised Private Placement Plan	Mgmt	For	For	For
2	Self-inspection Report on Real Estate Business	Mgmt	For	For	For
3	Commitments from Shareholders, Directors, Supervisors and Executives on Real Estate Business	Mgmt	For	For	For
4	Measurements for Diluted Current Returns due to Private Placement	Mgmt	For	For	For

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Tonghua Dongbao Pharmaceutical Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600867	CINS Y8864T107	05/13/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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7	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Tonghua Dongbao Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600867	CINS Y8864T107	08/24/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Share Subscription Agreement with Bionime Corp.	Mgmt	For	For	For
2	Absorption of Wholly-owned Subsidiary	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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Tonghua Dongbao Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600867	CINS Y8864T107	10/15/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Change of Registered Capital and Amendments to Articles	Mgmt	For	For	For
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Tonghua Dongbao Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600867	CINS Y8864T107	11/30/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Pricing	Mgmt	For	For	For
5	Issuance Targets and	Mgmt	For	For	For

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Subscription Method					
6	Size of Issuance	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Arrangement for Undistributed Profits	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Private Placement Preliminary Plan	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Related Party Transactions for Private Placement	Mgmt	For	For	For
15	Conditional Share Subscription and Supplementary Agreements	Mgmt	For	For	For
16	Measures for Diluted Returns	Mgmt	For	For	For
17	Confirmation of Appointment of Evaluation Firm	Mgmt	For	For	For
18	2015 to 2017 Shareholder Returns Plan	Mgmt	For	For	For
19	Amendments to Procedural Rules: Raised Fund Management System	Mgmt	For	Abstain	Against
20	Establishment of Special Account for Funds Raised from Private Placement	Mgmt	For	For	For
21	Additional Supplementary Agreements for Private Placement	Mgmt	For	For	For
22	Audit Report and Asset Evaluation Report	Mgmt	For	For	For

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Regarding Private  
Placement Fund  
Investment  
Projects

23	Application for Bank Loans	Mgmt	For	For	For
24	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status				
000630	CINS Y0138F104	01/28/2016	Voted				
Meeting Type	Country of Trade						
Special	China	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For	For	
2	Share Type and Par Value	Mgmt	For	For	For	For	
3	Issuing Method and Period	Mgmt	For	For	For	For	
4	Target Subscribers	Mgmt	For	For	For	For	
5	Issuing Price and Pricing	Mgmt	For	For	For	For	
6	Size of Issuance	Mgmt	For	For	For	For	
7	Lock-up Period	Mgmt	For	For	For	For	
8	Listing Market	Mgmt	For	For	For	For	
9	Use of Proceeds	Mgmt	For	For	For	For	
10	Distribution Arrangements for Accumulated Profits	Mgmt	For	For	For	For	
11	Validity Period of Resolution	Mgmt	For	For	For	For	
12	Approval of Preliminary Report of Private Placement	Mgmt	For	For	For	For	
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	For	
14	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For	For	
15	Subscription	Mgmt	For	For	For	For	

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Agreements with Target Subscribers					
16	Approval of Asset Management Agreement for Employee Stock Purchase Plan	Mgmt	For	For	For
17	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
18	Employee Stock Purchase Plan	Mgmt	For	For	For
19	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	For	For
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	03/31/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Measures for Diluted Immediate Returns for Private Placement	Mgmt	For	For	For
2	Commitments from Directors and Executives for Diluted Return Measures	Mgmt	For	For	For

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Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	05/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5	Approval Line of Credit	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Related Party Transactions	Mgmt	For	Against	Against
8	Related Party Transactions with Tongling Nonferrous Metals Group Finance Co., Ltd.	Mgmt	For	Against	Against
9	Elect WANG Li	Mgmt	For	For	For
10	Elect LIU Fanglai	Mgmt	For	For	For
11	Annual Report	Mgmt	For	For	For
12	Deposit risk assessment	Mgmt	For	For	For

Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	05/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For

Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	06/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Foreign Exchange Trade Business	Mgmt	For	For	For

Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	09/14/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	09/23/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Plan for Postponement of Trading Resumption	Mgmt	For	For	For
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Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	12/15/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Elect JIANG Peijin	Mgmt	For	For	For
2	Elect WANG Chang	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Establishment of Shareholder Return Plan	Mgmt	For	For	For

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Tsinghua Tongfang Co. Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600100	CINS Y8997R119	05/11/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	Authority to Set Fees	Mgmt	For	For	For
10	Approval of Comprehensive Line of Credit	Mgmt	For	For	For
11	Approval of Including Relevant Subsidiaries Into the Group's Comprehensive Line of Credit	Mgmt	For	For	For
12	Approval of Including Relevant Subsidiaries with Liability Ratios Above 70%	Mgmt	For	For	For

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13	Authority to Give Guarantees for Subsidiaries	Mgmt	For	For	For
14	Authority to Authorize Chairman to Implement Guarantees	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Elect ZHOU Liye	Mgmt	For	For	For
17	Elect HUANG Yu	Mgmt	For	For	For
18	Elect FAN Xin	Mgmt	For	For	For
19	Elect TONG Libin	Mgmt	For	For	For
20	Elect HE Jia	Mgmt	For	For	For
21	Elect YANG Li	Mgmt	For	For	For
22	Elect ZUO Xiaolei	Mgmt	For	For	For
23	Elect ZHANG Wenjuan	Mgmt	For	Against	Against
24	Elect SUN Juan	Mgmt	For	For	For
25	Elect LIU Gang	Mgmt	For	For	For

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Tsinghua Tongfang Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600100	CINS Y8997R119	02/22/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Equity Acquisition	Mgmt	For
2	Related Party Transactions	Mgmt	For
			Abstain
			Against

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Tsinghua Tongfang Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600100	CINS Y8997R119	09/16/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Authority to Issue Commercial Paper	Mgmt	For
2	Elect LONG Dawei	Mgmt	For
3	Elect LEI Lin	Mgmt	For
			For
			For

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Tsinghua Tongfang Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600100	CINS Y8997R119	11/18/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Divestiture of Interest in Guoxin to Ziguang Group Co., Ltd	Mgmt	For
			For
			For

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2	Elect HUANG Yu as Director	Mgmt	For	For	For
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Tsingtao Brewery Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0168	CINS Y8997D110	02/16/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YU Zeng Biao	Mgmt	For	For	For
<hr/>					
Tsingtao Brewery Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0168	CINS Y8997D110	06/16/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect FAN Wei as Director	Mgmt	For	For	For
8	Elect KITAGAWA Ryoichi as Supervisor	Mgmt	For	Against	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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Tsingtao Brewery Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0168	CINS Y8997D110	12/04/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	Elect LI Gang as Supervisor	Mgmt	For	Against	Against
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Tus-Sound Environmental Resources Co., Ltd.					

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Ticker	Security ID:	Meeting Date	Meeting Status		
000826	CINS Y8076H107	05/05/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Approval of Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Shareholder Returns Plan	Mgmt	For	For	For
12	Elect Yang Lei as supervisor	Mgmt	For	Against	Against
13	Acquisition of Two Companies by a Subsidiary Company	Mgmt	For	For	For
14	Capital Increase in Joint Venture	Mgmt	For	For	For

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Tus-Sound Environmental Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000826	CINS Y8076H107	05/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Private Placement	Mgmt	For	Against	Against
3	Share Type and Par Value	Mgmt	For	Against	Against
4	Issue Method and Issue Date	Mgmt	For	Against	Against

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5	Benchmark Date	Mgmt	For	Against	Against
6	Size of Issuance	Mgmt	For	Against	Against
7	Target Subscriber and Subscription Method	Mgmt	For	Against	Against
8	Lock-up Period	Mgmt	For	Against	Against
9	Amount and Use of Proceeds	Mgmt	For	Against	Against
10	Arrangement for Undistributed Profits	Mgmt	For	Against	Against
11	Validity of Resolution	Mgmt	For	Against	Against
12	Listing Market	Mgmt	For	Against	Against
13	Amendment to Private Placement Plan	Mgmt	For	Against	Against
14	Amendment to Preliminary Plan for Private Placement	Mgmt	For	Against	Against
15	Approval of Signing Conditional Shares Subscription Agreements with Zhuhai Qidi Investment Management Co., Ltd.	Mgmt	For	Against	Against
16	Approval of Signing Conditional Shares Subscription Agreements with Zhuhai Qidi Lvyuan Capital Management Co., Ltd.	Mgmt	For	Against	Against
17	Approval of Signing Conditional Shares Subscription Agreements with Xizang qingkong Asset Management Co., Ltd.	Mgmt	For	Against	Against
18	Approval of Signing Conditional Shares Subscription Agreements with Nantong Jinxinhaohai Investment Center	Mgmt	For	Against	Against
19	Approval of Signing Conditional Shares	Mgmt	For	Against	Against

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	Subscription Agreements with Qidi Jinkong Investment Co., Ltd.				
20	Approval of Signing Conditional Shares Subscription Agreements with Xizang Sangde Investment Holding Co., Ltd.	Mgmt	For	Against	Against
21	Approval of Signing Conditional Shares Subscription Agreements with Harvest Fund Management Co., Ltd.	Mgmt	For	Against	Against
22	Approval of Signing Conditional Shares Subscription Agreements with China Universal Asset Management Co., Ltd.	Mgmt	For	Against	Against
23	Approval of Signing Conditional Shares Subscription Agreements with Bangxin Asset Management Co., Ltd.	Mgmt	For	Against	Against
24	Approval of Signing Supplement Agreement with Zhuhai Qidi Investment Management Co., Ltd.	Mgmt	For	Against	Against
25	Approval of Supplement Agreement of Conditional Shares Subscription Agreements	Mgmt	For	Against	Against
26	Approval of Signing Supplement Agreement with Zhuhai Qidi Lvyuan Capital Management Co., Ltd.	Mgmt	For	Against	Against
27	Approval of Signing Supplement Agreement with Xizang qingkong	Mgmt	For	Against	Against

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	Asset Management Co., Ltd.				
28	Approval of Signing Supplement Agreement with Nantong Jinxinhaohai Investment Center	Mgmt	For	Against	Against
29	Approval of Signing Supplement Agreement with Qidi Jinkong Investment Co., Ltd.	Mgmt	For	Against	Against
30	Approval of Signing Supplement Agreement with Xizang Sangde Investment Holding Co., Ltd.	Mgmt	For	Against	Against
31	Approval of Signing Supplement Agreement with Harvest Fund Management Co., Ltd.	Mgmt	For	Against	Against
32	Approval of Signing Supplement Agreement with China Universal Asset Management Co., Ltd.	Mgmt	For	Against	Against
33	Approval of Signing Supplement Agreement with Bangxin Asset Management Co., Ltd.	Mgmt	For	Against	Against
34	Approval of Signing Supplement Agreement with Soochow Securities Co., Ltd.	Mgmt	For	Against	Against
35	Related Party Transactions Due to Private Placement	Mgmt	For	Against	Against
36	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
37	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
38	Measures for Diluted Earnings Due to	Mgmt	For	Against	Against

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Private  
Placement

39	Approval of Commitments of Controlling Shareholders, De Facto Controllers, Directors and Management for Dilution Measures	Mgmt	For	Against	Against
40	Waiver of Tender Offer Requirement	Mgmt	For	Against	Against
41	Board Authorization to Implement Private Placement	Mgmt	For	Against	Against
42	Employee Stock Ownership Plan	Mgmt	For	Against	Against
43	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	Against	Against

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Universal Scientific Industrial (Shanghai) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601231	CINS Y92776106	04/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	Abstain	Against
7	Investment in Derivatives	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Appointment of Internal Control Auditor	Mgmt	For	For	For
10	Ratification of 2015 Related Party Transactions	Mgmt	For	For	For
11	2016 Related Party Transactions	Mgmt	For	For	For

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12	Authority to Issue Medium-term Notes	Mgmt	For	For	For
13	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
14	Authority to Issue Corporate Bonds	Mgmt	For	For	For
15	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For
16	Elect CHEN Yichang	Mgmt	For	For	For
17	Elect Andrew Robert Tang as Supervisor	Mgmt	For	Against	Against

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Universal Scientific Industrial (Shanghai) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601231	CINS Y92776106	11/17/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Plan Objective	Mgmt	For	For	For
2	Plan Participants	Mgmt	For	For	For
3	Source and Number of Shares	Mgmt	For	For	For
4	Results of Stock Distribution	Mgmt	For	For	For
5	Validity Period, Grant Date, Waiting Period, Vesting Date, Exercise Date and Lock-up Period	Mgmt	For	For	For
6	Exercise Price	Mgmt	For	For	For
7	Conditions for Granting and Exercising	Mgmt	For	For	For
8	Methods for Plan Adjustment	Mgmt	For	For	For
9	Accounting Treatment	Mgmt	For	For	For
10	Procedures for Grant and Excercise	Mgmt	For	For	For
11	Rights and Obligations for the Company and Participants	Mgmt	For	For	For

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12	Treatment for Unusual Circumstances	Mgmt	For	For	For
13	Constitution of Procedural Rules: Management Measures for Stock Purchase Plan Implementation	Mgmt	For	For	For
14	Board Authorization to Implement Stock Purchase Plan	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For

WANDA CINEMA LINE CO. LTD

Ticker	Security ID:	Meeting Date	Meeting Status		
002739	CINS Y94885103	01/05/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHAO Xiao	Mgmt	For	For	For

WANDA CINEMA LINE CO. LTD

Ticker	Security ID:	Meeting Date	Meeting Status		
002739	CINS Y94885103	04/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	Against	Against
9	Related Party Transactions	Mgmt	For	For	For
10	Investment Plan	Mgmt	For	For	For

Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	07/27/2015	Voted		

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Meeting Type Special		Country of Trade China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For
3	Amendments to Administrative Measures for Raised Funds	Mgmt	For	Abstain	Against

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### Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	08/11/2015	Voted		
Meeting Type Special		Country of Trade China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Rationale and Purpose	Mgmt	For	For	For
2	Scope of Participants	Mgmt	For	For	For
3	Source and Size of Stock Options	Mgmt	For	For	For
4	Allocation of Stock Options	Mgmt	For	For	For
5	Valid Period of Plan, Grant Date, Waiting Period,  Exercise Date and Lock-up Period	Mgmt	For	For	For
6	Exercise Price and Determination	Mgmt	For	For	For
7	Conditions and Terms for Grant and Exercise	Mgmt	For	For	For
8	Plan Adjustment Methods and Procedures	Mgmt	For	For	For
9	Accounting Treatment	Mgmt	For	For	For
10	Grant and Exercise Procedures	Mgmt	For	For	For
11	Rights and Obligations of the Company and	Mgmt	For	For	For

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12	Participants Changes and Termination of Incentive Plan	Mgmt	For	For	For
13	Establishment of Administrative Measures for 2015 Stock Option Incentive Plan	Mgmt	For	For	For
14	Board Authorization to Implement 2015 Stock Option Incentive Plan	Mgmt	For	For	For

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Wangsu Science and Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	03/18/2016	Voted		
Meeting Type		Country of Trade	China		
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Objective	Mgmt	For	For	For
2	Range of Participants	Mgmt	For	For	For
3	Source and Size of Shares	Mgmt	For	For	For
4	Results of Distribution	Mgmt	For	For	For
5	Validity, Grant Date, Waiting Period, Vesting Date, and Lock-up Period	Mgmt	For	For	For
6	Exercise Price or Method of Determining Exercise Price	Mgmt	For	For	For
7	Conditions for Granting and Exercising Rights	Mgmt	For	For	For
8	Plan's Adjustment Method and Procedure	Mgmt	For	For	For
9	Accounting Treatment	Mgmt	For	For	For
10	Plan Implementation and Exercising Procedures	Mgmt	For	For	For
11	Participants' Rights and	Mgmt	For	For	For

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Obligations

12	Arrangements for Unusual Situations	Mgmt	For	For	For
13	Establishment of Assessment Measures for Performance Assessment and Management	Mgmt	For	For	For
14	Board Authorization to Implement Stock Options Plan	Mgmt	For	For	For

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Wangsu Science and Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	04/12/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Amendments to Articles	Mgmt	For	For	For
8	Increase of Idle Fund Investment in Wealth Management Products	Mgmt	For	For	For
9	Increase of Raised Fund Investment in Wealth Management Products	Mgmt	For	For	For

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Wangsu Science and Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	11/12/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification to Employee Stock Ownership Plan	Mgmt	For	For	For

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Wangsu Science and Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
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300017	CINS Y7689Q108	12/24/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Capital Increase	Mgmt	For	Abstain	Against
3	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
4	Continued Use of Idle Funds for Investment in Wealth Management Products	Mgmt	For	For	For
5	Continued Use of Idle Funds to Implement Cash Management	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Wanhua Chemical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600309	CINS Y9520G109	02/04/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Super & Short-term Commercial Paper	Mgmt	For	For	For
2	Elect SUN Xiao as Supervisor	Mgmt	For	Against	Against
3	Elect BAO Yongjian	Mgmt	For	For	For
4	Elect ZHANG Xiaorong	Mgmt	For	For	For
5	Elect ZHANG Wanbin	Mgmt	For	For	For

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Wanhua Chemical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600309	CINS Y9520G109	03/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Approval of Investment Plan	Mgmt	For	Abstain	Against

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4	Annual Report and Summary	Mgmt	For	For	For
5	Directors' Report	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Routine Related Party Transactions	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Supervisors' Report	Mgmt	For	For	For
12	Eligibility for Private Placement	Mgmt	For	For	For
13	Private Placement Plan	Mgmt	For	For	For
14	Preliminary Plan of Private Placement	Mgmt	For	For	For
15	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
16	Board Authorization to Implement Private Placement	Mgmt	For	For	For
17	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
18	Regulatory Agencies' Actions Towards the Company	Mgmt	For	For	For
19	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against

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Wanhua Chemical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600309	CINS Y9520G109	06/17/2016	Voted
Meeting Type	Country of Trade	Proponent	Mgmt Rec
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
Vote Cast	For/Against	Mgmt	

1	Measures for Diluted Returns	Mgmt	For	For	For
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2	Commitments from Executives and Directors for Diluted Return Measures	Mgmt	For	For	For
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Wanhua Chemical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600309	CINS Y9520G109	11/17/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Corporate Bonds	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Wanxiang Doneed Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600371	CINS Y9505H106	03/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	Abstain	Against
2	Annual Report and Summary	Mgmt	For	Abstain	Against
3	Supervisors' Report	Mgmt	For	Abstain	Against
4	Accounts and Reports	Mgmt	For	Abstain	Against
5	Allocation of Profits/Dividends	Mgmt	For	Abstain	Against
6	Related Party Transactions	Mgmt	For	Abstain	Against
7	Financial Service Framework	Mgmt	For	Abstain	Against
8	Authority to Give Guarantees	Mgmt	For	Abstain	Against
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	Abstain	Against
10	Authority to Issue Super and Short-term Commercial Paper	Mgmt	For	Abstain	Against
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Wanxiang Qianchao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000559	CINS Y9505H106	06/01/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LU Guanqiu	Mgmt	For	For	For
2	Elect ZHANG Shouguo	Mgmt	For	For	For
3	Elect GUAN Dayuan	Mgmt	For	For	For
4	Elect LI Pingyi	Mgmt	For	For	For
5	Elect PAN Wenbiao	Mgmt	For	For	For
6	Elect GU Fuxiang	Mgmt	For	For	For
7	Elect BAO Jiming	Mgmt	For	For	For
8	Elect FU Liqun	Mgmt	For	For	For
9	Elect WU Chongguo	Mgmt	For	For	For
10	Elect ZHOU Shuxiang	Mgmt	For	Against	Against
11	Elect ZHANG Jixi	Mgmt	For	For	For
12	Elect ZHAO Lingwei	Mgmt	For	Against	Against
13	Amendments to Articles	Mgmt	For	For	For

Wasu Media Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000156	CINS Y9532N100	04/22/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YIN Lei	Mgmt	For	For	For

Wasu Media Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000156	CINS Y9532N100	06/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Financial Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Wasu Media Holding Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
000156	CINS Y9532N100	07/24/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase and Share Expansion in a Company	Mgmt	For	For	For
2	Approval of Medium and Long-term Development Plan	Mgmt	For	Against	Against

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### Wasu Media Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000156	CINS Y9532N100	09/28/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect WANG Jianer	Mgmt	For	For	For
3	Elect LI Yiqing	Mgmt	For	For	For
4	Elect SHEN Linhua	Mgmt	For	For	For
5	Elect FENG Zhongming	Mgmt	For	For	For
6	Elect ZHOU Hong	Mgmt	For	For	For
7	Elect SHAO Xiaofeng	Mgmt	For	For	For
8	Elect ZHANG Hongping	Mgmt	For	For	For
9	Elect LAI Tao	Mgmt	For	For	For
10	Elect WU Xiaoli	Mgmt	For	For	For
11	Elect CAO Huan	Mgmt	For	For	For
12	Elect DONG Ansheng	Mgmt	For	For	For
13	Elect XU Qian	Mgmt	For	For	For
14	Elect NIE Runcheng	Mgmt	For	For	For
15	Elect WU Jie	Mgmt	For	Against	Against
16	Elect TANG Jianjun	Mgmt	For	For	For
17	Elect ZHANG Lei	Mgmt	For	Against	Against

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### Wasu Media Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000156	CINS Y9532N100	12/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Qing as Director	Mgmt	For	For	For
2	Elect LAI Tao as Supervisor	Mgmt	For	Against	Against

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### Weichai Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2338	CINS Y9531A117	06/07/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Final Financial Report	Mgmt	For	For	For
6	2016 Financial Budget Report	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	Allocation of Interim Dividends	Mgmt	For	For	For
10	2015 Profit Distribution Plan	Mgmt	For	For	For
11	Amendments to Audit Committee Terms of Reference	Mgmt	For	For	For
12	Related Party Transaction with Shantui Engineering Machinery Co., Ltd.	Mgmt	For	For	For
13	New Financial Services Agreement and Annual Caps	Mgmt	For	Against	Against
14	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Weichai Power Co., Ltd.

Ticker Security ID:  
2338 CINS Y9531A117

Meeting Date  
08/27/2015

Meeting Status  
Voted

Meeting Type  
Special

Country of Trade  
China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Bond and Give Guarantees	Mgmt	For	For	For

Weichai Power Co., Ltd.

Ticker Security ID:

Meeting Date

Meeting Status

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
2338	CINS Y9531A117	11/18/2015		Voted	
	Meeting Type	Country of Trade			
	Special	China			
1	Supplemental Agreement to Fast Transmission Sale Agreement	Mgmt	For	For	For
2	Supplemental Agreement to Fast Transmission Purchase Agreement	Mgmt	For	For	For

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Weifu High-Technology Group Co., Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
000581	CINS Y95338110	05/26/2016		Voted	
	Meeting Type	Country of Trade			
	Annual	China			
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Weifu High-Technology Group Co., Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
000581	CINS Y95338110	08/07/2015		Voted	
	Meeting Type	Country of Trade			
	Special	China			
1	Pricing	Mgmt	For	For	For
2	Repurchased Share Type, Amount and Percentage	Mgmt	For	For	For
3	Total Amount and Source of Funds	Mgmt	For	For	For
4	Repurchase Period	Mgmt	For	For	For
5	Repurchase Method	Mgmt	For	For	For

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6	Valid Period	Mgmt	For	For	For
7	Board Authorization to Implement Share Repurchase	Mgmt	For	For	For

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Western Mining Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601168	CINS Y9535G102	08/05/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Short-term Corporate Bonds	Mgmt	For	For	For
2	Elect ZHANG Yongli as Director	Mgmt	For	For	For

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Western Mining Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601168	CINS Y9535G102	08/05/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Short-term Corporate Bonds	Mgmt	For	For	For
2	Elect ZHANG Yongli as Director	Mgmt	For	For	For

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Western Mining Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601168	CINS Y9535G102	09/07/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Wujun as Supervisor	Mgmt	For	Against	Against
2	Elect FAN Jianming as Director	Mgmt	For	For	For
3	Elect KANG Yanyong as Director	Mgmt	For	For	For

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Western Mining Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601168	CINS Y9535G102	10/15/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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Mgmt

1	Elect LI Yibang	Mgmt	For	For	For
2	Elect LUO Jinren	Mgmt	For	For	For
3	Increase Registered Capital of Subsidiary	Mgmt	For	For	For
4	Constitution of Procedural Rules: Director Fund Management	Mgmt	For	For	For

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Western Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601168	CINS Y9535G102	11/12/2015	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Related Party Transaction Regarding Capital Increase in Subsidiary	Mgmt	For
			For
			For

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Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002673	CINS Y9382Q104	01/14/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Increase in Related Party Transaction Forecast	Mgmt	For
			For
			For
2	Leasing of Real Estate	Mgmt	For
			For
			For

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Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002673	CINS Y9382Q104	05/11/2016	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
			For
			For
2	Supervisors' Report	Mgmt	For
			For
			For
3	Annual Report	Mgmt	For
			For
			For
4	Allocation of Profits/Dividends	Mgmt	For
			For
			For
5	Related Party Transactions	Mgmt	For
			For
			For
6	Capital Increase in a Wholly-owned	Mgmt	For
			For
			For

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### Subsidiary

7	Investment Scale and Maximum Amount of Losses of Securities Proprietary Business	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Extension of Authorization Period of Short-term Financing Bills	Mgmt	For	For	For
10	Eligibility for Share Issuance w/ Preemptive Rights	Mgmt	For	For	For
11	Share Type and Par Value	Mgmt	For	For	For
12	Issue Method	Mgmt	For	For	For
13	Basis, Ratio and Size of Issuance	Mgmt	For	For	For
14	Pricing Principle and Price	Mgmt	For	For	For
15	Target Subscriber	Mgmt	For	For	For
16	Arrangement for Undistributed Profits	Mgmt	For	For	For
17	Issue Date	Mgmt	For	For	For
18	Underwriting Method	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Validity of Resolution	Mgmt	For	For	For
21	Special Account for Raised Funds	Mgmt	For	For	For
22	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
23	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
24	Board Authorization to Implement Share Issuance	Mgmt	For	For	For
25	Shareholder Returns Plan	Mgmt	For	For	For
26	Approval of Dilution	Mgmt	For	For	For

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### Measures for Share Issuance

Western Securities Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002673	CINS Y9382Q104	05/27/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect DUAN Yalin as Independent Director	Mgmt	For	For	For	
2	Elect DENG Ying	Mgmt	For	For	For	
3	Elect QIU Zhe	Mgmt	For	For	For	
Western Securities Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002673	CINS Y9382Q104	07/15/2015	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Size of Issuance	Mgmt	For	For	For	
2	Bond Type and Maturity	Mgmt	For	For	For	
3	Interest Rate and Determination Method	Mgmt	For	For	For	
4	Use of Proceeds	Mgmt	For	For	For	
5	Listing Market	Mgmt	For	For	For	
6	Validity Period	Mgmt	For	For	For	
7	Repayment Guarantee Measures	Mgmt	For	For	For	
8	Authorization Matters	Mgmt	For	For	For	
Western Securities Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002673	CINS Y9382Q104	09/09/2015	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Issue Debt Instrument: Certificates of Deposit	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	For	For	
3	Capital Increase in a Wholly-owned Subsidiary	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	

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Western Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002673	CINS Y9382Q104	09/17/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Annual Cap and Loss Limit of Proprietary Investment	Mgmt	For	For	For

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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	01/08/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Receive Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	02/15/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees to Huaxing Power Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Zhangjia Gangshazhou Power Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Zhangjia Ganghuaxing Power Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Shanxi Lingshi Silve Source	Mgmt	For	Against	Against

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	Coal Co., Ltd.					
6	Authority for Huaxing Power Co., Ltd. to Give Guarantees to Zhangjia Shazhou Power Co., Ltd.	Mgmt	For	Against	Against	
7	Authority for Huaxing Power Co., Ltd. to Give Guarantees to Zhangjia Ganghuaxing Power Co., Ltd.	Mgmt	For	Against	Against	
8	Authority for Zhangjia Ganghuaxing Power Co., Ltd. to Give Guarantees to Zhangjia Shazhou Power Co., Ltd.	Mgmt	For	Against	Against	
9	Authority for Shanxi Kangwei Group Co., Ltd. to Give Guarantees to Shanxi Kangwei Group Mengzigu Coal Industry Co., Ltd.	Mgmt	For	Against	Against	

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Wintime Energy Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	03/28/2016		Voted	
600157	CINS Y9649L104	Country of Trade			
Meeting Type		China			
Special		Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees to Huaxing Power Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Zhangjiagang Shazhou Power Co., Ltd.	Mgmt	For	Against	Against

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5	Authority to Give Guarantees to Shanxi Lingshi Huayingtianxingjiguang Coal Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Shanxi Lingshi Huayingtianxingbogou Coal Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Huaxing Power Co., Ltd. to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
8	Authority to Give Guarantees for Huaxing Power Co., Ltd to Zhangjiagang Shazhou Power Co., Ltd.	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Huaxing Power Co., Ltd to Zhangjiagang Huaxing Power Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Give Guarantees for Zhangjiagang Shazhou Power Co., Ltd. to Zhangjiagang Huaxing Power Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For

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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600157	CINS Y9649L104	05/03/2016	Voted
Meeting Type	Country of Trade	Proponent	Mgmt Rec
Special	China		
Issue No.	Description	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Establishment of Joint Venture Investment Fund	Mgmt	For

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3	Approval of Investment in Equity Fund	Mgmt	For	For	For
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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600157	CINS Y9649L104	05/18/2016	Voted

Meeting Type	Country of Trade
Annual	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Financial Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Supervisors' Fees	Mgmt	For	For	For
10	Authority to Give Guarantees to Huaxing Power Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Huaxi Energy Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Shanxi Kangwei Group Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Zhangjiagang Shazhou Power Co., Ltd	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Huaxing Power Co., Ltd. to Zhangjiagang Huaxing Power Co., Ltd	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Zhangjiagang Shazhou Power Co., Ltd to Zhangjiagang Huaxing Power Co., Ltd	Mgmt	For	Against	Against

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16	Authority to Give Guarantees to Shanxi Kangwei Co., Ltd. to Shanxi Kangwei Nanshan Coal Industry Co., Ltd	Mgmt	For	Against	Against
17	Authority to Give Guarantees to Shanxi Lingshi Huaying Sunyi Coal Industry Group Co., Ltd. to Shanxi Lingshi Huaying Tianxing Baigou Coal Industry Group Co., Ltd.	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Shanxi Lingshi Huaying Tianxing Baigou Coal Industry Co., Ltd to Shanxi LInigshi Huaying Tainxing Jiguang Coal Industry Co., Ltd	Mgmt	For	Against	Against
19	Annual Report	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	06/13/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds to Pay Off Debts	Mgmt	For	For	For
2	Authority to Receive Guarantees from Huaxing Power Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees to Huaxi Mining Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give	Mgmt	For	Against	Against

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Guarantees to Shanxi  
Lingshi Yinyuan  
Xingqing Coal Co.,  
Ltd.

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Wintime Energy Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	06/28/2016		Voted	
600157	CINS Y9649L104	Country of Trade			
Meeting Type		China			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For
2	Capital Increase in Subsidiary	Mgmt	For	For	For
3	Authority to Give RMB 400 millions in Guarantees	Mgmt	For	Against	Against
4	Authority to Give RMB 150 millions in Guarantees	Mgmt	For	Against	Against
5	Authority to Give RMB 100 millions in Guarantees	Mgmt	For	Against	Against
6	Authority to Give RMB 300 millions in Guarantees	Mgmt	For	Against	Against
7	Authority to Give RMB 50 millions in Guarantees	Mgmt	For	Against	Against
8	Authority to Give RMB 1.35 billion in Guarantees	Mgmt	For	Against	Against
9	Authority to Give RMB 200 millions Guarantees	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	07/22/2015		Voted	
600157	CINS Y9649L104	Country of Trade			
Meeting Type		China			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Approval of Line of Credit	Mgmt	For	For	For
3	Authority to Give	Mgmt	For	Against	Against

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Guarantees to Shanxi  
Kangwei Group Co.,  
Ltd

4	Authority to Give Guarantees to Huaying Shanxi Energy Investments Co., Ltd.	Mgmt	For	Against	Against
5	Amendments to Articles	Mgmt	For	For	For
6	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
7	Change of Business Scope and Amendments to Articles	Mgmt	For	For	For

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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	08/05/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantee to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantee to Lingshi Yintuan Coal Development Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantee to Shanxi Lingshi Huating Fengjia Coal Industry Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Koulongda Energy Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Ruyang Sanjili New Energy Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give	Mgmt	For	Against	Against

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Guarantees to Jiangsu  
Ji New Power Co.,  
Ltd.

Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	08/24/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	Against	Against
2	Share Type and Par Value	Mgmt	For	Against	Against
3	Offering Method and Offering Period	Mgmt	For	Against	Against
4	Target Subscribers and Subscription Method	Mgmt	For	Against	Against
5	Size of Issuance	Mgmt	For	Against	Against
6	Offering Price and Pricing Principles	Mgmt	For	Against	Against
7	Use of Proceeds	Mgmt	For	Against	Against
8	Lock-up Period	Mgmt	For	Against	Against
9	Listing Place	Mgmt	For	Against	Against
10	Dividend Arrangements for Undistributed Profits	Mgmt	For	Against	Against
11	Validity Period of Resolution	Mgmt	For	Against	Against
12	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
13	Preliminary Plan for Private Placement	Mgmt	For	Against	Against
14	Board Authorization to Implement Private Placement	Mgmt	For	Against	Against
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Elect CHANG Ning as Supervisor	Mgmt	For	Against	Against

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17	Authority to Give Guarantees to Huaxi Mining Co., Ltd.	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Shanxi Kangwei Group Co., Ltd.	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Huaxing Power Co., Ltd.	Mgmt	For	Against	Against
20	Approval of Huaxing Power Co., Ltd. to Give Guarantees to Zhengzhou Yuzhong Energy LLC	Mgmt	For	Against	Against
21	Approval of Huaxing Power Co., Ltd. to Give Guarantees to Zhangjiagang Huaxing Power Co., Ltd.	Mgmt	For	Against	Against
22	Approval of Zhangjiagang Sandbank Power Co., Ltd. to Give Guarantees to Zhangjiagang Huaxing Power Co., Ltd.	Mgmt	For	Against	Against
23	Approval of Zhangjiagang Huaxing Power Co., Ltd. to Give Guarantees to Zhangjiagang Sandbank Power Co., Ltd.	Mgmt	For	Against	Against
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600157	CINS Y9649L104	09/22/2015	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Approval of Line of Credit from Industrial Bank	Mgmt	For
			For
			For
2	Approval of Line of	Mgmt	For
			For
			For

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Credit from Tico  
Trust Corporation  
Limited

3	Approval of Receiving Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Huaxi Mining Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Ling Shi Yinyuan Coal Char Development Co., Ltd.	Mgmt	For	Against	Against
6	Authority for Huaxing Power Co., Ltd. to Give Guarantees to Zhangjiagang Shazhou Power Co., Ltd.	Mgmt	For	Against	Against
7	Authority for Huaxing Power Co., Ltd. to Give Guarantees Zhangjiagang Huaxing Power Co., Ltd.	Mgmt	For	Against	Against
8	Authority for Huaxing Power Co., Ltd. to Give Guarantees to Zhengzhou Yuzhong Energy LLC	Mgmt	For	Against	Against
9	Authority for Zhangjiagang Shazhou Power Co., Ltd. to Give Guarantees to Zhangjiagang Huaxing Power Co., Ltd.	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	10/14/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Huaying Petrochemical Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give	Mgmt	For	Against	Against

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Guarantees to Huaying  
Huizhou Dayawan  
Petrochemical Co.,  
Ltd.

3	Authority to Give Guarantees to Shanxi Lingshi Huaying Jintaiyuan Coal Industry Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Zhangjiagang Shazhou Power Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Zhang Jiafang Huaxing Power Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Zha Najiang Shazhou Power Co., Ltd.	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.

Ticker Security ID:  
600157 CINS Y9649L104

Meeting Date  
10/26/2015

Meeting Status  
Voted

Meeting Type  
Special

Country of Trade  
China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement Issuance	Mgmt	For	For	For
2	Private Placement	Mgmt	For	For	For
3	Type and Par Value	Mgmt	For	For	For
4	Method and Date of Issuance	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Pricing	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Arrangements for Undistributed Profits	Mgmt	For	For	For

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11	Validity Period	Mgmt	For	For	For
12	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
13	Preliminary Plan for Private Placement	Mgmt	For	For	For
14	Board Authorization to Implement Private Placement	Mgmt	For	For	For
15	Authority to Give Guarantees	Mgmt	For	Against	Against
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	11/11/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantee to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantee to Zhangjia Sandbar Power Company Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantee to Zhangjia Ganghuaxing Electric Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Secondary Guarantee to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Secondary Guarantee to Zhangjia Ganghuaxing Electric Co., Ltd.	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	12/30/2015	Voted		

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Subsidiary Participation in Equity Bidding	Mgmt	For	For	For
3	Authority to Give Guarantees to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
4	Authority for the Provision of Guarantees by Huaxing Power AG Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
5	Authority for the Provision of Guarantees by Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
6	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
7	Size of Issuance	Mgmt	For	For	For
8	Method of Issuance	Mgmt	For	For	For
9	Par Value and Issuance Price	Mgmt	For	For	For
10	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For
11	Maturity	Mgmt	For	For	For
12	Interest Rate and Determination Method	Mgmt	For	For	For
13	Time-frame and Method for Paying Principal and Interest	Mgmt	For	For	For
14	Use of Proceeds	Mgmt	For	For	For
15	Underwriting Method	Mgmt	For	For	For
16	Guarantee Method	Mgmt	For	For	For
17	Listing Market	Mgmt	For	For	For
18	Company's Credit and Guarantee Measures for Debt	Mgmt	For	For	For

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Repayment

19	Validity Period	Mgmt	For	For	For
20	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

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Wuhan Iron and Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600005	CINS Y97164100	06/16/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Financial Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Against	Against
8	Appointment of Auditor	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Authority to Issue Debt Instruments	Mgmt	For	For	For
11	Establishment of Investment and Financing Businesses	Mgmt	For	For	For

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Wuhan Iron and Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600005	CINS Y97164100	12/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Elect MA Guoqiang	Mgmt	For	For	For
4	Elect HU Wangming	Mgmt	For	For	For
5	Elect ZOU Jixin	Mgmt	For	For	For
6	Elect ZHU Yonghong	Mgmt	For	For	For
7	Elect LIU Qiang	Mgmt	For	For	For
8	Elect ZHANG Jichang	Mgmt	For	For	For
9	Elect QI Huajin	Mgmt	For	For	For
10	Elect ZHANG Kehua	Mgmt	For	For	For
11	Elect ZHANG Jie	Mgmt	For	For	For

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12	Elect ZHANG Xiang	Mgmt	For	For	For
13	Elect WU Hanfen	Mgmt	For	Against	Against
14	Elect ZHAO Yunzhi	Mgmt	For	Against	Against

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Wuliangye Yibin Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000858	CINS Y9718N106	06/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Supplementary Financial Service Agreement	Mgmt	For	Against	Against
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Amendments to Procedural Rules for Supervisor Meetings	Mgmt	For	Abstain	Against
10	Elect ZHOU Yousu	Mgmt	For	For	For
11	Eligibility for Private Placement	Mgmt	For	For	For
12	Type and Par Value	Mgmt	For	For	For
13	Size	Mgmt	For	For	For
14	Method of Issuance	Mgmt	For	For	For
15	Issuance Targets and Subscription Method	Mgmt	For	For	For
16	Issue Price and Pricing Method	Mgmt	For	For	For
17	Lock-up Period	Mgmt	For	For	For
18	Arrangement For Accumulated Retained Profits	Mgmt	For	For	For
19	Listing Place	Mgmt	For	For	For
20	Validity Period	Mgmt	For	For	For
21	Use of Proceeds	Mgmt	For	For	For
22	Preliminary Plan for Private	Mgmt	For	For	For

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Placement					
23	Adoption of Employee Stock Purchase Plan	Mgmt	For	For	For
24	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
25	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
26	Share Subscription Agreements	Mgmt	For	For	For
27	Related Party Transactions	Mgmt	For	For	For
28	Shareholder Returns Plan	Mgmt	For	For	For
29	Amendments to Articles	Mgmt	For	For	For
30	Amendments to Proceed Management Measures	Mgmt	For	Abstain	Against
31	Board Authorization to Implement Private Placement	Mgmt	For	For	For
32	Board Authorization to Implement Employee Ownership Plan	Mgmt	For	For	For
33	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For

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XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status	
000425	CINS Y9T17R112	04/22/2016	Voted	
Meeting Type	Country of Trade			
Annual	China	Issue No.	Description	
Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For
2	Supervisors' Report	Mgmt	For	For
3	Accounts and Reports	Mgmt	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For
5	Purchase of Materials/Products	Mgmt	For	For
6	Sale of Materials/Products	Mgmt	For	For

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7	Rental/Lease of Property, Equipment and Products	Mgmt	For	For	For
8	Trademark Licensing	Mgmt	For	For	For
9	Joint Technology Development	Mgmt	For	For	For
10	Provision/Receipt of Services and Labor	Mgmt	For	For	For
11	Entrusted Operation	Mgmt	For	For	For
12	Implementation of Performance Commitment	Mgmt	For	For	For
13	Annual Report	Mgmt	For	For	For
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
15	Amendments to Compensation System for Senior Management	Mgmt	For	Abstain	Against
16	Authority to Give Guarantees for Mortgage Business	Mgmt	For	Against	Against
17	Authority to Give Guarantees for Financing Lease Business	Mgmt	For	Against	Against
18	Approval of Line of Credit	Mgmt	For	For	For

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XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	07/30/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Repurchase Method	Mgmt	For	For	For
2	Repurchase Price and Pricing Principals	Mgmt	For	For	For
3	Source of Capital	Mgmt	For	For	For
4	Share Types, Size of Shares and Percentage	Mgmt	For	For	For
5	Implementation Period	Mgmt	For	For	For
6	Board Authorization	Mgmt	For	For	For

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7	Validity Period of Resolution	Mgmt	For	For	For
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XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	08/27/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
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XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	09/15/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Allocation of Interim Profits/Dividends	Mgmt	For	For	For
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2	Amendments to Articles	Mgmt	For	For	For
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XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	11/17/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
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2	Issuer	Mgmt	For	For	For
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3	Size of Issuance and Tranches	Mgmt	For	For	For
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4	Maturity	Mgmt	For	For	For
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5	Interest Rate	Mgmt	For	For	For
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6	Guarantee Arrangements	Mgmt	For	For	For
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7	Use of Proceeds	Mgmt	For	For	For
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8	Issuance Targets and Arrangements for Placement to Shareholders	Mgmt	For	For	For
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9	Listing Market	Mgmt	For	For	For
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10	Repayment Guarantee Measures	Mgmt	For	For	For
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11	Validity Period	Mgmt	For	For	For
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12	Board Authorization to	Mgmt	For	For	For
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Implement Corporate  
Bond  
Issuance

13	Authority to Issue Commercial Paper	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For

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XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	12/29/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of 75% Equity Interest	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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Xiamen C&D Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
600153	CINS Y9721Y107	05/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Shareholder Returns Plan	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Independent Directors' Fees	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Investment in Wealth Management Products	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	WU Xiaomin	Mgmt	For	For	For
12	HUANG Wenzhou	Mgmt	For	For	For
13	ZHANG Yongfeng	Mgmt	For	For	For

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14	YE Yanliu	Mgmt	For	For	For
15	ZHENG Yongda	Mgmt	For	For	For
16	LIN Mao	Mgmt	For	For	For
17	LIU Feng	Mgmt	For	For	For
18	DU Xingqiang	Mgmt	For	For	For
19	DAI Yiyi	Mgmt	For	For	For
20	LIN Fang	Mgmt	For	Against	Against
21	ZOU Shaorong	Mgmt	For	For	For

Xiamen C&D Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
600153	CINS Y9721Y107	11/03/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Spin-off	Mgmt	For	For	For
2	Plan Outline	Mgmt	For	For	For
3	Plan of Division: Basic Principle	Mgmt	For	For	For
4	Plan of Division: Asset	Mgmt	For	For	For
5	Plan of Division: Liability	Mgmt	For	For	For
6	Plan of Division: Equity	Mgmt	For	For	For
7	Continuing Party and Shareholding Structure	Mgmt	For	For	For
8	Arrangement of Employees	Mgmt	For	For	For
9	Undertaking and Inheritance of Assets, Liabilities and Businesses	Mgmt	For	For	For
10	Creditor Protection: Notice and Announcement to Creditors	Mgmt	For	For	For
11	Creditor Protection: Convening Creditors Meeting	Mgmt	For	For	For
12	Arrangement for Transitional Period	Mgmt	For	For	For
13	Closing Confirmation	Mgmt	For	For	For
14	Purchase Claim for Dissenting Shareholders	Mgmt	For	For	For

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15	Follow-up Arrangement after Spin-off Implementation	Mgmt	For	For	For
16	Listing Market	Mgmt	For	For	For
17	Treatment of Restricted Shares	Mgmt	For	For	For
18	Lock-up Period	Mgmt	For	For	For
19	Effective Conditions for Spin-off	Mgmt	For	For	For
20	Validity of Resolution	Mgmt	For	For	For
21	Approval of Preliminary Plan of Spin-off	Mgmt	For	For	For
22	Regarding Spin-off Not Qualified as Related Party Transactions	Mgmt	For	For	For
23	Compliance with Article 4 of Provisions on Issues of Major Assets Restructuring of Listed Companies	Mgmt	For	For	For
24	Board Authorization for Implementing Spin-off	Mgmt	For	For	For
25	Ratification of Audit Reports and Pro Forma Financial Reports	Mgmt	For	For	For
26	Compliance with Securities Law, Stock Listing Rule and Other Relevant Laws and Regulations	Mgmt	For	For	For
27	Authority to Give Guarantees	Mgmt	For	Against	Against
28	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
29	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Xiamen Tungsten Co., Ltd.

Ticker Security ID:  
600549 CINS Y6454T107

Meeting Type

Meeting Date  
05/20/2016  
Country of Trade

Meeting Status  
Voted

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Resolution and Financial Budget Report	Mgmt	For	For	For
4	Annual Report and Summary	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Report on Fund Storage and Usage	Mgmt	For	For	For
9	Elect SHANGGEN Yingxiong as Director	Mgmt	For	For	For
10	Elect HONG Maochun as Independent Director	Mgmt	For	For	For

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Xiamen Tungsten Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600549	CINS Y6454T107	09/23/2015	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Equity Acquisition	Mgmt	For	For	For

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Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	02/03/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Repurchase Method and Purpose	Mgmt	For	For	For
2	Pricing	Mgmt	For	For	For
3	Share Type, Volume and Percentage	Mgmt	For	For	For
4	Source of Capital	Mgmt	For	For	For
5	Repurchase Period	Mgmt	For	For	For
6	Board Authorization	Mgmt	For	For	For
7	Validity Period	Mgmt	For	For	For

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Xinhu Zhongbao Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600208	CINS Y9724T105	03/25/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Assets	Mgmt	For	For	For

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Xinhu Zhongbao Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600208	CINS Y9724T105	05/09/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Shareholder Returns Plan	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against
9	Authority to Give Guarantees between the Company and Meidu Energy Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Give Guarantees between the Company and Zhejiang Xinhu Group Co., Ltd.	Mgmt	For	Against	Against
11	Appointment of Auditor	Mgmt	For	For	For
12	Directors' Fees	Mgmt	For	For	For
13	Investment in Wealth Management Products	Mgmt	For	For	For

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Xinhu Zhongbao Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600208	CINS Y9724T105	07/29/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Adoption of Employee Stock Ownership Plan	Mgmt	For	Against	Against
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	Against	Against

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Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	07/29/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Adoption of Employee Stock Ownership Plan	Mgmt	For	Against	Against
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	Against	Against

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Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	08/14/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Eligibility for Public Offering of Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance and Issuing Method	Mgmt	For	For	For
3	Maturity	Mgmt	For	For	For
4	Interest Rate	Mgmt	For	For	For
5	Guarantee Arrangements	Mgmt	For	For	For
6	Redemption and Repurchase Terms	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Target Subscribers and Allocation Arrangements to Existing Shareholders	Mgmt	For	For	For
9	Listing Place	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For

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11	Valid Period of Resolution	Mgmt	For	For	For
12	Board Authorization	Mgmt	For	For	For
13	Eligibility for Private Placement of Corporate Bonds	Mgmt	For	For	For
14	Size of Issuance and Issuing Method	Mgmt	For	For	For
15	Maturity	Mgmt	For	For	For
16	Interest Rate	Mgmt	For	For	For
17	Guarantee Arrangements	Mgmt	For	For	For
18	Redemption and Repurchase Terms	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Target Subscribers and Allocation Arrangements to Existing Shareholders	Mgmt	For	For	For
21	Listing Place	Mgmt	For	For	For
22	Repayment Guarantee Measures	Mgmt	For	For	For
23	Valid Period of Resolution	Mgmt	For	For	For
24	Board Authorization	Mgmt	For	For	For
25	Authority to Give Guarantees	Mgmt	For	Against	Against

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Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	10/13/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Investment Agreement	Mgmt	For	Abstain	Against
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	11/16/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Elect LIN Junbo	Mgmt	For	Against	Against
2	Elect ZHAO Weiqing	Mgmt	For	Against	Against
3	Elect YE Zhengmeng	Mgmt	For	For	For

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4	Elect FANG Huang	Mgmt	For	For	For
5	Elect WANG Xiaomei	Mgmt	For	Against	Against
6	Elect JIN Xuejun	Mgmt	For	Against	Against
7	Elect WANG Zexia	Mgmt	For	Against	Against
8	Elect XU Yongguang	Mgmt	For	For	For
9	Elect HUANG Licheng	Mgmt	For	Against	Against

Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	12/07/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Oversea Asset Management Contract	Mgmt	For	For	For
2	Investment in Wealth Management Products	Mgmt	For	For	For

Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	12/21/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Plan Participants and Scope of Plan	Mgmt	For	For	For
2	Source, Number and Distribution of Stocks	Mgmt	For	For	For
3	Waiting Period, Vesting Date, and Lock-up Period	Mgmt	For	For	For
4	Method of Determining the Exercise Price for Stock Options	Mgmt	For	For	For
5	Participants and Exercising Arrangements	Mgmt	For	For	For
6	Methods and Procedures for Plan Adjustment	Mgmt	For	For	For
7	Accounting Treatment	Mgmt	For	For	For
8	Procedures for Plan Implementation and Granting and	Mgmt	For	For	For

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Exercising Options					
9	Rights and Obligations for the Company and Plan Participants	Mgmt	For	For	For
10	Treatment Under Special Circumstances	Mgmt	For	For	For
11	Constitution of Procedural Rules: Appraisal Measures for Stock Purchase Plan	Mgmt	For	For	For
12	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	For	For
13	Adjustment to 2015 Guarantee Quota	Mgmt	For	Against	Against

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Xinjiang Goldwind Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status				
2208	CINS Y97237104	06/28/2016	Voted				
Meeting Type	Country of Trade						
Annual	China	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Debt Instruments	Mgmt	For	For	For		
2	Directors' Report	Mgmt	For	For	For		
3	Supervisors' Report	Mgmt	For	For	For		
4	Accounts and Reports	Mgmt	For	For	For		
5	Allocation of Profits/Dividends	Mgmt	For	For	For		
6	Annual Report	Mgmt	For	For	For		
7	Approval of Line of Credit	Mgmt	For	For	For		
8	Authority to Give Guarantees (Letter of Guarantee)	Mgmt	For	Abstain	Against		
9	Authority to Give Guarantees	Mgmt	For	Abstain	Against		
10	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For		

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11	Joint Establishment of Wind Power Industry Fund with CIF (Beijing) Investment Fund Management Co., Ltd.	Mgmt	For	For	For
12	Participation in Formation of Asset Management Company and Fund as Limited Partner	Mgmt	For	For	For
13	Signing of Cooperation Agreement of Risk Sharing System	Mgmt	For	For	For
14	Participation in Establishing Tianrun Dongfang Clean Energy Fund (Limited Partnership) by Beijing Tianrun New Energy Investment Co., Ltd.	Mgmt	For	For	For
15	Report on Use of Proceeds	Mgmt	For	For	For
16	Elect WU Gang	Mgmt	For	Against	Against
17	Elect WANG Haibo	Mgmt	For	For	For
18	Elect CAO Zhigang	Mgmt	For	For	For
19	Elect YU Shengjun	Mgmt	For	Against	Against
20	Elect ZHAO Guoqing	Mgmt	For	For	For
21	Elect FENG Wei	Mgmt	For	For	For
22	Elect YANG Xiaosheng	Mgmt	For	For	For
23	Elect LUO Zhenbang	Mgmt	For	For	For
24	Elect Christopher F. Lee	Mgmt	For	For	For
25	Elect WANG Mengqiu as Supervisor	Mgmt	For	Against	Against
26	Elect WANG Shiwei as Supervisor	Mgmt	For	For	For
27	Elect LUO Jun as Supervisor	Mgmt	For	Against	Against
28	Directors' Fees	Mgmt	For	For	For
29	Supervisors' Fees	Mgmt	For	For	For

Xinjiang Goldwind Science and Technology Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
2208 CINS Y97237104 01/12/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

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1	Amendments to Articles	Mgmt	For	For	For
2	Registration and Issue of Medium-term Notes	Mgmt	For	For	For
3	Participation in Private Placement of Sinoma Science & Technology Co., Ltd.	Mgmt	For	For	For
4	Continuing Connected Transactions	Mgmt	For	For	For
5	Product Sales Framework Agreement	Mgmt	For	For	For
6	Elect ZHAO GUOQING	Mgmt	For	For	For

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Xinxing Ductile Iron Pipes Co., Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
	Ticker Security ID: 000778 CINS Y9722X108	Meeting Date 01/12/2016	Meeting Status Voted		
	Meeting Type Special	Country of Trade China			
1	Authority to Issue Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Issuance Targets and Arrangement for Shareholder Placement	Mgmt	For	For	For
4	Bond Type and Bond Duration	Mgmt	For	For	For
5	Interest Rate and Determination Method	Mgmt	For	For	For
6	Guarantee Method	Mgmt	For	For	For
7	Issuance Method	Mgmt	For	For	For
8	Use of Raised Funds	Mgmt	For	For	For
9	Listing Arrangement	Mgmt	For	For	For
10	Validity Period	Mgmt	For	For	For
11	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
12	Authority to Issue Perpetual Bonds	Mgmt	For	For	For

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Xinxing Ductile Iron Pipes Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000778	CINS Y9722X108	03/17/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Approval of Dilution Measures for Share Issuance	Mgmt	For
			For
			For
2	Approval of Commitments of Management for Dilution Measures	Mgmt	For
			For
			For
3	Election of ZHANG Libo as Independent Director	Mgmt	For
			For
			For
4	Authority to Issue Super and Short Term Debt Instruments	Mgmt	For
			For
			For

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Xinxing Ductile Iron Pipes Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000778	CINS Y9722X108	04/01/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Adjustment to Issuing Price of Private Placement	Mgmt	For
			For
			For
2	Adjustments to Plan of Private Placement	Mgmt	For
			For
			For

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Xinxing Ductile Iron Pipes Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000778	CINS Y9722X108	05/20/2016	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
			For
			For
2	Supervisors' Report	Mgmt	For
			For
			For
3	Accounts and Reports	Mgmt	For
			For
			For
4	Annual Report	Mgmt	For
			For
			For
5	Allocation of Profits/Dividends	Mgmt	For
			For
			For
6	Appointment of Auditor	Mgmt	For
			For
			For
7	Appointment of	Mgmt	For
			For
			For

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Internal Control  
Auditor

8	Shareholder Returns Plan	Mgmt	For	For	For
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Xinxing Ductile Iron Pipes Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000778	CINS Y9722X108	09/11/2015	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
2	Approval of Subsidiary to Issue Medium-term Notes	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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Xinxing Ductile Iron Pipes Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000778	CINS Y9722X108	12/24/2015	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance and Issuance Targets	Mgmt	For	For	For
4	Issuance Price and Pricing Principal	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Subscription Method	Mgmt	For	For	For
7	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Validity Period of Resolution	Mgmt	For	For	For
11	Purpose of Raised Funds	Mgmt	For	For	For

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12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Conditional Share and Supplementary Agreements Related to Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Xizang Haisco Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002653	CINS Y9726U100	07/16/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Approval of Joint Venture	Mgmt	For	For	For
3	Capital Injection into Wholly-owned Subsidiary	Mgmt	For	For	For
4	Change of Company Name and Business Scope	Mgmt	For	For	For
5	Approval of Haisco Holdings Limited	Mgmt	For	For	For

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Xizang Haisco Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002653	CINS Y9726U100	07/31/2015	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Authority to Repurchase Shares	Mgmt	For	Against	Against
3	Approve to Increase Repurchase Price	Mgmt	For	For	For
4	Elect WANG Xuemin	Mgmt	For	For	For

### Xizang Haisco Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
002653	CINS Y9726U100	11/10/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Expansion of Business Scope	Mgmt	For	For	For

### XJ Electric Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
000400	CINS Y9722U104	05/19/2016		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	Against	Against
6	2016 Related Party Transactions	Mgmt	For	For	For
7	2015 Related Party Transactions	Mgmt	For	For	For
8	Supervisors' Report	Mgmt	For	For	For

### Yang Quan Coal Industry (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
600348	CINS Y7703G102	07/09/2015		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Entrusted Loans	Mgmt	For	For	For

### Yang Quan Coal Industry (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
600348	CINS Y7703G102	07/09/2015		Voted	
Meeting Type		Country of Trade			

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Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Approval of Entrusted Loans	Mgmt	For	For	For	

Yang Quan Coal Industry (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600348	CINS Y7703G102	09/15/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Shareholder Returns Plan	Mgmt	For	For	For
3	Approval of Borrowing	Mgmt	For	For	For

Yang Quan Coal Industry (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600348	CINS Y7703G102	12/07/2015	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Approval of Entrusted Loans	Mgmt	For	For	For
3	Approval of Line of Credit	Mgmt	For	For	For

Yantai Jereh Oilfield Services Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002353	CINS Y9729Z106	01/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Authority to Issue Super Short-term Commercial Paper	Mgmt	For	For	For
2	Authority to Issue Medium-term Note	Mgmt	For	For	For

Yantai Jereh Oilfield Services Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002353	CINS Y9729Z106	05/06/2016	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Approval for Line of Credit	Mgmt	For	For	For
7	Approval of Foreign Exchange Hedging Business	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Supervisors' Fees	Mgmt	For	For	For
10	Replenish Working Capital with Idle Funds	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417110	06/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' and Supervisors' Fees	Mgmt	For	For	For
7	Liability Insurance	Mgmt	For	For	For
8	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
9	Equity Transfer Agreement	Mgmt	For	For	For
10	Provision of Credit Facility Services and Approval of Annual Caps	Mgmt	For	For	For
11	Wanfu Mining Right Transfer	Mgmt	For	For	For

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Agreement					
12	Capital Injection	Mgmt	For	For	For
13	Authority to Reduce Registered Share Capital	Mgmt	For	For	For
14	Authority to Issue Debt Instruments	Mgmt	For	For	For
15	Size and Method	Mgmt	For	For	For
16	Par Value and Issue Price	Mgmt	For	For	For
17	Coupon Rate	Mgmt	For	For	For
18	Maturity Period, Method of	Mgmt	For	For	For
Principal Repayment and Interest Payment					
19	Use of Proceeds	Mgmt	For	For	For
20	Investors and Placing Arrangement	Mgmt	For	For	For
21	Guarantee	Mgmt	For	For	For
22	Right of Late Payment	Mgmt	For	For	For
23	Underwriting	Mgmt	For	For	For
24	Listing Arrangement	Mgmt	For	For	For
25	Authorization	Mgmt	For	For	For
26	Validity	Mgmt	For	For	For
27	Authority to Give Guarantees	Mgmt	For	For	For
28	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
29	Authority to Repurchase H Shares	Mgmt	For	For	For
30	Elect LI Wei	Mgmt	For	For	For
31	Elect ZHAO Qingchun	Mgmt	For	For	For
32	Elect GUO Dechun	Mgmt	For	For	For
33	Elect QI Anbang	Mgmt	For	For	For
34	Elect MENG Qingjian as Supervisor	Mgmt	For	Against	Against
35	Elect XUE Zhongyong as Supervisor	Mgmt	For	Against	Against

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1171	CINS Y97417110	06/03/2016	Voted

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Meeting Type		Country of Trade				
Other		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Authority to Repurchase H Shares	Mgmt	For	For	For	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Yingkou Port Liability Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600317	CINS Y8103G106	06/28/2016	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Independent Directors' Report	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	Accounts and Reports	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Continue to Lease Assets from Substantial Shareholder	Mgmt	For	For	For	
9	Financial Service Agreement with Yingkou Port Finance Co., Ltd.	Mgmt	For	For	For	

Yingkou Port Liability Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600317	CINS Y8103G106	12/30/2015	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Performance on Commitment Matters	Mgmt	For	For	For	

Yonghui Superstores Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
601933	CINS Y9841N106	02/18/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt

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1	Measures for Diluted Returns	Mgmt	For	For	For
2	Commitments from Executives and Directors for Diluted Return Measures	Mgmt	For	For	For
3	Revised Private Placement Plan	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Yonghui Superstores Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601933	CINS Y9841N106	05/20/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2015 Related Party Transactions Regarding Property Leasing with Fujian Xuanhui Real Estate Development Co., Ltd. and ZHANG Xuansong	Mgmt	For	For	For
7	2015 Related Party Transactions with Beijing Friendship messenger commerce Co., Ltd.	Mgmt	For	For	For
8	2015 Related Party Transactions with Sichuan Yongchuang Yaohui Supply Chain Management Co., Ltd.	Mgmt	For	For	For
9	2015 Related Party Transactions with Zhongbai Chain Warehouse Supermarket Co., Ltd.	Mgmt	For	For	For
10	2015 Related Party	Mgmt	For	For	For

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	Transactions with Lianhua Supermarket Holdings Co., Ltd.				
11	2015 Related Party Transactions Regarding Sales of Products with Shanghai Shangshu Yonghui Fresh Food Co., Ltd.	Mgmt	For	For	For
12	2015 Related Party Transactions Regarding Provision of Services with Shanghai Shangshu Yonghui Fresh Food Co., Ltd.	Mgmt	For	For	For
13	Related Party Transactions Regarding Sales of Products with Fujian Xuanhui Real Estate Development Co., Ltd.	Mgmt	For	For	For
14	2015 Related Party Transactions with Mannings Supermarket	Mgmt	For	For	For
15	Related Party Transactions Regarding Property Leasing with Fujian Xuanhui Real Estate Co., Ltd. and ZHANG Xuansong	Mgmt	For	For	For
16	2016 Related Party Transactions with Beijing Friendship messenger commerce Co., Ltd.	Mgmt	For	For	For
17	2016 Related Party Transactions with Sichuan Yongchuang Yaohui Supply Chain Management Co., Ltd.	Mgmt	For	For	For
18	2016 Related Party Transactions Regarding Sales of Products with Fujian	Mgmt	For	For	For

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Xuanhui Real Estate  
Development Co.,  
Ltd.

19	2016 Related Party Transactions with Shanghai Shangshu Yonghui Fresh Food Co., Ltd.	Mgmt	For	For	For
20	2016 Related Party Transactions with Zhongbai Chain Warehouse Supermarket Co., Ltd.	Mgmt	For	For	For
21	2016 Related Party Transactions with Lianhua Supermarket Holdings Co., Ltd.	Mgmt	For	For	For
22	2016 Related Party Transactions with Beijing Jingdong Century Trading Co., Ltd.	Mgmt	For	For	For
23	2016 Related Party Transactions with The Dairy Farm Company, Limited	Mgmt	For	For	For
24	Approval of Line of Credit	Mgmt	For	For	For
25	Directors' and Supervisors' Fees	Mgmt	For	For	For
26	Appointment of Auditor	Mgmt	For	For	For
27	Elect XU Ping	Mgmt	For	For	For
28	Investment in Wealth Management Products	Mgmt	For	For	For
29	Authority to Give Guarantees	Mgmt	For	For	For

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Yonghui Superstores Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601933	CINS Y9841N106	09/25/2015	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private	Mgmt	For	For	For

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Placement					
2	Type and Par Value	Mgmt	For	For	For
3	Issuance Method	Mgmt	For	For	For
4	Pricing Principle and Issuing Price	Mgmt	For	For	For
5	Size of Issuance and Subscription Method	Mgmt	For	For	For
6	Subscribers	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Treatment of Undistributed Profits	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Approval of Conditional Subscription Agreements	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Preliminary Plan of Private Placement	Mgmt	For	For	For
15	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
16	Related Party Transaction Involved in Private Placement	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For
19	Shareholder Returns Plan	Mgmt	For	For	For
20	Directors' Report	Mgmt	For	For	For
21	Supervisors' Report	Mgmt	For	For	For
22	Independent Directors' Fees	Mgmt	For	For	For
23	Elect ZHANG Xuansong	Mgmt	For	For	For
24	Elect ZHANG Xuanning	Mgmt	For	Against	Against
25	Elect ZHENG Wenbao	Mgmt	For	For	For
26	Elect YE Xingzhen	Mgmt	For	For	For
27	Elect Adam Phillip	Mgmt	For	Against	Against

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Charles  
Keswick

28	Elect Graham Denis Allan	Mgmt	For	For	For
29	Elect SHEN Haoyu	Mgmt	For	For	For
30	Elect ZHOU Guoliang	Mgmt	For	For	For
31	Elect WANG Jin	Mgmt	For	For	For
32	Elect FANG Qing	Mgmt			