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VanEck Vectors ETF Trust  
Form N-PX  
August 30, 2018

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549  
FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act File Number: 811-10325  
VanEck Vectors ETF Trust  
(Exact name of registrant as specified in charter)  
666 3rd Ave - 9th Floor, New York, N.Y. 10017-4033  
(Address of Principal Executive Office)

Jan F. van Eck  
Chief Executive Officer  
VanEck Vectors ETF Trust  
666 3rd Ave - 9th Floor  
New York, NY 10017-4033

(Name and Address of Agent for Service)

Registrants telephone number, including area code: (212) 293-2000

Date of fiscal year end: Various

Date of reporting period: July 1, 2017 through June 30, 2018

Fund Name : VanEck Vectors Emerging Markets Aggregate Bond ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Fallen Angel High Yield Bond ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors High-Yield Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free Intermediate Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free Long Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free Short Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Short High-Yield Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Pre-Refunded Municipal Index ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Treasury-Hedged High Yield Bond ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Investment Grade Floating Rate ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors ChinaAMC China Bond ETF  
The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors J. P. Morgan EM Local Currency Bond ETF

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The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Green Bond ETF

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free 6-8 Year Municipal Index ETF

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free 12-17 Year Municipal Index ETF

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors EM Investment Grade + BB Rated USD Sovereign Bond ETF

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors NDR CMG Long/Flate Allocation ET

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Real Asset Allocation ETF

The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Africa Index ETF

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Anglo American Platinum Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
AMS	CINS S9122P108	04/12/2018	Voted			
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Re-elect Chris Griffith	Mgmt	For	For	For	
2	Re-elect Richard Dunne	Mgmt	For	For	For	
3	Re-elect John Vice	Mgmt	For	For	For	
4	Re-elect Peter Mageza	Mgmt	For	For	For	
5	Re-elect Valli Moosa	Mgmt	For	For	For	
6	Elect Stephen Pearce	Mgmt	For	For	For	
7	Elect Audit and Risk Committee Member (Richard Dunne)	Mgmt	For	For	For	
8	Elect Audit and Risk Committee Member (Peter Mageza)	Mgmt	For	Against	Against	
9	Elect Audit and Risk Committee Member (John Vice)	Mgmt	For	For	For	
10	Elect Audit and Risk Committee Member (Daisy Naidoo)	Mgmt	For	For	For	
11	Appointment of Auditor	Mgmt	For	For	For	
12	General Authority to Issue Shares	Mgmt	For	For	For	
13	Authorisation of Legal Formalities	Mgmt	For	For	For	
14	Approve Remuneration Policy	Mgmt	For	For	For	

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15	Approve Remuneration Implementation Report	Mgmt	For	For	For
16	Approve NEDs' Fees	Mgmt	For	For	For
17	Approve Financial Assistance	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Anglo American plc

Ticker	Security ID:	Meeting Date	Meeting Status
AAL	CINS G03764134	05/08/2018	Voted

Meeting Type	Country of Trade
Annual	United Kingdom

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Stuart Chambers	Mgmt	For	For	For
4	Elect Ian R. Ashby	Mgmt	For	For	For
5	Elect Mark Cutifani	Mgmt	For	For	For
6	Elect Nolitha Fakude	Mgmt	For	For	For
7	Elect Byron Grote	Mgmt	For	For	For
8	Elect Sir Philip Hampton	Mgmt	For	For	For
9	Elect Tony O'Neill	Mgmt	For	For	For
10	Elect Stephen T. Pearce	Mgmt	For	For	For
11	Elect Mphu Ramatlapeng	Mgmt	For	For	For
12	Elect Jim Rutherford	Mgmt	For	For	For
13	Elect Anne Stevens	Mgmt	For	For	For
14	Elect Jack R. Thompson	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Remuneration Report (Advisory)	Mgmt	For	For	For
18	Approve Sharesave Plan	Mgmt	For	For	For
19	Approve Share Incentive Plan	Mgmt	For	For	For
20	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
21	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
22	Authority to Repurchase Ordinary Shares	Mgmt	For	For	For
23	Authority to Repurchase Unlisted Preference Shares	Mgmt	For	For	For
24	Amendments to Articles (Technical)	Mgmt	For	For	For
25	Authority to Set General Meeting Notice Period at 14	Mgmt	For	For	For

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Days

Anglogold Ashanti Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
ANG	CUSIP 035128206	05/16/2018	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Re-elect Albert Garner	Mgmt	For	For	For	
2	Re-elect Nozipho January-Bardill	Mgmt	For	For	For	
3	Re-elect Rhidwaan Gasant	Mgmt	For	For	For	
4	Re-elect Christine Ramon	Mgmt	For	For	For	
5	Elect Audit and Risk Committee Member (Rhidwaan Gasant)	Mgmt	For	For	For	
6	Elect Audit and Risk Committee Member (Michael Kirkwood)	Mgmt	For	For	For	
7	Elect Audit and Risk Committee Member (Rodney Ruston)	Mgmt	For	For	For	
8	Elect Audit and Risk Committee Member (Maria Richter)	Mgmt	For	For	For	
9	Elect Audit and Risk Committee Member (Sindi Zilwa)	Mgmt	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	
11	General Authority to Issue Shares	Mgmt	For	For	For	
12	Approve Remuneration Policy	Mgmt	For	For	For	
13	Approve Implementation Report	Mgmt	For	For	For	
14	Approve NEDs' Fees	Mgmt	For	For	For	
15	Authority to Repurchase Shares	Mgmt	For	For	For	
16	Authority to Issue Shares for Cash	Mgmt	For	For	For	
17	Approve Financial Assistance	Mgmt	For	For	For	
18	Authorisation of Legal Formalities	Mgmt	For	For	For	

Aspen Pharmacare Holdings Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
APN	CINS S0754A105	12/07/2017	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Social and Ethics Committee Report	Mgmt	For	For	For
3	Re-elect Roy C. Andersen	Mgmt	For	For	For
4	Re-elect John F. Buchanan	Mgmt	For	For	For
5	Re-elect Douglas (Kuseni) Dlamini	Mgmt	For	For	For
6	Re-elect Maureen Manyama-Matome	Mgmt	For	For	For
7	Re-elect Christopher (Chris) N. Mortimer	Mgmt	For	Against	Against
8	Appointment of Auditor	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Roy Andersen)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (John Buchanan)	Mgmt	For	For	For
11	Elect Audit and Risk Committee Member (Maureen Manyama-Matome)	Mgmt	For	For	For
12	Elect Audit and Risk Committee Member (Babalwa Ngonyama)	Mgmt	For	For	For
13	Elect Audit and Risk Committee Member (Sindi Zilwa)	Mgmt	For	For	For
14	General Authority to Issue Shares	Mgmt	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	Approve Remuneration Policy	Mgmt	For	For	For
17	Approve Remuneration Implementation Report	Mgmt	For	For	For
18	Authorisation of Legal Formalities	Mgmt	For	For	For
19	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
20	Approve NEDs' Fees (Member)	Mgmt	For	For	For
21	Approve NEDs' Fees (Audit and Risk Committee Chairman)	Mgmt	For	For	For
22	Approve NEDs' Fees (Audit and Risk Committee Member)	Mgmt	For	For	For

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23	Approve NEDs' Fees (Remuneration and Nomination Committee Chairman)	Mgmt	For	For	For
24	Approve NEDs' Fees (Remuneration and Nomination Committee Member)	Mgmt	For	For	For
25	Approve NEDs' Fees (Social and Ethics Committee Chairman)	Mgmt	For	For	For
26	Approve NEDs' Fees (Social and Ethics Committee Member)	Mgmt	For	For	For
27	Approval of Financial Assistance	Mgmt	For	For	For
28	Authority to Repurchase Shares	Mgmt	For	For	For

Attijariwafa Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
ATW	CINS V0378K121	04/26/2018	Take No Action		
Meeting Type	Country of Trade				
Annual	Morocco				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports	Mgmt	For	TNA	N/A
3	Related Party Transactions	Mgmt	For	TNA	N/A
4	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
5	Ratification of Board and Auditor's Acts	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Election of Directors	Mgmt	For	TNA	N/A
8	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A
9	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

AVI Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AVI	CINS S0808A101	11/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Re-elect Simon Crutchley	Mgmt	For	For	For
5	Re-elect Owen Cressey	Mgmt	For	For	For
6	Re-elect Gavin Tipper	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Mike Bosman)	Mgmt	For	For	For

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8	Elect Audit and Risk Committee Member (Neo Dongwana)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (James Hersov)	Mgmt	For	For	For
10	Approve NEDs' Fees (Board Members)	Mgmt	For	For	For
11	Approve NEDs' Fees (Board Chairman)	Mgmt	For	For	For
12	Approve NEDs' Fees (Foreign Board Member)	Mgmt	For	For	For
13	Approve NEDs' Fees (Remuneration, Nomination and Appointments Committee Members)	Mgmt	For	For	For
14	Approve NEDs' Fees (Audit and Risk Committee Members)	Mgmt	For	For	For
15	Approve NEDs' Fees (Social and Ethics Committee Members)	Mgmt	For	For	For
16	Approve NEDs' Fees (Remuneration, Nomination and Appointments Committee Chairman)	Mgmt	For	For	For
17	Approve NEDs' Fees (Audit and Risk Committee Chairman)	Mgmt	For	For	For
18	Approve NEDs' Fees (Social and Ethics Committee Chairman)	Mgmt	For	For	For
19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Approve Remuneration Policy	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

B2Gold Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
BTO	CUSIP 11777Q209	06/08/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Clive T. Johnson	Mgmt	For	For	For
2.2	Elect Robert Cross	Mgmt	For	For	For
2.3	Elect Robert Gayton	Mgmt	For	For	For

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2.4	Elect Jerry R. Korpan	Mgmt	For	For	For
2.5	Elect Bongani Mtshisi	Mgmt	For	For	For
2.6	Elect Kevin Bullock	Mgmt	For	For	For
2.7	Elect George Johnson	Mgmt	For	For	For
2.8	Elect Robin Weisman	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Amendment to the 2015 Stock Option Plan	Mgmt	For	For	For
5	Amendment to the 2015 Restricted Stock Unit Plan	Mgmt	For	For	For

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### Banque Centrale Populaire

Ticker	Security ID:	Meeting Date	Meeting Status		
BCP	CINS V0985N104	05/16/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Morocco				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports	Mgmt	For	TNA	N/A
3	Ratification of Board and Auditor's Acts	Mgmt	For	TNA	N/A
4	Related Party Transactions	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Appointment	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

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### Banque Centrale Populaire

Ticker	Security ID:	Meeting Date	Meeting Status		
BCP	CINS V0985N104	11/07/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Morocco				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Issue Bonds	Mgmt	For	TNA	N/A
3	Authorization of Legal Formalities (Bonds Issuance)	Mgmt	For	TNA	N/A
4	Authorization of Legal Formalities	Mgmt	For	TNA	N/A

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### Banque Marocaine Du Commerce Exterieur

Ticker	Security ID:	Meeting Date	Meeting Status		
BCE	CINS V08866143	05/24/2018	Take No Action		
Meeting Type	Country of Trade				
Annual	Morocco				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports	Mgmt	For	TNA	N/A

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3	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
4	Directors' Fees	Mgmt	For	TNA	N/A
5	Related Party Transactions	Mgmt	For	TNA	N/A
6	Ratification of Auditors' Acts	Mgmt	For	TNA	N/A
7	Ratification of Board Acts	Mgmt	For	TNA	N/A
8	Elect Michel Lucas	Mgmt	For	TNA	N/A
9	Elect Abdou Bensouda	Mgmt	For	TNA	N/A
10	Board Changes	Mgmt	For	TNA	N/A
11	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

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Barclays Africa Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BGA	CINS S0850R101	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Appointment of Auditor (Ernst & Young)	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Re-elect Colin Beggs	Mgmt	For	For	For
5	Re-elect Yolanda Cuba	Mgmt	For	For	For
6	Re-elect Mohamed J. Husain	Mgmt	For	For	For
7	Re-elect Wendy Lucas-Bull	Mgmt	For	For	For
8	Re-elect Mark Merson	Mgmt	For	For	For
9	Re-elect Maria Ramos	Mgmt	For	For	For
10	Elect Daniel Hodge	Mgmt	For	For	For
11	Elect Monwabisi P. Fandeso	Mgmt	For	For	For
12	Elect Tasneem Abdool-Samad	Mgmt	For	For	For
13	Elect Audit and Compliance Committee Member (Alex Darko)	Mgmt	For	For	For
14	Elect Audit and Compliance Committee Member (Colin Beggs)	Mgmt	For	For	For
15	Elect Audit and Compliance Committee Member (Mohamed J. Husain)	Mgmt	For	For	For
16	Elect Audit and Compliance Committee Member (Daisy Naidoo)	Mgmt	For	For	For
17	Elect Audit and Compliance Committee Member (Paul S. O'Flaherty)	Mgmt	For	For	For
18	Elect Audit and	Mgmt	For	For	For

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	Compliance Committee Member (Rene van Wyk)					
19	Elect Audit and Compliance Committee Member (Tasneem Abdool-Samad)	Mgmt	For	For	For	
20	General Authority to Issue Shares	Mgmt	For	For	For	
21	Authority to Issue Shares Pursuant to Long-Term Incentive Plans	Mgmt	For	For	For	
22	Approve Remuneration Policy	Mgmt	For	For	For	
23	Approve Remuneration Implementation Report	Mgmt	For	For	For	
24	Amendments to Memorandum	Mgmt	For	For	For	
25	Change in Company Name	Mgmt	For	For	For	
26	Approve NEDs' Fees	Mgmt	For	For	For	
27	Authority to Repurchase Shares	Mgmt	For	For	For	
28	Approve Financial Assistance	Mgmt	For	For	For	

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Barloworld Ltd

Ticker	Security ID:	Meeting Date	Meeting Status			
BAW	CINS S08470189	01/31/2018	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For		For	For
2	Re-elect Sango S. Ntsaluba	Mgmt	For		For	For
3	Re-elect Dominic M. Sewela	Mgmt	For		For	For
4	Re-elect Frances N. O. Edozien	Mgmt	For		For	For
5	Elect Hester H. Hickey	Mgmt	For		Against	Against
6	Elect Michael Lynch-Bell	Mgmt	For		For	For
7	Elect Nomavuso P. Mnxasana	Mgmt	For		For	For
8	Elect Peter Schmid	Mgmt	For		For	For
9	Elect Audit Committee Member (Sango Ntsaluba)	Mgmt	For		For	For
10	Elect Audit Committee Member (Frances Edozien)	Mgmt	For		For	For
11	Elect Audit Committee Member (Hester Hickey)	Mgmt	For		Against	Against
12	Elect Audit Committee Member (Michael Lynch-Bell)	Mgmt	For		For	For
13	Elect Audit Committee	Mgmt	For		For	For

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	Member (Nomavuso Mnxasana)				
14	Appointment of Auditor	Mgmt	For	For	For
15	Approve Remuneration Policy	Mgmt	For	For	For
16	Approve NEDs Fees (Chairman of the Board)	Mgmt	For	For	For
17	Approve NEDs Fees (Resident NEDs)	Mgmt	For	For	For
18	Approve NEDs Fees (Non-resident NEDs)	Mgmt	For	For	For
19	Approve NEDs Fees (Resident Audit Committee Chair)	Mgmt	For	For	For
20	Approve NEDs Fees (Resident Audit Committee Members)	Mgmt	For	For	For
21	Approve NEDs Fees (Non-resident Audit Committee Members)	Mgmt	For	For	For
22	Approve NEDs Fees (Resident Remuneration Committee Chair)	Mgmt	For	For	For
23	Approve NEDs Fees (Resident Social, Ethics & Transformation Committee Chair)	Mgmt	For	For	For
24	Approve NEDs Fees (Resident Risk & Sustainability Committee Chair)	Mgmt	For	For	For
25	Approve NEDs Fees (Resident General Purposes Committee Chair)	Mgmt	For	For	For
26	Approve NEDs Fees (Resident Nomination Committee Chair)	Mgmt	For	For	For
27	Approve NEDs Fees (Resident Members of Non-Audit Committees)	Mgmt	For	For	For
28	Approve NEDs Fees (Non-resident Members of Non-Audit Committees)	Mgmt	For	For	For
29	Approve Financial Assistance	Mgmt	For	For	For
30	Authority to Repurchase	Mgmt	For	For	For

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Shares						
31	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
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Bid Corporation Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
BID	CINS S11881109	11/09/2017		Voted		
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Appointment of Auditor	Mgmt	For	For	For	
2	Elect Stephen Koseff	Mgmt	For	For	For	
3	Elect Paul C. Baloyi	Mgmt	For	For	For	
4	Elect Helen Wiseman	Mgmt	For	For	For	
5	Elect Audit and Risk Committee Member (Paul Baloyi)	Mgmt	For	For	For	
6	Elect Audit and Risk Committee Member (Nigel Payne)	Mgmt	For	Against	Against	
7	Elect Audit and Risk Committee Member (Helen Wiseman)	Mgmt	For	For	For	
8	Approve Remuneration Policy (Policy)	Mgmt	For	For	For	
9	Approve Remuneration Policy (Implementation)	Mgmt	For	For	For	
10	General Authority to Issue Shares	Mgmt	For	For	For	
11	Authority to Issue Shares for Cash	Mgmt	For	For	For	
12	Approve Allocation to Distribute Share Capital or Premium	Mgmt	For	For	For	
13	Authority to Create and Issue Convertible Debentures	Mgmt	For	For	For	
14	Authorisation of Legal Formalities	Mgmt	For	For	For	
15	Authority to Repurchase Shares	Mgmt	For	For	For	
16	Approve NED's Fees	Mgmt	For	For	For	
17	Approve Financial Assistance	Mgmt	For	For	For	
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
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Capitec Bank Holdings Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
CPI	CINS S15445109	05/25/2018		Voted		
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Re-elect Michiel du	Mgmt	For	For	For	

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	Pre le Roux					
2	Re-elect Chris Otto	Mgmt	For	For	For	
3	Re-elect Jean Verster	Mgmt	For	For	For	
4	Appointment of Auditor	Mgmt	For	For	For	
5	Authority to Issue Shares Pursuant to a Conversion of Loss Absorbent Capital Instruments	Mgmt	For	For	For	
6	Authority to Issue Shares for Cash	Mgmt	For	For	For	
7	Approve Remuneration Policy	Mgmt	For	For	For	
8	Approve Remuneration Implementation Report	Mgmt	For	For	For	
9	Approve NEDs' Fees	Mgmt	For	For	For	
10	Authority to Repurchase Ordinary Shares	Mgmt	For	For	For	
11	Authority to Repurchase Existing Preference Shares	Mgmt	For	For	For	
12	Authority to Repurchase Existing Preference Shares from Directors/Prescribed Officers	Mgmt	For	For	For	
13	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	
14	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	

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Centamin Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
CEY	ISIN JE00B5TT1872	03/26/2018	voted		
Meeting Type	Country of Trade				
Annual	JE				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3.1	Remuneration Report (Advisory)	Mgmt	For	For	For
3.2	Remuneration Policy (Advisory -- Non-UK Issuer)	Mgmt	For	For	For
4	Increase in Directors' Fee Cap	Mgmt	For	For	For
5.1	Elect Josef El-Raghy	Mgmt	For	For	For
5.2	Elect Andrew Pardey	Mgmt	For	For	For
5.3	Elect Ross Jerrard	Mgmt	For	For	For
5.4	Elect Gordon E. Haslam	Mgmt	For	For	For
5.5	Elect Alison Baker	Mgmt	For	For	For

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5.6	Elect Mark R. Arnesen	Mgmt	For	For	For
5.7	Elect Mark Bankes	Mgmt	For	For	For
6.1	Appointment of Auditor	Mgmt	For	For	For
6.2	Authority to Set Auditor's Fees	Mgmt	For	For	For
7	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
8.1	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
8.2	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
9	Authority to Repurchase Shares	Mgmt	For	For	For

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Clicks Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CLS	CINS S17249111	01/31/2018	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect Fatima Abrahams	Mgmt	For	For	For
4	Re-elect John A. Bester	Mgmt	For	For	For
5	Elect Nonkululeko Gobodo	Mgmt	For	For	For
6	Re-elect Bertina D. Engelbrecht	Mgmt	For	For	For
7	Re-elect Michael Fleming	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (John Bester)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Nonkululeko Gobodo)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Fatima Jakoet)	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Approve Remuneration Implementation Report	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Approve Financial Assistance	Mgmt	For	For	For

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16                      Non-Voting Meeting Note    N/A                      N/A                      N/A                      N/A

Commercial International Bank (Egypt)

Ticker	Security ID:	Meeting Date			Meeting Status
CMGJY	ISIN US2017122050	03/04/2018			Voted
Meeting Type	Country of Trade				
Ordinary	EG				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.	Presentation of Directors' Report	Mgmt	For	For	For
2.	Presentation of Auditor's Report	Mgmt	For	For	For
3.	Financial Statements	Mgmt	For	For	For
4.	Allocation of Profits/Dividends; Employee Profit-Sharing Plan	Mgmt	For	Abstain	Against
5.	Authority to Increase Capital for Bonus Share Issuance	Mgmt	For	For	For
6.	Ratification of Board Acts; Directors' Fees	Mgmt	For	For	For
7.	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
8.	Charitable Donations	Mgmt	For	For	For
9.	Presentation of Information on Committee Members' Fees	Mgmt	For	For	For
10.	Presentation on Changes in Board Composition	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	Abstain	Against

Commercial International Bank (Egypt)

Ticker	Security ID:	Meeting Date			Meeting Status
CMGJY	ISIN US2017122050	03/04/2018			Voted
Meeting Type	Country of Trade				
Ordinary	EG				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.	Presentation of Directors' Report	Mgmt	For	For	For
2.	Presentation of Auditor's Report	Mgmt	For	For	For
3.	Financial Statements	Mgmt	For	For	For
4.	Allocation of Profits/Dividends; Employee Profit-Sharing Plan	Mgmt	For	Abstain	Against
5.	Authority to Increase	Mgmt	For	For	For

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	Capital for Bonus Share Issuance					
6.	Ratification of Board Acts; Directors' Fees	Mgmt	For	For	For	
7.	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against	
8.	Charitable Donations	Mgmt	For	For	For	
9.	Presentation of Information on Committee Members' Fees	Mgmt	For	For	For	
10.	Presentation on Changes in Board Composition	Mgmt	For	For	For	
11	Related Party Transactions	Mgmt	For	Abstain	Against	

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Commercial International Bank of Egypt

Ticker	Security ID:	Meeting Date	Meeting Status
COMI	CINS 201712205	07/18/2017	Voted
Meeting Type	Country of Trade		
Ordinary	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Bonus Share Issuance	Mgmt	For
2	Information on Board Changes	Mgmt	For

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Coronation Fund Managers Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
CML	CINS S19537109	02/20/2018	Voted
Meeting Type	Country of Trade		
Annual	South Africa		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Re-elect Shams Pather	Mgmt	For
2	Re-elect Judith February	Mgmt	For
3	Re-elect Anton Pillay	Mgmt	For
4	Appointment of Auditor	Mgmt	For
5	Elect Audit and Risk Committee Member (Alexandra Watson)	Mgmt	For
6	Elect Audit and Risk Committee Member (Lulama Boyce)	Mgmt	For
7	Elect Audit and Risk Committee Member (Jock McKenzie)	Mgmt	For
8	Elect Audit and Risk Committee Member (Hugo Nelson)	Mgmt	For
9	Approve Remuneration Policy	Mgmt	For
10	Approve Implementation	Mgmt	For

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	of Remuneration Policy				
11	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
12	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
13	Ratify NEDs' Fees (FY2017)	Mgmt	For	For	For
14	Approve NEDs' Fees (FY2018)	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Cosumar

Ticker	Security ID:	Meeting Date	Meeting Status		
CSR	CINS V2507Z151	05/29/2018	Take No Action		
Meeting Type		Country of Trade			
Mix		Morocco			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports	Mgmt	For	TNA	N/A
3	Ratification of Board and Auditor's Acts	Mgmt	For	TNA	N/A
4	Related Party Transactions	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Resignation of Jean-Vincent Piot	Mgmt	For	TNA	N/A
8	Ratification of Co-Option of Virgilio Lopes Fagundes	Mgmt	For	TNA	N/A
9	Ratification of Co-Option of Ouafae Mriouah	Mgmt	For	TNA	N/A
10	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A
11	Bonus Share Issuance	Mgmt	For	TNA	N/A
12	Amendments to Articles	Mgmt	For	TNA	N/A
13	Appoint Operations Administrator for Bonus Share Issuance	Mgmt	For	TNA	N/A
14	Authorisation of Legal Formalities (Bonus Share Issuance)	Mgmt	For	TNA	N/A
15	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A
16	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Discovery Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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DSY	CINS S2192Y109	11/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Elect Audit and Risk Committee Member (Les Owen)	Mgmt	For	Against	Against
4	Elect Audit and Risk Committee Member (Sindi Zilwa)	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against
6	Elect Monty I. Hilkowitz	Mgmt	For	For	For
7	Elect Sindiswa (Sindi) V. Zilwa	Mgmt	For	For	For
8	Elect Faith N. Khanyile	Mgmt	For	For	For
9	Elect Hermanus (Herman) L. Bosman	Mgmt	For	For	For
10	Elect Rob Enslin	Mgmt	For	For	For
11	Elect Deon M. Viljoen	Mgmt	For	Against	Against
12	Approve Remuneration Policy	Mgmt	For	Against	Against
13	Approve Remuneration Report	Mgmt	For	Against	Against
14	Authorisation of Legal Formalities	Mgmt	For	For	For
15	General Authority to Issue A Preference Shares	Mgmt	For	For	For
16	General Authority to Issue B Preference Shares	Mgmt	For	For	For
17	General Authority to Issue C Preference Shares	Mgmt	For	For	For
18	Approve NEDs' Fees	Mgmt	For	For	For
19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Financial Assistance	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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East African Breweries Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
EABL	CINS V3146X102	09/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	Kenya				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect John O'Keefe	Mgmt	For	For	For

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4	Elect Alan Shonubi	Mgmt	For	For	For
5	Elect Carol Musyoka	Mgmt	For	For	For
6	Election of Audit & Risk Committee Members (Nehemiah Mchechu)	Mgmt	For	For	For
7	Election of Audit & Risk Committee Members (Japheth Katto)	Mgmt	For	For	For
8	Election of Audit & Risk Committee Members (Martin Odour-Otieno)	Mgmt	For	For	For
9	Election of Audit & Risk Committee Members (Paul Gallagher)	Mgmt	For	Against	Against
10	Directors' Fees	Mgmt	For	For	For
11	Authority to Set Fees	Mgmt	For	Against	Against
12	Transaction of Other Business	Mgmt	For	Against	Against

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### Endeavour Mining Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
EDV	CINS G3040R158	06/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Michael E. Beckett	Mgmt	For	For	For
3	Elect James E. Askew	Mgmt	For	For	For
4	Elect Ian D. Cockerill	Mgmt	For	For	For
5	Elect Olivier Colom	Mgmt	For	For	For
6	Elect Livia Mahler	Mgmt	For	For	For
7	Elect Wayne McManus	Mgmt	For	For	For
8	Elect Sebastien de Montessus	Mgmt	For	For	For
9	Elect Naguib Sawiris	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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### EOH Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EOH	CINS S2593K104	04/12/2018	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Sandile Zungu	Mgmt	For	For	For
2	Re-elect Tshilidzi Marwala	Mgmt	For	For	For
3	Elect Pumeza Bam	Mgmt	For	For	For
4	Elect Moretlo Molefi	Mgmt	For	For	For

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5	Elect Grathel Motau	Mgmt	For	For	For
6	Elect Zunaid Mayet	Mgmt	For	For	For
7	Elect Rob Godlonton	Mgmt	For	Against	Against
8	Elect Brian Gubbins	Mgmt	For	Against	Against
9	Elect Ebrahim Laher	Mgmt	For	Against	Against
10	Elect Jehan Mackay	Mgmt	For	Against	Against
11	Elect Johan van Jaarsveld	Mgmt	For	Against	Against
12	Elect Audit Committee Member (Grathel Motau)	Mgmt	For	For	For
13	Elect Audit Committee Member (Rob Sporen)	Mgmt	For	Against	Against
14	Elect Audit Committee Member (Tshilidzi Marwala)	Mgmt	For	For	For
15	Elect Audit Committee Member (Lucky Khumalo)	Mgmt	For	Against	Against
16	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
17	Authorisation of Legal Formalities	Mgmt	For	For	For
18	Authority to Issue Shares for Cash	Mgmt	For	For	For
19	Authority to Issue Shares for Cash for BEE purposes	Mgmt	For	For	For
20	Authority to Sell Treasury Shares for Cash	Mgmt	For	For	For
21	Approve Remuneration Policy	Mgmt	For	For	For
22	Approve Remuneration Implementation Report	Mgmt	For	For	For
23	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
24	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
25	Chairperson of the Board	Mgmt	For	For	For
26	Member of the Board	Mgmt	For	For	For
27	Chairperson of the Audit Committee	Mgmt	For	For	For
28	Member of the Audit Committee	Mgmt	For	For	For
29	Chairperson of the Nomination Committee	Mgmt	For	For	For
30	Member of the Nomination Committee	Mgmt	For	For	For
31	Chairperson of the Remuneration	Mgmt	For	For	For

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32	Committee Member of the Remuneration Committee	Mgmt	For	For	For
33	Chairperson of the Risk and Governance Committee	Mgmt	For	For	For
34	Member of the Risk and Governance Committee	Mgmt	For	For	For
35	Chairperson of the Social and Ethics Committee	Mgmt	For	For	For
36	Member of the Social and Ethics Committee	Mgmt	For	For	For
37	Chairperson of the Technology and Information Committee	Mgmt	For	For	For
38	Member of the Technology and Information Committee	Mgmt	For	For	For
39	Authority to Repurchase Shares	Mgmt	For	For	For

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Equity Group Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EQTY	CINS V3254M104	05/03/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	Kenya				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Convene the Meeting	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Elect Peter Munga	Mgmt	For	For	For
6	Elect David R. Ansell	Mgmt	For	For	For
7	Elect Mary Wamae	Mgmt	For	For	For
8	Elect Vijay Gidoomal	Mgmt	For	For	For
9	Elect Isaac Macharia	Mgmt	For	For	For
10	Election of Audit Committee Member - Evelyn Rutagwenda	Mgmt	For	For	For
11	Election of Audit Committee Member - Dennis Aluanga	Mgmt	For	For	For
12	Election of Audit Committee Member - David Ansell	Mgmt	For	For	For
13	Election of Audit Committee Member - Vijay Gidoomal	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	Against	Against

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	and Authority to Set Fees					
15	Approve Employee Share Ownership Scheme for a Subsidiary	Mgmt	For	Abstain	Against	
16	Transaction of Other Business	Mgmt	For	Against	Against	

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Exxaro Resources Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
EXX	CINS S26949107	05/24/2018		Voted		
Meeting Type		Country of Trade				
Ordinary		South Africa				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Divestiture of All Remaining Tronox Shares	Mgmt	For		For	For
2	Authorize Ratification of Approved Resolution	Mgmt	For		For	For

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Exxaro Resources Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
EXX	CINS S26949107	05/24/2018		Voted		
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Re-elect Monhla W. Hlahla	Mgmt	For		For	For
2	Elect Daphne Mashile-Nkosi	Mgmt	For		For	For
3	Elect Likhapha Mbatha	Mgmt	For		For	For
4	Re-elect Vincent Z. Mntambo	Mgmt	For		For	For
5	Re-elect Vuyisa Nkonyeni	Mgmt	For		For	For
6	Elect Anuradha (Anu) Singh	Mgmt	For		For	For
7	Re-elect Jeffrey van Rooyen	Mgmt	For		For	For
8	Elect Audit Committee Member (Ras Myburgh)	Mgmt	For		For	For
9	Elect Audit Committee Member (Vuyisa Nkonyeni)	Mgmt	For		For	For
10	Elect Audit Committee Member (Jeffrey van Rooyen)	Mgmt	For		For	For
11	Elect Social and Ethics Committee Member (Likhapha Mbatha)	Mgmt	For		For	For
12	Elect Social and Ethics Committee Member (Anu Singh)	Mgmt	For		For	For
13	Elect Social and Ethics Committee	Mgmt	For		For	For

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	Member (Peet Snyders)					
14	Appointment of Auditor	Mgmt	For	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For	For
16	General Authority to Issue Ordinary Shares	Mgmt	For	For	For	For
17	Authorisation of Legal Formalities	Mgmt	For	For	For	For
18	Approve NEDs' Fees	Mgmt	For	For	For	For
19	Amendments to Memorandum	Mgmt	For	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For	For
21	Approve Remuneration Policy	Mgmt	For	For	For	For
22	Approve Remuneration Implementation Report	Mgmt	For	For	For	For

Exxaro Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
EXX	CINS S26949107	11/20/2017	Voted			
Meeting Type	Country of Trade					
Ordinary	South Africa					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Specific Authority to Repurchase Shares	Mgmt	For	For	For	For
2	Revocation of Proposal 1	Mgmt	For	For	For	For
3	Authority to Issue Shares for Cash Pursuant to the Replacement BEE Transaction	Mgmt	For	For	For	For
4	Approve Financial Assistance	Mgmt	For	For	For	For
5	Authorisation of Legal Formalities	Mgmt	For	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

First Quantum Minerals Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
FM	CINS 335934105	05/03/2018	Voted			
Meeting Type	Country of Trade					
Annual	Canada					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For	For
3	Elect Philip K.R. Pascall	Mgmt	For	For	For	For
4	Elect G. Clive Newall	Mgmt	For	For	For	For
5	Elect Kathleen Hogenson	Mgmt	For	For	For	For
6	Elect Peter St. George	Mgmt	For	For	For	For
7	Elect Andrew Adams	Mgmt	For	For	For	For
8	Elect Paul Brunner	Mgmt	For	For	For	For

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9	Elect Robert Harding	Mgmt	For	For	For
10	Elect Simon Scott	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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### FirstRand Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FSR	CINS S5202Z131	11/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Patrick (Pat) M. Goss	Mgmt	For	Against	Against
2	Re-elect Paul K. Harris	Mgmt	For	For	For
3	Re-elect Russell M. Loubser	Mgmt	For	For	For
4	Re-elect Amanda (Tandi) T. Nzimande	Mgmt	For	For	For
5	Elect Thandie S. Mashego	Mgmt	For	For	For
6	Elect Hermanus (Herman) L. Bosman	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Appointment of Deloitte & Touche	Mgmt	For	For	For
9	Appointment of PricewaterhouseCoopers Inc.	Mgmt	For	For	For
10	Approve Remuneration Policy (Policy)	Mgmt	For	Against	Against
11	Approve Remuneration Report (Implementation)	Mgmt	For	Against	Against
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Authority to Issue Shares for Cash	Mgmt	For	For	For
14	Authorisation of Legal Formalities	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Approve Financial Assistance (Employee Share Scheme Beneficiaries)	Mgmt	For	For	For
17	Approve Financial Assistance (Related and Inter-related Entities)	Mgmt	For	For	For

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18	Approve NEDs' Fees	Mgmt	For	For	For
<hr/>					
Fortress REIT Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
FFA	CINS S30252258	11/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Sipho Vuso Majija	Mgmt	For	For	For
2	Elect Bongiwe Nomandi Njobe	Mgmt	For	For	For
3	Re-elect Jeffrey N. Zidel	Mgmt	For	Against	Against
4	Re-elect Tshiamo Matlapeng-Vilakazi	Mgmt	For	For	For
5	Re-elect Jan Naude Potgieter	Mgmt	For	For	For
6	Elect Audit Committee Member (Djurk Venter)	Mgmt	For	For	For
7	Elect Audit Committee Member (Jan Potgieter)	Mgmt	For	For	For
8	Elect Audit Committee Member (Kurawone Chihota)	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Authority to Set Auditor's Fees	Mgmt	For	For	For
11	Authority to Issue Shares for Cash	Mgmt	For	For	For
12	Authority to Issue Shares for Cash (BEE)	Mgmt	For	For	For
13	Approve Incentive Plan	Mgmt	For	For	For
14	APPROVE REMUNERATION POLICY	Mgmt	For	Against	Against
15	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For
17	Approve Financial Assistance (BEE)	Mgmt	For	For	For
18	Approve Financial Assistance (Subscription of Shares)	Mgmt	For	For	For
19	Approve NED's Fees	Mgmt	For	For	For
20	Change in Company Name	Mgmt	For	For	For
21	Authorisation of Legal Formalities	Mgmt	For	For	For
<hr/>					
Global Telecom Holding					
Ticker	Security ID:	Meeting Date	Meeting Status		
GTHE	CINS M7526D107	04/17/2018	Take No Action		
Meeting Type	Country of Trade				

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Ordinary Issue No.	Description	Egypt Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Charitable Donations	Mgmt	For	TNA	N/A

### Global Telecom Holding

Ticker	Security ID:	Meeting Date	Meeting Status		
GTHE	CINS M7526D107	05/30/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Approval of Credit Facility Agreement	Mgmt	For	TNA	N/A
3	Board Changes	Mgmt	For	TNA	N/A

### Gold Fields Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GFI	CUSIP 38059T106	05/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Cheryl A. Carolus	Mgmt	For	For	For
3	Re-elect Richard (Rick) P. Menell	Mgmt	For	For	For
4	Re-elect Steven P. Reid	Mgmt	For	For	For
5	Elect Audit Committee Member (Yunus G.H. Suleman)	Mgmt	For	For	For
6	Elect Audit Committee Member (Alhassan Andani)	Mgmt	For	For	For
7	Elect Audit Committee Member (Peter Bacchus)	Mgmt	For	For	For
8	Elect Audit Committee Member (Richard P. Menell)	Mgmt	For	For	For
9	General Authority to Issue Shares	Mgmt	For	For	For
10	Authority to Issue Shares for Cash	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For

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12	Approve NEDs' Fees	Mgmt	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Approve Amendment to the 2012 Share Plan	Mgmt	For	For	For

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Golden Agri-Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
E5H	CINS V39076134	04/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Elect Muktar Widjaja	Mgmt	For	Against	Against
6	Elect FOO Meng Kee	Mgmt	For	For	For
7	Elect William CHUNG Nien Chin	Mgmt	For	For	For
8	Elect Kaneyalall Hawabhay	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
11	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For

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Growthpoint Properties Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GRT	CINS S3373C239	11/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Francois Marais	Mgmt	For	Against	Against
3	Re-elect Ragavan Moonsamy	Mgmt	For	For	For
4	Re-elect Eric Visser	Mgmt	For	For	For
5	Elect Audit Committee Member (Lynette Finlay)	Mgmt	For	For	For
6	Elect Audit Committee Member (Peter Fechter)	Mgmt	For	For	For

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7	Elect Audit Committee Member (John Hayward)	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approve Remuneration Policy	Mgmt	For	For	For
10	Approve Implementation Report	Mgmt	For	For	For
11	General Authority to Issue Shares	Mgmt	For	For	For
12	Authority to Issue Shares Pursuant to Dividend Reinvestment Alternatives	Mgmt	For	For	For
13	Authority to Issue Shares for Cash	Mgmt	For	For	For
14	Social, Ethics and Transformation Report	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For

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GT Bank Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
GUARY	ISIN NGGUARANTY06	04/10/2018	Voted		
Meeting Type	Country of Trade				
Annual	Nigeria				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect V. O. Adefala	Mgmt	For	For	For
4	Authority to Set Auditors' Fees	Mgmt	For	For	For
5	Election of Statutory Audit Committee Members	Mgmt	For	For	For

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Hyprop Investments Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HYP	CINS S3723H102	12/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Nonyameko D. Mandindi	Mgmt	For	For	For
3	Re-elect Laurence Cohen	Mgmt	For	For	For
4	Re-elect Kevin M. Ellering	Mgmt	For	For	For
5	Re-elect Stewart Shaw-Taylor	Mgmt	For	For	For
6	Elect Audit and Risk	Mgmt	For	For	For

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	Committee Member (Lindie Engelbrecht)					
7	Elect Audit and Risk Committee Member (Gavin Tipper)	Mgmt	For	Against	Against	
8	Elect Audit and Risk Committee Member (Thabo Mokgatlha)	Mgmt	For	Against	Against	
9	Elect Audit and Risk Committee Member (Stewart Shaw-Taylor)	Mgmt	For	Against	Against	
10	Appointment of Auditor	Mgmt	For	For	For	
11	General Authority to Issue Shares	Mgmt	For	For	For	
12	Authority to Issue Shares for Cash	Mgmt	For	For	For	
13	Approve Remuneration Policy (Policy)	Mgmt	For	For	For	
14	Approve Remuneration Policy (Implementation Report)	Mgmt	For	For	For	
15	Authority to Repurchase Shares	Mgmt	For	For	For	
16	Approve Financial Assistance	Mgmt	For	For	For	
17	Authorisation of Legal Formalities	Mgmt	For	For	For	

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IAMGOLD Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
IMG	CUSIP 450913108	05/08/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect John E. Caldwell	Mgmt	For	For	For	
1.2	Elect Donald K. Charter	Mgmt	For	For	For	
1.3	Elect Richard J. Hall	Mgmt	For	For	For	
1.4	Elect Stephen J.J. Letwin	Mgmt	For	For	For	
1.5	Elect Mahendra Naik	Mgmt	For	For	For	
1.6	Elect Timothy R. Snider	Mgmt	For	For	For	
1.7	Elect Sybil Veenman	Mgmt	For	For	For	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
4	Amendment to the Share Incentive Plan	Mgmt	For	For	For	

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Impala Platinum Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
IMP	CINS S37840113	07/24/2017	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve Conversion of Par Value Shares	Mgmt	For	For	For
2	Authority to Increase Authorised Capital	Mgmt	For	For	For
3	Amendments to Memorandum	Mgmt	For	For	For
4	Authority to Issue Shares Pursuant to Conversion of Bonds	Mgmt	For	For	For

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Impala Platinum Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
IMP	CINS S37840113	10/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Peter Davey	Mgmt	For	For	For
3	Re-elect Mandla Gantsho	Mgmt	For	For	For
4	Elect Udo Lucht	Mgmt	For	For	For
5	Re-elect Sydney Mufamadi	Mgmt	For	Against	Against
6	Elect Audit Committee Member (Hugh Cameron)	Mgmt	For	For	For
7	Elect Audit Committee Member (Peter Davey)	Mgmt	For	For	For
8	Elect Audit Committee Member (Babalwa Nkonyama)	Mgmt	For	For	For
9	Elect Audit Committee Member (Mpho Nkeli)	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Approve Implementation Report	Mgmt	For	For	For
12	Approve NEDs' Fees	Mgmt	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For

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Imperial Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
IPL	CINS S38127122	10/31/2017	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Elect Audit Committee Member (Graham Dempster)	Mgmt	For	For	For
4	Elect Audit Committee Member (Thembisa Dingaana)	Mgmt	For	For	For
5	Elect Audit Committee Member (Phumzile Langeni)	Mgmt	For	Against	Against
6	Elect Audit Committee Member (Roddy Sparks)	Mgmt	For	For	For
7	Elect Audit Committee Member (Younaid Waja)	Mgmt	For	For	For
8	Re-elect Osman Arbee	Mgmt	For	For	For
9	Re-elect Graham Dempster	Mgmt	For	For	For
10	Re-elect Suresh Kana	Mgmt	For	For	For
11	Re-elect Valli Moosa	Mgmt	For	Against	Against
12	Re-elect Younaid Waja	Mgmt	For	For	For
13	Elect Mohammed Akoojee	Mgmt	For	For	For
14	Approve Remuneration Policy	Mgmt	For	For	For
15	Approve Implementation Report	Mgmt	For	For	For
16	Approve NEDs' Fees (Chairperson)	Mgmt	For	For	For
17	Approve NEDs' Fees (Deputy Chairperson and Lead independent Director)	Mgmt	For	For	For
18	Approve NEDs' Fees (Board Member)	Mgmt	For	For	For
19	Approve NEDs' Fees (Assets and Liabilities Committee Chairperson)	Mgmt	For	For	For
20	Approve NEDs' Fees (Assets and Liabilities Committee Member)	Mgmt	For	For	For
21	Approve NEDs' Fees (Audit Committee Chairperson)	Mgmt	For	For	For
22	Approve NEDs' Fees (Audit Committee Member)	Mgmt	For	For	For
23	Approve NEDs' Fees (Divisional Board Member: Motus Division)	Mgmt	For	For	For
24	Approve NEDs' Fees (Divisional Board Member: Logistics Division)	Mgmt	For	For	For
25	Approve NEDs' Fees (Divisional Finance)	Mgmt	For	For	For

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	and Risk Committee Memeber: Motus)				
26	Approve NEDs' Fees (Divisional Finance and Risk Committee Memeber: Logistics)	Mgmt	For	For	For
27	Approve NEDs' Fees (Investment Committee Chairperson)	Mgmt	For	For	For
28	Approve NEDs' Fees (Investment Committee Member)	Mgmt	For	For	For
29	Approve NEDs' Fees (Risk Committee Chairman)	Mgmt	For	For	For
30	Approve NEDs' Fees (Risk Committee Member)	Mgmt	For	For	For
31	Approve NEDs' Fees (Remuneration Committee Chairperson)	Mgmt	For	For	For
32	Approve NEDs' Fees (Remuneration Committee Member)	Mgmt	For	For	For
33	Approve NEDs' Fees (Nomination Committee Chairperson)	Mgmt	For	For	For
34	Approve NEDs' Fees (Nomination Committee Member)	Mgmt	For	For	For
35	Approve NEDs' Fees (Social, Ethics and Sustainability Committee Chairperson)	Mgmt	For	For	For
36	Approve NEDs' Fees (Social, Ethics and Sustainability Committee Member)	Mgmt	For	For	For
37	Authority to Repurchase Shares	Mgmt	For	For	For
38	General Authority to Issue Shares	Mgmt	For	For	For
39	AUTHORITY TO ISSUE SHARES FOR CASH	Mgmt	For	For	For
40	General Authority to Issue Preference Shares	Mgmt	For	Against	Against
41	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
42	Approve Financial Assistance (Section 45)	Mgmt	For	For	For

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Investec plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
INVP	CINS G49188116	08/10/2017	Voted			
Meeting Type	Country of Trade					
Annual	United Kingdom					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Zarina B.M. Bassa	Mgmt	For	For	For	
3	Elect Glynn R. Burger	Mgmt	For	For	For	
4	Elect Laurel C. Bowden	Mgmt	For	Against	Against	
5	Elect Cheryl A. Carolus	Mgmt	For	For	For	
6	Elect Perry K.O. Crosthwaite	Mgmt	For	For	For	
7	Elect Hendrik du Toit	Mgmt	For	For	For	
8	Elect David Friedland	Mgmt	For	For	For	
9	Elect Charles Jacobs	Mgmt	For	For	For	
10	Elect Bernard Kantor	Mgmt	For	For	For	
11	Elect Ian R. Kantor	Mgmt	For	For	For	
12	Elect Stephen Koseff	Mgmt	For	For	For	
13	Elect Mark Malloch-Brown	Mgmt	For	For	For	
14	Elect Khumo Shuenyane	Mgmt	For	For	For	
15	Elect Fani Titi	Mgmt	For	For	For	
16	Remuneration Report (Advisory)	Mgmt	For	Against	Against	
17	Authorisation of Legal Formalities	Mgmt	For	For	For	
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
20	Interim Dividend (Ordinary Shareholders - Investec Limited)	Mgmt	For	For	For	
21	Final Dividend (Ordinary and Preference Shareholders - Investec Limited)	Mgmt	For	For	For	
22	Appointment of Joint Auditor (Ernst & Young) (Investec Limited)	Mgmt	For	For	For	
23	Appointment of Joint Auditor (KPMG) (Investec Limited)	Mgmt	For	For	For	
24	General Authority to Issue Ordinary Shares (Investec Limited)	Mgmt	For	For	For	
25	General Authority to Issue Preference Shares (Investec Limited)	Mgmt	For	For	For	
26	General Authority to Issue Special Convertible Shares (Investec	Mgmt	For	For	For	

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27	Limited) Authority to Repurchase Shares (Investec Limited)	Mgmt	For	For	For
28	Authority to Repurchase Preference Shares (Investec Limited)	Mgmt	For	For	For
29	Approve Financial Assistance (Investec Limited)	Mgmt	For	For	For
30	Approve NEDs' Fees (Investec Limited)	Mgmt	For	For	For
31	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
32	Accounts and Reports (Investec plc)	Mgmt	For	For	For
33	Interim Dividend (Investec plc)	Mgmt	For	For	For
34	Final Dividend (Investec plc)	Mgmt	For	For	For
35	Appointment of Auditor and Authority to Set Fees (Investec plc)	Mgmt	For	For	For
36	Authority to Issue Shares w/ Preemptive Rights (Investec plc)	Mgmt	For	For	For
37	Authority to Repurchase Shares (Investec plc)	Mgmt	For	For	For
38	Authority to Repurchase Preference Shares (Investec plc)	Mgmt	For	For	For
39	Authorisation of Political Donations (Investec plc)	Mgmt	For	For	For

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Investec plc

Ticker	Security ID:	Meeting Date	Meeting Status		
INVP	CINS S39081138	08/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Zarina B.M. Bassa	Mgmt	For	For	For
3	Elect Glynn R. Burger	Mgmt	For	For	For
4	Elect Laurel C. Bowden	Mgmt	For	Against	Against
5	Elect Cheryl A. Carolus	Mgmt	For	For	For
6	Elect Perry K.O. Crosthwaite	Mgmt	For	For	For
7	Elect Hendrik du Toit	Mgmt	For	For	For
8	Elect David Friedland	Mgmt	For	For	For

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9	Elect Charles Jacobs	Mgmt	For	For	For
10	Elect Bernard Kantor	Mgmt	For	For	For
11	Elect Ian R. Kantor	Mgmt	For	For	For
12	Elect Stephen Koseff	Mgmt	For	For	For
13	Elect Mark Malloch-Brown	Mgmt	For	For	For
14	Elect Khumo Shuenyane	Mgmt	For	For	For
15	Elect Fani Titi	Mgmt	For	For	For
16	Remuneration Report (Advisory)	Mgmt	For	Against	Against
17	Authorisation of Legal Formalities	Mgmt	For	For	For
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
20	Interim Dividend (Ordinary Shareholders - Investec Limited)	Mgmt	For	For	For
21	Final Dividend (Ordinary and Preference Shareholders - Investec Limited)	Mgmt	For	For	For
22	Appointment of Joint Auditor (Ernst & Young) (Investec Limited)	Mgmt	For	For	For
23	Appointment of Joint Auditor (KPMG) (Investec Limited)	Mgmt	For	For	For
24	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
25	General Authority to Issue Ordinary Shares (Investec Limited)	Mgmt	For	For	For
26	General Authority to Issue Preference Shares (Investec Limited)	Mgmt	For	For	For
27	General Authority to Issue Special Convertible Shares (Investec Limited)	Mgmt	For	For	For
28	Authority to Repurchase Shares (Investec Limited)	Mgmt	For	For	For
29	Authority to Repurchase Preference Shares (Investec Limited)	Mgmt	For	For	For
30	Approve Financial Assistance (Investec Limited)	Mgmt	For	For	For
31	Approve NEDs' Fees (Investec Limited)	Mgmt	For	For	For
32	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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33	Accounts and Reports (Investec plc)	Mgmt	For	For	For
34	Interim Dividend (Investec plc)	Mgmt	For	For	For
35	Final Dividend (Investec plc)	Mgmt	For	For	For
36	Appointment of Auditor and Authority to Set Fees (Investec plc)	Mgmt	For	For	For
37	Authority to Issue Shares w/ Preemptive Rights (Investec plc)	Mgmt	For	For	For
38	Authority to Repurchase Shares (Investec plc)	Mgmt	For	For	For
39	Authority to Repurchase Preference Shares (Investec plc)	Mgmt	For	For	For
40	Authorisation of Political Donations (Investec plc)	Mgmt	For	For	For

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### Ivanhoe Mines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
IVN	CINS 46579R104	06/28/2018	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Robert M. Friedland	Mgmt	For	For	For
4	Elect Ian D. Cockerill	Mgmt	For	For	For
5	Elect Egizio Bianchini	Mgmt	For	For	For
6	Elect William B. Hayden	Mgmt	For	For	For
7	Elect Oyvind Hushovd	Mgmt	For	For	For
8	Elect Livia Mahler	Mgmt	For	For	For
9	Elect Peter G. Meredith	Mgmt	For	For	For
10	Elect Kgalema P. Motlanthe	Mgmt	For	For	For
11	Elect Guy de Selliers	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Transaction of Other Business	Mgmt	For	Against	Against
14	Amendment or Variation of Matters Presented	Mgmt	For	Against	Against

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### Kosmos Energy Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
KOS	CUSIP G5315B107	06/05/2018	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Adebayo O. Ogunlesi	Mgmt	For	For	For
1.2	Elect Deanna L. Goodwin	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Amendment to the Long Term Incentive Plan	Mgmt	For	For	For

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Liberty Holdings Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	05/18/2018		Voted	
LBH CINS S44440121		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Santie Botha	Mgmt	For	For	For
3	Re-elect Tony Cunningham	Mgmt	For	For	For
4	Re-elect Sibusiso Sibisi	Mgmt	For	For	For
5	Re-elect Yunus Suleman	Mgmt	For	For	For
6	Elect Nooraya Khan	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	General Authority to Issue Shares	Mgmt	For	For	For
9	Authority to Issue Shares for Cash	Mgmt	For	For	For
10	Elect Audit and Actuarial Committee Member (Yunus Suleman)	Mgmt	For	For	For
11	Elect Audit and Actuarial Committee Member (Angus Band)	Mgmt	For	For	For
12	Elect Audit and Actuarial Committee Member (Tony Cunningham)	Mgmt	For	For	For
13	Elect Audit and Actuarial Committee Member (Nooraya Khan)	Mgmt	For	For	For
14	Elect Audit and Actuarial Committee Member (Jim Sutcliffe)	Mgmt	For	For	For
15	Approve Remuneration Policy	Mgmt	For	For	For

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16	Approve Remuneration Implementation Report	Mgmt	For	For	For
17	Authority to Issue Shares Pursuant to Share Incentive Schemes	Mgmt	For	For	For
18	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
19	Approve NEDs' Fees (Lead Independent Director)	Mgmt	For	For	For
20	Approve NEDs' Fees (Board Member)	Mgmt	For	For	For
21	Approve NEDs' Fees (International Board Member, Sub-committee Chairman)	Mgmt	For	For	For
22	Approve NEDs' Fees (International Board Member, Committee Chairman)	Mgmt	For	For	For
23	Approve NEDs' Fees (Audit and Actuarial Committee Chairman)	Mgmt	For	For	For
24	Approve NEDs' Fees (Audit and Actuarial Committee Member)	Mgmt	For	For	For
25	Approve NEDs' Fees (Actuarial Committee Chairman)	Mgmt	For	For	For
26	Approve NEDs' Fees (Actuarial Committee Member)	Mgmt	For	For	For
27	Approve NEDs' Fees (Risk Committee Chairman)	Mgmt	For	For	For
28	Approve NEDs' Fees (Risk Committee Member)	Mgmt	For	For	For
29	Approve NEDs' Fees (Remuneration Committee Chairman)	Mgmt	For	For	For
30	Approve NEDs' Fees (Remuneration Committee Member)	Mgmt	For	For	For
31	Approve NEDs' Fees (Social, Ethics and Transformation Committee Chairman)	Mgmt	For	For	For
32	Approve NEDs' Fees (Social, Ethics and Transformation Committee Member)	Mgmt	For	For	For
33	Approve NEDs' Fees	Mgmt	For	For	For

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	(Directors' Affairs Committee Member)					
34	Approve NEDs' Fees (IT Committee Chairman)	Mgmt	For	For	For	
35	Approve NEDs' Fees (IT Committee Member)	Mgmt	For	For	For	
36	Approve NEDs' Fees (STANLIB Limited Board Chairman)	Mgmt	For	For	For	
37	Approve NEDs' Fees (STANLIB Limited Board Member)	Mgmt	For	For	For	
38	Approve NEDs' Fees (Short-Term Insurance Board Chairman)	Mgmt	For	For	For	
39	Approve NEDs' Fees (Short-Term Insurance Board Member)	Mgmt	For	For	For	
40	Approve NEDs' Fees (Ad Hoc Board Meeting Fee)	Mgmt	For	For	For	
41	Approve NEDs' Fees (Ad Hoc Committee Meeting Fee)	Mgmt	For	For	For	
42	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	
43	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	
44	Authority to Repurchase Shares	Mgmt	For	For	For	
45	Authority to Create and Issue New Preference Shares	Mgmt	For	Against	Against	
46	Approve Amendments to the Restricted Share Plan	Mgmt	For	For	For	

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Life Healthcare Group Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
	CINS S4682C100	01/31/2018	Voted
Meeting Type		Country of Trade	
Annual		South Africa	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Re-elect Mustaq A. Enus-Brey	Mgmt	For
2	Re-elect Garth C. Solomon	Mgmt	For
3	Elect Mahlape Sello	Mgmt	For
4	Elect Audrey Mothupi	Mgmt	For
5	Appointment of Auditor	Mgmt	For

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6	Elect Audit Committee Member (Peter J. Golesworthy)	Mgmt	For	For	For
7	Elect Audit Committee Member (Audrey Mothupi)	Mgmt	For	For	For
8	Elect Audit Committee Member (Royden T. Vice)	Mgmt	For	For	For
9	Elect Audit Committee Member (Garth C. Solomon)	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Approve Remuneration Implementation Report	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Approve Financial Assistance	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

MakeMyTrip Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MMYT	CUSIP V5633W109	09/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Patrick Luke Kolek	Mgmt	For	For	For
4	Elect Charles St. Leger Searle	Mgmt	For	For	For
5	Elect Yuvraj Thacoor	Mgmt	For	For	For
6	Elect Oliver Minh Rippel	Mgmt	For	For	For

Maroc Telecom SA (Itissalat Al-Maghrib)

Ticker	Security ID:	Meeting Date	Meeting Status		
IAM	CINS V5721T117	04/24/2018	Take No Action		
Meeting Type	Country of Trade				
Annual	Morocco				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports; Ratification of Board Acts	Mgmt	For	TNA	N/A
3	Consolidated Financial Statements	Mgmt	For	TNA	N/A
4	Related Party	Mgmt	For	TNA	N/A

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5	Transactions Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Co-Option of Abdelouafi Laftit	Mgmt	For	TNA	N/A
7	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
8	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

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Mediclinic International Plc		Meeting Date		Meeting Status	
Ticker	Security ID:	07/25/2017		Voted	
MDC	CINS G5960R100	Country of Trade			
Meeting Type		United Kingdom			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Jurgens Myburgh	Mgmt	For	For	For
6	Elect Danie Meintjes	Mgmt	For	For	For
7	Elect Edwin de la H. Hertzog	Mgmt	For	For	For
8	Elect Jan (Jannie) J. Durand	Mgmt	For	For	For
9	Elect Alan Grieve	Mgmt	For	For	For
10	Elect Seamus Keating	Mgmt	For	For	For
11	Elect Robert Leu	Mgmt	For	For	For
12	Elect Nandi Mandela	Mgmt	For	For	For
13	Elect Trevor Petersen	Mgmt	For	For	For
14	Elect Desmond K. Smith	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Authorisation of Political Donations	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
21	Amendments to Articles	Mgmt	For	For	For
22	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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MMI Holdings Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
MMI	CINS S5143R107	11/24/2017	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Stephen Jurisich	Mgmt	For	For	For	
2	Re-elect Fatima Jakoet	Mgmt	For	For	For	
3	Re-elect Mfundiso (JJ) J.N. Njeke	Mgmt	For	For	For	
4	Re-elect Niel Krige	Mgmt	For	For	For	
5	Re-elect Vuyisa Nkonyeni	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Elect Audit Committee Members (Frans Truter)	Mgmt	For	For	For	
8	Elect Audit Committee Members (Syd Muller)	Mgmt	For	For	For	
9	Elect Audit Committee Members (Fatima Jakoet)	Mgmt	For	For	For	
10	Elect Audit Committee Members (Louis von Zeuner)	Mgmt	For	For	For	
11	Approve Remuneration Policy	Mgmt	For	For	For	
12	Authorisation of Legal Formalities	Mgmt	For	For	For	
13	Approve NEDs' Fees (Board Members)	Mgmt	For	For	For	
14	Approve NEDs' Fees (Chairman and Deputy Chairman)	Mgmt	For	For	For	
15	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	
16	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	
17	Authority to Repurchase Shares	Mgmt	For	For	For	

Mondi plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
MNDI	CINS S5274K111	05/16/2018	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Stephen Young	Mgmt	For	For	For	
3	Elect Tanya Fratto	Mgmt	For	For	For	
4	Elect Stephen C. Harris	Mgmt	For	For	For	
5	Elect Andrew King	Mgmt	For	For	For	
6	Elect Peter Oswald	Mgmt	For	For	For	

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7	Elect Fred Phaswana	Mgmt	For	For	For
8	Elect Dominique Reiniche	Mgmt	For	For	For
9	Elect David Williams	Mgmt	For	For	For
10	Elect Audit Committee Member (Tanya Fratto)	Mgmt	For	For	For
11	Elect Audit Committee Member (Stephen C. Harris)	Mgmt	For	For	For
12	Elect Audit Committee Member (Stephen Young)	Mgmt	For	For	For
13	Accounts and Reports (Mondi Ltd)	Mgmt	For	For	For
14	Remuneration Policy (Mondi Ltd)	Mgmt	For	For	For
15	Remuneration Report (Mondi Ltd)	Mgmt	For	For	For
16	Approve NEDs' Fees (Mondi Ltd)	Mgmt	For	For	For
17	Approve NEDs' Fee Structure (Mondi Ltd)	Mgmt	For	For	For
18	Final Dividend	Mgmt	For	For	For
19	Special Dividend	Mgmt	For	For	For
20	Appointment of Auditor (Mondi Ltd)	Mgmt	For	For	For
21	Authority to Set Auditor's Fees (Mondi Ltd)	Mgmt	For	For	For
22	Approve Financial Assistance (Mondi Ltd)	Mgmt	For	For	For
23	General Authority to Issue Ordinary Shares (Mondi Ltd)	Mgmt	For	For	For
24	General Authority to Issue Special Converting Shares (Mondi Ltd)	Mgmt	For	For	For
25	Authority to Issue Shares w/o Preemptive Rights (Mondi Ltd)	Mgmt	For	For	For
26	Authority to Repurchase Shares (Mondi Ltd)	Mgmt	For	For	For
27	Accounts and Reports (Mondi plc)	Mgmt	For	For	For
28	Remuneration Report (Mondi plc- Advisory)	Mgmt	For	For	For

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29	Final Dividend (Mondi plc)	Mgmt	For	For	For
30	Special Dividend (Mondi plc)	Mgmt	For	For	For
31	Appointment of Auditor (Mondi plc)	Mgmt	For	For	For
32	Authority to Set Auditor's Fees (Mondi plc)	Mgmt	For	For	For
33	Authority to Issue Shares w/ Preemptive Rights (Mondi plc)	Mgmt	For	For	For
34	Authority to Issue Shares w/o Preemptive Rights (Mondi plc)	Mgmt	For	For	For
35	Authority to Repurchase Shares (Mondi plc)	Mgmt	For	For	For
36	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Mr. Price Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MRP	CINS S5256M135	08/31/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Malcolm (Bobby) Johnston	Mgmt	For	For	For
3	Re-elect Nigel G. Payne	Mgmt	For	Against	Against
4	Re-elect John Swain	Mgmt	For	For	For
5	Elect Mark J. Bowman	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect Audit and Compliance Committee Member (Bobby Johnston)	Mgmt	For	For	For
8	Elect Audit and Compliance Committee Member (Daisy Naidoo)	Mgmt	For	Against	Against
9	Elect Audit and Compliance Committee Member (Myles Ruck)	Mgmt	For	For	For
10	Elect Audit and Compliance Committee Member (John Swain)	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Report of the Social, Ethics, Transformation and Sustainability	Mgmt	For	For	For

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	Committee				
13	Authorisation of Legal Formalities	Mgmt	For	For	For
14	General Authority to Issue Shares	Mgmt	For	For	For
15	Approve NEDs' Fees (Independent Non-Executive Chairman)	Mgmt	For	For	For
16	Approve NEDs' Fees (Honorary Chairman)	Mgmt	For	For	For
17	Approve NEDs' Fees (Lead Director)	Mgmt	For	For	For
18	Approve NEDs' Fees (Director)	Mgmt	For	For	For
19	Approve NEDs' Fees (Audit and Compliance Committee Chairman)	Mgmt	For	For	For
20	Approve NEDs' Fees (Audit and Compliance Committee Member)	Mgmt	For	For	For
21	Approve NEDs' Fees (Remuneration and Nominations Committee Chairman)	Mgmt	For	For	For
22	Approve NEDs' Fees (Remuneration and Nominations Committee Member)	Mgmt	For	For	For
23	Approve NEDs' Fees (Social, Ethics, Transformation and Sustainability Committee Chairman)	Mgmt	For	For	For
24	Approve NEDs' Fees (Social, Ethics, Transformation and Sustainability Committee Member)	Mgmt	For	For	For
25	Authority to Repurchase Shares	Mgmt	For	For	For
26	Approve Financial Assistance	Mgmt	For	For	For
27	Amendments to Memorandum (Deletion of Clause 10.4)	Mgmt	For	For	For
28	Amendments to Memorandum (Insertion of Clause 17.12)	Mgmt	For	For	For

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MTN Group Limited

Ticker

Security ID:

Meeting Date

Meeting Status

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MTN	CINS S8039R108	05/24/2018	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Christine Ramon	Mgmt	For	For	For
2	Re-elect Alan Harper	Mgmt	For	Against	Against
3	Re-elect Peter Mageza	Mgmt	For	For	For
4	Re-elect Dawn Marole	Mgmt	For	For	For
5	Re-elect Koosum Kalyan	Mgmt	For	For	For
6	Re-elect Azmi Mikati	Mgmt	For	For	For
7	Re-elect Jeff van Rooyen	Mgmt	For	For	For
8	Elect Audit Committee Member (Christine Ramon)	Mgmt	For	For	For
9	Elect Audit Committee Member (Paul Hanratty)	Mgmt	For	For	For
10	Elect Audit Committee Member (Peter Mageza)	Mgmt	For	Against	Against
11	Elect Audit Committee Member (Jeff van Rooyen)	Mgmt	For	For	For
12	Appointment of Auditor (PricewaterhouseCoopers)	Mgmt	For	For	For
13	Appointment of Auditor (SizweNtsalubaGobodo)	Mgmt	For	For	For
14	General Authority to Issue Shares	Mgmt	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	Approve Remuneration Policy	Mgmt	For	For	For
17	Approve Remuneration Implementation Report	Mgmt	For	Against	Against
18	Approve NEDs' Fees	Mgmt	For	For	For
19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
21	Approve Financial Assistance (Section 44)	Mgmt	For	For	For

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Naspers Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
NPN	CINS S53435103	08/25/2017		Voted	
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Approve Allocation of	Mgmt	For	For	For

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	Dividends					
3	Appointment of Auditor	Mgmt	For	For	For	For
4	Elect Emilie M. Choi	Mgmt	For	For	For	For
5	Re-elect Jacobus (Koos) P. Bekker	Mgmt	For	For	For	For
6	Re-elect Steve J.Z. Pacak	Mgmt	For	For	For	For
7	Re-elect Fred Phaswana	Mgmt	For	For	For	For
8	Re-elect Ben J. van der Ross	Mgmt	For	For	For	For
9	Re-elect Rachel C.C. Jafta	Mgmt	For	Against	Against	Against
10	Elect Audit Committee Member (Don Eriksson)	Mgmt	For	For	For	For
11	Elect Audit Committee Member (Ben van der Ross)	Mgmt	For	For	For	For
12	Elect Audit Committee Member (Rachel Jafta)	Mgmt	For	Against	Against	Against
13	Approve Remuneration Policy	Mgmt	For	Against	Against	Against
14	General Authority to Issue Shares	Mgmt	For	Against	Against	Against
15	Authority to Issue Shares for Cash	Mgmt	For	For	For	For
16	Amendments to the Company's Share Incentive Trust Deeds and Schemes	Mgmt	For	Against	Against	Against
17	Authorisation of Legal Formalities	Mgmt	For	For	For	For
18	Approve NEDs' Fees (Board - Chair)	Mgmt	For	For	For	For
19	Approve NEDs' Fees (Board - Member)	Mgmt	For	For	For	For
20	Approve NEDs' Fees (Audit Committee - Chair)	Mgmt	For	For	For	For
21	Approve NEDs' Fees (Audit Committee - Member)	Mgmt	For	For	For	For
22	Approve NEDs' Fees (Risk Committee - Chair)	Mgmt	For	For	For	For
23	Approve NEDs' Fees (Risk Committee - Member)	Mgmt	For	For	For	For
24	Approve NEDs' Fees (Human Resources and Remuneration Committee - Chair)	Mgmt	For	For	For	For
25	Approve NEDs' Fees	Mgmt	For	For	For	For

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	(Human Resources and Remuneration Committee - Member)					
26	Approve NEDs' Fees (Nomination Committee - Chair)	Mgmt	For	For	For	
27	Approve NEDs' Fees (Nomination Committee - Member)	Mgmt	For	For	For	
28	Approve NEDs' Fees (Social and Ethics Committee - Chair)	Mgmt	For	For	For	
29	Approve NEDs' Fees (Social and Ethics Committee - Member)	Mgmt	For	For	For	
30	Approve NEDs' Fees (Trustee of Group Share Schemes/Other Personnel Funds)	Mgmt	For	For	For	
31	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	
32	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	
33	Authority to Repurchase N Ordinary Shares	Mgmt	For	For	For	
34	Authority to Repurchase A Ordinary Shares	Mgmt	For	Against	Against	

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Nedbank Group Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
NED	CINS S5518R104	05/10/2018	Voted			
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Hubert Brody	Mgmt	For	For	For	
2	Elect Neo Dongwana	Mgmt	For	For	For	
3	Elect Linda Manzini	Mgmt	For	For	For	
4	Re-elect Mpho Makwana	Mgmt	For	For	For	
5	Re-elect Raisibe Morathi	Mgmt	For	For	For	
6	Re-elect Mfundo Nkuhlu	Mgmt	For	For	For	
7	Appointment of Auditor (Deloitte & Touche)	Mgmt	For	For	For	
8	Appointment of Auditor (KPMG)	Mgmt	For	For	For	
9	General Authority to Issue Shares	Mgmt	For	For	For	
10	Approve Remuneration Policy	Mgmt	For	For	For	

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11	Approve Implementation Report	Mgmt	For	For	For
12	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
13	Approve NEDs' Fees (Lead Independent Director)	Mgmt	For	For	For
14	Approve NEDs' Fees (Board Member)	Mgmt	For	For	For
15	Approve NEDs' Fees (Audit Committee)	Mgmt	For	For	For
16	Approve NEDs' Fees (Credit Committee)	Mgmt	For	For	For
17	Approve NEDs' Fees (Directors' Affairs Committee)	Mgmt	For	For	For
18	Approve NEDs' Fees (Information Technology Committee)	Mgmt	For	For	For
19	Approve NEDs' Fees (Related-Party Transactions Committee)	Mgmt	For	For	For
20	Approve NEDs' Fees (Remuneration Committee)	Mgmt	For	For	For
21	Approve NEDs' Fees (Risk & Capital Management Committee)	Mgmt	For	For	For
22	Approve NEDs' Fees (Transformation, Social & Ethics Committee)	Mgmt	For	For	For
23	Authority to Repurchase Shares	Mgmt	For	For	For
24	Approve Financial Assistance	Mgmt	For	For	For
25	Approve Amendments to the 2005 Share Scheme	Mgmt	For	For	For

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Nestle Nigeria plc

Ticker	Security ID:	Meeting Date	Meeting Status		
NESTLE	CINS V6702N103	05/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	Nigeria				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors (Slate)	Mgmt	For	Abstain	Against
4	Authority to Set Auditor's Fees	Mgmt	For	Against	Against

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5	Election of Statutory Audit Committee Members	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Abstain	Against

Netcare Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NTC	CINS S5507D108	02/02/2018	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Mark R. Bower	Mgmt	For	For	For
3	Re-elect Martin Kuscus	Mgmt	For	For	For
4	Re-elect Kgomotso D. Moroka	Mgmt	For	For	For
5	Elect Audit Committee Member (Thevendrie Brewer)	Mgmt	For	Against	Against
6	Elect Audit Committee Member (Mark Bower)	Mgmt	For	For	For
7	Elect Audit Committee Member (Azar Jamine)	Mgmt	For	For	For
8	Elect Audit Committee Member (Norman Weltman)	Mgmt	For	Against	Against
9	Elect Audit Committee Member (Bukelwa Bulo)	Mgmt	For	For	For
10	Authority to Issue Shares for Cash	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Approve Implementation Report	Mgmt	For	For	For
13	Authorisation of Legal Formalities	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Nigerian Breweries Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
NB	CINS V6722M101	04/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	Nigeria				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect Chief Samuel O. Bolarinde	Mgmt	For	For	For

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3	Elect Franco Maggi	Mgmt	For	For	For
4	Elect Dr. Obadiah Mailafia	Mgmt	For	For	For
5	Elect Ndidi O. Nwuneli	Mgmt	For	For	For
6	Elect Jordi Borrut Bel	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
8	Election of Statutory Audit Committee Members	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	Abstain	Against
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Old Mutual plc

Ticker	Security ID:	Meeting Date	Meeting Status		
OML	CINS G67395114	04/30/2018	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Mike Arnold	Mgmt	For	For	For
3	Elect Zoe Cruz	Mgmt	For	For	For
4	Elect Alan Gillespie	Mgmt	For	For	For
5	Elect Danuta Gray	Mgmt	For	For	For
6	Elect Bruce Hemphill	Mgmt	For	For	For
7	Elect Adiba Ighodaro	Mgmt	For	For	For
8	Elect Ingrid Johnson	Mgmt	For	For	For
9	Elect Trevor Manuel	Mgmt	For	For	For
10	Elect Roger Marshall	Mgmt	For	For	For
11	Elect Vassi Naidoo	Mgmt	For	Against	Against
12	Elect Patrick O'Sullivan	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Remuneration Report (Advisory)	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Authority to Repurchase Shares Off-Market (Contingent Purchase Contracts)	Mgmt	For	For	For

Old Mutual plc

Ticker	Security ID:	Meeting Date	Meeting Status
OML	CINS G67395114	05/25/2018	Voted
Meeting Type	Country of Trade		
Court	United Kingdom		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	First Scheme of Arrangement	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Old Mutual plc

Ticker	Security ID:	Meeting Date	Meeting Status		
OML	CINS G67395114	05/25/2018	Voted		
Meeting Type	Country of Trade				
Court	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Second Scheme of Arrangement	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Old Mutual plc

Ticker	Security ID:	Meeting Date	Meeting Status		
OML	CINS G67395114	05/25/2018	Voted		
Meeting Type	Country of Trade				
Ordinary	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Managed Separation	Mgmt	For	For	For
2	Approval of the Quilter Performance Share Plan	Mgmt	For	Abstain	Against
3	Approval of the Quilter Share Reward Plan	Mgmt	For	For	For
4	Approval of Quilter Sharesave Plan	Mgmt	For	For	For
5	Approval of Quilter Share Incentive Plan	Mgmt	For	For	For
6	Approval of Old Mutual Limited Long-term Incentive Plan	Mgmt	For	Against	Against
7	Approval of the Old Mutual Limited Employee Share Ownership Plan	Mgmt	For	Against	Against

Pick N Pay Stores Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PIK	CINS S60947108	07/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Gareth Ackerman	Mgmt	For	For	For
3	Re-elect Hugh S. Herman	Mgmt	For	For	For
4	Re-elect Jeff van	Mgmt	For	For	For

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	Rooyen					
5	Elect Alex Mathole	Mgmt	For	For	For	For
6	Elect Audit, Risk and Compliance Committee Member (Jeff van Rooyen)	Mgmt	For	For	For	For
7	Elect Audit, Risk and Compliance Committee Member (Hugh Herman)	Mgmt	For	For	For	For
8	Elect Audit, Risk and Compliance Committee Member (Audrey Mothupi)	Mgmt	For	For	For	For
9	Elect Audit, Risk and Compliance Committee Member (David Friedland)	Mgmt	For	Against	Against	Against
10	Approve Remuneration Policy	Mgmt	For	For	For	For
11	Approve NEDs' Fees	Mgmt	For	For	For	For
12	Approve Financial Assistance (related or inter-related companies)	Mgmt	For	For	For	For
13	Approve Financial Assistance (Persons)	Mgmt	For	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For	For
15	Adopt New Memorandum	Mgmt	For	For	For	For
16	Authorisation of Legal Formalities	Mgmt	For	For	For	For

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Pioneer Food Group Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
PFG	CINS S6279F107	02/09/2018	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Appointment of Auditor	Mgmt	For	For	For	
2	Authority to Issue Shares for Cash	Mgmt	For	For	For	
3	Elect Tertius Carstens	Mgmt	For	For	For	
4	Elect Felix Lombard	Mgmt	For	For	For	
5	Re-elect Sylvia Mjoli-Mncube	Mgmt	For	For	For	
6	Re-elect Sango Ntsaluba	Mgmt	For	For	For	
7	Re-elect KK Combi	Mgmt	For	For	For	
8	Elect Audit Committee Member (Norman Thomson)	Mgmt	For	For	For	
9	Elect Audit Committee Member (Sango Ntsaluba)	Mgmt	For	For	For	
10	Elect Audit Committee Member (Lindiwe Mthimunya-Bakoro)	Mgmt	For	For	For	
11	Approve Remuneration	Mgmt	For	For	For	

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12	Policy Approve Remuneration Implementation Report	Mgmt	For	For	For
13	Approve Amendments to Phantom Share Plan	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
16	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PSG Group Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PSG	CINS S5959A107	06/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Bridgitte Mathews	Mgmt	For	For	For
2	Re-elect Jan Mouton	Mgmt	For	For	For
3	Re-elect Chris Otto	Mgmt	For	Against	Against
4	Elect Audit and Risk Committee Member (Patrick Burton)	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (Bridgitte Mathews)	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Chris Otto)	Mgmt	For	Against	Against
7	Appointment of Auditor	Mgmt	For	For	For
8	Approve Remuneration Policy	Mgmt	For	For	For
9	Approve Remuneration Implementation Report	Mgmt	For	For	For
10	Approve Amendments to the Supplementary Share Incentive Trust	Mgmt	For	For	For
11	Authority to Issue Shares for Cash	Mgmt	For	For	For
12	Approve NEDs' Fees	Mgmt	For	For	For
13	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
14	Approve Financial Assistance (Section 44)	Mgmt	For	For	For

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15	Authority to Repurchase Shares	Mgmt	For	For	For
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Rand Merchant Investment Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RMI	CINS S6815J118	10/23/2017	Voted		
Meeting Type	Country of Trade				
Other	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares Pursuant to the Scrip Distribution Alternative and Reinvestment Option	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Rand Merchant Investment Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RMI	CINS S6815J118	11/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Gerrit Ferreira	Mgmt	For	Against	Against
2	Re-elect Sonja Sebotsa	Mgmt	For	For	For
3	Re-elect Jan Durand	Mgmt	For	For	For
4	Re-elect Pat Goss	Mgmt	For	For	For
5	Re-elect Obakeng Phetwe	Mgmt	For	For	For
6	Approve Remuneration Policy	Mgmt	For	Against	Against
7	Approve Implementation Report	Mgmt	For	Against	Against
8	General Authority to Issue Shares	Mgmt	For	For	For
9	Authority to Issue Shares for Cash	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Elect Audit and Risk Committee Members	Mgmt	For	Against	Against
12	Elect Audit and Risk Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against
13	Elect Audit and Risk Committee Member (Per Lagerstrom)	Mgmt	For	Against	Against
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Authority to Issue Shares Pursuant to the Reinvestment	Mgmt	For	For	For

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	Option				
17	Approve Financial Assistance	Mgmt	For	For	For
18	Adopt New Memorandum	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### Randgold Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RRS	CUSIP G73740113	05/08/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Remuneration Policy (Advisory -- Non-UK Issuer)	Mgmt	For	For	For
5	Elect Safiatou F. Ba-N'Daw	Mgmt	For	For	For
6	Elect Mark Bristow	Mgmt	For	For	For
7	Elect Christopher Coleman	Mgmt	For	For	For
8	Elect Jamil Kassum	Mgmt	For	For	For
9	Elect Olivia F. Kirtley	Mgmt	For	For	For
10	Elect Jeanine Mabunda Lioko	Mgmt	For	For	For
11	Elect Andrew Quinn	Mgmt	For	For	For
12	Elect Graham Shuttleworth	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Share Grants (Non-Executive Directors)	Mgmt	For	For	For
17	Share Grants (Senior Independent Director)	Mgmt	For	For	For
18	Share Grants (Chair)	Mgmt	For	For	For
19	2018 Long-Term Incentive Plan	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For

### Redefine Properties Limited

Ticker	Security ID:	Meeting Date	Meeting Status
RDF	CINS S6815L196	02/15/2018	Voted
Meeting Type		Country of Trade	
Annual		South Africa	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Andrew Konig	Mgmt	For	For	For
2	Re-elect David A. Nathan	Mgmt	For	For	For
3	Re-elect Phumzile Langeni	Mgmt	For	For	For
4	Re-elect Bernard Nackan	Mgmt	For	For	For
5	Election Audit & Risk Committee Member (Phumzile Langeni)	Mgmt	For	Against	Against
6	Election Audit & Risk Committee Member (Bernard Nackan)	Mgmt	For	For	For
7	Election Audit & Risk Committee Member (David A. Nathan)	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	General Authority to Issue Shares	Mgmt	For	For	For
10	Authority to Issue Shares for Cash	Mgmt	For	For	For
11	Authority to Issue Shares Pursuant to the Reinvestment Option	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Approve Remuneration Policy (Implementation)	Mgmt	For	For	For
14	Authorisation of Legal Formalities	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
17	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Remgro Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
REM	CINS S6873K106	11/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect W. E. Buhrmann	Mgmt	For	For	For
4	Re-elect Gerrit T. Ferreira	Mgmt	For	For	For

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5	Re-elect Nkateko (Peter) Mageza	Mgmt	For	For	For
6	Re-elect Phillip (Jabu) J. Moleketi	Mgmt	For	For	For
7	Re-elect Fred Robertson	Mgmt	For	For	For
8	Elect Mariza Lubbe	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Elect Audit and Risk Committee Member (Nkateko Mageza)	Mgmt	For	Against	Against
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Elect Audit and Risk Committee Member (Phillip Moleketi)	Mgmt	For	For	For
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Elect Audit and Risk Committee Member (Fred Robertson)	Mgmt	For	For	For
15	Elect Audit and Risk Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against
16	General Authority to Issue Shares	Mgmt	For	For	For
17	Approve Remuneration Policy	Mgmt	For	Against	Against
18	Approve Remuneration Report	Mgmt	For	Against	Against
19	Approve NEDs' Fees	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
22	Approve Financial Assistance (Section 45)	Mgmt	For	For	For

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Resilient REIT Limited

Ticker	Security ID:	Meeting Date	Meeting Status
RES	CINS S6917M282	11/02/2017	Voted
Meeting Type	Country of Trade		
Annual	South Africa		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Re-elect Thembakazi (Thembi) I. Chagonda	Mgmt	For
2	Re-elect Bryan D. Hopkins	Mgmt	For
3	Re-elect Nicolaas (Nick) W. Hanekom	Mgmt	For
4	Re-elect Barry D. van Wyk	Mgmt	For

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5	Elect Audit Committee Member (Bryan Hopkins)	Mgmt	For	For	For
6	Elect Audit Committee Member (Barry van Wyk)	Mgmt	For	For	For
7	Elect Audit Committee Member (Protas Phili)	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Authority to Set Auditor's Fees	Mgmt	For	For	For
10	Authority to Issue Shares for Cash	Mgmt	For	For	For
11	Authority to Issue Shares Pursuant to the BEE Scheme	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	Against	Against
13	Approve Incentive Plan	Mgmt	For	For	For
14	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Approve Financial Assistance (BEE)	Mgmt	For	For	For
17	Approve Financial Assistance (Subscription of Shares)	Mgmt	For	For	For
18	Approve NEDs' Fees	Mgmt	For	For	For
19	Authorisation of Legal Formalities	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### RMB Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RMH	CINS S6992P127	11/22/2017	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jan Jonathan (Jannie) Durand	Mgmt	For	For	For
2	Re-elect Peter Cooper	Mgmt	For	Against	Against
3	Re-elect Lauritz (Laurie) L. Dippenaar	Mgmt	For	For	For
4	Re-elect Per-Erik (Per) Lagerstrom	Mgmt	For	For	For
5	Re-elect Mafison (Murphy) Morobe	Mgmt	For	For	For
6	General Authority to	Mgmt	For	For	For

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	Issue				
7	Authority to Issue Shares for Cash	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Jan Dreyer)	Mgmt	For	Against	Against
10	Elect Audit and Risk Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against
11	Elect Audit and Risk Committee Member (Per Lagerstrom)	Mgmt	For	For	For
12	Approve NEDs' Fees	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Authority to Issue Shares (Reinvestment Option)	Mgmt	For	For	For
15	Approve Financial Assistance	Mgmt	For	For	For
16	Adopt New Constitution	Mgmt	For	For	For

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Rockcastle Global Real Estate Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ROCK	CINS V7688C103	07/03/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Approval of Rockcastle Disposal	Mgmt	For	For	For
3	Distribution as a Dividend	Mgmt	For	For	For
4	Distribution as a Return of Capital	Mgmt	For	For	For
5	Authority to Issue Shares Pursuant to the Merger	Mgmt	For	For	For
6	REDUCTION OF STATED CAPITAL	Mgmt	For	For	For
7	Delisting Pursuant to the Merger	Mgmt	For	For	For
8	WINDING UP	Mgmt	For	For	For
9	Authorisation of Legal Formalities (Merger)	Mgmt	For	For	For
10	Authorisation of Legal Formalities (Resolutions)	Mgmt	For	For	For

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Royal Caribbean Cruises Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
RCL	CUSIP V7780T103	05/21/2018	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John F. Brock	Mgmt	For	For	For
2	Elect Richard D. Fain	Mgmt	For	For	For
3	Elect William L. Kimsey	Mgmt	For	For	For
4	Elect Maritza Gomez Montiel	Mgmt	For	For	For
5	Elect Ann S. Moore	Mgmt	For	For	For
6	Elect Eyal M. Ofer	Mgmt	For	For	For
7	Elect Thomas J. Pritzker	Mgmt	For	For	For
8	Elect William K. Reilly	Mgmt	For	For	For
9	Elect Bernt Reitan	Mgmt	For	For	For
10	Elect Vagn Sorensen	Mgmt	For	For	For
11	Elect Donald Thompson	Mgmt	For	For	For
12	Elect Arne Alexander Wilhelmsen	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For

Safaricom Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SCOM	CINS V74587102	09/15/2017	Voted		
Meeting Type		Country of Trade			
Annual		Kenya			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Susan Mudhune	Mgmt	For	Against	Against
4	Elect Bitange Ndemo	Mgmt	For	For	For
5	Election of Audit Committee Members	Mgmt	For	Against	Against
6	Directors' Fees	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
8	Transaction of Other Business	Mgmt	For	Against	Against
9	Change in Company Name	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	Against	Against
12	Amendments to Articles	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	Against	Against
14	Amendments to Articles	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	Against	Against

Sanlam Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
SLM	CINS S7302C137	06/06/2018	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For

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3	Elect Mathukana Mokoka	Mgmt	For	For	For
4	Re-elect Anton Botha	Mgmt	For	For	For
5	Re-elect Rejoice Simelane	Mgmt	For	For	For
6	Re-elect Johan van Zyl	Mgmt	For	For	For
7	Re-elect Temba Mvusi	Mgmt	For	For	For
8	Elect Audit, Actuarial and Finance Committee Member (Anton Botha)	Mgmt	For	For	For
9	Elect Audit, Actuarial and Finance Committee Member (Paul Hanratty)	Mgmt	For	For	For
10	Elect Audit, Actuarial and Finance Committee Member (Mathukana Mokoka)	Mgmt	For	For	For
11	Elect Audit, Actuarial and Finance Committee Member (Karabo Nondumo)	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Approve Remuneration Implementation Report	Mgmt	For	For	For
14	Ratify Directors' Fees	Mgmt	For	For	For
15	General Authority to Issue Shares	Mgmt	For	For	For
16	Authority to Issue Shares for Cash	Mgmt	For	For	For
17	Authorisation of Legal Formalities	Mgmt	For	For	For
18	Approve NEDs' Fees	Mgmt	For	For	For
19	Amendment to Memorandum	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sappi Limited

Ticker	Security ID:	Meeting Date	Meeting Status
SAP	CINS S73544108	02/07/2018	Voted
Meeting Type	Country of Trade		
Annual	South Africa		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Accounts and Reports	Mgmt	For
2	Elect Bonakele (Boni) Mehlomakulu	Mgmt	For
3	Re-elect Sir Nigel R. Rudd	Mgmt	For
4	Re-elect Nkateko (Peter) Mageza	Mgmt	For
5	Re-elect Mohammed (Valli) Moosa	Mgmt	For
6	Elect Audit Committee	Mgmt	For
			Abstain
			Against

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	Member (Deenadayalen Konar)					
7	Elect Audit Committee Member (Michael Fallon)	Mgmt	For	For	For	
8	Elect Audit Committee Member (Nkateko Mageza)	Mgmt	For	For	For	
9	Elect Audit Committee Member (Karen Osar)	Mgmt	For	For	For	
10	Elect Audit Committee Member (Robertus Renders)	Mgmt	For	For	For	
11	Appointment of Auditor	Mgmt	For	For	For	
12	Authority to Issue Shares Pursuant to Incentive Plan	Mgmt	For	For	For	
13	Authority to Issue Shares Pursuant to Scheme	Mgmt	For	For	For	
14	Approve Remuneration Policy	Mgmt	For	For	For	
15	Approve Implementation Report	Mgmt	For	For	For	
16	Approve NEDs' Fees	Mgmt	For	For	For	
17	Approve Financial Assistance	Mgmt	For	For	For	
18	Authorisation of Legal Formalities	Mgmt	For	For	For	

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Sasol Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SOL	CUSIP 803866300	11/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Mandla S.V. Gantsho	Mgmt	For	For	For
2	Re-elect Nomgando N.A. Matyumza	Mgmt	For	For	For
3	Re-elect Zamani (Moses) Mkhize	Mgmt	For	For	For
4	Re-elect Stephen Westwell	Mgmt	For	For	For
5	Elect Gesina (Trix) M.B. Kennealy	Mgmt	For	For	For
6	Elect Mpho E.K. Nkeli	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Elect Audit Committee Member (Colin Beggs)	Mgmt	For	For	For
9	Elect Audit Committee Member (Trix Kennealy)	Mgmt	For	For	For
10	Elect Audit Committee Member (Nomgando Matyumza)	Mgmt	For	For	For

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11	Elect Audit Committee Member (JJ Njeke)	Mgmt	For	For	For
12	Elect Audit Committee Member (Stephen Westwell)	Mgmt	For	For	For
13	Approve Remuneration Policy (Policy)	Mgmt	For	For	For
14	Approve Remuneration Policy (Implementation)	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Specific Authority to Repurchase Shares ("Sasol Investment Company")	Mgmt	For	For	For
17	General Authority to Repurchase Shares	Mgmt	For	For	For
18	Specific Authority to Repurchase Shares	Mgmt	For	For	For
19	Specific Authority to Repurchase Shares	Mgmt	For	For	For
20	Amendment to Memorandum (Redesignation Date)	Mgmt	For	For	For
21	Amendment to Memorandum (Clause 39.4.3.2)	Mgmt	For	For	For
22	Amendment to Memorandum (Share Terms and Related Contracts)	Mgmt	For	For	For
23	Increase in Authorised Capital	Mgmt	For	For	For
24	Amendment to Memorandum (Clause 9.1)	Mgmt	For	For	For
25	Approve Employee Share Ownership Plan	Mgmt	For	For	For
26	Authority to Issue Bonus Shares (SOLBE1 - Bonus Award)	Mgmt	For	For	For
27	Authority to Issue Shares (SOLBE1 - Sasol Khanyisa Invitation)	Mgmt	For	For	For
28	Authority to Issue Shares (SOLBE1 - Trustees of Sasol Khanyisa ESOP Trust)	Mgmt	For	For	For
29	Authority to Issue Shares (SOLBE1 - Additional Issuance)	Mgmt	For	For	For

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30	Authority to Issue Shares (SOBLE1 - Automatic Share Exchange)	Mgmt	For	For	For
31	Authority to Issue Shares (SOL - Trustees of Sasol Khanyisa ESOP Trust)	Mgmt	For	For	For
32	Authority to Issue Shares (SOL - Additional Issuance)	Mgmt	For	For	For
33	Approve Financial Assistance (Sasol Khanyisa ESOP Trust - SOLBE1 Shares)	Mgmt	For	For	For
34	Approve Financial Assistance (Ordinary Shares - Sasol Khanyisa ESOP Trust)	Mgmt	For	For	For
35	Approve Financial Assistance (Sasol Khanyisa Shares)	Mgmt	For	For	For
36	Approve Financial Assistance (SOLBE1 Shares)	Mgmt	For	For	For
37	Approve Financial Assistance (SSA Khanyisa Shares)	Mgmt	For	For	For
38	Approve Financial Assistance (SSA Ordinary Shares)	Mgmt	For	For	For
39	Authority to Issue Shares (Sasol Khanyisa ESOP Trust)	Mgmt	For	For	For
40	Authority to Issue Shares (FundCo)	Mgmt	For	For	For
41	Authorisation of Legal Formalities	Mgmt	For	For	For

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Shoprite Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
SHP	CINS S76263102	09/05/2017	Voted
Meeting Type		Country of Trade	
Ordinary		South Africa	
Issue No.	Description	Proponent	Mgmt Rec
1	Specific Authority to Repurchase Shares	Mgmt	For
2	Authorisation of Legal Formalities	Mgmt	For

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Shoprite Holdings Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
SHP	CINS S76263102	10/30/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve Conversion of Par Value Shares	Mgmt	For	For	For
2	Authorisation of Legal Formalities	Mgmt	For	For	For

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Shoprite Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SHP	CINS S76263102	10/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Elect Christoffel (Christo) H. Wiese	Mgmt	For	For	For
4	Elect Edward C. Kieswetter	Mgmt	For	For	For
5	Elect Jacobus A. Louw	Mgmt	For	For	For
6	Elect Carel G. Goosen	Mgmt	For	For	For
7	Elect Audit and Risk Committee member (Johannes Basson)	Mgmt	For	For	For
8	Elect Audit and Risk Committee member (Jacobus Louw)	Mgmt	For	Against	Against
9	Elect Audit and Risk Committee member (Jimmy Fouche)	Mgmt	For	Against	Against
10	Elect Audit and Risk Committee member (Joseph Rock)	Mgmt	For	For	For
11	General Authority to Issue Shares	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Authorisation of Legal Formalities	Mgmt	For	For	For
14	Approve Remuneration Policy (Policy)	Mgmt	For	Against	Against
15	Approve Remuneration Policy (Implementation)	Mgmt	For	Against	Against
16	Approve NEDs' Fees	Mgmt	For	For	For
17	Approve Financial Assistance (Section 45)	Mgmt	For	For	For

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18	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Approve Conversion of Par Value Shares	Mgmt	For	For	For
21	Authority to Increase Authorised Capital	Mgmt	For	For	For

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### Sibanye Gold Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SGL	CUSIP 825724206	05/30/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Savannah Danson	Mgmt	For	For	For
3	Re-elect Richard (Rick) P. Menell	Mgmt	For	For	For
4	Re-elect Keith A. Rayner	Mgmt	For	For	For
5	Re-elect Jeremiah (Jerry) S. Vilakazi	Mgmt	For	For	For
6	Elect Audit Committee Member (Keith Rayner)	Mgmt	For	For	For
7	Elect Audit Committee Member (Savannah Danson)	Mgmt	For	For	For
8	Elect Audit Committee Member (Richard Menell)	Mgmt	For	For	For
9	Elect Audit Committee Member (Nkosemntu Nika)	Mgmt	For	For	For
10	Elect Audit Committee Member (Susan van der Merwe)	Mgmt	For	For	For
11	General Authority to Issue Shares	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Authority to Issue Shares pursuant to Share Plan	Mgmt	For	For	For
14	Approve Remuneration Policy	Mgmt	For	For	For
15	Approve Remuneration Report	Mgmt	For	For	For
16	Approve NEDs' Fees	Mgmt	For	For	For
17	Approve Financial Assistance	Mgmt	For	For	For

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18	Authority to Repurchase Shares	Mgmt	For	For	For
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Sibanye Gold Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SGL	CUSIP 825724206	12/04/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Specific Authority to Issue Shares	Mgmt	For	For	For
<hr/>					
Sibanye Gold Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SGL	CUSIP 825724206	12/04/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Specific Authority to Issue Shares	Mgmt	For	For	For
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Standard Bank Group Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
SBK	CINS S80605140	05/24/2018	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Thulani S. Gcabashe	Mgmt	For	For	For
3	Elect HU Hao	Mgmt	For	For	For
4	Re-elect Kgomotso D. Moroka	Mgmt	For	For	For
5	Re-elect Atedo N.A. Peterside	Mgmt	For	For	For
6	Re-elect Peter D. Sullivan	Mgmt	For	For	For
7	Elect WANG Lubin	Mgmt	For	For	For
8	Appointment of Auditor (KPMG)	Mgmt	For	For	For
9	Appointment of Auditor (PricewaterhouseCoopers)	Mgmt	For	For	For
10	General Authority to Issue Ordinary Shares	Mgmt	For	For	For
11	General Authority to Issue Preference Shares	Mgmt	For	Against	Against
12	APPROVE REMUNERATION POLICY	Mgmt	For	For	For
13	APPROVE REMUNERATION IMPLEMENTATION REPORT	Mgmt	For	For	For
14	Approve NEDs' Fees (Chair)	Mgmt	For	For	For

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15	Approve NEDs' Fees (NED)	Mgmt	For	For	For
16	Approve NEDs' Fees (International NED)	Mgmt	For	For	For
17	Approve NEDs' Fees (Directors' Affairs Committee Member)	Mgmt	For	For	For
18	Approve NEDs' Fees (Risk and Capital Management Committee Chair)	Mgmt	For	For	For
19	Approve NEDs' Fees (Risk and Capital Management Committee Member)	Mgmt	For	For	For
20	Approve NEDs' Fees (Remuneration Committee Chair)	Mgmt	For	For	For
21	Approve NEDs' Fees (Remuneration Committee Member)	Mgmt	For	For	For
22	Approve NEDs' Fees (Social and Ethics Committee Chair)	Mgmt	For	For	For
23	Approve NEDs' Fees (Social and Ethics Committee Member)	Mgmt	For	For	For
24	Approve NEDs' Fees (Audit Committee Chair)	Mgmt	For	For	For
25	Approve NEDs' Fees (Audit Committee Member)	Mgmt	For	For	For
26	Approve NEDs' Fees (Technology and Information Committee Chair)	Mgmt	For	For	For
27	Approve NEDs' Fees (Technology and Information Committee Member)	Mgmt	For	For	For
28	Approve NEDs' Fees (Model Approval Committee Chair)	Mgmt	For	For	For
29	Approve NEDs' Fees (Model Approval Committee Member)	Mgmt	For	For	For
30	Approve NEDs' Fees (Ad Hoc Meeting Attendance)	Mgmt	For	For	For
31	Authority to Repurchase Ordinary Shares	Mgmt	For	For	For
32	Authority to	Mgmt	For	For	For

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	Repurchase Preference Shares				
33	Approve Financial Assistance	Mgmt	For	For	For
34	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Steinhoff International Holdings N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
SNH	CINS N8248H102	04/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	Netherlands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Elect Philip Dieperink	Mgmt	For	For	For
6	Elect Theodore de Klerk	Mgmt	For	For	For
7	Elect Alexandre Nodale	Mgmt	For	For	For
8	Elect Louis du Preez	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Elect Khanyisile Kweyama	Mgmt	For	For	For
11	Elect Moira Moses	Mgmt	For	For	For
12	Elect Hugo Nelson	Mgmt	For	For	For
13	Elect Peter Wakkie	Mgmt	For	For	For
14	Elect Alexandra Watson	Mgmt	For	For	For
15	Elect Stefanus Booysen	Mgmt	For	Against	Against
16	Elect Angela Kruger-Steinhoff	Mgmt	For	For	For
17	Elect Heather Sonn	Mgmt	For	For	For
18	Elect Johan van Zyl	Mgmt	For	Against	Against
19	Supervisory Board Fees	Mgmt	For	Against	Against
20	Appointment of Auditor	Mgmt	For	Abstain	Against
21	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
22	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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### Telkom SA SOC Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TKG	CINS S84197102	08/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Hamadoun Toure	Mgmt	For	For	For
2	Re-elect Santie Botha	Mgmt	For	Against	Against
3	Re-elect Khanyisile Kweyama	Mgmt	For	Against	Against
4	Re-elect Fagmeedah Petersen-Lurie	Mgmt	For	For	For
5	Re-elect Louis von Zeuner	Mgmt	For	For	For
6	Elect Audit Committee Member (Itumeleng Kgaboesele)	Mgmt	For	Against	Against
7	Elect Audit Committee Member (Kholeka Mzondeki)	Mgmt	For	For	For
8	Elect Audit Committee Member (Louis von Zeuner)	Mgmt	For	For	For

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9	Elect Audit Committee Member (Rex Tomlinson)	Mgmt	For	For	For
10	Appointment of Auditor (Ernst & Young)	Mgmt	For	For	For
11	Appointment of Auditor (Nkonki)	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	Approve NEDs' Fees	Mgmt	For	For	For
17	Approve Financial Assistance	Mgmt	For	For	For

The Bidvest Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BVT	CINS S1201R162	11/27/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Nosipho Molohe	Mgmt	For	For	For
3	Re-elect Lindsay P. Ralphs	Mgmt	For	For	For
4	Re-elect Gillian McMahon	Mgmt	For	For	For
5	Re-elect Tania Slabbert	Mgmt	For	For	For
6	Re-elect Douglas D.B. Band	Mgmt	For	For	For
7	Elect Audit Committee Member (Eric Diack)	Mgmt	For	For	For
8	Elect Audit Committee Member (Sibongile Masinga)	Mgmt	For	For	For
9	Elect Audit Committee Member (Nosipho Molohe)	Mgmt	For	For	For
10	Elect Audit Committee Member (Nigel Payne)	Mgmt	For	Against	Against
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Approve Remuneration Report	Mgmt	For	For	For
13	General Authority to Issue Shares	Mgmt	For	For	For
14	Authority to Issue Shares for Cash	Mgmt	For	For	For
15	Approve Allocation to	Mgmt	For	For	For

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	Distribute Share Capital or Premium					
16	Authority to Create and Issue Convertible Debentures	Mgmt	For	For	For	
17	Authorisation of Legal Formalities	Mgmt	For	For	For	
18	Authority to Repurchase Shares	Mgmt	For	For	For	
19	Approve NEDs' Fees	Mgmt	For	For	For	
20	Approve Financial Assistance	Mgmt	For	For	For	

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The Foschini Group Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
TFG	CINS S29260155	07/28/2017	Voted			
Meeting Type	Country of Trade					
Special	South Africa					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares	Mgmt	For		For	For
2	Authorisation of Legal Formalities	Mgmt	For		For	For

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The Foschini Group Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
TFG	CINS S29260155	09/06/2017	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For		For	For
2	Appointment of Auditor	Mgmt	For		For	For
3	Re-elect David Friedland	Mgmt	For		Against	Against
4	Re-elect Nomahlubi Simamane	Mgmt	For		For	For
5	Re-elect Ronnie Stein	Mgmt	For		For	For
6	Elect Audit Committee Member (Sam Abrahams)	Mgmt	For		For	For
7	Elect Audit Committee Member (Tumi Makgabo-Fiskerstrand)	Mgmt	For		For	For
8	Elect Audit Committee Member (Eddy Oblowitz)	Mgmt	For		For	For
9	Elect Audit Committee Member (Nomahlubi Simamane)	Mgmt	For		For	For
10	Elect Audit Committee Member (David Friedland)	Mgmt	For		Against	Against
11	Elect Audit Committee Member (Fatima Abrahams)	Mgmt	For		For	For
12	Approve Remuneration Policy	Mgmt	For		For	For
13	Approve NEDs' Fees	Mgmt	For		For	For

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14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Approve Financial Assistance	Mgmt	For	For	For
16	Authorisation of Legal Formalities	Mgmt	For	For	For

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### The Spar Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SPP	CINS S8050H104	02/07/2018	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Andrew Waller	Mgmt	For	For	For
2	Re-elect Phumla Mnganga	Mgmt	For	For	For
3	Re-elect Chris Wells	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Elect Audit Committee Member (Chris Wells)	Mgmt	For	For	For
6	Elect Audit Committee Member (Harish Mehta)	Mgmt	For	For	For
7	Elect Audit Committee Member (Marang Mashologu)	Mgmt	For	For	For
8	Authority to Issue Shares Pursuant to the Employee Share Trust	Mgmt	For	For	For
9	Authority to Issue Shares Pursuant to the Conditional Share Plan	Mgmt	For	For	For
10	Approve Financial Assistance	Mgmt	For	For	For
11	Approve NEDs' Fees	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Approve Implementation of Remuneration Policy	Mgmt	For	For	For

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### Tiger Brands Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
TBS	CINS S84594142	02/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Bajabulile (Swazi) Tshabalala	Mgmt	For	For	For
2	Re-elect Michael Ajukwu	Mgmt	For	For	For
3	Re-elect Mark J. Bowman	Mgmt	For	For	For
4	Re-elect Noel P. Doyle	Mgmt	For	For	For
5	Re-elect Khotso D.K. Mokhele	Mgmt	For	For	For
6	Elect Audit Committee Member (Rob	Mgmt	For	For	For

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7	Nisbet) Elect Audit Committee Member (Emma Mashilwane)	Mgmt	For	For	For
8	Elect Audit Committee Member (Yunus G.H. Suleman)	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Authorisation of Legal Formalities	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Approve Implementation Report of Remuneration Policy	Mgmt	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For
14	Approve NEDs' Fees (NEDs)	Mgmt	For	For	For
15	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
16	Approve NEDs' Fees (Committees)	Mgmt	For	For	For
17	Approve NEDs' Fees (Special Meetings and Extraordinary Work)	Mgmt	For	For	For
18	Approve NEDs' Fees (Non-resident NEDs)	Mgmt	For	For	For
19	Approve NEDs' Fees (Value Added Tax)	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Truworths International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TRU	CINS S8793H130	11/02/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	South Africa				
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Hilton Saven	Mgmt	For	For	For
3	Re-elect Michael (Mike) A. Thompson	Mgmt	For	For	For
4	Re-elect Roderick (Roddy) J.A. Sparks	Mgmt	For	For	For
5	Re-elect Cynthia (Thandi) Ndlovu	Mgmt	For	For	For
6	Authority to Issue Shares for Cash	Mgmt	For	For	For
7	Authority to Repurchase	Mgmt	For	For	For

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	Shares					
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For
9	Approve NEDs' Fees	Mgmt	For	For	For	For
10	Elect Audit Committee Member (Michael Thompson)	Mgmt	For	For	For	For
11	Elect Audit Committee Member (Rob Dow)	Mgmt	For	For	For	For
12	Elect Audit Committee Member (Roderick Sparks)	Mgmt	For	For	For	For
13	Approve Remuneration Policy (Policy)	Mgmt	For	For	For	For
14	Approve Remuneration Policy (Implementation)	Mgmt	For	For	For	For
15	Approve Social and Ethics Committee Report	Mgmt	For	For	For	For
16	Elect Social and Ethics Committee Member (Michael Thompson)	Mgmt	For	For	For	For
17	Elect Social and Ethics Committee Member (Thandi Ndlovu)	Mgmt	For	For	For	For
18	Elect Social and Ethics Committee Member (David Pfaff)	Mgmt	For	For	For	For
19	Approve Financial Assistance	Mgmt	For	For	For	For

Tullow Oil Plc

Ticker	Security ID:	Meeting Date	Meeting Status			
TLW	CINS G91235104	04/25/2018	Voted			
Meeting Type	Country of Trade	United Kingdom				
Annual	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
	1	Accounts and Reports	Mgmt	For	For	For
	2	Remuneration Report (Advisory)	Mgmt	For	For	For
	3	Elect Tutu Agyare	Mgmt	For	For	For
	4	Elect Mike Daly	Mgmt	For	For	For
	5	Elect Aidan Heavey	Mgmt	For	For	For
	6	Elect Stephen Lucas	Mgmt	For	For	For
	7	Elect Angus McCoss	Mgmt	For	For	For
	8	Elect Paul McDade	Mgmt	For	For	For
	9	Elect Jeremy R. Wilson	Mgmt	For	For	For
	10	Elect Les Wood	Mgmt	For	For	For
	11	Appointment of Auditor	Mgmt	For	For	For
	12	Authority to Set Auditor's	Mgmt	For	For	For

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	Fees				
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
15	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For

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Vodacom Group Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
VOD	CINS S9453B108	07/18/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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Vodacom Group Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
VOD	CINS S9453B108	07/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Vivek Badrinath	Mgmt	For	For	For
4	Re-elect Thoko M. Mokgosi-Mwantembe	Mgmt	For	For	For
5	Re-elect Ronald A.W. Schellekens	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approve Remuneration Policy (Policy)	Mgmt	For	For	For
8	Approve Remuneration Policy (Implementation)	Mgmt	For	For	For
9	Elect Audit, Risk and Compliance Committee Member (David Brown)	Mgmt	For	For	For
10	Elect Audit, Risk and Compliance Committee Member (Bafeleang Mabelane)	Mgmt	For	For	For
11	Authority to Repurchase Shares	Mgmt	For	For	For

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12	Increase NEDs' Fees	Mgmt	For	For	For
13	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
14	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Woolworths Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
WHL	CINS S98758121	11/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Zarina B.M. Bassa	Mgmt	For	Against	Against
2	Re-elect Hubert Brody	Mgmt	For	For	For
3	Re-elect Nombulelo (Pinky) T. Moholi	Mgmt	For	For	For
4	Re-elect Sam Ngumeni	Mgmt	For	For	For
5	Elect John Dixon	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Election of Audit Committee Member (Patrick Allaway)	Mgmt	For	For	For
8	Election of Audit Committee Member (Zarina Bassa)	Mgmt	For	Against	Against
9	Election of Audit Committee Member (Hubert Brody)	Mgmt	For	For	For
10	Election of Audit Committee Member (Andrew Higginson)	Mgmt	For	For	For
11	Remuneration Policy (Advisory)	Mgmt	For	For	For
12	Remuneration Implementation Report (Advisory)	Mgmt	For	For	For
13	Approve NEDs' Fees (Tax Reimbursement)	Mgmt	For	For	For
14	Approve NEDs' Fees (FY2018)	Mgmt	For	For	For
15	Amendments to Memorandum	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For
17	Approve Financial Assistance	Mgmt	For	For	For
18	Authority to Issue Shares Pursuant to Scheme	Mgmt	For	For	For

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Zenith Bank plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
ZENITHBANK	CINS V9T871109	04/13/2018	Voted		
Meeting Type	Country of Trade				
Annual	Nigeria				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Mustafa Bello	Mgmt	For	For	For
4	Elect Temitope Fasoranti	Mgmt	For	For	For
5	Elect Dennis Olisa	Mgmt	For	For	For
6	Re-elect Jeffrey Efeyini	Mgmt	For	For	For
7	Re-elect Oyewusi Ibidapo-Obe	Mgmt	For	For	For
8	Re-elect Gabriel ItaAsuquo Ukpeh	Mgmt	For	For	For
9	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
10	Election of Statutory Audit Committee Members	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
Fund Name : VanEck Vectors Agribusiness ETF					

AGCO Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
AGCO	CUSIP 001084102	04/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Roy V. Armes	Mgmt	For	For	For
2	Elect Michael C. Arnold	Mgmt	For	For	For
3	Elect P. George Benson	Mgmt	For	For	For
4	Elect Suzanne P. Clark	Mgmt	For	For	For
5	Elect Wolfgang Deml	Mgmt	For	For	For
6	Elect George E. Minnich	Mgmt	For	For	For
7	Elect Martin H. Richenhagen	Mgmt	For	For	For
8	Elect Gerald L. Shaheen	Mgmt	For	For	For
9	Elect Mallika Srinivasan	Mgmt	For	For	For
10	Elect Hendrikus Visser	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For

Archer-Daniels-Midland Company					
Ticker	Security ID:	Meeting Date	Meeting Status		
ADM	CUSIP 039483102	05/03/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alan L. Boeckmann	Mgmt	For	For	For

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2	Elect Michael S. Burke	Mgmt	For	For	For
3	Elect Terrell K. Crews	Mgmt	For	For	For
4	Elect Pierre Dufour	Mgmt	For	For	For
5	Elect Donald E. Felsinger	Mgmt	For	For	For
6	Elect Suzan F. Harrison	Mgmt	For	For	For
7	Elect Juan R. Luciano	Mgmt	For	For	For
8	Elect Patrick J. Moore	Mgmt	For	For	For
9	Elect Francisco Sanchez	Mgmt	For	For	For
10	Elect Debra A. Sandler	Mgmt	For	For	For
11	Elect Daniel Shih	Mgmt	For	For	For
12	Elect Kelvin R. Westbrook	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Approval of the Employee Stock Purchase Plan	Mgmt	For	For	For
16	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

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### Bakkafrost P/F

Ticker	Security ID:	Meeting Date	Meeting Status		
BAKKA	CINS K4002E115	04/13/2018	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Election of the Presiding Chair	Mgmt	For	TNA	N/A
5	Report of the Board of Directors	Mgmt	For	TNA	N/A
6	Accounts and Reports	Mgmt	For	TNA	N/A
7	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
8	Election of Directors	Mgmt	For	TNA	N/A
9	Directors' Fees	Mgmt	For	TNA	N/A
10	Nomination Committee	Mgmt	For	TNA	N/A
11	Nomination Committee Fees	Mgmt	For	TNA	N/A
12	Appointment of Auditor	Mgmt	For	TNA	N/A
13	REMUNERATION POLICY	Mgmt	For	TNA	N/A
14	Transaction of Other Business	Mgmt	For	TNA	N/A
15	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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### Balchem Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
BCPC	CUSIP 057665200	06/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1.1	Elect Paul D. Coombs	Mgmt	For	For	For
1.2	Elect Daniel E. Knutson	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Bunge Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BG	CUSIP G16962105	05/24/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ernest G. Bachrach	Mgmt	For	For	For
2	Elect Vinitia Bali	Mgmt	For	For	For
3	Elect Enrique H. Boilini	Mgmt	For	For	For
4	Elect Carol M. Browner	Mgmt	For	For	For
5	Elect Paul Cornet de Ways-Ruart	Mgmt	For	For	For
6	Elect Andrew A. Ferrier	Mgmt	For	For	For
7	Elect Kathleen Hyle	Mgmt	For	For	For
8	Elect L. Patrick Lupo	Mgmt	For	For	For
9	Elect John E. McGlade	Mgmt	For	For	For
10	Elect Soren Schroder	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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CF Industries Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CF	CUSIP 125269100	05/10/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert C. Arzbaecher	Mgmt	For	Against	Against
2	Elect William Davisson	Mgmt	For	For	For
3	Elect John W. Eaves	Mgmt	For	For	For
4	Elect Stephen A. Furbacher	Mgmt	For	For	For
5	Elect Stephen J. Hagge	Mgmt	For	For	For
6	Elect John D. Johnson	Mgmt	For	For	For
7	Elect Anne P. Noonan	Mgmt	For	For	For
8	Elect Michael J. Toelle	Mgmt	For	For	For
9	Elect Theresa E Wagler	Mgmt	For	For	For
10	Elect W. Anthony Will	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Special Meeting Provisions	Mgmt	For	Against	Against
13	Ratification of Auditor	Mgmt	For	For	For

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China Mengniu Dairy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
2319	CINS G21096105	05/04/2018	Voted

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Meeting Type		Country of Trade				
Annual		Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
						Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Elect MA Jianping; Directors Fees	Mgmt	For	Against	Against	
6	Elect NIU Gensheng; Directors Fees	Mgmt	For	For	For	
7	Elect Julian J. Wolhardt; Directors Fees	Mgmt	For	Against	Against	
8	Elect Pascal De Petrini; Directors Fees	Mgmt	For	Against	Against	
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
10	Authority to Repurchase Shares	Mgmt	For	For	For	
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	

China Mengniu Dairy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
2319	CINS G21096105	08/28/2017	Voted			
Meeting Type		Country of Trade				
Special		Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
						Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Disposal	Mgmt	For	For	For	

CNH Industrial N.V.

Ticker	Security ID:	Meeting Date	Meeting Status			
CNHI	CUSIP N20944109	04/13/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
						Mgmt
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Ratification of Board Acts	Mgmt	For	For	For	
4	Elect Sergio Marchionne	Mgmt	For	For	For	
5	Elect Richard J. Tobin	Mgmt	For	For	For	
6	Elect Mina Gerowin	Mgmt	For	For	For	
7	Elect Suzanne Heywood	Mgmt	For	For	For	
8	Elect Leo W. Houle	Mgmt	For	For	For	
9	Elect Peter Kalantzis	Mgmt	For	For	For	
10	Elect John B. Lanaway	Mgmt	For	For	For	
11	Elect Silke C. Scheiber	Mgmt	For	For	For	

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12	Elect Guido Tabellini	Mgmt	For	For	For
13	Elect Jacqueline A. Tammenoms Bakker	Mgmt	For	For	For
14	Elect Jacques Theurillat	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Suppress Preemptive Rights	Mgmt	For	Against	Against
18	Authority to Issue Special Voting Shares	Mgmt	For	Against	Against
19	Authority to Repurchase Shares	Mgmt	For	For	For

Deere & Company

Ticker	Security ID:	Meeting Date	Meeting Status		
DE	CUSIP 244199105	02/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Samuel R. Allen	Mgmt	For	For	For
2	Elect Vance D. Coffman	Mgmt	For	For	For
3	Elect Alan C. Heuberger	Mgmt	For	For	For
4	Elect Charles O. Holliday, Jr.	Mgmt	For	For	For
5	Elect Dipak C. Jain	Mgmt	For	For	For
6	Elect Michael O. Johanns	Mgmt	For	For	For
7	Elect Clayton M. Jones	Mgmt	For	For	For
8	Elect Brian M. Krzanich	Mgmt	For	For	For
9	Elect Gregory R. Page	Mgmt	For	For	For
10	Elect Sherry M. Smith	Mgmt	For	For	For
11	Elect Dmitri L. Stockton	Mgmt	For	For	For
12	Elect Sheila G. Talton	Mgmt	For	For	For
13	Advisory vote on executive compensation	Mgmt	For	For	For
14	Amendment to the Long-Term Incentive Cash Plan	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHolder	Against	Against	For

Felda Global Ventures Holdings Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
FGV	CINS Y2477B108	06/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Directors' Fees for FY 2017	Mgmt	For	For	For
2	Directors' Fees for FY 2018-19	Mgmt	For	For	For
3	Directors' Benefits for FY 2018-19	Mgmt	For	For	For
4	Elect Zakaria Arshad	Mgmt	For	For	For
5	Elect Ab Ghani Mohd Ali	Mgmt	For	For	For
6	Elect Abu Bakar Harun	Mgmt	For	For	For
7	Elect Azhar bin Abdul Hamid	Mgmt	For	For	For
8	Elect Salmiah Ahmad	Mgmt	For	For	For
9	Elect Mohamed Nazeeb P.Alithambi	Mgmt	For	For	For
10	Elect Mohd Anwar bin Yahya	Mgmt	For	For	For
11	Elect Nesadurai Kalanithi	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Adoption of New Constitution	Mgmt	For	For	For
14	Related Party Transactions	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

Felda Global Ventures Holdings Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
FGV	CINS Y2477B108	06/28/2018	Voted		
Meeting Type	Country of Trade				
Special	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change in Company Name	Mgmt	For	For	For

FMC Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
FMC	CUSIP 302491303	04/24/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Pierre R. Brondeau	Mgmt	For	For	For
2	Elect Eduardo E. Cordeiro	Mgmt	For	For	For
3	Elect G. Peter D'Aloia	Mgmt	For	For	For
4	Elect C. Scott Greer	Mgmt	For	For	For
5	Elect K. Lynne Johnson	Mgmt	For	For	For
6	Elect Dirk A. Kempthorne	Mgmt	For	For	For
7	Elect Paul J. Norris	Mgmt	For	For	For
8	Elect Margareth Ovrum	Mgmt	For	For	For
9	Elect Robert C. Pallash	Mgmt	For	For	For
10	Elect William H. Powell	Mgmt	For	For	For
11	Elect Vincent R. Volpe Jr.	Mgmt	For	For	For

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12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Golden Agri-Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
E5H	CINS V39076134	04/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Elect Muktar Widjaja	Mgmt	For	Against	Against
6	Elect FOO Meng Kee	Mgmt	For	For	For
7	Elect William CHUNG Nien Chin	Mgmt	For	For	For
8	Elect Kaneyalall Hawabhay	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
11	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For

Goldin Financial Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0530	CINS G3968F137	11/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect PAN Sutong	Mgmt	For	Against	Against
5	Elect Abraham SHEK Lai Him	Mgmt	For	Against	Against
6	Elect Shirley HUI Wai Man	Mgmt	For	Against	Against
7	Elect TANG Yiu Wing	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to	Mgmt	For	For	For

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12	Repurchase Shares Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
ICL-Israel Chemicals Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
ICL	CUSIP M5920A109	01/10/2018		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ruth Ralbag as External Director	Mgmt	For	For	For
2	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
3	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
4	Elect Johanan Locker	Mgmt	For	For	For
5	Elect Avisar Paz	Mgmt	For	For	For
6	Elect Aviad Kaufman	Mgmt	For	For	For
7	Elect Sagi Kabla	Mgmt	For	For	For
8	Elect Ovadia Eli	Mgmt	For	For	For
9	Elect Geoffery E. Merszei	Mgmt	For	For	For
10	Elect Reem Aminoach	Mgmt	For	For	For
11	Elect Lior Reitblatt	Mgmt	For	For	For
12	Equity Grants of Non-Executive Directors	Mgmt	For	Against	Against
13	Equity Grants of Affiliated Directors	Mgmt	For	Against	Against
14	Assignment of Equity Compensation to Controlling Shareholder	Mgmt	For	For	For
15	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
16	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
17	Management Services Agreement with Controlling Shareholder	Mgmt	For	Against	Against
18	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
19	Approval of Agreement to Purchase Natural Gas	Mgmt	For	For	For
20	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
21	Appointment of Auditor	Mgmt	For	For	For

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ICL-Israel Chemicals Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
ICL	CUSIP M5920A109	02/22/2018		Voted	
Meeting Type					
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Agreement to Purchase Natural Gas	Mgmt	For	For	For
2	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
3	Management Services Agreement with Controlling Shareholder	Mgmt	For	Against	Against
4	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A

ICL-Israel Chemicals Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
ICL	CUSIP M5920A109	04/24/2018		Voted	
Meeting Type					
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compensation Terms	Mgmt	For	For	For
2	Annual Equity Grant	Mgmt	For	Against	Against
3	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
4	Declaration of Material Interest	Mgmt	N/A	For	N/A
5	Special Bonus of Executive Chair	Mgmt	For	For	For
6	Management Services Agreement with Controlling Shareholder	Mgmt	For	For	For
7	Declaration of Material Interest	Mgmt	N/A	For	N/A

Idexx Laboratories, Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
IDXX	CUSIP 45168D104	05/09/2018		Voted	
Meeting Type					
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Bruce L. Claflin	Mgmt	For	For	For
2	Elect Daniel M. Junius	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Approval of the 2018 Stock Incentive Plan	Mgmt	For	For	For
5	Advisory Vote on Executive	Mgmt	For	For	For

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### Compensation

Incitec Pivot Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
IPL	CINS Q4887E101	12/21/2017		Voted		
Meeting Type		Country of Trade				
Annual		Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Re-elect Rebecca McGrath	Mgmt	For	For	For	
3	Elect Joseph C. Breunig	Mgmt	For	For	For	
4	Elect Brian Kruger	Mgmt	For	For	For	
5	Equity Grant (MD/CEO Jeanne Johns)	Mgmt	For	For	For	
6	Remuneration Report	Mgmt	For	For	For	
IOI Corporation Berhad						
Ticker	Security ID:	Meeting Date		Meeting Status		
IOICORP	CINS Y41763106	10/30/2017		Voted		
Meeting Type		Country of Trade				
Annual		Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect LEE Cheng Leang	Mgmt	For	For	For	
2	Elect LEE Yeow Seng	Mgmt	For	Against	Against	
3	Elect Rahamat Bivi Binti Yusoff	Mgmt	For	For	For	
4	Elect LEE Shin Cheng	Mgmt	For	For	For	
5	Elect Peter CHIN Fah Kui	Mgmt	For	Against	Against	
6	Directors' Fees	Mgmt	For	For	For	
7	Directors' Benefits	Mgmt	For	For	For	
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
10	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For	
11	Mandate for Recurrent Related Party Transactions	Mgmt	For	For	For	
IOI Corporation Berhad						
Ticker	Security ID:	Meeting Date		Meeting Status		
IOICORP	CINS Y41763106	12/04/2017		Voted		
Meeting Type		Country of Trade				
Special		Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Disposal	Mgmt	For	For	For	
Israel Chemicals Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
ICL	CUSIP M5920A109	08/02/2017		Voted		

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Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Equity Grant of Executive Chair	Mgmt	For	For	For

Israel Chemicals Ltd.		Meeting Date		Meeting Status	
Ticker Security ID:		09/14/2017		Voted	
ICL CUSIP M5920A109					
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Liability Insurance of Directors/Officers	Mgmt	For	For	For
2	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
3	Confirmation of No Personal Interest	Mgmt	N/A	For	N/A

K + S AG		Meeting Date		Meeting Status	
Ticker Security ID:		05/15/2018		Voted	
SDF CINS D48164129					
Meeting Type		Country of Trade			
Annual		Germany			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Amendments to Remuneration Policy	Mgmt	For	For	For
8	Ratification of Management Board Acts	Mgmt	For	For	For
9	Ratification of Supervisory Board Acts	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Elect Jella S. Benner-Heinacher	Mgmt	For	For	For
12	Elect Elke Eller	Mgmt	For	For	For
13	Elect Gerd Grimmig	Mgmt	For	For	For
14	Elect Nevin McDougall	Mgmt	For	For	For

Kernel Holding SA		Meeting Date		Meeting Status	
Ticker Security ID:		12/11/2017		Take No Action	
KER CINS L5829P109					
Meeting Type		Country of Trade			
Annual		Luxembourg			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	TNA	N/A

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2	Consolidated Accounts and Reports	Mgmt	For	TNA	N/A
3	Unconsolidated Accounts and Reports	Mgmt	For	TNA	N/A
4	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Elect Andrzej Danilczuk	Mgmt	For	TNA	N/A
7	Elect Nathalie Bachich	Mgmt	For	TNA	N/A
8	Elect Sergei Shibaev	Mgmt	For	TNA	N/A
9	Elect Anastasiia Usachova	Mgmt	For	TNA	N/A
10	Elect Yuriy Kovalchuk	Mgmt	For	TNA	N/A
11	Resignation of Director	Mgmt	For	TNA	N/A
12	Elect Victoriia Lukyanenko	Mgmt	For	TNA	N/A
13	Elect Yevgen Osypov	Mgmt	For	TNA	N/A
14	Independent Directors' Fees	Mgmt	For	TNA	N/A
15	Executive Directors' Fees	Mgmt	For	TNA	N/A
16	Ratification of Auditor's Acts	Mgmt	For	TNA	N/A
17	Appointment of Auditor	Mgmt	For	TNA	N/A

Komipharm International Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
041960	CINS Y4934W105	03/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Amendments to Articles	Mgmt	For	Against	Against
3	Elect LEE Ko Sung	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Corporate Auditors' Fees	Mgmt	For	For	For

Kuala Lumpur Kepong Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
KLK	CINS Y47153104	02/13/2018	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect LEE Oi Hian	Mgmt	For	For	For
3	Elect Azlan Zainol	Mgmt	For	For	For
4	Elect Anne Rodrigues	Mgmt	For	For	For
5	Elect Raja Muhammad Alias bin Raja Muhammad Ali	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For

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7	Directors' Benefits	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Dividend Reinvestment Plan	Mgmt	For	For	For
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Authority to Issue Shares under the Dividend Reinvestment Plan	Mgmt	For	For	For

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Kubota Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
6326	CINS J36662138	03/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Masatoshi Kimata	Mgmt	For	For	For
3	Elect Toshihiro Kubo	Mgmt	For	For	For
4	Elect Shigeru Kimura	Mgmt	For	For	For
5	Elect Kenshiroh Ogawa	Mgmt	For	For	For
6	Elect Yuichi Kitao	Mgmt	For	For	For
7	Elect Masato Yoshikawa	Mgmt	For	For	For
8	Elect Shinji Sasaki	Mgmt	For	For	For
9	Elect Yuzuru Matsuda	Mgmt	For	For	For
10	Elect Kohichi Ina	Mgmt	For	For	For
11	Elect Yutaroh Shintaku	Mgmt	For	For	For
12	Elect Toshikazu Fukuyama	Mgmt	For	For	For
13	Elect Yasuhiko Hiyama	Mgmt	For	For	For
14	Elect Masaki Fujiwara	Mgmt	For	For	For
15	Directors' Fees	Mgmt	For	For	For
16	Bonus	Mgmt	For	For	For

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Leroy Seafood Group

Ticker	Security ID:	Meeting Date	Meeting Status		
LSG	CINS R4279D108	05/23/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
6	Agenda	Mgmt	For	TNA	N/A
7	Remuneration Guidelines (Advisory)	Mgmt	For	TNA	N/A
8	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A

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9	Directors' Fees	Mgmt	For	TNA	N/A
10	Nomination Committee Fees	Mgmt	For	TNA	N/A
11	Audit Committee Fees	Mgmt	For	TNA	N/A
12	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
13	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
14	Elect Helge Singelstad	Mgmt	For	TNA	N/A
15	Elect Arne Mogster	Mgmt	For	TNA	N/A
16	Elect Siri Lill Mannes	Mgmt	For	TNA	N/A
17	Elect Helge Mogster	Mgmt	For	TNA	N/A
18	Elect Benedicte Schilbred Fasmer	Mgmt	For	TNA	N/A
19	Elect Aksel Linchausen	Mgmt	For	TNA	N/A
20	Amendments to Articles	Mgmt	For	TNA	N/A
21	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
22	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	TNA	N/A
23	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Marine Harvest ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
MHG	CINS R2326D113	01/15/2018	Take No Action		
Meeting Type	Country of Trade				
Special	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Election of Presiding Chair	Mgmt	For	TNA	N/A
5	Minutes	Mgmt	For	TNA	N/A
6	Agenda	Mgmt	For	TNA	N/A
7	Elect Kristian Melhuus	Mgmt	For	TNA	N/A
8	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Marine Harvest ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
MHG	CINS R2326D113	05/30/2018	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Election of Presiding Chair;	Mgmt	For	TNA	N/A
	Minutes				
5	Agenda	Mgmt	For	TNA	N/A
6	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
7	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
8	Non-Voting Agenda Item	N/A	N/A	TNA	N/A

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9	Remuneration Report (Advisory)	Mgmt	For	TNA	N/A
10	Remuneration Guidelines (Binding)	Mgmt	For	TNA	N/A
11	Directors' Fees	Mgmt	For	TNA	N/A
12	Nomination Committee Fees	Mgmt	For	TNA	N/A
13	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
14	Elect Cecilie Fredriksen	Mgmt	For	TNA	N/A
15	Elect Birgitte Ringstad Vartdal	Mgmt	For	TNA	N/A
16	Elect Paul Mulligan	Mgmt	For	TNA	N/A
17	Elect Jean-Pierre Bienfait	Mgmt	For	TNA	N/A
18	Authority to Distribute Dividends	Mgmt	For	TNA	N/A
19	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
20	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	TNA	N/A
21	Authority to Issue Convertible Debt Instruments	Mgmt	For	TNA	N/A
22	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Monsanto Company

Ticker	Security ID:	Meeting Date	Meeting Status		
MON	CUSIP 61166W101	01/31/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	United States				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Elect Dwight M. Barns	Mgmt	For	For	For
2	Elect Gregory H. Boyce	Mgmt	For	For	For
3	Elect David L. Chicoine	Mgmt	For	For	For
4	Elect Janice L. Fields	Mgmt	For	For	For
5	Elect Hugh Grant	Mgmt	For	For	For
6	Elect Laura K. Ipsen	Mgmt	For	For	For
7	Elect Marcos Marinho Lutz	Mgmt	For	For	For
8	Elect C. Steven McMillan	Mgmt	For	For	For
9	Elect Jon R. Moeller	Mgmt	For	For	For
10	Elect George H. Poste	Mgmt	For	For	For
11	Elect Robert J. Stevens	Mgmt	For	For	For
12	Elect Patricia Verduin	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Shareholder Proposal Regarding Formation of Human Rights	ShrHoldr	Against	Against	For

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### Committee

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Mosaic Co.						
Ticker	Security ID:	Meeting Date		Meeting Status		
MOS	CUSIP 61945C103	05/10/2018		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Oscar Bernardes	Mgmt	For	For	For	
2	Elect Nancy E. Cooper	Mgmt	For	For	For	
3	Elect Gregory L. Ebel	Mgmt	For	For	For	
4	Elect Timothy S. Gitzel	Mgmt	For	For	For	
5	Elect Denise C. Johnson	Mgmt	For	For	For	
6	Elect Emery N. Koenig	Mgmt	For	For	For	
7	Elect Robert L. Lumpkins	Mgmt	For	For	For	
8	Elect William T. Monahan	Mgmt	For	For	For	
9	Elect James C. O'Rourke	Mgmt	For	For	For	
10	Elect David T. Seaton	Mgmt	For	For	For	
11	Elect Steven M. Seibert	Mgmt	For	For	For	
12	Elect Luciano Siani Pires	Mgmt	For	For	For	
13	Elect Kelvin R. Westbrook	Mgmt	For	For	For	
14	Ratification of Auditor	Mgmt	For	For	For	
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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Neogen Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
NEOG	CUSIP 640491106	10/05/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect James L. Herbert	Mgmt	For	For	For	
1.2	Elect G. Bruce Papesh	Mgmt	For	For	For	
1.3	Elect Thomas H. Reed	Mgmt	For	For	For	
1.4	Elect Darci L. Vetter	Mgmt	For	For	For	
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
3	Ratification of Auditor	Mgmt	For	For	For	
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	

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NH Foods Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
2282	CINS J4929Q102	06/26/2018		Voted		
Meeting Type		Country of Trade				
Annual		Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Yoshihide Hata	Mgmt	For	For	For	
2	Elect Kazunori Shinohara	Mgmt	For	For	For	
3	Elect Katsumi Inoue	Mgmt	For	For	For	
4	Elect Kohji Kawamura	Mgmt	For	For	For	

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5	Elect Hiroji Ohkoso	Mgmt	For	For	For
6	Elect Tetsuhiro Kitoh	Mgmt	For	For	For
7	Elect Hajime Takamatsu	Mgmt	For	For	For
8	Elect Iwao Taka	Mgmt	For	For	For
9	Elect Nobuhisa Ikawa	Mgmt	For	For	For
10	Elect Yasuko Kohno	Mgmt	For	For	For

Nippon Suisan Kaisha Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
1332	CINS J56042104	06/27/2018	Voted
Meeting Type	Country of Trade		
Annual	Japan		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Norio Hosomi	Mgmt	For	Against	Against
3	Elect Akiyo Matono	Mgmt	For	For	For
4	Elect Yohichi Sekiguchi	Mgmt	For	For	For
5	Elect Shinya Yamamoto	Mgmt	For	For	For
6	Elect Shingo Hamada	Mgmt	For	For	For
7	Elect Seiji Takahashi	Mgmt	For	For	For
8	Elect Kazuo Ohki	Mgmt	For	For	For
9	Elect Keisuke Yokoo	Mgmt	For	For	For
10	Performance-Linked Equity Compensation Plan	Mgmt	For	For	For

Nufarm Limited

Ticker	Security ID:	Meeting Date	Meeting Status
NUF	CINS Q7007B105	12/07/2017	Voted
Meeting Type	Country of Trade		
Annual	Australia		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Anne Brennan	Mgmt	For	For	For
4	Re-elect Gordon Davis	Mgmt	For	For	For
5	Re-elect Peter Margin	Mgmt	For	For	For
6	Elect Marie McDonald	Mgmt	For	For	For
7	Equity Grant (MD/CEO Greg Hunt)	Mgmt	For	For	For
8	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For

OCI N.V.

Ticker	Security ID:	Meeting Date	Meeting Status
OCI	CINS N6667A111	05/24/2018	Voted
Meeting Type	Country of Trade		
Annual	Netherlands		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Accounts and Reports; Allocation of Losses	Mgmt	For	For	For

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7	Ratification of Executive Directors Acts	Mgmt	For	For	For
8	Ratification of Non-Executive Directors Acts	Mgmt	For	For	For
9	Elect Nassef Sawiris to the Board of Directors	Mgmt	For	For	For
10	Elect Hassan Badrawi to the Board of Directors	Mgmt	For	For	For
11	Elect Anja Montijn to the Board of Directors	Mgmt	For	For	For
12	Elect Sipko N. Schat to the Board of Directors	Mgmt	For	For	For
13	Elect Jerome Guiraud to the Board of Directors	Mgmt	For	For	For
14	Elect Robert Jan van de Kraats to the Board of Directors	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Authority to Suppress Preemptive Rights	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Appointment of Auditor	Mgmt	For	For	For
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	07/05/2017	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Undistributed Profit/Dividends	Mgmt	For	For	For
2	Board Size	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	10/02/2017	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Early Termination of Board Powers	Mgmt	For	For	For
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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3	Elect Igor D. Antoshin	Mgmt	N/A	Abstain	N/A
4	Elect Andrey A. Guryev	Mgmt	N/A	Abstain	N/A
5	Elect Andrey G. Guryev	Mgmt	N/A	Abstain	N/A
6	Elect Yury N. Krugovygh	Mgmt	N/A	Abstain	N/A
7	Elect Sven Ombudstvedt	Mgmt	N/A	For	N/A
8	Elect Roman V. Osipov	Mgmt	N/A	Abstain	N/A
9	Elect Nataliya Pashkevich	Mgmt	N/A	For	N/A
10	Elect James B. Rogers	Mgmt	N/A	For	N/A
11	Elect Ivan I. Rodionov	Mgmt	N/A	Abstain	N/A
12	Elect Marcus J. Rhodes	Mgmt	N/A	For	N/A
13	Elect Mikhail K. Rybnikov	Mgmt	N/A	Abstain	N/A
14	Elect Alexander F. Sharabaiko	Mgmt	N/A	Abstain	N/A
15	Elect Andrey V. Sharonov	Mgmt	N/A	For	N/A
16	Directors' Fees	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For
18	Amendments to General Meeting Regulations	Mgmt	For	For	For
19	Allocation of Retained Earnings/Dividends	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PhosAgro PJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	02/26/2018	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Early Termination of Board Powers	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Elect Irina G. Bokova	Mgmt	N/A	For	N/A
5	Elect Andrey A. Guryev	Mgmt	N/A	Abstain	N/A
6	Elect Andrey G. Guryev	Mgmt	N/A	Abstain	N/A
7	Elect Yury N. Krugovygh	Mgmt	N/A	Abstain	N/A
8	Elect Sven Ombudstvedt	Mgmt	N/A	For	N/A
9	Elect Roman V. Osipov	Mgmt	N/A	Abstain	N/A
10	Elect Nataliya Pashkevich	Mgmt	N/A	For	N/A
11	Elect James B. Rogers	Mgmt	N/A	For	N/A
12	Elect Ivan I. Rodionov	Mgmt	N/A	Abstain	N/A
13	Elect Marcus J. Rhodes	Mgmt	N/A	For	N/A
14	Elect Mikhail K. Rybnikov	Mgmt	N/A	Abstain	N/A
15	Elect Alexander F. Sharabaika	Mgmt	N/A	Abstain	N/A
16	Elect Andrey V. Sharonov	Mgmt	N/A	For	N/A
17	Directors' Fees	Mgmt	For	For	For
18	Dividends	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PhosAgro PJSC

Ticker	Security ID:	Meeting Date	Meeting Status
PHOR	CINS 71922G209	05/30/2018	Voted

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Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Elect Irina G. Bokova	Mgmt	N/A	For	N/A
6	Elect Andrey A. Guryev	Mgmt	N/A	Abstain	N/A
7	Elect Andrey G. Guryev	Mgmt	N/A	Abstain	N/A
8	Elect Sven Ombudstvedt	Mgmt	N/A	Abstain	N/A
9	Elect Roman V. Osipov	Mgmt	N/A	Abstain	N/A
10	Elect Nataliya V. Pashkevich	Mgmt	N/A	For	N/A
11	Elect Sergey A. Pronin	Mgmt	N/A	Abstain	N/A
12	Elect James B. Rogers, Jr.	Mgmt	N/A	For	N/A
13	Elect Ivan I. Rodionov	Mgmt	N/A	Abstain	N/A
14	Elect Xavier Rolet	Mgmt	N/A	For	N/A
15	Elect Marcus J. Rhodes	Mgmt	N/A	Abstain	N/A
16	Elect Mikhail K. Rybnikov	Mgmt	N/A	Abstain	N/A
17	Elect Alexander F. Sharabaiko	Mgmt	N/A	Abstain	N/A
18	Elect Andrey V. Sharonov	Mgmt	N/A	For	N/A
19	Directors' Fees	Mgmt	For	For	For
20	Elect Ekaterina V. Viktorova	Mgmt	For	For	For
21	Elect Elena Yu. Kryuchkova	Mgmt	For	For	For
22	Elect Olga Yu. Lizunova	Mgmt	For	For	For
23	Appointment of Auditor	Mgmt	For	For	For
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Pilgrim's Pride Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
PPC	CUSIP 72147K108	05/10/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Gilberto Tomazoni	Mgmt	For	Withhold	Against
1.2	Elect Denilson Molina	Mgmt	For	For	For
1.3	Elect Wallim Cruz de Vasconcellos Junior	Mgmt	For	For	For
1.4	Elect William W. Lovette	Mgmt	For	For	For
1.5	Elect Andre Nogueira de Souza	Mgmt	For	For	For
1.6	Elect David E. Bell	Mgmt	For	For	For
1.7	Elect Michael L. Cooper	Mgmt	For	For	For
1.8	Elect Charles Macaluso	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Shareholder Proposal	ShrHolder	Against	Against	For

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	Regarding Water Stewardship Policy					
5	Shareholder Proposal Regarding Board Diversity	ShrHoldr	Against	For	Against	

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Platform Specialty Products Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
PAH	CUSIP 72766Q105	06/25/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Martin E. Franklin	Mgmt	For	For	For
2	Elect Rakesh Sachdev	Mgmt	For	For	For
3	Elect Ian G.H. Ashken	Mgmt	For	Against	Against
4	Elect Michael F. Goss	Mgmt	For	For	For
5	Elect Ryan Israel	Mgmt	For	For	For
6	Elect E. Stanley O'Neal	Mgmt	For	For	For
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For

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PPB Group Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
PPB	CINS Y70879104	05/15/2018	Voted		
Meeting Type		Country of Trade			
Annual		Malaysia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Directors' Fees for FY 2017	Mgmt	For	For	For
3	Directors' Benefits for Fiscal Year 2018-19	Mgmt	For	For	For
4	Elect ONG Hung Hock	Mgmt	For	Against	Against
5	Elect SOH Chin Teck	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Retention of Ahmad Sufian bin Abdul Rashid as Independent Director	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
9	Related Party Transactions with PGeo Group Sdn Bhd	Mgmt	For	For	For
10	Related Party Transactions with Kuok Brothers Sdn Bhd	Mgmt	For	For	For
11	Authority to Repurchase and	Mgmt	For	For	For

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Reissue  
Shares

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PT Charoen Pokphand Indonesia Tbk		Meeting Date		Meeting Status	
Ticker	Security ID:	05/23/2018		Voted	
CPIN	CINS Y71207164	Country of Trade			
Meeting Type		Indonesia			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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Rumo S.A.		Meeting Date		Meeting Status	
Ticker	Security ID:	04/20/2018		Voted	
RAIL3	CINS P8S114104	Country of Trade			
Meeting Type		Brazil			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Supervisory Council Size	Mgmt	For	For	For
5	Elect Luiz Carlos Nannini as Supervisory Council member	Mgmt	For	For	For
6	Elect Thiago Costa Jacinto as Supervisory Council member	Mgmt	For	For	For
7	Elect Marcelo Curti as Supervisory Council member	Mgmt	Against	For	Against
8	Elect Francisco Silverio Morales Cespede as Supervisory Council member	Mgmt	Against	For	Against
9	Elect Cristina Anne Betts as Supervisory Council member	Mgmt	For	For	For
10	Elect Supervisory Council Chair	Mgmt	For	For	For
11	Remuneration Policy	Mgmt	For	Against	Against
12	Supervisory Council Fees	Mgmt	For	For	For
13	Instructions if Meeting is Held on Second	Mgmt	For	Against	Against

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14	Call Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
Rumo S.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
RAIL3	CINS P8S114104	04/20/2018		Voted		
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Ratify Updated Global Remuneration Amount	Mgmt	For	Against	Against	
4	Merger Agreement (Brado Holding S.A.; Rumo Malha Norte Holding Ltda.; Tezza Consultoria de Negocios Ltda.)	Mgmt	For	For	For	
5	Ratification of Appointment of Appraiser	Mgmt	For	For	For	
6	Valuation Report (Brado Holding S.A.; Rumo Malha Norte Holding Ltda.; Tezza Consultoria de Negocios Ltda.)	Mgmt	For	For	For	
7	Mergers by Absorption (Brado Holding S.A.; Rumo Malha Norte Holding Ltda.; Tezza Consultoria de Negocios Ltda.)	Mgmt	For	For	For	
8	Authorization of Legal Formalities	Mgmt	For	For	For	
9	Instructions if Meeting is Held on Second Call	Mgmt	For	For	For	
Rumo S.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
RAIL3	CINS P8S114104	09/21/2017		Voted		
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Increase in Authorised Capital	Mgmt	For	Against	Against	
4	Consolidation of Articles	Mgmt	For	Against	Against	
5	Elect Sameh Fahmy	Mgmt	For	For	For	

Salmar Asa

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Ticker	Security ID:	Meeting Date	Meeting Status		
SALM	CINS R7445C102	06/05/2018	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Election of Presiding Chair; Minutes	Mgmt	For	TNA	N/A
5	Voting List; Agenda	Mgmt	For	TNA	N/A
6	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
7	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
8	Directors' Fees	Mgmt	For	TNA	N/A
9	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
10	Corporate Governance Report	Mgmt	For	TNA	N/A
11	Long-Term Incentive Plan	Mgmt	For	TNA	N/A
12	Remuneration Guidelines (Advisory)	Mgmt	For	TNA	N/A
13	Remuneration Guidelines (Binding)	Mgmt	For	TNA	N/A
14	Elect Trine Danielsen	Mgmt	For	TNA	N/A
15	Elect Kjell A. Storeide	Mgmt	For	TNA	N/A
16	Elect Helge Moen	Mgmt	For	TNA	N/A
17	Elect Gustav M. Witzoe as Deputy director	Mgmt	For	TNA	N/A
18	Elect Endre Kolbjornsen	Mgmt	For	TNA	N/A
19	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	TNA	N/A
20	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
21	Authority to Issue Convertible Debt Instruments	Mgmt	For	TNA	N/A
22	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Sanderson Farms, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SAFM	CUSIP 800013104	02/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John H. Baker, III	Mgmt	For	For	For
1.2	Elect John Bierbusse	Mgmt	For	For	For
1.3	Elect Mike Cockrell	Mgmt	For	Withhold	Against
1.4	Elect Suzanne T.	Mgmt	For	For	For

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2	Mestayer Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Shareholder Proposal Regarding Use of Antibiotics	ShrHoldr	Against	For	Against
5	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

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Schow & Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
SCHO	CINS K86111166	04/12/2018	Voted		
Meeting Type	Country of Trade				
Annual	Denmark				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Accounts and Reports; Ratification of Board and Management Acts	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Elect Jorn Ank?r Thomsen	Mgmt	For	Against	Against
11	Elect Kenneth Skov Eskildsen	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sirius Minerals Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
SXX	CINS G6768Q104	05/31/2018	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Policy (Binding)	Mgmt	For	Against	Against
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Elect Russell Scrimshaw	Mgmt	For	For	For
5	Elect Chris Fraser	Mgmt	For	For	For
6	Elect Thomas Staley	Mgmt	For	For	For
7	Elect Elizabeth Noel Harwerth	Mgmt	For	For	For
8	Elect Keith Clarke	Mgmt	For	For	For
9	Elect Louise Hardy	Mgmt	For	For	For
10	Elect John Hutton	Mgmt	For	For	For
11	Elect Jane Lodge	Mgmt	For	For	For

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12	Appointment of Auditor	Mgmt	For	For	For
13	Authority to Set Auditor's Fees	Mgmt	For	For	For
14	Authorisation of Political Donations	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights (Specified capital investment)	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sociedad Quimica y Minera de Chile SA - SQM

Ticker	Security ID:	Meeting Date	Meeting Status		
SQM-A	CUSIP 833635105	04/27/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor and Risk Rating Agencies; Appointment of Account Inspectors	Mgmt	For	For	For
3	Presentation of Report on Related Party Transactions	Mgmt	For	For	For
4	Investment and Finance Policy	Mgmt	For	For	For
5	Allocation of Profits/Dividends; Special Dividend; Dividend Policy	Mgmt	For	For	For
6	Board of Director's Expenses	Mgmt	For	Abstain	Against
7	Election of Directors	Mgmt	For	Abstain	Against
8	Elect Laurence Golborne Riveros	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	Against	Against
10	Matters Regarding the Director's Committee	Mgmt	For	Abstain	Against
11	Transaction of Other Business	Mgmt	N/A	Against	N/A

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12	Amendments to Article 27	Mgmt	For	For	For
13	Amendments to Article 27 bis	Mgmt	For	Against	Against
14	Amendments to Article 28	Mgmt	For	For	For
15	Amendments to Article 29	Mgmt	For	For	For
16	Amendments to Article 36	Mgmt	For	For	For
17	Amendments to Article 36 bis	Mgmt	For	For	For
18	Amendments to Article 41	Mgmt	For	For	For
19	Amendments to Titles	Mgmt	For	For	For
20	Authorization of Legal Formalities	Mgmt	For	For	For

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Sociedad Quimica y Minera de Chile SA - SQM

Ticker Security ID: Meeting Date Meeting Status  
SQM-A CUSIP 833635105 05/17/2018 Voted

Meeting Type Country of Trade  
Special United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Article 27	Mgmt	For	For	For
2	Amendments to Article 28	Mgmt	For	For	For
3	Amendments to Article 29	Mgmt	For	For	For
4	Amendments to Article 36	Mgmt	For	For	For
5	Amendments to Article 36 Bis	Mgmt	For	For	For
6	Amendments to Article 41	Mgmt	For	For	For
7	Amendments to Transitory Dispositions	Mgmt	For	For	For
8	Authorization of Legal Formalities	Mgmt	For	For	For

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Taiwan Fertilizer Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
1722 CINS Y84171100 06/29/2018 Voted

Meeting Type Country of Trade  
Annual Taiwan

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Allocation of Dividends from Capital Reserve	Mgmt	For	For	For
4	Amendments to Procedural Rules:	Mgmt	For	For	For



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1.2	Elect Michael J. Anderson	Mgmt	For	For	For
1.3	Elect Gerard M Anderson	Mgmt	For	For	For
1.4	Elect Catherine M. Kilbane	Mgmt	For	For	For
1.5	Elect Robert J. King, Jr.	Mgmt	For	For	For
1.6	Elect Ross W. Manire	Mgmt	For	For	For
1.7	Elect Patrick S. Mullin	Mgmt	For	For	For
1.8	Elect John T. Stout, Jr.	Mgmt	For	For	For
1.9	Elect Jacqueline F. Woods	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

The Toro Company

Ticker	Security ID:	Meeting Date	Meeting Status		
TTC	CUSIP 891092108	03/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Janet K. Cooper	Mgmt	For	For	For
1.2	Elect Gary L. Ellis	Mgmt	For	For	For
1.3	Elect Gregg W. Steinhafel	Mgmt	For	For	For
1.4	Elect Michael G. Vale	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Tongaat Hulett Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TON	CINS S85920130	08/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Stephen Beesley	Mgmt	For	For	For
3	Re-elect Fatima Jakoet	Mgmt	For	For	For
4	Re-elect Thandeka N. Mgoduso	Mgmt	For	For	For
5	Elect Audit & Compliance Committee Member (Jenitha John)	Mgmt	For	For	For
6	Elect Audit & Compliance Committee Member (Stephen Beesley)	Mgmt	For	For	For
7	Elect Audit & Compliance Committee Member (Fatima Jakoet)	Mgmt	For	For	For
8	Elect Audit & Compliance Committee	Mgmt	For	For	For

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	Member (Rachel P. Kupara)				
9	Authority to Repurchase Shares	Mgmt	For	For	For
10	Authorisation of Legal Formalities	Mgmt	For	For	For
11	General Authority to Issue Shares	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Approve Remuneration Policy	Mgmt	For	For	For

Tractor Supply Company						
Ticker	Security ID:	Meeting Date	Meeting Status			
TSCO	CUSIP 892356106	05/10/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1.1	Elect Cynthia T. Jamison	Mgmt	For		For	For
1.2	Elect Peter D. Bewley	Mgmt	For		For	For
1.3	Elect Denise L. Jackson	Mgmt	For		For	For
1.4	Elect Thomas A. Kingsbury	Mgmt	For		For	For
1.5	Elect Ramkumar Krishnan	Mgmt	For		For	For
1.6	Elect George MacKenzie	Mgmt	For		For	For
1.7	Elect Edna K. Morris	Mgmt	For		For	For
1.8	Elect Mark J. Weikel	Mgmt	For		For	For
1.9	Elect Gregory A. Sandfort	Mgmt	For		For	For
2	Ratification of Auditor	Mgmt	For		For	For
3	Advisory Vote on Executive Compensation	Mgmt	For		For	For
4	Approval of the 2018 Omnibus Incentive Plan	Mgmt	For		For	For

Treasury Wine Estates Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
TWE	CINS Q9194S107	10/18/2017	Voted			
Meeting Type		Country of Trade				
Annual		Australia				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
2	Re-elect Garry A. Hounsell	Mgmt	For		For	For
3	REMUNERATION REPORT	Mgmt	For		For	For
4	Equity Grant (MD/CEO Michael Clarke)	Mgmt	For		Against	Against

Tyson Foods, Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status		
TSN	CUSIP 902494103	02/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John H. Tyson	Mgmt	For	For	For
2	Elect Gaurdie E. Banister, Jr.	Mgmt	For	For	For
3	Elect Dean Banks	Mgmt	For	For	For
4	Elect Mike D. Beebe	Mgmt	For	Against	Against
5	Elect Mikel A. Durham	Mgmt	For	Against	Against
6	Elect Tom Hayes	Mgmt	For	For	For
7	Elect Kevin M. McNamara	Mgmt	For	For	For
8	Elect Cheryl S. Miller	Mgmt	For	For	For
9	Elect Jeffrey K. Schomburger	Mgmt	For	For	For
10	Elect Robert Thurber	Mgmt	For	Against	Against
11	Elect Barbara A. Tyson	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Amendment to the 2000 Stock Incentive Plan	Mgmt	For	For	For
14	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
15	Shareholder Proposal Regarding Water Stewardship Policy	ShrHoldr	Against	For	Against

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### Wilmar International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
F34	CINS Y9586L109	04/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Elect Martua Sitorus	Mgmt	For	For	For
6	Elect KUOK Khoon Ean	Mgmt	For	For	For
7	Elect Juan R. Luciano	Mgmt	For	Against	Against
8	Elect LIM Siong Guan	Mgmt	For	For	For
9	Elect SHAN Weijian	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
12	Authority to Grant Options and Issue and Allot Shares Under Wilmar Executives Share	Mgmt	For	Against	Against

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	Option Scheme 2009				
13	Related Party Transactions	Mgmt	For	Against	Against
14	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For

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Yara International ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
YAR	CINS R9900C106	05/08/2018	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Opening of Meeting; Agenda	Mgmt	For	TNA	N/A
5	Election of Presiding Chair; Minutes	Mgmt	For	TNA	N/A
6	Accounts and Reports; Allocation of Profit and Dividend	Mgmt	For	TNA	N/A
7	Remuneration Guidelines	Mgmt	For	TNA	N/A
8	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
9	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
10	Directors' Fees	Mgmt	For	TNA	N/A
11	Election of Directors	Mgmt	For	TNA	N/A
12	Election of Nomination Committee	Mgmt	For	TNA	N/A
13	Nomination Committee Fees	Mgmt	For	TNA	N/A
14	Nomination Committee Procedure	Mgmt	For	TNA	N/A
15	Amendments to Articles of Association	Mgmt	For	TNA	N/A
16	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
17	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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Zoetis Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ZTS	CUSIP 98978V103	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Sanjay Khosla	Mgmt	For	For	For
2	Elect Willie M. Reed	Mgmt	For	For	For
3	Elect Linda Rhodes	Mgmt	For	For	For
4	Elect William C. Steere, Jr.	Mgmt	For	For	For

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5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For
Fund Name : VanEck Vectors BDC Income ETF					

Apollo Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AINV	CUSIP 03761U106	08/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jeanette Loeb	Mgmt	For	For	For
1.2	Elect Frank C. Puleo	Mgmt	For	For	For
1.3	Elect Carl Spielvogel	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

Ares Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ARCC	CUSIP 04010L103	05/14/2018	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Sell Shares Below Net Asset Value	Mgmt	For	For	For

Ares Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ARCC	CUSIP 04010L103	05/14/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Steve Barlett	Mgmt	For	For	For
2	Elect Robert L. Rosen	Mgmt	For	Against	Against
3	Elect Bennett Rosenthal	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

BlackRock Capital Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
BKCC	CUSIP 092533108	05/04/2018	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Common Stock at a Discount to NAV	Mgmt	For	For	For

BlackRock Capital Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
BKCC	CUSIP 092533108	05/11/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael Zugay	Mgmt	For	Withhold	Against



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Gladstone Capital Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
GLAD	CUSIP 376535100	02/08/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Terry Lee Brubaker	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	

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Gladstone Investment Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
GAIN	CUSIP 376546107	08/24/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Anthony W. Parker	Mgmt	For	For	For	
2	Authority to Issue Common Stock Below NAV	Mgmt	For	For	For	

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Goldman Sachs BDC, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
GSBD	CUSIP 38147U107	06/15/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Susan B. McGee	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	
3	Reduce Minimum Asset Coverage Ratio	Mgmt	For	For	For	

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Golub Capital BDC, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
GBDC	CUSIP 38173M102	02/06/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect John T. Baily	Mgmt	For	For	For	
2	Elect Kenneth F. Bernstein	Mgmt	For	For	For	
3	Ratification of Auditor	Mgmt	For	For	For	

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Hercules Capital, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
HTGC	CUSIP 427096508	06/28/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Thomas J. Fallon	Mgmt	For	For	For	
1.2	Elect Bradford C. Koenig	Mgmt	For	For	For	
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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3	Amendment to the 2004 Equity Incentive Plan	Mgmt	For	For	For
4	Approval of the 2018 Director Plan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

Hercules Capital, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HTGC	CUSIP 427096508	12/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert P. Badavas	Mgmt	For	For	For
1.2	Elect Jorge Titinger	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Main Street Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MAIN	CUSIP 56035L104	04/30/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael Appling, Jr.	Mgmt	For	For	For
2	Elect Valerie L. Banner	Mgmt	For	For	For
3	Elect Joseph E. Canon	Mgmt	For	For	For
4	Elect Arthur L. French	Mgmt	For	For	For
5	Elect J. Kevin Griffin	Mgmt	For	For	For
6	Elect John E. Jackson	Mgmt	For	For	For
7	Elect Brian E. Lane	Mgmt	For	For	For
8	Elect Stephen B. Solcher	Mgmt	For	For	For
9	Elect Vincent D. Foster	Mgmt	For	For	For
10	Elect Dwayne L. Hyzak	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Amendment Regarding Shareholders Ability to Amend Bylaws	Mgmt	For	For	For

Medley Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MCC	CUSIP 58503F106	02/13/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jeff Tonkel	Mgmt	For	Withhold	Against
1.2	Elect Mark Lerdal	Mgmt	For	For	For

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2	Ratification of Auditor	Mgmt	For	For	For
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Monroe Capital Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
MRCC	CUSIP 610335101	06/21/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Theodore L. Koenig	Mgmt	For	For	For
1.2	Elect Jeffrey D. Steele	Mgmt	For	Withhold	Against
2	Issuance of Common Stock Below Net Asset Value	Mgmt	For	For	For
3	Reduce Minimum Asset Coverage Ratio	Mgmt	For	For	For
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New Mountain Finance Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
NMFC	CUSIP 647551100	04/26/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David Ogens	Mgmt	For	For	For
1.2	Elect Adam B. Weinstein	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
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New Mountain Finance Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
NMFC	CUSIP 647551100	06/08/2018	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reduce Minimum Asset Coverage Ratio from 200% to 150%	Mgmt	For	For	For
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Newtek Business Services Corp.					
Ticker	Security ID:	Meeting Date	Meeting Status		
NEWT	CUSIP 652526203	06/14/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Barry Sloane	Mgmt	For	For	For
1.2	Elect Peter Downs	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
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Newtek Business Services Corp.					
Ticker	Security ID:	Meeting Date	Meeting Status		
NEWT	CUSIP 652526203	08/11/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Issuance of Common Stock	Mgmt	For	For	Mgmt For
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Oaktree Specialty Lending Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
OCSL	CUSIP 67401P108	04/06/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Marc H. Gamsin	Mgmt	For	For	For
1.2	Elect Craig A. Jacobson	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
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PennantPark Companies					
Ticker	Security ID:	Meeting Date	Meeting Status		
PFLT	CUSIP 708062104	02/06/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Marshall Brozost	Mgmt	For	Withhold	Against
1.2	Elect Samuel L. Katz	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
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PennantPark Companies					
Ticker	Security ID:	Meeting Date	Meeting Status		
PNNT	CUSIP 708062104	03/14/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Adam K. Bernstein	Mgmt	For	Withhold	Against
1.2	Elect Jeffrey Flug	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
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Prospect Capital Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
PSEC	CUSIP 74348T102	12/12/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect William J. Gremp	Mgmt	For	For	For
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TCG BDC Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
CGBD	CUSIP 872280102	06/06/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Eliot P.S. Merrill	Mgmt	For	For	For
1.2	Elect Nigel D. T. Andrews	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Minimum Asset Coverage Ratio of 150%	Mgmt	For	For	For

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TCP Capital Corp					
Ticker	Security ID:	Meeting Date	Meeting Status		
TCPC	CUSIP 87238Q103	06/19/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Kathleen A. Corbet	Mgmt	For	For	For
1.2	Elect Eric J. Draut	Mgmt	For	For	For
1.3	Elect M. Freddie Reiss	Mgmt	For	Withhold	Against
1.4	Elect Peter E. Schwab	Mgmt	For	For	For
1.5	Elect Brian F. Wruble	Mgmt	For	For	For
1.6	Elect Howard M. Levkowitz	Mgmt	For	For	For
1.7	Elect Rajneesh Vig	Mgmt	For	For	For
2	Approval of a new investment advisory agreement between the Company and Tennenbaum Capital Partners, LLC (the "Advisor")	Mgmt	For	For	For
3	Approval of a New Investment Advisory Agreement Between SVCP and Tennenbaum Capital Partners, LLC	Mgmt	For	For	For
4	To Simplify the Company's Structure by Withdrawing SVCP's Election to be Regulated as a BDC Under the 1940 Act	Mgmt	For	For	For
5	Authority to Sell Shares Below Net Asset Value	Mgmt	For	For	For

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THL Credit, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
TCRD	CUSIP 872438106	06/07/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Christopher J. Flynn	Mgmt	For	For	For
1.2	Elect Edmund Giambastiani, Jr.	Mgmt	For	For	For
1.3	Elect Nancy Hawthorne	Mgmt	For	For	For
1.4	Elect James D. Kern	Mgmt	For	For	For
1.5	Elect Deborah McAneny	Mgmt	For	For	For
1.6	Elect Jane Musser Nelson	Mgmt	For	For	For
2	Authority to Issue Common Stock Below NAV	Mgmt	For	For	For
3	Authority to Issue	Mgmt	For	For	For

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	Warrants or Debt Convertible into Stock Below NAV				
4	Amendment Regarding Shareholder Ability to Amend Bylaws	Mgmt	For	For	For
5	Amendment Regarding Removal of Directors	Mgmt	For	For	For
6	Right to Adjourn Meeting	Mgmt	For	For	For

TICC Capital Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
TICC	CUSIP 87244T109	07/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Steven P. Novak	Mgmt	For	For	For
1.2	Elect Charles M. Royce	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Authority to Issue Shares at a Discount to NAV	Mgmt	For	For	For
4	Right to Adjourn Meeting	Mgmt	For	For	For

TPG Specialty Lending Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
TSLX	CUSIP 87265K102	05/17/2018	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Sell Shares Below Net Asset Value	Mgmt	For	For	For

TPG Specialty Lending Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
TSLX	CUSIP 87265K102	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard Higginbotham	Mgmt	For	Withhold	Against
1.2	Elect Ronald Tanemura	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

Triangle Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
TCAP	CUSIP 895848109	05/02/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt



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	Vote on Executive Compensation				
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Approval of the 2018 Stock Option and Incentive Plan	Mgmt	For	For	For

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Allergan plc

Ticker	Security ID:	Meeting Date	Meeting Status		
AGN	CUSIP G0177J108	05/02/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Nesli Basgoz	Mgmt	For	For	For
2	Elect Paul M. Bisaro	Mgmt	For	For	For
3	Elect Joseph H. Boccuzzi	Mgmt	For	For	For
4	Elect Christopher W. Bodine	Mgmt	For	For	For
5	Elect Adriane M. Brown	Mgmt	For	For	For
6	Elect Christopher J. Coughlin	Mgmt	For	For	For
7	Elect Carol Anthony Davidson	Mgmt	For	For	For
8	Elect Catherine M. Klema	Mgmt	For	For	For
9	Elect Peter J. McDonnell	Mgmt	For	For	For
10	Elect Patrick J. O'Sullivan	Mgmt	For	For	For
11	Elect Brenton L. Saunders	Mgmt	For	For	For
12	Elect Fred G. Weiss	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
18	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

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Alnylam Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
ALNY	CUSIP 02043Q107	05/10/2018	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Dennis A. Ausiello	Mgmt	For	For	For
2	Elect John K. Clarke	Mgmt	For	For	For
3	Elect Marsha H. Fanucci	Mgmt	For	For	For
4	Elect David Pyott	Mgmt	For	For	For
5	Approval of the 2018 Stock Incentive Plan	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For

AMGEN Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AMGN	CUSIP 031162100	05/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Wanda M. Austin	Mgmt	For	For	For
2	Elect Robert A. Bradway	Mgmt	For	For	For
3	Elect Brian J. Druker	Mgmt	For	For	For
4	Elect Robert A. Eckert	Mgmt	For	For	For
5	Elect Greg C. Garland	Mgmt	For	For	For
6	Elect Fred Hassan	Mgmt	For	For	For
7	Elect Rebecca M. Henderson	Mgmt	For	For	For
8	Elect Frank C. Herring	Mgmt	For	For	For
9	Elect Charles M. Holley, Jr.	Mgmt	For	For	For
10	Elect Tyler Jacks	Mgmt	For	For	For
11	Elect Ellen J. Kullman	Mgmt	For	For	For
12	Elect Ronald D. Sugar	Mgmt	For	For	For
13	Elect R. Sanders Williams	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Report on Linking Executive Compensation to Drug Pricing	ShrHoldr	Against	Against	For

Biogen Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BIIB	CUSIP 09062X103	06/12/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alexander J. Denner	Mgmt	For	For	For
2	Elect Caroline D. Dorsa	Mgmt	For	For	For
3	Elect Nancy L. Leaming	Mgmt	For	For	For

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4	Elect Richard C. Mulligan	Mgmt	For	For	For
5	Elect Robert W. Pangia	Mgmt	For	For	For
6	Elect Stelios Papadopoulos	Mgmt	For	For	For
7	Elect Brian S. Posner	Mgmt	For	For	For
8	Elect Eric K. Rowinsky	Mgmt	For	For	For
9	Elect Lynn Schenk	Mgmt	For	For	For
10	Elect Stephen A. Sherwin	Mgmt	For	For	For
11	Elect Michel Vounatsos	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Shareholder Proposal Regarding Proxy Access Bylaw Amendment	ShrHolder	Against	Against	For
15	Shareholder Proposal Regarding Report on Linking Executive Compensation to Drug Pricing	ShrHolder	Against	Against	For

Biomarin Pharmaceutical Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
BMRN	CUSIP 09061G101	06/05/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
1.1	Elect Jean-Jacques Bienaime	Mgmt	For
1.2	Elect Willard H. Dere	Mgmt	For
1.3	Elect Michael Grey	Mgmt	For
1.4	Elect Elaine J. Heron	Mgmt	For
1.5	Elect Robert J. Hombach	Mgmt	For
1.6	Elect V. Bryan Lawlis	Mgmt	For
1.7	Elect Alan J. Lewis	Mgmt	For
1.8	Elect Richard A. Meier	Mgmt	For
1.9	Elect David E. I. Pyott	Mgmt	For
1.10	Elect Dennis J. Slamon	Mgmt	For
2	Ratification of Auditor	Mgmt	For
3	Advisory Vote on Executive Compensation	Mgmt	For

bluebird bio, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
BLUE	CUSIP 09609G100	06/20/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
1	Elect John O. Agwunobi	Mgmt	For
2	Elect Mary Lynne Hedley	Mgmt	For
3	Elect Daniel S. Lynch	Mgmt	For
4	Advisory Vote on Executive Compensation	Mgmt	For
5	Ratification of Auditor	Mgmt	For

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Celgene Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
CELG	CUSIP 151020104	06/13/2018	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Mark J. Alles	Mgmt	For	For	For	
1.2	Elect Richard W. Barker	Mgmt	For	For	For	
1.3	Elect Hans E. Bishop	Mgmt	For	For	For	
1.4	Elect Michael W. Bonney	Mgmt	For	For	For	
1.5	Elect Michael D. Casey	Mgmt	For	For	For	
1.6	Elect Carrie S. Cox	Mgmt	For	For	For	
1.7	Elect Michael A. Friedman	Mgmt	For	For	For	
1.8	Elect Julia A. Haller	Mgmt	For	For	For	
1.9	Elect Patricia Hemingway Hall	Mgmt	For	For	For	
1.10	Elect James J. Loughlin	Mgmt	For	For	For	
1.11	Elect Ernest Mario	Mgmt	For	For	For	
1.12	Elect John H. Weiland	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
4	Shareholder Proposal Regarding Amendment to Proxy Access Bylaw	ShrHoldr	Against	Against	For	
5	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against	

Charles River Laboratories International, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CRL	CUSIP 159864107	05/08/2018	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect James C. Foster	Mgmt	For	For	For	
2	Elect Robert J. Bertolini	Mgmt	For	For	For	
3	Elect Stephen D. Chubb	Mgmt	For	For	For	
4	Elect Deborah T. Kochevar	Mgmt	For	For	For	
5	Elect Martin Mackay	Mgmt	For	For	For	
6	Elect Jean-Paul Mangeolle	Mgmt	For	For	For	
7	Elect George E. Massaro	Mgmt	For	For	For	
8	Elect George M. Milne, Jr.	Mgmt	For	Against	Against	
9	Elect C. Richard Reese	Mgmt	For	For	For	
10	Elect Craig B. Thompson	Mgmt	For	For	For	
11	Elect Richard F. Wallman	Mgmt	For	For	For	
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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13	2018 Incentive Plan	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For

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Gilead Sciences, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GILD	CUSIP 375558103	05/09/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John F. Cogan	Mgmt	For	For	For
2	Elect Jacqueline K. Barton	Mgmt	For	For	For
3	Elect Kelly A. Kramer	Mgmt	For	For	For
4	Elect Kevin E. Lofton	Mgmt	For	For	For
5	Elect John C. Martin	Mgmt	For	For	For
6	Elect John F. Milligan	Mgmt	For	For	For
7	Elect Richard J. Whitley	Mgmt	For	For	For
8	Elect Gayle E. Wilson	Mgmt	For	For	For
9	Elect Per Wold-Olsen	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against
13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against

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Illumina, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ILMN	CUSIP 452327109	05/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jay T. Flatley	Mgmt	For	For	For
2	Elect John W. Thompson	Mgmt	For	For	For
3	Elect Gary S. Guthart	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Shareholder Proposal Regarding Declassification of the Board	ShrHoldr	Against	For	Against

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Incyte Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
INCY	CUSIP 45337C102	05/01/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Julian C. Baker	Mgmt	For	For	For

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2	Elect Jean-Jacques Bienaime	Mgmt	For	Against	Against
3	Elect Paul A. Brooke	Mgmt	For	For	For
4	Elect Paul J. Clancy	Mgmt	For	For	For
5	Elect Wendy L. Dixon	Mgmt	For	For	For
6	Elect Jacquelyn A. Fouse	Mgmt	For	For	For
7	Elect Paul A. Friedman	Mgmt	For	Against	Against
8	Elect Herve Hoppenot	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Amendment to the 2010 Stock Incentive Plan	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For

Ionis Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
IONS	CUSIP 462222100	05/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1.1	Elect Frederick T. Muto	Mgmt	For	Withhold	Against
1.2	Elect Breaux B. Castleman	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

IQVIA Holdings Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
IQV	CUSIP 46266C105	04/10/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Election of Directors	N/A	N/A	N/A	N/A
1.1	Elect Ari Bousbib	MGMT	For	For	For
1.2	Elect Collen A. Goggins	MGMT	For	For	For
1.3	Elect John M. Leonard	MGMT	For	Withhold	Against
1.4	Elect Todd B. Sisitsky	MGMT	For	For	For
2	Ratification of Auditor	MGMT	For	For	For

Nektar Therapeutics

Ticker	Security ID:	Meeting Date	Meeting Status		
NKTR	CUSIP 640268108	06/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Elect Jeff Ajer	Mgmt	For	For	For
2	Elect Robert B. Chess	Mgmt	For	For	For
3	Elect Roy A. Whitfield	Mgmt	For	For	For
4	Amendment to the 2017 Performance incentive Plan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Advisory Vote on Executive	Mgmt	For	Against	Against

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### Compensation

Neurocrine Biosciences, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
NBIX	CUSIP 64125C109	05/24/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect William H. Rastetter	Mgmt	For	For	For	
1.2	Elect George J. Morrow	Mgmt	For	For	For	
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
3	Amendment to the 2011 Equity Incentive Plan	Mgmt	For	For	For	
4	Approval of 2018 Employee Stock Purchase Plan	Mgmt	For	For	For	
5	Ratification of Auditor	Mgmt	For	For	For	
Qiagen N.V.						
Ticker	Security ID:	Meeting Date	Meeting Status			
QGEN	CUSIP N72482123	06/19/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Ratification of Management Board Acts	Mgmt	For	For	For	
3	Ratification of Supervisory Board Acts	Mgmt	For	For	For	
4	Elect Stephane Bancel	Mgmt	For	For	For	
5	Elect Hakan Bjorklund	Mgmt	For	For	For	
6	Elect Metin Colpan	Mgmt	For	For	For	
7	Elect Ross L. Levine	Mgmt	For	For	For	
8	Elect Elaine Mardis	Mgmt	For	For	For	
9	Elect Lawrence A. Rosen	Mgmt	For	For	For	
10	Elect Elizabeth E. Tallett	Mgmt	For	For	For	
11	Elect Peer Schatz	Mgmt	For	For	For	
12	Elect Roland Sackers	Mgmt	For	For	For	
13	Appointment of Auditor	Mgmt	For	For	For	
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
16	Authority to Repurchase Shares	Mgmt	For	For	For	
Regeneron Pharmaceuticals, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
REGN	CUSIP 75886F107	06/08/2018	Voted			

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Arthur F. Ryan	Mgmt	For	Against	Against
2	Elect George L. Sing	Mgmt	For	Against	Against
3	Elect Marc Tessier-Lavigne	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

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Seattle Genetics, Inc.		Meeting Date		Meeting Status	
Ticker	Security ID:	05/18/2018		Voted	
SGEN	CUSIP 812578102				
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Clay B. Siegall	Mgmt	For	For	For
1.2	Elect Felix Baker	Mgmt	For	For	For
1.3	Elect Nancy A. Simonian	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 2007 Equity Incentive Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Shire Plc		Meeting Date		Meeting Status	
Ticker	Security ID:	04/24/2018		Voted	
SHP	CUSIP 82481R106				
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Elect Olivier Bohuon	Mgmt	For	For	For
5	Elect Ian T. Clark	Mgmt	For	For	For
6	Elect Thomas Dittrich	Mgmt	For	For	For
7	Elect Gail D. Fosler	Mgmt	For	For	For
8	Elect Steven Gillis	Mgmt	For	For	For
9	Elect David Ginsburg	Mgmt	For	For	For
10	Elect Susan Kilsby	Mgmt	For	For	For
11	Elect Sara Mathew	Mgmt	For	For	For
12	Elect Flemming Ornskov	Mgmt	For	For	For
13	Elect Albert P.L. Stroucken	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Authority to Set Auditor's Fees	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue	Mgmt	For	For	For

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	Shares w/o Preemptive Rights (Specified Capital Investments)				
19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

Tesaro, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TSRO	CUSIP 881569107	05/10/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States	Vote Cast	For/Against Mgmt		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Leon O. Moulder, Jr.	Mgmt	For	For	For
1.2	Elect Mary Lynne Hedley	Mgmt	For	For	For
1.3	Elect David Mott	Mgmt	For	For	For
1.4	Elect Lawrence M. Alleva	Mgmt	For	For	For
1.5	Elect James O. Armitage	Mgmt	For	For	For
1.6	Elect Earl M. Collier, Jr.	Mgmt	For	For	For
1.7	Elect Gary A. Nicholson	Mgmt	For	For	For
1.8	Elect Kavita Patel	Mgmt	For	For	For
1.9	Elect Beth Seidenberg	Mgmt	For	For	For
1.10	Elect Pascale Witz	Mgmt	For	For	For
2	Approval of the Non-Employee Director Compensation Policy	Mgmt	For	Against	Against
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Amendment to the 2012 Employee Stock Purchase Plan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

United Therapeutics Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
UTHR	CUSIP 91307C102	06/26/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States	Vote Cast	For/Against Mgmt		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Katherine Klein	Mgmt	For	For	For
2	Elect Raymond Kurzweil	Mgmt	For	For	For
3	Elect Martine Rothblatt	Mgmt	For	For	For
4	Elect Louis Sullivan	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
6	Amendment to the 2015 Stock Incentive	Mgmt	For	For	For

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7	Plan					
	Ratification of Auditor	Mgmt		For	For	For
Vertex Pharmaceuticals Incorporated						
Ticker	Security ID:	Meeting Date			Meeting Status	
VRTX	CUSIP 92532F100	05/17/2018			Voted	
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Elect Sangeeta N. Bhatia	Mgmt	For	For	For	For
2	Elect Jeffrey M. Leiden	Mgmt	For	For	For	For
3	Elect Bruce I. Sachs	Mgmt	For	For	For	For
4	Elimination of Supermajority Requirement	Mgmt	For	For	For	For
5	Amendment to the 2013 Stock and Option Plan	Mgmt	For	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For	For
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For	For
8	Shareholder Proposal Regarding Report on Drug Pricing Risks	ShrHoldr	Against	Against	For	For
9	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against	Against
Fund Name : VanEck Vectors Brazil Small-Cap ETF						

Adecoagro S.A.						
Ticker	Security ID:	Meeting Date			Meeting Status	
AGRO	CUSIP L00849106	04/18/2018			Voted	
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Approval of Consolidated Financial Statements	Mgmt	For	For	For	For
2	Approval of 2017 Accounts	Mgmt	For	For	For	For
3	Allocation of 2017 Results	Mgmt	For	For	For	For
4	Discharge of Members from Liability in Connection With the Management of the Company's Affairs in 2017	Mgmt	For	For	For	For
5	Approval of 2017 Directors Fees	Mgmt	For	For	For	For
6	Approval of 2018 Directors Fees	Mgmt	For	For	For	For
7	Appointment of Auditor	Mgmt	For	Abstain	Against	Against
8	Elect Ivo Sarjanovic	Mgmt	For	For	For	For

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9	Elect Guillaume van der Linden	Mgmt	For	For	For
10	Elect Mark Schachter	Mgmt	For	For	For
11	Elect Marcelo Vieira	Mgmt	For	For	For
12	Elect Walter M. Sanchez	Mgmt	For	For	For

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Aes Tiete Energia S.A.  
Ticker Security ID: Meeting Date Meeting Status  
TIET3 CINS P30641115 01/19/2018 Voted  
Meeting Type Country of Trade  
Special Brazil

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Election of Alternate Board Members	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Aes Tiete Energia S.A.  
Ticker Security ID: Meeting Date Meeting Status  
TIET3 CINS P30641115 02/21/2018 Voted  
Meeting Type Country of Trade  
Special Brazil

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles	Mgmt	For	For	For
4	Consolidation of Articles	Mgmt	For	For	For

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Aes Tiete Energia S.A.  
Ticker Security ID: Meeting Date Meeting Status  
TIET3 CINS P30641115 04/24/2018 Voted  
Meeting Type Country of Trade  
Special Brazil

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	For	For
4	Supervisory Councils' Fees	Mgmt	For	For	For

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Aes Tiete Energia S.A.  
Ticker Security ID: Meeting Date Meeting Status  
TIET3 CINS P30641115 04/24/2018 Voted  
Meeting Type Country of Trade  
Annual Brazil

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For

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7	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
8	Request Separate Election for Board Member (Minority Shareholders)	Mgmt	For	Abstain	Against
9	Request Separate Election for Board Member (Preferred Shareholders)	Mgmt	N/A	Abstain	N/A
10	Elect Julian Jose Nebreda Marquez	Mgmt	For	Against	Against
11	Elect Arminio Francisco Borjas Herrera	Mgmt	For	Against	Against
12	Elect Manuel Francisco Perez Dubuc	Mgmt	For	For	For
13	Elect Francisco Jose Morandi Lopez	Mgmt	For	Against	Against
14	Elect Bernerd Raymond Da Santos Avila	Mgmt	For	Against	Against
15	Elect Leonardo Moreno	Mgmt	For	For	For
16	Elect Krista Sweigart	Mgmt	For	For	For
17	Elect Sergio Eduardo Weguelin Vieira	Mgmt	For	For	For
18	Elect Franklin Lee Feder	Mgmt	For	For	For
19	Elect Alberto Wajzenberg	Mgmt	For	For	For
20	Elect Valdeci Goulart	Mgmt	For	For	For
21	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
22	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
23	Allocate Cumulative Votes to Julian Jose Nebreda Marquez	Mgmt	N/A	Abstain	N/A
24	Allocate Cumulative Votes to Arminio Francisco Borjas Herrera	Mgmt	N/A	Abstain	N/A
25	Allocate Cumulative Votes to Manuel Perez Dubuc	Mgmt	N/A	Abstain	N/A
26	Allocate Cumulative Votes to Francisco Jose Morandi Lopez	Mgmt	N/A	Abstain	N/A
27	Allocate Cumulative Votes to Berned Raymond Da Santos Avila	Mgmt	N/A	Abstain	N/A
28	Allocate Cumulative Votes to Leonardo Moreno	Mgmt	N/A	Abstain	N/A

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29	Allocate Cumulative Votes to Krista Sweigart	Mgmt	N/A	Abstain	N/A
30	Allocate Cumulative Votes to Sergio Eduardo Weguelin Vieira	Mgmt	N/A	Abstain	N/A
31	Allocate Cumulative Votes to Franklin Lee Feder	Mgmt	N/A	Abstain	N/A
32	Allocate Cumulative Votes to Alberto Wajzenberg	Mgmt	N/A	Abstain	N/A
33	Allocate Cumulative Votes to Valdeci Goulart	Mgmt	N/A	Abstain	N/A
34	Supervisory Council Size	Mgmt	For	For	For
35	Elect Mario Shinzato	Mgmt	For	For	For
36	Elect Raimundo Claudio Batista	Mgmt	For	For	For
37	Elect Member Appointed by BNDESPAR	Mgmt	N/A	Abstain	N/A
38	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Cyrela Brazil Realty S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
CYRE3	CINS P34085103	07/07/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Jose Guimaraes Monforte	Mgmt	For	For	For
3	Amendments to Article 2 (Registered Address)	Mgmt	For	For	For
4	Amendments to Article 37 (Representation)	Mgmt	For	For	For
5	Consolidation of Articles	Mgmt	For	For	For
6	Instruction if Meeting is Held on Second Call	Mgmt	N/A	Abstain	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Aliansce Shopping Centers

Ticker	Security ID:	Meeting Date	Meeting Status		
ALSC3	CINS P0161M109	04/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Board Size	Mgmt	For	For	For

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5	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
6	Election of Directors	Mgmt	For	For	For
7	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
10	Allocate Cumulative Votes to Peter Ballon	Mgmt	N/A	Abstain	N/A
11	Allocate Cumulative Votes to Carlos Alberto Vieira	Mgmt	N/A	Abstain	N/A
12	Allocate Cumulative Votes to Thomas Joseph McDonald	Mgmt	N/A	Abstain	N/A
13	Allocate Cumulative Votes to Renata Amado Rique	Mgmt	N/A	Abstain	N/A
14	Allocate Cumulative Votes to Renato Feitosa Rique	Mgmt	N/A	Abstain	N/A
15	Allocate Cumulative Votes to Alexandre Cunha Bagnoni	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Marcela Drigo	Mgmt	N/A	Abstain	N/A
17	Request Separate Election for Board Member(s)	Mgmt	N/A	Abstain	N/A
18	Remuneration Policy	Mgmt	For	For	For
19	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
20	Instructions if Meeting is Held on Second Call	Mgmt	For	Against	Against
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Aliansce Shopping Centers

Ticker	Security ID:	Meeting Date	Meeting Status
ALSC3	CINS P0161M109	04/25/2018	Voted
Meeting Type	Country of Trade		
Special	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Amendments to Articles (Novo Mercado Regulations)	Mgmt	For
3	Ratify Global Updated Remuneration	Mgmt	For

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4	Amount Instructions if Meeting is Held on Second Call	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Aliansce Shopping Centers					
Ticker	Security ID:	Meeting Date		Meeting Status	
ALSC3	CINS P0161M109	09/06/2017		Voted	
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Election of Directors	Mgmt	For	For	For
4	Stock Option Plan	Mgmt	For	Against	Against
5	Amendments to Articles (Management Board)	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Aliansce Shopping Centers					
Ticker	Security ID:	Meeting Date		Meeting Status	
ALSC3	CINS P0161M109	12/29/2017		Voted	
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Spin-off Agreement (Boulevard Shopping S.A.)	Mgmt	For	For	For
4	Merger Agreement (Alsupra Participacoes Ltda.; BSC Shopping Center S.A.)	Mgmt	For	For	For
5	Ratification of Appointment of Appraiser (Global Auditores Independentes)	Mgmt	For	For	For
6	Appraisal Report (Alsupra Participacoes Ltda.)	Mgmt	For	For	For
7	Appraisal Report (BSC Shopping Center S.A.)	Mgmt	For	For	For
8	Appraisal Report (Boulevard Shopping S.A.)	Mgmt	For	For	For
9	Merger by Absorption (Alsupra Participacoes Ltda.)	Mgmt	For	For	For
10	Merger by Absorption (BSC Shopping Center)	Mgmt	For	For	For

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11	Spin-off (Boulevard Shopping S.A.)	Mgmt	For	For	For
12	Authorisation of Legal Formalities	Mgmt	For	For	For

Alpargatas S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALPA3	CINS P0246W106	04/27/2018	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Request Separate Election for Board Member	Mgmt	N/A	Abstain	N/A
3	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
4	Instructions if Meeting is Held in Second Call	Mgmt	N/A	Against	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Alupar Investimento S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALUP3	CINS POR676126	04/26/2018	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	For	For
4	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
5	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A

Alupar Investimento S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALUP3	CINS POR676126	04/26/2018	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
6	Instructions if	Mgmt	N/A	For	N/A

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Meeting is Held on Second Call						
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
Anima Holding S.A						
Ticker	Security ID:	Meeting Date	Meeting Status			
ANIM3	CINS P4611H116	04/25/2018	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For	
4	Capital Expenditure Budget	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Remuneration Policy	Mgmt	For	Against	Against	
7	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A	
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
Anima Holding S.A						
Ticker	Security ID:	Meeting Date	Meeting Status			
ANIM3	CINS P4611H116	04/25/2018	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
3	Elect Mauricio Nogueira Escobar	Mgmt	For	Against	Against	
4	Elect Marcelo Szekacs de Magalhaes	Mgmt	For	For	For	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
6	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A	
7	Allocate Cumulative Votes to Mauricio Nogueira Escobar	Mgmt	N/A	Abstain	N/A	
8	Allocate Cumulative Votes to Marcelo Szekacs de Magalhaes	Mgmt	N/A	Abstain	N/A	
9	Amendments to Articles (Novo Mercado Regulations)	Mgmt	For	For	For	
10	Amendments to Articles (Management Board)	Mgmt	For	For	For	
11	Consolidation of Articles	Mgmt	For	For	For	
12	Merger by Absorption	Mgmt	For	For	For	

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	(Anima Participacoes Ltda.); Merger Agreement; Ratification of Appointment of Appraiser; Valuation Report; Authorisation of Legal Formalities					
13	Approve Long-Term Incentive Plan (LTIP1)	Mgmt	For	For	For	
14	Approve Long-Term Incentive Plan (LTIP2)	Mgmt	For	For	For	
15	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A	
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Arezzo Industria e Comercio SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
ARZZ3	CINS P04508100	04/20/2018		Voted		
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Management Reports	Mgmt	For	For	For	
4	Financial Statements	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Remuneration Policy	Mgmt	For	Against	Against	
7	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A	

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Atento S.A						
Ticker	Security ID:	Meeting Date		Meeting Status		
ATTO	CUSIP L0427L105	05/31/2018		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Approve Management Report of Company Accounts	Mgmt	For	For	For	
2	Approval of Auditor's Report of Company Accounts	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Approval of Allocation of Annual Results	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Discharge to Directors for Performance	Mgmt	For	For	For	
7	Elect Thomas Ianotti	Mgmt	For	For	For	
8	Elect David Garner	Mgmt	For	For	For	

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9	Appointment of Auditor	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For

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Banco ABC Brasil S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
ABCB3	CINS P0763M135	06/29/2018	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Supervisory Council	Mgmt	For	Against	Against
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Banco ABC Brasil SA

Ticker	Security ID:	Meeting Date	Meeting Status
ABCB3	CINS P0763M135	04/27/2018	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
5	Request Separate Election for Board Member	Mgmt	N/A	Abstain	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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BK Brasil Operacao E Assessoria A Restaurantes S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
BKBR3	CINS P1R1WQ107	04/27/2018	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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BK Brasil Operacao E Assessoria A Restaurantes S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
BKBR3	CINS P1R1WQ107	04/27/2018	Voted
Meeting Type	Country of Trade		
Special	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Absorption of Losses	Mgmt	For	For	For
3	Remuneration Policy	Mgmt	For	For	For

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4 Non-Voting Meeting Note N/A N/A N/A N/A

BR Properties S.A.

Ticker Security ID: Meeting Date Meeting Status  
BRPR3 CINS P1909V120 04/30/2018 Voted

Meeting Type Country of Trade  
Annual Brazil

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Capital Expenditure Budget	Mgmt	For	For	For
5	Board Size	Mgmt	For	For	For
6	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
7	Election of Directors	Mgmt	For	For	For
8	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
11	Allocate Cumulative Votes to Antonio Carlos Augusto Ribeiro Bonchristiano	Mgmt	N/A	Abstain	N/A
12	Allocate Cumulative Votes to Thiago Coelho Rocha	Mgmt	N/A	Abstain	N/A
13	Allocate Cumulative Votes to Rubens Mario Marques de Freitas	Mgmt	N/A	Abstain	N/A
14	Allocate Cumulative Votes to Charles Lagana Putz	Mgmt	N/A	Abstain	N/A
15	Allocate Cumulative Votes to Fabio de Araujo Nogueira	Mgmt	N/A	Abstain	N/A
16	Request Separate Election for Board Member(s)	Mgmt	N/A	Abstain	N/A
17	Remuneration Policy	Mgmt	For	For	For
18	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Centro De Image Diagnosticos S.A.

Ticker Security ID: Meeting Date Meeting Status  
AALR3 CINS P2380S107 01/23/2018 Voted

Meeting Type Country of Trade

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Special Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Relocation of Company's Registered Office	Mgmt	For	For	For
4	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Consolidation of Articles	Mgmt	For	For	For

Centro De Image Diagnosticos S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
AALR3	CINS P2380S107	04/30/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles (Novo Mercado Regulations)	Mgmt	For	For	For

Centro De Image Diagnosticos S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
AALR3	CINS P2380S107	04/30/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Annual Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Board Size	Mgmt	For	For	For
5	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
6	Election of Directors	Mgmt	For	Against	Against
7	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
10	Allocate Cumulative Votes to Carlos Thiago de Souza Araujo	Mgmt	N/A	Abstain	N/A
11	Allocate Cumulative Votes to Helio Lopes	Mgmt	N/A	Abstain	N/A
12	Allocate Cumulative Votes to Roberto Kalil	Mgmt	N/A	Abstain	N/A

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13	Issa Allocate Cumulative Votes to Sergio Tufik	Mgmt	N/A	Abstain	N/A
14	Allocate Cumulative Votes to Flavia Kortas Kalil Issa Cevasco	Mgmt	N/A	Abstain	N/A
15	Allocate Cumulative Votes to Daniel Rizardi Sorrentino	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Ricardo Leonel Scavazza	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Luis Filipe Frozone Lomonaco	Mgmt	N/A	Abstain	N/A
18	Allocate Cumulative Votes to Sergio Brasil Tufik	Mgmt	N/A	Abstain	N/A
19	Allocate Cumulative Votes to Delfin Gonzalez Miranda	Mgmt	N/A	Abstain	N/A
20	Allocate Cumulative Votes to Fernando Henrique de Aldemundo Pereira	Mgmt	N/A	Abstain	N/A
21	Request Separate Election for Board Member	Mgmt	N/A	Abstain	N/A
22	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
23	Remuneration Policy	Mgmt	For	For	For
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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CESP - Companhia Energetica de Sao Paulo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
CESP3	CINS P25784193	04/24/2018	Voted		
Meeting Type	Annual	Country of Trade	Brazil		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Elect Paulo Roberto Franceschi as Supervisory Council Member Presented by Preferred Shareholders	Mgmt	N/A	For	N/A

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Cia Hering

Ticker	Security ID:	Meeting Date	Meeting Status
HGTX3	CINS P25849160	04/25/2018	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Remuneration Policy	Mgmt	For	For	For
5	Request Establishment of Supervisory Council	Mgmt	For	For	For
6	Authority to Draft Minutes in Summary Format	Mgmt	For	Against	Against
7	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A
8	Authority to Publish Minutes Omitting Shareholders' Names	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Cia Hering

Ticker	Security ID:	Meeting Date	Meeting Status		
HGTX3	CINS P25849160	04/25/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	Brazil				
Annual Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Ratify Co-Option and Elect Andrea Oliveira Mota Baril	Mgmt	For	For	For
3	Amendments to Articles (Novo Mercado Regulations)	Mgmt	For	For	For
4	Amendments to Article 3 (Corporate Purpose)	Mgmt	For	For	For
5	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For
6	Consolidation of Articles	Mgmt	For	For	For
7	Authority to Draft Minutes in Summary Format	Mgmt	For	Against	Against
8	Authority to Publish Minutes Omitting Shareholders' Names	Mgmt	For	For	For
9	Instructions if Meeting is Held on Second Call	Mgmt	For	Against	Against
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Cia Hering

Ticker	Security ID:	Meeting Date	Meeting Status		
HGTX3	CINS P25849160	12/04/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Cancellation of Stock Option Plan	Mgmt	For	For	For
3	Adoption of Stock Option Plan	Mgmt	For	For	For
4	Adoption of Restricted Share Plan	Mgmt	For	For	For
5	Authority to Draft Minutes in Summary Format	Mgmt	For	For	For
6	Authority to Publish Minutes Omitting Shareholders' Names	Mgmt	For	For	For
7	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Cia Saneamento Do Parana - Sanepar

Ticker	Security ID:	Meeting Date	Meeting Status		
SAPR3	CINS P3R668101	04/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	Against	Against
7	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
8	Elect Marcia Carla Pereira Ribeiro	Mgmt	For	Abstain	Against
9	Elect Vilson Ribeiro de Andrade	Mgmt	For	Abstain	Against
10	Elect Sezifredo Paulo Alves Paz	Mgmt	For	Abstain	Against
11	Elect Paulino Viapiana	Mgmt	For	Abstain	Against
12	Elect Luiz Carlos Brum Ferreira	Mgmt	For	Abstain	Against
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Proportional Allocation of Cumulative	Mgmt	N/A	Abstain	N/A

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	Votes				
15	Allocate Cumulative Votes to Marcia Carla Pereira Ribeiro	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Vilson Ribeiro de Andrade	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Sezifredo Paulo Alvez Paz	Mgmt	N/A	Abstain	N/A
18	Allocate Cumulative Votes to Paulino Viapiana	Mgmt	N/A	Abstain	N/A
19	Allocate Cumulative Votes to Luiz Carlos Brum Ferreira	Mgmt	N/A	Abstain	N/A
20	Request Separate Election for Board Member (Minority Shareholders)	Mgmt	N/A	Abstain	N/A
21	Elect Adriano Cives Seabra as Board Member Presented by Minority Shareholders	Mgmt	N/A	For	N/A
22	Cumulate Preferred and Common Votes	Mgmt	N/A	For	N/A
23	Request Separate Election for Board Member (Preferred Shareholders)	Mgmt	N/A	Abstain	N/A
24	Elect Joel Musman as Board Member Presented by Preferred Shareholders	Mgmt	For	For	For
25	Cumulate Preferred and Common Votes	Mgmt	For	For	For
26	Elect Ivens Moretti Pacheco	Mgmt	For	Abstain	Against
27	Elect George Hermann Rodolfo Tormin	Mgmt	For	Abstain	Against
28	Elect Clever Ubiratan Teixeira de Almeida	Mgmt	For	Abstain	Against
29	Elect Reginaldo Ferreira Alexandre as Supervisory Council Member Presented by Minority Shareholders	Mgmt	For	For	For
30	Elect Paulo Roberto Franceschi as Supervisory Council Member Presented by	Mgmt	For	For	For

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	Preferred Shareholders					
31	Election of Employee Representative	Mgmt	N/A	For	N/A	
32	Publication of Company Notices	Mgmt	For	For	For	
<hr/>						
Cia Saneamento Do Parana - Sanepar						
Ticker	Security ID:	Meeting Date		Meeting Status		
SAPR3	CINS P3R668101	06/13/2018		Voted		
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Dismiss Sezifredo Paulo Alves Paz from the Board of Directors	Mgmt	For	For	For	
4	Dismiss Luiz Carlos Brum Ferreira from the Board of Directors	Mgmt	For	For	For	
5	Elect Ricardo Soavinski	Mgmt	For	For	For	
6	Elect Francisco Feio Ribeiro Filho	Mgmt	For	For	For	
7	Elect George Hermann Rodolfo Tormin	Mgmt	For	For	For	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Proportional Allocation of Cumulative Votes	Mgmt	For	Abstain	Against	
10	Allocate Cumulative Votes to Ricardo Jose Soavinski	Mgmt	For	Abstain	Against	
11	Allocate Cumulative Votes to Francisco Feio Ribeiro Filho	Mgmt	For	Abstain	Against	
12	Allocate Cumulative Votes to George Hermann Rodolfo Tormin	Mgmt	For	Abstain	Against	
13	Dismiss Ivens Moretti Pacheco as Supervisory Council Member	Mgmt	For	For	For	
14	Dismiss George Hermann Rodolfo Tormin as Supervisory Council Member	Mgmt	For	For	For	
15	Elect Marcos Meyer	Mgmt	For	For	For	
16	Elect Rafael Iatauro	Mgmt	For	For	For	
17	Dismiss Juraci Barbosa Sobrinho as Nomination Committee Member	Mgmt	For	For	For	
18	Dismiss Dionilson	Mgmt	For	For	For	

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	Roldo as Nomination and Valuation Committee Member					
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
20	Elect Jose Luiz Bovo as Nomination and Valuation Committee Member	Mgmt	For	For	For	For
21	Elect Antonio Carlos Figueiredo Nardi as Nomination and Valuation Committee Member	Mgmt	For	For	For	For
22	Amendments to Articles; Consolidation of Articles	Mgmt	For	For	For	For
23	Amend Nomination Policy	Mgmt	For	For	For	For
24	Elect Lucilia Felicidade Dias as Nomination and Valuation Committee Member	Mgmt	For	For	For	For
25	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

Companhia de Gas de Sao Paulo - COMGAS

Ticker	Security ID:	Meeting Date	Meeting Status		
CGAS3	CINS P3055H194	04/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Request Separate Election for Board Member (Preferred Shareholders)	Mgmt	N/A	Abstain	N/A
5	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A

Companhia Paranaense de Energia - COPEL

Ticker	Security ID:	Meeting Date	Meeting Status		
CPLE3	CUSIP 20441B407	06/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Supervisory Council Member Presented by Preferred Shareholders	Mgmt	For	Abstain	Against

Copasa S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
CSMG3	CINS P28269101	02/07/2018	Voted
Meeting Type	Country of Trade		
Special	Brazil		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Long-Term Credit Operation	Mgmt	For	For	For

Copasa S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
CSMG3	CINS P28269101	04/27/2018	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Capital Expenditure Budget	Mgmt	For	For	For
7	Board Size; Supervisory Council Size	Mgmt	For	For	For
8	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
9	Election of Supervisory Council	Mgmt	For	For	For
10	Approve Recasting of Votes for Amended Supervisory Council Slate	Mgmt	N/A	Against	N/A
11	Election of Directors	Mgmt	For	For	For
12	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
15	Allocate Cumulative Votes to Flavia Mourao Parreira do Amaral	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Rubens Coelho de Mello	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Marco Antonio Soares da Cunha Castello Branco	Mgmt	N/A	Abstain	N/A
18	Allocate Cumulative Votes to Remulo Borges de Azevedo Lemos	Mgmt	N/A	Abstain	N/A
19	Allocate Cumulative Votes to Sinara	Mgmt	N/A	Abstain	N/A

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Inacio Meireles  
 Chenna  
 20 Allocate Cumulative Mgmt N/A Abstain N/A  
 Votes to Gustavo  
 Rocha  
 Gattass

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Copasa S.A.  
 Ticker Security ID: Meeting Date Meeting Status  
 CSMG3 CINS P28269101 05/07/2018 Voted  
 Meeting Type Country of Trade  
 Special Brazil  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Non-Voting Meeting Note N/A N/A N/A N/A  
 2 Remuneration Policy Mgmt For Against Against  
 3 Amendments to Articles Mgmt For Against Against  
 4 Dividend Policy Mgmt For For For  
 5 Special Dividend Mgmt For For For  
 6 Non-Voting Meeting Note N/A N/A N/A N/A  
 7 Non-Voting Meeting Note N/A N/A N/A N/A

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Copasa S.A.  
 Ticker Security ID: Meeting Date Meeting Status  
 CSMG3 CINS P28269101 06/12/2018 Voted  
 Meeting Type Country of Trade  
 Special Brazil  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Non-Voting Meeting Note N/A N/A N/A N/A  
 2 Elect Joao Bosco Senra Mgmt For For For  
 as Employees  
 Representative  
 3 Supervisory Council Mgmt For Against Against  
 Term  
 Length  
 4 Authority to Issue Mgmt For For For  
 Debentures  
 5 Non-Voting Meeting Note N/A N/A N/A N/A

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Copasa S.A.  
 Ticker Security ID: Meeting Date Meeting Status  
 CSMG3 CINS P28269101 10/27/2017 Voted  
 Meeting Type Country of Trade  
 Special Brazil  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Non-Voting Meeting Note N/A N/A N/A N/A  
 2 Non-Voting Meeting Note N/A N/A N/A N/A  
 3 Authority to Issue Mgmt For For For  
 Debt  
 Instruments

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Copasa S.A.  
 Ticker Security ID: Meeting Date Meeting Status  
 CSMG3 CINS P28269101 11/17/2017 Voted  
 Meeting Type Country of Trade  
 Special Brazil  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Non-Voting Meeting Note N/A N/A N/A N/A  
 2 Non-Voting Meeting Note N/A N/A N/A N/A

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3	Special Dividend	Mgmt	For	For	For	
CVC Brasil Operadora E Agencia De Viagens S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CVCB3	CINS P3R154102	04/27/2018	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Articles	Mgmt	For	For	For	
4	Consolidation of Articles	Mgmt	For	For	For	
5	Authorization of Legal Formalities	Mgmt	For	For	For	
6	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A	
CVC Brasil Operadora E Agencia De Viagens S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CVCB3	CINS P3R154102	04/27/2018	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A	
4	Accounts and Reports	Mgmt	For	For	For	
5	Capital Expenditure Budget	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Remuneration Policy	Mgmt	For	Against	Against	
CVC Brasil Operadora E Agencia De Viagens S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CVCB3	CINS P3R154102	09/06/2017	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Merger of Subsidiaries	Mgmt	For	For	For	
4	Authorisation of Legal Formalities	Mgmt	For	For	For	
CVC Brasil Operadora E Agencia De Viagens S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CVCB3	CINS P3R154102	10/11/2017	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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3	Merger Agreement	Mgmt	For	For	For
4	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For
5	Ratification of Appointment of Appraiser	Mgmt	For	For	For
6	Valuation Report	Mgmt	For	For	For
7	Merger (Read)	Mgmt	For	For	For
8	Merger (Reserva)	Mgmt	For	For	For
9	Authorisation of Legal Formalities	Mgmt	For	For	For

CVC Brasil Operadora E Agencia De Viagens S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
CVCB3	CINS P3R154102	12/12/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition (Visual Turismo Ltda.)	Mgmt	For	Abstain	Against
3	Authorization of Legal Formalities	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Cyrela Brazil Realty SA

Ticker	Security ID:	Meeting Date	Meeting Status		
CYRE3	CINS P34085103	04/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Board Size	Mgmt	For	For	For
6	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
7	Election of Directors	Mgmt	For	Against	Against
8	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
11	Allocate Cumulative Votes to Elie Horn	Mgmt	N/A	Abstain	N/A
12	Allocate Cumulative Votes to Rogerio Frota Melzi	Mgmt	N/A	Abstain	N/A
13	Allocate Cumulative Votes to Rogerio	Mgmt	N/A	Abstain	N/A

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	Jonas Zylbertajn					
14	Allocate Cumulative Votes to Rafael Novelino	Mgmt	N/A	Abstain	N/A	
15	Allocate Cumulative Votes to George Zausner	Mgmt	N/A	Abstain	N/A	
16	Allocate Cumulative Votes to Fernando Goldsztein	Mgmt	N/A	Abstain	N/A	
17	Allocate Cumulative Votes to Jose Cesar de Queiroz Tourinho	Mgmt	N/A	Abstain	N/A	
18	Allocate Cumulative Votes to Jose Guimaraes Monforte	Mgmt	N/A	Abstain	N/A	
19	Remuneration Policy	Mgmt	For	For	For	
20	Request Separate Election for Board Member	Mgmt	N/A	Abstain	N/A	
21	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A	
22	Ratify Directors' Independence Status	Mgmt	For	For	For	
23	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A	
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Cyrela Brazil Realty SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
CYRE3	CINS P34085103	12/08/2017		Voted		
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Ratify Updated Global Remuneration	Mgmt	For	For	For	
5	Amendments to Article 26 (Board Vacancy)	Mgmt	For	For	For	
6	Consolidation of Articles	Mgmt	For	For	For	
7	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A	

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Duratex S.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
DTEX3	CINS P3593G146	04/19/2018		Voted		
Meeting Type	Country of Trade					
Annual	Brazil					

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Board Size	Mgmt	For	For	For
6	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors	Mgmt	For	For	For
9	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
12	Allocate Cumulative Votes to Alfredo Egydio Arruda Villela Filho	Mgmt	N/A	Abstain	N/A
13	Allocate Cumulative Votes to Alfredo Egyfio Setubal	Mgmt	N/A	Abstain	N/A
14	Allocate Cumulative Votes to Francisco Amauri Olsen	Mgmt	N/A	Abstain	N/A
15	Allocate Cumulative Votes to Helio Seibel	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Juliana Rozenbaum Munemori	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Raul Calfat	Mgmt	N/A	Abstain	N/A
18	Allocate Cumulative Votes to Ricardo Egydio Setubal	Mgmt	N/A	Abstain	N/A
19	Allocate Cumulative Votes to Rodolfo Villela Marino	Mgmt	N/A	Abstain	N/A
20	Allocate Cumulative Votes to Salo Davi Seibel	Mgmt	N/A	Abstain	N/A
21	Appointment of Shareholder Nominee to Board of Directors	Mgmt	N/A	Abstain	N/A
22	Remuneration Policy	Mgmt	For	Against	Against
23	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
24	Authority to Draft	Mgmt	For	Against	Against

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	Minutes in Summary Format				
25	Authority to Publish Minutes Omitting the Shareholders' Names	Mgmt	For	For	For
26	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A

Ecorodovias Infraestrutura e Logistica SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
ECOR3	CINS P3661R107	04/18/2018	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Articles	Mgmt	For	For	For	
4	Consolidation of Articles	Mgmt	For	For	For	

Ecorodovias Infraestrutura e Logistica SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
ECOR3	CINS P3661R107	04/18/2018	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Capital Expenditure Budget	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Board Size	Mgmt	For	For	For	
7	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A	
8	Election of Directors	Mgmt	For	For	For	
9	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A	
12	Allocate Cumulative Votes to Marco Antonio Cassou	Mgmt	N/A	Abstain	N/A	
13	Allocate Cumulative Votes to Cesar Beltrao de Almeida	Mgmt	N/A	Abstain	N/A	
14	Allocate Cumulative Votes to Joao Alberto Gomes Bernacchio	Mgmt	N/A	Abstain	N/A	

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15	Allocate Cumulative Votes to Beniamino Gavio	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Alberto Rubegni	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Paolo Pierantoni	Mgmt	N/A	Abstain	N/A
18	Allocate Cumulative Votes to Ricardo Baldin	Mgmt	N/A	Abstain	N/A
19	Allocate Cumulative Votes to Eduardo Rath Fingerl	Mgmt	N/A	Abstain	N/A
20	Allocate Cumulative Votes to Joao Francisco Rached de Oliveira	Mgmt	N/A	Abstain	N/A
21	Allocate Cumulative Votes to Eros Gradowski Junior (Alternate)	Mgmt	N/A	Abstain	N/A
22	Allocate Cumulative Votes to Marcello Gavio (Alternate)	Mgmt	N/A	Abstain	N/A
23	Allocate Cumulative Votes to Nereu Miguel Ribeiro Domingues (Alternate)	Mgmt	N/A	Abstain	N/A
24	Allocate Cumulative Votes to Umberto Tosoni (Alternate)	Mgmt	N/A	Abstain	N/A
25	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
26	Remuneration Policy	Mgmt	For	For	For
27	Ratify Updated Global Remuneration Amount	Mgmt	For	For	For
28	Request Separate Election for Board Member	Mgmt	N/A	Abstain	N/A
29	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Ecorodovias Infraestrutura e Logistica SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ECOR3	CINS P3661R107	04/20/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition of Concessionaria de Rodovias Minas Gerais Goias S.A.	Mgmt	For	For	For
3	Ratification of Appointment of	Mgmt	For	For	For

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	Appraiser for Acquisition				
4	Valuation Report for Acquisition	Mgmt	For	For	For
5	Authorisation of Legal Formalities	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Eletropaulo Metropolitana Eletricidade Sao Paulo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELPL3	CINS P3646S105	04/27/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	Against	Against
4	Supervisory Council's Fees	Mgmt	For	For	For
5	Restricted Share Plan	Mgmt	For	Against	Against
6	Stock Option Plan	Mgmt	For	Against	Against
7	Amendments to Articles (Novo Mercado Regulations)	Mgmt	For	For	For
8	Amendments to Article 5	Mgmt	For	For	For
9	Amendments to Article 6	Mgmt	For	For	For
10	Amendments to Article 6	Mgmt	For	For	For
11	Amendments to Article 6	Mgmt	For	For	For
12	Amendments to Article 10	Mgmt	For	For	For
13	Amendments to Article 11	Mgmt	For	For	For
14	Amendments to Article 11	Mgmt	For	For	For
15	Amendments to Article 12	Mgmt	For	For	For
16	Amendments to Article 13	Mgmt	For	For	For
17	Amendments to Article 13	Mgmt	For	For	For
18	Amendments to Article 14, 15	Mgmt	For	For	For
19	Amendments to Article 17	Mgmt	For	For	For
20	Amendments to Article 21	Mgmt	For	For	For
21	Amendments to Article 19	Mgmt	For	For	For
22	Amendments to Article 25	Mgmt	For	For	For
23	Technical Amendments	Mgmt	For	For	For
24	Consolidation of Articles	Mgmt	For	For	For

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Eletropaulo Metropolitana Eletricidade Sao Paulo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
ELPL3	CINS P3646S105	04/27/2018	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Board Size	Mgmt	For	For	For
5	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
6	Elect Ana Marta Horta Veloso	Mgmt	For	For	For
7	Elect Britaldo Pedrosa Soares	Mgmt	For	Against	Against
8	Elect Erik da Costa Breyer	Mgmt	For	For	For
9	Allocate Cumulative Votes to Helio Lima Magalhaes	Mgmt	N/A	Abstain	N/A
10	Elect Jerson Kelman	Mgmt	For	For	For
11	Elect Julian Jose Nebreda Marquez	Mgmt	For	For	For
12	Elect Marcelo Gasparino da Silva	Mgmt	For	For	For
13	Elect Sergio Eduardo Weguelin Vieira	Mgmt	For	For	For
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Ana Maria Horta Veloso	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Britaldo Pedrosa Soares	Mgmt	N/A	Abstain	N/A
18	Allocate Cumulative Votes to Erik da Costa Breyer	Mgmt	N/A	Abstain	N/A
19	Allocate Cumulative Votes to Helio Lima Magalhaes	Mgmt	N/A	Abstain	N/A
20	Allocate Cumulative Votes to Jerson Kelman	Mgmt	N/A	Abstain	N/A
21	Allocate Cumulative Votes to Julian Jose Nebreda Marquez	Mgmt	N/A	Abstain	N/A
22	Allocate Cumulative Votes to Marcelo Gasparino da Silva	Mgmt	N/A	Abstain	N/A
23	Allocate Cumulative Votes to Sergio Eduardo Weguelin Vieira	Mgmt	N/A	Abstain	N/A

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24	Supervisory Council Size	Mgmt	For	For	For
25	Elect Mario Daud Filho	Mgmt	For	For	For
26	Elect Wilton de Medeiros Daher	Mgmt	For	For	For

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Eletropaulo Metropolitana Eletricidade Sao Paulo S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
ELPL4	CINS P3R10G191	09/12/2017	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Conversion of Preferred Shares to Common Shares	Mgmt	For	For	For	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Eletropaulo Metropolitana-Eletricidade De Sao Paulo						
Ticker	Security ID:	Meeting Date	Meeting Status			
ELPL4	CINS P3646S105	01/02/2018	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
5	Shareholder Proposal Regarding Board Size	ShrHoldr	N/A	For	N/A	
6	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A	
7	Shareholder Proposal Regarding Election of Directors	ShrHoldr	N/A	For	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Elect Ana Marta Horta Velo	Mgmt	For	For	For	
10	Elect Ana Maria Loureiro Recart	Mgmt	For	N/A	N/A	
11	Elect Erik da Costa Breyer	Mgmt	For	N/A	N/A	
12	Elect Carlos Eduardo Rugani Barcelos	Mgmt	For	N/A	N/A	
13	Elect Sandra Fay Beatrice Faber	Mgmt	For	For	For	
14	Elect Wilfredo Joao Vicente Gomes	Mgmt	For	For	For	
15	Allocation of Cumulative Votes for the Election of	Mgmt	N/A	Against	N/A	

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16	Directors Elect Ana Marta Horta Veloso	Mgmt	N/A	Abstain	N/A
17	Elect Ana Maria Loureiro Recart	Mgmt	N/A	Abstain	N/A
18	Elect Erik da Costa Breyer	Mgmt	N/A	Abstain	N/A
19	Elect Carlos Eduardo Rugani Barcellos	Mgmt	N/A	Abstain	N/A
20	Elect Sandra Fay Beatrice Faber	Mgmt	N/A	Abstain	N/A
21	Elect Wilfredo Joao Vicente Gomes	Mgmt	N/A	Abstain	N/A
22	Amendments to Articles	Mgmt	For	For	For
23	Consolidation of Articles	Mgmt	For	For	For
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Eletrópaulo Metropolitana-Eletricidade De Sao Paulo

Ticker	Security ID:	Meeting Date	Meeting Status		
ELPL4	CINS P3646S105	01/11/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Consolidation of Articles	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Even Construtora e Incorporadora S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EVEN3	CINS P3904U107	04/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Capital Expenditure Budget	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	Against	Against
7	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
8	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Even Construtora e Incorporadora S.A.

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Ticker	Security ID:	Meeting Date	Meeting Status		
EVEN3	CINS P3904U107	04/27/2018	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles (Technical)	Mgmt	For	For	For
4	Amendments to Articles (Novo Mercado Regulations)	Mgmt	For	For	For
5	Amendments to Articles (Board of Directors)	Mgmt	For	For	For
6	Amendments to Articles (Management)	Mgmt	For	For	For
7	Consolidation of Articles	Mgmt	For	For	For
8	Ratify Co-Option and Elect Claudio Zaffari	Mgmt	For	For	For
9	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A

Ez Tec Empreendimentos E Participacoes S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EZTC3	CINS P3912H106	10/27/2017	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A

Ez Tec Empreendimentos e Participacoes S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EZTC3	CINS P3912H106	04/27/2018	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Remuneration Policy	Mgmt	For	For	For
6	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A

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7 Instructions if Meeting is Held on Second Call Mgmt N/A For N/A

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Fundo Invest Imobiliario FII BTG Pactual Fundo de Fundos  
 Ticker Security ID: Meeting Date Meeting Status  
 BRCR11B CINS P458C1107 04/10/2018 Voted  
 Meeting Type Country of Trade  
 Bond Brazil  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Non-Voting Meeting Note N/A N/A N/A N/A  
 2 Non-Voting Meeting Note N/A N/A N/A N/A  
 3 Election of Monitoring Committee Mgmt For Abstain Against  
 4 Amendments to Articles Mgmt For For For

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Fundo Invest Imobiliario FII BTG Pactual Fundo de Fundos  
 Ticker Security ID: Meeting Date Meeting Status  
 BRCR11B CINS P458C1107 04/10/2018 Voted  
 Meeting Type Country of Trade  
 Bond Brazil  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Non-Voting Meeting Note N/A N/A N/A N/A  
 2 Accounts and Reports Mgmt For For For  
 3 Non-Voting Meeting Note N/A N/A N/A N/A  
 4 Non-Voting Meeting Note N/A N/A N/A N/A

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Gaec Educacao S.A.  
 Ticker Security ID: Meeting Date Meeting Status  
 ANIM3 CINS P4611H116 02/22/2018 Voted  
 Meeting Type Country of Trade  
 Special Brazil  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Non-Voting Meeting Note N/A N/A N/A N/A  
 2 Non-Voting Meeting Note N/A N/A N/A N/A  
 3 Elect Laura Tourinho Jaguaribe Mgmt For For For  
 4 Allocate Vote Percentage for Cumulative Voting Mgmt N/A Against N/A  
 5 Allocation of Cumulative Votes for the Election of Directors Mgmt N/A Abstain N/A  
 6 Change of Company Name Mgmt For For For  
 7 Amendments to Article 5 (Reconciliation of Share Capital) Mgmt For For For  
 8 Consolidation of Articles Mgmt For For For  
 9 Request Establishment of a Supervisory Council Mgmt N/A For N/A

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Grendene SA  
 Ticker Security ID: Meeting Date Meeting Status

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GRND3	CINS P49516100	04/23/2018	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Stock Split	Mgmt	For	For	For	
3	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Grendene SA

Ticker	Security ID:	Meeting Date	Meeting Status			
GRND3	CINS P49516100	04/23/2018	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Board Size	Mgmt	For	For	For	
6	Election of Directors	Mgmt	For	Against	Against	
7	Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A	
10	Allocate Cumulative Votes to Alexandre Grendene Bartelle	Mgmt	N/A	Abstain	N/A	
11	Allocate Cumulative Votes to Pedro Grendene Bartelle	Mgmt	N/A	Abstain	N/A	
12	Allocate Cumulative Votes to Renato Ochman	Mgmt	N/A	Abstain	N/A	
13	Allocate Cumulative Votes to Mailson Ferreira da Nobrega	Mgmt	N/A	Abstain	N/A	
14	Allocate Cumulative Votes to Oswaldo de Assis Filho	Mgmt	N/A	Abstain	N/A	
15	Allocate Cumulative Votes to Walter Janssen Neto	Mgmt	N/A	Abstain	N/A	
16	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A	
17	Request Separate Election for Board	Mgmt	N/A	Abstain	N/A	

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	Member				
18	Remuneration Policy	Mgmt	For	Against	Against
19	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A

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Iguatemi Empresa de Shopping Centers S.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
IGTA3	CINS P5352J104	03/28/2018		Voted		
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Cancellation of Stock Option Plan	Mgmt	For	For	For	
3	Adoption of Long-Term Incentive Plan	Mgmt	For	For	For	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Iguatemi Empresa de Shopping Centers S.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
IGTA3	CINS P5352J104	04/19/2018		Voted		
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Accounts and Reports	Mgmt	For	For	For	
5	Board Size	Mgmt	For	For	For	
6	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A	
7	Elect Carlos Francisco Ribeiro Jereissati	Mgmt	For	For	For	
8	Elect Carlos Jereissati	Mgmt	For	For	For	
9	Elect Pedro Jereissati	Mgmt	For	For	For	
10	Elect Sidnei Nunes	Mgmt	For	For	For	
11	Elect Jose Castro Araujo Rudge	Mgmt	For	For	For	
12	Elect Pedro Santos Ripper	Mgmt	For	For	For	
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
14	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A	
15	Allocate Cumulative Votes to Carlos Francisco Ribeiro Jereissati	Mgmt	N/A	Abstain	N/A	
16	Allocate Cumulative Votes to Carlos Jereissati	Mgmt	N/A	Abstain	N/A	
17	Allocate Cumulative Votes to Pedro	Mgmt	N/A	Abstain	N/A	

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18	Jereissati Allocate Cumulative Votes to Sidnei Nunes	Mgmt	N/A	Abstain	N/A
19	Allocate Cumulative Votes to Jose Castro Araujo Rudge	Mgmt	N/A	Abstain	N/A
20	Allocate Cumulative Votes to Pedro Santos Ripper	Mgmt	N/A	Abstain	N/A
21	Request Separate Election of Board of Directors	Mgmt	N/A	Abstain	N/A
22	Remuneration Policy	Mgmt	For	Against	Against
23	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
24	Allocation of Profits/Dividends	Mgmt	For	For	For

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Instituto Hermes Pardini SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
PARD3	CINS P5R2AD133	04/02/2018		Voted		
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Ratification of Appointment of Appraiser	Mgmt	For	For	For	
4	Valuation Report	Mgmt	For	For	For	
5	Acquisition (Labfar Pesquisa e Servicos Ltda)	Mgmt	For	For	For	

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Instituto Hermes Pardini SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
PARD3	CINS P5R2AD133	04/24/2018		Voted		
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Publication of Company Notices	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Remuneration Policy	Mgmt	For	For	For	
6	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A	
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Instituto Hermes Pardini SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
PARD3	CINS P5R2AD133	04/24/2018		Voted		
Meeting Type		Country of Trade				

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Special Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Roberto Antonio Mendes	Mgmt	For	For	For
3	Amendments to Articles (Novo Mercado Listing Regulations); Consolidation of Articles	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Instituto Hermes Pardini SA

Ticker	Security ID:	Meeting Date	Meeting Status		
PARD3	CINS P5R2AD133	06/21/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Ratification of Appointment of Appraiser	Mgmt	For	For	For
4	Valuation Report	Mgmt	For	For	For
5	Ratify Acquisition (Diagnosticos Laboratoriais Especializados Ltda.)	Mgmt	For	For	For

Instituto Hermes Pardini SA

Ticker	Security ID:	Meeting Date	Meeting Status		
PARD3	CINS P5R2AD133	10/02/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Merger Agreement	Mgmt	For	For	For
5	Ratification of Appointment of Appraiser	Mgmt	For	For	For
6	Valuation Reports	Mgmt	For	For	For
7	Merger by Absorption between Parent and Subsidiaries	Mgmt	For	For	For
8	Amendments to Articles; Consolidation of Articles	Mgmt	For	Against	Against
9	Elect Sidney Levy	Mgmt	For	For	For

Instituto Hermes Pardini SA

Ticker	Security ID:	Meeting Date	Meeting Status
PARD3	CINS P5R2AD133	12/01/2017	Voted
Meeting Type	Country of Trade		
Special	Brazil		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Merger Agreement	Mgmt	For	For	For
3	Ratification of Appointment of the Appraiser (Soltz, Mattoso & Mendes Auditores)	Mgmt	For	For	For
4	Valuation Reports	Mgmt	For	For	For
5	Merger by Absorption (IHP Comercio and Diagpar Holding)	Mgmt	For	For	For
6	Ratification of the Appointment of the Appraiser (Apsis Consultoria e Avaliaco es)	Mgmt	For	For	For
7	Valuation Report	Mgmt	For	For	For
8	Acquisition (Ecoar)	Mgmt	For	For	For
9	Cancellation of Stock Option Plan; Adoption of Share-Based Plan	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Iochpe-Maxion SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MYPK3	CINS P58749105	04/19/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Remuneration Policy	Mgmt	For	For	For
5	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Iochpe-Maxion SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MYPK3	CINS P58749105	12/07/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger Agreement	Mgmt	For	For	For
4	Ratification of Appointment of the Appraiser	Mgmt	For	For	For
5	Valuation Report	Mgmt	For	For	For
6	Merger by Absorption (Maxion Componentes Estruturais Ltda.)	Mgmt	For	For	For

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7 Authorisation of Legal Mgmt For For For  
Formalities

JSL S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
JSLG3	CINS P6065E101	04/27/2018	Voted
Meeting Type		Country of Trade	
Special		Brazil	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Remuneration Policy	Mgmt	For

JSL S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
JSLG3	CINS P6065E101	04/27/2018	Voted
Meeting Type		Country of Trade	
Annual		Brazil	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Accounts and Reports	Mgmt	For
4	Request Establishment of Supervisory Council	Mgmt	N/A
5	Non-Voting Meeting Note	N/A	N/A

Light SA

Ticker	Security ID:	Meeting Date	Meeting Status
LIGT3	CINS P63529104	01/19/2018	Voted
Meeting Type		Country of Trade	
Special		Brazil	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A
4	Elect Jose Maria Rabelo	Mgmt	For

Light SA

Ticker	Security ID:	Meeting Date	Meeting Status
LIGT3	CINS P63529104	04/27/2018	Voted
Meeting Type		Country of Trade	
Special		Brazil	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Increase in Authorized Capital	Mgmt	For
4	Amendments to Articles 5 and 6 (Share Capital)	Mgmt	For
5	Amendments to Article 6	Mgmt	For
6	Amendments to Article 8 (Independence)	Mgmt	For
7	Amendments to ArticleS (Competencies)	Mgmt	For

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8	Amendments to Article 11 (Share Issuances)	Mgmt	For	For	For
9	Amendments to Article 14 (Board Responsibilities)	Mgmt	For	For	For
10	Amendments to Article 18	Mgmt	For	For	For
11	Amendments to Articles (Novo Mercado Regulations)	Mgmt	For	For	For
12	Consolidation of Articles	Mgmt	For	For	For

Light SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LIGT3	CINS P63529104	04/27/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Elect Nelson Jose Hubner Moreira	Mgmt	For	N/A	N/A
10	Elect Sergio Gomes Malta	Mgmt	For	Abstain	Against
11	Elect Mauro Borges Lemos	Mgmt	For	Abstain	Against
12	Elect Marcello Lignani Siqueira	Mgmt	For	Abstain	Against
13	Elect Luis Fernando Paroli Santos	Mgmt	For	Abstain	Against
14	Elect Marcelo Rocha	Mgmt	For	Abstain	Against
15	Elect Agostinho Faria Cardoso	Mgmt	For	Abstain	Against
16	Elect Andre Juacaba de Almeida	Mgmt	For	Abstain	Against
17	Elect Silvio Artur Meira Starling	Mgmt	For	Abstain	Against
18	Elect Carlos Alberto da Cruz	Mgmt	For	Abstain	Against
19	Elect Ricardo Reisen de Pinho	Mgmt	For	Abstain	Against
20	Elect Raphael Manhaes Martins	Mgmt	For	Abstain	Against
21	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
22	Proportional Allocation of	Mgmt	N/A	Against	N/A

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	Cumulative Votes				
23	Allocate Cumulative Votes to Nelson Jose Hubner	Mgmt	N/A	Abstain	N/A
24	Allocate Cumulative Votes to Sergio Gomes Malta	Mgmt	N/A	Abstain	N/A
25	Allocate Cumulative Votes to Mauro Borges Lemos	Mgmt	N/A	Abstain	N/A
26	Allocate Cumulative Votes to Marcello Lignani Siqueira	Mgmt	N/A	Abstain	N/A
27	Allocate Cumulative Votes to Luis Fernando Paroli Santos	Mgmt	N/A	Abstain	N/A
28	Allocate Cumulative Votes to Marcelo Rocha	Mgmt	N/A	Abstain	N/A
29	Allocate Cumulative Votes to Agostinho Faria Cardoso	Mgmt	N/A	Abstain	N/A
30	Allocate Cumulative Votes to Andre Juacaba Almeida	Mgmt	N/A	Abstain	N/A
31	Allocate Cumulative Votes to Silvio Artur Meira Starling	Mgmt	N/A	For	N/A
32	Allocate Cumulative Votes to Carlos Alberto da Cruz	Mgmt	N/A	Abstain	N/A
33	Allocate Cumulative Votes to Ricardo Reisen de Pinho	Mgmt	N/A	For	N/A
34	Allocate Cumulative Votes to Raphael Manhaes Martins	Mgmt	N/A	For	N/A
35	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
36	Elect Marco Antonio de Rezende Teixeira	Mgmt	For	For	For
37	Elect Paulo de Souza Duarte	Mgmt	For	For	For
38	Elect Izauro dos Santos Callais	Mgmt	For	For	For
39	Elect Paulo Roberto Lopes Ricci	Mgmt	For	For	For
40	Elect Domenica Eisenstein	Mgmt	N/A	For	N/A

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41	Noronha Request Separate Election for Supervisory Council Member	Mgmt	N/A	Abstain	N/A
42	Remuneration Policy	Mgmt	For	Against	Against
43	Supervisory Councils' Fees	Mgmt	For	For	For
44	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A

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Light SA  
Ticker Security ID: Meeting Date Meeting Status  
LIGT3 CINS P63529104 05/22/2018 Voted  
Meeting Type Country of Trade  
Special Brazil  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Increase in Authorized Capital	Mgmt	For	For	For
3	Amendments to Articles 5 and 6 (Share Capital)	Mgmt	For	For	For
4	Amendments to Articles 6	Mgmt	For	For	For
5	Amendments to Articles 8 (Independence)	Mgmt	For	For	For
6	Amendments to Articles (Board Competencies)	Mgmt	For	For	For
7	Amendments to Article 11 (Share Issuances)	Mgmt	For	For	For
8	Amendments to Article 14 (Board Responsibilities)	Mgmt	For	For	For
9	Amendments to Article 18 (Power of Attorney)	Mgmt	For	For	For
10	Amendments to Articles (Novo Mercado Regulations)	Mgmt	For	For	For
11	Consolidation of Articles	Mgmt	For	For	For

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Light SA  
Ticker Security ID: Meeting Date Meeting Status  
LIGT3 CINS P63529104 08/24/2017 Voted  
Meeting Type Country of Trade  
Special Brazil  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Election of Directors	Mgmt	For	For	For

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Linx S.A.  
Ticker Security ID: Meeting Date Meeting Status

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Article 5	Mgmt	For	For	For
4	Amendments to Article 1, 18, 25 and 40 (Novo Mercado Regulations)	Mgmt	For	For	For
5	Amendments to Articles 40, 42, 43, 44, 46, 47 and 48 (Novo Mercado Regulations)	Mgmt	For	For	For
6	Amendments to Articles 16, 45 (Technical Amendments)	Mgmt	For	For	For
7	Amendments to Article 11 (Shareholders' Meeting Board)	Mgmt	For	For	For
8	Amendments to Article 19 (Board of Directors)	Mgmt	For	For	For
9	Amendments to Article 28 (Representation)	Mgmt	For	For	For
10	Amendments to Articles 49, 50, 51, 52, 53, 54 and 55	Mgmt	For	For	For
11	Consolidation of Articles	Mgmt	For	For	For

Linx S.A.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Remuneration Policy	Mgmt	For	Against	Against
6	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
7	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A

Magazine Luiza SA

Ticker	Security ID:	Meeting Date	Meeting Status
MGLU3	CINS P6425Q109	09/04/2017	Voted

Meeting Type Country of Trade

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Special Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Stock Split	Mgmt	For	For	For
5	Amendments to Articles (Share Capital)	Mgmt	For	For	For
6	Authorisation of Legal Formalities	Mgmt	For	For	For

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Magnesita Refratarios SA  
Ticker Security ID: Meeting Date Meeting Status  
MAGG3 CINS P6426L158 04/30/2018 Voted  
Meeting Type Country of Trade  
Special Brazil

Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Simon Kuchelbacher as Alternate Member to the Board of Directors	Mgmt	For	Against	Against
3	Amendments to Article 3 (Corporate Purpose)	Mgmt	For	For	For
4	Instructions If Meeting is Held on Second Call	Mgmt	N/A	For	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Magnesita Refratarios SA  
Ticker Security ID: Meeting Date Meeting Status  
MAGG3 CINS P6426L158 04/30/2018 Voted  
Meeting Type Country of Trade  
Annual Brazil

Annual Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Election of Supervisory Council	Mgmt	For	For	For
6	Approve Recasting of Votes For Amended Supervisory Council Slate	Mgmt	N/A	Against	N/A
7	Remuneration Policy	Mgmt	For	Against	Against
8	Instructions If Meeting is Held on Second Call	Mgmt	N/A	Against	N/A

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Magnesita Refratarios SA  
Ticker Security ID: Meeting Date Meeting Status

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Meeting Type	CINS	Meeting Date	Country of Trade	Meeting Status	
MAGG3 Special	P6426L158	11/30/2017	Brazil	Voted	
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Appointment of Appraiser (BR Partners Assessoria Financeira Ltda.)	Mgmt	For	For	For
6	Appointment of Appraiser (Greenhil & Co do Brasil Assessoria Ltda.)	Mgmt	For	N/A	N/A
7	Appointment of Appraiser (G5 Consultoria e Assessoria Ltda.)	Mgmt	For	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	For	For
10	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For

Meeting Type	CINS	Meeting Date	Country of Trade	Meeting Status	
Mahle Metal Leve SA Annual	P6528U106	04/27/2018	Brazil	Voted	
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Capital Expenditure Budget	Mgmt	For	For	For
6	Elect Peter Paul Wilhelm Grunow	Mgmt	For	For	For
7	Elect Heinz Konrad Junker	Mgmt	For	For	For
8	Elect Bernhard Volkmann	Mgmt	For	For	For
9	Elect Eduardo Augusto Rocha Pocetti	Mgmt	For	For	For
10	Elect Reginaldo Ferreira Alexandre	Mgmt	For	For	For
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A

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13	Allocate Cumulative Votes to Peter Paul Wilhelm Grunow	Mgmt	N/A	Abstain	N/A
14	Allocate Cumulative Votes to Heinz Konrad Junker	Mgmt	N/A	Abstain	N/A
15	Allocate Cumulative Votes to Bernhard Volkman	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Eduardo Augusto Rocha Pocetti	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Reginaldo Ferreira Alexandre	Mgmt	N/A	Abstain	N/A
18	Request Establishment of Supervisory Council	Mgmt	For	For	For
19	Remuneration Policy	Mgmt	For	Against	Against
20	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A

Marcopolo SA

Ticker	Security ID:	Meeting Date	Meeting Status		
POMO3	CINS P64331112	03/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Elect Nelio Henriques Lima as Board Member Presented by Preferred Shareholders	Mgmt	N/A	For	N/A
5	Cumulate Preferred and Common Shares	Mgmt	N/A	For	N/A
6	Elect Egon Handel as Supervisory Council Member Presented by Preferred Shareholders	Mgmt	For	For	For

Marfrig Global Foods S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
MRFG3	CINS P64386116	04/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Election of Supervisory Council	Mgmt	For	Abstain	Against

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5	Approve Recasting of Votes for Amended Supervisory Council Slate	Mgmt	N/A	Against	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Elect Axel Erhard Brod as Supervisory Council Member Presented by Minority Shareholders	Mgmt	N/A	For	N/A
8	Remuneration Policy	Mgmt	For	Against	Against

Metalurgica Gerdau SA

Ticker	Security ID:	Meeting Date	Meeting Status		
GOAU3	CINS P4834C118	04/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Request Separate Election for Board Member(s) (Preferred)	Mgmt	N/A	Abstain	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Minerva SA

Ticker	Security ID:	Meeting Date	Meeting Status		
BEEF3	CINS P6831V106	04/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Election of Directors	Mgmt	For	Against	Against
7	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
10	Allocate Cumulative Votes to Ibar Vilela de Queiroz	Mgmt	N/A	Abstain	N/A
11	Allocate Cumulative Votes to Frederico Alcantara de Queiroz	Mgmt	N/A	Abstain	N/A
12	Allocate Cumulative Votes to Norberto Lanzara Giangrande Junior	Mgmt	N/A	Abstain	N/A

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13	Allocate Cumulative Votes to Alexandre Lahoz Mendonca de Barros	Mgmt	N/A	Abstain	N/A
14	Allocate Cumulative Votes to Sergio Carvalho Mandim Fonseca	Mgmt	N/A	Abstain	N/A
15	Allocate Cumulative Votes to Salman Abdulrahman Binseaidan	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Abdulaziz Saleh Al-Rebdi	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Matthew James Jansen	Mgmt	N/A	Abstain	N/A
18	Allocate Cumulative Votes to Gabriel Jaramillo Sanint	Mgmt	N/A	Abstain	N/A
19	Allocate Cumulative Votes to Jose Luiz Rego Glaser	Mgmt	N/A	Abstain	N/A
20	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
21	Supervisory Council Size	Mgmt	For	For	For
22	Election of Supervisory Council	Mgmt	For	For	For
23	Approve Recasting of Votes for Amended Supervisory Council Slate	Mgmt	N/A	Against	N/A
24	Remuneration Policy	Mgmt	For	Against	Against
25	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A
26	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A

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Minerva SA

Ticker	Security ID:	Meeting Date	Meeting Status		
BEEF3	CINS P6831V106	04/26/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For
3	Consolidation of Articles	Mgmt	For	For	For

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4	Authorization of Legal Formalities	Mgmt	For	For	For
5	Instructions if Meeting is Held on Second Call	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Minerva SA

Ticker	Security ID:	Meeting Date	Meeting Status		
BEEF3	CINS P6831V106	10/20/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger Agreement	Mgmt	For	For	For
4	Ratification of Appointment of Appraiser	Mgmt	For	For	For
5	Valuation Report	Mgmt	For	For	For
6	Merger by Absorption	Mgmt	For	For	For
7	Amendments to Article 10 (Calling of Meeting)	Mgmt	For	For	For
8	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For
9	Consolidation of Articles	Mgmt	For	For	For
10	Authorisation of Legal Formalities	Mgmt	For	For	For

Modec Incorporation

Ticker	Security ID:	Meeting Date	Meeting Status		
6269	CINS J4636K109	03/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits	Mgmt	For	For	For
3	Elect Toshiroh Miyazaki	Mgmt	For	For	For
4	Elect Takeshi Kanamori	Mgmt	For	For	For
5	Elect Teruhisa Konishi	Mgmt	For	For	For
6	Elect Minoru Sawada	Mgmt	For	For	For
7	Elect Yuhji Kohzai	Mgmt	For	For	For
8	Elect Taketsune Matsumura	Mgmt	For	For	For
9	Elect Yoshio Kometani	Mgmt	For	For	For
10	Elect Katsuya Natori	Mgmt	For	For	For
11	Elect Shigenobu Aikyoh	Mgmt	For	For	For
12	Elect Hiroshi Kanno	Mgmt	For	For	For
13	Trust-Type Equity Compensation Plan	Mgmt	For	For	For
14	Bonus	Mgmt	For	For	For

MRV Engenharia e Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status
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MRVE3	CINS P6986W107	04/19/2018	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Capital Expenditure Budget	Mgmt	For	For	For	
6	Remuneration Policy	Mgmt	For	For	For	
7	Adoption of Stock Option Plan	Mgmt	For	For	For	
8	Distribution of Special Dividends	Mgmt	For	For	For	
9	Capitalization of Reserves w/o Share Issuance	Mgmt	For	For	For	
10	Amendments to Article 15 (Board of Directors)	Mgmt	For	For	For	
11	Amendments to Article 21 (Board of Directors' Responsibilities)	Mgmt	For	For	For	
12	Establishment of Audit Committee	Mgmt	For	For	For	
13	Reorganization of Executive Committees	Mgmt	For	For	For	
14	Amendments to Article 23 (Committees)	Mgmt	For	For	For	
15	Amendments to Article 24 (Management Board)	Mgmt	For	For	For	
16	Amendments to Article 24 (Management Board's Advisory Committees)	Mgmt	For	For	For	
17	Amendments to Article 25 (CEO and Chair Roles)	Mgmt	For	For	For	
18	Amendments to Stock Exchange Name	Mgmt	For	For	For	
19	Consolidation of Articles	Mgmt	For	For	For	
20	Authority to Publish Minutes Omitting Shareholders' Names	Mgmt	For	For	For	
21	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A	
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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MRV Engenharia e Participacoes SA

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Ticker	Security ID:	Meeting Date	Meeting Status		
MRVE3	CINS P6986W107	05/07/2018	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amend Board Size	Mgmt	For	For	For
3	Elect Antonio Kandir	Mgmt	For	For	For
4	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
5	Allocate Cumulative Votes to Antonio Kandir	Mgmt	N/A	Abstain	N/A
6	Amendments to Article 15 (Board Size)	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Multiplus S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
MPLU3	CINS P69915109	04/27/2018	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Allocation of Dividends	Mgmt	For	For	For
6	Election of Directors	Mgmt	For	For	For
7	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
10	Allocate Cumulative Votes to Antonio Luiz Rios da Silva	Mgmt	N/A	Abstain	N/A
11	Allocate Cumulative Votes to Elcio Anibal de Lucca	Mgmt	N/A	Abstain	N/A
12	Allocate Cumulative Votes to Claudia Sender Ramirez	Mgmt	N/A	Abstain	N/A
13	Allocate Cumulative Votes to Ricardo Camargo Veirano	Mgmt	N/A	Abstain	N/A
14	Allocate Cumulative Votes to Jerome Paul Jacques Cadier	Mgmt	N/A	Abstain	N/A

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15	Allocate Cumulative Votes to Aline de Almada Messias	Mgmt	N/A	Abstain	N/A
16	Remuneration Policy	Mgmt	For	Against	Against
17	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
18	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A

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Odontoprev SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ODPV3	CINS P7344M104	04/02/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles	Mgmt	For	For	For
4	Consolidation of Articles	Mgmt	For	For	For
5	Instructions if Meeting is Held on Second Call	Mgmt	For	For	For

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Odontoprev SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ODPV3	CINS P7344M104	04/02/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Election of Directors	Mgmt	For	For	For
11	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
12	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
13	Allocate Votes to Randal Luiz Zanetti	Mgmt	N/A	Abstain	N/A
14	Allocate Votes to Manoel Antonio Peres	Mgmt	N/A	Abstain	N/A
15	Allocate Votes to Ivan Luiz Gontijo	Mgmt	N/A	Abstain	N/A

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16	Junior Allocate Votes to Samuel Monteiro dos Santos	Mgmt	N/A	Abstain	N/A
17	Junior Allocate Votes to Eduardo de Toledo	Mgmt	N/A	Abstain	N/A
18	Allocate Votes to Cesar Suaki dos Santos	Mgmt	N/A	Abstain	N/A
19	Allocate Votes to Murilo Cesar Lemos dos Santos Passos	Mgmt	N/A	Abstain	N/A
20	Allocate Votes to Gerald Dinu Reiss	Mgmt	N/A	Abstain	N/A
21	Allocate Votes to Jose Afonso Alves Castanheira	Mgmt	N/A	Abstain	N/A
22	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
23	Elect Candidate as Board Member Presented by Minority Shareholders	Mgmt	For	N/A	N/A
24	Cumulate Common and Preferred Shares	Mgmt	N/A	For	N/A
25	Remuneration Policy	Mgmt	For	For	For
26	Supervisory Council Size	Mgmt	For	For	For
27	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
28	Election of Supervisory Council	Mgmt	For	For	For
29	Approve Recasting of Votes for Amended Supervisory Council Slate	Mgmt	N/A	Against	N/A
30	Elect Candidate as Supervisory Council Member Presented by Minority Shareholders	Mgmt	N/A	Abstain	N/A
31	Supervisory Council Fees	Mgmt	For	For	For
32	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A
33	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
34	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Oi S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
OIBR3	CINS P73531108	04/30/2018	Voted
Meeting Type	Country of Trade		
Annual	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Remuneration Policy	Mgmt	For	Against	Against
6	Election of Supervisory Council	Mgmt	For	For	For
7	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A

Oi S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
OIBR3	CINS P73531108	05/14/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Prumo Logistica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
PRML3	CINS P7922A118	01/19/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approve New Valuation Report	Mgmt	N/A	For	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Appoint Ernst & Young Assessoria Empresarial Ltda.	Mgmt	For	N/A	N/A
8	Appoint Alternative Appraiser Nominated by Shareholders	Mgmt	For	Abstain	Against
9	Set Appraiser Fees	Mgmt	N/A	Abstain	N/A
10	Set Deadline for New Valuation Report	Mgmt	N/A	For	N/A

Prumo Logistica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
PRML3	CINS P7922A118	07/11/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approve New Valuation Report	Mgmt	N/A	For	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Appoint Ernst & Young Assessoria Financeira Ltda.	Mgmt	For	N/A	N/A
7	Appoint Alternative Appraiser Nominated by Shareholders	Mgmt	For	Abstain	Against
8	Set Appraiser Fees	Mgmt	N/A	Abstain	N/A
9	Set Deadline for Third Valuation Report	Mgmt	N/A	For	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

QGEP Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
QGEP3	CINS P7920K100	04/11/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Consolidation of Articles	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

QGEP Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
QGEP3	CINS P7920K100	04/11/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Management Report on Financial Statements	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	Against	Against
10	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Allocate Proportional Votes for Cumulative Voting	Mgmt	N/A	Abstain	N/A
13	Allocate Votes to Antonio Augusto de Queiroz	Mgmt	N/A	Abstain	N/A

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14	Galvao Allocate Votes to Ricardo de Queiroz Galvao	Mgmt	N/A	Abstain	N/A
15	Galvao Allocate Votes to Mauricio Jose de Queiroz Galvao	Mgmt	N/A	Abstain	N/A
16	Allocate Votes to Leduvy de Pina Gouvea Filho	Mgmt	N/A	Abstain	N/A
17	Allocate Votes to Jose Augusto Fernandes Filho	Mgmt	N/A	Abstain	N/A
18	Allocate Votes to Jose Luiz Alqueres	Mgmt	N/A	Abstain	N/A
19	Allocate Votes to Luiz Carlos de Lemos Costamilan	Mgmt	N/A	Abstain	N/A
20	Request Separate Election of Board of Directors	Mgmt	N/A	Abstain	N/A
21	Remuneration Policy	Mgmt	For	For	For
22	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
24	Election of Supervisory Council	Mgmt	For	For	For
25	Approve Recasting of Votes for Amended Supervisory Council Slate	Mgmt	N/A	Against	N/A
26	Supervisory Council Fees	Mgmt	For	For	For
27	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A

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RANDON S.A. Implementos e Participacoes

Ticker	Security ID:	Meeting Date	Meeting Status		
RAPT3	CINS P7988W103	04/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
5	Elect William Cordeiro as Supervisory Council Member Presented by Preferred Shareholders	Mgmt	N/A	For	N/A

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Santos Brasil Participacoes SA

Ticker Security ID: Meeting Date Meeting Status  
STBP3 CINS P8338G103 04/26/2018 Voted

Meeting Type Country of Trade  
Annual Brazil

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Capital Expenditure Budget	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Board Size	Mgmt	For	For	For
6	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
7	Election of Directors	Mgmt	For	Against	Against
8	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
11	Allocate Cumulative Votes to Veronica Valente Dantas	Mgmt	N/A	Abstain	N/A
12	Allocate Cumulative Votes to Maria Amalia Delfim de Melo Coutrim	Mgmt	N/A	Abstain	N/A
13	Allocate Cumulative Votes to Daniel Pedreira Dorea	Mgmt	N/A	Abstain	N/A
14	Allocate Cumulative Votes to Eduardo de Brito Pereira Azevedo	Mgmt	N/A	Abstain	N/A
15	Allocate Cumulative Votes to Ricardo Schenker Wajnberg	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Jose Luis Bringel Vidal	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Ana Paula Machado Pessoa	Mgmt	N/A	Abstain	N/A
18	Supervisory Council Size	Mgmt	For	For	For
19	Election of Supervisory Council	Mgmt	For	For	For
20	Approve Recasting of Votes for Amended Supervisory Council Slate	Mgmt	N/A	Against	N/A

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21	Remuneration Policy	Mgmt	For	Against	Against
22	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Santos Brasil Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
STBP3	CINS P8338G103	04/26/2018	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sao Martinho SA

Ticker	Security ID:	Meeting Date	Meeting Status		
SMT03	CINS P8493J108	04/02/2018	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger Agreement	Mgmt	For	For	For
4	Ratification of Appointment of Appraiser	Mgmt	For	For	For
5	Valuation Report	Mgmt	For	For	For
6	Merger by Absorption (Usina Boa Vista S.A.)	Mgmt	For	For	For
7	Authorization of Legal Formalities Regarding Merger	Mgmt	For	For	For
8	Amendments to Article 26 (Management Board)	Mgmt	For	For	For

Ser Educacional S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
SEER3	CINS P8T84T100	04/27/2018	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles	Mgmt	For	For	For
4	Consolidation of Articles	Mgmt	For	For	For
5	Merger Agreement	Mgmt	For	For	For
6	Ratification of Appointment of Appraiser	Mgmt	For	For	For

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7	Valuation Report	Mgmt	For	For	For
8	Merger by Absorption (ADEA - Sociedade de Desenvolvimento Educaional Avancado Ltda.)	Mgmt	For	For	For
9	Authorization of Legal Formalities	Mgmt	For	For	For
10	Ratify Updated Global Remuneration Amount	Mgmt	For	For	For
11	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A

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Ser Educacional S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
SEER3	CINS P8T84T100	04/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits to Legal Reserve	Mgmt	For	For	For
4	Allocation of Profits to Tax Incentive Reserve	Mgmt	For	For	For
5	Dividends	Mgmt	For	For	For
6	Capital Expenditure Budget	Mgmt	For	For	For
7	Installation of Supervisory Council	Mgmt	N/A	For	N/A
8	Election of Supervisory Council	Mgmt	For	For	For
9	Approve Recasting of Votes for Amended Supervisory Council Slate	Mgmt	N/A	Against	N/A
10	Remuneration Policy	Mgmt	For	For	For
11	Request Establishment of Supervisory Council	Mgmt	For	For	For
12	Request Separate Election for Supervisory Council Member	Mgmt	N/A	Abstain	N/A
13	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Ser Educacional S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
SEER3	CINS P8T84T100	08/15/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Capitalisation of Reserves w/o Share Issuance	Mgmt	For	For	For
4	Publication of Company Notices	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	Against	Against
6	Consolidation of Articles	Mgmt	For	Against	Against
7	Ratify Amended Directors' Fees	Mgmt	For	For	For
8	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A

Slc Agricola S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
SLCE3	CINS P8711D107	04/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Remuneration Policy	Mgmt	For	Against	Against
5	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Smiles Fidelidade S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
SMLS3	CINS P8T12X108	04/30/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Remuneration Policy	Mgmt	For	For	For
5	Board Size	Mgmt	For	For	For
6	Election of Directors	Mgmt	For	For	For
7	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
8	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
9	Allocate Cumulative Votes to Constantino de Oliveira	Mgmt	N/A	Abstain	N/A

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10	Junior Allocate Cumulative Votes to Joaquim Constantino Neto	Mgmt	N/A	Abstain	N/A
11	Allocate Cumulative Votes to Ricardo Constantino	Mgmt	N/A	Abstain	N/A
12	Allocate Cumulative Votes to Cassio Casseb Lima	Mgmt	N/A	Abstain	N/A
13	Allocate Cumulative Votes to Fabio Bruggioni	Mgmt	N/A	Abstain	N/A
14	Allocate Cumulative Votes to Marcos Grodetzky	Mgmt	N/A	Abstain	N/A
15	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
16	Request Election of Minority Candidate to the Board of Directors	Mgmt	N/A	Abstain	N/A
17	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A
18	Instructions If Meeting is Held on Second Call	Mgmt	N/A	Against	N/A
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Smiles Fidelidade S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
SMLS3	CINS P8T12X108	04/30/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Special	Brazil				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For
4	Amendments to Articles (Technical)	Mgmt	For	For	For
5	Amendments to Articles (Novo Mercado Regulations)	Mgmt	For	For	For
6	Consolidation of Articles	Mgmt	For	For	For
7	Instructions If Meeting is Held on Second Call	Mgmt	N/A	For	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sonda SA

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Ticker	Security ID:	Meeting Date	Meeting Status		
SONDA	CINS P87262104	04/26/2018	Voted		
Meeting Type	Country of Trade				
Ordinary	Chile				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits; Dividend Policy	Mgmt	For	For	For
3	Directors' Fees; Directors' Committee Fees and Budget	Mgmt	For	Abstain	Against
4	Presentation of Report on Directors' Committee Activities and Expenditures	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For
6	Appointment of Auditor and Risk Rating Agency	Mgmt	For	For	For
7	Publication of Company Notices	Mgmt	For	For	For
8	Presentation of Report of Shareholder Communication Costs	Mgmt	For	For	For
9	Transaction of Other Business	Mgmt	N/A	Against	N/A

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### TOTVS S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
TOTS3	CINS P92184103	12/20/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Merger Agreement	Mgmt	For	For	For
6	Ratification of the Appointment of the Appraiser	Mgmt	For	For	For
7	Valuation Report	Mgmt	For	For	For
8	Merger by Absorption (Virtual Age Solucoes em Tecnologia Ltda.)	Mgmt	For	For	For
9	Elect Claudia Elisa de Pinho Soares	Mgmt	For	For	For
10	Request the Establishment of a Supervisory Council	Mgmt	N/A	For	N/A
11	Instruction if Meeting is Held on Second	Mgmt	N/A	For	N/A

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Call

Totvs SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
TOTS3	CINS P92184103	04/05/2018		Voted		
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Capitalization of Reserves w/o Share Issuance	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	
5	Consolidation of Articles	Mgmt	For	For	For	
6	Amendments to Restricted Share Plan	Mgmt	For	Against	Against	
7	Approve Granting of Restricted Shares	Mgmt	For	For	For	
8	Request Establishment of Supervisory Council	Mgmt	N/A	For	N/A	
9	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A	

Totvs SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
TOTS3	CINS P92184103	04/05/2018		Voted		
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Capital Expenditure Budget	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Board Size	Mgmt	For	For	For	
6	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A	
7	Elect Claudia Elisa de Pinho Soares	Mgmt	For	For	For	
8	Elect Gilberto Mifano	Mgmt	For	For	For	
9	Elect Guilherme Stocco Filho	Mgmt	For	For	For	
10	Elect Laercio Jose de Lucena Cosentino	Mgmt	For	For	For	
11	Elect Maria Leticia de Freitas Costa	Mgmt	For	For	For	
12	Elect Mauro Gentile Rodrigues da Cunha	Mgmt	For	For	For	

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13	Elect Paulo Sergio Caputo	Mgmt	For	For	For
14	Elect Pedro Luiz Barreiros Passos	Mgmt	For	For	For
15	Elect Wolney Edirley Goncalves Betiol	Mgmt	For	For	For
16	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Claudia Elisa de Pinho Soares	Mgmt	N/A	Abstain	N/A
18	Allocate Cumulative Votes to Gilberto Mifano	Mgmt	N/A	Abstain	N/A
19	Allocate Cumulative Votes to Guilherme Stocco Filho	Mgmt	N/A	Abstain	N/A
20	Allocate Cumulative Votes to Laercio Jose de Lucena Cosentino	Mgmt	N/A	Abstain	N/A
21	Allocate Cumulative Votes to Maria Leticia de Freitas Costa	Mgmt	N/A	Abstain	N/A
22	Allocate Cumulative Votes to Mauro Gentile Rodrigues Da Cunha	Mgmt	N/A	Abstain	N/A
23	Allocate Cumulative Votes to Paulo Sergio Caputo	Mgmt	N/A	Abstain	N/A
24	Allocate Cumulative Votes to Pedro Luiz Barreiros Passos	Mgmt	N/A	Abstain	N/A
25	Allocate Cumulative Votes to Wolney Edirley Goncalves Betiol	Mgmt	N/A	Abstain	N/A
26	Remuneration Policy	Mgmt	For	For	For
27	Request Establishment of Supervisory Council	Mgmt	For	For	For
28	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A
29	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
30	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
31	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Transmissora Alianca de Energia Eletrica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
TAE3	CINS P9T5CD126	04/26/2018	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Request Cumulative Voting	Mgmt	N/A	Abstain	N/A
5	Elect Jose Maria Rabelo	Mgmt	For	For	For
6	Elect Jose Afonso Bicalho Beltrao da Silva	Mgmt	For	For	For
7	Elect Paulo de Moura Ramos	Mgmt	For	Against	Against
8	Elect Jorge Raimundo Nahas	Mgmt	For	For	For
9	Elect Alcione Maria Martins Comonian	Mgmt	For	For	For
10	Elect Bernardo Vargas Gibsone	Mgmt	For	Against	Against
11	Elect Ana Milena Lopez Rocha	Mgmt	For	For	For
12	Elect Hermes Jorge Chipp	Mgmt	For	For	For
13	Elect Fernando Bunker Gentil	Mgmt	For	For	For
14	Elect Francois Moreau	Mgmt	For	For	For
15	Elect Celso Maia de Barros	Mgmt	For	For	For
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
18	Allocate Cumulative Votes to Jose Maria Rabelo	Mgmt	N/A	Abstain	N/A
19	Allocate Cumulative Votes to Jose Afonso Bicalho Beltrao da Silva	Mgmt	N/A	Abstain	N/A
20	Allocate Cumulative Votes to Paulo de Moura Ramos	Mgmt	N/A	Abstain	N/A
21	Allocate Cumulative Votes to Jorge Raimundo Nahas	Mgmt	N/A	Abstain	N/A
22	Allocate Cumulative Votes to Alcione Maria Martins Comonian	Mgmt	N/A	Abstain	N/A
23	Allocate Cumulative Votes to Bernardo Vargas Gibsone	Mgmt	N/A	Abstain	N/A
24	Allocate Cumulative Votes to Ana Milena	Mgmt	N/A	Abstain	N/A

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	Lopez Rocha					
25	Allocate Cumulative Votes to Hermes Jorge Chipp	Mgmt	N/A	Abstain	N/A	
26	Allocate Cumulative Votes to Fernando Bunker Gentil	Mgmt	N/A	Abstain	N/A	
27	Allocate Cumulative Votes to Francois Moreau	Mgmt	N/A	Abstain	N/A	
28	Allocate Cumulative Votes to Celso Maia de Barros	Mgmt	N/A	Abstain	N/A	
29	Supervisory Council Size	Mgmt	For	For	For	
30	Elect Marco Antonio de Rezende Teixeira	Mgmt	For	For	For	
31	Elect Helvecio Miranda Magalhaes	Mgmt	For	For	For	
32	Elect Manuel Domingues de Jesus e Pinho	Mgmt	For	For	For	
33	Elect Susana Furquim Xavier Couto	Mgmt	For	For	For	
34	Elect Marcello Joaquim Pacheco	Mgmt	N/A	For	N/A	
35	Remuneration Policy	Mgmt	For	Against	Against	
36	Supervisory Councils' Fees	Mgmt	For	For	For	
37	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
38	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Transmissora Alianca de Energia Eletrica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
TAAE3	CINS P9T5CD126	06/14/2018	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Ratify Participation in Auction of Transmission of Facility Concessions	Mgmt	For	For	For	
3	Establish Special Purpose Vehicles	Mgmt	For	For	For	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Tupy SA

Ticker	Security ID:	Meeting Date	Meeting Status			
TUPY3	CINS P9414P108	04/27/2018	Voted			
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Supervisory Council	Mgmt	For	N/A	N/A
9	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
10	Elect Joao Augusto Monteiro as Supervisory Council Member Presented by Minority Shareholders	Mgmt	For	For	For
11	Remuneration Policy	Mgmt	For	Against	Against

Valid Solucoes E SV De SEG EM Meios

Ticker	Security ID:	Meeting Date	Meeting Status		
VLID3	CINS P9656C112	04/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Remuneration Policy	Mgmt	For	For	For
6	Elect Diogo Lisa de Figueiredo	Mgmt	For	Against	Against
7	Elect Vanderlei da Rosa	Mgmt	For	For	For
8	Elect Murici dos Santos	Mgmt	For	Against	Against
9	Supervisory Councils' Fees	Mgmt	For	For	For
10	Instructions if Meeting is Held on Second Call	Mgmt	N/A	Against	N/A

Valid Solucoes E SV De SEG EM Meios

Ticker	Security ID:	Meeting Date	Meeting Status		
VLID3	CINS P9656C112	04/26/2018	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles (Novo Mercado Regulations)	Mgmt	For	For	For
4	Amendments to Long-Term Incentive Plan	Mgmt	For	For	For
5	Instructions if	Mgmt	N/A	Against	N/A

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Meeting is Held on  
Second  
Call

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Valid Solucoes E SV De SEG EM Meios

Ticker	Security ID:	Meeting Date	Meeting Status			
VLID3	CINS P9656C112	04/26/2018	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Articles (Corporate Name)	Mgmt	For	For	For	
3	Instructions if Meeting is Held on Second Call	Mgmt	N/A	For	N/A	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Valid Solucoes E SV De SEG EM Meios

Ticker	Security ID:	Meeting Date	Meeting Status			
VLID3	CINS P9656C112	06/08/2018	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Long-Term Incentive Plan	Mgmt	For	For	For	
4	Amendments to Articles (Novo Mercado Regulations); Consolidation of Articles	Mgmt	For	For	For	

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Valid Solucoes E SV De SEG EM Meios

Ticker	Security ID:	Meeting Date	Meeting Status			
VLID3	CINS P9656C112	06/08/2018	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Articles (Corporate Name); Consolidation of Articles	Mgmt	For	For	For	

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Wiz Solucoes E Corretagem De Seguros S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
WIZS3	CINS P98806105	03/21/2018	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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3	Amendments to Article 16 (Role of Chair and CEO)	Mgmt	For	For	For
4	Amendments to Articles 26 and 32 (Director of Legal and Compliance)	Mgmt	For	For	For
5	Amendments to Article 41 (Dividend Distribution)	Mgmt	For	For	For
6	Consolidation of Articles	Mgmt	For	For	For

Wiz Solucoes E Corretagem De Seguros S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
WIZS3	CINS P98806105	04/19/2018	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	For	For
7	Ratify Updated Global Remuneration Amount	Mgmt	For	Against	Against
8	Request Cumulative Voting for the Election of Directors	Mgmt	N/A	Abstain	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Election of Directors	Mgmt	For	Abstain	Against
11	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Proportional Allocation of Cumulative Votes	Mgmt	N/A	Abstain	N/A
14	Allocate Cumulative Votes to Fernando Carlos Borges de Melo Filho	Mgmt	N/A	Abstain	N/A
15	Allocate Cumulative Votes to Gabriela Susana Ortiz de Rozas	Mgmt	N/A	Abstain	N/A
16	Allocate Cumulative Votes to Jair Pedro Ferreira	Mgmt	N/A	Abstain	N/A
17	Allocate Cumulative Votes to Camilo Godoy	Mgmt	N/A	Abstain	N/A
18	Allocate Cumulative Votes to Miguel Angelo Junger Simas	Mgmt	N/A	Abstain	N/A

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19	Allocate Cumulative Votes to Luis Carlos Martins Alves Junior	Mgmt	N/A	Abstain	N/A
20	Allocate Cumulative Votes to Sergio Hiroshi Takemoto	Mgmt	N/A	Abstain	N/A
21	Request Separate Election for Board Member(s)	Mgmt	N/A	Abstain	N/A
22	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
24	Elect Isabella Saboya de Albuquerque as Board Member Presented by Minority Shareholders	Mgmt	N/A	For	N/A
25	Elect Shakhaf Wine as Board Member Presented by Minority Shareholders	Mgmt	N/A	For	N/A
26	Cumulate Common and Preferred Votes	Mgmt	N/A	For	N/A
27	Request establishment of Supervisory Council	Mgmt	N/A	For	N/A

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Wiz Solucoes E Corretagem De Seguros S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
WIZS3	CINS P98806105	09/13/2017	Voted
Meeting Type	Country of Trade		
Special	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Elect Luis Carlos Martins Alves Junior; Removal of Director	Mgmt	For
			For
4	Elect Gabriela Susana Ortiz de Rozas	Mgmt	For
			For
5	Interim Dividend	Mgmt	For
			For
6	Non-Voting Meeting Note	N/A	N/A
			N/A
Fund Name : VanEck Vectors CEF Municipal Income ETF			

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AllianceBernstein National Municipal Income Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status
AFB	CUSIP 01864U106	03/28/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1.1	Elect Marshall C. Turner, Jr.	Mgmt	For
			For
1.2	Elect Garry L. Moody	Mgmt	For
			For
1.3	Elect Earl D. Weiner	Mgmt	For
			For

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BlackRock Investment Quality Municipal Trust  
 Ticker Security ID: Meeting Date Meeting Status  
 BKN CUSIP 09247D105 07/25/2017 Voted  
 Meeting Type Country of Trade  
 Annual United States  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For

BlackRock Long-Term Municipal Advantage Trust  
 Ticker Security ID: Meeting Date Meeting Status  
 BTA CUSIP 09250B103 07/25/2017 Voted  
 Meeting Type Country of Trade  
 Annual United States  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For

BlackRock Muni Intermediate Duration Fund Inc  
 Ticker Security ID: Meeting Date Meeting Status  
 MUI CUSIP 09253X102 07/25/2017 Voted  
 Meeting Type Country of Trade  
 Annual United States  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Muniassets Fund Inc  
 Ticker Security ID: Meeting Date Meeting Status  
 MUA CUSIP 09254J102 07/25/2017 Voted  
 Meeting Type Country of Trade  
 Annual United States  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.3	Elect W. Carl Kester	Mgmt	For	For	For
1.4	Elect John M. Perlowski	Mgmt	For	For	For

BlackRock Municipal 2018 Term Trust  
 Ticker Security ID: Meeting Date Meeting Status  
 BPK CUSIP 09248C106 07/25/2017 Voted  
 Meeting Type Country of Trade  
 Annual United States

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.3	Elect W. Carl Kester	Mgmt	For	For	For
1.4	Elect John M. Perlowski	Mgmt	For	For	For

BlackRock Municipal 2020 Term Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
BKK	CUSIP 09249X109	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For

BlackRock Municipal 2030 Target Term Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
BTT	CUSIP 09257P105	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For

BlackRock Municipal Bond Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
BBK	CUSIP 09249H104	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For

BlackRock Municipal Income Quality Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
BYM	CUSIP 092479104	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For

BlackRock Municipal Income Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
BFK	CUSIP 09248F109	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1.1	Elect Michael J. Castellano	Mgmt	For	For	Mgmt For
1.2	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For
<hr/>					
BlackRock Municipal Income Trust II					
Ticker	Security ID:	Meeting Date		Meeting Status	
BLE	CUSIP 09249N101	07/25/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.3	Elect John M. Perlowski	Mgmt	For	For	For
<hr/>					
BlackRock Munienhanced Fund Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
MEN	CUSIP 09253Y100	07/25/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For
<hr/>					
BlackRock MuniHoldings Fund II Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
MUH	CUSIP 09253P109	07/25/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For
<hr/>					
BlackRock MuniHoldings Fund Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
MHD	CUSIP 09253N104	07/25/2017		Voted	
Meeting Type		Country of Trade			

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock MuniHoldings Investment Quality Fund

Ticker Security ID: Meeting Date Meeting Status  
MFL CUSIP 09254P108 07/25/2017 Voted

Meeting Type Country of Trade  
Annual United States

Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael J. Castellano	Mgmt	For	For	For
2	Elect Richard E. Cavanagh	Mgmt	For	For	For
3	Elect Cynthia L. Egan	Mgmt	For	For	For
4	Elect Jerrold B. Harris	Mgmt	For	For	For
5	Elect R. Glenn Hubbard	Mgmt	For	For	For
6	Elect Catherine A. Lynch	Mgmt	For	For	For
7	Elect Barbara G. Novick	Mgmt	For	For	For
8	Elect John M. Perlowski	Mgmt	For	For	For
9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock MuniHoldings Quality Fund II Inc

Ticker Security ID: Meeting Date Meeting Status  
MUE CUSIP 09254C107 07/25/2017 Voted

Meeting Type Country of Trade  
Annual United States

Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock MuniHoldings Quality Fund Inc

Ticker Security ID: Meeting Date Meeting Status  
MUS CUSIP 09254A101 07/25/2017 Voted

Meeting Type Country of Trade  
Annual United States

Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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					Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Munivest Fund II Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MVT	CUSIP 09253T101	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock MuniVest Fund, Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MVF	CUSIP 09253R105	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock MuniYield Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MYD	CUSIP 09253W104	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J.	Mgmt	For	For	For

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1.2	Castellano Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock MuniYield Investment Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
MYF	CUSIP 09254R104	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael J. Castellano	Mgmt	For	For	For
2	Elect Richard E. Cavanagh	Mgmt	For	For	For
3	Elect Cynthia L. Egan	Mgmt	For	For	For
4	Elect Jerrold B. Harris	Mgmt	For	For	For
5	Elect R. Glenn Hubbard	Mgmt	For	For	For
6	Elect Catherine A. Lynch	Mgmt	For	For	For
7	Elect Barbara G. Novick	Mgmt	For	For	For
8	Elect John M. Perlowski	Mgmt	For	For	For
9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock MuniYield Quality Fund II Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MQT	CUSIP 09254G108	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Frank J. Fabozzi	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock MuniYield Quality Fund III Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MYI	CUSIP 09254E103	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For

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	Cavanagh					
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For	For

BlackRock MuniYield Quality Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MQY	CUSIP 09254F100	07/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

Deutsche Municipal Income Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
KTF	CUSIP 25160C106	09/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John W. Ballantine	Mgmt	For	For	For
1.2	Elect Kenneth C. Froewiss	Mgmt	For	For	For
1.3	Elect Rebecca W. Rimel	Mgmt	For	For	For

Dreyfus Funds

Ticker	Security ID:	Meeting Date	Meeting Status		
LEO	CUSIP 261932107	06/13/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Burton N. Wallack	Mgmt	For	For	For
1.2	Elect Joan L. Gulley	Mgmt	For	For	For

Dreyfus Funds

Ticker	Security ID:	Meeting Date	Meeting Status		
DSM	CUSIP 26202F107	06/13/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Joseph S. DiMartino	Mgmt	For	For	For

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1.2	Elect Joan L. Guley	Mgmt	For	For	For
<hr/>					
Dreyfus Municipal Bond Infrastructure Fund Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
DMB	CUSIP 26203D101	08/08/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Joseph S. DiMartino	Mgmt	For	For	For
1.2	Elect Isabel P. Dunst	Mgmt	For	For	For
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Dreyfus Municipal Income, Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
DMF	CUSIP 26201R102	06/13/2018		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Francine J. Bovich	Mgmt	For	For	For
1.2	Elect Gordon J. Davis	Mgmt	For	For	For
1.3	Elect Roslyn M. Watson	Mgmt	For	For	For
<hr/>					
Eaton Vance Funds					
Ticker	Security ID:	Meeting Date		Meeting Status	
EOT	CUSIP 27829L105	01/18/2018		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark R. Fetting	Mgmt	For	For	For
1.2	Elect George J. Gorman	Mgmt	For	For	For
1.3	Elect Helen Frame Peters	Mgmt	For	For	For
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Eaton Vance Municipal Bond Fund					
Ticker	Security ID:	Meeting Date		Meeting Status	
EIM	CUSIP 27827X101	07/20/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect George J. Gorman	Mgmt	For	For	For
1.2	Elect Helen Frame Peters	Mgmt	For	For	For
1.3	Elect Susan J. Sutherland	Mgmt	For	For	For
1.4	Elect Harriett Tee Taggart	Mgmt	For	For	For
<hr/>					
Eaton Vance Municipal Bond Fund II					
Ticker	Security ID:	Meeting Date		Meeting Status	
EIV	CUSIP 27827K109	07/20/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect George J. Gorman	Mgmt	For	For	For
1.2	Elect Susan J. Sutherland	Mgmt	For	For	For

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1.3	Elect Harriett Tee Taggart	Mgmt	For	For	For
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Eaton Vance Municipal Income 2028 Term Trust					
Ticker	Security ID:	Meeting Date		Meeting Status	
ETX	CUSIP 27829U105	11/16/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect George J. Gorman	Mgmt	For	For	For
1.2	Elect William H. Park	Mgmt	For	For	For
1.3	Elect Susan J. Sutherland	Mgmt	For	For	For
1.4	Elect Harriett Tee Taggart	Mgmt	For	For	For
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Eaton Vance Municipal Income Trust					
Ticker	Security ID:	Meeting Date		Meeting Status	
EVN	CUSIP 27826U108	03/22/2018		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Cynthia E. Frost	Mgmt	For	For	For
1.2	Elect Valerie A. Mosley	Mgmt	For	For	For
1.3	Elect Susan J. Sutherland	Mgmt	For	For	For
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Invesco Mun Income Opportunities Trust II					
Ticker	Security ID:	Meeting Date		Meeting Status	
IQT	CUSIP 46132P108	09/08/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Teresa M. Ressel	Mgmt	For	For	For
1.2	Elect Larry Soll	Mgmt	For	For	For
1.3	Elect Philip A. Taylor	Mgmt	For	For	For
1.4	Elect Christopher L. Wilson	Mgmt	For	For	For
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Invesco Mun Income Opportunities Trust II					
Ticker	Security ID:	Meeting Date		Meeting Status	
IMT	CUSIP 46133G107	09/08/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Teresa M. Ressel	Mgmt	For	For	For
1.2	Elect Larry Soll	Mgmt	For	For	For
1.3	Elect Philip A. Taylor	Mgmt	For	For	For
1.4	Elect Christopher L. Wilson	Mgmt	For	For	For
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Invesco Municipal Income Opportunities Trust					
Ticker	Security ID:	Meeting Date		Meeting Status	
PIA	CUSIP 46132X101	09/08/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1.1	Elect David C. Arch	Mgmt	For	For	For
1.2	Elect Teresa M. Ressel	Mgmt	For	For	For
1.3	Elect Larry Soll	Mgmt	For	For	For
1.4	Elect Philip A. Taylor	Mgmt	For	For	For
1.5	Elect Christopher L. Wilson	Mgmt	For	For	For

### Invesco Municipal Opportunity Trust

Ticker	Security ID:	Meeting Date	Meeting Status
VMO	CUSIP 46132C107	09/08/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Teresa M. Ressel	Mgmt	For
1.2	Elect Larry Soll	Mgmt	For
1.3	Elect Philip A. Taylor	Mgmt	For
1.4	Elect Christopher L. Wilson	Mgmt	For

### Invesco Municipal Trust

Ticker	Security ID:	Meeting Date	Meeting Status
VKQ	CUSIP 46131J103	09/08/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Teresa M. Ressel	Mgmt	For
1.2	Elect Larry Soll	Mgmt	For
1.3	Elect Philip A. Taylor	Mgmt	For
1.4	Elect Christopher L. Wilson	Mgmt	For

### Invesco Trust for Investment Grade Municipals

Ticker	Security ID:	Meeting Date	Meeting Status
VGM	CUSIP 46131M106	09/08/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Teresa M. Ressel	Mgmt	For
1.2	Elect Larry Soll	Mgmt	For
1.3	Elect Philip A. Taylor	Mgmt	For
1.4	Elect Christopher L. Wilson	Mgmt	For

### Invesco Trust For Investment Grade New York Municipals

Ticker	Security ID:	Meeting Date	Meeting Status
VPV	CUSIP 46132E103	09/08/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Teresa M. Ressel	Mgmt	For
1.2	Elect Larry Soll	Mgmt	For
1.3	Elect Philip A. Taylor	Mgmt	For
1.4	Elect Christopher L. Wilson	Mgmt	For

### MainStay DefinedTerm Municipal Opportunities Fund

Ticker	Security ID:	Meeting Date	Meeting Status
MMD	CUSIP 56064K100	09/27/2017	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Alan R. Latshaw	Mgmt	For	For	For

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MFS High Income Municipal Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
CXE	CUSIP 59318D104	10/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Steven E. Buller	Mgmt	For	For	For
1.2	Elect Michael Hegarty	Mgmt	For	For	For

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MFS Municipal Income Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
MFM	CUSIP 552738106	10/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Steven E. Buller	Mgmt	For	For	For
1.2	Elect Michael Hegarty	Mgmt	For	For	For

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Neuberger Berman Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
NBH	CUSIP 64124P101	09/07/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Martha Clark Goss	Mgmt	For	For	For
1.2	Elect James G. Stavridis	Mgmt	For	For	For
1.3	Elect Candace L. Straight	Mgmt	For	For	For
1.4	Elect Joseph V. Amato	Mgmt	For	For	For

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Nuveen AMT-Free Municipal Credit Income Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NVG	CUSIP 67071L106	08/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David J. Kundert	Mgmt	For	For	For
1.2	Elect John K. Nelson	Mgmt	For	For	For
1.3	Elect Terence J. Toth	Mgmt	For	For	For
1.4	Elect Robert L. Young	Mgmt	For	For	For

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Nuveen AMT-Free Quality Municipal Income Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NEA	CUSIP 670657105	08/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David J. Kundert	Mgmt	For	For	For
1.2	Elect John K. Nelson	Mgmt	For	For	For
1.3	Elect Terence J. Toth	Mgmt	For	For	For

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1.4	Elect Robert L. Young	Mgmt	For	For	For
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Nuveen Enhanced Municipal Value Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
NEV	CUSIP 67074M101	08/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David J. Kundert	Mgmt	For	For	For
1.2	Elect John K. Nelson	Mgmt	For	For	For
1.3	Elect Terence J. Toth	Mgmt	For	For	For
1.4	Elect Robert L. Young	Mgmt	For	For	For
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Nuveen Floating Rate Income Opportunity Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
JRO	ISIN US6706EN1001	04/11/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	N/A	N/A	N/A	N/A
1.1	Elect Margo L. Cook	MGMT	For	For	For
1.2	Elect Jack B. Evans	MGMT	For	For	For
1.3	Elect Albin F. Moschner	MGMT	For	For	For
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Nuveen Municipal High Income Opportunity Fund 2					
Ticker	Security ID:	Meeting Date	Meeting Status		
NMZ	CUSIP 670682103	08/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David J. Kundert	Mgmt	For	For	For
1.2	Elect John K. Nelson	Mgmt	For	For	For
1.3	Elect Terence J. Toth	Mgmt	For	For	For
1.4	Elect Robert L. Young	Mgmt	For	For	For
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Nuveen Municipal Value Fund Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
NUV	CUSIP 670928100	08/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David J. Kundert	Mgmt	For	For	For
1.2	Elect John K. Nelson	Mgmt	For	For	For
1.3	Elect Terence J. Toth	Mgmt	For	For	For
1.4	Elect Robert L. Young	Mgmt	For	For	For
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Nuveen Quality Municipal Income Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
NZF	CUSIP 67070X101	08/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David J. Kundert	Mgmt	For	For	For
1.2	Elect John K. Nelson	Mgmt	For	For	For
1.3	Elect Terence J. Toth	Mgmt	For	For	For
1.4	Elect Robert L. Young	Mgmt	For	For	For

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### Nuveen Quality Municipal Income Fund

Ticker	Security ID:	Meeting Date	Meeting Status
NAD	CUSIP 67066V101	08/02/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1.1	Elect David J. Kundert	Mgmt	For
1.2	Elect John K. Nelson	Mgmt	For
1.3	Elect Terence J. Toth	Mgmt	For
1.4	Elect Robert L. Young	Mgmt	For

### Nuveen Select Tax-Free Income Portfolio

Ticker	Security ID:	Meeting Date	Meeting Status
NXQ	CUSIP 67063C106	08/02/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1.1	Elect David J. Kundert	Mgmt	For
1.2	Elect John K. Nelson	Mgmt	For
1.3	Elect Terence J. Toth	Mgmt	For
1.4	Elect Robert L. Young	Mgmt	For

### Nuveen Select Tax-Free Income Portfolio

Ticker	Security ID:	Meeting Date	Meeting Status
NXP	CUSIP 67062F100	08/02/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1.1	Elect David J. Kundert	Mgmt	For
1.2	Elect John K. Nelson	Mgmt	For
1.3	Elect Terence J. Toth	Mgmt	For
1.4	Elect Robert L. Young	Mgmt	For

### Nuveen Select Tax-Free Income Portfolio

Ticker	Security ID:	Meeting Date	Meeting Status
NXR	CUSIP 67063X100	08/02/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1.1	Elect David J. Kundert	Mgmt	For
1.2	Elect John K. Nelson	Mgmt	For
1.3	Elect Terence J. Toth	Mgmt	For
1.4	Elect Robert L. Young	Mgmt	For

### Nuveen Tax-Advantaged Dividend Growth Fund

Ticker	Security ID:	Meeting Date	Meeting Status
NUW	CUSIP 670695105	08/02/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1.1	Elect David J. Kundert	Mgmt	For
1.2	Elect John K. Nelson	Mgmt	For
1.3	Elect Terence J. Toth	Mgmt	For
1.4	Elect Robert L. Young	Mgmt	For

### Pimco Municipal Income Fund

Ticker	Security ID:	Meeting Date	Meeting Status
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PMF	CUSIP 72200R107	12/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Bradford K. Gallagher	Mgmt	For	For	For
1.2	Elect William B. Ogden IV	Mgmt	For	For	For
1.3	Elect Craig A. Dawson	Mgmt	For	For	For
1.4	Elect John C. Maney	Mgmt	For	For	For

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Pimco Municipal Income Fund II					
Ticker	Security ID:	Meeting Date	Meeting Status		
PML	CUSIP 72200W106	12/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Bradford K. Gallagher	Mgmt	For	For	For
1.2	Elect William B. Ogden IV	Mgmt	For	For	For
1.3	Elect Craig A. Dawson	Mgmt	For	For	For
1.4	Elect John C. Maney	Mgmt	For	For	For

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Pimco Municipal Income Fund III					
Ticker	Security ID:	Meeting Date	Meeting Status		
PYN	CUSIP 72201A103	12/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Deborah A. DeCotis	Mgmt	For	For	For
1.2	Elect William B. Ogden IV	Mgmt	For	For	For
1.3	Elect Craig A. Dawson	Mgmt	For	For	For
1.4	Elect John C. Maney	Mgmt	For	For	For

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Pioneer Municipal High Income Advantage Trust					
Ticker	Security ID:	Meeting Date	Meeting Status		
MAV	CUSIP 723762100	09/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Benjamin M. Friedman	Mgmt	For	For	For
1.2	Elect Margaret B. Graham	Mgmt	For	For	For
1.3	Elect Kenneth J. Taubes	Mgmt	For	For	For

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Pioneer Municipal High Income Trust					
Ticker	Security ID:	Meeting Date	Meeting Status		
MHI	CUSIP 723763108	09/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Benjamin M. Friedman	Mgmt	For	For	For

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1.2	Elect Margaret B. Graham	Mgmt	For	For	For
1.3	Elect Kenneth J. Taubes	Mgmt	For	For	For

### Putnam Managed Municipal Income Trust.

Ticker	Security ID:	Meeting Date	Meeting Status		
PMM	CUSIP 746823103	04/27/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast For/Against Mgmt
1	Set Board Size at Twelve	Mgmt	For		For
2.1	Elect Liaquat Ahamed	Mgmt	For		For
2.2	Elect Ravi Akhoury	Mgmt	For		For
2.3	Elect Barbara M. Baumann	Mgmt	For		For
2.4	Elect Jameson A. Baxter	Mgmt	For		For
2.5	Elect Katinka Domotorffy	Mgmt	For		For
2.6	Elect Catharine Bond Hill	Mgmt	For		For
2.7	Elect Paul L. Joskow	Mgmt	For		For
2.8	Elect Kenneth R. Leibler	Mgmt	For		For
2.9	Elect Robert L. Reynolds	Mgmt	For		For
2.10	Elect Manoj P. Singh	Mgmt	For		For

### Putnam Municipal Opportunities Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
PMO	CUSIP 746922103	04/27/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast For/Against Mgmt
1	Set Board Size at Twelve	Mgmt	For		For
2.1	Elect Liaquat Ahamed	Mgmt	For		For
2.2	Elect Ravi Akhoury	Mgmt	For		For
2.3	Elect Barbara M. Baumann	Mgmt	For		For
2.4	Elect Jameson A. Baxter	Mgmt	For		For
2.5	Elect Katinka Domotorffy	Mgmt	For		For
2.6	Elect Catharine Bond Hill	Mgmt	For		For
2.7	Elect Paul L. Joskow	Mgmt	For		For
2.8	Elect Kenneth R. Leibler	Mgmt	For		For
2.9	Elect Robert L. Reynolds	Mgmt	For		For
2.10	Elect Manoj P. Singh	Mgmt	For		For

### Western Asset Managed Municipals Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MMU	CUSIP 95766M105	09/29/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast For/Against Mgmt
1.1	Elect Robert D. Agdern	Mgmt	For		For

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1.2	Elect William R. Hutchinson	Mgmt	For	For	For
1.3	Elect Riordan Roett	Mgmt	For	For	For

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### Western Asset Mortgage Defined Opportunity Fund Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MTT	CUSIP 958435109	03/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert D. Agdern	Mgmt	For	For	For
1.2	Elect William R. Hutchinson	Mgmt	For	For	For
1.3	Elect Riordan Roett	Mgmt	For	For	For

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### Western Asset Municipal High Income Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MHF	CUSIP 95766N103	02/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Carol L. Colman	Mgmt	For	For	For
1.2	Elect Riordan Roett	Mgmt	For	For	For
1.3	Elect Jane E. Trust	Mgmt	For	For	For

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### Western Asset Municipal Partners Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MNP	CUSIP 958435109	03/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Carol L. Colman	Mgmt	For	For	For
1.2	Elect Leslie H. Gelb	Mgmt	For	For	For
Fund Name : VanEck Vectors ChinaAMC CSI 300 ETF					

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### AECC Aero-Engine Control Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000738	CINS Y6203U108	05/11/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
6	2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For
7	2017 Continuing Connected Transactions Results	Mgmt	For	Against	Against
8	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	Against	Against
9	Financial Service Agreement with a	Mgmt	For	Against	Against

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	Related Finance Company					
10	Appointment of Auditor	Mgmt	For	For	For	For
11	Remuneration Management Measures for Directors, Supervisors and Senior Management	Mgmt	For	For	For	For

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AECC Aero-Engine Control Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000738	CINS Y6203U108	11/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHAO Songzheng as Director	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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AECC Aviation Power Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	01/24/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Application for 2018 Financing Quota	Mgmt	For	Abstain	Against
4	Implementation of Projects	Mgmt	For	For	For

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AECC Aviation Power Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	02/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Approval of Money Lending Application	Mgmt	For	For	For
3	Changes in Implementation Contents of Raised Funds Investment Project	Mgmt	For	For	For
4	Elect Zhang Minsheng	Mgmt	For	For	For
5	Elect Yang Xianfeng	Mgmt	For	For	For
6	Elect Huang Xingdong	Mgmt	For	For	For
7	Elect Yang Sen	Mgmt	For	For	For
8	Elect Peng Jianwu	Mgmt	For	For	For
9	Elect Mou Xin	Mgmt	For	For	For

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10	Elect Qiu Guoxin	Mgmt	For	For	For
11	Elect Zhao Jinde	Mgmt	For	For	For
12	Elect Liang Gongqian	Mgmt	For	For	For
13	Elect Wang Zhulin	Mgmt	For	For	For
14	Elect Yue Yun	Mgmt	For	For	For
15	Elect Shi Jingming	Mgmt	For	Against	Against
16	Elect Wang Lutang	Mgmt	For	For	For

AECC Aviation Power Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	04/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
8	2017 Related Party Transactions	Mgmt	For	Against	Against
9	Performance Remuneration for Directors.	Mgmt	For	For	For
10	Financial Budget	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Investment in Structured Deposits	Mgmt	For	For	For
13	Election of Board Committee Members	Mgmt	For	Against	Against

AECC Aviation Power Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	05/14/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Abjuration of the Preemptive Right to Acquire Equities in a Subsidiary	Mgmt	For	For	For
3	Elect SUN Hongwei	Mgmt	For	Against	Against

AECC Aviation Power Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	05/30/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Misc. Management Proposal	Mgmt	For	For	Mgmt For
AECC Aviation Power Co. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	10/09/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase in Wholly-owned Subsidiaries	Mgmt	For	For	For
AECC Aviation Power Co. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	11/23/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2017 Additional Financing Quota	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For
3	Adjustment to Implementing Contents of Raised Funds	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
Agricultural Bank of China					
Ticker	Security ID:	Meeting Date	Meeting Status		
601288	ISIN CNE100000RJ0	03/29/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Fixed Assets Investment Budget	MGMT	For	For	For
2	Elect LI Qiyun as a Director	MGMT	For	For	For
3	Grant to the Board of A General Mandate to Issue Shares	MGMT	For	For	For
4	Private Placement of A Shares	MGMT	For	For	For
5.1	Class and Par Value	MGMT	For	For	For
5.2	Issuance Method	MGMT	For	For	For
5.3	Amount and Use of Proceeds	MGMT	For	For	For
5.4	Target Subscribers and Subscription Method	MGMT	For	For	For
5.5	Issuance Price and Pricing Principles	MGMT	For	For	For
5.6	Issuing Volume	MGMT	For	For	For

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5.7	Lock-up Period	MGMT	For	For	For
5.8	Place of Listing	MGMT	For	For	For
5.9	Undistributed Profits	MGMT	For	For	For
5.10	Validity of Resolution	MGMT	For	For	For
6	Proposal on Being Qualified for Private Placement	MGMT	For	For	For
7	Feasibility Analysis Report on the Use of Proceeds	MGMT	For	For	For
8	Report on Utilization of Proceeds from Previous Fund Raising	MGMT	For	For	For
9	Remedial Measures Regarding Dilution on Returns	MGMT	For	For	For
10	Shareholders' Return Plan 2018-2020	MGMT	For	For	For

Agricultural Bank of China

Ticker	Security ID:	Meeting Date	Meeting Status		
1288	CINS Y00289101	05/11/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Profit Distribution Plan	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
8	Elect XIAO Xing	Mgmt	For	For	For
9	Charitable Donations	Mgmt	For	For	For
10	Elect HU Xiaohui	Mgmt	For	Against	Against

Agricultural Bank of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1288	CINS Y00289101	12/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Rules of Procedure of Shareholders' General Meeting	Mgmt	For	For	For
3	Amendments to Rules of Procedure of Board of Directors	Mgmt	For	For	For
4	Amendments to Rules of Procedure of Board of	Mgmt	For	For	For

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5	Supervisors Adjustment to Board Authorization regarding Approving Write-off of Credit Assets	Mgmt	For	For	For
6	Elect WANG Wei	Mgmt	For	For	For
7	Elect GUO Ningning	Mgmt	For	For	For
8	Directors' and Supervisors' Final Remuneration for 2016	Mgmt	For	For	For
9	Elect ZHANG Dinglong	Mgmt	For	Against	Against
10	Elect CHEN Jianbo	Mgmt	For	For	For
11	Elect XU Jiandong	Mgmt	For	For	For

Aier EYE Hospital Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300015	CINS Y0029J108	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Social Responsibility Report	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Supervisors' Report	Mgmt	For	For	For
10	Supervisors' Fees	Mgmt	For	For	For

Air China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0753	CINS Y002A6138	05/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
6	Lease Agreement (Aircraft Finance)	Mgmt	For	For	For
7	Authority to Issue Debt Instruments	Mgmt	For	Against	Against

Air China Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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0753	CINS Y002A6138	10/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Fees	Mgmt	For	For	For
3	Supervisors' Fees	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
6	Amendments to Procedural Rules: Board of Directors	Mgmt	For	For	For
7	Renewal of Trademark License Framework	Mgmt	For	For	For
8	Financial Service Framework Agreement	Mgmt	For	For	For
9	CNAF and CNAHC Financial Service Framework Agreement	Mgmt	For	For	For
10	Elect CAI Jianjiang	Mgmt	For	For	For
11	Elect SONG Zhiyong	Mgmt	For	For	For
12	Elect John R. Slosar	Mgmt	For	Against	Against
13	Elect WANG Xiaokang	Mgmt	For	For	For
14	Elect LIU Deheng	Mgmt	For	For	For
15	Elect Stanley HUI Hon-chung	Mgmt	For	For	For
16	Elect LI Dajin	Mgmt	For	For	For
17	Elect WANG Zhengang	Mgmt	For	Against	Against
18	Elect HE Chaofan	Mgmt	For	Against	Against
19	Amendments to Articles	Mgmt	For	For	For

Aisino Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
600271	CINS Y0017G124	06/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Repurchase and Cancellation of Some Restricted Stocks	Mgmt	For	For	For
9	Supervisors' Report	Mgmt	For	For	For

Aisino Corporation

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Ticker	Security ID:	Meeting Date	Meeting Status		
600271	CINS Y0017G124	09/22/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase and Cancel Restricted Shares	Mgmt	For	For	For
2	Election of YAO Yuhong as Director	Mgmt	For	For	For

Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status		
002292	CINS Y2927R109	03/27/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
2	Amendment to Articles of Association	Mgmt	For	For	For
3	Approval of Application for Line of Credit	Mgmt	For	For	For

Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status		
002292	CINS Y2927R109	05/18/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Shareholder Returns Plan	Mgmt	For	For	For

Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status		
002292	CINS Y2927R109	06/07/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Equities in A Wholly-Owned Sub-Subsidiary	Mgmt	For	For	For

Aluminum Corporation of China Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
2600	CINS Y0094N125	06/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Loss Recovery Proposals	Mgmt	For	For	For
6	Authority to Give Guarantees by the Company to Chalco Hong Kong	Mgmt	For	For	For
7	Authority to Give Guarantees by the Company to Shanxi New Materials	Mgmt	For	For	For
8	Authority to Give Guarantees by Shandong Huayu to Yixing Carbon	Mgmt	For	For	For
9	Authority to Give Guarantees by the Company and Chalco Shandong to Xinghua Technology	Mgmt	For	For	For
10	Authority to Give Guarantees (Ningxia Energy and Subsidiaries)	Mgmt	For	For	For
11	Directors' and Supervisors' Remuneration Standards	Mgmt	For	Against	Against
12	Renewal of Liability Insurance	Mgmt	For	Against	Against
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Joint Development of Boffa Project and Additional Equity Participation	Mgmt	For	For	For
15	Authority to Issue Debt Instruments	Mgmt	For	For	For
16	Authority to Issue Overseas Bonds	Mgmt	For	For	For
17	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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Aluminum Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status
2600	CINS Y0094N125	10/26/2017	Voted
Meeting Type	Country of Trade		
Special	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Continuation of Suspension of Trading in A Shares	Mgmt	For	Against	Against

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Aluminum Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2600	CINS Y0094N125	12/20/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Contribution to Certain Subsidiaries	Mgmt	For	For	For
2	New Financial Services Agreement	Mgmt	For	Against	Against

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Angang Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0347	CINS Y0132F100	03/05/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Resignation of LI Zhongwu	Mgmt	For	For	For
2	Resignation of ZHANG Jingfan	Mgmt	For	For	For
3	Elect LI Zhen	Mgmt	For	For	For
4	Elect MA Lianyong	Mgmt	For	For	For
5	Elect XIE Junyong	Mgmt	For	For	For

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Angang Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0347	CINS Y0132F100	06/05/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Authority to Issue H Shares or Other Transferable Rights w/o Preemptive Rights	Mgmt	For	Against	Against
10	Appointment of Auditor and Authority to Set	Mgmt	For	For	For

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	Fees				
11	Resignation of LUO Yucheng	Mgmt	For	For	For
12	Elect FENG Changli	Mgmt	For	For	For
13	Authority to Issue Short-Term Debt Instruments	Mgmt	For	For	For
14	Authority to Issue Ultra Short-Term Debt Instruments	Mgmt	For	For	For

Anhui Conch Cement Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0914	CINS Y01373110	05/30/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

Anhui Conch Cement Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0914	CINS Y01373110	10/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WU Xiaoming as Supervisor	Mgmt	For	Against	Against

Anxin Trust Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600816	ISIN CNE0000003P4	03/06/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	MGMT	For	For	For
2	Supervisors' Report	MGMT	For	For	For
3	Accounts and Reports	MGMT	For	For	For
4	Allocation of Profits/Dividends	MGMT	For	For	For
5	Annual Report	MGMT	For	For	For
6	Appointment of Auditor	MGMT	For	For	For
7	Appointment of Internal Control Auditor	MGMT	For	For	For
8	Report on Fund Transfers and	MGMT	For	For	For

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9	Guarantees Independent Directors' Report	MGMT	For	For	For
10	Amendments to Articles	MGMT	For	For	For
<hr/>					
Anxin Trust Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600816	CINS Y0140X101	09/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Type and Volume	Mgmt	For	For	For
3	Issuance Method	Mgmt	For	For	For
4	Par Value	Mgmt	For	For	For
5	Dividend Rate	Mgmt	For	For	For
6	Method for Participating in Profit Distribution	Mgmt	For	For	For
7	Redemption Clauses	Mgmt	For	For	For
8	Limitation and Resumption of Voting Rights	Mgmt	For	For	For
9	Sequence for Liquidation and Settlement	Mgmt	For	For	For
10	Credit Rating and Track Rating	Mgmt	For	For	For
11	Guarantee Method and Principals	Mgmt	For	For	For
12	Arrangement for Listing	Mgmt	For	For	For
13	Purpose of Raised Funds	Mgmt	For	For	For
14	Validity Period	Mgmt	For	For	For
15	Board Authorization to Implement Issuance	Mgmt	For	For	For
16	Preplan for Issuance	Mgmt	For	For	For
17	Diluted Immediate Returns for Private Placement	Mgmt	For	For	For
18	Feasibility Report	Mgmt	For	For	For
19	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For
21	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
22	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
23	Shareholder Returns Plan	Mgmt	For	For	For

Aurora Optoelectronics Co., Ltd

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Ticker	Security ID:	Meeting Date	Meeting Status		
600666	CINS Y81169107	02/26/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

Aurora Optoelectronics Co., Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
600666	CINS Y81169107	07/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Change of Registered Capital	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

Aurora Optoelectronics Co., Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
600666	CINS Y81169107	09/11/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trading Suspension	Mgmt	For	For	For

Aurora Optoelectronics Co., Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
600666	CINS Y81169107	11/09/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Elect ZHANG Xiaotong as Supervisor	Mgmt	For	Against	Against

Aurora Optoelectronics Co., Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
600666	CINS Y81169107	12/26/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Approval of Loans and Guarantees	Mgmt	For	For	For

Avic Aircraft Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	04/25/2018	Voted		
Meeting Type		Country of Trade			

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and its Summary	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Financial Report	Mgmt	For	For	For
5	Financial Budget Report	Mgmt	For	For	For
6	2017 Allocation of Profits/Dividends Plan	Mgmt	For	For	For
7	Continue to Give Guarantees to Xi'an Aircraft Aluminium Industry Co., Ltd.	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Estimation of 2018 Related Party Transactions	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	09/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	For	For

Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	11/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Wanqiang as Director	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	04/12/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to 2017 Estimated Continuing Connected Transactions	Mgmt	For	For	For
2	IMPLEMENTING RESULT OF 2017 CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
3	2018 ESTIMATED	Mgmt	For	For	For

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CONTINUING CONNECTED TRANSACTIONS						
4	Related Party Transactions	Mgmt	For	For	For	For
AVIC Capital Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600705	CINS Y07709101	05/29/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For	For
3	Annual Report	Mgmt	For	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For	For
7	2017 INTERNAL CONTROL SELF-EVALUATION REPORT	Mgmt	For	For	For	For
8	2017 INTERNAL CONTROL AUDIT REPORT	Mgmt	For	For	For	For
9	2018 REMUNERATION PLAN FOR DIRECTORS	Mgmt	For	For	For	For
10	2017 CORPORATE SOCIAL RESPONSIBILITY REPORT	Mgmt	For	For	For	For
11	2017 EXPENSES AND 2018 EXPENSE BUDGET PLAN OF THE BOARD	Mgmt	For	For	For	For
12	Fulfillment of 2017 Performance Commitments by Transaction Counterparts on Underlying Assets	Mgmt	For	For	For	For
13	Entrusted Wealth Management with Some Temporarily Idle Funds By the Company and Subsidiaries	Mgmt	For	For	For	For
14	2018 Authorization to a Controlled Subsidiary to Provide Guarantee Quota for Special Subordinate Project Companies	Mgmt	For	Against	Against	Against
15	Provision of Guarantee for a Wholly-owned Subsidiary's issuance of Bonds	Mgmt	For	Against	Against	Against
16	Undertaking to Provide Liquidity Support for	Mgmt	For	Against	Against	Against

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	and Compensate for the Balance of an Asset-backed Special Plan					
17	Provision of Guarantee for USD-dominated Working Capital Loans of a Controlled Subsidiary	Mgmt	For	Against	Against	
18	SETTING UP A JOINT STOCK SUBSIDIARY	Mgmt	For	For	For	
19	Supervisors' Report	Mgmt	For	For	For	

AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	08/11/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Eligibility for Convertible Corporate Bond Issuance	Mgmt	For	For	For
3	Type of Securities to Issue	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Par Value and Issuance Price	Mgmt	For	For	For
6	Bond Duration	Mgmt	For	For	For
7	Interest Rate	Mgmt	For	For	For
8	Time Limit and Repayment Method	Mgmt	For	For	For
9	Guarantee Matters	Mgmt	For	For	For
10	Conversion Period	Mgmt	For	For	For
11	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
12	Downward Adjustment Clauses for Conversion Price	Mgmt	For	For	For
13	Treatment Method for Remaining Convertible Bonds	Mgmt	For	For	For
14	Redemption Clauses	Mgmt	For	For	For
15	Resale Clauses	Mgmt	For	For	For
16	Attribution of Related Dividends	Mgmt	For	For	For
17	Issuance Targets and Method	Mgmt	For	For	For
18	Arrangement for Placement to Shareholders	Mgmt	For	For	For
19	Matters Regarding Bondholders Meeting	Mgmt	For	For	For

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20	Purpose of Raised Funds	Mgmt	For	For	For
21	Validity Period	Mgmt	For	For	For
22	Preplan for Convertible Bond Issuance	Mgmt	For	For	For
23	Feasibility Report for Projects to be Financed with Raised Funds from Convertible Bond Issuance	Mgmt	For	For	For
24	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
25	Agreement on Capital Increase and Expansion of Securities Company	Mgmt	For	Abstain	Against
26	Agreement on Capital Increase and Expansion Trust Company	Mgmt	For	Abstain	Against
27	Audit Report and Evaluation Report for Convertible Bond Issuance	Mgmt	For	For	For
28	Opinions on Independence of Evaluation Institutions for Convertible Bond Issuance	Mgmt	For	For	For
29	Diluted Immediate Return for Public Issuance	Mgmt	For	For	For
30	Special Report on Use of PPreviously Raised Funds	Mgmt	For	For	For
31	Constitution of Procedural Rules: Bondholders Meeting for Convertible Bonds	Mgmt	For	For	For
32	Elect ZHAO Hongwei	Mgmt	For	For	For
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AVIC Capital Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	09/26/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Declare Interim Dividends	Mgmt	For	For	For
2	Approval of Share Increase Plan (Amended)	Mgmt	For	Abstain	Against
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600705	CINS Y07709101	12/12/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Capital Increase in Subsidiary	Mgmt	For
			For
2	Joint Stock Investment	Mgmt	For
			Abstain
3	Authority to Give Guarantees	Mgmt	For
			For
4	Non-Voting Meeting Note	N/A	N/A
			N/A

Avic Helicopter Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600038	CINS Y2981F108	05/18/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Report	Mgmt	For
			For
3	Accounts and Reports	Mgmt	For
			For
4	Allocation of Profits/Dividends	Mgmt	For
			For
5	Annual Report	Mgmt	For
			For
6	Related Party Transactions	Mgmt	For
			Against
7	Internal Control Self-Assessment Report	Mgmt	For
			For
8	Internal Control Audit Report	Mgmt	For
			For
9	Independent Directors' Report	Mgmt	For
			For
10	Appointment of Auditor	Mgmt	For
			For

Avic Helicopter Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600038	CINS Y2981F108	08/23/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect QU Jingwen	Mgmt	For
			For
2	Elect LV Jie	Mgmt	For
			For
3	Elect LI Xueqin	Mgmt	For
			For
4	Elect TANG Jun	Mgmt	For
			For
5	Elect DU Juan	Mgmt	For
			For
6	Elect ZHANG Xian	Mgmt	For
			For
7	Elect BAO Huifang	Mgmt	For
			For
8	Elect WU Jian	Mgmt	For
			For
9	Elect WANG Zhengxi	Mgmt	For
			For
10	Elect HU Xiaofeng	Mgmt	For
			Against
11	Elect HONG Bo	Mgmt	For
			Against
12	Elect XU Peihui	Mgmt	For
			For

Avic Helicopter Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600038	CINS Y2981F108	12/20/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	Against	Against

Baiyin Nonferrous Group Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
601212	CINS Y8077W103	01/26/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Asset Purchase	Mgmt	For	For	For
2	Overview	Mgmt	For	For	For
3	Evaluation	Mgmt	For	For	For
4	Cash Payment	Mgmt	For	For	For
5	Matching Payment	Mgmt	For	For	For
6	Attribution of Profits	Mgmt	For	For	For
7	Lock up Period	Mgmt	For	For	For
8	Lock up Period for Matching Fund	Mgmt	For	For	For
9	Price Adjustment	Mgmt	For	For	For
10	Period of Price Adjustment	Mgmt	For	For	For
11	Conditions of Price Adjustment	Mgmt	For	For	For
12	Trigger Conditions for Mechanism	Mgmt	For	For	For
13	Base Date	Mgmt	For	For	For
14	Issue Price Adjustment	Mgmt	For	For	For
15	Ex Rights and Ex Dividends	Mgmt	For	For	For
16	Volume Adjustment	Mgmt	For	For	For
17	Report for Transaction	Mgmt	For	For	For
18	Conditional Agreement	Mgmt	For	For	For
19	Supplementary Agreement	Mgmt	For	For	For
20	Transaction Not Major Asset Restructuring	Mgmt	For	For	For
21	Transaction Does not Constitute Listing by Restructuring	Mgmt	For	For	For
22	Compliance with Article 11	Mgmt	For	For	For
23	Compliance with Article 43	Mgmt	For	For	For
24	Compliance with Article 4	Mgmt	For	For	For
25	Authorization of Legal Formalities	Mgmt	For	For	For
26	Audit Report	Mgmt	For	For	For
27	Independence of Evaluation Institution	Mgmt	For	For	For
28	Statement on Pricing Basis	Mgmt	For	For	For

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29	Report on Previously Raised Funds	Mgmt	For	For	For
30	Dilution of Immediate Returns	Mgmt	For	For	For
31	Board Authorization to Implement Matters Relating to Transaction	Mgmt	For	For	For

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Baiyin Nonferrous Group Co Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
601212	ISIN CNE100002RP3	03/05/2018		Voted	
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Issue Price of Share Issuance	MGMT	For	For	For
2	Approval to Sign Supplementary Agreement II	MGMT	For	For	For

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Baiyin Nonferrous Group Co Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
601212	CINS Y8077W103	05/25/2018		Voted	
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Baiyin Nonferrous Industry Group Co., Ltd. and Its Subsidiaries	Mgmt	For	For	For
10	CITIC Heavy Industry Machinery Co., Ltd. and CITIC Bank Co., Ltd.	Mgmt	For	For	For
11	Other Related Party Transactions	Mgmt	For	For	For
12	Provision for Assets Impairment	Mgmt	For	For	For
13	Approval of Line of Credit	Mgmt	For	Abstain	Against
14	Plan on Debt Financing Instruments	Mgmt	For	Abstain	Against
15	Amendments to Use of Proceeds	Mgmt	For	For	For
16	Directors' and	Mgmt	For	For	For

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	Supervisors' Fees				
17	Liability Insurance	Mgmt	For	Abstain	Against
18	Amendments to Articles	Mgmt	For	For	For

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Bank Of Beijing Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601169	CINS Y06958113	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Financial Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approval of Line of Credit to Beijing State-Owned Assets Management Co., Ltd.	Mgmt	For	For	For
8	Approval of Line of Credit to China Hi-Tech Group Corporation	Mgmt	For	For	For
9	Approval of Line of Credit to Beijing Energy Investment Holding Co., Ltd.	Mgmt	For	For	For
10	Approval of Line of Credit to Bank of Beijing Financial Leasing Co., Ltd.	Mgmt	For	For	For
11	Special Report on Related Party Transactions	Mgmt	For	For	For

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Bank Of Beijing Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601169	CINS Y06958113	08/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Bonds	Mgmt	For	For	For
2	Amendments to Provisional Measures on Performance Evaluation of Directors	Mgmt	For	For	For
3	Amendments to Procedural Rules for Supervisory Committee Meetings	Mgmt	For	For	For

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Bank of China Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
3988	CINS Y13905107	06/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2018 Investment Budget	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect ZHANG Qingsong	Mgmt	For	For	For
8	Elect LI Jucai	Mgmt	For	Against	Against
9	Elect CHEN Yuhua as Supervisor	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Supervisors' Fees	Mgmt	For	For	For
12	Capital Management Plan 2017-2020	Mgmt	For	For	For
13	Charitable Donations	Mgmt	For	For	For
14	Authority to Issue Bonds	Mgmt	For	For	For
15	Authority to Issue Qualified Write-down Tier-2 Capital Instruments	Mgmt	For	For	For
16	Authority to Issue Write-down Undated Capital Bonds	Mgmt	For	For	For
17	Elect LIAO Qiang	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Bank Of Communications Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3328	CINS Y06988110	06/29/2018	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Type of Securities	Mgmt	For	For	For
2	Issue Size	Mgmt	For	For	For
3	Par Value and Issue Price	Mgmt	For	For	For
4	Term of Bonds	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Method and Timing of Interest Payment	Mgmt	For	For	For
7	Conversion Period	Mgmt	For	For	For
8	Determination and Adjustment of the CB Conversion Price	Mgmt	For	For	For
9	Downward Adjustment to the CB Conversion Price	Mgmt	For	For	For

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10	Method for Determining the Number of Shares for Conversion	Mgmt	For	For	For
11	Dividend Rights of the Year of Conversion	Mgmt	For	For	For
12	Terms of Redemption	Mgmt	For	For	For
13	Terms of Sale Back	Mgmt	For	For	For
14	Method of Issuance and Target Investors	Mgmt	For	For	For
15	Subscription Arrangement for The Existing Shareholders	Mgmt	For	For	For
16	CB Holders and Meetings	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Guarantee and Securities	Mgmt	For	For	For
19	Validity Period of the Resolution	Mgmt	For	For	For
20	Matters Relating to Authorization	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Bank Of Communications Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3328	CINS Y06988110	06/29/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Fixed Asset Investment Plan	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Satisfaction of Conditions of Public Issuance of A Share Convertible Bonds	Mgmt	For	For	For
8	Type of Securities	Mgmt	For	For	For
9	Issue Size	Mgmt	For	For	For
10	Par Value and Issue Price	Mgmt	For	For	For
11	Term of Bonds	Mgmt	For	For	For
12	Interest Rate	Mgmt	For	For	For
13	Method and Timing of Interest Payment	Mgmt	For	For	For
14	Conversion Period	Mgmt	For	For	For
15	Determination and Adjustment of the CB Conversion Price	Mgmt	For	For	For

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16	Downward Adjustment to the CB Conversion Price	Mgmt	For	For	For
17	Method for Determining the Number of Shares for Conversion	Mgmt	For	For	For
18	Dividend Rights of the Year of Conversion	Mgmt	For	For	For
19	Terms of Redemption	Mgmt	For	For	For
20	Terms of Sale Back	Mgmt	For	For	For
21	Method of Issuance and Target Investors	Mgmt	For	For	For
22	Subscription Arrangement for The Existing Shareholders	Mgmt	For	For	For
23	CB Holders and Meetings	Mgmt	For	For	For
24	Use of Proceeds	Mgmt	For	For	For
25	Guarantee and Securities	Mgmt	For	For	For
26	Validity Period of the Resolution	Mgmt	For	For	For
27	Matters Relating to Authorization	Mgmt	For	For	For
28	Feasibility Analysis Report on the Use of Proceeds From the Public Issuance of A share Convertible Corporate Bonds	Mgmt	For	For	For
29	Remedial Measures Regarding Dilution on Returns by Proposed Issuance of A Share Convertible Bonds	Mgmt	For	For	For
30	Report on Utilization of Proceeds from Previous Fund Raising	Mgmt	For	For	For
31	Capital Management Plan 2018-2020	Mgmt	For	For	For
32	Shareholder Returns Plan 2018-2020	Mgmt	For	For	For
33	Elect CAI Haoyi	Mgmt	For	For	For
34	Elect REN Deqi	Mgmt	For	For	For
35	Elect SHEN Rujun	Mgmt	For	For	For
36	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Bank Of Communications Company Limited

Ticker Security ID: Meeting Date Meeting Status  
 3328 CINS Y06988110 10/27/2017 Voted

Meeting Type Country of Trade  
 Special China

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

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1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Rules of Procedures for Shareholder Meetings	Mgmt	For	For	For
3	Amendments to Rules of Procedures for Board Meetings	Mgmt	For	For	For
4	Amendments to Working Procedures for Independent Directors	Mgmt	For	For	For
5	Directors' Fees	Mgmt	For	For	For
6	Supervisors' Fees	Mgmt	For	For	For
7	Elect LI Yao as Supervisor	Mgmt	For	For	For

Bank of Guiyang

Ticker	Security ID:	Meeting Date	Meeting Status		
601997	CINS Y0694A101	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Authority to Issue Debt	Mgmt	For	Abstain	Against
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to the Connected Transactions Management Measures	Mgmt	For	Abstain	Against
8	Special Report on Related Party Transactions	Mgmt	For	For	For
9	Estimation on Related Party Transactions	Mgmt	For	For	For
10	Performance Evaluation Report of Directors	Mgmt	For	For	For
11	Performance Evaluation Report of Supervisors	Mgmt	For	For	For

Bank of Guiyang Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601997	CINS Y0694A101	07/21/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	ELECTION OF DIRECTORS	Mgmt	For	For	For
2	Elect CHEN Zhongquan	Mgmt	For	For	For
3	Elect LI Zhongxiang	Mgmt	For	For	For
4	Elect YANG Qi	Mgmt	For	For	For
5	Elect DENG Yong	Mgmt	For	For	For
6	Elect JIANG Xianfang	Mgmt	For	For	For

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7	Elect SI Jing	Mgmt	For	For	For
8	Elect MU Xihua	Mgmt	For	For	For
9	Elect ZHANG Taotao	Mgmt	For	For	For
10	Elect ZENG Jun	Mgmt	For	For	For
11	Elect HONG Ming	Mgmt	For	For	For
12	Elect DAI Guoqiang	Mgmt	For	For	For
13	Elect ZHU Ciyun	Mgmt	For	For	For
14	Elect LUO Hong	Mgmt	For	For	For
15	Elect YANG Xiong	Mgmt	For	For	For
16	Elect LIU Yunhong	Mgmt	For	For	For
17	ELECTION OF SUPERVISORS	Mgmt	For	For	For
18	Elect DAI Juan	Mgmt	For	For	For
19	Elect CHEN Liming	Mgmt	For	For	For
20	Elect ZHU Shan	Mgmt	For	For	For
21	Independent Directors' Fees	Mgmt	For	For	For
22	External Supervisor Fees	Mgmt	For	For	For
23	Amendments to Articles	Mgmt	For	For	For
24	Extension of Bond Validity Period	Mgmt	For	For	For

Bank Of Hangzhou Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600926	CINS Y0R98A104	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Performance Evaluation Report of Directors And Senior Management	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions Report for Fiscal Year 2017	Mgmt	For	For	For
7	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For

Bank Of Hangzhou Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600926	CINS Y0R98A104	11/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Issue Financial Bonds	Mgmt	For	For	For

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3	Election of Directors	Mgmt	For	For	For
Bank of Jiangsu Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600919	CINS Y0698M101	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Evaluation Report of Directors and Senior Management	Mgmt	For	For	For
4	Evaluation Report on Performance of Supervisors	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	2017 ANNUAL ACCOUNTS AND 2018 FINANCIAL BUDGET	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	2017 Report on Related Party Transactions	Mgmt	For	For	For
9	Jiangsu International Trust	Mgmt	For	For	For
10	Huatai Securities	Mgmt	For	For	For
11	Wuxi City Construction Development Investment	Mgmt	For	For	For
12	Related PSuzhou International Development Grouparty Transactions	Mgmt	For	For	For
13	Jiangsu Shagang Group	Mgmt	For	For	For
14	Hangzhou Bank	Mgmt	For	For	For
15	Wuxi Rural Commercial Bank	Mgmt	For	For	For
16	Suzhou Bank	Mgmt	For	For	For
17	Dongwu Securities	Mgmt	For	For	For
18	Huatai Securities (Shanghai)	Mgmt	For	For	For
19	Suzhou Trust	Mgmt	For	For	For
20	Wuxi Oasis	Mgmt	For	For	For
21	Danyang	Mgmt	For	For	For
22	Su Yin Financial	Mgmt	For	For	For
23	Jiangsu Financial Leasing	Mgmt	For	For	For
24	Zhengzhou Bank	Mgmt	For	For	For
25	Jiangsu Jiaotong	Mgmt	For	For	For
26	Suzhou Guofa	Mgmt	For	For	For
27	Wuxi Taihu	Mgmt	For	For	For
28	Associated natural person	Mgmt	For	For	For
29	Appointment of Auditor	Mgmt	For	For	For
30	Authorization of Legal Formalities	Mgmt	For	For	For
31	Type	Mgmt	For	For	For
32	Issuing Volume	Mgmt	For	For	For

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33	Par Value and Issue Price	Mgmt	For	For	For
34	Bond Duration	Mgmt	For	For	For
35	Interest Rate	Mgmt	For	For	For
36	Time and Method for Paying the Interest	Mgmt	For	For	For
37	Conversion Period	Mgmt	For	For	For
38	Determination and Adjustment of the Conversion Price	Mgmt	For	For	For
39	Downward Adjustment to the Conversion Price	Mgmt	For	For	For
40	Determination Method for the Number of Converted Shares	Mgmt	For	For	For
41	Attribution of Relevant Dividends for Conversion Years	Mgmt	For	For	For
42	Redemption Clauses	Mgmt	For	For	For
43	Resale Clauses	Mgmt	For	For	For
44	Issuing Targets and Method	Mgmt	For	For	For
45	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
46	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
47	Purpose of the Raised Funds	Mgmt	For	For	For
48	Guarantee Matters	Mgmt	For	For	For
49	The Valid Period of the Resolution	Mgmt	For	For	For
50	Feasibility Analysis Report on the Use of Funds to be Raised from the Public Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
51	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
52	Diluted Immediate Returns After the Public Issuance of Convertible Corporate Bonds and Filling Measures	Mgmt	For	For	For
53	MEDIUM-TERM CAPITAL MANAGEMENT PLAN FROM 2018 TO 2020	Mgmt	For	For	For
54	Ratification of Board Acts	Mgmt	For	For	For
55	Elect WU Dianjun as	Mgmt	For	For	For

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Director

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Bank of Jiangsu Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600919	CINS Y0698M101	06/22/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Stock Price Stabilization Plan	Mgmt	For	For	For

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Bank of Jiangsu Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600919	CINS Y0698M101	09/14/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Equity Management Measures	Mgmt	For	Abstain	Against
3	Amendments to Issuance of Bonds	Mgmt	For	For	For
4	Elect SHAN Xiang as Director	Mgmt	For	For	For

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Bank Of Nanjing Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
601009	CINS Y0698E109	06/29/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions of 2017	Mgmt	For	For	For
7	Related Party Transactions Projected for 2018	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Appointment of Internal Control Auditor	Mgmt	For	For	For
10	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
11	By-election of ZHANG Ding as Supervisor	Mgmt	For	For	For
12	Evaluation Report on Performance of	Mgmt	For	For	For

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13	Supervisors Evaluation Report on Performance of Directors and Senior Management	Mgmt	For	For	For
14	Extension of the Validity of the Resolution on Non-Public Issuance of Shares	Mgmt	For	For	For
15	Extension of Validity of Authorization to Board to Handle Matters in Relation to the Non-Public Issuance of Shares	Mgmt	For	For	For
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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Bank Of Nanjing Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
601009	CINS Y0698E109	08/21/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	Against	Against
2	Stock Type and Par Value	Mgmt	For	Against	Against
3	Method of Issuance	Mgmt	For	Against	Against
4	Issuance Price and Pricing Principal	Mgmt	For	Against	Against
5	Size of Issuance	Mgmt	For	Against	Against
6	Issuance Targets and Subscription	Mgmt	For	Against	Against
7	Amount and Purpose of the Raised Funds	Mgmt	For	Against	Against
8	Lock-up Period	Mgmt	For	Against	Against
9	Arrangement for Accumulated Retained Profits Before Private Placement	Mgmt	For	Against	Against
10	Listing Market	Mgmt	For	Against	Against
11	Validity Period	Mgmt	For	Against	Against
12	Report on Previously Raised Funds	Mgmt	For	Against	Against
13	Feasibility Report on Use of Funds to be Raised for Private Placement	Mgmt	For	Against	Against
14	Related Party Transactions	Mgmt	For	Against	Against
15	Measures for Diluted Immediate Returns	Mgmt	For	Against	Against

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16	Shareholder Returns Plan	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	Against	Against

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Bank Of Nanjing Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601009	CINS Y0698E109	12/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect XIE Manlin as Director	Mgmt	For	For	For

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Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	05/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Annual Report and Its Summary	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Authority to Issue Green Financial Bonds	Mgmt	For	For	For
8	Extension of the Valid Period of Resolution and Relevant Authorization for The Non-Public A-Share Offering	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Supervisor' Report	Mgmt	For	For	For
11	Supervisors' Performance Evaluation Report	Mgmt	For	For	For
12	Directors' Performance Evaluation Report	Mgmt	For	For	For

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Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	10/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue	Mgmt	For	For	For

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	Financial Bonds					
2	Adjustment of Capital Injection Scheme of Yongying Financial Leasing	Mgmt	For	For	For	
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Bank of Ningbo Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002142	CINS Y0698G104	12/28/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Private Placement of Preference Shares	Mgmt	For	For	For	
2	Issue Type and Size	Mgmt	For	For	For	
3	Issue Manner	Mgmt	For	For	For	
4	Target Subscribers	Mgmt	For	For	For	
5	Par Value and Issue Price	Mgmt	For	For	For	
6	Duration	Mgmt	For	For	For	
7	Determination Principle of Par Interest Rate	Mgmt	For	For	For	
8	Profit Distribution Method	Mgmt	For	For	For	
9	Conditional Terms of Redemption	Mgmt	For	For	For	
10	Mandatory Conversion Terms	Mgmt	For	For	For	
11	Voting Restrictions	Mgmt	For	For	For	
12	Voting Recovery	Mgmt	For	For	For	
13	Liquidation Order and Liquidation Method	Mgmt	For	For	For	
14	Rating Arrangement	Mgmt	For	For	For	
15	Guarantee Arrangement	Mgmt	For	For	For	
16	Transfer Arrangements	Mgmt	For	For	For	
17	Use of Proceeds	Mgmt	For	For	For	
18	Resolution Validity Period	Mgmt	For	For	For	
19	Authorization of the Board	Mgmt	For	For	For	
20	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For	
21	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	
22	Related Party Transactions	Mgmt	For	For	For	
23	Elect HU Pingxi as Director	Mgmt	For	For	For	

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Bank of Shanghai Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
601229	CINS Y0R98R107	06/22/2018	Voted			
Meeting Type	Country of Trade					

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS AND 2018 FINANCIAL BUDGET	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2017 Evaluation Report on Performance of Directors	Mgmt	For	For	For
6	2017 Evaluation Report on Performance of Supervisors	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Extension of Valid Period of Resolution on H-share Offering and Listing	Mgmt	For	For	For
9	Full Authorization to Board and Its Authorized Persons to Handle Matters Regarding H-share Offering and Listing	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	ADJUSTMENT OF ALLOWANCE FOR INDEPENDENT DIRECTORS	Mgmt	For	For	For
12	ADJUSTMENT OF ALLOWANCE FOR EXTERNAL SUPERVISORS	Mgmt	For	For	For
13	Elect KONG Xuhong	Mgmt	For	For	For
14	Elect SHI Hongmin	Mgmt	For	For	For

Bank of Shanghai Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601229	CINS Y0R98R107	10/27/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Election of Directors	Mgmt	For	For	For

Baoshan Iron & Steel Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600019	ISIN CNE0000015R4	02/09/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Matters Regarding Restricted Stock Incentive Plan	MGMT	For	For	For

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Baoshan Iron and Steel Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600019	CINS Y0698U103	05/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2018 Budget Plan	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Against	Against
8	Directors' Fees	Mgmt	For	For	For
9	Elect DAI Zhihao	Mgmt	For	For	For
10	Elect ZOU Jixin	Mgmt	For	Against	Against
11	Elect ZHANG Jingang	Mgmt	For	For	For
12	Elect ZHU Junsheng	Mgmt	For	For	For
13	Elect WU Xiaodi	Mgmt	For	For	For
14	Elect BEI Kewei	Mgmt	For	For	For
15	Elect XIA Dawei	Mgmt	For	Against	Against
16	Elect ZHANG Kehua	Mgmt	For	For	For
17	Elect LU Xiongwen	Mgmt	For	For	For
18	Elect XIE Rong	Mgmt	For	For	For
19	Elect BAI Yanchun	Mgmt	For	For	For
20	Elect ZHU Yonghong	Mgmt	For	For	For
21	Elect YU Hansheng	Mgmt	For	Against	Against
22	Elect LIU Guowang	Mgmt	For	Against	Against
23	Elect ZHU Hanming	Mgmt	For	Against	Against

Baoshan Iron and Steel Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600019	CINS Y0698U103	12/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Second Phase Restricted Share Plan	Mgmt	For	For	For
3	Constitution of Procedural Rules: Appraisal Management Measures for Second Phase Restricted A Share Incentive Plan	Mgmt	For	For	For
4	Board Authorization to Implement Share Plan	Mgmt	For	For	For
5	Elect ZHU Hanming	Mgmt	For	Against	Against
6	Amendments to Articles	Mgmt	For	For	For

BBMG Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
601992	ISIN CNE1000010M4	03/26/2018	Voted		

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Joint Venture	MGMT	For	For	For
2	Performance Compensation Agreement	MGMT	For	For	For

BBMG Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
2009	CINS Y076A3113	05/24/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Executive Directors' Remuneration	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Authority to Issue Domestic and H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Amendments to Articles	Mgmt	For	For	For
10	Change in Use of Proceeds	Mgmt	For	For	For

BBMG Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
2009	CINS Y076A3113	08/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZENG Jin	Mgmt	For	For	For
2	Elect ZHENG Baojin	Mgmt	For	For	For
3	Elect XU Feng	Mgmt	For	For	For
4	Elect WANG Zhicheng	Mgmt	For	For	For

BBMG Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
2009	CINS Y076A3113	12/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Company Chinese Name	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Rules of Procedure for Meetings of	Mgmt	For	For	For

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	Board				
4	Elect YU Zhongfu	Mgmt	For	For	For
5	Compliance and Satisfaction of Requirements of Public Issuance of Corporate Bonds	Mgmt	For	For	For
6	Type of Securities	Mgmt	For	For	For
7	Issue Size	Mgmt	For	For	For
8	Par Value and Issue Price	Mgmt	For	For	For
9	Term and Variety Bonds	Mgmt	For	For	For
10	Interest Rates	Mgmt	For	For	For
11	Type of Issue and Targets	Mgmt	For	For	For
12	Use of Proceeds	Mgmt	For	For	For
13	Arrangement for Placing to Shareholders	Mgmt	For	For	For
14	Place for Listing	Mgmt	For	For	For
15	Guarantee Arrangements	Mgmt	For	For	For
16	Debt Repayment Safeguards	Mgmt	For	For	For
17	Validity of Resolution	Mgmt	For	For	For
18	Ratification of Board Acts	Mgmt	For	For	For

Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	01/26/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against

Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	03/28/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
2	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600008	CINS Y07716106	04/20/2018	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Work Report of the Board of Directors	Mgmt	For	For	For
2	Routine Meeting Item	Mgmt	For	For	For
3	Routine Meeting Item	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Routine Meeting Item	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Misc. Management Proposal	Mgmt	For	For	For
12	Misc. Management Proposal	Mgmt	For	For	For

Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	05/14/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Issue Medium-term Notes	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Elect HE Wenbao	Mgmt	For	For	For
5	Elect XIE Yuanxiang	Mgmt	For	Against	Against
6	Elect GUO Xuefei as Supervisor	Mgmt	For	Against	Against
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	05/31/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For

Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600008	CINS Y07716106	08/31/2017	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing Capital Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600008	CINS Y07716106	09/18/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Use of Previously Raised Funds	Mgmt	For	For	For
2	Commitments of Shareholder on Self-Evaluation Report on Real Estate Business	Mgmt	For	For	For
3	Commitments of Supervisors on Self-Evaluation Report on Real Estate Business	Mgmt	For	For	For

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Beijing Capital Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600008	CINS Y07716106	09/29/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Borrowing	Mgmt	For	For	For
2	Dilution Management Measures	Mgmt	For	For	For

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Beijing Capital Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600008	CINS Y07716106	12/04/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIU Yongzheng	Mgmt	For	Against	Against
2	Elect SUN Shaolin	Mgmt	For	For	For
3	Elect LI Zhang	Mgmt	For	For	For
4	Elect ZHANG Meng	Mgmt	For	For	For
5	Elect FENG Tao	Mgmt	For	For	For
6	Elect YANG Bin	Mgmt	For	For	For
7	Elect SHI Xiangchen	Mgmt	For	For	For
8	Elect MENG Yan	Mgmt	For	For	For
9	Elect CHE Pizhao	Mgmt	For	For	For
10	Elect QU Jihui	Mgmt	For	For	For
11	Elect LIU Qiao	Mgmt	For	For	For
12	Elect WANG Ruilin	Mgmt	For	Against	Against
13	Elect LIU Huibin	Mgmt	For	For	For

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Beijing Capital Co., Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status

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600008	CINS Y07716106	12/22/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Debt Financing Plan	Mgmt	For	For	For

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### Beijing Capital Development Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	06/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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### Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	02/06/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Loan Applications	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules	Mgmt	For	For	For
5	Application for Financing	Mgmt	For	For	For
6	Eligibility for Bond Issuance	Mgmt	For	For	For
7	Authority to Issue Debt Instruments	Mgmt	For	For	For
8	Full Authorization for Corporate Bond Issuance	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	Against	Against

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### Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	05/10/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control	Mgmt	For	For	For

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	Self-Assessment Report					
7	Internal Control Audit Report	Mgmt	For	For	For	
8	Social Responsibility Report	Mgmt	For	For	For	
9	Appointment of Auditor	Mgmt	For	For	For	
10	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For	
11	Authority to Give Guarantees	Mgmt	For	Against	Against	
12	Approval of Guarantees and Authority to Settle Fees	Mgmt	For	For	For	
13	Related Party Transactions	Mgmt	For	For	For	
14	Authority to Give Guarantees to Wuhan Shoumao City Real Estate Co., Ltd.	Mgmt	For	Against	Against	
15	Authority to Give Guarantees to Beijing Hexin Jintai Real Estate Development Co., Ltd.	Mgmt	For	Against	Against	
16	Plan on Debt Financing Instruments I	Mgmt	For	For	For	
17	Authorization to Handle Matters Regarding Debt Financing Instruments I	Mgmt	For	For	For	
18	Plan on Debt Financing Instruments II	Mgmt	For	For	For	
19	Authorization to Handle Matters Regarding Debt Financing Instruments II	Mgmt	For	For	For	
20	Elect SUN Maozhu	Mgmt	For	Against	Against	
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	08/09/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Launching Asset-backed Securities Financing Business	Mgmt	For	For	For
3	Board Authorization to	Mgmt	For	For	For

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	Implement Securities Financing Business				
4	Authority to Give Guarantees	Mgmt	For	For	For
5	Elect QIU Xiaohua as Independent Director	Mgmt	For	For	For

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Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	08/29/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
2	Board Authorization to Implement Issuance of Medium-term Notes	Mgmt	For	For	For
3	Authority to Issue Commercial Papers	Mgmt	For	For	For
4	Board Authorization to Implement Issuance of Commercial Papers	Mgmt	For	For	For
5	Authority to Issue Short-term Commercial Papers	Mgmt	For	For	For
6	Board Authorization to Implement Issuance of Short-term Commercial Papers	Mgmt	For	For	For

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Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	10/26/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Beijing Urban Development Group	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Fuzhou Bcdh Futai Investment	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Beijing Urban Development Group for Transaction with Pacific Asset Management	Mgmt	For	Against	Against

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Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600376	CINS Y0772K104	11/09/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Authority to Give Guarantees	Mgmt	For
			Against
2	Authority to Give Guarantees	Mgmt	For
			Against

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Beijing Dabeinong Technology Group Co

Ticker	Security ID:	Meeting Date	Meeting Status
002385	ISIN CNE100000N61	03/19/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Articles (1)	MGMT	For
			For
2	Amendments to Articles (2)	MGMT	For
			For
3	Authority to Issue Short-Term Commercial Papers	MGMT	For
			For

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002385	CINS Y0772N108	04/23/2018	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Reports	Mgmt	For
			For
3	Annual Report and Its Summary	Mgmt	For
			For
4	Audit Report	Mgmt	For
			For
5	Accounts and Reports	Mgmt	For
			For
6	Allocation of Profits/Dividends	Mgmt	For
			For
7	Appointment of Auditor	Mgmt	For
			For
8	Ratification of Board Acts	Mgmt	For
			For
9	Authority to Give Guarantees	Mgmt	For
			For
10	Elect SONG Weipin	Mgmt	For
			For
11	Related Party Transactions	Mgmt	For
			Abstain
12	Authority to Give Guarantees	Mgmt	For
			For
13	Authority to Give Guarantees	Mgmt	For
			For
14	Non-Voting Meeting Note	N/A	N/A
			N/A

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002385	CINS Y0772N108	05/14/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against

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					Mgmt For
1	Method of The Share Repurchase	Mgmt	For	For	For
2	Objective and Purpose of The Shares to Be Repurchased	Mgmt	For	For	For
3	Total Amount and Source of The Funds to Be Used for The Repurchase	Mgmt	For	For	For
4	Time for The Share Repurchase and The Price Range	Mgmt	For	For	For
5	Type, Number and Percentage of Shares to Be Repurchased	Mgmt	For	For	For
6	The Valid Period of The Resolution	Mgmt	For	For	For
7	Authorization to Board to Handle Matters Regarding Share Repurchase	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	08/07/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Authority to Give Financial Assistance	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	10/27/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Equity Incentive Plan	Mgmt	For	For	For
2	Appraisal Management Measures for Equity Incentive Plan	Mgmt	For	For	For
3	Board Authorization to Implement Equity Incentive Plan	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For
5	Amendments to Use of Proceeds	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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7	Authority to Issue Medium-term Notes	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

### Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	11/23/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Hedging Business	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

### Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300251	CINS Y0773B103	03/28/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	SALE OF ASSET	Mgmt	For	Abstain	Against
2	Approval of strategic agreement	Mgmt	For	For	For

### Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300251	CINS Y0773B103	05/15/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Elect CAO Xiaobei as Supervisor	Mgmt	For	Against	Against
7	Directors' Fees	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For

### Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300251	CINS Y0773B103	09/20/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

### Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	02/09/2018	Voted		
Meeting Type		Country of Trade			

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Borrowing	Mgmt	For	For	For
2	Authority to Issue Debt Instruments	Mgmt	For	For	For
3	Authority to Issue Debt Instruments	Mgmt	For	For	For
4	Authority to Issue Debt Instruments	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For

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### Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	04/24/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Changing Performance Compensation Commitment	Mgmt	For	For	For

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### Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	05/16/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	2017 FINANCIAL REPORT	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
9	2018 REMUNERATION PLAN FOR SENIOR MANAGEMENT	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Change of Business Scope	Mgmt	For	For	For

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### Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	08/21/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Third Phase Employee Stock Ownership Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
4	Change of the Company's Business Scope	Mgmt	For	For	For
5	Amendments to the Company's Articles of Association	Mgmt	For	For	For
6	Change of the Use of Raised Capital to Replenish Working Capital	Mgmt	For	For	For

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Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	12/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HU Jihua as Director	Mgmt	For	For	For
2	Change of Business Scope	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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Beijing Originwater Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300070	CINS Y0772Q101	03/16/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees: Fenyang Originwater Municipal Engineering Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees: Fenyang Originwater Water Services Co., Ltd.	Mgmt	For	For	For
4	Authority to Give Guarantees: Hanzhong Hanyuan Urban Construction Development Co., Ltd.	Mgmt	For	For	For
5	Authority to Give Guarantees: Jilin Originwater Environmental Science and Technology Co., Ltd.	Mgmt	For	For	For

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6	Authority to Give Guarantees: Pingdingshan Shiyu Originwater Technology Co., Ltd.	Mgmt	For	For	For
7	Authority to Give Guarantees: Wuyang Originwater Water Services Technology Co., Ltd.	Mgmt	For	For	For
8	Authority to Give Guarantees: Tonghua Originwater Environmental Science and Technology Co., Ltd.	Mgmt	For	For	For
9	Authority to Give Guarantees: Xinjiang Originwater Environmental Resources Co., Ltd.	Mgmt	For	For	For
10	Authority to Give Guarantees: Beijing Deqingyuan Agricultural Science and Technology Co., Ltd. and Its Wholly-owned Subsidiary	Mgmt	For	For	For
11	Authority to Give Guarantees: Xinjiang Kunlun New Water Technology Co., Ltd.	Mgmt	For	For	For
12	Authority to Give Guarantees: Yidu Water Environment Technology Co., Ltd.	Mgmt	For	For	For
13	Authority to Issue Perpetual Medium-Term Notes	Mgmt	For	For	For
14	Directors' Fees	Mgmt	For	For	For
15	Elect WEN Jianping	Mgmt	For	For	For
16	Elect LIU Zhenguo	Mgmt	For	For	For
17	Elect DAI Richeng	Mgmt	For	For	For
18	Elect LONG Limin	Mgmt	For	For	For
19	Elect FAN Kangping	Mgmt	For	For	For
20	Elect WANG Yueyong	Mgmt	For	For	For
21	Elect LIU Wenjun	Mgmt	For	For	For
22	Elect CHEN Yili	Mgmt	For	Against	Against
23	Elect ZHOU Nianyun	Mgmt	For	For	For

Beijing Originwater Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300070	CINS Y0772Q101	04/16/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Authority to Give Guarantees: Enshi Biyuan Technology Co.,Ltd	Mgmt	For	Against	Mgmt Against
2	Authority to Give Guarantees: Taiyuan Originwater Water Co.,Ltd	Mgmt	For	Against	Against

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Beijing Originwater Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300070	CINS Y0772Q101	05/11/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Jilin Originwater Technology Co., Ltd.	Mgmt	For	For	For
8	Nanjing Urban Construction Environmental Protection Water Co., Ltd.	Mgmt	For	For	For
9	Wuhan Sanzhen Industry Holding Co.,Ltd.	Mgmt	For	For	For
10	Yunnan Water Investment Co.,Ltd.	Mgmt	For	For	For
11	Xinjiang Kunlun New Water Technology Co., Ltd.	Mgmt	For	For	For
12	Inner Mongolia Chunyuanyuan Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For
13	Yunnan Chengtou Originwater Technology Co., Ltd.	Mgmt	For	For	For
14	Hunan Heyuan Water Environment Co., Ltd.	Mgmt	For	For	For
15	Xinjiang Originwater Environmental Resources Co., Ltd.	Mgmt	For	For	For
16	Guizhou Xinshuiyuan Ecological Environmental Technology Co.,	Mgmt	For	For	For

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17	Ltd. Guangdong Haiyuan Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For
18	Wuhan Water Environment Technology Co., Ltd.	Mgmt	For	For	For
19	Appointment of Auditor	Mgmt	For	For	For
20	Authority to Give Guarantees to ZTE Instruments I	Mgmt	For	For	For
21	Authority to Give Guarantees to ZTE Instruments II	Mgmt	For	For	For
22	Authority to Give Guarantees to Jiujiang Originwater Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For

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Beijing Originwater Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300070	CINS Y0772Q101	09/11/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Xi'an Bishuiwan Water Limited Liability Company	Mgmt	For	For	For
2	Authority to Give Guarantees for Xinjiang Originwater Environmental Resources Co., Ltd	Mgmt	For	For	For
3	Authority to Give Guarantees for Beijing Originwater Jingliang Water Supply Co., Ltd	Mgmt	For	For	For
4	Authority to Give Guarantees for Dalian Xiaogushan Water Technology Co., Ltd	Mgmt	For	For	For
5	Authority to Give Guarantees for Huzhou Originwater Environmental Technology Co., Ltd	Mgmt	For	For	For

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6	Authority to Give Guarantees for Sihong Jiu'an Water Supply Co., Ltd	Mgmt	For	For	For
7	Authority to Give Guarantees for Yanji Hisense Bio Energy Technology Co., Ltd	Mgmt	For	For	For
8	Authority to Give Guarantees for Langfang City Originwater Recycled Water Co., Ltd	Mgmt	For	For	For
9	Authority to Give Guarantees for Shangqiu Shuiyunjian Sewage Treatment Co., Ltd	Mgmt	For	For	For
10	Authority to Give Guarantees for Tianjin Originwater Environmental Technology Co., Ltd	Mgmt	For	For	For
11	Authority to Give Guarantees for Tianjin Ningyuan Water Co., Ltd.	Mgmt	For	For	For
12	Authority to Give Guarantees for Hunan Guokai Originwater Ecological Environment Technology Co., Ltd	Mgmt	For	For	For
13	Authority to Give Guarantees for Shandong Lubei Originwater Desalination Co., Ltd	Mgmt	For	For	For
14	Authority to Give Guarantees for Beijing Liangye Environmental Technology Co., Ltd (I)	Mgmt	For	For	For
15	Authority to Give Guarantees for Beijing Liangye Environmental Technology Co., Ltd (II)	Mgmt	For	For	For
16	Authority to Give Guarantees for Beijing Liangye Environmental Technology Co., Ltd	Mgmt	For	For	For

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	(III)				
17	Termination of Authority to Give Guarantees for Qinhuangdao Originwater Environmental Technology Co., Ltd	Mgmt	For	For	For
18	Termination of Authority to Give Guarantees for Originwater Hong Kong Environmental Protection Co., Ltd	Mgmt	For	For	For

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Beijing Originwater Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
300070	CINS Y0772Q101	10/16/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Initial Public Issuance Raised Fund Investment Project	Mgmt	For	For	For	
2	Amendments to Private Placement Raised Fund Investment Projects	Mgmt	For	For	For	
3	Authority to Give Guarantees	Mgmt	For	For	For	
4	Termination of Provision of Guarantees	Mgmt	For	For	For	

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Beijing Originwater Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
300070	CINS Y0772Q101	12/04/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Give Guarantees: Chifeng Jinyuan Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For	
3	Authority to Give Guarantees: Qitai County Originwater Source Industrial Water Treatment Co., Ltd.	Mgmt	For	For	For	
4	Authority to Give Guarantees: Qitai County Originwater	Mgmt	For	For	For	

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	Sunshine Water Services Co., Ltd.					
5	Authority to Give Guarantees: Qinhuangdao Originwater Source Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For	
6	Authority to Give Guarantees: Qinhuangdao Originwater Source Recycled Water Co., Ltd.	Mgmt	For	For	For	
7	Authority to Give Guarantees: Ruzhou Originwater Source Environmental Technology Co., Ltd.	Mgmt	For	For	For	
8	Authority to Give Guarantees: Taiyuan Originwater Source Water Services Co., Ltd.	Mgmt	For	For	For	
9	Authority to Give Guarantees: Tianjin City Originwater Source Environmental Technology Co., Ltd.	Mgmt	For	For	For	
10	Authority to Give Guarantees: Yining City Originwater Source Environmental Technology Co., Ltd.	Mgmt	For	For	For	
11	Authority to Give Guarantees: Zhongxiang City Qingyuan Water Source Services Technology Co., Ltd.	Mgmt	For	For	For	
12	Authority to Give Guarantees: Beijing Liangye Environmental Technology Co., Ltd.	Mgmt	For	For	For	

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Beijing Sanju Environmental Protection and New Material Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
300072	CINS Y0773K103	05/15/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	

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3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Annual Report and Its Summary	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For

Beijing Sanju Environmental Protection and New Material Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	06/28/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect MAO Ting	Mgmt	For	For	For
2	Elect LIANG Jian	Mgmt	For	For	For
3	Approval for Line of Credit	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For

Beijing Sanju Environmental Protection and New Material Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	09/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	LIU Lei	Mgmt	For	For	For
2	LIN Ke	Mgmt	For	For	For
3	REN Xiangkun	Mgmt	For	For	For
4	WANG Qingming	Mgmt	For	For	For
5	LIU Mingyong	Mgmt	For	For	For
6	FU Xingguo	Mgmt	For	For	For
7	LI Lin	Mgmt	For	For	For
8	HAN Xiaojing	Mgmt	For	For	For
9	SHEN Baojian	Mgmt	For	For	For
10	TAN Xiangyang	Mgmt	For	For	For
11	YANG Wenbiao	Mgmt	For	For	For
12	DU Wei	Mgmt	For	Against	Against
13	YU Ziyao	Mgmt	For	For	For
14	Directors and Supervisors' Fees	Mgmt	For	For	For
15	Authority to Issue Debt Instruments	Mgmt	For	For	For
16	Authority to Give Guarantees	Mgmt	For	For	For
17	Authority to Give Guarantees	Mgmt	For	For	For
18	Authority to Give Guarantees	Mgmt	For	For	For
19	Authority to Give Guarantees	Mgmt	For	For	For

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20	Authority to Give Guarantees	Mgmt	For	For	For
21	Authority to Issue Debt Instruments	Mgmt	For	For	For
22	Related Party Transactions	Mgmt	For	For	For

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Beijing Sanju Environmental Protection and New Material Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	10/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Termination of 2017 Rights Issue	Mgmt	For	For	For
4	Issuing Volume And Method	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate And Its Determination Method	Mgmt	For	For	For
7	Listing Place	Mgmt	For	For	For
8	Purpose of Raised Funds	Mgmt	For	For	For
9	Credit Enhancing Method	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For
11	Authorization	Mgmt	For	For	For
12	Valid Period of Resolution	Mgmt	For	For	For
13	Authority to Give Guarantees	Mgmt	For	Against	Against
14	Authority to Give Guarantees	Mgmt	For	Against	Against
15	Amendments to Articles	Mgmt	For	For	For

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Beijing Sanju Environmental Protection and New Material Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	12/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Project Cooperation Agreement	Mgmt	For	For	For
3	Authority to Give Guarantees (1)	Mgmt	For	Against	Against
4	Authority to Give Guarantees (2)	Mgmt	For	Against	Against
5	Authority to Give Guarantees (3)	Mgmt	For	Against	Against
6	Authority to Give Guarantees:	Mgmt	For	Against	Against

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Sale-and-Leaseback  
Business

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Beijing Shiji Information Technology Co. Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
002153	CINS Y0772L102	02/26/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Related Party Transactions	Mgmt	For	For	For	
2	Intra-company Contracts/Control Agreements	Mgmt	For	For	For	
3	Misc. Management Proposal	Mgmt	For	For	For	

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Beijing Shiji Information Technology Co. Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
002153	CINS Y0772L102	05/15/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Beijing Shougang Co						
Ticker	Security ID:	Meeting Date		Meeting Status		
000959	CINS Y07713103	03/16/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Adjustment of 2017 Related Party Transactions	Mgmt	For	For	For	

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Beijing Shougang Co						
Ticker	Security ID:	Meeting Date		Meeting Status		
000959	CINS Y07713103	06/12/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Director's Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report and Its Summary	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	2018 Budget Plan	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Related Party	Mgmt	For	For	For	

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Issue No.	Description	Mgmt	For	For	For
9	Transactions LAUNCHING FINANCIAL BUSINESS WITH A COMPANY	Mgmt	For	For	For
10	Financial Service Agreement Regarding The Launching of Financial Business	Mgmt	For	For	For
11	2017 Risk Assessment Audit Report	Mgmt	For	For	For
12	Risk Emergency Disposal Plan for Deposit and Loan Business with the above Company	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Independent Directors' Report	Mgmt	For	For	For
15	Directors' Fees	Mgmt	For	For	For

Beijing Shougang Co

Ticker	Security ID:	Meeting Date	Meeting Status		
000959	CINS Y07713103	12/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	WANG Xiangyu	Mgmt	For	For	For
3	LI Ming	Mgmt	For	For	For
4	YE Lin	Mgmt	For	For	For

Beijing Tongrentang Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600085	CINS Y0771B105	06/13/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Amendments to Procedural Rules Governing Shareholders General Meetings	Mgmt	For	Abstain	Against
9	Amendments to Procedural Rules Governing Board Meetings	Mgmt	For	Abstain	Against
10	Amendments to	Mgmt	For	Abstain	Against

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Procedural Rules Governing Meetings of Supervisory Committee					
11	Elect GAO Zhenkun	Mgmt	For	For	For
12	Elect ZANG Yi	Mgmt	For	For	For
13	Elect LIU Baigang	Mgmt	For	For	For
14	Elect MAN Jie	Mgmt	For	Against	Against
15	Elect ZHAO Peng	Mgmt	For	For	For
16	Elect LIU Xiangguang	Mgmt	For	For	For
17	Elect FENG Zhimei	Mgmt	For	For	For
18	Elect JIA Zetao	Mgmt	For	For	For
19	Elect LIU Yuan	Mgmt	For	For	For
20	Elect WANG Ying	Mgmt	For	For	For
21	Elect WANG Huizhen	Mgmt	For	For	For
22	Elect WU Xingyu	Mgmt	For	For	For
23	Elect MAO Fuguo	Mgmt	For	For	For
24	Elect ZHAN Xin	Mgmt	For	Against	Against
25	Elect YAN Jun as Independent Supervisor	Mgmt	For	For	For

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Beijing Xinwei Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	01/04/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against

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Beijing Xinwei Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	02/26/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
3	Issuing Volume	Mgmt	For	For	For
4	Issuance Targets	Mgmt	For	For	For
5	Issuance Method	Mgmt	For	For	For
6	Bond Duration	Mgmt	For	For	For
7	Option-Embedded Structure	Mgmt	For	For	For
8	Interest Rate	Mgmt	For	For	For

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9	Use of Proceeds	Mgmt	For	For	For
10	Guarantee Clauses	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
14	GUARANTEE MEASURES ON PAYING CORPORATE BONDS	Mgmt	For	For	For
15	EXTENSION OF TRADING SUSPENSION FOR MAJOR ASSETS RESTRUCTURING	Mgmt	For	For	For

Beijing Xinwei Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	04/02/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Authority to Give Guarantees	Mgmt	For	Against	Against

Beijing Xinwei Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Approval for Line of credit	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For

Beijing Xinwei Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	05/25/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	EXTENSION OF TRADING SUSPENSION FOR MAJOR ASSETS RESTRUCTURING	Mgmt	For	For	For

Beijing Xinwei Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600485	CINS Y07723102	10/11/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against

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1	Extension of Trading Suspension	Mgmt	For	For	Mgmt For
2	Amendments to Articles	Mgmt	For	For	For
Beijing Xinwei Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	11/01/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
Beijing Xinwei Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	11/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	For	For
Beijing Xinwei Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	12/08/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Line of Credit	Mgmt	For	Against	Against
4	Application for Continued Trade Suspension	Mgmt	For	For	For
Beijing Xinwei Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	12/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
Beijing Xinwei Telecom Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	07/25/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	EXTENSION OF TRADING	Mgmt	For	For	For

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SUSPENSION FOR MAJOR ASSETS RESTRUCTURING						
2	Approval of Letter of Credit	Mgmt	For	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against	Against

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Beijing Xinwei Telecom Technology Group Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
600485	CINS Y07723102	08/18/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees	Mgmt	For	Against	Against	

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Beijing Xinwei Telecom Technology Group Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
600485	CINS Y07723102	09/04/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees for Beijing Xinwei Communication Technology Co., Ltd	Mgmt	For	Against	Against	
2	Authority to Give Guarantees for Beijing Xinyouda Video Technology Co., Ltd.	Mgmt	For	Against	Against	
3	Authority to Give Guarantees for Xinwei Yongsheng Communication Technology Co., Ltd	Mgmt	For	Against	Against	
4	Authority to Give Guarantees for Ruiping Communication Technology Co., Ltd.	Mgmt	For	Against	Against	

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Boe Technology Group Co. Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
000725	ISIN CNE0000016L5	03/30/2018	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Investment in Construction of the 6th Generation AMOLED Production Line	MGMT	For	For	For	
2	Investment in the Construction of	MGMT	For	For	For	

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TFT-LCD Display  
Production  
Line  
3 Elect YANG Xiangdong MGMT For Against Against  
as  
Supervisor

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BOE Technology Group Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
200725 CINS Y0920M101 05/28/2018 Voted  
Meeting Type Country of Trade  
Annual China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt  
1 Directors' Report Mgmt For For For  
2 Supervisors' Report Mgmt For For For  
3 Annual Report Mgmt For For For  
4 Accounts and Reports Mgmt For For For  
5 Allocation of Profits/Dividends Mgmt For For For  
6 Related Party Transactions Mgmt For For For  
7 Approval of Borrowing Mgmt For Against Against  
8 Investment in Wealth Management Mgmt For For For  
9 Appointment of Auditor Mgmt For For For  
10 Amendments to Articles Mgmt For For For  
11 Elect CHEN Zhaozhen as Supervisor Mgmt For Against Against  
12 Authority to Give Guarantees Mgmt For Against Against  
13 Elect LI Yantao Mgmt For For For  
14 Elect WANG Chenyang Mgmt For For For  
15 Non-Voting Meeting Note N/A N/A N/A N/A

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Bohai Capital Holding Co. Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000415 CINS Y9722D102 01/03/2018 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt  
1 Related Party Transactions Mgmt For For For

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Bohai Capital Holding Co. Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000415 CINS Y9722D102 03/05/2018 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt  
1 Amendments to Articles Mgmt For For For  
2 Election of a Director Mgmt For For For  
3 Appointment of Auditor Mgmt For For For  
4 Election of a Supervisor Mgmt For Against Against

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Bohai Capital Holding Co. Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000415 CINS Y9722D102 04/16/2018 Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	EXTENSION OF TRADING SUSPENSION FOR PLANNING MAJOR ASSETS RESTRUCTURING	Mgmt	For	For	For
2	Extension of The Plan to Increase Shares in The Company	Mgmt	For	For	For

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Bohai Capital Holding Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	05/21/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control Self-Assessment Report	Mgmt	For	For	For
7	Provision of Assets Impairment	Mgmt	For	For	For
8	Provision of Assets Write-Off	Mgmt	For	For	For
9	2018 Related Party Transactions	Mgmt	For	For	For
10	Approval of Borrowing	Mgmt	For	For	For
11	Related Party Transactions: Entrust Management Agreement	Mgmt	For	For	For
12	Approval of Loan	Mgmt	For	Against	Against
13	Authority to Give Guarantees	Mgmt	For	Against	Against
14	Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	For	For
15	Elect ZHUO Yiqun	Mgmt	For	For	For
16	Elect JIN Ping	Mgmt	For	For	For
17	Elect WEN Anmin	Mgmt	For	For	For
18	Elect ZHENG Hong	Mgmt	For	For	For
19	Elect FANG Limin	Mgmt	For	For	For
20	Elect LIU Wenji	Mgmt	For	For	For
21	Elect ZHUANG Qishan	Mgmt	For	For	For
22	Elect Ma Chunhua	Mgmt	For	For	For
23	Elect ZHAO Huijun	Mgmt	For	For	For
24	Elect CHENG Xiaoyun	Mgmt	For	For	For
25	Elect LU Sheng	Mgmt	For	Against	Against

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Bohai Capital Holding Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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000415	CINS Y9722D102	06/13/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of the Plan to Increase Shares in the Company	Mgmt	For	For	For

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Bohai Capital Holding Co. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	06/29/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of Intra-company Agreements and Approval of Airplane Leasing Business	Mgmt	For	For	For
2	Related Party Transactions: Leasing	Mgmt	For	For	For

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Bohai Capital Holding Co. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	09/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision for 2017 Assets Impairment	Mgmt	For	For	For
2	ADJUSTMENT TO 2017 ESTIMATED CONNECTED TRANSACTIONS QUOTA	Mgmt	For	For	For
3	Termination of Connected Transaction	Mgmt	For	For	For
4	Connected Transaction: Wholly-Owned Subsidiary's Capital Increase in Controlled Subsidiary	Mgmt	For	For	For
5	Connected Transaction: Purchase of Planes	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Eligibility for Private Placement	Mgmt	For	For	For
8	Preliminary Plan for Private Placement	Mgmt	For	For	For
9	Type	Mgmt	For	For	For
10	Issuing Volume and	Mgmt	For	For	For

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11	Scale Issuance Targets and Issuance Method	Mgmt	For	For	For
12	Par Value and Issue Price	Mgmt	For	For	For
13	Duration	Mgmt	For	For	For
14	Profit Distribution Method	Mgmt	For	For	For
15	Provisions on Repurchase	Mgmt	For	For	For
16	Restriction on Voting Right and Recovery Clause	Mgmt	For	For	For
17	Sequence for Liquidation Payment and Settlement Method	Mgmt	For	For	For
18	Credit Ratings	Mgmt	For	For	For
19	Guarantee Method and Principals	Mgmt	For	For	For
20	Listing of Transaction/Transfer	Mgmt	For	For	For
21	Use of Proceeds	Mgmt	For	For	For
22	Validity Period	Mgmt	For	For	For
23	Connected Party Transaction Regarding Private Placement of Preferred Shares	Mgmt	For	For	For
24	Conditional Agreement on Subscription for Private Placement	Mgmt	For	For	For
25	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
26	Diluted Immediate Returns for Private Placement of Preferred Shares	Mgmt	For	For	For
27	Verification Reports on Use of Proceeds	Mgmt	For	For	For
28	Amendments to Articles	Mgmt	For	For	For
29	Amendments to Procedural Rules	Mgmt	For	For	For
30	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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BYD Co					
Ticker	Security ID:	Meeting Date	Meeting Status		
1211	CINS Y1045E107	06/20/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For

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3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Provision of Guarantee by the Group	Mgmt	For	Against	Against
9	Guarantees to External Company in Respect of Sales of Products	Mgmt	For	Against	Against
10	Estimated Caps of Continuing Connected Transactions	Mgmt	For	For	For
11	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Issue Shares w/o Preemptive Rights of BYD Electronic (International) Company Limited	Mgmt	For	Against	Against
13	Investment in Wealth Management Products	Mgmt	For	For	For
14	Provision of Guarantee by a Subsidiary (Home Buyers)	Mgmt	For	Against	Against
15	Provision of Guarantee by a Subsidiary (Car Buyers)	Mgmt	For	Against	Against
16	Increase in Estimated of Connected Transactions for 2018	Mgmt	For	For	For
17	Adjustment and Change of Use of Partial Proceeds from Non-Public Issuance and Introduction of New Implementation Entity	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

BYD Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1211	CINS Y1045E107	05/09/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Asset-Backed Securities	Mgmt	For	For	For
2	Authorised Matters	Mgmt	For	For	For

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3	Authority to Issue Financial Debt Instruments	Mgmt	For	For	For
4	Alteration of Use and Proceeds for Investment Project	Mgmt	For	For	For

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BYD Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1211	CINS Y1045E107	09/08/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Chuan Fu	Mgmt	For	Against	Against
2	Elect Lv Xiang Yang	Mgmt	For	Against	Against
3	Elect XIA Zuo Quan	Mgmt	For	For	For
4	Elect WANG Zi Dong	Mgmt	For	Against	Against
5	Elect ZOU Fei	Mgmt	For	For	For
6	Elect ZHANG Ran	Mgmt	For	For	For
7	Elect DONG Jun Qing	Mgmt	For	For	For
8	Elect LI Yong Zhao	Mgmt	For	For	For
9	Elect HUANG Jiang Feng	Mgmt	For	For	For
10	Supervisor Service Contracts	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
12	Supervisors' Fees	Mgmt	For	For	For

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Central China Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1375	CINS Y3R18N115	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect CHANG Junsheng as Director	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Appointment of Domestic Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Appointment of Overseas Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Directors' Fees and Evaluations	Mgmt	For	For	For
10	Supervisors' Fees and Evaluations	Mgmt	For	For	For
11	Chairman's Fees	Mgmt	For	For	For
12	President's Fees	Mgmt	For	For	For
13	Supervisory Committee Chair's Fees	Mgmt	For	For	For

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14	Proprietary Trading Business Scale and Risk Limits	Mgmt	For	For	For
15	Authority to Give Guarantees	Mgmt	For	For	For
16	2018 Related Party Transactions	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For
18	Amendments to Procedural Rules (Supervisory Committee)	Mgmt	For	Abstain	Against
19	Extension of Validity Period for Issuance of A Share Convertible Corporate Bonds	Mgmt	For	For	For
20	Elect CAO Zongyuan	Mgmt	For	Against	Against
21	Elect ZHANG Xiansheng	Mgmt	For	Against	Against

Central China Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1375	CINS Y3R18N115	05/17/2018	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Issuance of A Share Convertible Corporate Bonds	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Central China Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1375	CINS Y3R18N115	10/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Interim Profits/Dividends	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Changjiang Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000783	CINS Y1314J100	02/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Guohua Life Insurance	Mgmt	For	For	For
2	Three Gorges Capital Holdings	Mgmt	For	For	For
3	Zhangxin Fund Management	Mgmt	For	For	For
4	Other Related Parties	Mgmt	For	For	For
5	2018 AUTHORIZED QUOTA OF BUSINESS INVESTMENTS	Mgmt	For	For	For

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6	Extension of Validity of Resolution on Issuance of Corporate Bonds	Mgmt	For	For	For
7	Extension of Validity of Resolution on Board Authorization on Issuance of Corporate Bonds	Mgmt	For	For	For

Changjiang Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000783	CINS Y1314J100	05/21/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	REPORT ON 2017 RISK CONTROL INDICATORS	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Formulation of Remuneration Management System for Directors	Mgmt	For	For	For
10	Formulation of Insiders Registration and Management System	Mgmt	For	For	For
11	Amendments to Rules of Procedure Governing the Board Meetings	Mgmt	For	For	For
12	Amendments to Rules of Procedure Governing the Meetings of Supervisory Committee	Mgmt	For	For	For
13	Elect TIAN Xuan as Independent Director	Mgmt	For	For	For
14	SPECIAL STATEMENT ON 2017 REMUNERATION AND APPRAISAL FOR DIRECTORS	Mgmt	For	For	For
15	SPECIAL STATEMENT ON 2017 REMUNERATION AND APPRAISAL FOR SUPERVISORS	Mgmt	For	For	For
16	Special Statement on 2017 Performance Appraisal and Remuneration for Management	Mgmt	For	For	For

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17	Team Extension of Fulfilling Shareholding Increase Commitments by the Largest Shareholder	Mgmt	For	For	For
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Changjiang Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000783	CINS Y1314J100	12/07/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Removal of Director	Mgmt	For	For	For
2	Elect WANG Jianxin	Mgmt	For	For	For
3	Interim Risk Control Report	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Amendments to Procedural Rules for Director Meetings	Mgmt	For	For	For
6	Amendments to Procedural Rules for Supervisory Board	Mgmt	For	For	For

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China Avionics Systems Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600372	CINS Y44456104	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Statement on Allocation of Profits/Dividends	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Internal Control Self-Assessment Report	Mgmt	For	For	For
10	Internal Control Auditor's Report	Mgmt	For	For	For
11	Social Responsibility Report	Mgmt	For	For	For
12	Independent Directors' Report	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Amendments to Procedural Rules: Shareholder	Mgmt	For	For	For

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15	Meetings Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
16	Elect YU Feng	Mgmt	For	Against	Against
17	Elect GAN Liwei	Mgmt	For	For	For
18	Elect TANG Jun	Mgmt	For	For	For

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China Avionics Systems Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600372	CINS Y44456104	08/21/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bonds Issuance	Mgmt	For	For	For
2	Authority to Issue Corporate Bonds	Mgmt	For	For	For
3	Preliminary Plan to Issue Corporate Bonds	Mgmt	For	For	For
4	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Board Authorization to Implement Corporate Bonds Issuance	Mgmt	For	For	For
7	Adoption of Procedural Rules for Bondholders Meetings	Mgmt	For	For	For
8	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Measures on Management and Use of Proceeds	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Avionics Systems Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600372	CINS Y44456104	10/17/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Authorized Capital	Mgmt	For	For	For
2	Increase in Authorized Capital of controlled Subsidiary	Mgmt	For	For	For

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3	ELECTION OF DIRECTORS	Mgmt	For	For	For
4	Implementation of Research Development Center Project	Mgmt	For	For	For

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China Avionics Systems Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600372	CINS Y44456104	12/27/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For

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China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0998	CINS Y1434M108	02/06/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Convertible Bond Issuance	Mgmt	For	For	For
2	Extension of Validity Period for Authorization Period for Convertible Bond Issuance	Mgmt	For	For	For

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China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0998	CINS Y1434M108	02/06/2018	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Convertible Bond Issuance	Mgmt	For	For	For
2	Extension of Validity Period for Authorization Period for Convertible Bond Issuance	Mgmt	For	For	For

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China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0998	CINS Y1434M108	05/25/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For

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4	Accounts and Reports	Mgmt	For	For	For
5	2018 Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Report of Previous Use of Proceeds	Mgmt	For	For	For
9	Shareholders' Return Plan 2018-2020	Mgmt	For	For	For
10	Mid-Term Capital Management Plan 2018-2020	Mgmt	For	For	For
11	Report on Related Party Transactions for 2017	Mgmt	For	For	For
12	Elect LI Qingping	Mgmt	For	Against	Against
13	Elect SUN Deshun	Mgmt	For	For	For
14	Elect ZHU Gaoming	Mgmt	For	For	For
15	Elect CAO Guoqiang	Mgmt	For	For	For
16	Elect HUANG Fang	Mgmt	For	For	For
17	Elect WAN Liming	Mgmt	For	Against	Against
18	Elect HE Cao	Mgmt	For	For	For
19	Elect CHEN Lihua	Mgmt	For	For	For
20	Elect QIAN Jun	Mgmt	For	For	For
21	Elect Isaac YAN Lap Kei	Mgmt	For	For	For
22	Directors' Fees	Mgmt	For	For	For
23	Elect DENG Changqing as Supervisor	Mgmt	For	For	For
24	Elect WANG Xiuhong as Supervisor	Mgmt	For	For	For
25	Elect JIA Xiangsen as Supervisor	Mgmt	For	For	For
26	Elect ZHENG Wei as Supervisor	Mgmt	For	For	For
27	Supervisors' Fees	Mgmt	For	For	For

China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0998	CINS Y1434M108	11/30/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules: Shareholders' General Meeting	Mgmt	For	For	For
2	Amendments to Procedural Rules: Board of Supervisors	Mgmt	For	For	For
3	Non-credit Extension: Asset Transfer	Mgmt	For	For	For
4	Non-credit Extension: Wealth Management and	Mgmt	For	For	For

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	Investment Services				
5	Credit Extension: CITIC Group	Mgmt	For	For	For
6	Credit Extension: Xinhua Zhongbao	Mgmt	For	For	For
7	Credit Extension: China Tobacco	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Authority to Issue Capital Bonds	Mgmt	For	For	For

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China Coal Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1898	CINS Y1434L118	06/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2018 Capital Investment Budget	Mgmt	For	For	For
6	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Merger/Acquisition	Mgmt	For	Abstain	Against

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China Communications Construction

Ticker	Security ID:	Meeting Date	Meeting Status		
1800	CINS Y1R36A107	06/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Utilization of the Funds Raised from Previous Offering	Mgmt	For	For	For

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8	Authority to Issue Debt Instruments Short-Term	Mgmt	For	Against	Against
9	Authority to Issue Debt Instruments Medium to Long-Term	Mgmt	For	For	For
10	Authority to Issue Asset-Backed Securities	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Extension of Validity Period for Issuance of A Share Convertible Bonds	Mgmt	For	For	For

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China Communications Construction Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
1800	CINS Y1R36A107	09/26/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Disposal	Mgmt	For	For	For	

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China Communications Construction Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
1800	CINS Y1R36A107	11/22/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Eligibility for Convertible Bonds Issuance	Mgmt	For	For	For	
3	Type of securities to be issued	Mgmt	For	For	For	
4	Size of issuance	Mgmt	For	For	For	
5	Par value and issue price	Mgmt	For	For	For	
6	Term	Mgmt	For	For	For	
7	Interest rate	Mgmt	For	For	For	
8	Method and timing of interest payment	Mgmt	For	For	For	
9	Conversion period	Mgmt	For	For	For	
10	Determination and adjustment of the conversion price	Mgmt	For	For	For	
11	Terms of downward adjustment to conversion price	Mgmt	For	For	For	
12	Method for determining the number of	Mgmt	For	For	For	

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13	shares for conversion Entitlement to dividend in the year of conversion	Mgmt	For	For	For
14	Terms of redemption	Mgmt	For	For	For
15	Terms of sale back	Mgmt	For	For	For
16	Method of issuance and target investors	Mgmt	For	For	For
17	Subscription arrangement for the existing shareholders	Mgmt	For	For	For
18	A share convertible bond holders and A share convertible bond holders' meetings	Mgmt	For	For	For
19	Use of proceeds	Mgmt	For	For	For
20	Rating	Mgmt	For	For	For
21	Guarantee and security	Mgmt	For	For	For
22	Deposit account for proceeds raised	Mgmt	For	For	For
23	Validity period of the resolution	Mgmt	For	For	For
24	Authorizations	Mgmt	For	For	For
25	Preliminary Plan to Issue Corporate Bonds	Mgmt	For	For	For
26	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
27	Approval of Dilution Measures for Bond Issuance	Mgmt	For	For	For
28	Adoption of Rules of Procedures for Bondholders' General Meeting	Mgmt	For	For	For
29	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
30	Shareholder Returns Plan	Mgmt	For	For	For
31	Related Party Transactions: Subscription for Bonds	Mgmt	For	For	For
32	Authority to Give Guarantees	Mgmt	For	Abstain	Against
33	Report on Use of Previously Raised Proceeds	Mgmt	For	For	For
34	Self-inspection Report on Real Estate Business	Mgmt	For	For	For
35	Undertaking on Matters relating to Self-inspection	Mgmt	For	For	For

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Report on Real Estate Business						
36	Amendments to Articles	Mgmt	For	For	For	
37	Elect LIU Qitao	Mgmt	For	Against	Against	
38	Elect CHEN Fenjian	Mgmt	For	For	For	
39	Elect FU Junyuan	Mgmt	For	For	For	
40	Elect CHEN Yun	Mgmt	For	For	For	
41	Elect LIU Maoxun	Mgmt	For	For	For	
42	Elect QI Xiaofei	Mgmt	For	For	For	
43	Elect HUANG Long	Mgmt	For	For	For	
44	Elect ZHENG Changhong	Mgmt	For	For	For	
45	Elect NGAI Wai Fung	Mgmt	For	Against	Against	
46	Elect LI Sen	Mgmt	For	For	For	
47	Elect WANG Yongbin	Mgmt	For	Against	Against	

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### China Construction Bank Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
0939	CINS Y1397N119	06/29/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	2018 Fixed Asset Investment Plan	Mgmt	For	For	For	
6	Directors' Fees	Mgmt	For	For	For	
7	Supervisors' Fees	Mgmt	For	For	For	
8	Elect WANG Zuji	Mgmt	For	For	For	
9	Elect PANG Xiusheng	Mgmt	For	For	For	
10	Elect ZHANG Gengsheng	Mgmt	For	For	For	
11	Elect LI Jun	Mgmt	For	Against	Against	
12	Elect Anita FUNG Yuen Mei	Mgmt	For	For	For	
13	Elect Carl Walter	Mgmt	For	For	For	
14	Elect Kenneth Patrick CHUNG	Mgmt	For	For	For	
15	Elect WU Jianhang as Supervisor	Mgmt	For	For	For	
16	Elect FANG Qiuyue as Supervisor	Mgmt	For	Against	Against	
17	Capital Plan 2018-2020	Mgmt	For	For	For	
18	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
19	Amendments to Board Authority on External Donations	Mgmt	For	For	For	

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### China Construction Bank Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
0939	CINS Y1397N119	10/09/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Elect TIAN Guoli as Director	Mgmt	For	For	For	

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China CSSC Holdings Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
600150	CINS Y1414Q103	02/12/2018	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Capital Increase of Shanghai Waigaoqiao Shipbuilding Co., Ltd	Mgmt	For	For	For	
3	Capital Increase of Zhongchuan Chengxi Shipyard Co., Ltd	Mgmt	For	For	For	
4	Related-Party Transaction	Mgmt	For	For	For	

China CSSC Holdings Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
600150	CINS Y1414Q103	07/25/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees	Mgmt	For	For	For	

China CSSC Holdings Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
600150	CINS Y1414Q103	09/13/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Appointment of Auditor	Mgmt	For	For	For	
2	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For	
3	Provision of Counter Security	Mgmt	For	For	For	

China CSSC Holdings Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
600150	CINS Y1414Q103	11/29/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Merger/Acquisition	Mgmt	For	For	For	
3	Related Party Transactions	Mgmt	For	For	For	
4	Amendments to Use of Proceeds	Mgmt	For	For	For	

China CSSC Holdings Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
600150	CINS Y1414Q103	12/26/2017	Voted			
Meeting Type	Country of Trade					

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Continued Suspension of Trading	Mgmt	For	Against	Against

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China Eastern Airlines Corporation Limited

Ticker 0670 Security ID: CINS Y1407D101 Meeting Date 02/08/2018 Meeting Status Voted

Meeting Type Special Country of Trade China

Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Aircraft and Engines Leasing Framework Agreement	Mgmt	For	For	For
3	Supplemental Agreement II	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Amendments to Rules of Procedures for Shareholders Meeting	Mgmt	For	For	For
6	Amendments to Rules of Procedures for Board	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For

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China Eastern Airlines Corporation Limited

Ticker 0670 Security ID: CINS Y1407D101 Meeting Date 03/29/2018 Meeting Status Voted

Meeting Type Special Country of Trade China

Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Bellyhold Space Agreements	Mgmt	For	For	For
2	Proposed Annual Caps for 2018 and 2019	Mgmt	For	For	For

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China Eastern Airlines Corporation Limited

Ticker 0670 Security ID: CINS Y1407D101 Meeting Date 06/21/2018 Meeting Status Voted

Meeting Type Annual Country of Trade China

Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Domestic and International Auditors and Authority to Set Fees	Mgmt	For	For	For

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6	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
8	Amendments to Articles	Mgmt	For	For	For

China Eastern Airlines Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0670	CINS Y1407D101	12/22/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules	Mgmt	For	For	For

China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	02/28/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Xiaopeng	Mgmt	For	Against	Against
2	Elect SHI Yongyan	Mgmt	For	For	For
3	Elect HE Haibin	Mgmt	For	Against	Against
4	Re-grant of the Specific Mandate in Relation to the Domestic Preference Shares Issuance	Mgmt	For	For	For

China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	02/28/2018	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-grant of the Specific Mandate in Relation to the Domestic Preference Shares Issuance	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	06/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For

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4	2018 Fixed Asset Investment Budget	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Supervisors' Fees	Mgmt	For	For	For
10	Establishment of Independent Legal Institution for Assets Management Business	Mgmt	For	For	For
11	Elect WANG Xiaolin	Mgmt	For	For	For

China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	12/21/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect FU Dong	Mgmt	For	For	For
2	Remuneration of Chair and Vice Chair of Board of Supervisors	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Film Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600977	CINS Y1R8AC107	06/12/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Election of a Director	Mgmt	For	For	For

China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	07/07/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue	Mgmt	For	For	For

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Asset-Backed Notes						
3	Authority to Give Guarantees	Mgmt	For	Against	Against	
4	Authority to Give Guarantees to Jiutong Foundation Investment Group	Mgmt	For	Against	Against	

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China Fortune Land Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
600340	CINS Y98912101	07/27/2017			Voted	
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees	Mgmt	For	Against	Against	

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China Fortune Land Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
600340	CINS Y98912101	09/06/2017			Voted	
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Give Guarantees	Mgmt	For	Against	Against	
3	Strategic Agreement	Mgmt	For	For	For	
4	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against	

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China Fortune Land Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
600340	CINS Y98912101	09/22/2017			Voted	
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Give Guarantees	Mgmt	For	Against	Against	
3	Authority to Give Guarantee to Subsidiary	Mgmt	For	Against	Against	

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China Fortune Land Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
600340	CINS Y98912101	10/17/2017			Voted	
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Implementation of Assets Securitization Project	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	Abstain	Against	
3	Appointment of Auditor and Authority to Set	Mgmt	For	For	For	

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Fees

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China Fortune Land Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	11/07/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cooperation Agreement on Development, Construction and Operation with Kaifeng People's Government	Mgmt	For	For	For
2	Cooperation Agreement with Minsheng Bank	Mgmt	For	For	For

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China Fortune Land Development Co Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	ISIN CNE000001GG8	03/22/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Overall Cooperation Agreement	MGMT	For	For	For
2	Authority to Issue Overseas Bonds	MGMT	For	For	For
3	Authority to Give Guarantees	MGMT	For	Against	Against

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China Fortune Land Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	01/03/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For

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China Fortune Land Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	02/01/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of the Expansion Agreement	Mgmt	For	Abstain	Against

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China Fortune Land Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	04/20/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Independent Directors' Report	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	Remuneration for Directors	Mgmt	For	For	For
11	Remuneration for Supervisors	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	Against	Against
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Fortune Land Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	05/10/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

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China Fortune Land Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	06/07/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Intra-company Agreements	Mgmt	For	Abstain	Against

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China Fortune Land Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	06/21/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authorization for the Company to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against

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China Fortune Land Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	11/28/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Par Value and Issuing Volume	Mgmt	For	For	For
3	Bond Duration	Mgmt	For	For	For
4	Interest Rate and Repayment	Mgmt	For	For	For
5	Method of Issuance	Mgmt	For	For	For
6	Guarantee Arrangement	Mgmt	For	For	For
7	Redemption of Resale Provisions	Mgmt	For	For	For
8	Purpose of Raised Funds	Mgmt	For	For	For
9	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For
10	Underwriting Method	Mgmt	For	For	For
11	Company Credit Status and Guarantee Measures	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Board Authorization for Corporate Bond Issuance	Mgmt	For	For	For
14	Setting up Dedicated Account for Raised Funds	Mgmt	For	For	For
15	General Cooperation Agreement	Mgmt	For	For	For
16	Setting Up Fund	Mgmt	For	For	For
17	Authority to Give Guarantees	Mgmt	For	Against	Against

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China Fortune Land Development Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600340	CINS Y98912101	12/19/2017		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Approval of Construction Agreement and Supplemental Agreement	Mgmt	For	For	For	
3	Authority to Give Guarantees	Mgmt	For	Against	Against	
4	Approval of Land Merger and Acquisition Investments	Mgmt	For	Abstain	Against	
5	Approval of Purchase of Land	Mgmt	For	Abstain	Against	
6	Approval to Conduct External Investments	Mgmt	For	Abstain	Against	
7	Authority to Give Guarantees to	Mgmt	For	Against	Against	

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8	Subsidiaries Investment in Wealth Management Products: Commercial Banks	Mgmt	For	For	For
9	Investment in Wealth Management Products: Bank of Langfang	Mgmt	For	For	For
10	Related Party Transactions: Deposits and Settlement	Mgmt	For	Against	Against
11	Authority to Give Guarantees: Jiutong Jiye Investment Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees: Nanjing Dingtong Construction and Development Co., Ltd.	Mgmt	For	Against	Against
13	Elect WANG Jingwei as Director	Mgmt	For	For	For

China Galaxy Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6881	CINS Y1R92J117	02/09/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Plan for CHEN Gongyan for 2016	Mgmt	For	For	For
3	Remuneration Plan for CHEN Youan for 2015-16	Mgmt	For	For	For
4	Remuneration Plan for YU Wenxiu for 2015-16	Mgmt	For	For	For
5	Remuneration Plan for ZHONG Cheng for 2015-16	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Elect WANG Zhenjun	Mgmt	For	For	For
8	Elect LIU Dingping	Mgmt	For	For	For

China Galaxy Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6881	CINS Y1R92J117	06/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of	Mgmt	For	For	For

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7	Profits/Dividends 2018 Capital Expenditure Budget	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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### China Galaxy Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6881	CINS Y1R92J117	09/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Elect LIU Ruizhong	Mgmt	For	For	For

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### China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600068	CINS Y1495L114	04/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Related Party Transactions 2018: Deposit Service	Mgmt	For	Against	Against
9	Estimation of 2018 Related Party Transactions	Mgmt	For	For	For

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### China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600068	CINS Y1495L114	08/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Project Implementation Principal	Mgmt	For	For	For
4	Bond Type, Bond Duration and Payment of Principal and Interest	Mgmt	For	For	For

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5	Interest Rate	Mgmt	For	For	For
6	Issuance Method and Targets	Mgmt	For	For	For
7	Arrangement For Placement to Shareholders	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Repayment Guarantee Measures	Mgmt	For	For	For
10	Guarantee Arrangement	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Underwriting Method	Mgmt	For	For	For
13	Validity of Resolution	Mgmt	For	For	For
14	Board Authorization	Mgmt	For	For	For

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### China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600068	CINS Y1495L114	11/23/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For
			For
			For

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### China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600068	CINS Y1495L114	12/12/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For
			For
			For

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### China Grand Automotive Services Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600297	CINS Y1964K100	01/08/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Amendments to Registered Capital and Articles	Mgmt	For
			For
			For

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### China Grand Automotive Services Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600297	CINS Y1964K100	04/13/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
			N/A
2	Adoption of Equity Incentive Plan	Mgmt	For
			For
			For
3	Elect LU Wei as Director	Mgmt	For
			For
			For

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China Grand Automotive Services Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600297	CINS Y1964K100	05/14/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authorization to Management Team to Review and Approve 2018 Financing within Financing Quota	Mgmt	For	Abstain	Against
10	2018 Entrusted Wealth Management with Idle Proprietary Funds	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
13	Par Value and Issuing Volume	Mgmt	For	For	For
14	Bond Duration	Mgmt	For	For	For
15	Interest Rate and Method for Payment of Principal and Interest	Mgmt	For	For	For
16	Issuing Method	Mgmt	For	For	For
17	Guarantee Arrangement	Mgmt	For	For	For
18	Redemption or Resale Terms	Mgmt	For	For	For
19	Purpose of The Raised Funds	Mgmt	For	For	For
20	Issuing Targets and Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
21	Underwriting Method and Listing Arrangement	Mgmt	For	For	For
22	Repayment Guarantee Measures	Mgmt	For	For	For
23	Valid Period of The Resolution	Mgmt	For	For	For
24	Authorization to Board or Its Authorized Persons to Handle Matters Regarding the Public Issuance of Corporate	Mgmt	For	For	For

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25	Bonds Setting up Dedicated Account for Funds Raised from Corporate Bond Issuance	Mgmt	For	For	For
26	2018 RESTRICTED STOCK INCENTIVE PLAN (DRAFT) AND ITS SUMMARY	Mgmt	For	For	For
27	APPRAISAL MANAGEMENT MEASURES ON 2018 RESTRICTED STOCK INCENTIVE PLAN	Mgmt	For	For	For
28	Authorization to Board to Handle Matters Regarding 2018 Restricted Stock Incentive Plan	Mgmt	For	For	For
29	2017 Remuneration for Directors, Supervisors and Senior Management and 2018 Remuneration Plan	Mgmt	For	For	For
30	Shareholder Returns Plan	Mgmt	For	For	For

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China Grand Automotive Services Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600297	CINS Y1964K100	09/18/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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China Grand Automotive Services Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600297	CINS Y1964K100	11/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Ren as Director	Mgmt	For	For	For

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China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601118	CINS Y1497E100	04/27/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Replenish Working Capital with Idle Funds	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural	Mgmt	For	Abstain	Against

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China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601118	CINS Y1497E100	05/18/2018	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	2017 PROVISION FOR ASSETS IMPAIRMENT	Mgmt	For	For	For
6	2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	2018 FINANCING QUOTA	Mgmt	For	Abstain	Against
9	FINANCIAL DERIVATIVES INVESTMENT QUOTA IN 2018	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Remuneration for Chairman of Supervisory Committee	Mgmt	For	For	For

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China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601118	CINS Y1497E100	07/13/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cancellation of Aquisition	Mgmt	For	For	For

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China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601118	CINS Y1497E100	08/03/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Commitments Regarding Horizontal Competition	Mgmt	For	For	For

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China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601118	CINS Y1497E100	10/09/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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China Hainan Rubber Industry Group Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
601118	CINS Y1497E100	11/30/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Renfei	Mgmt	For	For	For
2	Elect LI Baoyou	Mgmt	For	For	For
3	Elect PENG Fuqing	Mgmt	For	For	For
4	Elect LIANG Chunfa	Mgmt	For	For	For
5	Elect MENG Xiaoliang	Mgmt	For	For	For
6	Elect WANG Bing	Mgmt	For	For	For
7	Elect CHEN Lijing	Mgmt	For	For	For
8	Elect LIN Weifu	Mgmt	For	For	For
9	Elect WANG Zeying	Mgmt	For	For	For
10	Elect CAI Jinhe	Mgmt	For	For	For
11	Elect GAO Bo	Mgmt	For	Against	Against

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### China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601118	CINS Y1497E100	12/18/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Termination of Related Party Transactions	Mgmt	For	For	For

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### China High-Speed Railway Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000008	CINS Y4444K104	04/11/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Election of Directors	Mgmt	For	For	For

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### China High-Speed Railway Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000008	CINS Y4444K104	05/18/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For

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### China High-Speed Railway Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status

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000008	CINS Y4444K104	12/26/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Transfer of Equity Stake	Mgmt	For	For	For
4	Amendments to Procedural Rules: Management System on Investment and Financing	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China International Travel Service Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
601888	CINS Y149A3100	05/24/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Routine Meeting Item	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For

China International Travel Service Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601888	CINS Y149A3100	04/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment Plan	Mgmt	For	Abstain	Against
2	Financial Budget	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against

China International Travel Service Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601888	CINS Y149A3100	11/22/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Guarantee Management System	Mgmt	For	Abstain	Against

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3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Appointment of Internal Control Auditor	Mgmt	For	For	For

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China Life Insurance Co.

Ticker Security ID: Meeting Date Meeting Status  
 2628 CINS Y1477R220 06/06/2018 Voted  
 Meeting Type Country of Trade  
 Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Elect YANG Mingsheng	Mgmt	For	Against	Against
7	Elect LIN Dairen	Mgmt	For	For	For
8	Elect XU Hengping	Mgmt	For	Against	Against
9	Elect XU Haifeng	Mgmt	For	For	For
10	Elect YUAN Changqing	Mgmt	For	For	For
11	Elect LIU Huimin	Mgmt	For	For	For
12	Elect YIN Zhaojun	Mgmt	For	For	For
13	Elect SU Hengxuan	Mgmt	For	For	For
14	Elect Stephen CHANG Tso Tung	Mgmt	For	For	For
15	Elect Robinson Drake Pike	Mgmt	For	For	For
16	Elect TANG Xin	Mgmt	For	For	For
17	Elect Elsie LEUNG Oi Sie	Mgmt	For	For	For
18	Elect JIA Yuzeng as Supervisor	Mgmt	For	For	For
19	Elect SHI Xiangming as Supervisor	Mgmt	For	Against	Against
20	Elect LUO Zhaohui as Supervisor	Mgmt	For	Against	Against
21	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
22	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
23	Entrusted Investment and Management Agreement for Alternative Investments with Insurance Funds	Mgmt	For	For	For
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Life Insurance Co.

Ticker Security ID: Meeting Date Meeting Status

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2628	CINS Y1477R220	06/06/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Directors' and Supervisors' Fees	Mgmt	For	For	For	
6	Elect YANG Mingsheng	Mgmt	For	Against	Against	
7	Elect LIN Dairen	Mgmt	For	For	For	
8	Elect XU Hengping	Mgmt	For	Against	Against	
9	Elect XU Haifeng	Mgmt	For	For	For	
10	Elect YUAN Changqing	Mgmt	For	For	For	
11	Elect LIU Huimin	Mgmt	For	For	For	
12	Elect YIN Zhaojun	Mgmt	For	For	For	
13	Elect SU Hengxuan	Mgmt	For	For	For	
14	Elect Stephen CHANG Tso Tung	Mgmt	For	For	For	
15	Elect Robinson Drake Pike	Mgmt	For	For	For	
16	Elect TANG Xin	Mgmt	For	For	For	
17	Elect Elsie LEUNG Oi Sie	Mgmt	For	For	For	
18	Elect JIA Yuzeng as Supervisor	Mgmt	For	For	For	
19	Elect SHI Xiangming as Supervisor	Mgmt	For	Against	Against	
20	Elect LUO Zhaohui as Supervisor	Mgmt	For	Against	Against	
21	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
22	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
23	Entrusted Investment and Management Agreement for Alternative Investments with Insurance Funds	Mgmt	For	For	For	
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

China Life Insurance Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
2628	CINS Y1477R220	12/20/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect YUAN Changqing	Mgmt	For	For	For	
2	Elect LUO Zhaohui as Non-Employee Representative	Mgmt	For	Against	Against	

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### Supervisor

China Media Group					
Ticker	Security ID:	Meeting Date		Meeting Status	
000793	CINS Y3R42R108	11/28/2017		Voted	
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions: Investment in Industrial Fund	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Appointment of Internal Control Auditor	Mgmt	For	For	For
China Merchants Bank					
Ticker	Security ID:	Meeting Date		Meeting Status	
3968	CINS Y14896107	06/27/2018		Voted	
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Report on Duty Performance of Directors	Mgmt	For	For	For
8	Report on Duty Performance of Supervisors	Mgmt	For	For	For
9	Duty Performance Report and Cross-Evaluation of Independent Directors	Mgmt	For	For	For
10	Duty Performance Report and Cross-Evaluation of External Supervisors	Mgmt	For	For	For
11	Report on Duty Performance of Senior Management	Mgmt	For	For	For
12	Report on 2017 Related Party Transactions	Mgmt	For	For	For
13	Elect ZHOU Song	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	Against	Against
15	Authority to Issue Corporate Bonds	Mgmt	For	For	For

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16	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
17	Elect LI Menggang	Mgmt	For	For	For
18	Elect LIU Qiao	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Merchants Energy Shipping Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601872	CINS Y15039129	07/31/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trading Suspension	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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China Merchants Energy Shipping Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601872	CINS Y15039129	09/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility For Assets Purchase Via Share Offering	Mgmt	For	For	For
2	Plan For Assets Purchase Via Share Offering	Mgmt	For	For	For
3	Report On Connected Transaction Regarding Assets Purchase Via Share Offering	Mgmt	For	For	For
4	Transaction Constitutes Connected Transaction	Mgmt	For	For	For
5	Conditional Agreement on Assets Purchase Via Share Offering And Agreement on Profit Forecast	Mgmt	For	For	For
6	Transaction Does Not Constitute Major Assets Restructuring Or Back-Door Listing	Mgmt	For	For	For
7	Compliance with Article 4 of Provisions on Issues Concerning Regulation of Major Assets Restructuring	Mgmt	For	For	For
8	Compliance with Article 43 of Management Measures on Major Assets	Mgmt	For	For	For

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9	Restructuring Independence of Evaluation Institution, Rationality of Evaluation Hypothesis, Correlation Between Evaluation Method and Objective and Fairness of Evaluation Pricing	Mgmt	For	For	For
10	Approval of Audit Report and Appraisal Report	Mgmt	For	For	For
11	Compliance and Completeness of Legal Procedures and Effectiveness of Legal Papers Submitted	Mgmt	For	For	For
12	Exemption From Tender Offer Obligation	Mgmt	For	For	For
13	Board Authorization	Mgmt	For	For	For
14	No Diluted Immediate Return For Transaction and Measures on Preventing Diluted Immediate Return	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For

China Merchants Energy Shipping Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601872	CINS Y15039129	10/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Amendments to Procedural Rules: Major Investment and Operation Decision-Making System	Mgmt	For	For	For
4	Elect QUAN Zhongguang as Independent Director	Mgmt	For	Abstain	Against

China Merchants Energy Shipping Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601872	CINS Y15039129	12/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Approval of Entrusted Loans	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### China Merchants Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6099	CINS Y14904109	06/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2018 Investment Budget	Mgmt	For	For	For
7	Related Party Transactions (China Merchants Bank Co. Ltd.)	Mgmt	For	Against	Against
8	Related Party Transactions (China Merchants Group Limited and Its Subsidiaries)	Mgmt	For	Abstain	Against
9	Related Party Transactions (China Merchants Fund Management Co., Ltd.)	Mgmt	For	Abstain	Against
10	Related Party Transactions (Bosera Asset Management Co. Ltd.)	Mgmt	For	Abstain	Against
11	Related Party Transactions (China COSCO Shipping Corporation Limited and Other Related Parties Controlled by It)	Mgmt	For	Abstain	Against
12	Related Party Transactions (PICC Life Insurance Company Limited)	Mgmt	For	Abstain	Against
13	Related Party Transactions (Other Related Parties)	Mgmt	For	Abstain	Against
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
15	Shareholders' Returns Plan 2018-2020	Mgmt	For	For	For
16	Amendments to Articles (Change of Company Address)	Mgmt	For	For	For

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China Merchants Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6099	CINS Y14904109	12/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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China Merchants Shekou Industrial Zone Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
001979	CINS Y14907102	01/30/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Joint Establishment of Equity Investment Fund	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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China Merchants Shekou Industrial Zone Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
001979	CINS Y14907102	04/20/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
8	Authority to Issue Bond	Mgmt	For	For	For
9	Deposit Service	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Financial Aid to Joint Stock Companies	Mgmt	For	For	For
12	Financial Aid to Project Companies	Mgmt	For	For	For
13	Renewal of Liability Insurance for Directors, Supervisors and Senior Management	Mgmt	For	For	For

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China Merchants Shekou Industrial Zone Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
001979	CINS Y14907102	05/25/2018	Voted
Meeting Type		Country of Trade	
Special		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of shares of China Merchants Zhangzhou Development Zone Co., Ltd.	Mgmt	For	For	For

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### China Merchants Shekou Industrial Zone Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
001979	CINS Y14907102	12/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Approval to Grant Reserved Stock Options	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Authorization of Financial Aid Disbursement	Mgmt	For	Against	Against
5	Authority to Give Guarantees: Shenzhen Merchants Overseas Chinese Town Investment Co., Ltd.	Mgmt	For	For	For
6	Authority to Give Guarantees: Shenzhen China Merchants Real Estate Co., Ltd.	Mgmt	For	For	For
7	Authority to Give Guarantees: Shenzhen Merchants Apartment Development Co., Ltd.	Mgmt	For	For	For
8	Amendments to Use of Proceeds	Mgmt	For	For	For
9	Establishment of Limited Partnership Fund	Mgmt	For	For	For

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### China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600016	CINS Y1495M104	02/26/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity and Authorization Period for Preferred Shares	Mgmt	For	Abstain	Against

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### China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600016	CINS Y1495M104	02/26/2018	Voted
Meeting Type	Country of Trade		
Other	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity and Authorization Period for Preferred Shares	Mgmt	For	Abstain	Against

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China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600016	CINS Y1495M104	06/21/2018	Voted
Meeting Type	Country of Trade		
Other	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	The Extension of The Validity Period	Mgmt	For	For	For

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China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600016	CINS Y1495M104	06/21/2018	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Distributing Dividends to Shareholders from the Company's Capital Reserves	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Director's Report	Mgmt	For	For	For
8	Supervisors' Report	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Amendments to Procedural Rules	Mgmt	For	Abstain	Against
11	The Extension of The Validity Period	Mgmt	For	For	For
12	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
13	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
14	Granting of General Mandate to Issue Shares to the Board	Mgmt	For	Against	Against
15	Elect TIAN Suning	Mgmt	For	For	For
16	Amendments to Articles	Mgmt	For	For	For

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
3993	CINS Y1503Z113	05/25/2018	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Financial Report	Mgmt	For	For	For
4	2018 Budget Report	Mgmt	For	For	For
5	Profit Distribution Plan	Mgmt	For	For	For
6	Directors' Report	Mgmt	For	For	For
7	Supervisors' Report	Mgmt	For	For	For
8	Annual Report	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Forfeiture of Unclaimed Final Dividends of FY2010	Mgmt	For	For	For
11	Authority to Deal with Interim and Quarterly Dividends	Mgmt	For	For	For
12	Amendments to Measures on External Investment Management	Mgmt	For	Abstain	Against
13	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For
14	Authority to Give Guarantees	Mgmt	For	Abstain	Against
15	Use of Idle Fund for Subscriptions of Principal-Protected Structured Deposit	Mgmt	For	For	For
16	Use of Idle Fund for Wealth or Entrusted Wealth Management	Mgmt	For	For	For
17	Authority to Issue A and H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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China National Chemical Engineering Co					
Ticker	Security ID:	Meeting Date		Meeting Status	
601117	CINS Y1504G106	05/18/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For
5	Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Accounts and Reports	Mgmt	For	For	For
8	Investment Plan	Mgmt	For	For	For

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China National Chemical Engineering Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601117	CINS Y1504G106	01/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Removal of Director	Mgmt	For	For	For
3	Elect YANG Youhong	Mgmt	For	For	For

China National Chemical Engineering Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601117	CINS Y1504G106	08/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect DAI Hegen	Mgmt	For	For	For

China National Chemical Engineering Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601117	CINS Y1504G106	12/07/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For

China National Nuclear Power Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601985	CINS Y1507R109	02/28/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2018 INVESTMENT PLAN	Mgmt	For	Abstain	Against
2	2018 FINANCIAL BUDGET REPORT	Mgmt	For	Abstain	Against

China National Nuclear Power Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601985	CINS Y1507R109	05/23/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For

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China National Nuclear Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601985	CINS Y1507R109	09/20/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
2	Type of Securities	Mgmt	For	For	For
3	Issuing Volume	Mgmt	For	For	For
4	Bond Duration	Mgmt	For	For	For
5	Par Value and Issuing Price	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Time and Method	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Conversion Price	Mgmt	For	For	For
10	Adjustment and Calculation of Conversion Price	Mgmt	For	For	For
11	Price Adjustment Clause	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Divided Distribution	Mgmt	For	For	For
15	Issuance Targets and Method	Mgmt	For	For	For
16	Issuance Arrangement for Placement	Mgmt	For	For	For
17	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
18	Purpose of Raised Funds	Mgmt	For	For	For
19	Guarantee Matters	Mgmt	For	For	For
20	Validity Period	Mgmt	For	For	For
21	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
22	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
23	Diluted Immediate Returns	Mgmt	For	For	For
24	Board Authorization for Public Issuance of Convertible Bonds	Mgmt	For	For	For
25	Constitution Procedural Rules: Convertible Bonds	Mgmt	For	For	For
26	Appointment of Auditor	Mgmt	For	For	For

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China National Nuclear Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601985	CINS Y1507R109	12/22/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Injection	Mgmt	For	For	For
2	Routine Related Party Transactions	Mgmt	For	For	For

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China Northern Rare Earth (Group)		High-Tech Co., Ltd.			
Ticker	Security ID:	Meeting Date		Meeting Status	
600111	CINS Y40840103	02/02/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	For	For

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China Northern Rare Earth (Group)		High-Tech Co., Ltd.			
Ticker	Security ID:	Meeting Date		Meeting Status	
600111	CINS Y40840103	03/16/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	For	For
2	Election of Directors	Mgmt	For	For	For
3	Election of Directors	Mgmt	For	For	For
4	Election of Directors	Mgmt	For	For	For
5	Election of Directors	Mgmt	For	For	For
6	Election of Directors	Mgmt	For	For	For
7	Election of Directors	Mgmt	For	For	For
8	Election of Directors	Mgmt	For	For	For
9	Election of Directors	Mgmt	For	For	For
10	Election of Independent Directors	Mgmt	For	For	For
11	Election of Independent Directors	Mgmt	For	For	For
12	Election of Independent Directors	Mgmt	For	For	For
13	Election of Independent Directors	Mgmt	For	For	For
14	Election of Independent Directors	Mgmt	For	For	For
15	Election of Supervisory Board	Mgmt	For	Against	Against
16	Election of Supervisory Board	Mgmt	For	Against	Against
17	Election of Supervisory Board	Mgmt	For	For	For
18	Election of Supervisory Board	Mgmt	For	For	For

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China Northern Rare Earth (Group)		High-Tech Co., Ltd.			
Ticker	Security ID:	Meeting Date		Meeting Status	

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600111	CINS Y40840103	05/10/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Approval of Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Investment in Structured Deposit	Mgmt	For	For	For
12	Elect ZHAO Degui as Director	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For

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China Northern Rare Earth (Group) High-Tech Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600111	CINS Y40840103	11/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Proposal to Resign Contract	Mgmt	For	For	For

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China Nuclear Engineering Corporation Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
601611	CINS Y1518T102	05/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	2018 Budget Report	Mgmt	For	For	For
7	2018 Financing Plan	Mgmt	For	Abstain	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Related Party Transactions	Mgmt	For	For	For
10	2018 INVESTMENT PLAN	Mgmt	For	Abstain	Against
11	2018 BUSINESS PLAN	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Directors' Fees	Mgmt	For	For	For
14	Supervisors' Fees	Mgmt	For	For	For

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China Nuclear Engineering Corporation Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
601611	CINS Y1518T102	10/16/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Company Address	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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China Nuclear Engineering Corporation Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
601611	CINS Y1518T102	12/12/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For

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China Pacific Insurance (Group) Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
2601	CINS Y1505Z111	06/15/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report of A Shares	Mgmt	For	For	For
4	Annual Report of H Shares	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Due Diligence Report	Mgmt	For	For	For
9	Performance Report of Independent Directors	Mgmt	For	For	For
10	Election of Supervisory Board	Mgmt	For	Against	Against
11	Elect ZHU Yonghong as Supervisor	Mgmt	For	Against	Against
12	Elect LU Ning as Supervisor	Mgmt	For	Against	Against
13	Elect HUANG Dinan	Mgmt	For	For	For
14	Related Party Transactions with Shanghai Rural Commercial Bank Co., Ltd.	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Pacific Insurance (Group) Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
2601	CINS Y1505Z111	12/27/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles of Association	Mgmt	For	For	For
2	Amendments to Procedural Rules for Shareholders' General Meetings	Mgmt	For	For	For
3	Amendments to Procedural Rules for the Board	Mgmt	For	For	For
4	Elect HE Qing	Mgmt	For	For	For

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### China Petroleum & Chemical Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0386	cins Y15010112	05/15/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Authority to Declare Interim Dividends	Mgmt	For	For	For
6	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For
7	Service Contracts of Directors and Supervisors	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Rules and Procedures for Board Meetings	Mgmt	For	For	For
10	Establishment of Nomination Committee	Mgmt	For	For	For
11	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
12	Authority to Issue Domestic and/or Foreign Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Elect DAI Houliang	Mgmt	For	Against	Against
14	Elect LI Yunpeng	Mgmt	For	For	For
15	Elect JIAO Fangzheng	Mgmt	For	For	For
16	Elect MA Yongsheng	Mgmt	For	For	For

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17	Elect LING Yiqun	Mgmt	For	For	For
18	Elect LIU Zhongyun	Mgmt	For	For	For
19	Elect LI Yong	Mgmt	For	For	For
20	Elect TANG Min	Mgmt	For	For	For
21	Elect FAN Gang	Mgmt	For	For	For
22	Elect CAI Hongbin	Mgmt	For	For	For
23	Elect Johnny NG Kar Ling	Mgmt	For	For	For
24	Elect ZHAO Dong as Supervisor	Mgmt	For	For	For
25	Elect JIANG Zhenying as Supervisor	Mgmt	For	Against	Against
26	Elect YANG Changjiang as Supervisor	Mgmt	For	Against	Against
27	Elect ZHANG Baolong as Supervisor	Mgmt	For	Against	Against
28	Elect ZOU Huiping as Supervisor	Mgmt	For	For	For

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China Railway Construction Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1186	CINS Y1508P102	06/11/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Authority to Issue Debt Instruments	Mgmt	For	For	For
10	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Amendments to Articles	Mgmt	For	For	For

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China Railway Construction Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1186	CINS Y1508P102	10/30/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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China Railway Construction Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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1186	CINS Y1508P102	12/22/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Satisfaction of Conditions of Public Issuance of A Share Convertible Bonds	Mgmt	For	For	For
3	Type of Securities to Be Issued	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Par Value and Issue Price	Mgmt	For	For	For
6	Term	Mgmt	For	For	For
7	Interest rate	Mgmt	For	For	For
8	Method and Timing of Interest Payment	Mgmt	For	For	For
9	Conversion Period	Mgmt	For	For	For
10	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
11	Terms of Downward Adjustment to Conversion Price	Mgmt	For	For	For
12	Method for Determining the Number of Shares for Conversion	Mgmt	For	For	For
13	Entitlement to Dividend in Year of Conversion	Mgmt	For	For	For
14	Terms of Redemption	Mgmt	For	For	For
15	Terms of Sale Back	Mgmt	For	For	For
16	Method of Issuance and Target Investors	Mgmt	For	For	For
17	Subscription Arrangement for Existing Shareholders	Mgmt	For	For	For
18	A Share Convertible Bond Holders and A Share Convertible Bond Holders' Meetings	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Rating	Mgmt	For	For	For
21	Guarantee and Security	Mgmt	For	For	For
22	Deposit Account for Proceeds Raised	Mgmt	For	For	For
23	Validity Period of Resolution	Mgmt	For	For	For
24	Authorizations	Mgmt	For	For	For
25	Preliminary Plan of	Mgmt	For	For	For

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	Proposed Issuance of A Share Convertible Bonds				
26	Dilution of Immediate Returns by Proposed Issuance of A Share Convertible Bonds and Remedial Measures to Be Adopted	Mgmt	For	For	For
27	Undertaking Letter in Relation to Practical Performance of Remedial and Return Measures	Mgmt	For	For	For
28	Undertaking Letter of Directors and Senior Management in relation to Practical Performance of Remedial and Return Measures	Mgmt	For	For	For
29	Feasibility Report on Use of Proceeds Raised from Proposed Issuance of A Share Convertible Bonds	Mgmt	For	For	For
30	Status of Use of Previously Raised Proceeds	Mgmt	For	For	For
31	Rules for A Share Convertible Bondholders' Meeting	Mgmt	For	For	For
32	Shareholders' Return Plan for Three Years of 2018 to 2020	Mgmt	For	For	For
33	Connected Transaction in Relation to Possible Subscription for A Share Convertible Bonds by China Railway Construction Corporation	Mgmt	For	For	For
34	Special Self-inspection Report of Real Estate Development Business	Mgmt	For	For	For
35	Undertaking of the Company on Compliance of Relevant Real Estate Enterprises	Mgmt	For	For	For
36	Undertaking of Directors, Supervisors and Senior Management of the Company on	Mgmt	For	For	For

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	Compliance of Relevant Real Estate Enterprises					
37	Elect MENG Fengchao	Mgmt	For	Against	Against	
38	Elect ZHUANG Shangbiao	Mgmt	For	For	For	
39	Elect XIA Guobin	Mgmt	For	For	For	
40	Elect LIU Ruchen	Mgmt	For	For	For	
41	Elect GE Fuxing	Mgmt	For	Against	Against	
42	Elect WANG Huacheng	Mgmt	For	For	For	
43	Elect Patrick SUN	Mgmt	For	Against	Against	
44	Elect CHENG Wen	Mgmt	For	For	For	
45	Elect Amanda LU Xiaoqiang	Mgmt	For	For	For	
46	Elect CAO Xirui	Mgmt	For	For	For	
47	Elect LIU Zhengchang	Mgmt	For	Against	Against	

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China Railway Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0390	CINS Y1509D108	06/25/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Shareholders' Returns Plan 2018-2020	Mgmt	For	For	For
8	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For
9	Appointment of Internal Control Auditors and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Abstain	Against
11	Directors' and Supervisors' Fees	Mgmt	For	For	For
12	Liability Insurance	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Amendments to Articles	Mgmt	For	Against	Against
15	Amendments to Procedural Rules for Board of Directors	Mgmt	For	Against	Against
16	Elect ZHANG Huijia as Supervisor	Mgmt	For	Against	Against
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Security & Fire Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600654	CINS Y7681S102	08/03/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Credit Application of Wholly-owned Subsidiary	Mgmt	For	Against	Against
4	Election of GAO Zhengjiang	Mgmt	For	For	For
5	Authority to Give Guarantees for Financing Application by Subsidiary	Mgmt	For	Against	Against

China Security & Fire Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600654	CINS Y7681S102	09/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees for Wholly-owned Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Wholly-owned Subsidiary	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Wholly-owned Subsidiary	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Wholly-owned Subsidiary	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Wholly-owned Subsidiary	Mgmt	For	Against	Against
7	Election of Supervisor	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Shenhua Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1088	CINS Y1504C121	04/27/2018	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Amendments to Articles	Mgmt	For	For	For	
2	Amendments to Existing Non-Competition Agreement	Mgmt	For	For	For	
3	Joint Venture Agreement	Mgmt	For	For	For	
4	Revised Annual Caps of Existing Mutual Coal Supply Agreement and the Existing Mutual Supplies and Services Agreement	Mgmt	For	For	For	
5	Elect GAO Song	Mgmt	For	For	For	
6	Elect MI Shuhua	Mgmt	For	For	For	
7	Elect PENG Suping	Mgmt	For	For	For	
8	Elect HUANG Ming	Mgmt	For	For	For	

China Shenhua Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
1088	CINS Y1504C121	06/22/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Reports	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Directors' and Supervisors' Fees	Mgmt	For	For	For	
6	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For	
7	Amendments to Articles	Mgmt	For	For	For	

China Shipbuilding Industry Co.

Ticker	Security ID:	Meeting Date	Meeting Status			
601989	CINS Y1504X109	06/21/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For	
7	Related Party Transactions	Mgmt	For	Against	Against	
8	Related Party Transactions on	Mgmt	For	For	For	

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	Product Purchase and Sale				
9	Related Party Transactions on Service Provision	Mgmt	For	For	For
10	Related Party Transactions on Deposit and Loan	Mgmt	For	Against	Against
11	Related Party Transactions on Entrusted Loans	Mgmt	For	For	For
12	2018-2020 Related Party Transactions: Leasing	Mgmt	For	For	For
13	Authority to Give Guarantees to Related Parties	Mgmt	For	For	For
14	Authority to Give Guarantees to Subsidiaries	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For

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China Shipbuilding Industry Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
601989	CINS Y1504X109	07/25/2017		Voted	
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
3	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Supervisors' Fees	Mgmt	For	For	For
6	Asset Disposal	Mgmt	For	For	For
7	Elect JIANG Renfeng	Mgmt	For	Against	Against
8	Elect WANG Liang	Mgmt	For	For	For
9	Elect WANG Mingxin	Mgmt	For	For	For
10	Elect LI Tianbao	Mgmt	For	For	For
11	Elect YANG Zhigang	Mgmt	For	For	For
12	Elect ZHANG Delin	Mgmt	For	For	For
13	Elect LI Changjiang	Mgmt	For	For	For
14	Elect LI Jinan	Mgmt	For	For	For
15	Elect WANG Yongli	Mgmt	For	For	For
16	Elect ZHANG Jinkui	Mgmt	For	For	For
17	Elect HAN Fangming	Mgmt	For	For	For
18	Elect LIU Zheng	Mgmt	For	Against	Against
19	Elect MA Yupu	Mgmt	For	Against	Against
20	Elect ZHAO Kun	Mgmt	For	Against	Against
21	Elect YANG Weizan	Mgmt	For	For	For

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China Shipbuilding Industry Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
601989	CINS Y1504X109	08/28/2017		Voted	
	Meeting Type	Country of Trade			
	Special	China			
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Approval of Continued Suspension of Trading	Mgmt	For	For	For
3	Capital Injection in Dalian Shipbuilding Industry Co., Ltd.	Mgmt	For	For	For
4	Capital Injection in Wuchang Shipbuilding Industry Co., Ltd.	Mgmt	For	For	For

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
China Shipbuilding Industry Company Limited					
	Ticker Security ID:	Meeting Date		Meeting Status	
601989	CINS Y1504X109	11/13/2017		Voted	
	Meeting Type	Country of Trade			
	Special	China			
1	Eligibility for Asset Purchase	Mgmt	For	For	For
2	Constitution of Related Party Transaction	Mgmt	For	For	For
3	Stock Type Par Value	Mgmt	For	For	For
4	Method of Issuance	Mgmt	For	For	For
5	Issuance Targets	Mgmt	For	For	For
6	Underlying Assets	Mgmt	For	For	For
7	Pricing Principles and Transaction Price	Mgmt	For	For	For
8	Payment of Transaction Consideration	Mgmt	For	For	For
9	Pricing Basis, Pricing Base Date and Issue Price	Mgmt	For	For	For
10	Issuance Volume	Mgmt	For	For	For
11	Lock-up Period	Mgmt	For	For	For
12	Arrangement of Profits and Losses	Mgmt	For	For	For
13	Accumulated Retained Profits	Mgmt	For	For	For
14	Listing Place	Mgmt	For	For	For
15	Validity Period	Mgmt	For	For	For
16	None Constitution of Related Party Transaction	Mgmt	For	For	For
17	Conditional Asset Acquisition Agreement	Mgmt	For	For	For
18	Report on Transaction	Mgmt	For	For	For
19	Financial and Evaluation Report	Mgmt	For	For	For
20	Analysis of Dilution	Mgmt	For	For	For

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21	Statement of Completion	Mgmt	For	For	For
22	Board Authorization to Implement Asset Purchase	Mgmt	For	For	For

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China Shipbuilding Industry Group Power Co Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
600482	CINS Y2481T103	05/30/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Director's Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Annual Report and Its Summary	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Independent Directors' Report	Mgmt	For	For	For	
8	Related Party Transactions	Mgmt	For	For	For	
9	Related Party Transactions	Mgmt	For	For	For	
10	Related Party Transactions	Mgmt	For	For	For	
11	Related Party Transactions	Mgmt	For	For	For	
12	Related Party Transactions	Mgmt	For	For	For	
13	Authority to Give Guarantees	Mgmt	For	For	For	
14	Authority to Give Guarantees	Mgmt	For	For	For	
15	Directors' Fees	Mgmt	For	For	For	
16	Appointment of Auditor	Mgmt	For	For	For	
17	Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	For	For	
18	Amendments to Articles	Mgmt	For	For	For	
19	Capital Increase in a Company	Mgmt	For	For	For	

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China Shipbuilding Industry Group Power Co Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
600482	CINS Y2481T103	07/24/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Termination of Restricted Stock Plan and Authority to Repurchase Shares	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	For	For	

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China Shipbuilding Industry Group Power Co Ltd

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Ticker	Security ID:	Meeting Date	Meeting Status		
600482	CINS Y2481T103	08/28/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Assets and Liabilities of a Project	Mgmt	For	For	For
2	Routine Related Party Transactions	Mgmt	For	Against	Against
3	Postponement of Fulfillment of Commitments	Mgmt	For	For	For

China Southern Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1055	CINS Y1503W110	06/15/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
8	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
9	Amendments to Articles	Mgmt	For	For	For
10	Caps Revision of Financial Services Framework Agreement	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Southern Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1055	CINS Y1503W110	11/08/2017	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Par Value of A Shares	Mgmt	For	For	For
2	Method and Date of A Share Issuance	Mgmt	For	For	For
3	Subscribers and	Mgmt	For	For	For

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	Subscription Method of A Shares				
4	Issue Price of A Shares	Mgmt	For	For	For
5	Issue Scale of A Shares	Mgmt	For	For	For
6	Lock-up Period of A Shares	Mgmt	For	For	For
7	Use of Proceeds of A Shares	Mgmt	For	For	For
8	Place of Listing of A Shares	Mgmt	For	For	For
9	Arrangement of Cumulative Retained Profits before A Share Issuance	Mgmt	For	For	For
10	Validity Period of A Share Issuance	Mgmt	For	For	For
11	Class and Par Value of H Shares	Mgmt	For	For	For
12	Method and Date of H Share Issuance	Mgmt	For	For	For
13	Subscribers and Method of Subscription of H Shares	Mgmt	For	For	For
14	Issue Price of H Shares	Mgmt	For	For	For
15	Issue Scale of H Shares	Mgmt	For	For	For
16	Lock-up Period of H Shares	Mgmt	For	For	For
17	Use of Proceeds of H Shares	Mgmt	For	For	For
18	Listing Arrangement of H Shares	Mgmt	For	For	For
19	Arrangement of Cumulative Retained Profits before H Share Issuance	Mgmt	For	For	For
20	Validity Period of H Share Issuance	Mgmt	For	For	For
21	Relationship between A and H Share Issuance	Mgmt	For	For	For
22	Preliminary Plan for Private Placement	Mgmt	For	For	For
23	Connected Transactions Regarding Non-public Issuance	Mgmt	For	For	For
24	A Share Subscription Agreement	Mgmt	For	For	For
25	Supplemental Agreement to the A Share Subscription Agreement	Mgmt	For	For	For
26	H Share Subscription Agreement	Mgmt	For	For	For

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China Southern Airlines Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1055	CINS Y1503W110	11/08/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Eligibility for Private Placement of A Shares	Mgmt	For	For	For	
3	Feasibility Report on the Use of Proceeds	Mgmt	For	For	For	
4	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For	
5	Dilution of Current Returns and Remedial Measures	Mgmt	For	For	For	
6	Shareholder Return Plan (2017-2019)	Mgmt	For	For	For	
7	Report on the Use of Previously Raised Funds	Mgmt	For	For	For	
8	Class and Par Value of A Shares	Mgmt	For	For	For	
9	Method and Date of A Share Issuance	Mgmt	For	For	For	
10	Subscribers and Method of Subscription of A Shares	Mgmt	For	For	For	
11	Issuance Price of A Shares	Mgmt	For	For	For	
12	Issuance Scale of A Shares	Mgmt	For	For	For	
13	Lock-up Period of A Shares	Mgmt	For	For	For	
14	Amount and Use of Proceeds of A Shares	Mgmt	For	For	For	
15	Place of Listing of A Shares	Mgmt	For	For	For	
16	Arrangement of Cumulative Retained Profits before A Share Issuance	Mgmt	For	For	For	
17	Validity Period of A Share Issuance	Mgmt	For	For	For	
18	Class and Par Value of H Shares	Mgmt	For	For	For	
19	Method and Date of H Share Issuance	Mgmt	For	For	For	

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20	Subscribers and Method of Subscription of H Shares	Mgmt	For	For	For
21	Issuance Price of H Shares	Mgmt	For	For	For
22	Issuance Scale of H Shares	Mgmt	For	For	For
23	Lock-up Period of H Shares	Mgmt	For	For	For
24	Use of Proceeds of H Shares	Mgmt	For	For	For
25	Listing Arrangement of H Shares	Mgmt	For	For	For
26	Arrangement of Cumulative Retained Profits before H Share Issuance	Mgmt	For	For	For
27	Validity Period of H Share Issuance	Mgmt	For	For	For
28	Relationship between A and H Share Issuance	Mgmt	For	For	For
29	Preliminary Plan for Private Placement	Mgmt	For	For	For
30	Connected Transactions Regarding Non-public Issuance	Mgmt	For	For	For
31	A Share Subscription Agreement	Mgmt	For	For	For
32	Supplemental Agreement to the A Share Subscription Agreement	Mgmt	For	For	For
33	H Share Subscription Agreement	Mgmt	For	For	For
34	Amendments to Articles (A and H Shares Issuance)	Mgmt	For	For	For
35	Authorization to Implement Issuance	Mgmt	For	For	For
36	Amendments to Articles	Mgmt	For	For	For
37	Amendments to Procedural Rules for General Meetings	Mgmt	For	For	For
38	Amendments to Procedural Rules for Board Meetings	Mgmt	For	For	For
39	Amendments to Procedural Rules for Supervisory Committee	Mgmt	For	For	For

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China Southern Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1055	CINS Y1503W110	12/20/2017	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	2018-2019 Finance and Lease Service Framework Agreement	Mgmt	For	For	For	
3	Directors' Fees	Mgmt	For	For	For	
4	Elect WANG Chang Shun	Mgmt	For	Against	Against	
5	Elect TAN Wan Geng	Mgmt	For	For	For	
6	Elect ZHANG Zi Fang	Mgmt	For	For	For	
7	Elect ZHENG Fan	Mgmt	For	For	For	
8	Elect GU Hui Zhong	Mgmt	For	For	For	
9	Elect TAN Jin Song	Mgmt	For	For	For	
10	Elect JIAO Shu Ge	Mgmt	For	For	For	
11	Elect PAN Fu	Mgmt	For	Against	Against	
12	Elect LI Jia Shi	Mgmt	For	Against	Against	

China Spacesat Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600118	CINS Y1500D107	06/15/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	
6	Independent Directors' Report	Mgmt	For	For	For	
7	Financial Services Agreement of Deposit and Loan	Mgmt	For	For	For	
8	Related Party Transactions	Mgmt	For	For	For	
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For	
10	Amendments to Articles	Mgmt	For	For	For	
11	Elect ZHU Nan	Mgmt	For	Abstain	Against	
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

China Spacesat Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600118	CINS Y1500D107	12/18/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Amendments to Articles	Mgmt	For	For	For	
2	Directors' Fees	Mgmt	For	For	For	
3	Elect ZHANG Hongtai	Mgmt	For	For	For	
4	Elect ZHAO Xiaojin	Mgmt	For	For	For	
5	Elect LI Jie	Mgmt	For	For	For	
6	Elect SONG Haifeng	Mgmt	For	For	For	
7	Elect GE Yujun	Mgmt	For	For	For	

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8	Elect MO Yueming	Mgmt	For	For	For
9	Elect FAN Weimin	Mgmt	For	For	For
10	Elect LEI Shiwen	Mgmt	For	For	For
11	Elect LIU Dengqing	Mgmt	For	For	For
12	Elect ZHENG Weijun	Mgmt	For	For	For
13	Elect JIN Zhanming	Mgmt	For	For	For
14	Elect FANG Shili	Mgmt	For	Against	Against
15	Elect PENG Tao	Mgmt	For	Against	Against
16	Elect LI Hongyu	Mgmt	For	For	For

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China State Construction Engineering Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601668	CINS Y1R16Z106	01/09/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GUAN Qing	Mgmt	For	For	For
2	Elect WANG Xiangming	Mgmt	For	For	For
3	Elect YANG Chunjin	Mgmt	For	For	For
4	Elect YU Hailong	Mgmt	For	For	For
5	Elect JIA Chen	Mgmt	For	For	For
6	Elect ZHENG Changhong	Mgmt	For	For	For
7	Elect GAO Lieyang	Mgmt	For	Against	Against
8	Elect LI Jianbo	Mgmt	For	Against	Against
9	Elect TIAN Shifang	Mgmt	For	For	For

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China State Construction Engineering Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601668	CINS Y1R16Z106	05/03/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For
8	2018 INVESTMENT BUDGET REPORT	Mgmt	For	For	For
9	2018 CONTINUING CONNECTED TRANSACTIONS PREPLAN	Mgmt	For	For	For
10	REAPPOINTMENT OF 2018 FINANCIAL AUDIT FIRM	Mgmt	For	For	For
11	REAPPOINTMENT OF 2018 INTERNAL CONTROL AUDIT FIRM	Mgmt	For	For	For
12	Share Repurchase Plan Under the Second Phase Restricted A-Share Incentive Plan	Mgmt	For	For	For

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13	Amendments to Allowance System for Directors and Supervisors	Mgmt	For	Abstain	Against
14	2018 ADDITIONAL FINANCING GUARANTEE QUOTA	Mgmt	For	For	For
15	2018 AND 2019 PREFERRED STOCK DIVIDEND DISTRIBUTION PLAN	Mgmt	For	Abstain	Against
16	Authority to Issue Domestic Bonds	Mgmt	For	Abstain	Against
17	Authority to Issue Overseas Bonds	Mgmt	For	Abstain	Against

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China State Construction Engineering Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601668	CINS Y1R16Z106	12/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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China United Network Communications Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600050	CINS Y15117107	02/08/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Approval to Elect Directors	Mgmt	For	For	For
3	Elect WANG Xiaochu	Mgmt	For	For	For
4	Elect LU Yimin	Mgmt	For	For	For
5	Elect LI Fushen	Mgmt	For	Against	Against
6	Elect YIN Zhaojun	Mgmt	For	For	For
7	Elect LU Shan	Mgmt	For	For	For
8	Elect LI Yanhong	Mgmt	For	For	For
9	Elect LIAO Jianwen	Mgmt	For	Against	Against
10	Elect HU Xiaoming	Mgmt	For	For	For
11	Elect FENG Shidong	Mgmt	For	For	For
12	Elect WU Xiaogen	Mgmt	For	For	For
13	Elect LU Tingjie	Mgmt	For	For	For
14	Elect CHEN Jianxin	Mgmt	For	For	For
15	Elect XIONG Xiaoge	Mgmt	For	For	For
16	Approval to Elect Supervisors	Mgmt	For	For	For
17	Elect LIN Yangchuan	Mgmt	For	Against	Against
18	Elect FANG Xiangming	Mgmt	For	For	For

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China United Network Communications Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600050	CINS Y15117107	02/28/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Amendments to Articles	Mgmt	For	For	For
2	Objective	Mgmt	For	For	For
3	Plan Participants	Mgmt	For	For	For
4	Number of Stocks	Mgmt	For	For	For
5	Time Period	Mgmt	For	For	For
6	Grant Date and Price	Mgmt	For	For	For
7	Conditions for Granting	Mgmt	For	For	For
8	Restrictions	Mgmt	For	For	For
9	Adjustment Procedures	Mgmt	For	For	For
10	Accounting Treatment	Mgmt	For	For	For
11	Procedure for Formulation and Approving the Plan	Mgmt	For	For	For
12	Incomes for Plan Participants	Mgmt	For	For	For
13	Rights and Obligations	Mgmt	For	For	For
14	Treatment under Special Conditions	Mgmt	For	For	For
15	Repurchase and Cancellation	Mgmt	For	For	For
16	Management and Alteration	Mgmt	For	For	For
17	Information Disclosure	Mgmt	For	For	For
18	Objective	Mgmt	For	For	For
19	Plan Participants	Mgmt	For	For	For
20	Number of Stocks	Mgmt	For	For	For
21	Distribution	Mgmt	For	For	For
22	Time Period	Mgmt	For	For	For
23	Grant Date and Price	Mgmt	For	For	For
24	Conditions for Granting	Mgmt	For	For	For
25	Restrictions	Mgmt	For	For	For
26	Adjustment Procedures	Mgmt	For	For	For
27	Accounting Treatment	Mgmt	For	For	For
28	Procedure for Formulation and Approving the Plan	Mgmt	For	For	For
29	Incomes for Plan Participants	Mgmt	For	For	For
30	Rights and Obligations	Mgmt	For	For	For
31	Treatment under Special Conditions	Mgmt	For	For	For
32	Repurchase and Cancellation	Mgmt	For	For	For
33	Management and Alteration	Mgmt	For	For	For
34	Information Disclosure	Mgmt	For	For	For
35	Constitution of Procedural Rules: Restricted Stock Incentive Plan	Mgmt	For	For	For
36	Constitution of Procedural Rules: Appraisal Management Measures	Mgmt	For	For	For
37	Board Authorization for Restricted Stock Incentive	Mgmt	For	For	For

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Plan

China United Network Communications Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600050	CINS Y15117107	05/09/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report and Its Summary	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Election of Directors(Slate) and Board Authorization to Fix the Directors' Fee for China Unicom (Hong Kong)	Mgmt	For	For	For
9	Board Authorization to Repurchase Shares China Unicom (Hong Kong)	Mgmt	For	For	For
10	Board Authorization to Issue Shares China Unicom (Hong Kong)	Mgmt	For	Against	Against
11	Amendments to Articles	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China United Network Communications Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600050	CINS Y15117107	07/31/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trading Suspension	Mgmt	For	For	For

China United Network Communications Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600050	CINS Y15117107	09/20/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	Against	Against
2	Stock Type and Par Value	Mgmt	For	Against	Against
3	Method and Date of Issuance	Mgmt	For	Against	Against

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4	Issuing Size and Targets	Mgmt	For	Against	Against
5	Subscription Method	Mgmt	For	Against	Against
6	Issuing Price and Pricing Principle	Mgmt	For	Against	Against
7	Lock-Up Period and Listing Arrangement	Mgmt	For	Against	Against
8	Listing Market	Mgmt	For	Against	Against
9	Arrangement for Accumulated Retained Profits	Mgmt	For	Against	Against
10	Validity of Resolution	Mgmt	For	Against	Against
11	Use of Proceeds	Mgmt	For	Against	Against
12	Preliminary Plan for Private Placement	Mgmt	For	Against	Against
13	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
14	China Life Insurance Company Limited	Mgmt	For	Against	Against
15	Shenzhen Tencent Xinda Limited Partnership	Mgmt	For	Against	Against
16	Ningbo Meishan Baoshui Port Baidu Peng Huan Investment Partnership	Mgmt	For	Against	Against
17	Suqian Jingdong Sanhong Enterprise Management Center Limited Partnership	Mgmt	For	Against	Against
18	Hangzhou Ali Venture Capital Co., Ltd	Mgmt	For	Against	Against
19	Suning Yun Shang Group Co., Ltd	Mgmt	For	Against	Against
20	Shenzhen Guangqi Internet Technology Investment Partnership	Mgmt	For	Against	Against
21	Shenzhen Huaihai Ark Information Industry Equity Investment Fund	Mgmt	For	Against	Against
22	Xingquan Fund Management Co., Ltd	Mgmt	For	Against	Against
23	Approval of Dilution Measures for Share Issuance	Mgmt	For	Against	Against
24	Approval of Commitments of Management for Dilution Measures	Mgmt	For	Against	Against
25	Board Authorization to	Mgmt	For	Against	Against

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	Implement Private Placement					
26	ARRANGEMENTS FOR USE OF RAISED FUNDS	Mgmt	For	Against	Against	
27	Board Authorization to Implement Arrangements for Use of Raised Funds	Mgmt	For	Against	Against	
28	Adoption of Raised Fund Management Measures	Mgmt	For	Against	Against	
29	Shareholder Returns Plan	Mgmt	For	For	For	

China Vanke Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
2202	CINS Y77421116	02/23/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Authority to Issue Debt Instruments	Mgmt	For	For	For	
2	Amendment to Directors and Supervisors' Remuneration	Mgmt	For	For	For	

China Vanke Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
2202	CINS Y77421116	06/29/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Authority to Provide Financial Assistance to Third Parties	Mgmt	For	For	For	
7	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against	

China Yangtze Power Co.

Ticker	Security ID:	Meeting Date	Meeting Status			
600900	CINS Y1516Q142	05/23/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	

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3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Appointment of Internal Control Auditor	Mgmt	For	For	For
7	Authority to Issue Debt Instruments	Mgmt	For	For	For
8	Approval to Fixed Income Investment	Mgmt	For	For	For

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China Yangtze Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600900	CINS Y1516Q142	11/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Corporate Bonds	Mgmt	For	For	For
2	Short-term Fixed-income Investment	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	Abstain	Against
4	Addition of Power Limit for Examination and Approval of 2017 Investments	Mgmt	For	Abstain	Against
5	Authority to Give Guarantees	Mgmt	For	For	For
6	Elect YAN Hua	Mgmt	For	Abstain	Against

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Chinese Universe Publishing And Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600373	CINS Y4445Q100	04/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHU Minan as Non-independent Director	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
9	PURCHASE OF WEALTH MANAGEMENT PRODUCTS WITH IDLE PROPRIETARY FUNDS	Mgmt	For	For	For

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Chinese Universe Publishing And Media Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600373 CINS Y4445Q100 05/22/2018 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HUANG Zhuozhen as Director	Mgmt	For	For	For
2	Authority to Issue Debt Instruments	Mgmt	For	For	For

Chinese Universe Publishing And Media Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600373 CINS Y4445Q100 08/23/2017 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules for Board Meetings	Mgmt	For	For	For

Chinese Universe Publishing And Media Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600373 CINS Y4445Q100 12/29/2017 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Authority to Issue Medium-term Notes	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Appointment of Internal Control Auditor	Mgmt	For	For	For

Chongqing Changan Automobile Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 000625 CINS Y1584K100 01/30/2018 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Injection of Capital	Mgmt	For	For	For

Chongqing Changan Automobile Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 000625 CINS Y1584K100 05/18/2018 Voted  
 Meeting Type Country of Trade  
 Annual China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	2017 ANNUAL ACCOUNTS AND 2018 FINANCIAL BUDGET STATEMENT	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
8	2018 INVESTMENT PLAN	Mgmt	For	For	For
9	2018 FINANCING PLAN	Mgmt	For	For	For
10	Framework Agreement on Continuing Connected Transactions, Property Leasing and Comprehensive Service to be Renewed	Mgmt	For	For	For
11	Amendments to Management Measures on Tiered Authorization for Non-continuing Operational Business	Mgmt	For	For	For
12	Elect CHAO Xingquan as Independent Director	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Financial Service Agreement with China South Industries Group Finance Co., Ltd.	Mgmt	For	Against	Against
15	Financial Service Agreement with Chang'an Auto Finance Co., Ltd.	Mgmt	For	Against	Against
16	Elect ZHANG Deyong	Mgmt	For	For	For
17	Elect ZHANG Dongjun	Mgmt	For	For	For

Chongqing Changan Automobile Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000625	CINS Y1584K100	09/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of De-registration of Subsidiary	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Elect WEI Xinjiang	Mgmt	For	For	For
4	Elect REN Shaochang	Mgmt	For	For	For

Chongqing Changan Automobile Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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000625	CINS Y1584K100	12/15/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Adjustment and Upgrade of Project	Mgmt	For	Abstain	Against
3	Elect DONG Qihong	Mgmt	For	Against	Against

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Chongqing Sokon Industrial Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601127	CINS Y1586E102	07/10/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Purchase of Assets	Mgmt	For	For	For

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Chongqing Sokon Industrial Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601127	CINS Y1586E102	07/20/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Restricted Shares Plan	Mgmt	For	For	For
2	Appraisal Management Measures on Employee Restricted Shares Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For

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Chongqing Sokon Industrial Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601127	CINS Y1586E102	11/16/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules	Mgmt	For	For	For

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Chongqing Zhifei Biological Products Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
300122	CINS Y1601T100	04/10/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For

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2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Approval of Borrowing	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Elect LI Zhenjing	Mgmt	For	For	For
10	Elect QIN Fei	Mgmt	For	For	For
11	Elect LI Jing as Supervisor	Mgmt	For	Against	Against

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Chongqing Zhifei Biological Products Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
300122	CINS Y1601T100	12/20/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility For Non-Public Share Offering	Mgmt	For	For	For	
2	Stock Type And Par Value	Mgmt	For	For	For	
3	Method Of Issuance	Mgmt	For	For	For	
4	Issuance Targets	Mgmt	For	For	For	
5	Issue Price And Pricing Principles	Mgmt	For	For	For	
6	Issuing Volume	Mgmt	For	For	For	
7	Subscription Method	Mgmt	For	For	For	
8	Lock-Up Period	Mgmt	For	For	For	
9	Listing Place	Mgmt	For	For	For	
10	Arrangement For Accumulated Retained Profits	Mgmt	For	For	For	
11	Validity Of Resolution	Mgmt	For	For	For	
12	Preplan For 2017 Non-Public A-Share Offering	Mgmt	For	For	For	
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	
14	Demonstration Analysis Report	Mgmt	For	For	For	
15	Diluted Immediate Returns	Mgmt	For	For	For	
16	Shareholder Returns Plan	Mgmt	For	For	For	
17	Board Authorization to Implement Share Offering	Mgmt	For	For	For	
18	Appointment of Auditor	Mgmt	For	For	For	
19	Change to Business Scope	Mgmt	For	For	For	
20	Amendments to Articles	Mgmt	For	For	For	
21	Amendments to Articles (Article 78)	Mgmt	For	For	For	

CITIC Guoan Information Industry Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
000839	CINS Y1636B108	06/28/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Appointment of Internal Control Auditor	Mgmt	For	For	For
8	China CITIC Bank Corporation	Mgmt	For	For	For
9	CITIC Prudential Life Insurance Co., Ltd.	Mgmt	For	For	For
10	Guoan Community (Beijing) Technology Co., Ltd.	Mgmt	For	For	For
11	CITIC Guoan City Development Holdings Co., Ltd.	Mgmt	For	For	For
12	CITIC Construction Co., Ltd.	Mgmt	For	For	For
13	CITIC Guoan First City International Conference & Exhibition Co., Ltd.	Mgmt	For	For	For
14	OTV Cloud Internet Technology (Shanghai) Co., Ltd.	Mgmt	For	For	For
15	Hubei Radio and TV Information Network Co., Ltd.	Mgmt	For	For	For
16	Changsha Guoan Broadcasting & TV Broadband Network Co., Ltd.	Mgmt	For	For	For
17	Liuyang Guoan Broadcasting & TV Broadband Network Co., Ltd.	Mgmt	For	For	For
18	Hubei Radio and TV Information Network Co., Ltd.	Mgmt	For	For	For
19	Hubei Radio and TV Information Network Co., Ltd.	Mgmt	For	For	For

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20	II Tianjin Guoan Mengguli New Material Technology Co., Ltd.	Mgmt	For	For	For
21	CITIC Guoan Mengguli Power Technology Co., Ltd.	Mgmt	For	For	For
22	Aiwan Investment Corporation	Mgmt	For	For	For
23	CITIC Network Co., Ltd.	Mgmt	For	For	For
24	Amendments to Articles	Mgmt	For	For	For
25	Authority to Give Guarantees to CITIC Guoan Information Technology Co., Ltd.	Mgmt	For	For	For
26	Authority to Give Guarantees to CITIC Guoan Guangshi Network Co., Ltd.	Mgmt	For	For	For
27	Authority to Give Guarantees to CITIC Guoan Mengguli Power Technology Co., Ltd.	Mgmt	For	For	For
28	Transfer of Equity in a Subsidiary	Mgmt	For	For	For

CITIC Heavy Industries Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601608	CINS Y163AK103	08/25/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YU Zhangfa	Mgmt	For	For	For
2	Elect WANG Chunmin	Mgmt	For	For	For
3	Elect QIANG Jianing	Mgmt	For	For	For
4	Elect XU Wei	Mgmt	For	For	For
5	Elect XU Jingzhang	Mgmt	For	For	For
6	Elect PAN Jinjun	Mgmt	For	For	For
7	Elect YIN Tian	Mgmt	For	For	For
8	Elect LIU Baoyang	Mgmt	For	Against	Against
9	Elect BAI Bo	Mgmt	For	For	For

Citic Securities Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
6030	CINS Y1639N109	06/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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	and Authority to Set Fees				
7	Investment Amount for Proprietary Business for 2018	Mgmt	For	For	For
8	Issuing Entity, Size of Issuance and Method of Issuance	Mgmt	For	For	For
9	Type of Debt Financing Instruments	Mgmt	For	For	For
10	Term of Debt Financing Instruments	Mgmt	For	For	For
11	Interest Rate of the Debt Financing Instruments	Mgmt	For	For	For
12	Security and Other Arrangements	Mgmt	For	For	For
13	Use of Proceeds	Mgmt	For	For	For
14	Issuing Price	Mgmt	For	For	For
15	Targets of Issue and the Placement Arrangements of the RMB Debt Financing	Mgmt	For	For	For
16	Listing of the Debt Financing	Mgmt	For	For	For
17	Validity Period of the Resolutions Passed	Mgmt	For	For	For
18	Authorisation Granted to the Board for the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments	Mgmt	For	For	For
19	Connected Transactions with the Issuance of Debt Financing Instruments	Mgmt	For	For	For
20	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
21	Directors' and Supervisors' Fees	Mgmt	For	For	For
22	Connected Transactions with CITIC Group	Mgmt	For	For	For
23	Connected Transactions with Subsidiaries	Mgmt	For	For	For
24	Elect KUANG Tao	Mgmt	For	For	For
25	Amendments to Articles	Mgmt	For	For	For
26	Elect LIU Hao as Supervisor	Mgmt	For	For	For

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COFCO Tunhe Sugar Co., Ltd.

Ticker

Security ID:

Meeting Date

Meeting Status

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600737	CINS Y9722G105	08/07/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement of A Shares	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Targets of Issuance and Method of Subscription	Mgmt	For	For	For
6	Price of Issuance and Pricing Principles	Mgmt	For	For	For
7	Lockup Period	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Change of Commitment by a Controlling Shareholder	Mgmt	For	For	For
13	Preliminary Plan for Private Placement (2nd Revision)	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds (Revised)	Mgmt	For	For	For
15	Termination of Original Conditional Share Subscription Agreement	Mgmt	For	For	For
16	Signing of Conditional Share Subscription Agreement	Mgmt	For	For	For
17	Related Party Transactions in Connection with Private Placement	Mgmt	For	For	For
18	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
19	Account Opening for Raised Funds	Mgmt	For	For	For
20	Shareholder Returns Plan	Mgmt	For	For	For
21	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
22	Approval of Commitments of	Mgmt	For	For	For

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23	Management for Dilution Measures	Board Authorization to Implement Private Placement	Mgmt	For	For	For
<hr/>						
Cosco Shipping Development Co Ltd						
Ticker	Security ID:	Meeting Date			Meeting Status	
2866	CINS Y1513C112	09/29/2017			Voted	
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Approval of Entrusted Financial Management within the Cap of RMB 3 Billion	Mgmt	For	For	For	
3	Investment in Entrusted Financial Management Products within the Cap of RMB 500 Million	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	
5	Authority to Give Guarantees	Mgmt	For	Against	Against	
<hr/>						
Cosco Shipping Development Co Ltd						
Ticker	Security ID:	Meeting Date			Meeting Status	
2866	CINS Y1513C112	12/28/2017			Voted	
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Merger/Acquisition	Mgmt	For	For	For	
2	Shareholders Agreement	Mgmt	For	For	For	
3	Elect LU Jianzhong	Mgmt	For	For	For	
<hr/>						
COSCO SHIPPING Development Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
2866	CINS Y1513C112	03/15/2018			Voted	
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect LIANG Yanfeng	Mgmt	For	For	For	
2	Elect GU Xu	Mgmt	For	For	For	
3	Elect ZHANG Weihua	Mgmt	For	For	For	
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COSCO SHIPPING Development Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
2866	CINS Y1513C112	05/31/2018			Voted	
Meeting Type	Country of Trade					
Other	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Extension of the Validity Period	Mgmt	For	For	For	

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2	Extension of the Validity Period of the Authorization	Mgmt	For	For	For
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COSCO SHIPPING Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2866	CINS Y1513C112	05/31/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Revised Annual Caps for the Related Party Transactions	Mgmt	For	For	For
10	Extension of the Validity Period	Mgmt	For	For	For
11	Extension of the Validity Period of the Authorization	Mgmt	For	For	For
12	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Shanghai COSCO Shipping Micro-finance Company	Mgmt	For	Against	Against
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Cosco Shipping Holdings Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	10/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Material Asset Restructuring	Mgmt	For	For	For
2	OOIL Offer	Mgmt	For	For	For
3	Draft Report for Acquisition	Mgmt	For	For	For
4	Approval of Offer	Mgmt	For	For	For
5	Legal Procedures for Offer	Mgmt	For	For	For

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6	Valuation Report	Mgmt	For	For	For
7	Independence of Valuation Agency	Mgmt	For	For	For
8	Assurance Report	Mgmt	For	For	For
9	Dilution of Earnings per Share	Mgmt	For	For	For
10	Ratification of Board Acts	Mgmt	For	For	For

Cosco Shipping Holdings Co Ltd

Ticker Security ID: Meeting Date Meeting Status  
1919 CINS Y1455B114 12/18/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Non-public Issuance of A Shares	Mgmt	For	For	For
2	Class and Par Value	Mgmt	For	For	For
3	Method and Time of Issuance	Mgmt	For	For	For
4	Target Subscribers and Subscription Method	Mgmt	For	For	For
5	Price Determination Date, Issue Price and Pricing Principles	Mgmt	For	For	For
6	Number of A Shares	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Place of Listing	Mgmt	For	For	For
9	Amount and Use of Proceeds	Mgmt	For	For	For
10	Treatment of the Undistributed Profit Retained Prior to Issuance	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Proposal in respect of the Proposed Non-public Issuance of A Shares	Mgmt	For	For	For
13	Feasibility Report on the Use of Proceeds	Mgmt	For	For	For
14	Report on Use Utilization of Proceeds From Previously Raised Funds	Mgmt	For	For	For
15	COSCO SHIPPING Subscription Agreement	Mgmt	For	For	For
16	Related Party Transaction: COSCO SHIPPING Subscription	Mgmt	For	For	For

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17	Agreement Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
18	Shareholders' Return Plan	Mgmt	For	For	For
19	Remedial Measures Regarding Dilution on Current Returns and Impact on the Company's Major Financial Indicators by the Non-public Issuance of A Shares	Mgmt	For	For	For
20	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
21	Specific Mandate	Mgmt	For	For	For
22	Ratification of Board Acts	Mgmt	For	For	For

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Cosco Shipping Holdings Co Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	12/18/2017	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Par Value	Mgmt	For	For	For
2	Method and Time of Issuance	Mgmt	For	For	For
3	Target Subscribers and Subscription Method	Mgmt	For	For	For
4	Price Determination Date, Issue Price and Pricing Principles	Mgmt	For	For	For
5	Number of A Shares	Mgmt	For	For	For
6	Lock-up Period	Mgmt	For	For	For
7	Place of Listing	Mgmt	For	For	For
8	Amount and Use of Proceeds	Mgmt	For	For	For
9	Treatment of the Undistributed Profit Retained Prior to Issuance	Mgmt	For	For	For
10	Validity Period of Resolution	Mgmt	For	For	For
11	Proposal in respect of the Proposed Non-public Issuance of A Shares	Mgmt	For	For	For
12	COSCO SHIPPING Subscription Agreement	Mgmt	For	For	For

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13	Specific Mandate	Mgmt	For	For	For
14	Ratification of Board Acts	Mgmt	For	For	For

### COSCO SHIPPING Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	03/29/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Revision of Annual Caps of the Leasing Agreement	Mgmt	For	For	For

### COSCO SHIPPING Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	06/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Amendments to Articles and Procedural Rules for General Meetings	Mgmt	For	For	For
8	Master Vessel Time Charter Services Agreement	Mgmt	For	Against	Against
9	Adoption of Share Option Scheme of COSCO SHIPPING Ports	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

### CRR Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1766	CINS Y1516S106	05/31/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Supervisors' Fees	Mgmt	For	For	For

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9	Amendments to Articles	Mgmt	For	For	For
10	Amendments to Procedural Rules for General Meetings	Mgmt	For	For	For
11	Amendments to Procedural Rules for Board of Directors	Mgmt	For	For	For
12	Amendments to Procedural Rules for Supervisory Committee	Mgmt	For	For	For
13	Authority to Issue Debt Instrument	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
15	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
16	Related Party Transactions	Mgmt	For	For	For
17	New Financial Services Framework Agreement	Mgmt	For	For	For
18	Elect LIU Hualong	Mgmt	For	For	For
19	Elect SUN Yongcai	Mgmt	For	For	For
20	Elect XU Zongxiang	Mgmt	For	For	For
21	Elect LIU Zhiyong	Mgmt	For	Against	Against
22	Elect LI Guo'an	Mgmt	For	For	For
23	Elect WU Zhuo	Mgmt	For	For	For
24	Elect Patrick SUN	Mgmt	For	Against	Against
25	Elect WAN Jun	Mgmt	For	For	For
26	Elect CHEN Fangping	Mgmt	For	Against	Against

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CSSC Offshore & Marine Engineering (Group) Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
0317	CINS Y1824H115	02/12/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Capital Increase to Guangzhou Shipyard International Company Limited	Mgmt	For	For	For	
3	Capital Increase to CSSC Huangpu Wenchong Shipbuilding Company Limited	Mgmt	For	For	For	
4	Elect HAN Guangde	Mgmt	For	For	For	
5	Elect CHEN Zhongqian	Mgmt	For	For	For	
6	Elect CHEN Liping	Mgmt	For	For	For	
7	Elect XIANG Huiming	Mgmt	For	For	For	
8	Elect CHEN Ji	Mgmt	For	For	For	
9	Elect YANG Li	Mgmt	For	For	For	
10	Elect SHI Jun	Mgmt	For	For	For	
11	Elect WANG Yichu	Mgmt	For	For	For	
12	Elect MIN Weiguo	Mgmt	For	For	For	

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13	Elect LIU Renhuai	Mgmt	For	For	For
14	Elect YU Shiyou	Mgmt	For	For	For
15	Elect WU Guangjun as Supervisor	Mgmt	For	For	For
16	Elect ZHU Zhengfu as Supervisor	Mgmt	For	For	For
17	Elect FU Xiaosi as Supervisor	Mgmt	For	For	For

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CSSC Offshore & Marine Engineering (Group) Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
0317	CINS Y1824H115	05/29/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Authority to Give Guarantees	Mgmt	For	Abstain	Against	
7	Appointment of Auditor	Mgmt	For	For	For	

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CSSC Offshore & Marine Engineering (Group) Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
0317	CINS Y1824H115	08/29/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Disposal	Mgmt	For	For	For	
4	Authority to Give Guarantees	Mgmt	For	For	For	

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CSSC Offshore & Marine Engineering (Group) Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
0317	CINS Y1824H115	12/27/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Articles	Mgmt	For	For	For	
3	Suspension of Trading in A Shares	Mgmt	For	For	For	
4	Non-Exercise of Right of First Refusal	Mgmt	For	For	For	
5	Approval of the Remuneration Policy for the Directors, Supervisors and Senior Management	Mgmt	For	For	For	

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6 Relocation Arrangement Mgmt For For For  
Agreement

Daqin Railway Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
601006 CINS Y1997H108 05/23/2018 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	Elect Bi Shoufeng	Mgmt	For	For	For
10	Elect ZHANG Yongqing	Mgmt	For	Against	Against
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Datang International Power Generation Co., Ltd

Ticker Security ID: Meeting Date Meeting Status  
0991 CINS Y20020122 03/16/2018 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect JIN Shengxiang	Mgmt	For	For	For
2	Elect ZHANG Ping	Mgmt	For	For	For
3	Resignation of LIU Haixia	Mgmt	For	For	For
4	Resignation of GUAN Tiangang	Mgmt	For	For	For
5	Franchising Operation Agreement on Flue Gas Denitrification by Datang Environment Company and Huludao Thermal Power Company	Mgmt	For	For	For
6	Franchising Operation Agreement on Flue Gas Desulfurization by Datang Environment Company and Shendong Thermal Power Company	Mgmt	For	For	For
7	Franchising Operation Agreement on Flue Gas Denitrification by Datang Environment Company and Leizhou Power Generation Company	Mgmt	For	For	For
8	Franchising Operation Agreement on Flue Gas	Mgmt	For	For	For

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	Desulfurization by Datang Environment Company and Leizhou Power Generation Company					
9	Franchising Operation Agreement on Flue Gas Denitrification by Datang Environment Company and Tangshan Beijiao Thermal Power Company	Mgmt	For	For	For	
10	Franchising Operation Agreement on Flue Gas Desulfurization by Datang Environment Company and Tangshan Beijiao Thermal Power Company	Mgmt	For	For	For	
11	Entrustment of Datang Environment Company to Undertake the EPC Project on Coal Transmission System	Mgmt	For	For	For	
12	Procurement of Production and Infrastructure Materials and Relevant auxiliary services	Mgmt	For	For	For	
13	Coal Supply Service to be provided by CDC	Mgmt	For	For	For	
14	Infrastructure EPC contracting services	Mgmt	For	For	For	
15	Sale of Electricity	Mgmt	For	For	For	
16	Technological transformation, operations management and repair and maintenance services	Mgmt	For	For	For	
17	Coal Supply and Coal Transportation Services	Mgmt	For	For	For	
18	Acquisition	Mgmt	For	For	For	
<hr/>						
Datang International Power Generation Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
0991	CINS Y20020122	06/28/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	

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5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
9	Merger by Absorption	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Amendments to Articles	Mgmt	For	For	For

DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002065	CINS Y0771R100	01/25/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Adoption of Management Measures on Stock Ownership Plan	Mgmt	For	For	For

DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002065	CINS Y0771R100	05/16/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For

DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002065	CINS Y0771R100	09/18/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Registered Capital and	Mgmt	For	For	For

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### Amendments to Articles

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DHC Software Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
002065	CINS Y0771R100	11/24/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	

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Digital China Information Service Company Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
000555	CINS Y2943B104	09/27/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Termination of 2015 Private Placement	Mgmt	For	For	For	
2	Termination Agreement on Private Placement	Mgmt	For	For	For	
3	Authority to Give Guarantees	Mgmt	For	Against	Against	
4	Extension of Trading Suspension	Mgmt	For	For	For	

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Dong-E-E-Jiao Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
000423	CINS Y7689C109	06/26/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	
5	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Independent Directors' Report	Mgmt	For	For	For	
8	2018 Estimated Continuing Connected Transactions	Mgmt	For	For	For	
9	INVESTMENT IN FINANCIAL WEALTH MANAGEMENT PRODUCTS	Mgmt	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	
11	Elect WANG Chunheng	Mgmt	For	For	For	
12	Elect WU Jun	Mgmt	For	For	For	
13	Elect LI Guohui	Mgmt	For	For	For	
14	Elect WANG Lizhi	Mgmt	For	For	For	
15	Elect QIN Yufeng	Mgmt	For	For	For	
16	Elect WU Huaifeng	Mgmt	For	For	For	
17	Elect ZHANG Wei	Mgmt	For	For	For	
18	Elect LU Qing	Mgmt	For	For	For	

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19	Elect ZHANG Yuanxing	Mgmt	For	For	For
20	Elect FANG Ming	Mgmt	For	Against	Against
21	Elect FENG Yi	Mgmt	For	Against	Against
22	Elect LI Shizhong	Mgmt	For	For	For

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Dongxing Securities Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601198	CINS Y209G1100	06/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	2017 Annual Accounts	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Parties	Mgmt	For	Abstain	Against
9	Type	Mgmt	For	Abstain	Against
10	Duration	Mgmt	For	Abstain	Against
11	Interest Rate	Mgmt	For	Abstain	Against
12	Issuing Scale and Method	Mgmt	For	Abstain	Against
13	Issue Price	Mgmt	For	Abstain	Against
14	Denominated Currency	Mgmt	For	Abstain	Against
15	Guarantee and Other Credit Enhancement Arrangement	Mgmt	For	Abstain	Against
16	Use of Proceeds	Mgmt	For	Abstain	Against
17	Issuing Targets and Arrangement for Placement to Existing Shareholders	Mgmt	For	Abstain	Against
18	Repayment Guarantee Measures	Mgmt	For	Abstain	Against
19	Listing Plan	Mgmt	For	Abstain	Against
20	Authorization	Mgmt	For	Abstain	Against
21	Valid Period of the Resolution	Mgmt	For	Abstain	Against
22	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
23	Elect WANG Yunquan as Director	Mgmt	For	For	For

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Dongxing Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601198	CINS Y209G1100	12/18/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Management Measures for Charitable Donations	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Elect GONG SUKANG	Mgmt	For	For	For

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4	Elect SUN Guangliang	Mgmt	For	For	For
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Dongxu Optoelectronic Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000413	CINS Y7789C116	01/26/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
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Dongxu Optoelectronic Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000413	CINS Y7789C116	03/26/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
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Dongxu Optoelectronic Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000413	CINS Y7789C116	04/25/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WU Jiwei	Mgmt	For	For	For
<hr/>					
Dongxu Optoelectronic Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000413	CINS Y7789C116	05/10/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report and Its Summary	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Against	Against
8	Related Party Transactions	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Authority to Give Guarantees: For a Framework Agreement	Mgmt	For	For	For
11	Authority to Give Guarantees: For a Loan	Mgmt	For	For	For
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Dongxu Optoelectronic Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000413	CINS Y7789C116	06/28/2018		Voted	

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For	
2	Authority to Give Guarantees I	Mgmt	For	For	For	
3	Authority to Give Guarantees II	Mgmt	For	For	For	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
000413	CINS Y7789C116	08/15/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Second Phase Employee Stock Ownership Plan	Mgmt	For	For	For	
2	Board Authorization to Implement Stock Ownership Plan	Mgmt	For	For	For	

Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
000413	CINS Y7789C116	08/28/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Report on Previously Raised Funds	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	For	For	

Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
000413	CINS Y7789C116	11/15/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Capital Injection in Dongxu Group Finance Company	Mgmt	For	For	For	

Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
000413	CINS Y7789C116	12/29/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Avoidance of	Mgmt	For	For	For	

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	Horizontal Competition Commitments					
2	Authority to Give Guarantees	Mgmt	For	For	For	
3	Authority to Give Guarantees for Loans Applied by Industrial and Commercial Bank of China Limited Shanghai Jing'an Branch	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	
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Dr. Peng Telecom and Media Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600804	CINS Y13067106	03/26/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Postponement of Private Placement	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	Against	Against	
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Dr. Peng Telecom and Media Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600804	CINS Y13067106	05/21/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
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Dr. Peng Telecom and Media Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600804	CINS Y13067106	07/14/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Issuance of Corporate	Mgmt	For	For	For	
2	Size of Issuance	Mgmt	For	For	For	
3	Par Value and Price of Issuance	Mgmt	For	For	For	
4	Targets and Method of Issuance	Mgmt	For	For	For	
5	Duration of Bonds	Mgmt	For	For	For	
6	Interest Rates	Mgmt	For	For	For	
7	Use of Proceeds	Mgmt	For	For	For	
8	Method of Underwriting	Mgmt	For	For	For	
9	Listing Market	Mgmt	For	For	For	
10	Repayment of Guarantee	Mgmt	For	For	For	

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	Measures				
11	Guarantees	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

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Dr. Peng Telecom and Media Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600804	CINS Y13067106	10/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Equity Transfer Project	Mgmt	For	For	For

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East Money Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300059	CINS Y2234B102	04/09/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Expansion of Business Scope	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
10	Authority to Give Guarantees	Mgmt	For	For	For

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East Money Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300059	CINS Y2234B102	06/25/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Elect HUANG Liming as Supervisor	Mgmt	For	Against	Against

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	07/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Anhui	Mgmt	For	Against	Against

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	Eternal Asia Deep Supply Chain Management Co., Ltd. To Apply To Hefei Science Technology Rural Commercial Bank For Line of Credits				
2	Authority to Give Guarantees for Anhui Eternal Asia Deep Supply Chain Management Co., Ltd. To Apply To China Minsheng Banking For Line of Credits	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Zhumadian Huatongyuan Supply Chain Management Co., Ltd. To Apply To China Minsheng Banking For Line of Credits	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Zhengzhou Fenghetong Supply Chain Management Co., Ltd. To Apply To China Minsheng Banking For Line of Credits	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Shenzhen Eternal Asia Deep Supply Chain Management Co., Ltd. To Apply To Baoshang Bank For Line of Credits	Mgmt	For	Against	Against
6	Authority to Apply for Line of Credit and Give Guarantees for Shenzhen Eternal Asia Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Liaoning Eternal Asia Deep Supply Chain Management Co., Ltd. To Apply To Bank of Yingkou For Line of Credits	Mgmt	For	Against	Against
8	Authority to Give Guarantees for Shanxi Eternal Asia Xinde Supply Chain	Mgmt	For	Against	Against

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	Management Co., Ltd. To Apply To Jinshang Bank for Working Capital Loans				
9	Authority to Give Guarantees for Shanxi Eternal Asia Yunchang Supply Chain Management Co., Ltd. To Apply To Jinshang Bank For Working Capital Loans	Mgmt	For	Against	Against
10	Authority to Give Guarantees for Taiyuan Jibaijia Business Co., Ltd. To Apply To Jinshang Bank For Working Capital Loans	Mgmt	For	Against	Against
11	Authority to Provide Bank Guarantee Letter for Shanxi Yixinde Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
12	Authority to Give Guarantees for Shanghai Shenyi Supply Chain Management Co., Ltd. To Apply To China Everbright Bank for Line of Credits	Mgmt	For	Against	Against
13	Authority to Give Guarantees for Zhejiang Eternal Asia Deep Supply Chain Management Co., Ltd. To Apply To China Minsheng Banking For Line of Credits	Mgmt	For	Against	Against
14	Authority to Give Guarantees for Shenzhen Esunny Small Loan Co., Ltd.	Mgmt	For	Against	Against
15	Bengbu Yizhou Deep Supply Chain Management Co., Ltd. To Acquire Bengbu Jiahua FMCG Trade Co., Ltd.	Mgmt	For	Abstain	Against
16	Investment in the Establishment of Wenzhou Zhongbai Supply Chain	Mgmt	For	For	For

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	Management Co., Ltd				
17	Investment in the Establishment of Yangzhou Youpi Nshenghuo Intelligent Technology Co., Ltd	Mgmt	For	For	For
18	Investment in the Establishment of Yunnan Eternal Asia Zhizhong Deep Supply Chain Management Co., Ltd	Mgmt	For	For	For
19	Investment in the Establishment of Hebei Eternal Asia Demin Supply Chain Management Co., Ltd	Mgmt	For	For	For
20	Increase of Investment in Jilin Shunfu Supply Chain Management Co., Ltd	Mgmt	For	For	For
21	Increase of Investment in Xinjiang Eternal Asia Deep Supply Chain Management Co., Ltd	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002183	CINS Y2346W101	07/21/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Replenishment of Working Capital with Raised Idle Funds	Mgmt	For	For	For	
2	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For	
3	Authority to Issue Corporate Bonds	Mgmt	For	For	For	
4	Authority to Privately Issue Corporate Bonds	Mgmt	For	For	For	
5	Board Authorization for Bond Issuance	Mgmt	For	For	For	
6	Application for Credit Line and Guarantee	Mgmt	For	Against	Against	
7	Subsidiary Application for Credit Line and Guarantee	Mgmt	For	Against	Against	
8	Company Credit Line Application	Mgmt	For	For	For	

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9	Increasing of Investment for Wholly-owned Subsidiary	Mgmt	For	For	For
10	Subsidiary Investment Increase	Mgmt	For	For	For
11	Acquisition by the Company	Mgmt	For	For	For
12	Acquisition by the Company	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 002183 CINS Y2346W101 08/21/2017 Voted

Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cooperation for Financial Business	Mgmt	For	For	For
2	Authority to Give Guarantees to Controlled Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Another Controlled Subsidiary	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
8	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
10	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
11	Authority to Give Operational Guarantee	Mgmt	For	Against	Against
12	Authority to Give Guarantee to Factoring Company	Mgmt	For	Against	Against
13	Authority to Give Guarantees	Mgmt	For	Against	Against
14	Approval to Set Up Company	Mgmt	For	For	For
15	Approval to Set Up	Mgmt	For	For	For

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16	Company Approval to Set Up Company	Mgmt	For	For	For
17	Increase in Investment of Wholly-owned Subsidiary	Mgmt	For	For	For
18	Investment in the Company	Mgmt	For	For	For
19	Adjustment of the Company's Credit Quota	Mgmt	For	For	For
20	Authority to Give Guarantees	Mgmt	For	Against	Against

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	09/11/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees and Line of credit	Mgmt	For	Against	Against
2	Authority to Give Guarantees and Line of credit for Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees and Loan Application	Mgmt	For	Against	Against
4	Authority to Give Guarantees and Line of Credit for Controlled Subsidiary	Mgmt	For	Against	Against
5	Authority to Give Guarantees and Comprehensive Credit Quota	Mgmt	For	Against	Against
6	Set-up of Subsidiary	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	09/25/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application for a Bank Letter of Guarantee for Bangbu Jiahua FMCG Trade Co., Ltd	Mgmt	For	Against	Against
2	Application for Comprehensive Credit Line to Bank of China and Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees for	Mgmt	For	Against	Against

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	Jiangsu Eastwell Supply Chain Management Co., Ltd					
4	Transfer of Creditor's Rights to a Trust Company and Authority to Give Guarantees	Mgmt	For	Against	Against	
5	Authority to Give Guarantees for Guangyuan Yifei Supply Chain Management Co., Ltd	Mgmt	For	Against	Against	
6	Authority to Give Guarantees for Henan Eternal Asia Shendu Supply Chain Management Co., Ltd in Connection with Line of Credit at Zhongyuan Bank	Mgmt	For	Against	Against	
7	Authority to Give Guarantees for Henan Eternal Asia Shendu Supply Chain Management Co., Ltd in Connection with Line of Credit at Pingdingshan Bank	Mgmt	For	Against	Against	
8	Authority to Give Guarantees for Fujian Zhongyin Xing Trading Co., Ltd	Mgmt	For	Against	Against	
9	Authority to Give Guarantees for Xiamen Zhongbian Baotian Trade Co., Ltd	Mgmt	For	Against	Against	
10	Authority to Give Guarantees for Zhejiang Eternal Asia Shendu Supply Chain Management Co., Ltd	Mgmt	For	Against	Against	
11	Application for Credit Line To Jiujiang Bank	Mgmt	For	For	For	
12	Application for Credit Line To Zhongyuan Bank	Mgmt	For	For	For	
13	Application for Issuance of Financing Plan to Beijing Financial Assets Exchange	Mgmt	For	For	For	
14	Authorization to Implement Issuance of	Mgmt	For	For	For	

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	Financing Plan				
15	Increase of Investment in Anyang Guangchengtong Supply Chain Management Co., Ltd	Mgmt	For	For	For
16	Increase of Investment in Beijing Dingsheng Yitong Electronic Commerce Co., Ltd	Mgmt	For	For	For
17	Increase of Investment in Beijing Eternal Asia Supply Chain Management Co., Ltd	Mgmt	For	For	For
18	Investment in Establishment of a Research Center	Mgmt	For	For	For
19	Investment in Establishment of Shenzhen Eternal Asia Power Supply Chain Co., Ltd	Mgmt	For	For	For
20	Change of Business Scope and Amendments to Articles	Mgmt	For	For	For
21	ISSUANCE OF ACCOUNTS RECEIVABLE ASSETS-BACKED NOTES	Mgmt	For	For	For
22	Authority to Give Guarantees for Shenzhen Eternal Asia Shendu Supply Chain Management Co., Ltd. for Transfer of Rights	Mgmt	For	Against	Against
23	Authority to Give Guarantees for Shenzhen Eternal Asia Shendu Supply Chain Management Co., Ltd. for Application of Loans	Mgmt	For	Against	Against
24	Authority to Give Guarantees for Shanxi Eternal Asia Shendu Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
25	Signing of a Related-Party Business Contract	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	11/01/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for a Controlled Subsidiary	Mgmt	For	Against	Against
2	Authority to Give Guarantees for a Wholly-Owned Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Another Wholly-Owned Subsidiary	Mgmt	For	Against	Against
4	Authority to Give Guarantees for a Third Wholly-Owned Subsidiary	Mgmt	For	Against	Against
5	Authority to Give Guarantees for a Second Controlled Subsidiary	Mgmt	For	Against	Against
6	Authority to Give Guarantees for a Fourth Wholly-Owned Subsidiary	Mgmt	For	Against	Against
7	Authority to Give Guarantees for a Fifth Wholly-Owned Subsidiary	Mgmt	For	Against	Against
8	Authority to Give Guarantees for a Sixth Wholly-Owned Subsidiary	Mgmt	For	Against	Against
9	Authority to Give Guarantees for a Third Controlled Subsidiary	Mgmt	For	Against	Against
10	Authority to Give Guarantees for a Fourth Controlled Subsidiary	Mgmt	For	Against	Against
11	Authority to Give Guarantees for a Fifth Controlled Subsidiary	Mgmt	For	Against	Against
12	Authority to Give Guarantees for a Seventh Wholly-Owned Subsidiary	Mgmt	For	Against	Against
13	Authority to Give Guarantees for a Eighth Wholly-Owned Subsidiary	Mgmt	For	Against	Against
14	Authority to Give Guarantees for a Ninth Wholly-Owned Subsidiary In	Mgmt	For	Against	Against

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	Connection with Creditor Rights Transfer					
15	Authority to Give Guarantees for a Ninth Wholly-Owned Subsidiary In Connection with Bond Issuance	Mgmt	For	Against	Against	
16	Approval of Credit Line	Mgmt	For	For	For	
17	Wholly-owned Subsidiary to Establish a Company	Mgmt	For	For	For	
18	Another Subsidiary to Establish a Company	Mgmt	For	For	For	

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	11/14/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Set up of Subsidiary	Mgmt	For	For	For
2	External Investment	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	12/04/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Authority to Give Guarantees	Mgmt	For	Against	Against
12	Authority to Give Guarantees	Mgmt	For	Against	Against
13	Authority to Give	Mgmt	For	Against	Against

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14	Guarantees Authority to Give Guarantees	Mgmt	For	Against	Against
15	Authority to Give Guarantees	Mgmt	For	Against	Against
16	Authority to Give Guarantees	Mgmt	For	Against	Against
17	Account Receivables Businss	Mgmt	For	For	For
18	Factoring Business	Mgmt	For	For	For
19	Credit Leasing	Mgmt	For	For	For
20	Internal Investment	Mgmt	For	For	For
21	Subsidiary Investment	Mgmt	For	For	For

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Everbright Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601788	CINS Y2357S106	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	Against	Against
6	Approval of Proprietary Trading Business Scale in 2018	Mgmt	For	For	For
7	Type	Mgmt	For	For	For
8	Term	Mgmt	For	For	For
9	Interest Rate	Mgmt	For	For	For
10	Issuer, Size and Method of Issuance	Mgmt	For	For	For
11	Issue Price	Mgmt	For	For	For
12	Guarantees and Other Arrangements	Mgmt	For	For	For
13	Use of Proceeds	Mgmt	For	For	For
14	Target Subscribers and Arrangements for Placement to the Shareholders	Mgmt	For	For	For
15	Arrangements of Listing	Mgmt	For	For	For
16	Validity	Mgmt	For	For	For
17	Board Authorization	Mgmt	For	For	For
18	Issuer	Mgmt	For	For	For
19	Size	Mgmt	For	For	For
20	Underlying Assets	Mgmt	For	For	For
21	Period of Vehicle	Mgmt	For	For	For
22	Expected Yield	Mgmt	For	For	For
23	Place of Listing	Mgmt	For	For	For
24	Guarantees	Mgmt	For	For	For
25	Repayment Measures	Mgmt	For	For	For
26	Board Authorization	Mgmt	For	For	For
27	Validity	Mgmt	For	For	For
28	Appointment of Auditor	Mgmt	For	Against	Against

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Everbright Securities Company Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
601788	CINS Y2357S106	08/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Use of Proceeds	Mgmt	For	For	For
3	Elect ZHANG Jingcai as Supervisor	Mgmt	For	Against	Against

Everbright Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601788	CINS Y2357S106	10/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect GAO Yunlong	Mgmt	For	For	For
3	Elect GE Haijiao	Mgmt	For	For	For
4	Elect XUE Feng	Mgmt	For	For	For
5	Elect JU Hao	Mgmt	For	For	For
6	Elect YIN Lianchen	Mgmt	For	For	For
7	Elect CHEN Mingjian	Mgmt	For	For	For
8	Elect XUE Keqing	Mgmt	For	For	For
9	Elect XU Jingchang	Mgmt	For	For	For
10	Elect XIONG Yan	Mgmt	For	For	For
11	Elect LI Zheping	Mgmt	For	For	For
12	Elect AU Sing Kun	Mgmt	For	For	For
13	Elect LIU Jiping	Mgmt	For	Against	Against
14	Elect ZHANG Jingcai	Mgmt	For	Against	Against
15	Elect WANG Hongyang	Mgmt	For	For	For
16	Elect ZHU Wuxiang	Mgmt	For	Against	Against
17	Elect ZHANG Limin	Mgmt	For	For	For

Everbright Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601788	CINS Y2357S106	12/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules:Board Meetings	Mgmt	For	For	For
3	Amendments to Procedural Rules:Supervisory Committee	Mgmt	For	Abstain	Against

Fiberhome Telecommunication Technologies Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600498	CINS Y2482T102	05/25/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For

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2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Financial Statement	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Related Party Transactions	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Annual Report	Mgmt	For	For	For

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Fiberhome Telecommunication Technologies Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
600498 CINS Y2482T102 07/21/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity of Resolutions for Private Placement	Mgmt	For	For	For
2	Extension of Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Fiberhome Telecommunication Technologies Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
600498 CINS Y2482T102 12/25/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	Against	Against
3	Project Funding Modifcation	Mgmt	For	For	For
4	Elect LU Guoqing	Mgmt	For	For	For
5	Elect LU Weiping	Mgmt	For	For	For
6	Elect XU Jie	Mgmt	For	For	For
7	Elect HE Shuping	Mgmt	For	For	For
8	Elect TONG Guohua	Mgmt	For	For	For
9	Elect LIANG Jun	Mgmt	For	For	For
10	Elect TAO Jun	Mgmt	For	For	For
11	Elect HU Huaxia	Mgmt	For	For	For
12	Elect YU Minggui	Mgmt	For	For	For
13	Elect YUE Qinfang	Mgmt	For	For	For
14	Elect TIAN Zhilong	Mgmt	For	For	For
15	YU SHAOHUA	Mgmt	For	Abstain	Against
16	DING FENG	Mgmt	For	Abstain	Against
17	WU HAIBO	Mgmt	For	Abstain	Against
18	ZHANG HAIYAN	Mgmt	For	Abstain	Against

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Financial Street Holding Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000402 CINS Y2496E109 04/16/2018 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
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					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	Against	Against

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Financial Street Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000402	CINS Y2496E109	08/09/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	GAO Liang	Mgmt	For	For	For
2	YANG Yang	Mgmt	For	For	For
3	SHANG GuanQing	Mgmt	For	For	For
4	ZHAO Zehui	Mgmt	For	For	For
5	LV Hongbin	Mgmt	For	For	For
6	Bai Li	Mgmt	For	For	For
7	LIN Yixiang	Mgmt	For	For	For
8	NIU Junjie	Mgmt	For	For	For
9	YANG Xiaozhou	Mgmt	For	For	For
10	LI Qian	Mgmt	For	Against	Against
11	CHEN Guanglei	Mgmt	For	For	For
12	Non-Independent Directors' Fees	Mgmt	For	For	For
13	Independent Directors' Fees	Mgmt	For	For	For
14	Supervisors' Fees	Mgmt	For	For	For
15	Amendments to Procedural Rules: Management Measures for Directors and Supervisors	Mgmt	For	For	For

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Financial Street Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000402	CINS Y2496E109	10/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Financial Assistance Concerning Shareholder Loan Extension And Interest Rate Adjustment For Beijing Future Technology City Changrong Real Estate Co., Ltd	Mgmt	For	For	For

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3	Financial Assistance Concerning Shareholder Loan Extension And Interest Rate Adjustment For Beijing Future Technology City Changjin Real Estate Co., Ltd	Mgmt	For	For	For
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Financial Street Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000402	CINS Y2496E109	12/20/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Asset Acquisition	Mgmt	For	For	For
2	Approval of Asset Transfer	Mgmt	For	For	For
3	Approval of Financial Assistance to Beijing Rongzhu Real Estate Development Co., Ltd.	Mgmt	For	For	For
4	Approval of Financial Assistance to Beijing Yuanhe Property Co., Ltd.	Mgmt	For	For	For
5	Approval of Financial Assistance to Beijing Rongde Real Estate Development Co., Ltd.	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Elect XIE Xin as Supervisor	Mgmt	For	Against	Against

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First Capital Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002797	CINS Y2R9TR100	06/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Approval of Proprietary Business Investment Quota	Mgmt	For	For	For
8	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For

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9	Authority to Issue Financing Bills/Commercial Paper	Mgmt	For	For	For
10	Huaxi Haoyu Investment Co., Ltd.	Mgmt	For	For	For
11	Beijing Capital Group Co., Ltd.	Mgmt	For	For	For
12	Nengxing Holdings Group Co., Ltd.	Mgmt	For	For	For
13	Zhejiang Hangmin Industrial Group Co., Ltd.	Mgmt	For	For	For
14	Yinhua Fund Management Co., Ltd.	Mgmt	For	For	For
15	Beijing Yuanfuyuan Investment Management Co., Ltd.	Mgmt	For	For	For
16	Other Affiliated Entities	Mgmt	For	For	For
17	Other Joint Ventures/Associates	Mgmt	For	For	For
18	Directors' Fees	Mgmt	For	For	For
19	Supervisors' Fees	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For
21	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
22	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
23	Elect LIU Xuemin	Mgmt	For	For	For
24	Elect WANG Fang	Mgmt	For	For	For
25	Elect ZHANG Xing	Mgmt	For	For	For
26	Elect ZHANG Yiwei	Mgmt	For	For	For
27	Elect YANG Weibin	Mgmt	For	For	For
28	Elect LIN Wei	Mgmt	For	For	For
29	Elect GAO Tianxiang	Mgmt	For	For	For
30	Elect CAI Qixiao	Mgmt	For	For	For
31	Elect LIU Bin	Mgmt	For	For	For
32	Elect LONG Yifei	Mgmt	For	For	For
33	Elect LUO Fei	Mgmt	For	For	For
34	Elect PENG Peiran	Mgmt	For	For	For
35	Elect LIANG Qi	Mgmt	For	For	For
36	Elect QIAN Longhai	Mgmt	For	For	For
37	Elect WANG Jia	Mgmt	For	Against	Against
38	Elect LI Zhang	Mgmt	For	Against	Against
39	Elect YANG Hong	Mgmt	For	Against	Against

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Focus Media Information Technology Co., Ltd.,  
Ticker Security ID: Meeting Date Meeting Status  
002027 CINS Y29327106 05/17/2018 Voted  
Meeting Type Country of Trade  
Annual China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against

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					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Method of Repurchase	Mgmt	For	For	For
8	Price range	Mgmt	For	For	For
9	Total funds and sources of funds	Mgmt	For	For	For
10	Type	Mgmt	For	For	For
11	Implementation deadline	Mgmt	For	For	For
12	Validity of resolution	Mgmt	For	For	For
13	Specific authorization	Mgmt	For	For	For
14	Investment in Wealth Management Products	Mgmt	For	For	For
15	Authority to Give Guarantees	Mgmt	For	Against	Against
16	Approval of Risk Investment	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For
18	Shareholder Returns Plan	Mgmt	For	For	For

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Focus Media Information Technology Co., Ltd.,  
Ticker Security ID: Meeting Date Meeting Status  
002027 CINS Y29327106 11/14/2017 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Structured Finance	Mgmt	For	For	For

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Focus Media Information Technology Co., Ltd.,  
Ticker Security ID: Meeting Date Meeting Status  
002027 CINS Y29327106 12/05/2017 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Provision of Financial Aid	Mgmt	For	For	For

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Founder Securities Co Ltd  
Ticker Security ID: Meeting Date Meeting Status  
601901 CINS Y261GX101 06/04/2018 Voted  
Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For

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5	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Special Statement on 2017 Performance Appraisal and Remuneration for Directors	Mgmt	For	For	For
8	Special Statement on 2017 Performance Appraisal and Remuneration for Supervisors	Mgmt	For	For	For
9	Special Statement on 2017 Performance, Remuneration and Appraisal of Senior Management	Mgmt	For	For	For
10	Approval for Line of Credit	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Founder Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601901	CINS Y261GX101	01/04/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Dismissal of Director	Mgmt	For
2	Dismissal of Supervisor	Mgmt	For
3	Elect SHI Hua	Mgmt	For
4	Elect HU Bin	Mgmt	For
5	Elect LU Wendong as Independent Director	Mgmt	For
6	Elect ZENG Yi as Supervisor	Mgmt	For
7	Non-Voting Meeting Note	N/A	N/A

Founder Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601901	CINS Y261GX101	04/20/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Renewal of Liability Insurance for Directors, Supervisors and Officers	Mgmt	For
2	Amendments to Articles	Mgmt	For

Founder Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601901	CINS Y261GX101	12/04/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Setting up of Charity Foundation	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Authority to Issue Debt Instruments	Mgmt	For	For	For
7	Supplementary Proposals for Amendments to Articles	Mgmt	For	For	For

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Fujian Sunner Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002299	CINS ADPV13401	08/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Business Scope	Mgmt	For	For	For

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Fujian Sunner Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002299	CINS ADPV13401	12/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Future Land Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601155	CINS Y267C9105	01/26/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size	Mgmt	For	For	For
3	Targets	Mgmt	For	For	For
4	Bond Duration	Mgmt	For	For	For
5	Purpose of Raised Funds	Mgmt	For	For	For
6	Guarantees	Mgmt	For	For	For
7	Guarantee Repayment	Mgmt	For	For	For
8	Validity Period	Mgmt	For	For	For
9	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
10	Launch of Direct Finance	Mgmt	For	For	For
11	Extension of the Validity	Mgmt	For	For	For

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12	Period Extension of the Authorization Period	Mgmt	For	For	For
Future Land Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601155	CINS Y267C9105	04/04/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Report	Mgmt	For	For	For
4	Annual Report and its Summary	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Allocation of Profits/Dividends of 2017	Mgmt	For	For	For
7	Directors, Supervisors and Senior Management's Fees	Mgmt	For	For	For
8	2018 Authority to Give Guarantees Plan	Mgmt	For	Against	Against
9	2018 INVESTMENT PLAN	Mgmt	For	For	For
10	Constitution of the Management Measures on Investment in the Company's Projects by Partners	Mgmt	For	For	For
11	Related Party Transactions: Regarding Investment in the Real Estate Projects by Some Directors, Supervisors and Senior Management	Mgmt	For	For	For
12	Related Party Transactions with Joint Ventures and Associated Companies	Mgmt	For	Against	Against
13	BORROWING FROM RELATED PARTIES	Mgmt	For	For	For
14	Invest with Related Parties	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Elect WANG Zhenhua	Mgmt	For	For	For
17	Elect LV Xiaoping	Mgmt	For	For	For
18	Elect WANG Xiaosong	Mgmt	For	For	For
19	Elect LIANG Zhicheng	Mgmt	For	For	For
20	Elect CHEN Deli	Mgmt	For	For	For
21	Elect YUAN Boyin	Mgmt	For	For	For
22	Elect CAO Jianxin	Mgmt	For	For	For
23	Elect YAN Aimin	Mgmt	For	For	For
24	Elect CHEN Wenhua	Mgmt	For	For	For

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25	Elect LU Zhongming	Mgmt	For	Against	Against
26	Elect TANG Guorong	Mgmt	For	Against	Against

Future Land Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601155	CINS Y267C9105	09/05/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Related Party Transactions Management System	Mgmt	For	Abstain	Against
2	Amendments to Authority to Give Guarantees	Mgmt	For	Against	Against
3	Amendments to 2017 Related Party Transactions	Mgmt	For	Against	Against
4	Amendments to 2017 Investment Plan	Mgmt	For	For	For

Future Land Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601155	CINS Y267C9105	10/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase Shares	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules	Mgmt	For	Abstain	Against

Fuyao Glass Industry Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3606	CINS Y26783103	01/08/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Fees	Mgmt	For	For	For
2	Supervisors' Fees	Mgmt	For	For	For
3	Elect CHO Tak Wong	Mgmt	For	Against	Against
4	Elect TSO Fai	Mgmt	For	For	For
5	Elect CHEN Xiangming	Mgmt	For	For	For
6	Elect SUN Yiqun	Mgmt	For	For	For
7	Elect ZHU Dezhen	Mgmt	For	Against	Against
8	Elect WU Shinong	Mgmt	For	For	For
9	Elect LIU Xiaozhi	Mgmt	For	For	For
10	Elect WU Yuhui	Mgmt	For	For	For
11	Elect Alison CHEUNG Kit Man	Mgmt	For	For	For
12	Elect CHEN Mingsen	Mgmt	For	For	For
13	Elect NI Shiyu	Mgmt	For	For	For

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Fuyao Glass Industry Group Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
3606	CINS Y26783103	05/11/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Domestic Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Appointment of International Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Independent Directors' Report	Mgmt	For	For	For
10	Shareholders' Return Plan 2018-2020	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Issue Debt Instruments	Mgmt	For	For	For

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GD Power Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	03/30/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transaction counterparts and transaction method	Mgmt	For	For	For
2	Underlying Assets	Mgmt	For	For	For
3	Evaluated value, transaction price and equity percentage	Mgmt	For	For	For
4	Arrangement for the transitional period	Mgmt	For	For	For
5	Disposal of creditors' rights and liabilities	Mgmt	For	For	For
6	Labor and personnel arrangement	Mgmt	For	For	For
7	Relevant arrangement for transfer of ownership and the liability for breach	Mgmt	For	For	For

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	of contract				
8	The valid period of the plan	Mgmt	For	For	For
9	Report (draft) on the investment in setting up a joint venture	Mgmt	For	For	For
10	Signing conditional agreement on setting up a joint venture	Mgmt	For	For	For
11	Investment in setting up a joint venture constitutes a connected transaction	Mgmt	For	For	For
12	ELIGIBILITY FOR MAJOR ASSETS RESTRUCTURING AS A LISTED COMPANY	Mgmt	For	For	For
13	Audit report and evaluation report related to the connected transaction	Mgmt	For	For	For
14	STATEMENT ON PRICING BASIS FOR THIS TRANSACTION AND THE FAIRNESS	Mgmt	For	For	For
15	Diluted immediate return after the major restructuring and filling measures	Mgmt	For	For	For
16	Commitment on filling measures for diluted immediate return	Mgmt	For	For	For
17	Avoidance of horizontal competition	Mgmt	For	For	For
18	Authorization to the board to handle the connected transaction	Mgmt	For	For	For

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GD Power Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	05/10/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For

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6	Appointment of Auditor	Mgmt	For	For	For
7	Appointment of Internal Control Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Authority to Issue Debt Instruments	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For

GD Power Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	08/21/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For

GD Power Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	10/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
3	Amendments to Procedural Rules: Supervisory Committee	Mgmt	For	For	For
4	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Arrangement for Placement to Shareholders	Mgmt	For	For	For
7	Bond Duration	Mgmt	For	For	For
8	Interest Rate and Determination Method	Mgmt	For	For	For
9	Method of Paying Principal and Interest	Mgmt	For	For	For
10	Purpose of Raised Funds	Mgmt	For	For	For
11	Issuance Targets and Method	Mgmt	For	For	For

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12	Listing Market	Mgmt	For	For	For
13	Guarantee Method	Mgmt	For	For	For
14	Repayment Measures	Mgmt	For	For	For
15	Validity Period	Mgmt	For	For	For
16	Authorization of Board Issuance	Mgmt	For	For	For

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Gemdale Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
600383	CINS Y2685G105	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Authority to Issue Debt Instruments	Mgmt	For	For	For

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Gemdale Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
600383	CINS Y2685G105	12/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Debt Instruments	Mgmt	For	For	For

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GF Securities Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1776	CINS Y270AF107	06/29/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Proprietary Investment Quota for 2018	Mgmt	For	For	For
7	2018 Expected Daily Related Party/Connected Transactions	Mgmt	For	For	For
8	Issuing Entity, Size of Issuance and Method of Issuance	Mgmt	For	For	For

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9	Type	Mgmt	For	For	For
10	Term	Mgmt	For	For	For
11	Interest Rate	Mgmt	For	For	For
12	Security and Other Arrangements	Mgmt	For	For	For
13	Use of Proceeds	Mgmt	For	For	For
14	Issuing Price	Mgmt	For	For	For
15	Targets of Issuance	Mgmt	For	For	For
16	Listing	Mgmt	For	For	For
17	Safeguard Measures for Debt Repayment	Mgmt	For	For	For
18	Validity Period of Resolution	Mgmt	For	For	For
19	Board Authorization	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For
21	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
22	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
23	Satisfaction of Criteria for Non-public Issuance of A shares	Mgmt	For	For	For
24	Class and Nominal Value of Shares	Mgmt	For	For	For
25	Method and Time of Issuance	Mgmt	For	For	For
26	Target Subscribers and Method for Subscription	Mgmt	For	For	For
27	Issue Price and Pricing Principles	Mgmt	For	For	For
28	Number of Shares	Mgmt	For	For	For
29	Lock-up Period	Mgmt	For	For	For
30	Amount and Use of proceeds	Mgmt	For	For	For
31	Treatment of Undistributed Profit	Mgmt	For	For	For
32	Place of Listing	Mgmt	For	For	For
33	Validity Period	Mgmt	For	For	For
34	Proposal of Non-public Issuance of A Shares	Mgmt	For	For	For
35	Feasibility Analysis Report on Use of Proceeds from the Non-public Issuance of A Shares	Mgmt	For	For	For
36	Report on Use of Proceeds from Previous Fund-raising Activities	Mgmt	For	For	For
37	Related Party/Conneced Transactions involved	Mgmt	For	For	For

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	in Non-public Issuance of A shares				
38	Subscription Agreement regarding Non-public Issuance of A Shares between the Company and Jilin Aodong	Mgmt	For	For	For
39	Dilution of Current Returns Resulting from Non-public Issuance of A Shares and Remedial Measures	Mgmt	For	For	For
40	Shareholders' Return Plan (2018-2020)	Mgmt	For	For	For
41	Ratification of Board Acts regarding Non-public Issuance of A Shares	Mgmt	For	For	For
42	Amendments to Articles regarding Profit Distribution Provision	Mgmt	For	For	For

GF Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1776	CINS Y270AF107	10/27/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Amendments to Articles	Mgmt	For
2	Amendments to Procedural Rules	Mgmt	For

Giant Network Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002558	CINS Y1593Y109	05/30/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	CONNECTED TRANSACTION REGARDING SUBSCRIPTION OF A FUND	Mgmt	For

Giant Network Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002558	CINS Y1593Y109	06/28/2018	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For

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4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Investment in Wealth Management Products	Mgmt	For	For	For

Giant Network Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002558	CINS Y1593Y109	07/18/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Election of Directors	Mgmt	For
2	Amendments to Articles	Mgmt	For

Giant Network Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002558	CINS Y1593Y109	10/16/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For

Giant Network Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002558	CINS Y1593Y109	11/06/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Extension of Validity of Resolution	Mgmt	For
2	Extension of Board Authorization	Mgmt	For
3	Amendments to Articles	Mgmt	For

GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
002241	CINS Y27360109	01/16/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For
2	Employee Stock Ownership Plan	Mgmt	For

GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
002241	CINS Y27360109	04/23/2018	Voted
Meeting Type	Country of Trade		
Annual	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Foreign Exchange Derivatives Transactions Estimated in 2018	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Shareholder Returns Plan	Mgmt	For	For	For
13	Amendments to Connected Transactions Decision-making System	Mgmt	For	Abstain	Against

GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002241	CINS Y27360109	09/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles and Change of Registered Capital	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For

Great Wall Motor Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2333	CINS Y28741109	05/14/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Business Strategy for 2018	Mgmt	For	For	For

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8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Repurchase H Shares	Mgmt	For	For	For

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Great Wall Motor Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2333	CINS Y28741109	05/14/2018	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase H Shares	Mgmt	For	For	For

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Gree Electric Appliances, Inc. of Zhuhai

Ticker	Security ID:	Meeting Date	Meeting Status		
000651	CINS Y2882R102	06/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control Self-Assessment Report	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Approval of Foreign Exchange Trading Business	Mgmt	For	For	For
11	Investment in Wealth Management Products	Mgmt	For	For	For
12	Adjustments of Related Party Transactions Quota	Mgmt	For	For	For

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Greenland Holdings Group Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600606	CINS Y7686C102	01/31/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period	Mgmt	For	For	For
2	Extension of Authorization Period	Mgmt	For	For	For

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Greenland Holdings Group Corporation Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
600606	CINS Y7686C102	05/16/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and Its Summary	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approval of Investment Amount on Real Estate Project	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### Greenland Holdings Group Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600606	CINS Y7686C102	07/20/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Elect YANG Yong as Director	Mgmt	For	For	For
3	Elect XU Lingling	Mgmt	For	Against	Against
4	Elect HUANG Zhiwei	Mgmt	For	Against	Against

### GRG Banking Equipment Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002152	CINS Y2889S103	12/05/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	YANG HAIZHOU	Mgmt	For	For	For
3	HUANG YUEZHEN	Mgmt	For	For	For
4	YE ZIYU	Mgmt	For	For	For
5	LUO PANFENG	Mgmt	For	For	For
6	YANG WENFENG	Mgmt	For	For	For
7	CHEN JIANLIANG	Mgmt	For	For	For
8	YANG RUN	Mgmt	For	For	For
9	XING LIANGWEN	Mgmt	For	For	For
10	ZHU GUILONG	Mgmt	For	For	For
11	ZHU LIXIN	Mgmt	For	Against	Against
12	MO DONGCHENG	Mgmt	For	For	For

### Guanghui Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	07/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Recommending Directors to Elect for Inner Accountability Committee	Mgmt	For	For	For
2	Recommending Supervisors to Elect for Inner Accountability Committee	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
5	Issuing Volume	Mgmt	For	For	For
6	Method of Issuance	Mgmt	For	For	For
7	Arrangement for Placement to Shareholders	Mgmt	For	For	For
8	Bond Duration	Mgmt	For	For	For
9	Interest Rate	Mgmt	For	For	For
10	Purpose of The Raised Funds	Mgmt	For	For	For
11	Listing Place	Mgmt	For	For	For
12	Guarantee Clauses	Mgmt	For	For	For
13	The Valid Period of The Resolution	Mgmt	For	For	For
14	Board Authorization to Implement Issuance of Corporate Bonds	Mgmt	For	For	For
15	Shareholder Returns Plan	Mgmt	For	For	For

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Guanghui Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	09/01/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Eligibility for Rights Issuance	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Method of Issuance	Mgmt	For	For	For
5	Ratio and Amount	Mgmt	For	For	For
6	Pricing	Mgmt	For	For	For
7	Placement Targets	Mgmt	For	For	For
8	Distribution Plan	Mgmt	For	For	For
9	Issuance Date	Mgmt	For	For	For
10	Underwriting Method	Mgmt	For	For	For
11	Purpose of Raised Fund	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Trading and Circulation	Mgmt	For	For	For
14	Preplan for Right Issuance	Mgmt	For	For	For
15	Feasibility Report	Mgmt	For	For	For
16	Previously Raised	Mgmt	For	For	For

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	Funds Explanation				
17	Measures for Immediately Diluted Returns	Mgmt	For	For	For
18	Director Commitments for Diluted Immediate Returns	Mgmt	For	For	For
19	Board Authorization to Implement Rights Issuance	Mgmt	For	For	For

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Guanghui Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	11/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
2	Issuing Volume	Mgmt	For	For	For
3	Issuance Targets And Issuance Method	Mgmt	For	For	For
4	Arrangement For Placement To Shareholders	Mgmt	For	For	For
5	Bond Type And Bond Duration	Mgmt	For	For	For
6	Par Value And Issue Price	Mgmt	For	For	For
7	Interest Rate And Its Determination Method	Mgmt	For	For	For
8	Special Clauses For The Bond	Mgmt	For	For	For
9	Purpose of Raised Funds	Mgmt	For	For	For
10	Method of Paying Principal and Interests	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Underwriting Method	Mgmt	For	For	For
13	Repayment Guarantee Measures	Mgmt	For	For	For
14	Guarantee Clauses	Mgmt	For	For	For
15	Validity of Resolution	Mgmt	For	For	For
16	Board Authorization to Implement Issuance of Corporate Bonds	Mgmt	For	For	For

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Guangshen Railway Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0525	CINS Y2930P116	06/06/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For

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2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Resumption Compensation Agreement	Mgmt	For	For	For
8	Elect GUO Ji'an	Mgmt	For	For	For
9	Elect Chen Xiaomei	Mgmt	For	For	For

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Guangzhou Baiyunshan Pharmaceutical Holdings Co. Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
0874	CINS Y2932P114	03/29/2018	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Conformity of Transaction with Provisions of Relevant Laws	Mgmt	For		For	For
2	Resolution on Transaction Not Constituting a Connected Transaction	Mgmt	For		For	For
3	Resolution on Conformity of Transaction with Rule 4 of Regulations of Major Assets Restructuring	Mgmt	For		For	For
4	Implementation of Legal Procedures, Compliance, and Validity of Legal Documents	Mgmt	For		For	For
5	Independence of Valuer, Reasonableness of Appraisal Assumptions, Relevance Between Appraisal Method and Purpose	Mgmt	For		For	For
6	Confirmation of Audit Report and Valuation Report	Mgmt	For		For	For
7	Resolution on Current Earnings will Not be Diluted	Mgmt	For		For	For
8	The Overall Plan	Mgmt	For		For	For
9	The Specific Plan	Mgmt	For		For	For
10	The Specific Plan: Evaluation Base Date	Mgmt	For		For	For
11	The Specific Plan:	Mgmt	For		For	For

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	Transaction Manner and Counterparties				
12	The Specific Plan: Value of Target Assets	Mgmt	For	For	For
13	The Specific Plan: Payment of Consideration	Mgmt	For	For	For
14	The Specific Plan: Put Options	Mgmt	For	For	For
15	The Specific Plan: Arrangement of Profits and Losses	Mgmt	For	For	For
16	The Specific Plan: Employees	Mgmt	For	For	For
17	The Specific Plan: Compensation Scheme	Mgmt	For	For	For
18	The Specific Plan: Validity of Resolution	Mgmt	For	For	For
19	AGREEMENTS RELATED TO MAJOR ASSETS RESTRUCTURING	Mgmt	For	For	For
20	Report on The Material Acquisition of Assets	Mgmt	For	For	For
21	Board Authority to Implement Major Assets Restructuring	Mgmt	For	For	For

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Guangzhou Baiyunshan Pharmaceutical Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
0874	CINS Y2932P114	12/22/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Appointment of Internal Control Auditor	Mgmt	For	For	For
3	Use of Proceeds from Placement	Mgmt	For	For	For

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Guangzhou Baiyunshan Pharmaceutical Holdings Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
0874	CINS Y2932P114	06/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of	Mgmt	For	For	For

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	Profits/Dividends					
6	Financial Budget Report	Mgmt	For	For	For	For
7	Fees for LI Chuyuan	Mgmt	For	For	For	For
8	Fees for CHEN Mao	Mgmt	For	For	For	For
9	Fees for LIU Juyan	Mgmt	For	For	For	For
10	Fees for CHENG Ning	Mgmt	For	For	For	For
11	Fees for NI Yidong	Mgmt	For	For	For	For
12	Fees for WU Changhai	Mgmt	For	For	For	For
13	Fees for CHU Xiaoping	Mgmt	For	For	For	For
14	Fees for JIANG Wenqi	Mgmt	For	For	For	For
15	Fees for WONG Hin Wing	Mgmt	For	For	For	For
16	Fees for WANG Weihong	Mgmt	For	For	For	For
17	Fees for XIAN Jiaxiong	Mgmt	For	For	For	For
18	Fees for LI Jinyun	Mgmt	For	For	For	For
19	Fees for GAO Yanzhu	Mgmt	For	For	For	For
20	Authority to Give Guarantees	Mgmt	For	For	For	For
21	Application for Bank Loans	Mgmt	For	For	For	For
22	Approval of Entrusted Loans	Mgmt	For	Abstain	Against	Against
23	Continuing Connected Transactions for 2018	Mgmt	For	For	For	For
24	Shareholders' Return Plan 2018-2020	Mgmt	For	For	For	For
25	Amendments to Use of Proceeds	Mgmt	For	For	For	For
26	Utilization of Proceeds from Previous Fund Raising	Mgmt	For	For	For	For
27	Utilization of Proceeds from Internal Fund	Mgmt	For	For	For	For
28	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For
29	Appointment of Internal Auditor and Authority to Set Fees	Mgmt	For	For	For	For
30	Amendments to Procedural Rules for Board of Directors	Mgmt	For	For	For	For
31	Amendments to Procedural Rules for Supervisory Committee	Mgmt	For	For	For	For
32	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	Against
33	Amendments to Articles	Mgmt	For	For	For	For
34	Elect LI Hong	Mgmt	For	For	For	For

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Guangzhou Haige Communications Group

Ticker	Security ID:	Meeting Date	Meeting Status
002465	CINS Y29328104	05/24/2018	Voted
Meeting Type		Country of Trade	

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds: Yichuang Technology Regional Marketing Center	Mgmt	For	For	For
2	Amendments to Use of Proceeds: Yousheng Aviation Parts Production and Assembly Base	Mgmt	For	For	For
3	Authority to Repurchase Shares	Mgmt	For	For	For

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### Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	04/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Remove the Position of Vice Chairman	Mgmt	For	For	For
8	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
9	Elect DENG Jiaqing	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	For	For

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### Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	09/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Registered Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Investment in Wealth Management Products	Mgmt	For	For	For

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### Guangzhou Port Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601228	CINS Y2R39Z107	05/24/2018	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Approval of Borrowing	Mgmt	For	For	For
11	Plan on Debt Financing Instruments	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Elect SU Xingwang	Mgmt	For	Against	Against
14	Elect DENG Guosheng	Mgmt	For	For	For
15	Elect SONG Xiaoming	Mgmt	For	For	For
16	Elect WANG Jiming	Mgmt	For	For	For
17	Elect LIAO Chaoli	Mgmt	For	For	For
18	Elect LIU Yinghai	Mgmt	For	Against	Against

Guangzhou Shiyuan Electronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002841	CINS Y29355107	05/07/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Foreign Exchange Hedging Business	Mgmt	For	For	For
10	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
11	Type of Securities	Mgmt	For	For	For
12	Issuing Size	Mgmt	For	For	For
13	Par Value and Issue Price	Mgmt	For	For	For
14	Duration	Mgmt	For	For	For
15	Interest Rate	Mgmt	For	For	For
16	Repayment Methods	Mgmt	For	For	For
17	Conversion Period	Mgmt	For	For	For
18	Determining Method for the Number of Converted	Mgmt	For	For	For

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	Shares				
19	Determination and Adjustment of the Conversion Price	Mgmt	For	For	For
20	Downward Adjustment of Conversion Price	Mgmt	For	For	For
21	Redemption Clauses	Mgmt	For	For	For
22	Resale Clauses	Mgmt	For	For	For
23	Attribution of Related Dividends for Conversion Years	Mgmt	For	For	For
24	Issuing Method and Targets	Mgmt	For	For	For
25	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
26	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
27	Use of Proceeds	Mgmt	For	For	For
28	Deposit and Management of the Raised Fund	Mgmt	For	For	For
29	Guarantees	Mgmt	For	For	For
30	Validity Period	Mgmt	For	For	For
31	Preplan for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
32	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
33	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
34	Board Authorization to Handle Matters Regarding the Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
35	Impact on Major Financial Indicators by Diluted Immediate Returns and Dilution Measures for Bond Issuance	Mgmt	For	For	For
36	Internal Report on Legal Compliance	Mgmt	For	For	For
37	Amendments to Articles	Mgmt	For	For	For
38	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
39	Related Party Transactions	Mgmt	For	For	For

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Guangzhou Shiyuan Electronic Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002841	CINS ADPV39544	07/26/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

Guangzhou Shiyuan Electronic Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002841	CINS Y29355107	11/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Capital	Mgmt	For	For	For
2	Eligibility for Convertible Bond Issuance	Mgmt	For	For	For
3	Type of Securities to Be Issued	Mgmt	For	For	For
4	Issuing Volume	Mgmt	For	For	For
5	Par Value and Issuing Price	Mgmt	For	For	For
6	Bond Duration	Mgmt	For	For	For
7	Interest Rate	Mgmt	For	For	For
8	Time Limit and Method of Paying Principal and Interest	Mgmt	For	For	For
9	Conversion Period	Mgmt	For	For	For
10	Determination Method for Amount of Converted Shares	Mgmt	For	For	For
11	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
12	Downward Adjustment to Conversion Price	Mgmt	For	For	For
13	Redemption Clauses	Mgmt	For	For	For
14	Resale Clauses	Mgmt	For	For	For
15	Attribution of Dividends	Mgmt	For	For	For
16	Issuance Targets and Method	Mgmt	For	For	For
17	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
18	Matters Regarding Bondholders Meeting	Mgmt	For	For	For
19	Purpose for Raised Funds	Mgmt	For	For	For
20	Depository of Raised Funds	Mgmt	For	For	For
21	Guarantee Matters	Mgmt	For	For	For
22	Validity Period	Mgmt	For	For	For

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23	Preplan for Issuance	Mgmt	For	For	For
24	Feasibility Analysis Report on Use of Funds to be Raised	Mgmt	For	For	For
25	Report on Use of Previously Raised Funds	Mgmt	For	For	For
26	Constitution of Procedural Rules: Bondholders Meeting for Convertible Bonds	Mgmt	For	For	For
27	Filing Measures for Diluted Immediate Returns	Mgmt	For	For	For
28	Board Authorization for Convertible Bond Issuance	Mgmt	For	For	For

Guangzhou Shiyuan Electronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002841	CINS Y29355107	12/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	HUANG ZHENGCONG	Mgmt	For	For	For
2	SUN YONGHUI	Mgmt	For	For	For
3	WANG YIRAN	Mgmt	For	For	For
4	YOU TIANYUAN	Mgmt	For	For	For
5	YU WEI	Mgmt	For	For	For
6	ZHOU YONG	Mgmt	For	For	For
7	LIN BIN	Mgmt	For	For	For
8	TONG HUIMING	Mgmt	For	For	For
9	ZHANG QIXIANG	Mgmt	For	For	For
10	REN RUI	Mgmt	For	Against	Against
11	WANG FEI	Mgmt	For	For	For
12	Directors' Fees	Mgmt	For	For	For
13	Supervisors' Fees	Mgmt	For	For	For

Guizhou Bailing Group Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002424	CINS Y2945M108	04/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

Guizhou Bailing Group Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002424	CINS Y2945M108	12/04/2017	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Guosen Securities Co. Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
002736	CINS Y295A2103	03/28/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	Abstain	Against

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Guosen Securities Co. Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
002736	CINS Y295A2103	05/21/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Related Party Transactions with Shenzhen Investment Holdings Co.,Ltd.	Mgmt	For	For	For
8	Related Party Transactions with Huarun Investment Trust Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with Yunnan Hehe Investment Co., Ltd.	Mgmt	For	For	For
10	Related Party Transactions with Penghua Fund Management Co.,Ltd.	Mgmt	For	For	For
11	Related Party Transactions with Others	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Proprietary Investment Amount	Mgmt	For	For	For
14	Extension of The Valid Period of the Resolution on Rights Issue	Mgmt	For	For	For
15	Authorization to the Board to Handle	Mgmt	For	For	For

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Matters regarding the  
Rights  
Issue

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Guosen Securities Co. Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002736	CINS Y295A2103	09/28/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Authority to Issue Debt Instruments	Mgmt	For	For	For	
3	Related Party Transactions	Mgmt	For	For	For	

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Guosen Securities Co. Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002736	CINS Y295A2103	10/16/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	ELECTION OF DIRECTORS	Mgmt	For	For	For	
2	ELECTION OF SUPERVISORS	Mgmt	For	Against	Against	

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Guosen Securities Co. Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002736	CINS Y295A2103	12/21/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect HE Ru	Mgmt	For	For	For	
2	Elect WANG Yongjian	Mgmt	For	For	For	
3	Elect LI Xinjian	Mgmt	For	For	For	
4	Elect LIU Xiaola	Mgmt	For	For	For	
5	Elect LI Shuangyou	Mgmt	For	For	For	
6	Elect JIANG Yuexiang	Mgmt	For	For	For	
7	Elect XIAO Youmei	Mgmt	For	For	For	
8	Elect BAI Tao	Mgmt	For	For	For	
9	Elect ZHENG Xueding	Mgmt	For	For	For	
10	Elect FENG Xiaodong	Mgmt	For	Against	Against	
11	Elect ZHANG Caiguang	Mgmt	For	For	For	
12	Amendments to Articles	Mgmt	For	For	For	
13	Authority to Issue Debt Instruments	Mgmt	For	Abstain	Against	

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Guotai Junan Securities Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
601211	CINS Y2R614107	05/18/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	

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4	Appointment of Auditor	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Related Party Transactions with Shanghai International Group Co., Ltd. and Related Enterprises	Mgmt	For	For	For
7	Related Party Transactions with Shenzhen Investment Holdings Co., Ltd. and Related Enterprises	Mgmt	For	For	For
8	Related Party Transactions and Related Enterprises with Company's Directors, Supervisors and Senior Management	Mgmt	For	For	For
9	Related Party Transactions Between the Group and Related Natural Persons	Mgmt	For	For	For
10	Elect Lin Facheng	Mgmt	For	For	For
11	Elect Zhou Hao	Mgmt	For	For	For
12	Election of Supervisor Feng Xiaodong	Mgmt	For	Against	Against
13	Issuance of Main Subject	Mgmt	For	For	For
14	Issue Scale	Mgmt	For	For	For
15	Issue Manner	Mgmt	For	For	For
16	Type of Domestic and Foreign Debt Financing Instruments	Mgmt	For	For	For
17	Period of Domestic and Foreign Debt Financing Instruments	Mgmt	For	For	For
18	Interest Rate of Domestic and Foreign Debt Financing Instruments	Mgmt	For	For	For
19	Guarantees and Other Arrangements	Mgmt	For	For	For
20	Use of Proceeds	Mgmt	For	For	For
21	Issue Price	Mgmt	For	For	For
22	Target Subscribers and Placing for Shareholder	Mgmt	For	For	For
23	Listing Exchange of Domestic and Foreign Debt Financing Instruments	Mgmt	For	For	For
24	Safeguard Measures of Debts Repayment	Mgmt	For	For	For

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25	Authorizations for Domestic and Foreign Debt Financing Tools	Mgmt	For	For	For
26	Resolution Validity Period	Mgmt	For	For	For
27	Original Rights Holder	Mgmt	For	For	For
28	Issuance of Main Subject in Connection to Asset-backed Securities	Mgmt	For	For	For
29	Issue Scale in Connection to Asset-backed Securities	Mgmt	For	For	For
30	Use of Funds	Mgmt	For	For	For
31	Special Purpose on Vehicle Fundamental Assets	Mgmt	For	For	For
32	Special Purpose on Vehicle Period	Mgmt	For	For	For
33	Expected Rate of Return	Mgmt	For	For	For
34	Listing Exchange in Connection to Asset-backed Securities	Mgmt	For	For	For
35	Matters Regarding Guarantee	Mgmt	For	For	For
36	Safeguard Measures of Debts Repayment in Connection to Asset-backed Securities	Mgmt	For	For	For
37	Matters Regarding Authorization	Mgmt	For	For	For
38	Resolution Validity Period in Connection to Asset-backed Securities	Mgmt	For	For	For
39	Related Party Transactions In Connection with Debts and Securities Issuance	Mgmt	For	For	For
40	Issuance of Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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Guotai Junan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601211	CINS Y2R614107	12/12/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
1	Amendments to Articles	Mgmt	For
			Vote Cast
			For/Against
			Mgmt
			For

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Guoxuan High-tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002074	CINS Y4439F110	01/08/2018	Voted
Meeting Type		Country of Trade	

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase in a Subsidiary	Mgmt	For	For	For
2	Capital Increase By a Wholly-Owned Subsidiary	Mgmt	For	For	For
3	Establishment of a Hong Kong-Based Subsidiary	Mgmt	For	For	For
4	Establishment of an Industrial Fund By a Wholly-Owned Subsidiary	Mgmt	For	For	For
5	Change of Registered Capital and Amendments to Articles	Mgmt	For	For	For

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Guoxuan High-tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002074	CINS Y4439F110	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Adoption of Employee Stock Purchase Plan	Mgmt	For	Against	Against
13	Constitution of Procedural Rules: Employee Stock Purchase Plan	Mgmt	For	Against	Against
14	Amendments to Articles	Mgmt	For	For	For
15	Amendments to Procedural Rules: Meetings of Board of Directors	Mgmt	For	Abstain	Against

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Guoxuan High-tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status

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002074	CINS Y4439F110	11/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules: General Meetings of Shareholders	Mgmt	For	Abstain	Against
4	Amendments to Procedural Rules: Meetings of Board of Supervisors	Mgmt	For	Abstain	Against

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Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000728	CINS Y0771H102	01/15/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
3	Amendments to Procedural Rules: Independent Director System	Mgmt	For	For	For

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Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000728	CINS Y0771H102	06/19/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Supervisors' Fees	Mgmt	For	For	For
9	Senior Management Personnel's Fees	Mgmt	For	For	For
10	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
11	Type	Mgmt	For	For	For
12	Issuing Size	Mgmt	For	For	For
13	Par Value and Issue Price	Mgmt	For	For	For
14	Duration	Mgmt	For	For	For

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15	Interest Rate	Mgmt	For	For	For
16	Time and Method of Interest Payment	Mgmt	For	For	For
17	Conversion Period	Mgmt	For	For	For
18	Adjustment and Determination of the Conversion Price	Mgmt	For	For	For
19	Downward Adjustment to the Conversion Price	Mgmt	For	For	For
20	Determination Method for Converted Shares	Mgmt	For	For	For
21	Attribution of Relevant Dividends for Conversion Years	Mgmt	For	For	For
22	Redemption Clauses	Mgmt	For	For	For
23	Resale Clauses	Mgmt	For	For	For
24	Issuing Targets and Method	Mgmt	For	For	For
25	Arrangement of Placement to Existing Shareholders	Mgmt	For	For	For
26	Bond Holders and Bondholders Meetings	Mgmt	For	For	For
27	Use of Proceeds	Mgmt	For	For	For
28	Guarantee Matters	Mgmt	For	For	For
29	Depository of the Raised Funds	Mgmt	For	For	For
30	Valid Period of the Resolution	Mgmt	For	For	For
31	Authorization to the Board and Its Authorized Persons	Mgmt	For	For	For
32	Plan for Public Issuance of A-Share Convertible Corporate Bonds	Mgmt	For	For	For
33	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
34	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
35	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
36	Constitution of Procedural Rules for Bondholders Meetings	Mgmt	For	For	For
37	Shareholder Returns Plan for Fiscal Years 2018 to	Mgmt	For	For	For

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	2020				
38	Type	Mgmt	For	Abstain	Against
39	Issuing Principal, Scale and Method	Mgmt	For	Abstain	Against
40	Duration	Mgmt	For	Abstain	Against
41	Interest Rate	Mgmt	For	Abstain	Against
42	Guarantee and Other Arrangement	Mgmt	For	Abstain	Against
43	Use of Proceeds	Mgmt	For	Abstain	Against
44	Issue Price	Mgmt	For	Abstain	Against
45	Issuing Targets and Arrangement for Placement of Debt Financing Instruments to Existing Shareholders	Mgmt	For	Abstain	Against
46	Listing or Transfer of Debt Financing Instruments	Mgmt	For	Abstain	Against
47	Repayment Measures	Mgmt	For	Abstain	Against
48	Purchase or Sale of Basic Assets Involved in the Financing Creditor's Right of Asset-Backed Securities	Mgmt	For	Abstain	Against
49	Valid Period of The Resolution	Mgmt	For	Abstain	Against
50	Authorizations	Mgmt	For	Abstain	Against
51	Related Party Transactions in Connection with Issuance of Debt Financing Instruments	Mgmt	For	Against	Against
52	Intra-Company Transaction Agreement with Guoyuan Agricultural Insurance Co., Ltd.	Mgmt	For	For	For

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Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000728	CINS Y0771H102	07/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
3	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
4	Extension of Validity of Resolution on Private	Mgmt	For	For	For

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5	Placement Extension of Board Authorization to Implement Private Placement	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Issuance Targets and Method	Mgmt	For	For	For
8	Interest Rate and Its Determination	Mgmt	For	For	For
9	Duration of Bond	Mgmt	For	For	For
10	Method of Payment of Principal and Interest	Mgmt	For	For	For
11	Redemption or Resale Provisions	Mgmt	For	For	For
12	Use of Raised Funds	Mgmt	For	For	For
13	Listing Market	Mgmt	For	For	For
14	Guarantees	Mgmt	For	For	For
15	Repayment Guarantee Measures	Mgmt	For	For	For
16	Validity of Resolution	Mgmt	For	For	For
17	Mandate Matters	Mgmt	For	For	For

Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000728	CINS Y0771H102	10/30/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Election of Directors	Mgmt	For
2	Election of Supervisors	Mgmt	For
			Vote Cast
			For/Against
			Mgmt

Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600221	CINS Y2981E119	07/11/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Extension of Duration of Commitments (Capital Airlines)	Mgmt	For
2	Extension of Duration of Commitments (West Air)	Mgmt	For
3	Investment in a Property Trust	Mgmt	For
4	A Subsidiary's Authority to Issue Bonds	Mgmt	For
5	Capital Increase and Share Expansion of a Subsidiary	Mgmt	For

Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600221	CINS Y2981E119	09/12/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on a Subsidiary's Acceptance of Fund Units	Mgmt	For	For	For
2	Re-formulation of Procedural Rules for Supervisory Committee Meetings	Mgmt	For	For	For

Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	10/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect XIE Haoming as Director	Mgmt	For	For	For
3	Elect WANG Shaoping	Mgmt	For	Against	Against
4	Elect CHEN Mingqiong	Mgmt	For	Against	Against

Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	10/30/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Acquisition in a Subsidiary	Mgmt	For	For	For
2	Report on Acquisition in another Subsidiary	Mgmt	For	For	For

Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	11/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authorize Wholly-Owned Subsidiary to Issue Bonds	Mgmt	For	For	For

Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	12/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Super and Short-Term Commercial Papers	Mgmt	For	For	For
2	Authority to Issue	Mgmt	For	For	For

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	Medium-term Notes				
3	Constitution of Procedural Rules: Securities Investment Management Measures	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For
5	REPORT ON CAPITAL INCREASE IN A JOINT STOCK COMPANY	Mgmt	For	For	For
6	Investment in Setting Up Joint Stock Company	Mgmt	For	For	For
7	Investment in Setting up Fund	Mgmt	For	For	For
8	Elect BAO Qifa	Mgmt	For	For	For
9	Elect CAO Fenggang	Mgmt	For	For	For

Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	12/29/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Acquisition of Minority Shareholder Interest	Mgmt	For	For	For

Hainan Airlines Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	04/09/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Extension	Mgmt	For	For	For

Hainan Airlines Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	04/12/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on the Remuneration Plan for Directors, Supervisors and Senior Management	Mgmt	For	For	For

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7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees to Controlled Subsidiaries	Mgmt	For	For	For
10	Authority to Give Guarantees to Related Entities	Mgmt	For	For	For
11	Approval of Aircrafts' Acquisition Plan	Mgmt	For	For	For
12	Independent Directors' Report	Mgmt	For	For	For
13	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
16	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
17	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
18	Related Party Transaction: Sale of Two B767 Planes and One Spare Engine	Mgmt	For	For	For
19	Related Party Transactions: Sale of Two B737-400 Planes	Mgmt	For	For	For

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Hainan Airlines Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	05/15/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Overseas USD-denominated Bonds	Mgmt	For	For	For

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Hainan Airlines Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600221	CINS Y2981E119	05/30/2018	Voted
Meeting Type	Country of Trade		
Special	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Rules of Procedure Governing Board Meetings	Mgmt	For	For	For
3	Elect CAO Ningning as Supervisor	Mgmt	For	Against	Against

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Hainan Airlines Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	06/15/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase in a Subsidiary	Mgmt	For	For	For
2	Elect CHEN Ming	Mgmt	For	For	For
3	Elect MU Weigang	Mgmt	For	For	For

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Hainan Airlines Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	06/29/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of Acquisition Agreement	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Haitong Securities Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
600837	ISIN CNE000000CK1	03/01/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	PROPOSAL ON THE REAPPOINTMENT OF BDO CHINA SHU LUN PAN CERTIFIED PUBLIC ACCOUNTANTS	MGMT	For	For	For
2	PROPOSAL TO REVISE THE ARTICLES OF ASSOCIATION OF THE COMPANY, RULES OF PROCEDURE FOR THE BOARD OF DIRECTORS AND RULES OF PROCEDURE FOR THE BOARD OF SUPERVISORS	MGMT	For	For	For

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Haitong Securities Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
6837	CINS Y7443K108	06/21/2018	Voted
Meeting Type	Country of Trade		
Annual	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Investment Asset Allocation	Mgmt	For	For	For
8	With BNP Paribas Investment Partners BE Holding SA	Mgmt	For	For	For
9	With Shanghai Shengyuan Real Estate (Group) Co., Ltd.	Mgmt	For	For	For
10	With the companies (other than the Company and its controlling subsidiaries)	Mgmt	For	For	For
11	The Company and its subsidiaries with related natural persons	Mgmt	For	For	For
12	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Conditions of Non-Public Issuance of A Shares	Mgmt	For	For	For
14	Class and Nominal Value	Mgmt	For	For	For
15	Method	Mgmt	For	For	For
16	Target Subscribers and Subscription Method	Mgmt	For	For	For
17	Volume	Mgmt	For	For	For
18	Pricing	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Lock-up Period	Mgmt	For	For	For
21	Listing	Mgmt	For	For	For
22	Retained Profits	Mgmt	For	For	For
23	Validity Period	Mgmt	For	For	For
24	Proposal of Non-Public Issuance of A Shares	Mgmt	For	For	For
25	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
26	Use of Proceeds from Previous Fund Raising	Mgmt	For	For	For
27	Dilution of Current Returns of Issuance	Mgmt	For	For	For

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	of A shares					
28	Ratification of Board Acts	Mgmt	For	For	For	
29	Shareholders' Returns Plan 2018-2020	Mgmt	For	For	For	

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### Haitong Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6837	CINS Y7443K108	03/01/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Amendments to Articles, Rules of Procedure for Board and Rules of Procedure for Supervisory Committee	Mgmt	For	For	For

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### Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002008	CINS Y3063F107	02/28/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Use of Idle Capital for Wealth Management Products	Mgmt	For	For	For

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### Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002008	CINS Y3063F107	05/07/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	WEALTH MANAGEMENT WITH PROPRIETARY FUNDS	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For

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### Hangzhou Hikvision Digital Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002415	CINS Y3038Z105	03/07/2018	Voted		
Meeting Type		Country of Trade			
Special		China			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect CHEN Zongnian	Mgmt	For	For	For
2	Elect GONG Hongjia	Mgmt	For	For	For
3	Elect QU Liyang	Mgmt	For	For	For
4	Elect HU Yangzhong	Mgmt	For	For	For
5	Elect WU Weiqi	Mgmt	For	For	For
6	Elect CHENG Tianzong	Mgmt	For	For	For
7	Elect LU Jianzhong	Mgmt	For	For	For
8	Elect WANG Zhidong	Mgmt	For	For	For
9	Elect HONG Tianfeng	Mgmt	For	For	For
10	Elect CHENG Huifang	Mgmt	For	Against	Against
11	Elect WANG Qiuchao	Mgmt	For	For	For
12	Independent Directors' Fees	Mgmt	For	For	For
13	Supervisors' Fees	Mgmt	For	For	For

Hangzhou Hikvision Digital Technology Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002415 CINS Y3038Z105 05/11/2018 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2017 INTERNAL CONTROL SELF-EVALUATION REPORT	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Approval for Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Amendments to Authorization Management System	Mgmt	For	Abstain	Against

Hangzhou Robam Appliances Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002508 CINS Y3041Z100 02/02/2018 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Share Purchase Plan	Mgmt	For	Against	Against
2	Board Authorization to Implement Employee Share Purchase Plan	Mgmt	For	Against	Against

Hangzhou Robam Appliances Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status

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002508	CINS Y3041Z100	05/03/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	2018 Budget Plan	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Approval to invest in wealth management products	Mgmt	For	For	For
8	Shareholders Return Plan for the Next Three Years (2018-2020)	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For

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### Hangzhou Robam Appliances Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002508	CINS Y3041Z100	08/18/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect REN Jianhua	Mgmt	For	For	For
2	Elect REN Fujia	Mgmt	For	For	For
3	Elect ZHAO Jihong	Mgmt	For	For	For
4	Elect REN Luozhong	Mgmt	For	For	For
5	Elect WANG Gang	Mgmt	For	For	For
6	Elect SHEN Guoliang	Mgmt	For	For	For
7	Elect ZHANG Guangjie	Mgmt	For	For	For
8	Elect DONG Jing	Mgmt	For	For	For
9	Elect MA Guoxin	Mgmt	For	For	For
10	Elect ZHANG Linyong	Mgmt	For	Against	Against
11	Elect ZHANG Songnian	Mgmt	For	Against	Against
12	Elect SHEN Yueming	Mgmt	For	For	For

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### Hbis Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000709	ISIN CNE000000H20	02/28/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Election of Directors	MGMT	For	For	For
1.2	Election of Directors	MGMT	For	For	For
1.3	Election of Directors	MGMT	For	For	For

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### Henan Shuanghui Investment and Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000895	CINS Y3121R101	04/16/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Annual Report and Its	Mgmt	For	For	For

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Summary						
3	Supervisors' Report	Mgmt	For	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For	For
7	Estimation of 2018 Related Party Transactions	Mgmt	For	For	For	For
8	Financial Service Agreement with a Related Party	Mgmt	For	For	For	For
9	Amendments to Articles	Mgmt	For	For	For	For

Hengli Petrochemical Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600346	CINS Y1963X103	06/20/2018	Voted			
	Meeting Type	Country of Trade				
	Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Subordinate company investment and construction proposal	Mgmt	For	For	For	For
2	Amendments to Articles [Bundled]	Mgmt	For	For	For	For
3	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Against	Against	Against
4	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against	Against
5	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	Abstain	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against	Against
7	Foreign Exchange Transactions	Mgmt	For	For	For	For

Hesteel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
000709	CINS Y85343104	01/18/2018	Voted			
	Meeting Type	Country of Trade				
	Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Related Party Transactions	Mgmt	For	For	For	For
2	Amendments to Articles	Mgmt	For	For	For	For

Hesteel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
000709	CINS Y85343104	05/17/2018	Voted			
	Meeting Type	Country of Trade				
	Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt

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					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 FINAL ACCOUNTS REPORT	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	To Consider and Approve the Forecast of Routine Related Party Transactions for 2018	Mgmt	For	For	For
7	Proposal on Forecast of Financial Business with Finance Company for 2018	Mgmt	For	Against	Against
8	Appointment of Auditor	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Hithink RoyalFlush Information Network Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
300033	CINS Y3181P102	03/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Investment in Wealth Management Products	Mgmt	For	For	For
8	Election of Independent Director	Mgmt	For	For	For

Hua Xia Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600015	CINS Y37467118	05/24/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Capital Financial Plan	Mgmt	For	For	For
8	2017 Related Party Transactions	Mgmt	For	For	For

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9	Report Approval of Credit Line for Shougang Corporation and its Related Parties	Mgmt	For	For	For
10	Approval of Credit Line for People's Insurance Company and its Related Parties	Mgmt	For	For	For
11	Approval of Credit Line for Yingda International Holdings Group and its Related Parties	Mgmt	For	For	For
12	Approval of Credit Line for Huaxia Financial Leasing Co., Ltd.	Mgmt	For	For	For
13	Report on the Performance of the Directors	Mgmt	For	For	For
14	Report on the Performance of the Supervisors	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Authority to Establish an Asset Management Subsidiary	Mgmt	For	For	For
17	Authority to Establish a Direct Bank Subsidiary	Mgmt	For	For	For
18	PARTICIPATION IN THE NATIONAL FINANCING GUARANTEE FUND	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Huaan Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600909	CINS Y372AR105	06/06/2018	Voted		
Meeting Type	Annual	Country of Trade	China		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and Its Summary	Mgmt	For	For	For
2	Director's Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	2018 Estimation of Related Party Transactions	Mgmt	For	For	For
7	2018 Estimation of Related Party Transactions	Mgmt	For	For	For
8	2018 Estimation of Related Party	Mgmt	For	For	For

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9	Transactions 2018 Estimation of Related Party Transactions	Mgmt	For	For	For
10	2018 Estimation of Related Party Transactions	Mgmt	For	For	For
11	2018 ESTIMATED PROPRIETARY INVESTMENT QUOTA	Mgmt	For	For	For
12	Type	Mgmt	For	For	For
13	Issuing Scale	Mgmt	For	For	For
14	Issuing parties	Mgmt	For	For	For
15	Bond Duration	Mgmt	For	For	For
16	Interest Rate	Mgmt	For	For	For
17	Guarantee Arrangements	Mgmt	For	For	For
18	Purpose of The Raised Funds	Mgmt	For	For	For
19	Issuing Price	Mgmt	For	For	For
20	Issuing Target	Mgmt	For	For	For
21	Listing Matters	Mgmt	For	For	For
22	Repayment Guarantee Measures	Mgmt	For	For	For
23	The Valid Period of The Resolution	Mgmt	For	For	For
24	Authorization to Issue Domestic and Overseas Debt Financing Instruments	Mgmt	For	For	For
25	Elect YAO Weidong	Mgmt	For	Against	Against
26	Amendments to Articles	Mgmt	For	For	For

Huadong Medicine Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000963	CINS Y3740R102	06/01/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report and Its Summary	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2018 Estimation of Related Party Transactions	Mgmt	For	For	For
7	2018 Estimation of Related Party Transactions	Mgmt	For	For	For
8	2018 Estimation of Related Party Transactions	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	Against	Against
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Amendments to	Mgmt	For	For	For

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	Procedural Rules					
13	Acquisition of Medical Technology of Liraglutide	Mgmt	For	For	For	
14	Acquisition of a New Medicine Technology	Mgmt	For	For	For	

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Hualan Biological Engineering, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status			
002007	CINS Y3737H125	04/27/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report and Its Summary	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Investment in Wealth Management Products	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Authority to Give Guarantees	Mgmt	For	For	For	
9	Allocation of Profits/Dividends	Mgmt	For	For	For	

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Huaneng Power International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status			
0902	CINS Y3744A113	01/30/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Continuing Connected Transactions	Mgmt	For	For	For	
2	Acceptance of Guaranteed Loans	Mgmt	For	For	For	

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Huaneng Power International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status			
0902	CINS Y3744A113	05/03/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
6	Authority to Issue Domestic and/or	Mgmt	For	For	For	

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	Overseas Debt Instruments				
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
8	Extension of Validity Period of Resolutions on A Shares Issuance	Mgmt	For	For	For
9	Shareholders' Return Plan 2018-2020	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For

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Huatai Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
6886	CINS Y37426106	06/13/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Final Financial Report	Mgmt	For
4	Annual Report	Mgmt	For
5	Related Party Transactions (Jiangsu Guoxin Investment Group)	Mgmt	For
6	Related Party Transactions (Jiangsu Communications Holding Co. Ltd)	Mgmt	For
7	Related Party Transactions (Govtor Capital Group Co., Ltd.)	Mgmt	For
8	Related Party Transactions (Jiangsu SOHO Holdings Group Co., Ltd.)	Mgmt	For
9	Related Party Transactions (Other Parties)	Mgmt	For
10	2018 Proprietary Investment Budget	Mgmt	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For
12	Amendments to Procedural Rules for Related Party Transactions	Mgmt	For
13	Elect CHEN Zhibin	Mgmt	For
14	Allocation of Profits/Dividends	Mgmt	For
15	Amendments to Articles	Mgmt	For
16	Authority to Issue Shares w/o Preemptive	Mgmt	For

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17	Rights Extension of Validity Period of Non-public Issuance	Mgmt	For	For	For
18	Extension of Validity Period of Authorization	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Huatai Securities Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
6886	CINS Y37426106	12/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules	Mgmt	For	For	For
2	Independent Directors' Allowance	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
<hr/>					
Huayi Brothers Media Corp					
Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	05/07/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Approval of Guarantees Provided by the Related Entities	Mgmt	For	For	For
<hr/>					
Huayi Brothers Media Corp					
Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	06/28/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	The 2nd Phase Restricted Stock Incentive Plan(Draft) and Its Summary	Mgmt	For	For	For
2	Appraisal Management Measures for the 2nd Phase Restricted Stock Incentive Plan	Mgmt	For	For	For
3	Authorization to the Board to Handle Matters in Relation to the 2nd Phase Restricted Stock Incentive Plan	Mgmt	For	For	For
4	Approval for Line of	Mgmt	For	For	For

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5 Credit  
 Related Party Mgmt For For For  
 Transactions:  
 Provision of  
 Guarantee for  
 Comprehensive Credit  
 by De Facto  
 Controller and  
 Wholly-owned  
 Subsidiaries

Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
300027	CINS Y3743R109	01/12/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
300027	CINS Y3743R109	01/22/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Approval of Application of Loans by a Subsidiary	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
300027	CINS Y3743R109	02/05/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Approval of Comprehensive Credit Line	Mgmt	For	For	For
2	Authority to Receive Guarantees From Related Natural Person	Mgmt	For	For	For
3	Approval of Comprehensive Credit Line by a Wholly-Owned Subsidiary	Mgmt	For	For	For
4	Authority to Give Guarantees for Wholly-Owned Subsidiary	Mgmt	For	For	For
5	Approval of Foreign Currency-Denominated	Mgmt	For	For	For

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	Loans					
6	Approval of Credit Line to a Second Bank	Mgmt	For	For	For	
7	Authority to Receive Guarantees from Actual Controller	Mgmt	For	For	For	

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
300027	CINS Y3743R109	04/18/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Annual Report and Its Summary	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Related Party Transactions	Mgmt	For	For	For	

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
300027	CINS Y3743R109	08/07/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	For	For	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
300027	CINS Y3743R109	08/25/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Approval of Subsidiary's Application of Loan	Mgmt	For	For	For	
2	Approval of Application of Credit Quota	Mgmt	For	For	For	
3	Authority to Pledge for Loan Application	Mgmt	For	For	For	

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Huayi Brothers Media Corporation  
Ticker Security ID: Meeting Date Meeting Status  
300027 CINS Y3743R109 08/30/2017 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Zhongjun	Mgmt	For	For	For
2	Elect WANG Zhonglei	Mgmt	For	For	For
3	Elect YE Ning	Mgmt	For	For	For
4	Elect DING Qi	Mgmt	For	For	For
5	Elect LIU Xiaomei	Mgmt	For	For	For
6	Elect WANG Fuye	Mgmt	For	For	For
7	Elect WANG Liqun	Mgmt	For	For	For
8	Elect SHENG Xitai	Mgmt	For	For	For
9	Elect WANG Chaoqun	Mgmt	For	For	For
10	Elect WU Ying	Mgmt	For	Against	Against
11	Elect XUE Guizhi	Mgmt	For	For	For
12	Approval for Subsidiary to Launch Factoring Business	Mgmt	For	For	For

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Huayi Brothers Media Corporation  
Ticker Security ID: Meeting Date Meeting Status  
300027 CINS Y3743R109 09/27/2017 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Huayi Brothers Media Corporation  
Ticker Security ID: Meeting Date Meeting Status  
300027 CINS Y3743R109 11/29/2017 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Credit Line	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Huayi Brothers Media Corporation  
Ticker Security ID: Meeting Date Meeting Status  
300027 CINS Y3743R109 12/14/2017 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority for Subsidiary to Give Guarantees	Mgmt	For	For	For

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Huayi Brothers Media Corporation  
Ticker Security ID: Meeting Date Meeting Status

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300027	CINS Y3743R109	12/20/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Huayu Automotive Systems Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600741	CINS Y3750U102	06/27/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Authority to Give Guarantees to Huayu Kohl Schmidt Aluminum Technology Co., Ltd	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Appointment of Internal Control Auditor	Mgmt	For	For	For
12	Elect ZHU Rongen	Mgmt	For	For	For
13	Elect CHEN Hong	Mgmt	For	For	For
14	Elect CHEN Zhixin	Mgmt	For	For	For
15	Elect ZHANG Haitao	Mgmt	For	For	For
16	Elect ZHANG Weijiong	Mgmt	For	For	For
17	Elect YIN Yande	Mgmt	For	For	For
18	Elect ZHANG Jun	Mgmt	For	For	For
19	Elect SHAO Ruiqing	Mgmt	For	For	For
20	Elect ZHOU Langhui	Mgmt	For	Against	Against
21	Elect GAO Weiping	Mgmt	For	For	For

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Hubei Biocause Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000627	CINS Y3746X103	05/18/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of	Mgmt	For	For	For

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6	Profits/Dividends PAYMENT OF 2017 FINANCIAL REPORT AUDIT FEES AND INTERNAL CONTROL AUDIT FEES	Mgmt	For	For	For
7	Appointment of 2018 Financial Report Audit Firm and Internal Control Audit Firm	Mgmt	For	For	For
8	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
9	PURCHASE OF WEALTH MANAGEMENT PRODUCTS WITH IDLE PROPRIETARY FUNDS	Mgmt	For	For	For
10	CASH MANAGEMENT WITH PARTIAL IDLE RAISED FUNDS	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Elect SHEN Jianqiang as Supervisor	Mgmt	For	Against	Against
13	Related Party Transactions	Mgmt	For	For	For

Hubei Biocause Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000627	CINS Y3746X103	07/13/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Extension of Validity Period	Mgmt	For
			For
2	Extension of Board Authorization Period	Mgmt	For
			For

Hubei Biocause Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000627	CINS Y3746X103	11/23/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Articles	Mgmt	For
			For

Hunan TV and Broadcast Intermediary Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000917	CINS Y37672105	07/31/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Exemption from Commitments Relating to Horizontal	Mgmt	For
			For

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### Competition

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Hunan TV and Broadcast Intermediary Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000917	CINS Y37672105	10/30/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	For	For

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Hunan TV and Broadcast Intermediary Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000917	CINS Y37672105	11/23/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Approval of Financial Support	Mgmt	For	For	For

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Hundsun Technologies Inc., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600570	CINS Y3041V109	04/17/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Internal Control Self-evaluation Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

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Hundsun Technologies Inc., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600570	CINS Y3041V109	09/15/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For

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Hundsun Technologies Inc., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600570	CINS Y3041V109	11/14/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Capital Increase	Mgmt	For	For	For
3	Election of Directors	Mgmt	For	For	For

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Iflytek Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002230	CINS Y013A6101	04/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2017 Remuneration of Directors, Supervisors and Senior Management's Fees	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	Repurchase and Cancellation of Granted Restricted Stocks	Mgmt	For	For	For
11	Amendments to Use of Partial Raised Funds for Equity Acquisition	Mgmt	For	For	For

Iflytek Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002230	CINS Y013A6101	05/30/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
2	Eligibility for Private Placement	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Issuing Method	Mgmt	For	For	For
5	Issuing Targets	Mgmt	For	For	For
6	Issue Price, Pricing Principles and Pricing Base Date	Mgmt	For	For	For
7	Issuing Volume	Mgmt	For	For	For
8	Lockup Period	Mgmt	For	For	For
9	Listing Place	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Arrangement for the Accumulated Retained Profits	Mgmt	For	For	For

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12	Valid Period of the Resolution	Mgmt	For	For	For
13	Plan for the Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Board Authorization to Handle Matters Regarding the Private Placement	Mgmt	For	For	For
16	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For

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Industrial & Commercial Bank Of China

Ticker	Security ID:	Meeting Date	Meeting Status		
1398	CINS Y3990B104	11/29/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2016 Payment Plan of Remuneration to Directors and Supervisors	Mgmt	For	For	For
2	Elect Anthony Francis Neoh	Mgmt	For	For	For
3	Amendments to Articles and Rules of Procedure for Shareholders' General Meetings	Mgmt	For	For	For
4	Amendments to Articles and Rules of Procedure for Board of Directors	Mgmt	For	For	For
5	Amendments to Articles and Rules of Procedure for Board Supervisors	Mgmt	For	For	For

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Industrial & Commercial Bank of China Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1398	CINS Y3990B104	06/26/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Profit Distribution Plan 2017	Mgmt	For	For	For
5	2018 Fixed Asset Investment Plan	Mgmt	For	For	For
6	2018-2020 Capital	Mgmt	For	For	For

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	Planning of ICBC					
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
8	Elect CHENG Fengchao	Mgmt	For	Against	Against	
9	Amendments to Articles	Mgmt	For	For	For	

Industrial Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
601166	CINS Y3990D100	05/25/2018	Voted			
	Meeting Type	Country of Trade				
	Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Amendments to Articles	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	2017 EVALUATION REPORT ON PERFORMANCE OF DIRECTORS	Mgmt	For	For	For	
5	2017 EVALUATION REPORT ON PERFORMANCE OF SUPERVISORS	Mgmt	For	For	For	
6	2017 Evaluation Report of Supervisory Committee on Performance of Directors and Senior Management	Mgmt	For	For	For	
7	Elect YUAN Jun as Supervisor	Mgmt	For	Against	Against	
8	Annual Report	Mgmt	For	For	For	
9	2017 ANNUAL ACCOUNTS AND 2018 FINANCIAL BUDGET PLAN	Mgmt	For	For	For	
10	Allocation of Profits/Dividends	Mgmt	For	For	For	
11	Appointment of Auditor	Mgmt	For	For	For	
12	Authority to Issue Write-down-type Second Tier Capital Bonds	Mgmt	For	For	For	
13	Granting Connected Transactions Quota to a Company and Its Related Enterprises	Mgmt	For	For	For	
14	Additional Connected Transactions Quota to A 2nd Company and Its Related Enterprises	Mgmt	For	For	For	
15	Additional Connected Transactions Quota to A 3rd Company and Its Related Enterprises	Mgmt	For	For	For	
16	Additional Connected Transactions Quota to A 4th Company and Its	Mgmt	For	For	For	

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	Related Enterprises				
17	Additional Connected Transactions Quota to A 5th Company	Mgmt	For	For	For
18	The Company's eligibility for Non-public Offering of Domestic Preferred Shares	Mgmt	For	For	For
19	Type of Preferred Shares to Be Issued	Mgmt	For	For	For
20	Issuing Volume and Scale	Mgmt	For	For	For
21	Par Value and Issue Price	Mgmt	For	For	For
22	Issuing Method	Mgmt	For	For	For
23	Issuing Targets	Mgmt	For	For	For
24	Bond Duration	Mgmt	For	For	For
25	Dividend Distribution Clauses	Mgmt	For	For	For
26	Provisions on Compulsory Conversion	Mgmt	For	For	For
27	Conditional Redemption Clauses	Mgmt	For	For	For
28	Sequence for Liquidation Payment and Settlement Method	Mgmt	For	For	For
29	Restrictions on Voting Right	Mgmt	For	For	For
30	Restoration of Voting Right	Mgmt	For	For	For
31	Rating Arrangement	Mgmt	For	For	For
32	Guarantee Matters	Mgmt	For	For	For
33	Transfer Arrangement	Mgmt	For	For	For
34	Purpose of The Raised Funds	Mgmt	For	For	For
35	The Valid Period of The Resolution on The Share Offering	Mgmt	For	For	For
36	Authorization for The Issuance of Preferred Shares	Mgmt	For	For	For
37	Non-public Offering of Domestic Preferred Shares to Subordinate Companies of a Company	Mgmt	For	For	For
38	Conditional Agreement on Subscription for Preferred Shares to be Signed with Subordinate Companies	Mgmt	For	For	For
39	Report on Use of Previously Raised Funds	Mgmt	For	For	For

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40	MEDIUM-TERM CAPITAL MANAGEMENT PLAN FROM 2018 TO 2020	Mgmt	For	For	For
41	Medium-term Shareholder Returns Plan from 2018 to 2020	Mgmt	For	For	For
42	Diluted Immediate Returns After Issuance of Domestic Preferred Shares and Filing Measures	Mgmt	For	For	For

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Industrial Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601377	CINS Y3994T101	01/12/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Issuing Method	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Issuing Volume	Mgmt	For	For	For
6	Issue Price and Pricing Principle	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-Up Period	Mgmt	For	For	For
9	Accumulated Retained Profits Arrangement	Mgmt	For	For	For
10	Listing Place	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Preplan for Private Placement	Mgmt	For	For	For
13	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
16	Conditional Share Subscription Agreement with a Controlling Shareholder	Mgmt	For	For	For
17	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For

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18	Board Authorization to Implement Private Placement	Mgmt	For	For	For
19	Elect LIU Hongzhong as Independent Director	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For

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### Industrial Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601377	CINS Y3994T101	06/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	CHANGE OF ACCOUNTING POLICIES	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	2018 SECURITIES INVESTMENT SCALE	Mgmt	For	For	For
9	AUTHORIZATION FOR DOMESTIC DEBT FINANCING SCALE	Mgmt	For	Abstain	Against
10	Report on Use of Previously Raised Funds	Mgmt	For	For	For
11	Shareholder Returns Plan	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	ADJUSTMENT OF ALLOWANCE STANDARDS FOR INDEPENDENT DIRECTORS	Mgmt	For	Abstain	Against

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### Industrial Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601377	CINS Y3994T101	11/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YANG Huahui	Mgmt	For	For	For
2	Elect LIU Zhihui	Mgmt	For	For	For
3	Elect XIA Jinliang	Mgmt	For	For	For
4	Elect GENG Yong	Mgmt	For	For	For
5	Elect WANG Fei	Mgmt	For	Against	Against
6	Elect CAI Lvshui	Mgmt	For	For	For
7	Elect ZHU Ning	Mgmt	For	For	For
8	Elect SUN Zheng	Mgmt	For	For	For
9	Elect WU Shinong	Mgmt	For	For	For
10	Elect WANG Renqu	Mgmt	For	Against	Against
11	Elect CAO Genxing	Mgmt	For	Against	Against
12	Elect ZHUANG Zhanjian	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For

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Inner Mongolia Baotou Steel Union Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600010	CINS Y4084T100	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget Plan	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	STATEMENT ON FULFILLMENT OF PERFORMANCE COMMITMENTS REGARDING A PROJECT	Mgmt	For	For	For
9	Related Party Transactions: A Rare Mineral Supply Contract	Mgmt	For	Abstain	Against
10	Appointment of Auditor	Mgmt	For	For	For
11	Work Report of Independent Directors	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Adjustment of Lead Underwriter and Issuance Plan for Medium-Term Notes	Mgmt	For	For	For
14	Non-Public A-Share Offering	Mgmt	For	For	For
15	PREPLAN FOR NON-PUBLIC A-SHARE OFFERING	Mgmt	For	For	For
16	Feasibility Analysis Report of Proceeds	Mgmt	For	For	For
17	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
18	Related Party Transactions	Mgmt	For	For	For
19	Conditional Non-Public Share Subscription Agreement	Mgmt	For	For	For
20	Exemption of Tender Offer Obligation	Mgmt	For	For	For
21	Ratification of Board Acts	Mgmt	For	For	For
22	Statement on Use of Raised Funds	Mgmt	For	For	For
23	Elect XING Liguang	Mgmt	For	Against	Against

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24	Elect ZHANG Weijiang	Mgmt	For	Against	Against
Inner Mongolia Baotou Steel Union Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600010	CINS Y4084T100	08/14/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For
5	Bond Type and Duration	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Guarantee Arrangement	Mgmt	For	For	For
8	Use of Raised Funds	Mgmt	For	For	For
9	Method of Issuance	Mgmt	For	For	For
10	Resale Clause	Mgmt	For	For	For
11	Issuance Targets	Mgmt	For	For	For
12	Underwriting Method	Mgmt	For	For	For
13	Listing Market	Mgmt	For	For	For
14	Credit and Guarantee Measures	Mgmt	For	For	For
15	Validity Period	Mgmt	For	For	For
16	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
17	Authority to Issue Debt Instruments	Mgmt	For	For	For
18	ISSUANCE OF MEDIUM-TERM NOTES	Mgmt	For	For	For
19	Issuance of Overseas Bonds	Mgmt	For	For	For
20	Related Party Transactions	Mgmt	For	For	For
21	Election of Directors	Mgmt	For	For	For
Inner Mongolia Baotou Steel Union Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600010	CINS Y4084T100	11/23/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Signing of Supply Contract	Mgmt	For	For	For
Inner Mongolia Junzheng Energy and Chemical Industry Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601216	CINS Y40887104	01/03/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give	Mgmt	For	For	For

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2	Guarantees Financial Aid	Mgmt	For	For	For
Inner Mongolia Junzheng Energy and Chemical Industry Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601216	CINS Y40887104	06/25/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Procedural Rules Governing Shareholders' general meetings	Mgmt	For	Abstain	Against
10	AMENDMENTS TO THE IMPLEMENTING RULES FOR CUMULATIVE VOTING SYSTEM	Mgmt	For	Abstain	Against
11	2018 ESTIMATED GUARANTEE QUOTA	Mgmt	For	For	For
Inner Mongolia Junzheng Energy and Chemical Industry Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601216	CINS Y40887104	07/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Debt Instruments	Mgmt	For	For	For
Inner Mongolia Yili Industrial Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600887	CINS Y408DG116	05/31/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Business Policies and Investment Plan	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Independent Directors' Report	Mgmt	For	For	For

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8	Authority to Give Guarantees	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Cancellation of Some Stock Options, and Repurchase and Cancellation of Some Restricted Stocks	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Inner Mongolia Yili Industrial Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600887	CINS Y408DG116	09/11/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHAO Chengxia as Director	Mgmt	For	For	For
2	Approve Write-off of Partial Stock Incentive Option and Repurchase of Shares	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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Inner Mongolian Baotou Steel Union Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600010	ISIN CNE0000017H1	03/16/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	MGMT	For	For	For
2	Misc. Management Proposal	MGMT	For	For	For
3	Approval of Borrowing	MGMT	For	For	For
4.1	Election of Directors	MGMT	For	For	For
4.2	Election of Directors	MGMT	For	For	For
4.3	Election of Directors	MGMT	For	For	For
4.4	Election of Directors	MGMT	For	For	For
4.5	Election of Directors	MGMT	For	For	For
4.6	Election of Directors	MGMT	For	For	For

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Inspur Electronic Information Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000977	CINS Y51704107	09/08/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Registered Capital of the Company	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Investment in Wealth Management Products	Mgmt	For	For	For
4	Investment in	Mgmt	For	For	For

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Repurchase of  
T-Bonds

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Inspur Electronic Information Industry Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
000977	CINS Y51704107	11/03/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect PENG Zhen as Director	Mgmt	For	For	For	

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Jiangsu Bicon Pharmaceutical Listed Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
002411	CINS Y4441M103	01/18/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Receive Guarantees	Mgmt	For	For	For	
2	Amendments to Authority to Issue Bonds	Mgmt	For	For	For	
3	Related Party Transactions	Mgmt	For	For	For	
4	Amendments to Authority to Issue Notes	Mgmt	For	For	For	
5	Authority to Give Guarantees	Mgmt	For	For	For	

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Jiangsu Bicon Pharmaceutical Listed Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
002411	CINS Y4441M103	02/22/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Replenish Working Capital with Idle Funds	Mgmt	For	For	For	

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Jiangsu Bicon Pharmaceutical Listed Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
002411	CINS Y4441M103	03/08/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Equity Transfer Agreement	Mgmt	For	For	For	
2	Election of Supervisors	Mgmt	For	Against	Against	
3	Election of Directors	Mgmt	For	For	For	

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Jiangsu Bicon Pharmaceutical Listed Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
002411	CINS Y4441M103	05/11/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval for Line of Credit	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For

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Jiangsu Bicon Pharmaceutical Listed Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
002411	CINS Y4441M103	06/28/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of an Intra-company Framework Agreement	Mgmt	For	For	For
2	Report on the Impairment Test of the Underlying Assets of the Major Assets Restructuring	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

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Jiangsu Bicon Pharmaceutical Listed Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
002411	CINS Y4441M103	08/24/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Wealth Management Products	Mgmt	For	For	For
2	Authority to Issue Medium-Term Notes	Mgmt	For	For	For
3	Board Authorization to Implement Issuance of Medium-Term Notes	Mgmt	For	For	For
4	Authority to Give Guarantees to Subsidiary for Issuance of Overseas Bonds	Mgmt	For	For	For
5	Board Authorization to Implement Issuance of Overseas Bonds	Mgmt	For	For	For

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Jiangsu Bicon Pharmaceutical Listed Company					
Ticker	Security ID:	Meeting Date		Meeting Status	

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002411	CINS Y4441M103	09/12/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Constitution of Procedural Rules: Management System for Major Operation and Investment Decision Making	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Authority to Give Guarantees to Wholly-owned Subsidiaries	Mgmt	For	For	For

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Jiangsu Bicon Pharmaceutical Listed Company					
Ticker Security ID:		Meeting Date		Meeting Status	
002411 CINS Y4441M103		09/28/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in a Fund	Mgmt	For	For	For

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Jiangsu Bicon Pharmaceutical Listed Company					
Ticker Security ID:		Meeting Date		Meeting Status	
002411 CINS Y4441M103		11/17/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Buyout Fund	Mgmt	For	For	For
2	Eligibility for Private Placement of Corporate Bond	Mgmt	For	For	For
3	Issue Size	Mgmt	For	For	For
4	Interest Rate	Mgmt	For	For	For
5	Bond Type and Duration	Mgmt	For	For	For
6	Repayment of Principal and Interest	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Issuance Targets and Method	Mgmt	For	For	For
9	Listing Place	Mgmt	For	For	For
10	Valid Period of Resolution	Mgmt	For	For	For
11	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For

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Jiangsu Broadcasting Cable Information Network Corporation					
Ticker Security ID:		Meeting Date		Meeting Status	
600959 ISIN CNE1000022G1		02/28/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Authorization of Legal Formalities	MGMT	For	For	For
2.1	Merger/Acquisition	MGMT	For	For	For
2.2	Merger/Acquisition	MGMT	For	For	For
2.3	Merger/Acquisition	MGMT	For	For	For
2.4	Merger/Acquisition	MGMT	For	For	For
2.5	Merger/Acquisition	MGMT	For	For	For
2.6	Merger/Acquisition	MGMT	For	For	For
2.7	Merger/Acquisition	MGMT	For	For	For
2.8	Merger/Acquisition	MGMT	For	For	For
2.9	Merger/Acquisition	MGMT	For	For	For
2.10	Merger/Acquisition	MGMT	For	For	For
2.11	Merger/Acquisition	MGMT	For	For	For
2.12	Merger/Acquisition	MGMT	For	For	For
2.13	Merger/Acquisition	MGMT	For	For	For
2.14	Merger/Acquisition	MGMT	For	For	For
2.15	Merger/Acquisition	MGMT	For	For	For
2.16	Merger/Acquisition	MGMT	For	For	For
2.17	Merger/Acquisition	MGMT	For	For	For
2.18	Merger/Acquisition	MGMT	For	For	For
3	Adjustment to Plan	MGMT	For	For	For
4	Merger/Acquisition	MGMT	For	For	For
5	Merger/Acquisition	MGMT	For	For	For
6	Merger/Acquisition	MGMT	For	For	For
7	Merger/Acquisition	MGMT	For	For	For
8	Merger/Acquisition	MGMT	For	For	For
9	Merger/Acquisition	MGMT	For	For	For
10	Merger/Acquisition	MGMT	For	For	For
11	Merger/Acquisition	MGMT	For	For	For
12	Merger/Acquisition	MGMT	For	For	For
13	Authorization of Legal Formalities	MGMT	For	For	For
14	Authorization of Legal Formalities	MGMT	For	For	For
15	Allocation of Profits/Dividends	MGMT	For	For	For

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Jiangsu Broadcasting Cable Information Network Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
600959	CINS Y4S3B1104	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2017 Directors' Report	Mgmt	For	For	For
2	2017 Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Fixed Assets Investment Project Budget Plan	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Directors' Report	Mgmt	For	For	For
10	Supervisors' Report	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For

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12	Elect Gu Hande	Mgmt	For	For	For
13	Elect Li Sheng	Mgmt	For	For	For
14	Elect LIAO Xiaotong	Mgmt	For	For	For
15	Elect WANG Guozhong	Mgmt	For	For	For
16	Elect LU Yufang	Mgmt	For	For	For
17	Elect GUO Wang	Mgmt	For	For	For
18	Elect WAN Yongliang	Mgmt	For	For	For
19	Elect Dai Weiyang	Mgmt	For	For	For
20	Elect WANG Zhongze	Mgmt	For	For	For
21	Elect Gao Lanjun	Mgmt	For	For	For
22	Elect LI Hongbin	Mgmt	For	For	For
23	Elect HAN Xiaomei	Mgmt	For	For	For
24	Elect Ding Hegen	Mgmt	For	For	For
25	Elect Shen Yongming	Mgmt	For	For	For
26	Elect Geng Qiang	Mgmt	For	For	For
27	Elect GAO Shunqing	Mgmt	For	Against	Against
28	Elect XIAO Junfen	Mgmt	For	Against	Against
29	Elect ZHANG Bing	Mgmt	For	Against	Against
30	Elect LU Zhiqun	Mgmt	For	For	For

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Jiangsu Guoxin Corp. Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
002608	CINS Y7440C109	04/20/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Extension of Validity Period of Resolution on Non-public Share Issuance	Mgmt	For	For	For	
2	Ratification of Board Acts	Mgmt	For	For	For	

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Jiangsu Guoxin Corp. Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
002608	CINS Y7440C109	05/18/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Appointment of Auditor	Mgmt	For	Against	Against	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Related Party Transactions	Mgmt	For	For	For	
8	Independent Directors' Fees	Mgmt	For	For	For	

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Jiangsu Guoxin Corp. Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
002608	CINS Y7440C109	06/29/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Increase of Registered Capital and	Mgmt	For	For	For	

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	Amendments to Articles				
2	Elect LIN Yude as Director	Mgmt	For	For	For
3	2018 ESTIMATED ADDITIONAL CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
4	CONNECTED TRANSACTION REGARDING PURCHASE OF ASSETS BY A COMPANY	Mgmt	For	For	For
5	Amendments to the Management Measures on Use of Raised Funds	Mgmt	For	For	For

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Jiangsu Guoxin Corp. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002608	CINS Y7440C109	12/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect PU Baoying as Director	Mgmt	For	For	For
2	Elect CHEN Ning as Supervisor	Mgmt	For	Against	Against
3	Amendments to Articles	Mgmt	For	For	For
4	Constitution of Procedural Rules: Dividend Management System	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For
6	External Financial Aid Estimation	Mgmt	For	For	For
7	Trust Investment Plan for a Company	Mgmt	For	For	For
8	Securities Investment Plan	Mgmt	For	For	For

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Jiangsu Hengrui Medicine Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600276	CINS Y4446S105	05/09/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For

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Jiangsu Hengrui Medicine Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600276	CINS Y4446S105	11/23/2017	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Approval of Restricted Stock Plan	Mgmt	For	For	For	For
3	Constitution of Procedural Rules: Implementation of Restricted Stock Incentive Plan	Mgmt	For	For	For	For
4	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For	For
5	Elect LIANG Hongjun	Mgmt	For	For	For	For

Jiangsu Yanghe Brewery Joint-Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002304	CINS Y444AE101	01/29/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Amendments to Articles	Mgmt	For	For	For	For
2	Elect WANG Yao	Mgmt	For	For	For	For
3	Elect ZHONG Yu	Mgmt	For	For	For	For
4	Elect HAN Feng	Mgmt	For	For	For	For
5	Elect WANG Kai	Mgmt	For	For	For	For
6	Elect CONG Xuenian	Mgmt	For	For	For	For
7	Elect ZHOU Xihu	Mgmt	For	For	For	For
8	Elect LIU Huashuang	Mgmt	For	For	For	For
9	Elect XU Zhijian	Mgmt	For	For	For	For
10	Elect CAI Yunqing	Mgmt	For	For	For	For
11	Elect JI Xueqing	Mgmt	For	For	For	For
12	Elect CHEN Tongguang	Mgmt	For	For	For	For
13	Elect CHEN Yiqin	Mgmt	For	Against	Against	Against
14	Elect ZHOU Wenqi	Mgmt	For	Against	Against	Against
15	Elect CHEN Taisong	Mgmt	For	For	For	For

Jiangsu Yanghe Brewery Joint-Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002304	CINS Y444AE101	05/23/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	For
2	Supervisors' Report	Mgmt	For	For	For	For
3	Annual Report	Mgmt	For	For	For	For
4	Accounts and Reports	Mgmt	For	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For	For

Jiangsu Zhangjiagang Rural Commercial Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002839	CINS Y444RL107	04/13/2018	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2017 PERFORMANCE EVALUATION REPORT ON DIRECTORS AND SENIOR MANAGEMENT	Mgmt	For	Abstain	Against
7	2017 PERFORMANCE EVALUATION REPORT OF THE SUPERVISORY COMMITTEE ON DIRECTORS, MANAGEMENT TEAM AND SENIOR MANAGEMENT	Mgmt	For	Abstain	Against
8	2017 SPECIAL REPORT ON CONNECTED TRANSACTIONS	Mgmt	For	Abstain	Against
9	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
10	Strategic Plan from 2018 to 2020	Mgmt	For	Abstain	Against
11	Amendments to Articles	Mgmt	For	For	For
12	Amendments to Remuneration Management Measures for Directors and Supervisors	Mgmt	For	Abstain	Against
13	Appointment of Auditor	Mgmt	For	For	For
14	Amendments to Equity Management Measures	Mgmt	For	Abstain	Against
15	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For

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Jiangsu Zhangjiagang Rural Commercial Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002839	CINS Y444RL107	09/08/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Type of Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Par Value And Issue Price	Mgmt	For	For	For
4	Bond Duration	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Time and Method of Interest Payment	Mgmt	For	For	For
7	Conversion Period	Mgmt	For	For	For
8	Determination and Adjustment of	Mgmt	For	For	For

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	Conversion Price				
9	Downward Adjustment Clauses	Mgmt	For	For	For
10	Size of Converted Shares and Its Determining Method	Mgmt	For	For	For
11	Attribution of Dividends for Conversion Years	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Issuance Targets and Method	Mgmt	For	For	For
15	Arrangement for Placement to Shareholders	Mgmt	For	For	For
16	Bondholder Meetings	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Guarantee Matters	Mgmt	For	For	For
19	Validity of Resolution	Mgmt	For	For	For
20	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
21	Approval of Dilution Measures for Bond Issuance	Mgmt	For	For	For
22	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
23	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
24	Formulation of Capital Management Plan From 2017 to 2019	Mgmt	For	For	For
25	Formulation of System for External Supervisors	Mgmt	For	For	For

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Jiangsu Zhongda New Mat					
Ticker	Security ID:	Meeting Date	Meeting Status		
002211	ISIN CNE000000RC6	07/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	PROPOSAL ON OVERSEAS ISSUANCE OF BONDS BY QIANLI VOYAGE COMPANY LIMITED	MGMT	For	For	For
2	PROPOSAL TO PROVIDE GUARANTEES FOR QIANLI VOYAGE COMPANY LIMITED IN RESPECT OF OVERSEAS ISSUANCE OF BONDS	MGMT	For	For	For
3	PROPOSAL TO REQUEST THE GENERAL MEETING	MGMT	For	For	For

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OF SHAREHOLDERS TO  
FULLY AUTHORIZE THE  
BOARD OF DIRECTORS TO  
HANDLE SPECIFIC  
MATTERS RELATED TO  
THE OVERSEAS ISSUANCE  
OF  
BONDS

4	PROPOSAL FOR THE COMPANY TO APPLY FOR THE AMOUNT OF FINANCING FOR 2017	MGMT	For	For	For
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Jiangsu Zhongda New Mat

Ticker	Security ID:	Meeting Date	Meeting Status		
002211	ISIN CNE000000RC6	08/21/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	CHANGE OF SOME CONTENTS OF PROJECTS FINANCED WITH RAISED FUNDS	MGMT	For	For	For

Jiangsu Zhongda New Mat

Ticker	Security ID:	Meeting Date	Meeting Status		
002211	ISIN CNE000000RC6	09/01/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	ELECTION OF DIRECTORS	MGMT	For	For	For

Jiangsu Zhongda New Mat

Ticker	Security ID:	Meeting Date	Meeting Status		
002211	ISIN CNE000000RC6	12/20/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	PROPOSAL TO AMEND THE ARTICLES OF ASSOCIATION OF THE COMPANY	MGMT	For	For	For
2	PROPOSAL ON THE REVISION OF THE COMPANY S EXTERNAL INVESTMENT MANAGEMENT RULES	MGMT	For	For	For

Jiangsu Zhongnan Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000961	CINS Y4451G103	01/23/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Authority to Give Guarantees	Mgmt	For	Against	Against

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Jiangsu Zhongnan Construction Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000961	CINS Y4451G103	03/13/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Volume and Issuance Method	Mgmt	For	For	For
3	Interest Rate and Determination Method	Mgmt	For	For	For
4	Bond Duration, Payment Method and Other Arrangements	Mgmt	For	For	For
5	Issuance Targets	Mgmt	For	For	For
6	Guarantee	Mgmt	For	For	For
7	Redemption or Resale Provision	Mgmt	For	For	For
8	Underwriting Method	Mgmt	For	For	For
9	Listing Arrangement	Mgmt	For	For	For
10	Raised Fund	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
13	Board Authorization to Respond in Case of Default on Payment	Mgmt	For	For	For
14	Authority to Give Guarantees	Mgmt	For	For	For

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Jiangsu Zhongnan Construction Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000961	CINS Y4451G103	04/12/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Issue Asset-Backed Notes	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Issue Corporate Bonds with Adjusted Issue Size	Mgmt	For	For	For

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Jiangsu Zhongnan Construction Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000961	CINS Y4451G103	04/24/2018	Voted		
Meeting Type	Country of Trade				
Special	China				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

Jiangsu Zhongnan Construction Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
000961 CINS Y4451G103 05/18/2018 Voted  
Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Elect LIU Tian	Mgmt	For	For	For
9	Elect SUN Sanyou	Mgmt	For	For	For
10	Elect XIN QI	Mgmt	For	For	For
11	Elect QIAN Jun	Mgmt	For	Against	Against
12	Directors' Fees	Mgmt	For	For	For
13	Supervisors' Fees	Mgmt	For	For	For
14	Related Party Transactions	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Give Guarantees	Mgmt	For	Against	Against
17	Supervisors' Report	Mgmt	For	For	For

Jiangsu Zhongnan Construction Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
000961 CINS Y4451G103 06/08/2018 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Additional Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Approval of Asset-Backed Special Plan for House Purchase Payment	Mgmt	For	For	For

Jiangsu Zhongnan Construction Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
000961 CINS Y4451G103 08/01/2017 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Issuance Principals	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Bond Duration	Mgmt	For	For	For
7	Interest Rate	Mgmt	For	For	For
8	Issuance Targets	Mgmt	For	For	For
9	Purpose of Raised Funds	Mgmt	For	For	For
10	Method of Issuance	Mgmt	For	For	For
11	Credit Enhancing Measures	Mgmt	For	For	For
12	Listing Measures	Mgmt	For	For	For
13	Validity Period	Mgmt	For	For	For
14	Authorization for Credit Issuance	Mgmt	For	For	For

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Jiangsu Zhongnan Construction Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000961	CINS Y4451G103	09/12/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Debt Restructuring and Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees for A Related Enterprise	Mgmt	For	Against	Against
3	Factoring Financing and Authority to Give Guarantees	Mgmt	For	Against	Against
4	Additional Authority to Give Guarantees For Loans of Subsidiaries	Mgmt	For	Against	Against
5	Elect ZHANG Liang as Supervisor	Mgmt	For	Against	Against

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Jiangsu Zhongnan Construction Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000961	CINS Y4451G103	09/21/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Placement of Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Bond Duration	Mgmt	For	For	For
4	Interest Rate and Determination Method	Mgmt	For	For	For
5	Method of Issuance	Mgmt	For	For	For
6	Issuance Targets	Mgmt	For	For	For

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7	Purpose of Raised Funds	Mgmt	For	For	For
8	Redemption or Resale Provisions	Mgmt	For	For	For
9	Underwriting Method	Mgmt	For	For	For
10	Transfer of Bonds	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
13	Board Authorization to Take Measures for Principal Repayment	Mgmt	For	For	For

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Jiangsu Zhongnan Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000961	CINS Y4451G103	10/10/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
1	Authority to Give Guarantees	Mgmt	For
			Vote Cast
			Against
			For/Against Mgmt
			Against

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Jiangsu Zhongnan Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000961	CINS Y4451G103	11/14/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
1	Authority to Give Guarantees	Mgmt	For
			Vote Cast
			Against
			For/Against Mgmt
			Against

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Jiangsu Zhongnan Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000961	CINS Y4451G103	12/15/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
1	Authority to Give Guarantees For Affiliated Entities	Mgmt	For
			Vote Cast
			Against
			For/Against Mgmt
			Against
2	Authority to Give Additional Guarantees for Loans of Subsidiaries	Mgmt	For
			Vote Cast
			Against
			For/Against Mgmt
			Against

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Jiangsu Zhongtian Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600522	CINS Y444E8100	05/16/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
1	Director's Report	Mgmt	For
			Vote Cast
			For
			For/Against Mgmt
			For
2	Supervisors' Report	Mgmt	For
			Vote Cast
			For
			For/Against Mgmt
			For
3	Annual Report	Mgmt	For
			Vote Cast
			For
			For/Against Mgmt
			For
4	Summary of Annual	Mgmt	For
			Vote Cast
			For
			For/Against Mgmt
			For

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	Report				
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Accounts and Reports	Mgmt	For	For	For
9	Allocation of Profits/Dividends	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For
13	Authority to Give Guarantees	Mgmt	For	For	For
14	Authorization of Legal Formalities	Mgmt	For	For	For
15	Type	Mgmt	For	For	For
16	Issuing Scale	Mgmt	For	For	For
17	Par Value and Issue Price	Mgmt	For	For	For
18	Bond Duration	Mgmt	For	For	For
19	Interest Rate	Mgmt	For	For	For
20	Time and Method for Paying the Principal and Interest	Mgmt	For	For	For
21	Guarantee Matters	Mgmt	For	For	For
22	Conversion Period	Mgmt	For	For	For
23	Determining Method for the Number of Converted Shares	Mgmt	For	For	For
24	Determination and Adjustment of the Conversion Price	Mgmt	For	For	For
25	Downward Adjustment of Conversion Price	Mgmt	For	For	For
26	Redemption Clauses	Mgmt	For	For	For
27	Resale Clauses	Mgmt	For	For	For
28	Attribution of Related Dividends for Conversion Years	Mgmt	For	For	For
29	Issuing Targets and Method	Mgmt	For	For	For
30	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
31	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
32	Purpose of the Raised Funds	Mgmt	For	For	For
33	Management of Raised Funds and Its Deposit Account	Mgmt	For	For	For

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34	The Valid Period of the Resolution on the Public Issuance of Convertible Bonds	Mgmt	For	For	For
35	Preplan of Issuance of Convertible Bonds	Mgmt	For	For	For
36	Feasibility Analysis Report on Use of Proceeds	Mgmt	For	For	For
37	Report on the Use of Previously Raised Funds for 2014-2017 Fiscal Year	Mgmt	For	For	For
38	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
39	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
40	Ratification of Board Acts	Mgmt	For	For	For
41	Constitution of Procedural Rules	Mgmt	For	For	For
42	CHANGE OF SOME PROJECTS FINANCED WITH RAISED FUNDS	Mgmt	For	For	For
43	Authority to Issue Super Short-term Commercial Papers	Mgmt	For	For	For
44	Change of the Company's Business Scope	Mgmt	For	For	For
45	Amendments to Articles: Article 13	Mgmt	For	For	For
46	Amendments to Articles: Party Building	Mgmt	For	For	For

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### Jiangsu Zhongtian Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600522	CINS Y444E8100	09/26/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Change of Projects	Mgmt	For	For	For

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### Jiangxi Copper Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
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600362	CINS Y4446C118	06/12/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Authority to Issue USD-denominated bonds	Mgmt	For	For	For
9	Elect LONG Ziping	Mgmt	For	Against	Against
10	Elect WU Yuneng	Mgmt	For	For	For
11	Elect WANG Bo	Mgmt	For	For	For
12	Elect WU Jinxing	Mgmt	For	For	For
13	Elect GAO Jianmin	Mgmt	For	For	For
14	Elect LIANG Qing	Mgmt	For	For	For
15	Elect DONG Jiahui	Mgmt	For	For	For
16	Elect TU Shutian	Mgmt	For	For	For
17	Elect LIU Erh Fei	Mgmt	For	For	For
18	Elect ZHOU Donghua	Mgmt	For	For	For
19	Elect LIU Xike	Mgmt	For	For	For
20	Elect HU Qingwen	Mgmt	For	For	For
21	Elect ZHANG Jianhua	Mgmt	For	Against	Against
22	Elect LIAO Shengsen	Mgmt	For	Against	Against

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Jiangxi Copper Company Limited					
Ticker Security ID:		Meeting Date		Meeting Status	
0358 CINS Y4446C118		12/28/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Consolidated Supply and Services Agreement I	Mgmt	For	For	For
2	Consolidated Supply and Services Agreement II	Mgmt	For	For	For
3	Land Leasing Agreement	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

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Jiangxi Ganfeng Lithium Co., Ltd.					
Ticker Security ID:		Meeting Date		Meeting Status	
002460 CINS Y444B3104		01/15/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Issuance and Listing of H Shares	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Issuance Date	Mgmt	For	For	For
5	Method of Issuance	Mgmt	For	For	For
6	Issuance Volume	Mgmt	For	For	For
7	Pricing Method	Mgmt	For	For	For
8	Issuance Targets	Mgmt	For	For	For
9	Offering Principles	Mgmt	For	For	For
10	Company Conversion	Mgmt	For	For	For
11	Report on Previously Raised Funds	Mgmt	For	For	For
12	Plan for Fund Usage	Mgmt	For	For	For
13	Validity Period	Mgmt	For	For	For
14	Board Authorization	Mgmt	For	For	For
15	Allocation of Profits/Dividends	Mgmt	For	For	For
16	Election of Directors	Mgmt	For	For	For
17	Indemnification of Directors/Officers	Mgmt	For	For	For
18	Increase in Authorized Capital	Mgmt	For	For	For
19	Amendments to Articles	Mgmt	For	For	For
20	Amendments to Articles [Bundled]	Mgmt	For	For	For
21	Amendments to Procedural Rules	Mgmt	For	For	For
22	Amendments to Procedural Rules	Mgmt	For	For	For
23	Amendments to Procedural Rules	Mgmt	For	For	For
24	Amendments to Procedural Rules	Mgmt	For	For	For

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Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002460	CINS Y444B3104	01/24/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	INVESTMENT IN WEALTH MANAGEMENT PRODUCTS WITH PARTIAL IDLE RAISED FUNDS	Mgmt	For
			For
2	Share Repurchase	Mgmt	For
			For
3	Increase in Authorized Capital	Mgmt	For
			For
4	Amendments to Articles	Mgmt	For
			For

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Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002460	CINS Y444B3104	05/02/2018	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Directors' and Supervisors' Fees	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Investment in Wealth Management Products	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	06/11/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Line of Credit	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	12/22/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of a Subsidiary	Mgmt	For	For	For
2	Project Appraisal and Incentive Plan for Subsidiary	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

Jihua Group Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601718	CINS Y444B4102	03/15/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Corporate Bonds	Mgmt	For	For	For
2	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For
3	Authority to Issue Super and Short-term Commercial	Mgmt	For	For	For

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4 Paper  
Board Authorization Mgmt For For For  
for Issuance of Super  
and Short-term  
Commercial  
Paper

Jihua Group Corporation Limited

Ticker Security ID: Meeting Date Meeting Status  
601718 CINS Y444B4102 06/28/2018 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For

Jihua Group Corporation Limited

Ticker Security ID: Meeting Date Meeting Status  
601718 CINS Y444B4102 09/14/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules: Shareholders General Meeting	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For

Jihua Group Corporation Limited

Ticker Security ID: Meeting Date Meeting Status  
601718 CINS Y444B4102 12/29/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect GAI Zhixin	Mgmt	For	For	For
3	Elect GUO Shijin	Mgmt	For	For	For
4	Elect GAO Yawei	Mgmt	For	For	For
5	Elect YANG Dajun	Mgmt	For	For	For
6	Elect LI Yiling	Mgmt	For	For	For
7	Elect YUAN Haili	Mgmt	For	For	For
8	Elect ZU Guodan	Mgmt	For	For	For
9	Elect XING Dongmei	Mgmt	For	For	For
10	Elect WANG Bin	Mgmt	For	Against	Against
11	Elect LI Chuanwei	Mgmt	For	For	For
12	Elect YAN Xingmin	Mgmt	For	Against	Against
13	Elect LI Zhunxi	Mgmt	For	Against	Against

Jilin Aodong Medicine Industry Group Co., Ltd

Ticker Security ID: Meeting Date Meeting Status  
000623 CINS Y4451E108 04/24/2018 Voted

Meeting Type Country of Trade  
Annual China

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Amendments to Procedural Rules	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For

Jilin Aodong Medicine Industry Group Co., Ltd

Ticker Security ID: Meeting Date Meeting Status  
000623 CINS Y4451E108 05/30/2018 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

Jilin Aodong Medicine Industry Group Co., Ltd

Ticker Security ID: Meeting Date Meeting Status  
000623 CINS Y4451E108 07/17/2017 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI XIULIN	Mgmt	For	For	For
2	Elect GUO SHUQIN	Mgmt	For	For	For
3	Elect YANG KAI	Mgmt	For	For	For
4	Elect YING GANG	Mgmt	For	For	For
5	Elect LV GUIXIA	Mgmt	For	For	For
6	Elect SUN MAOCHENG	Mgmt	For	For	For
7	Elect BI YAN	Mgmt	For	For	For
8	Elect CHEN YONGFENG	Mgmt	For	Against	Against
9	Elect XIU GANG	Mgmt	For	Against	Against
10	Elect SUN YUJU	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against
13	Amendments to Procedural Rules for Board Meetings	Mgmt	For	Abstain	Against
14	Amendments to Procedural Rules for Supervisory Board Meetings	Mgmt	For	Abstain	Against
15	Amendments to Work Rules for Independent Directors	Mgmt	For	Abstain	Against
16	Formulation of	Mgmt	For	For	For

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17	Management System for Guarantees Formulation of Management System for Related Party Transactions	Mgmt	For	For	For
18	Formulation of Management System for External Investments	Mgmt	For	For	For

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Jilin Aodong Medicine Industry Group Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
000623	CINS Y4451E108	09/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
2	Type of Securities	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Par Value and Issuing Price	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Time Limit and Method of Paying Interests	Mgmt	For	For	For
8	Guarantee Matters	Mgmt	For	For	For
9	Conversion Period	Mgmt	For	For	For
10	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
11	Adjustment Clauses on Conversion Price	Mgmt	For	For	For
12	Determination Method for amount of Converted Shares and Non-Conversion Treatment	Mgmt	For	For	For
13	Redemption Clauses	Mgmt	For	For	For
14	Resale Clauses	Mgmt	For	For	For
15	Attribution of Related Dividends	Mgmt	For	For	For
16	Issuance Targets and Method	Mgmt	For	For	For
17	Arrangement for Placement to original Shareholders	Mgmt	For	For	For
18	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
19	Purpose of Raised Funds	Mgmt	For	For	For
20	Depository of Raised Funds	Mgmt	For	For	For
21	Validity of Resolution	Mgmt	For	For	For
22	Preplan for Issuance of Corporate	Mgmt	For	For	For

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23	Bonds Feasibility Report on Use of Proceeds	Mgmt	For	For	For
24	Exemption to Prepare Report on Use of Proceeds	Mgmt	For	For	For
25	Approval of Dilution Measures for Bond Issuance	Mgmt	For	For	For
26	Shareholder Returns Plan	Mgmt	For	For	For
27	Formulation of Procedural Rules for Bondholders Meetings	Mgmt	For	For	For
28	Amendments to Measures for Proceeds Management	Mgmt	For	Abstain	Against
29	Board Authorization to Implement Bonds Issuance	Mgmt	For	For	For
30	Appointment of Auditor	Mgmt	For	For	For

Jinduicheng Molybdenum Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601958	CINS Y44498106	05/11/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Investment Plan for Technical Renovation and Equipment Replacement	Mgmt	For	For	For
8	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
9	Plan for Upgrade and Reconstruction of Molybdenum Mining Facilities	Mgmt	For	For	For

Kangde Xin Composite Material Group Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	05/14/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Remuneration for	Mgmt	For	For	For

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Directors, Supervisors and Senior Management					
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Shareholders Returns Plan	Mgmt	For	For	For
9	Internal Control Self-evaluation Report	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Supervisors' Report	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Kangde Xin Composite Material Group Co Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	08/24/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	Against	Against
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	Against	Against
3	Risk Assessment with Proprietary Funds	Mgmt	For	Abstain	Against

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Kangde Xin Composite Material Group Co Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	10/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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Kangde Xin Composite Material Group Co Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	11/09/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-Term Notes	Mgmt	For	For	For

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Kangmei Pharmaceutical Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
600518	CINS Y2930H106	05/17/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Dividends Distribution Plan for Preferred shares	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Plan on Debt Financing Instruments	Mgmt	For	For	For
10	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
11	Issue Size and Par Value	Mgmt	For	For	For
12	Issue Manner	Mgmt	For	For	For
13	Maturity	Mgmt	For	For	For
14	Interest Rate	Mgmt	For	For	For
15	Use of Proceeds	Mgmt	For	For	For
16	Arrangement on Redemption and Sale-back	Mgmt	For	For	For
17	Guarantee Arrangements	Mgmt	For	For	For
18	Placing Arrangement for Shareholders	Mgmt	For	For	For
19	Underwriting Manner	Mgmt	For	For	For
20	Listing Exchange	Mgmt	For	For	For
21	Safeguard Measures of Debts Repayment	Mgmt	For	For	For
22	Resolution Validity Period	Mgmt	For	For	For
23	Authorization to the Board	Mgmt	For	For	For
24	Shareholder Returns Plan	Mgmt	For	For	For
25	Approval of Investment	Mgmt	For	For	For
26	Elect MA Xingtian	Mgmt	For	For	For
27	Elect XU Dongjin	Mgmt	For	For	For
28	Elect QIU Xiwei	Mgmt	For	Against	Against
29	Elect LIN Dahao	Mgmt	For	For	For
30	Elect LI Shi	Mgmt	For	For	For
31	Elect MA Hanyao	Mgmt	For	For	For
32	Elect JIANG Zhenping	Mgmt	For	For	For
33	Elect ZHANG Ping	Mgmt	For	For	For
34	Elect GUO Chonghui	Mgmt	For	For	For
35	Elect LUO Jiaqian	Mgmt	For	Against	Against
36	Elect LI Dingan	Mgmt	For	For	For

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Kangmei Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600518	CINS Y2930H106	11/15/2017	Voted

Meeting Type	Country of Trade
Special	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Purpose	Mgmt	For	For	For
2	Basis For Determining Plan Participants And Scope	Mgmt	For	For	For
3	Plan Participants And Distribution Results	Mgmt	For	For	For
4	Type, Source And Number Of The Stocks	Mgmt	For	For	For
5	Valid Period, Grant Date, Lock-Up Period, Unlocking Date And Non-Tradable Restrictions	Mgmt	For	For	For
6	Grant Price	Mgmt	For	For	For
7	Conditions For Granting And Unlocking	Mgmt	For	For	For
8	Procedure For Granting And Unlocking	Mgmt	For	For	For
9	Method And Procedure For Adjusting The Restricted Stock Incentive Plan	Mgmt	For	For	For
10	Accounting Treatment For The Plan	Mgmt	For	For	For
11	Rights And Obligations Of The Company And Plan Participants	Mgmt	For	For	For
12	Alteration And Termination Of The Plan	Mgmt	For	For	For
13	Mechanism For Resolving Disputes Between The Company And Plan Participants	Mgmt	For	For	For
14	Appraisal Management Measures for 2nd Phase Restricted Stock Incentive Plan	Mgmt	For	For	For
15	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For
16	Amendments to Business Scope and Articles	Mgmt	For	For	For
17	Amendments to	Mgmt	For	For	For

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Registered Address  
and  
Articles

Kingenta Ecological Engineering Group Co. Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002470	CINS Y7684A108	02/27/2018	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Acquisition by Issuance of Shares and Related-party Transaction	Mgmt	For	For	For	
2	Approval of Transaction Constituting a Related-party Transaction	Mgmt	For	For	For	
3	Overall Transaction	Mgmt	For	For	For	
4	Target Subscribers	Mgmt	For	For	For	
5	Issuance Method	Mgmt	For	For	For	
6	Target Assets	Mgmt	For	For	For	
7	Transaction Price of Target Assets	Mgmt	For	For	For	
8	Price Reference Date and Issuance Price	Mgmt	For	For	For	
9	Target Issuance Price Adjustment	Mgmt	For	For	For	
10	Period for Price Adjustment	Mgmt	For	For	For	
11	Trigger Conditions for Price Adjustments	Mgmt	For	For	For	
12	Issuance Price Adjustment	Mgmt	For	For	For	
13	Adjustment on Issue Size	Mgmt	For	For	For	
14	Ex-rights and Ex-dividends from Price Reference Date to Issuance Date	Mgmt	For	For	For	
15	Issue Size and Proportion to Total Share Capital After Issuance	Mgmt	For	For	For	
16	Type and Par Value	Mgmt	For	For	For	
17	Lock-up Period	Mgmt	For	For	For	
18	Distribution of Undistributed Earnings	Mgmt	For	For	For	
19	Profit or Loss During the Period	Mgmt	For	For	For	
20	Staffing Arrangements	Mgmt	For	For	For	
21	Credit and Debt Arrangements for	Mgmt	For	For	For	

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	Target Assets				
22	Delivery of Target Assets	Mgmt	For	For	For
23	Contractual Obligations and Liability for Breach of the Transfer of the Underlying Asset Ownership	Mgmt	For	For	For
24	Performance Commitments and Compensation Arrangements	Mgmt	For	For	For
25	Resolution Validity Period	Mgmt	For	For	For
26	Draft and Summary on Acquisition by Issuance of Shares and Related-party Transaction	Mgmt	For	For	For
27	Acknowledgment that Acquisition Does Not Constitute Major Asset	Mgmt	For	For	For
28	Restructuring Article 11 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
29	Article 43 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
30	Article 14 and 44 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
31	Article 3 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
32	Relevant Laws of Questions and Answers on the Record of Private Equity Funds Related to M & A and Reorganization Administrative License Examination	Mgmt	For	For	For
33	Article 13 of Strengthening the	Mgmt	For	For	For

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	Supervision over Abnormal Stock Trading Related to the Material Asset Reorganizations of Listed Companies				
34	Transaction Does Not Constitute as Article 13 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
35	Transaction Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
36	Company Stock Price Volatility Did Not Achieve Relevant Standards of Regulating the Information Disclosure of Listed Companies and the Acts of All the Related Parties	Mgmt	For	For	For
37	Signing of Conditional Acquisition by Issuance of Shares Agreement	Mgmt	For	For	For
38	Signing of Conditional Profit Forecast Compensation Agreement	Mgmt	For	For	For
39	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For
40	Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	Mgmt	For	For	For
41	Approval of Audit Report, Appraisal Report and Profit	Mgmt	For	For	For

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	Forecast Report					
42	Basis and Fairness of Pricing for This Transaction	Mgmt	For	For	For	
43	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For	
44	Board Authorization to Implement Acquisition	Mgmt	For	For	For	
45	Appointment of Relevant Intermediaries for This Transaction	Mgmt	For	For	For	

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	01/03/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Jieli as Independent Director	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Shareholder Returns Plan	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	02/28/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase and Subscription Agreement	Mgmt	For	For	For
2	Approval of Loan Agreement by Controlled Subsidiary and Authority to Give Guarantees	Mgmt	For	For	For
3	Authority to Give Guarantees for Compo GMBH	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	05/11/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 Final Accounts Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For

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5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Actual Deposit and Uses of Proceeds	Mgmt	For	For	For
7	Self Assessment Report on Internal Control	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approval for Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Purchase of Bank Wealth Management Products	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For
13	Supervisors' Fees	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Kingenta Ecological Engineering Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002470 CINS Y7684A108 06/19/2018 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Amendments to Articles	Mgmt	For	For	For
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Kingenta Ecological Engineering Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002470 CINS Y7684A108 07/12/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Related Party Transactions	Mgmt	For	For	For
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Kingenta Ecological Engineering Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002470 CINS Y7684A108 07/14/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Signing of Capital Increase and Subscription Agreement	Mgmt	For	For	For
2	Signing of Loan Agreement and Guarantee Provision	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002470 CINS Y7684A108 09/08/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against

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					Mgmt Against
1	Expansion of Business Scope	Mgmt	For	Abstain	
2	Cash Management With Idle Funds	Mgmt	For	For	For
3	Modification of Guarantees	Mgmt	For	For	For

### Kweichow Moutai Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600519	CINS Y5070V116	05/23/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report and Summary	Mgmt	For	For	For	
4	2017 Financial Statements	Mgmt	For	For	For	
5	2018 Financial Budget Report	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Appointment of Financial Auditor and Internal Control Auditor	Mgmt	For	For	For	
8	Independent Directors' Report	Mgmt	For	For	For	
9	Deposit and Loan Transactions with Bank of Guizhou by Subsidiaries	Mgmt	For	For	For	
10	2018-2021 Daily Related Party Transactions:	Mgmt	For	For	For	
11	Related Party Product Sales	Mgmt	For	For	For	
12	Increase Investment in Warehouse Renovation Project in Tanchangpian District	Mgmt	For	For	For	

### Kweichow Moutai Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600519	CINS Y5070V116	10/26/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Elect LUO Guoqing as Supervisor	Mgmt	For	Against	Against	

### Lens Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
300433	CINS Y5227A106	06/26/2018	Voted			
Meeting Type		Country of Trade				
Special		China				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve the Proposal on the Downward Adjustment of Conversion Price for Convertible Corporate Bond	Mgmt	For	For	For
2	Ratification of Board Acts	Mgmt	For	For	For

Leo Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002131	CINS Y9892V106	09/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Guarantee Quota for Wholly-Owned Subsidiaries	Mgmt	For	For	For
2	Repurchase of Compensation Shares from Original Shareholders	Mgmt	For	For	For
3	Amendments to Articles: Increase in Registered Capital	Mgmt	For	For	For

Leo Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002131	CINS Y9892V106	09/28/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Share Donation	Mgmt	For	For	For

Leo Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002131	CINS Y9892V106	12/01/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of Connected Transaction	Mgmt	For	For	For

Lepu Medical Technology (Beijing) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300003	CINS Y52384107	04/26/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For

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5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report and its Summary	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Supervisors' Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Authority to Issue Convertible Bonds	Mgmt	For	For	For
11	Authority to Issue Convertible Bonds: Type	Mgmt	For	For	For
12	Authority to Issue Convertible Bonds: Volume	Mgmt	For	For	For
13	Authority to Issue Convertible Bonds: Face Value and Issue Price	Mgmt	For	For	For
14	Authority to Issue Convertible Bonds: Maturity	Mgmt	For	For	For
15	Authority to Issue Convertible Bonds: Interest Rate	Mgmt	For	For	For
16	Authority to Issue Convertible Bonds: Time and Method for Paying the Principle and Interest	Mgmt	For	For	For
17	Authority to Issue Convertible Bonds: Guarantees	Mgmt	For	For	For
18	Authority to Issue Convertible Bonds: Conversion Period	Mgmt	For	For	For
19	Authority to Issue Convertible Bonds: Determining Method for The Number of Converted Shares	Mgmt	For	For	For
20	Authority to Issue Convertible Bonds: Determination and Adjustment of the Conversion Price	Mgmt	For	For	For
21	Authority to Issue Convertible Bonds: Downward Adjustment Clauses on the Conversion Price	Mgmt	For	For	For
22	Authority to Issue Convertible Bonds: Redemption Clauses	Mgmt	For	For	For

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23	Authority to Issue Convertible Bonds: Resale Clauses	Mgmt	For	For	For
24	Authority to Issue Convertible Bonds: Attribution of Related Dividends for Conversion Years	Mgmt	For	For	For
25	Authority to Issue Convertible Bonds: Issuance Targets and Methods	Mgmt	For	For	For
26	Authority to Issue Convertible Bonds: Arrangement for placement to existing shareholders	Mgmt	For	For	For
27	Authority to Issue Convertible Bonds: Matter Regarding Bondholders Meetings	Mgmt	For	For	For
28	Authority to Issue Convertible Bonds: Purpose of the Raised Funds	Mgmt	For	For	For
29	Authority to Issue Convertible Bonds: Management of the Raised Funds and the Deposit Account	Mgmt	For	For	For
30	Authority to Issue Convertible Bonds: The Valid Period of the Plan for Convertible Corporate Bond Issuance	Mgmt	For	For	For
31	Pre-arranged plan for the Issuance of Convertible Bonds	Mgmt	For	For	For
32	Constitution of Procedural Rules of the Bondholders' Meeting	Mgmt	For	For	For
33	Demonstration Analysis Report on Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
34	Feasibility Report on the Use of Funds to be Raised	Mgmt	For	For	For
35	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
36	Approval of An Appraisal Report from	Mgmt	For	For	For

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	an Independent Auditor					
37	Ratification of Board Acts	Mgmt	For	For	For	For
38	Shareholder Return Plan for the Next Three Years (2018-2020)	Mgmt	For	For	For	For
39	Commitments of management on dilution remedial measures	Mgmt	For	For	For	For
40	Authority to Issue Short-Term Bonds	Mgmt	For	For	For	For

Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	07/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Articles (06 July)	Mgmt	For	For	For
4	EXTENSION OF TRADING SUSPENSION FOR MAJOR ASSETS RESTRUCTURING	Mgmt	For	Against	Against
5	Elect SUN Hongbin	Mgmt	For	For	For
6	Elect LIANG Jun	Mgmt	For	For	For
7	Elect ZHANG Zhao	Mgmt	For	For	For

Liaoning Chengda Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600739	CINS Y5279J104	01/22/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium Term Notes	Mgmt	For	For	For

Liaoning Chengda Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600739	CINS Y5279J104	05/07/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For

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7	Amendments to Articles	Mgmt	For	For	For
8	Elect SHANG Shuzhi	Mgmt	For	For	For
9	Elect GE Yu	Mgmt	For	For	For
10	Elect LI Ning	Mgmt	For	For	For
11	Elect WANG Xin	Mgmt	For	For	For
12	Elect LI Xiao	Mgmt	For	For	For
13	Elect ZHANG Bishu	Mgmt	For	For	For
14	Elect LIN Yingshi	Mgmt	For	For	For
15	Elect YAO Hong	Mgmt	For	For	For
16	Elect ZHANG Liming	Mgmt	For	For	For
17	Elect GAO Wu	Mgmt	For	For	For
18	Elect HE Yuting	Mgmt	For	Against	Against
19	Authority to Give Guarantees	Mgmt	For	For	For
20	Financing Quota	Mgmt	For	For	For
21	PURCHASE OF SHORT-TERM WEALTH MANAGEMENT PRODUCTS	Mgmt	For	For	For
22	Purchase of Wealth Management Products from Related Party	Mgmt	For	For	For
23	Appointment of Auditor	Mgmt	For	For	For
24	Authority for Registration and Issuance of Private Debt Instruments	Mgmt	For	For	For

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Liaoning Chengda Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600739	CINS Y5279J104	05/30/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authorization of Legal Formalities	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
4	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
5	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
6	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
9	Misc. Management Proposal	Mgmt	For	For	For
10	Misc. Management	Mgmt	For	For	For

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11	Proposal Ratification of Board Acts	Mgmt	For	For	For
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Liaoning Chengda Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600739	CINS Y5279J104	08/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bodns	Mgmt	For	For	For
2	Authority to Issue Corporate Bonds	Mgmt	For	For	For
3	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
4	Authority to Issue Commercial Paper	Mgmt	For	For	For

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Lomon Billions Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002601	CINS Y3122W109	01/03/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Share Purchase Plan	Mgmt	For	For	For
2	Management System of Employee Share Purchase Plan	Mgmt	For	For	For
3	Board Authority to Implement Employee Share Purchase Plan	Mgmt	For	For	For
4	Performance Incentive Allowance for Employee Share Purchase Plan	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Approval of Bank Credit Lines	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Approval of Provision of Financial Assistance	Mgmt	For	For	For
9	Electi LIN Suyue as Independent Director	Mgmt	For	For	For

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Lomon Billions Group Co. Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
2601	CINS Y3122W109	03/02/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of an Industry Investment Fund	Mgmt	For	For	For
2	Adjustment to Rewards for Profits to Key Employees	Mgmt	For	For	For
3	Formulation of Remuneration Management Measures	Mgmt	For	For	For

### Lomon Billions Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002601	CINS Y3122W109	04/23/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control Self-Assessment Report	Mgmt	For	For	For
7	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

### Lomon Billions Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2601	CINS Y3122W109	06/04/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authorization of Legal Formalities	Mgmt	For	For	For
2	Type	Mgmt	For	For	For
3	Issuing Scale	Mgmt	For	For	For
4	Par Value and Issue Price	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Time Limit and Method for Paying the Principal and Interest	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Determination Method for The Number of Converted Shares and	Mgmt	For	For	For

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	Treatment Method in Case the Remaining Convertible Bonds Cannot Be Converted into One Common Share When Conversion Occurs				
10	Determination and Adjustment of The Conversion Price	Mgmt	For	For	For
11	Downward Adjustment to The Conversion Price	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Attribution of Accumulated Retained Profits Before the Issuance	Mgmt	For	For	For
15	Issuing Targets and Method	Mgmt	For	For	For
16	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
17	Bondholders Meetings	Mgmt	For	For	For
18	Purpose of The Raised Funds	Mgmt	For	For	For
19	Depository of The Raised Funds	Mgmt	For	For	For
20	Guarantee Arrangements	Mgmt	For	For	For
21	The Valid Period of The Resolution	Mgmt	For	For	For
22	PREPLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS	Mgmt	For	For	For
23	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
24	Feasibility Analysis Report (Revision) On the Use of Funds to Be Raised	Mgmt	For	For	For
25	Risk Warning on Diluted Immediate Returns	Mgmt	For	For	For
26	Commitments of Directors and Senior Management on Implementation of Filing Measures for Diluted Immediate Returns After the Public Issuance of Convertible	Mgmt	For	For	For
27	Constitution of Procedural Rules	Mgmt	For	For	For

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28	Ratification of Board Acts	Mgmt	For	For	For
29	Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	For	For
30	LAUNCHING FOREIGN EXCHANGE HEDGING BUSINESS	Mgmt	For	For	For

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LONGi Green Energy Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status			
601012	CINS Y9727F102	02/05/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Replenish Working Capital with Idle Funds	Mgmt	For	For	For	
2	Authority to Give Guarantees: 2018 Estimated Additional Guarantees	Mgmt	For	Against	Against	
3	Authority to Give Guarantees: For a Wholly-Owned Subsidiary	Mgmt	For	Against	Against	
4	Related Party Transactions	Mgmt	For	For	For	
5	Amendments to Registered Capital and Articles	Mgmt	For	For	For	

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LONGi Green Energy Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status			
601012	CINS Y9727F102	04/19/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Annual Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Independent Directors' Report	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Special Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Directors' and Supervisors' Fees	Mgmt	For	For	For	
9	Supervisors' Report	Mgmt	For	For	For	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
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002475	CINS Y7744X106	05/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Decrease in Registered Capital and Amendments to Articles	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Independent Directors' Fees	Mgmt	For	For	For
11	Elect WANG Laichun	Mgmt	For	For	For
12	Elect WANG Laisheng	Mgmt	For	For	For
13	Elect LI Bin	Mgmt	For	For	For
14	Elect YE Yiling	Mgmt	For	For	For
15	Elect LIN Yifei	Mgmt	For	For	For
16	Elect XU Huaibin	Mgmt	For	For	For
17	Elect ZHANG Ying	Mgmt	For	For	For
18	Elect XIA Yanrong	Mgmt	For	Against	Against
19	Elect MO Rongying	Mgmt	For	For	For

Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	09/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Approval of Subsidiary Merger	Mgmt	For	For	For
3	Modification of Investment	Mgmt	For	For	For

Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	12/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Foreign Exchange Transactions	Mgmt	For	For	For
2	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
3	Amendments to Use of Proceeds	Mgmt	For	For	For
4	Elect XIA Yanrong as	Mgmt	For	Against	Against

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### Supervisor

Luzhou Laojiao Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
000568	CINS Y5347R104	06/27/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Remuneration for Independent Directors and External Directors	Mgmt	For	For	For
9	Elect LIU Miao	Mgmt	For	For	For
10	Elect LIN Feng	Mgmt	For	For	For
11	Elect WANG Hongbo	Mgmt	For	For	For
12	Elect SHEN Caihong	Mgmt	For	For	For
13	Elect QIAN Xu	Mgmt	For	For	For
14	Elect YING Hanjie	Mgmt	For	For	For
15	Elect DU Kunlun	Mgmt	For	For	For
16	Elect XU Guoxiang	Mgmt	For	For	For
17	Elect TAN Lili	Mgmt	For	For	For
18	Elect LIU Junhai	Mgmt	For	For	For
19	Elect WU Qin	Mgmt	For	Against	Against
20	Elect LIAN Jin	Mgmt	For	Against	Against
21	Elect CAO Cong	Mgmt	For	For	For

Luzhou Laojiao Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
000568	CINS Y5347R104	11/01/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Capital Injection into Wholly-owned Subsidiary	Mgmt	For	For	For

Meinian Onehealth Healthcare Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002044	CINS ADPV39738	02/12/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Replacement of The Self-Raised Funds Previously Invested In Projects With Raised Funds	Mgmt	For	For	For
2	Capital Increase in A Wholly-Owned	Mgmt	For	For	For

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3	Subsidiary Application for Comprehensive Bank Credit and Authority to Give Guarantees	Mgmt	For	Against	Against
4	External Investment in Setting Up Subsidiaries	Mgmt	For	For	For
5	Investment in Setting Up a Fund and Authority to Give Guarantees	Mgmt	For	Against	Against
6	Use of Proprietary Funds to Conduct Structured Deposits	Mgmt	For	For	For
7	Related Party Transactions: External Investment	Mgmt	For	Abstain	Against

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Meinian Onehealth Healthcare Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002044	CINS ADPV39738	04/11/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds and to Acquire Equity in Five Companies	Mgmt	For	For	For
2	Related Party Transactions: External Investments	Mgmt	For	For	For
3	Related Party Transactions: Equity Acquisition	Mgmt	For	For	For
4	Waiver of Right of Capital Injection and Preemptive Rights	Mgmt	For	For	For

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Meinian Onehealth Healthcare Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002044	CINS ADPV39738	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Merger retrospective	Mgmt	For	For	For

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9	adjustment Related Party Transactions	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Connected Transaction for External Investment	Mgmt	For	For	For
12	Connected Transaction for Equity Acquisition	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Meinian Onehealth Healthcare Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002044	CINS ADPV39738	09/13/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Related Party Transactions Regarding A Subsidiary's Joint Investment	Mgmt	For
			For
2	Related Party Transactions Regarding a Wholly-owned Subsidiary's Joint Investment	Mgmt	For
			For

Meinian Onehealth Healthcare Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002044	CINS ADPV39738	12/01/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For
			For
2	Board Authorization for Stock Ownership Plan	Mgmt	For
			For
3	Related Party Transactions	Mgmt	For
			For
4	Related Party Transactions	Mgmt	For
			For
5	Amendments to Articles	Mgmt	For
			For
6	Appointment of Auditor	Mgmt	For
			For

Meinian Onehealth Healthcare Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002044	CINS ADPV39738	12/29/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Investment in Buyout Fund	Mgmt	For
			For

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2	Capital Increase	Mgmt	For	For	For
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Metallurgical Corporation of China Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
1618	CINS Y5949Y101	06/26/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' Fees	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Amendments to Articles	Mgmt	For	For	For
10	Amendments to Procedural Rules for Board Meetings	Mgmt	For	For	For

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Midea Group Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
000333	CINS Y6S40V103	04/23/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	The 5th-phase Employee Stock Options Plan (Draft)	Mgmt	For	For	For
7	Formulation of Measures on implementation and appraisal of Stock Options Plan	Mgmt	For	For	For
8	Board Authorization to Implement 5th-phase Stock Options Plan	Mgmt	For	For	For
9	2018 Employee Restricted Shares Plan (Draft)	Mgmt	For	For	For
10	Appraisal Measures for 2018 Restricted Stock Incentive	Mgmt	For	For	For

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11	Plan Board Authorization to Implement 2018 Restricted Stock Incentive Plan	Mgmt	For	For	For
12	The 4th-phase Global Partnership Shareholding Plan (Draft)	Mgmt	For	Against	Against
13	Board Authorization to Implement 4th-phase Global Partnership Shareholding Plan	Mgmt	For	Against	Against
14	1st-phase Business Partnership Shareholding Plan (Draft)	Mgmt	For	Against	Against
15	Board Authorization to Implement 1st-phase Business Partnership Shareholding Plan	Mgmt	For	Against	Against
16	Authority to Give Guarantees	Mgmt	For	Against	Against
17	Approval of Foreign Exchange Trading Business	Mgmt	For	For	For
18	2018 Entrusted Wealth Management with Idle Proprietary Funds	Mgmt	For	For	For
19	Related Party Transactions: Deposits and Loans	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	Against	Against

Midea Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000333	CINS Y6S40V103	09/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

Minmetals Capital Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600390	CINS Y4796R103	05/18/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5	2017 SOCIAL RESPONSIBILITY REPORT	Mgmt	For	For	For
6	DETERMINATION OF 2017 AUDIT FEES	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Shareholder Returns Plan	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Approval for Line of Credit	Mgmt	For	For	For
11	Entrusted Wealth Management with Partial Temporarily Idle Funds	Mgmt	For	For	For
12	Authority to Issue Non-financial Enterprise Debt Financing Instruments	Mgmt	For	For	For
13	Authorization for Registration and Issuance of Non-financial Enterprise Debt Financing Instruments in the Inter-bank Bond Market	Mgmt	For	For	For

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Muyuan Foods Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002714	CINS Y6149B107	02/12/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Misc. Management Proposal	Mgmt	For
			For
2	Amendments to Articles	Mgmt	For
			For

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Muyuan Foods Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002714	CINS Y6149B107	05/04/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Report	Mgmt	For
			For
3	Annual Report	Mgmt	For
			For
4	2017 ANNUAL ACCOUNTS AND 2018 FINANCIAL BUDGET REPORT	Mgmt	For
			For
5	Allocation of Profits/Dividends	Mgmt	For
			For
6	Approval of Line of Credit	Mgmt	For
			For

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7	2018 ENTRUSTED WEALTH MANAGEMENT WITH IDLE PROPRIETARY FUNDS	Mgmt	For	For	For
8	Purchase of Wealth Management Products From Banks With Partial Idle Raised Funds	Mgmt	For	For	For
9	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Remuneration for Directors, Supervisors and Senior Management	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	2018 Estimated Continuing Connected Transactions	Mgmt	For	For	For
14	Connected Transaction Regarding House Leasing Contract	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For

Muyuan Foods Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002714	CINS Y6149B107	05/21/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Authority to Issue Perpetual Medium-term Notes	Mgmt	For
			For
2	Full Authorization to Management Team to Handle Matters Regarding Issuance of Perpetual Medium-term Notes	Mgmt	For
			For

Muyuan Foods Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002714	CINS Y6149B107	07/17/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Third Phase Employee Stock Purchase Plan	Mgmt	For
			Against
2	Management Method of Third Phase Employee Stock Purchase Plan	Mgmt	For
			Against
3	Board Authorization to Implement Employee	Mgmt	For
			Against

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	Stock Purchase Plan				
4	Signing of Related-party Transaction Agreement	Mgmt	For	For	For

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Muyuan Foods Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002714	CINS Y6149B107	09/12/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Foreign Bonds	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Authority to Issue Medium-term Notes	Mgmt	For	For	For

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Muyuan Foods Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002714	CINS Y6149B107	12/07/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Transaction	Mgmt	For	For	For
2	Authority to Give Guarantees for Loans	Mgmt	For	For	For
3	Service Agreement with Henan Muyuan Property Management Company	Mgmt	For	For	For
4	Service Agreement with Henan Muyuan Logistics Company	Mgmt	For	For	For

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Muyuan Foodstuff Co Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
002714	ISIN CNE100001RQ3	03/20/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	MGMT	For	For	For
2.1	Size of Issuance and Issuance Method	MGMT	For	For	For
2.2	Interest Rate and Determination Method	MGMT	For	For	For
2.3	Bond Duration, Method	MGMT	For	For	For

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	for Payment of Principal				
2.4	Issuance Targets, Arrangement for Placement to Shareholders	MGMT	For	For	For
2.5	Guarantees	MGMT	For	For	For
2.6	Redemption or Resale Provisions	MGMT	For	For	For
2.7	Purpose of Raised Funds	MGMT	For	For	For
2.8	Credit Status and Guarantee Repayment Measures	MGMT	For	For	For
2.9	Underwriting Method	MGMT	For	For	For
2.10	Listing Place	MGMT	For	For	For
2.11	Validity Period	MGMT	For	For	For
3	Authorization Regarding Public Issuance of Corporate Bonds	MGMT	For	For	For

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Nanjing Xinjiekou Department Store Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600682	ISIN CNE000000CX4	02/07/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Report Summary and Draft for Asset Purchase	MGMT	For
			For
			For
2	Eligibility for Asset Purchase	MGMT	For
			For
			For
3.1	STOCK TYPE AND PAR VALUE	MGMT	For
			For
			For
3.2	ISSUANCE TARGETS AND METHOD	MGMT	For
			For
			For
3.3	ISSUING PRICE AND PRICING PRINCIPLE	MGMT	For
			For
			For
3.4	ISSUING VOLUME	MGMT	For
			For
			For
3.5	LOCK-UP PERIOD	MGMT	For
			For
			For
3.6	ARRANGEMENT FOR THE PROFITS AND LOSSES DURING THE TRANSITIONAL PERIOD	MGMT	For
			For
			For
3.7	ARRANGEMENT FOR ACCUMULATED RETAINED PROFITS OF THE COMPANY AND UNDERLYING COMPANIES	MGMT	For
			For
			For
3.8	LISTING PLACE	MGMT	For
			For
			For
3.9	STOCK TYPE AND PAR VALUE	MGMT	For
			For
			For
3.10	ISSUANCE METHOD AND TARGETS	MGMT	For
			For
			For
3.11	ISSUING PRICE AND PRICING PRINCIPLE	MGMT	For
			For
			For
3.12	ISSUING VOLUME	MGMT	For
			For
			For

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3.13	PURPOSE OF RAISED FUNDS	MGMT	For	For	For
3.14	LOCK-UP PERIOD	MGMT	For	For	For
3.15	LISTING PLACE	MGMT	For	For	For
4	Authorization of Legal Formalities	MGMT	For	For	For
5	Authorization of Legal Formalities	MGMT	For	For	For
6	Intra-company Contracts/Control Agreements	MGMT	For	For	For
7	Intra-company Contracts/Control Agreements	MGMT	For	For	For
8	Routine Meeting Item	MGMT	For	For	For
9	Merger/Acquisition	MGMT	For	For	For
10	Merger/Acquisition	MGMT	For	For	For
11	Merger/Acquisition	MGMT	For	For	For
12	Misc. Management Proposal	MGMT	For	For	For
13	Authorization of Legal Formalities	MGMT	For	For	For
14	Authorization of Legal Formalities	MGMT	For	For	For
15	Misc. Management Proposal	MGMT	For	For	For
16	Misc. Management Proposal	MGMT	For	For	For
17	Ratification of Board Acts	MGMT	For	For	For
18	Authority to Give Guarantees	MGMT	For	For	For
19	Amendments to Articles	MGMT	For	For	For

Nanjing Xinjiekou Department Store Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600682	CINS Y62032100	02/27/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Change of Provider Involved In Financing Guarantees	Mgmt	For
			For
			For

Nanjing Xinjiekou Department Store Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600682	CINS Y62032100	05/09/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Report	Mgmt	For
			For
3	Annual Report	Mgmt	For
			For
4	2017 ANNUAL ACCOUNTS	Mgmt	For
			For
5	Allocation of Profits/Dividends	Mgmt	For
			For
6	REAPPOINTMENT OF FINANCIAL AND INTERNAL CONTROL AUDIT FIRM AND PAYMENT OF THE AUDIT	Mgmt	For
			For
			For

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7	FEEES 2017 Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
<hr/>					
Nanjing Xinjiekou Department Store Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600682	CINS Y62032100	05/29/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	WANG Tongyan	Mgmt	For	For	For
2	XU Fang	Mgmt	For	For	For
3	SHI Yufang	Mgmt	For	For	For
<hr/>					
Nanjing Xinjiekou Department Store Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600682	CINS Y62032100	07/25/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect TAN Jiamin	Mgmt	For	Against	Against
3	Elect ZHOU Xiaobing	Mgmt	For	For	For
<hr/>					
Nanjing Xinjiekou Department Store Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600682	CINS Y62032100	12/07/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
<hr/>					
NARI Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600406	CINS Y6S99Q112	06/14/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
9	Approval of Entrusted Loans	Mgmt	For	For	For
10	Related Party	Mgmt	For	Against	Against

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	Transactions				
11	Amendments to Articles	Mgmt	For	For	For
12	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
13	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
14	Adjustments in Accounting Estimate	Mgmt	For	For	For
15	Elect XIONG Yanren	Mgmt	For	For	For

NARI Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600406	CINS Y6S99Q112	08/21/2017	Voted			
	Meeting Type	Country of Trade				
	Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Eligibility for Assets Purchase and Fund Raising	Mgmt	For	For	For	
2	Assets Purchase and Fund Raising Constitution of Related Party Transaction	Mgmt	For	For	For	
3	Transaction Counterparty	Mgmt	For	For	For	
4	Underlying Assets	Mgmt	For	For	For	
5	Transaction Method	Mgmt	For	For	For	
6	Transaction Amount	Mgmt	For	For	For	
7	Issuance Price	Mgmt	For	For	For	
8	Stock Type and Par Value	Mgmt	For	For	For	
9	Transaction Amount, Consideration Payment, and Issuance Volume	Mgmt	For	For	For	
10	Adjustment Mechanism Issuance Price	Mgmt	For	For	For	
11	Lock-Up Period	Mgmt	For	For	For	
12	Payment Method and Payment Date of Cash	Mgmt	For	For	For	
13	Performance Commitment and Compensation Arrangement	Mgmt	For	For	For	
14	Arrangement for Transitional Period	Mgmt	For	For	For	
15	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For	
16	Personnel Arrangement	Mgmt	For	For	For	
17	Issuance Price	Mgmt	For	For	For	
18	Stock Price and Par Value	Mgmt	For	For	For	

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19	Matching Fund and Volume	Mgmt	For	For	For
20	Lock-up Situation	Mgmt	For	For	For
21	Purpose of Matching Funds	Mgmt	For	For	For
22	Validity Period of Plan	Mgmt	For	For	For
23	Draft of Asset Purchase Transaction	Mgmt	For	For	For
24	Compliance with Article 4 of Provisions Concerning Regulation of Major Asset Restructuring	Mgmt	For	For	For
25	Compliance with Article 13 in Management Measures on Major Asset Restructuring	Mgmt	For	For	For
26	Compliance with Article 11 in Management Measures on Major Asset Restructuring	Mgmt	For	For	For
27	Compliance with Article 43 in Management Measures on Major Asset Restructuring	Mgmt	For	For	For
28	Statement on Compliance and Completeness of Implementing Legal Procedures of Transaction	Mgmt	For	For	For
29	Conditional Agreement on Asset Purchase	Mgmt	For	For	For
30	Supplementary Agreement for Asset Purchase	Mgmt	For	For	For
31	Conditional Agreement on Profit Forecast Compensation	Mgmt	For	For	For
32	Conditional Supplementary Agreement on Profit Forecast Compensation	Mgmt	For	For	For
33	Approval of Audit Report, Appraisal Report and Profit Forecast Report	Mgmt	For	For	For
34	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For

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35	Diluted Immediate Returns for Major Assets Restructuring	Mgmt	For	For	For
36	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
37	Board Authorization to Implement Asset Purchase Transaction	Mgmt	For	For	For

### Neusoft Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
600718	CINS Y6280M102	09/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect YUANTENG Haoyi as Non-independent Director	Mgmt	For	For	For

### Neusoft Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
600718	CINS Y6280M102	12/05/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Injection	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For

### New China Life Insurance Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
1336	CINS Y625A4107	06/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisor's Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report of Directors' Performance	Mgmt	For	For	For
8	Report of Independent Non-Executive Directors' Performance	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Amendments to the Administrative Measures on Related Party	Mgmt	For	For	For

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11	Transactions					
	Elect YANG Yi	Mgmt	For	For	For	
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
<hr/>						
New China Life Insurance Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
1336	CINS Y625A4107	12/19/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect YU Jiannan as Supervisor	Mgmt	For	Against	Against	
2	Remuneration of Executive Directors and Chairman of Board of Supervisors	Mgmt	For	For	For	
3	Amendments to Articles	Mgmt	For	For	For	
4	Amendments to Rules of Procedures of General Meeting	Mgmt	For	For	For	
5	Amendments to Rules of Procedures of Board of Directors	Mgmt	For	For	For	
6	Amendments to Rules of Procedures of Board of Supervisors	Mgmt	For	For	For	
<hr/>						
New Hope Liuhe Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
000876	CINS Y7933V101	05/22/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Appointment of Auditor	Mgmt	For	For	For	
6	Amendments to Articles	Mgmt	For	For	For	
7	Authority to Give Guarantees	Mgmt	For	For	For	
8	Authority to Give Guarantees	Mgmt	For	For	For	
9	Issuance of Medium-Term Bills	Mgmt	For	For	For	
10	Issuance of Corporate Bonds	Mgmt	For	For	For	
11	Related Party Transactions	Mgmt	For	For	For	
12	Renewing Financial Services Agreement in Related Party	Mgmt	For	For	For	

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13	Transactions Related Party Transactions	Mgmt	For	For	For
Ningbo Zhoushan Port Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601018	CINS Y6298E100	04/19/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	2018 REMUNERATION PLAN FOR DIRECTORS	Mgmt	For	For	For
5	2018 REMUNERATION PLAN FOR SUPERVISORS	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	2018 FINANCIAL BUDGET PLAN	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	2017 Continuing Connected Transactions and 2018 Estimated Continuing Connected Transactions	Mgmt	For	For	For
11	Signing of Financial Service Framework Agreement	Mgmt	For	For	For
12	Continuing Connected Transaction Between Finance Company and Provincial Harbor Group	Mgmt	For	For	For
13	Signing of Framework Agreement on Financial Leasing Service	Mgmt	For	For	For
14	Adjustments of Continuing Connected Transactions	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Amendments to Procedural Rules - Shareholders' Meeting	Mgmt	For	For	For
17	Amendments to Procedural Rules - Board Meeting	Mgmt	For	For	For
18	Authority to Issue Debt Financing Quota	Mgmt	For	For	For

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Ningbo Zhoushan Port Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	

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601018	CINS Y6298E100	09/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
2	Elect XU Yuanfeng	Mgmt	For	Against	Against
3	Elect JIN Guoping	Mgmt	For	Against	Against

Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	04/19/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Routine Meeting Item	Mgmt	For	For	For
2	Routine Meeting Item	Mgmt	For	For	For
3	Routine Meeting Item	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Routine Meeting Item	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Routine Meeting Item	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For

Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	10/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance Principals	Mgmt	For	Abstain	Against
2	Type	Mgmt	For	Abstain	Against
3	Scale	Mgmt	For	Abstain	Against
4	Method	Mgmt	For	Abstain	Against
5	Duration	Mgmt	For	Abstain	Against
6	Interest Rate	Mgmt	For	Abstain	Against
7	Purpose	Mgmt	For	Abstain	Against
8	Debt Transfer	Mgmt	For	Abstain	Against
9	Guarantee	Mgmt	For	Abstain	Against
10	Repayment	Mgmt	For	Abstain	Against
11	Authorization	Mgmt	For	Abstain	Against
12	Validity Period	Mgmt	For	Abstain	Against
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For

Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	12/28/2017	Voted		
Meeting Type		Country of Trade			
Special		China			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

O-film Tech Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002456	CINS Y7744T105	01/26/2018	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees (1)	Mgmt	For	Against	Against
3	Authority to Give Guarantees (2)	Mgmt	For	Against	Against

O-film Tech Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002456	CINS Y7744T105	03/26/2018	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2018 Estimated Continuing Related Party Transactions	Mgmt	For	For	For
2	Authority to Issue Short-Term Commercial Papers and Perpetual Medium-Term Notes	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

O-film Tech Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002456	CINS Y7744T105	06/22/2018	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	2017 Annual Accounts	Mgmt	For	For	For
4	Internal Control Self-Assessment Report	Mgmt	For	For	For
5	2017 SPECIAL REPORT ON THE DEPOSIT AND USE OF RAISED FUNDS	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Supervisors' Report	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	BANK CREDIT AND	Mgmt	For	Against	Against

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	GUARANTEE				
	I				
11	Authority to Issue Medium-term Notes	Mgmt	For	For	For
12	BANK CREDIT AND GUARANTEE	Mgmt	For	Against	Against
	II				
13	Authority to Issue Overseas Bond by a Wholly-owned Subsidiary	Mgmt	For	For	For
14	Provision of Guarantee for Issuance of Overseas Bonds by an Overseas Wholly-owned Subsidiary	Mgmt	For	Against	Against
15	Rectification of Accounting Errors	Mgmt	For	For	For
16	Amendments to Use of Proceeds	Mgmt	For	For	For

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Offshore Oil Engineering Co		Meeting Date		Meeting Status	
Ticker	Security ID:	02/07/2018		Voted	
600583	ISIN CNE0000019T2	Country of Trade			
Meeting Type		China			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Entrusted Loan Agreement	MGMT	For	For	For

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Offshore Oil Engineering Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	04/25/2018		Voted	
600583	CINS Y6440J106	Country of Trade			
Meeting Type		China			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect ZHANG Wukui as Non-independent Director	Mgmt	For	For	For
8	Elect WU Hanming	Mgmt	For	Against	Against

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Offshore Oil Engineering Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	11/17/2017		Voted	
600583	CINS Y6440J106	Country of Trade			
Meeting Type		China			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change in Business Scope	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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3	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
4	Amendments to Procedural Rules for Director Meetings	Mgmt	For	For	For

Oppein Home Group Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
603833	CINS Y6440T104	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Management System for External Investment	Mgmt	For	Abstain	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Use of Raised Funds to Conduct Cash Management	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Approval of Line of Credit	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Elect QIN Shuo	Mgmt	For	For	For
14	Elect CHU Xiaoping	Mgmt	For	For	For

Oppein Home Group Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
603833	CINS Y6440T104	06/13/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Type of Bonds	Mgmt	For	For	For
2	Issuing Scale	Mgmt	For	For	For
3	Par Value and Issue Price	Mgmt	For	For	For
4	Bond Duration	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Time and Method for Paying the Principal and Interest	Mgmt	For	For	For
7	Conversion Period	Mgmt	For	For	For
8	Adjustment and Calculation Method of Conversion	Mgmt	For	For	For

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	Price				
9	Provisions on Downward Adjustment of The Conversion Price	Mgmt	For	For	For
10	Determination of The Conversion Amount	Mgmt	For	For	For
11	Redemption Clauses	Mgmt	For	For	For
12	Resale Clauses	Mgmt	For	For	For
13	Attribution of Related Dividends For Conversion Years	Mgmt	For	For	For
14	Issuing Targets and Method	Mgmt	For	For	For
15	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
16	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
17	Purpose of The Raised Funds	Mgmt	For	For	For
18	Deposit and Management of The Raised Funds	Mgmt	For	For	For
19	Guarantee Matters	Mgmt	For	For	For
20	The Valid Period of The Plan for Convertible Bond Issuance	Mgmt	For	For	For
21	Authorization of Legal Formalities	Mgmt	For	For	For
22	Preplan of Issuing Convertible Bonds	Mgmt	For	For	For
23	Feasibility Analysis Report on The Use of Raised Funds	Mgmt	For	For	For
24	Constitution of Procedural Rules	Mgmt	For	For	For
25	Report on the Use of Previously Raised Funds	Mgmt	For	For	For
26	Ratification of Board Acts	Mgmt	For	For	For
27	Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	Abstain	Against
28	Diluted Immediate Return After the Public Issuance of Convertible Corporate Bonds and Filling Measures	Mgmt	For	For	For
29	Commitments of Relevant Parties on Implementation of	Mgmt	For	For	For

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Filling Measures for  
Diluted

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Orient Securities Company Limited (aka DFZQ)						
Ticker	Security ID:	Meeting Date	Meeting Status			
600958	CINS Y6S79P100	03/06/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Elect PAN Xinjun	Mgmt	For	For	For	
3	Elect JIN Wenzhong	Mgmt	For	For	For	
4	Elect LIU Wei	Mgmt	For	For	For	
5	Elect WU Junhao	Mgmt	For	Against	Against	
6	Elect CHEN Bin	Mgmt	For	For	For	
7	Elect LI Xiang	Mgmt	For	For	For	
8	Elect XIA Jinghan	Mgmt	For	For	For	
9	Elect XU Jianguo	Mgmt	For	Against	Against	
10	Elect XU Guoxiang	Mgmt	For	For	For	
11	Elect TAO Xiuming	Mgmt	For	For	For	
12	Elect WEI Anning	Mgmt	For	For	For	
13	Elect XU Zhiming	Mgmt	For	For	For	
14	Elect JIN Qinglu	Mgmt	For	For	For	
15	Elect ZHANG Qian	Mgmt	For	Against	Against	
16	Elect HUANG Laifang	Mgmt	For	Against	Against	
17	Elect TONG Jie	Mgmt	For	Against	Against	
18	Elect LIU Wenbin	Mgmt	For	For	For	
19	Elect YIN Keding	Mgmt	For	For	For	
20	Elect WU Zhengkui	Mgmt	For	For	For	
21	Engagement in Cross-border Business	Mgmt	For	For	For	

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Orient Securities Company Limited (aka DFZQ)						
Ticker	Security ID:	Meeting Date	Meeting Status			
600958	CINS Y6S79P100	05/25/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Director's Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Annual Report and Its Summary	Mgmt	For	For	For	
6	Misc. Management Proposal	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Authority to Issue Asset-backed Securities	Mgmt	For	For	For	
9	Related Party Transactions	Mgmt	For	For	For	
10	Related Party Transactions	Mgmt	For	For	For	
11	Authority to Give Guarantees	Mgmt	For	For	For	

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Orient Securities Company Limited (aka DFZQ)

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Ticker	Security ID:	Meeting Date	Meeting Status		
600958	CINS Y6S79P100	09/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Issue Method	Mgmt	For	For	For
3	Issue Type	Mgmt	For	For	For
4	Issue Size	Mgmt	For	For	For
5	Issuer	Mgmt	For	For	For
6	Issue Term	Mgmt	For	For	For
7	Interest Rate, Method of Payment and Issue Price	Mgmt	For	For	For
8	Guarantees and Other Arrangements	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Targets of Issuance	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Board Authorization	Mgmt	For	For	For
14	Approval of Business Delineation	Mgmt	For	For	For
15	Elect JIN Qinglu as Director	Mgmt	For	For	For

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Oriental Pearl Media Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
600637	CINS Y0875J103	06/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	2018 Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Signing of Financial Services Agreement	Mgmt	For	Against	Against
10	Cash Management with Idle Funds	Mgmt	For	For	For
11	Cash Management with Idle Raised Funds	Mgmt	For	For	For
12	Authority to Give Guarantees I	Mgmt	For	For	For
13	Authority to Give Guarantees II	Mgmt	For	For	For
14	Authority to Give	Mgmt	For	For	For

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	Guarantees				
15	2018 DEBT FINANCING PLAN	Mgmt	For	Against	Against
16	Amendments to Use of Proceeds	Mgmt	For	For	For
17	Amendments to Use of Proceeds	Mgmt	For	For	For
18	Amendments to Use of Proceeds	Mgmt	For	For	For
19	Amendments to Use of Proceeds	Mgmt	For	For	For
20	Amendments to Use of Proceeds	Mgmt	For	For	For
21	Repurchase and Cancellation of Stocks	Mgmt	For	For	For

Oriental Pearl Media Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
600637	CINS Y0875J103	09/18/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For

Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	02/27/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	[Equity Compensation Plan]	Mgmt	For	For	For
2	Constitution of Procedural Rules	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
4	Election of Directors	Mgmt	For	For	For
5	Election of Directors	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	04/27/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Ping as Non-independent Director	Mgmt	For	For	For

Ourpalm Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	05/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and Its Summary	Mgmt	For	For	For
2	Director's Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Provision for Assets Write-Off	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Ratification of Board Acts	Mgmt	For	For	For
10	Amendments to Registered Capital	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	12/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	For	For
2	Election of Supervisor	Mgmt	For	Against	Against
3	Related Party Transactions	Mgmt	For	For	For

### Perfect World Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002624	CINS Y988BN106	02/14/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions	Mgmt	For	For	For
3	CHANGE OF PROJECTS FUNDED WITH RAISED FUNDS	Mgmt	For	For	For
4	Elect CHI Yufeng	Mgmt	For	For	For
5	Elect XIAO Hong	Mgmt	For	For	For
6	Elect WEI Song	Mgmt	For	For	For
7	Elect HONG Yu	Mgmt	For	For	For
8	Elect SHI Dandan	Mgmt	For	For	For
9	Elect LIANG Tian	Mgmt	For	For	For
10	Elect YANG Li	Mgmt	For	Against	Against
11	Directors' Fees	Mgmt	For	For	For

### Perfect World Co Ltd

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Ticker	Security ID:	Meeting Date	Meeting Status		
002624	CINS Y988BN106	04/19/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Investment in Wealth Management Products	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For

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Ticker	Security ID:	Meeting Date	Meeting Status		
002624	CINS Y988BN106	06/27/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Ticker	Security ID:	Meeting Date	Meeting Status		
0857	CINS Y6883Q138	06/05/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Authority to Declare Interim Dividends	Mgmt	For	For	For
6	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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Ticker	Security ID:	Meeting Date	Meeting Status
0857	CINS Y6883Q138	10/26/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	New Comprehensive Agreement and Annual Caps	Mgmt	For	For	For
2	Elect WANG Liang as Supervisor	Mgmt	For	Against	Against
3	Amendments to Articles	Mgmt	For	For	For

Ping An Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000001	CINS Y6896T103	03/01/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
2	Extension of Resolution Validity Period and Authorization on Issuance of Financial Bonds	Mgmt	For	For	For

Ping An Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000001	CINS Y6896T103	06/20/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 Annual Accounts and 2018 Financial Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2017 Connected Transactions Results and Report on Implementation of Connected Transactions Management System	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Extension of the Validity of Resolution on Public Issuance of A-Share Convertible Corporate Bonds and Relevant Authorization to Board	Mgmt	For	For	For

Ping An Bank Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
000001	CINS Y6896T103	08/14/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Type of Securities	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Par Value and Issuing Price	Mgmt	For	For	For
4	Bond Duration	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Period and Method of Paying Interest	Mgmt	For	For	For
7	Conversion Period	Mgmt	For	For	For
8	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
9	Downward Adjustment Clauses on Conversion Price	Mgmt	For	For	For
10	Determination of Amount of Converted Shares	Mgmt	For	For	For
11	Attribution of Related Dividends for Conversion Years	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Issuance Targets and Method	Mgmt	For	For	For
15	Placement to Shareholders	Mgmt	For	For	For
16	Bondholders and Bondholders Meetings	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Guarantee Matters	Mgmt	For	For	For
19	Validity of Resolution	Mgmt	For	For	For
20	Board Authorization	Mgmt	For	For	For
21	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
22	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
23	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
24	Capital Management Plan for 2017 to 2019	Mgmt	For	For	For

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Ping An Bank Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000001	CINS Y6896T103	12/21/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Shareholder Returns Plan	Mgmt	For	For	Mgmt For
2	Authority to Issue Bonds	Mgmt	For	For	For
Ping An Insurance (Group) Company of China, Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
2318	CINS Y6898C116	03/19/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision of Assured Entitlement to H Shareholders	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
Ping An Insurance (Group) Company of China, Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
2318	CINS Y6898C116	03/19/2018	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision of Assured Entitlement to H Shareholders	Mgmt	For	For	For
Ping An Insurance (Group) Company of China, Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
2318	CINS Y6898C116	05/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Elect MA Mingzhe	Mgmt	For	For	For
9	Elect SUN Jianyi	Mgmt	For	For	For
10	Elect REN Huichuan	Mgmt	For	Against	Against
11	Elect Jason YAO Bo	Mgmt	For	For	For
12	Elect LEE Yuansiong	Mgmt	For	For	For
13	Elect CAI Fangfang	Mgmt	For	For	For
14	Elect Soopakij Chearavanont	Mgmt	For	Against	Against
15	Elect YANG Xiaoping	Mgmt	For	Against	Against
16	Elect WANG Yongjian	Mgmt	For	For	For
17	Elect LIU Chong	Mgmt	For	For	For
18	Elect Dicky Peter YIP	Mgmt	For	For	For
19	Elect Oscar WONG Sai Hung	Mgmt	For	For	For
20	Elect SUN Dongdong	Mgmt	For	Against	Against
21	Elect GE Ming	Mgmt	For	For	For

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22	Elect OUYANG Hui	Mgmt	For	For	For
23	Elect GU Liji	Mgmt	For	For	For
24	Elect HUANG Baokui	Mgmt	For	For	For
25	Elect ZHANG Wangjin	Mgmt	For	For	For
26	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
27	Allocation of Special Dividend	Mgmt	For	For	For
28	Adoption of the Shanghai Jahwa Equity Incentive Scheme	Mgmt	For	For	For

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Poly Real Estate Group Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
600048	ISIN CNE000001ND1	03/29/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Amendments to Articles	MGMT	For
2	Amendments to Procedural Rules: Board Meetings	MGMT	For
			Abstain
3	Amendments to Decision-making System for Connected Transaction	MGMT	For
			Abstain
4	Extension of Validity Period of Resolution on Issuance of Corporate Bonds	MGMT	For
			For
5	Extension of Validity Period of Authorization to the Board on Matters Related to Issuance of Corporate Bonds	MGMT	For
			For

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Poly Real Estate Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600048	CINS Y6987R107	05/17/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Director's Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	2018 INVESTMENT PLAN	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
			For
6	Annual Report and Its Summary	Mgmt	For
			For
7	Appointment of Auditor	Mgmt	For
8	Authority to Give Guarantees	Mgmt	For
			Against
9	Related Party	Mgmt	For
			Against

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10	Transactions Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	Abstain	Against
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Poly Real Estate Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600048	CINS Y6987R107	12/20/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For

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Power Construction Corporation of China, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601669	CINS Y7999Z103	03/23/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YAN Zhiyong	Mgmt	For	For	For
2	Elect SUN Hongshui	Mgmt	For	For	For
3	Elect WANG Bin	Mgmt	For	For	For
4	Elect CHEN Yuankui	Mgmt	For	For	For
5	Elect PEI Zhen	Mgmt	For	For	For
6	Elect XU Donggen	Mgmt	For	For	For
7	Elect LUAN Jun	Mgmt	For	For	For
8	Elect DAI Deming	Mgmt	For	For	For
9	Elect LEI Jianrong	Mgmt	For	Against	Against
10	Elect LIAO Fuli	Mgmt	For	Against	Against
11	Elect YANG Xianlong	Mgmt	For	For	For

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Power Construction Corporation of China, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601669	CINS Y7999Z103	05/30/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2018 FINANCIAL BUDGET	Mgmt	For	Abstain	Against
7	2018 INVESTMENT PLAN	Mgmt	For	Abstain	Against
8	2018 GUARANTEE PLAN	Mgmt	For	Abstain	Against
9	2018 FINANCING BUDGET	Mgmt	For	Abstain	Against
10	Related Party Transactions	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	2017 REMUNERATION FOR DIRECTORS AND 2018 REMUNERATION PLAN	Mgmt	For	For	For
13	2017 REMUNERATION FOR SUPERVISORS AND 2018 REMUNERATION PLAN	Mgmt	For	For	For

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14	Authorization to Board to Decide on Issuance of Debt Financing Instruments	Mgmt	For	For	For
15	Preferred Share Dividend Distribution Plan From 2018 to 2019	Mgmt	For	For	For
16	Authority to Give Guarantees	Mgmt	For	For	For

Qingdao Haier Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600690	CINS Y7166P102	04/27/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance and Listing of D-shares	Mgmt	For	For	For
2	Stock type and par value	Mgmt	For	For	For
3	Issuing date	Mgmt	For	For	For
4	Issuing method	Mgmt	For	For	For
5	Issuing size	Mgmt	For	For	For
6	Pricing method	Mgmt	For	For	For
7	Issuing targets	Mgmt	For	For	For
8	Issuing principles	Mgmt	For	For	For
9	Entity Conversion	Mgmt	For	For	For
10	The Valid Period of the Resolution on Issuance and Listing of D-Shares	Mgmt	For	For	For
11	Board Authorization to Handle Matters Regarding the Issuance and Listing of D-Shares	Mgmt	For	For	For
12	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	Abstain	Against
13	Use of D-Shares Initial Public Offering Proceeds	Mgmt	For	For	For
14	Plan for Accumulated Profits Allocation Before the D-Share Offering	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Constitution of Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
17	Constitution of Procedural Rules: Board Meetings	Mgmt	For	For	For

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18	Constitution of Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
19	Constitution of Work System for Independent Directors	Mgmt	For	For	For
20	Constitution of Management System for External Guarantees	Mgmt	For	For	For
21	Establishment of Procedural Rules: Securities and listing-related confidentiality and file management systems issued overseas	Mgmt	For	For	For
22	Liability Insurance	Mgmt	For	Abstain	Against
23	Amendments to Articles	Mgmt	For	For	For

Qingdao Haier Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600690	CINS Y7166P102	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Internal Control Self-Assessment Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Foreign Exchange Fund Derivatives Business	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Amendments to Procedural Rules: Meetings of Board of Directors	Mgmt	For	For	For
13	Amendments to Procedural Rules: Meetings of Board of Supervisors	Mgmt	For	For	For
14	Acquisition	Mgmt	For	For	For
15	Board Authorization to Implement Acquisition of Equity	Mgmt	For	For	For
16	Extension in	Mgmt	For	For	For

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Authorization for  
Issuance of  
Convertible  
Bonds

Qingdao Haier Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
600690	CINS Y7166P102	11/23/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Convertible Corporate Bond Issuance	Mgmt	For	For	For	
2	Types of Securities	Mgmt	For	For	For	
3	Size of Issuance	Mgmt	For	For	For	
4	Par Value and Issuance Price	Mgmt	For	For	For	
5	Bond Duration	Mgmt	For	For	For	
6	Interest Rate	Mgmt	For	For	For	
7	Time Limit and Method of Paying of Interest	Mgmt	For	For	For	
8	Conversion Period	Mgmt	For	For	For	
9	Determination and Adjustment of Conversion Price	Mgmt	For	For	For	
10	Downward Adjustment Clauses on Conversion Price	Mgmt	For	For	For	
11	Determining Method Amount for Converted Shares	Mgmt	For	For	For	
12	Redemption Clauses	Mgmt	For	For	For	
13	Resale Clauses	Mgmt	For	For	For	
14	Attribution of Related Dividends for Conversion Years	Mgmt	For	For	For	
15	Issuing Targets and Method	Mgmt	For	For	For	
16	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For	
17	Matters Regarding Bondholders Meeting	Mgmt	For	For	For	
18	Investment Amount for Project 1	Mgmt	For	For	For	
19	Investment Amount for Project 2	Mgmt	For	For	For	
20	Investment Amount for Project 3	Mgmt	For	For	For	
21	Investment Amount for Project 4	Mgmt	For	For	For	

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22	Amount for Repaying Interest-Bearing Liabilities	Mgmt	For	For	For
23	Guarantee Matters	Mgmt	For	For	For
24	Depository of Raised Funds	Mgmt	For	For	For
25	Validity Period	Mgmt	For	For	For
26	Preplan for Convertible Corporate Bond Issuance	Mgmt	For	For	For
27	Feasibility Analysis Report for Project 1	Mgmt	For	For	For
28	Feasibility Analysis Report for Project 2	Mgmt	For	For	For
29	Feasibility Analysis Report for Project 3	Mgmt	For	For	For
30	Feasibility Analysis Report for Project 4	Mgmt	For	For	For
31	Feasibility Analysis Report Repaying Interest-bearing Liabilities with Raised Funds	Mgmt	For	For	For
32	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
33	Filing Measures for Diluted Immediate Returns	Mgmt	For	For	For
34	Board Authorization to Implement Convertible Corporate Bond Issuance	Mgmt	For	For	For
35	Shareholder Return Plan for 2018 to 2020	Mgmt	For	For	For
36	Constitution of Procedural Rules:Rules Governing Bondholders Meeting	Mgmt	For	For	For
37	Increase of 2017 Quota for Related Party Transactions	Mgmt	For	For	For

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Qinghai Salt Lake Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000792	CINS Y7170U105	01/05/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	Against	Against
2	Amendments to Procedural Rules: Board of	Mgmt	For	Abstain	Against

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3	Directors Amendments to Procedural Rules: Board of Supervisors	Mgmt	For	Abstain	Against
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Qinghai Salt Lake Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000792	CINS Y7170U105	04/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' Fees	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Project 1	Mgmt	For	For	For
10	Project 2	Mgmt	For	For	For
11	Approval of Financing Quota of 2018	Mgmt	For	For	For
12	Elect TANG Gang	Mgmt	For	For	For
13	Elect BAI Ke	Mgmt	For	For	For

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Qinghai Salt Lake Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000792	CINS Y7170U105	05/23/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision of Loans	Mgmt	For	Abstain	Against
2	Related Party Transactions	Mgmt	For	For	For
3	Adjustment of Payment Plan	Mgmt	For	Abstain	Against
4	Amendments to Articles	Mgmt	For	Abstain	Against

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Qinghai Salt Lake Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000792	CINS Y7170U105	08/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	Against	Against
2	Authority to Issue Corporate Bonds	Mgmt	For	Against	Against
3	Board Authorization to	Mgmt	For	Against	Against

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	Implement Issuance of Bonds				
4	Authority to Issue Bond-Type Products	Mgmt	For	Against	Against
5	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For

### Qinghai Salt Lake Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000792	CINS Y7170U105	11/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement of Corporate Bonds	Mgmt	For	Against	Against
2	Authority to Private Placement of Corporate Bonds	Mgmt	For	Against	Against
3	Board Authorization to Implement Debt Issuance	Mgmt	For	Against	Against
4	Approval of Financial Aid for Subsidiary	Mgmt	For	For	For
5	Approval of Financial Aid for Subsidiary	Mgmt	For	For	For

### RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	03/05/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Issue Medium-term Notes	Mgmt	For	For	For
3	Authorization for Issuance of Medium Term Notes	Mgmt	For	For	For
4	Authority to Issue Super and Short-term Commercial Paper	Mgmt	For	For	For
5	Authorization for Registration for Issuance of Short Term Commercial Paper	Mgmt	For	For	For

### RiseSun Real Estate Development Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status
002146	CINS Y7286J101	05/08/2018	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Financial Report	Mgmt	For
5	Accounts and Reports	Mgmt	For
6	Allocation of Profits/Dividends	Mgmt	For
7	Financial Budget	Mgmt	For
8	Related Party Transactions	Mgmt	For
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For

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### RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002146	CINS Y7286J101	08/09/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Extension of Validity of Resolution for Private Placement	Mgmt	For
2	Extension of Board Authorization to Implement Private Placement	Mgmt	For
3	Authority to Give Guarantees for Handan RiseSun Real Estate Development Co., Ltd	Mgmt	For
4	Authority to Give Guarantees for Tangshan RiseSun Real Estate Development Co., Ltd	Mgmt	For
5	Authority to Give Guarantees for RiseSun (Xuzhou) Real Estate Development Co., Ltd	Mgmt	For

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### RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002146	CINS Y7286J101	08/28/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Asset-Backed Special Plan for Housing	Mgmt	For

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	Balance				
	Payment				
2	Provision for Enhancing Credit	Mgmt	For	For	For
3	Board Authorization to Implement Asset-backed Special Plan	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	09/18/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Second Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Third Subsidiary	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Fourth Subsidiary	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Fifth Subsidiary	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Sixth Subsidiary	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Seventh Subsidiary	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Eighth and Ninth Subsidiary	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	11/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Loan Application of a Company	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Financing of Another Company	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Financing of a Third	Mgmt	For	Against	Against

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4	Company Authority to Give Guarantees for Financing of a Fourth Company	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Financing of a Fifth Company	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Financing of a Sixth Company	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Financing of a Seventh Company	Mgmt	For	Against	Against
8	Authority to Give Guarantees for Financing of an Eighth Company	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Financing of a Ninth Company	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	12/04/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Management Measures	Mgmt	For	For	For
2	Authority to Give Guarantees For Financing	Mgmt	For	Against	Against
3	Authority to Give Guarantees For Loans	Mgmt	For	Against	Against
4	Authority to Give Guarantees For Loans of A Third Company	Mgmt	For	Against	Against
5	Authority to Give Guarantees For Loans of a Fourth Company	Mgmt	For	Against	Against
6	Authority to Give Guarantees For Loans of a Fifth Company	Mgmt	For	Against	Against

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S.F. Holding Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002352	ISIN CNE100000L63	04/03/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Annual Report	MGMT	For	For	For
1.1	Elect YAO Jun as Director	MGMT	For	For	For
2	Directors' Report	MGMT	For	For	For
2.1	Elect CHEN Yuehua as Supervisor	MGMT	For	Against	Against
3	Supervisors' Report	MGMT	For	For	For
4	Accounts and Reports	MGMT	For	For	For
5	Allocation of Profits/Dividends	MGMT	For	For	For
6	Appointment of Auditor	MGMT	For	For	For
7	Amendments to Articles	MGMT	For	For	For
8	Estimation of 2018 Related Party Transactions	MGMT	For	For	For
9	Authority to Give Guarantees	MGMT	For	For	For
10	Approval of Entrusted Financial Management	MGMT	For	For	For

S.F. Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002352	CINS Y5349E101	01/12/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	For	For

S.F. Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002352	CINS Y5349E101	05/17/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase Shares	Mgmt	For	For	For
2	Adoption of Employee Restricted Shares Plan	Mgmt	For	For	For
3	Adoption of Appreciation Right Incentive Plan	Mgmt	For	For	For
4	Appraisal Management Measures on Implementation of Equity Incentive Plan	Mgmt	For	For	For
5	Board Authorization to Implement Equity Incentive Plan	Mgmt	For	For	For

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S.F. Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002352	CINS Y5349E101	09/12/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Projects Funded by Proceeds	Mgmt	For	For	For
2	Adjustment of Idle Raised Funds For Cash Management	Mgmt	For	For	For
3	Change of Registered Capital	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	Abstain	Against
5	Adjustment of 2017 Estimated Guarantees	Mgmt	For	Against	Against
6	Equity Transfer	Mgmt	For	Abstain	Against
7	Purchase of Liability Insurance	Mgmt	For	For	For

S.F. Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002352	CINS Y5349E101	11/30/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Restricted Stock Incentive Plan	Mgmt	For	For	For
2	Stock Appreciation Right Incentive Plan	Mgmt	For	For	For
3	Constitution of Procedural Rules: Appraisal Management Measures	Mgmt	For	For	For
4	Board Authorization to Implement Stock Option Plan	Mgmt	For	For	For
5	Securities Investment with Funds	Mgmt	For	For	For

SAIC Motor Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600104	CINS Y7443N102	06/26/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For

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6	Annual Report and Its Summary	Mgmt	For	For	For
7	Authority to Give Guarantees I	Mgmt	For	For	For
8	Authority to Give Guarantees II	Mgmt	For	For	For
9	Authority to Give Guarantees III	Mgmt	For	For	For
10	Authority to Give Guarantees IV	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Appointment of Internal Control Auditor	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Elect CHEN Hong	Mgmt	For	For	For
15	Elect CHEN Zhixin	Mgmt	For	For	For
16	Elect WANG Jian	Mgmt	For	For	For
17	Elect TAO Xinliang	Mgmt	For	For	For
18	Elect LI Ruoshan	Mgmt	For	For	For
19	Elect ZENG Saixing	Mgmt	For	For	For
20	Elect BIAN Baiping	Mgmt	For	Against	Against
21	Elect CHEN Weifeng	Mgmt	For	Against	Against
22	Elect YI Lian	Mgmt	For	For	For
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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SAIC Motor Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600104	CINS Y7443N102	11/21/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules for Shareholder General Meeting	Mgmt	For	For	For
3	Amendments to Procedural Rules for Supervisory Committee Meetings	Mgmt	For	For	For
4	Elect DING Ning as Supervisor	Mgmt	For	Against	Against

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Sanan Optoelectronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600703	CINS Y7478M102	05/18/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5	Independent Directors Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Sanan Optoelectronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600703	CINS Y7478M102	07/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIN Zhiqiang	Mgmt	For	For	For
2	Elect REN Kai	Mgmt	For	For	For
3	Elect LIN Kechuang	Mgmt	For	For	For
4	Elect WEI Daman	Mgmt	For	For	For
5	Elect KAN Hongzhu	Mgmt	For	Against	Against
6	Elect SUN Yanhong	Mgmt	For	For	For
7	Elect WENG Junyi	Mgmt	For	For	For
8	Elect PENG Wanhua	Mgmt	For	For	For
9	Elect FANG Chongpin	Mgmt	For	Against	Against
10	Elect YU Feng	Mgmt	For	For	For

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Sanan Optoelectronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600703	CINS Y7478M102	12/22/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Investment Cooperation Agreement	Mgmt	For	For	For

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Sany Heavy Industry Co

Ticker	Security ID:	Meeting Date	Meeting Status		
600031	CINS Y75268105	06/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Amendments to Procedural Rules for Board Meetings	Mgmt	For	For	For
8	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
9	Amendments to Management System for Connected Transaction	Mgmt	For	For	For

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10	Approval of Line of Credit	Mgmt	For	For	For
11	Approval of Mortgage and Leasing Business	Mgmt	For	Against	Against
12	Approval of Financial Derivatives Business	Mgmt	For	For	For
13	Financial Services Agreement	Mgmt	For	Against	Against
14	Authority to Give Guarantees	Mgmt	For	Against	Against
15	Related Party Transactions	Mgmt	For	For	For
16	Amendments to Use of Proceeds	Mgmt	For	For	For
17	Appointment of Auditor	Mgmt	For	For	For
18	Appointment of Internal Control Auditor	Mgmt	For	For	For
19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Independent Directors' Report	Mgmt	For	For	For

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Sany Heavy Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600031	CINS Y75268105	09/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Interim Allocation of Profits/Dividends	Mgmt	For	For	For
2	Conduction of Financial Business	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
5	Elect MA Guangyuan as Independent Director	Mgmt	For	For	For
6	Poverty Alleviation Work	Mgmt	For	For	For

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Sany Heavy Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600031	CINS Y75268105	12/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Change of Implementing Parties with Raised Funds	Mgmt	For	For	For
2	Share Repurchase and Cancellation	Mgmt	For	For	For
3	Purchase of Low-Risk	Mgmt	For	For	For

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Wealth Management  
Products

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SDIC Capital Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
600061	CINS Y8045P107	04/26/2018	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Work Report of the Remuneration and Appraisal Committee of the Board	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	Against	Against
8	Approval of Line of Credit	Mgmt	For	For	For

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SDIC Essence (Holdings) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600061	CINS Y8045P107	12/04/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Change in Company Name	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600886	CINS Y3746G100	02/12/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	ELIGIBILITY FOR RIGHTS ISSUE	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Base, Ratio And Number Of The Rights Issue	Mgmt	For	For	For
5	Pricing Principles And Rights Issue Price	Mgmt	For	For	For
6	Placement Targets	Mgmt	For	For	For
7	Amount And Purpose Of The Raised Funds	Mgmt	For	For	For

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8	Underwriting Method	Mgmt	For	For	For
9	Issuance Date	Mgmt	For	For	For
10	Plan For Distribution Of The Accumulated Retained Profit Before The Rights Issue	Mgmt	For	For	For
11	The Valid Period Of The Resolution	Mgmt	For	For	For
12	Trading And Circulation Of The Securities	Mgmt	For	For	For
13	Board Authorization to Implement Rights Issue	Mgmt	For	For	For
14	Diluted Immediate Returns	Mgmt	For	For	For
15	COMMITMENTS ON FILLING MEASURES FOR THE DILUTED IMMEDIATE RETURNS	Mgmt	For	For	For
16	Feasibility Report On The Use Of Funds To Be Raised	Mgmt	For	For	For
17	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
18	PREPLAN FOR 2017 RIGHTS ISSUE	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600886	CINS Y3746G100	05/28/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Borrowing	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600886	CINS Y3746G100	06/08/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	2018 BUSINESS PLAN	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	Against	Against

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SDIC Power Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600886	CINS Y3746G100	07/07/2017		Voted	
Meeting Type		Country of Trade			

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Borrowing from Related Party	Mgmt	For	For	For

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### SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600886	CINS Y3746G100	11/15/2017	Voted
Meeting Type	Country of Trade		
Special	China		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For

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### Sealand Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
000750	CINS Y2941X108	02/05/2018	Voted
Meeting Type	Country of Trade		
Special	China		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For

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### Sealand Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
000750	CINS Y2941X108	05/14/2018	Voted
Meeting Type	Country of Trade		
Annual	China		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report and Its Summary	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Securities Proprietary Business Scale and Risk Limitation	Mgmt	For	For	For
7	Shareholder Return Plan for the Next Three Year	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Issuing Principal	Mgmt	For	For	For
10	Issuing Size	Mgmt	For	Against	Against
11	Type	Mgmt	For	For	For
12	Duration of Debt Financing Instrument	Mgmt	For	For	For
13	Interest Rate of the Debt Financing Instrument	Mgmt	For	For	For
14	Issue Price	Mgmt	For	For	For
15	Purpose of the Raised Funds	Mgmt	For	For	For
16	Issuing Targets and	Mgmt	For	For	For

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	Arrangement for Placement Arrangement of Debt Financing Instruments to Shareholders					
17	Listing of Debt Financing Instruments	Mgmt	For	For	For	
18	Guarantee and Other Credit Enhancement Arrangement	Mgmt	For	For	For	
19	Validity of Resolution	Mgmt	For	For	For	
20	Handling of Relevant Matters	Mgmt	For	Against	Against	

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Sealand Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
000750	CINS Y2941X108	11/24/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	

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Sealand Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
000750	CINS Y2941X108	12/20/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect HE Chunmei	Mgmt	For	For	For	
2	Elect CUI Weiwei	Mgmt	For	For	For	
3	Elect WANG Haihe	Mgmt	For	For	For	
4	Elect WU Zenglin	Mgmt	For	For	For	
5	Elect ZHU Yun	Mgmt	For	For	For	
6	Elect QIN Min	Mgmt	For	For	For	
7	Elect LI Xianming	Mgmt	For	For	For	
8	Elect ZHANG Cheng	Mgmt	For	For	For	
9	Elect LI Rongguo	Mgmt	For	For	For	
10	Elect OU Sufen	Mgmt	For	Against	Against	
11	Elect ZHOU Fuqiang	Mgmt	For	For	For	
12	Directors' Fees	Mgmt	For	For	For	
13	Supervisors' Fees	Mgmt	For	For	For	

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Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status			
000503	CINS Y29815100	02/08/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	ISSUE PRICE AND PRICING METHOD	Mgmt	For	For	For	
3	ISSUING VOLUME	Mgmt	For	For	For	
4	LOCK-UP PERIOD	Mgmt	For	For	For	
5	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
6	Misc. Management	Mgmt	For	For	For	

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	Proposal				
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

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Searainbow Holding Corp.  
Ticker Security ID: Meeting Date Meeting Status  
000503 CINS Y29815100 05/16/2018 Voted  
Meeting Type Country of Trade  
Annual China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Change of the Company's name and Stock Abbreviation	Mgmt	For	For	For
2	Elect JIA Yanyan	Mgmt	For	For	For
3	Elect JIANG Kaihong	Mgmt	For	For	For
4	Elect HAN Wei	Mgmt	For	For	For
5	Elect WANG Zhigang	Mgmt	For	For	For
6	Elect ZHANG Ling	Mgmt	For	For	For
7	Elect LIU Yingjie	Mgmt	For	For	For
8	Elect QIAN Qingwen	Mgmt	For	For	For
9	Elect HUANG Anpeng	Mgmt	For	For	For
10	Elect WANG Xiuli	Mgmt	For	For	For
11	Elect ZHAO Guanfu	Mgmt	For	Against	Against
12	Elect ZHANG Xu	Mgmt	For	For	For
13	ALLOWANCE FOR DIRECTORS AND SUPERVISORS	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Annual Report	Mgmt	For	For	For
16	Directors' Report	Mgmt	For	For	For
17	Supervisors' Report	Mgmt	For	For	For
18	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
19	Allocation of Profits/Dividends	Mgmt	For	For	For
20	Provision for Asset Impairment	Mgmt	For	For	For

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Searainbow Holding Corp.  
Ticker Security ID: Meeting Date Meeting Status  
000503 CINS Y29815100 08/08/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Application for Extension of Trading Suspension	Mgmt	For	For	For
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Searainbow Holding Corp.  
Ticker Security ID: Meeting Date Meeting Status  
000503 CINS Y29815100 10/31/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Extension of Validity of Resolution	Mgmt	For	For	For

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3	Extension of Board Authorization	Mgmt	For	For	For
4	Transfer of Equity	Mgmt	For	For	For

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Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
000503	CINS Y29815100	12/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer Equity Stake in Subsidiaries	Mgmt	For	For	For
2	Asset Transaction in Compliance with Relevant Laws and Regulations	Mgmt	For	For	For
3	Compliance with Article 4 of Regulations of Major Assets Restructuring of Listed Companies	Mgmt	For	For	For
4	Overview of Transaction Plan	Mgmt	For	For	For
5	Transaction Price and Pricing Basis	Mgmt	For	For	For
6	Payment Method and Arrangements for Transaction	Mgmt	For	For	For
7	Change of Industrial and Commercial Registration for Underlying Assets	Mgmt	For	For	For
8	Arrangement for the Profits and Losses During the Transitional Period	Mgmt	For	For	For
9	Treatment of Creditors Rights and Debts and Personnel Placement	Mgmt	For	For	For
10	Validity Period of Resolution on the Transaction	Mgmt	For	For	For
11	Conditional Agreement on Transfer of Equity Stake	Mgmt	For	For	For
12	Report on Major Asset Sale	Mgmt	For	For	For
13	Statement on Compliance and Completeness of Implementing Legal Procedure	Mgmt	For	For	For
14	Independence and Fairness of	Mgmt	For	For	For

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	Evaluation Institution				
15	Auditors Report, Pro Forma Consolidated Financial Statements and Asset Evaluation Report	Mgmt	For	For	For
16	Risk Warning and Filling Measures for Diluted Immediate Return	Mgmt	For	For	For
17	Authorization for Board to Implement Asset Sale	Mgmt	For	For	For

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Shaanxi Coal Industry

Ticker	Security ID:	Meeting Date	Meeting Status		
601225	CINS Y7679D109	06/25/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	Abstain	Against
6	AMENDMENTS TO THE FINANCIAL SERVICE AGREEMENT	Mgmt	For	Abstain	Against
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For

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Shandong Buchang Pharmaceuticals Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
603858	CINS Y767AS104	06/26/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	2018 FINANCIAL BUDGET	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Special Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	2018 Estimated Additional Loan Quota and Guarantee Quota	Mgmt	For	For	For

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of the Company and Its Controlled Subsidiaries					
12	Elect ZHAO Tao	Mgmt	For	For	For
13	Elect ZHAO Chao	Mgmt	For	For	For
14	Elect WANG Yimin	Mgmt	For	For	For
15	Elect XUE Renhui	Mgmt	For	For	For
16	Elect LI Weijun	Mgmt	For	For	For
17	Elect PU Xiaoping	Mgmt	For	For	For
18	Elect ZHAO Jing	Mgmt	For	For	For
19	Elect WANG Yafeng	Mgmt	For	For	For
20	Elect GAO Yu	Mgmt	For	For	For
21	Elect LI Chunyi	Mgmt	For	For	For
22	Elect CHI Deqiang	Mgmt	For	For	For
23	Elect WANG Yi	Mgmt	For	For	For
24	Elect WANG Lihua	Mgmt	For	For	For
25	Elect HUANG Jun	Mgmt	For	For	For
26	Elect PU Xiaoming	Mgmt	For	For	For
27	ALLOWANCE STANDARDS FOR DIRECTORS	Mgmt	For	For	For
28	Elect YUAN Beilin	Mgmt	For	Against	Against
29	Elect CHEN Danli	Mgmt	For	Against	Against
30	Elect YANG Chao	Mgmt	For	Against	Against
31	Elect WANG Mei	Mgmt	For	For	For
32	ALLOWANCE STANDARDS FOR SUPERVISORS	Mgmt	For	For	For
33	Amendments to Management Measures on Employees Investment in Equity Projects of the Company	Mgmt	For	Abstain	Against
34	Formulation of the Remuneration and Appraisal Management Measures for Senior Management	Mgmt	For	For	For
35	Basic Remuneration for Chairman of the Board	Mgmt	For	For	For

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Shandong Gold Mining Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600547	CINS Y76831109	02/08/2018		Voted	
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Application for Loans	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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Shandong Gold Mining Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600547	CINS Y76831109	03/28/2018		Voted	
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Accounts	Mgmt	For	For	For
5	Financial Budget Report	Mgmt	For	For	For
6	Annual Report and Summary	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Investment Plan	Mgmt	For	For	For
9	Renewal of the Purchase, Sale, and Service Framework Agreement and Estimation of 2018 Continuing Connected Transaction	Mgmt	For	For	For
10	Application of Increase of Audit Fee	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Appointment of Internal Control Auditor	Mgmt	For	For	For
13	Internal Control Self-Assessment Report	Mgmt	For	For	For
14	2017 SOCIAL RESPONSIBILITY REPORT	Mgmt	For	For	For
15	The Report on the Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Purchase of Liability Insurance for Directors, Supervisors and Senior Management	Mgmt	For	Abstain	Against

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Shandong Gold Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600547	CINS Y76831109	07/14/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Extension of Time Commitment Regarding Land Ownership	Mgmt	For
			For
			For

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Shandong Gold Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600547	CINS Y76831109	11/06/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Plan for H Share	Mgmt	For
			For
			For

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	Offering and Hong Kong Listing				
2	Stock Type Par Value	Mgmt	For	For	For
3	Issuance Date	Mgmt	For	For	For
4	Method	Mgmt	For	For	For
5	Volume	Mgmt	For	For	For
6	Pricing Method	Mgmt	For	For	For
7	Targets	Mgmt	For	For	For
8	Principal	Mgmt	For	For	For
9	Transfer of Share	Mgmt	For	For	For
10	Conversion of Company	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Board Authorization to Implement Share Offering	Mgmt	For	For	For
13	Distribution Plan for Profits	Mgmt	For	For	For
14	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
15	Plan on Use of H Share Offering Raised Funds	Mgmt	For	For	For

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Shandong Gold Mining Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600547	CINS Y76831109	12/08/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Amendments to Articles	Mgmt	For
2	Amendments to Procedural Rules: Shareholders General Meetings	Mgmt	For
3	Amendments to Procedural Rules: Board Meetings	Mgmt	For
4	Amendments to Procedural Rules: Supervisory Committee Meetings	Mgmt	For
5	Amendments to Connected Transactions Management System	Mgmt	For
6	Amendments to External Guarantee Decision-Making System	Mgmt	For
7	Amendments to Raised Fund Management Measures	Mgmt	For
8	Directors' and Supervisors' Fees	Mgmt	For
9	Allocation of Profits/Dividends	Mgmt	For

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10	Elect	LI Guohong	Mgmt	For	Against	Against
11	Elect	CHEN Daojiang	Mgmt	For	For	For
12	Elect	WANG Lijun	Mgmt	For	Against	Against
13	Elect	WANG Peiyue	Mgmt	For	For	For
14	Elect	WANG Xiaoling	Mgmt	For	Against	Against
15	Elect	TANG Qi	Mgmt	For	For	For
16	Elect	GAO Yongtao	Mgmt	For	For	For
17	Elect	LU Bin	Mgmt	For	For	For
18	Elect	XU Ying	Mgmt	For	For	For
19	Elect	LI Xiaoping	Mgmt	For	Against	Against
20	Elect	LIU Rujun	Mgmt	For	For	For

Shandong Linglong Tyre Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601966	CINS Y76796104	02/22/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Shandong Linglong Tyre Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601966	ISIN CNE100002GM3	03/14/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Company Strategy	MGMT	For	For	For
2	Investment in Setting Up Factory	MGMT	For	For	For

Shandong Linglong Tyre Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601966	CINS Y76796104	05/14/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Services Agreement with a Related Party	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	Against	Against
11	Elect WANG Lin	Mgmt	For	For	For
12	Independent Directors'	Mgmt	For	For	For

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### Report

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Shandong Nanshan Aluminium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600219	CINS Y7680L108	03/07/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Work Report of the Board of Directors	Mgmt	For	For	For
2	Work Report of the General Manager	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report and Summary	Mgmt	For	For	For
6	Report on Previously Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Appointment of Auditor Internal Control Auditor	Mgmt	For	For	For
9	Directors' and Senior Management Fees	Mgmt	For	For	For
10	Work Report of the Supervisory Committee	Mgmt	For	For	For
11	Supervisors' Fees	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	For	For
13	Renewal of Service Agreement	Mgmt	For	For	For
14	Related Party Transactions	Mgmt	For	Against	Against

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Shanghai 2345 Network Holding Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002195	CINS Y7683P106	07/31/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Equity Fund	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules: Guarantees	Mgmt	For	For	For
4	Amendments to Procedural Rules: Management System for Major Operational Decision Making	Mgmt	For	For	For

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Shanghai 2345 Network Holding Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
002195	CINS Y7683P106	09/15/2017		Voted	
	Meeting Type	Country of Trade			
	Special	China			
1	Adoption of Employee Restricted Shares Plan	Mgmt	For	For	For
2	Formulation of Appraisal Management Measures on Employee Restricted Shares Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For
4	Granting Restricted Shares to Chairman and General Manager	Mgmt	For	For	For

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Shanghai 2345 Network Holding Group Co., Ltd.					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ticker	Security ID:	Meeting Date		Meeting Status	
002195	CINS Y7683P106	11/27/2017		Voted	
	Meeting Type	Country of Trade			
	Special	China			
1	Election of Directors	Mgmt	For	For	For
2	Capital Increase	Mgmt	For	For	For
3	Authority to Issue Debt	Mgmt	For	For	For
4	Alteration of Investment	Mgmt	For	For	For
5	Authority to Repurchase Shares	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For

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Shanghai Bailian Group Co., Ltd.					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ticker	Security ID:	Meeting Date		Meeting Status	
600827	CINS Y7682E110	06/15/2018		Voted	
	Meeting Type	Country of Trade			
	Annual	China			
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 Annual Accounts and 2018 Financial Budget	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	REAPPOINTMENT OF 2018 INTERNAL CONTROL AUDIT FIRM	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Authority to Give	Mgmt	For	For	For

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	Guarantees					
10	Amendments to Articles	Mgmt	For	For	For	For
11	ALLOWANCE FOR INDEPENDENT DIRECTORS	Mgmt	For	For	For	For
12	Elect YE Yongming	Mgmt	For	For	For	For
13	Elect XU Ziyang	Mgmt	For	For	For	For
14	Elect QIAN Jianqiang	Mgmt	For	For	For	For
15	Elect ZHENG Xiaoyun	Mgmt	For	For	For	For
16	Elect CHENG Dali	Mgmt	For	For	For	For
17	Elect GONG Ping	Mgmt	For	For	For	For
18	Elect CHEN Xinkang	Mgmt	For	For	For	For
19	Elect ZHU Jianmin	Mgmt	For	For	For	For
20	Elect GAO Xujun	Mgmt	For	For	For	For
21	Elect YANG Aguo	Mgmt	For	For	For	For
22	Elect TAO Qing	Mgmt	For	Against	Against	Against

Shanghai Bailian Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600827	CINS Y7682E110	11/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Appointment of Internal Control Auditor	Mgmt	For	For	For

Shanghai Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600170	CINS Y7680W104	05/23/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Director' Report	Mgmt	For	For	For
4	Annual Report and Its Summary	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	2018 Investment Quota	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	Against	Against

Shanghai Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600170	CINS Y7680W104	12/28/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Amendments to Articles	Mgmt	For	For	Mgmt For
2	Elect WU Deyi as Supervisor	Mgmt	For	Against	Against

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Shanghai Electric Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2727	CINS Y76824112	06/29/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Liability Insurance	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Authority to Issue Debt Instruments	Mgmt	For	For	For
12	Authority to Give Guarantees - Shanghai Prime (Hong Kong) Investment Management Co., Ltd.	Mgmt	For	For	For
13	Authority to Give Guarantees - Shanghai Electric Heavy Machinery Milling Equipment Co., Ltd.	Mgmt	For	For	For
14	Authority to Give Guarantees - Shanghai Electric Nantong Guohai Environmental Technology Co., Ltd.	Mgmt	For	For	For
15	Authority to Give Guarantees - Shanghai Electric Heavy Machinery Casting Forging Co., Ltd.	Mgmt	For	For	For
16	Authority to Give Guarantees - Shanghai Electric Wind Power Equipment Dongtai Co., Ltd.	Mgmt	For	For	For
17	Authority to Give Guarantees - Shanghai	Mgmt	For	For	For

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	Electric Wind Power Equipment Hebei Co., Ltd.					
18	Authority to Give Guarantees - Shanghai Huapu Cable Co., Ltd.	Mgmt	For	For	For	
19	Authority to Give Guarantees - Shanghai Electric Power Transmission and Distribution Engineering (Malaysia) Co., Ltd.	Mgmt	For	For	For	
20	Authority to Give Guarantees - Nedschroef Fasteners Kunshan Co., Ltd.	Mgmt	For	For	For	
21	Authority to Give Guarantees - Subsidiaries of SEC	Mgmt	For	For	For	
22	Authority to Give Guarantees - Shanghai Blower Works Co., Ltd.	Mgmt	For	For	For	
23	Authority to Give Guarantees - Shanghai Electric Power Transmission and Distribution Engineering (Malaysia) Co., Ltd.	Mgmt	For	For	For	
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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Shanghai Electric Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2727	CINS Y76824112	12/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
2	Category of bonds	Mgmt	For	For	For
3	Issue method and size	Mgmt	For	For	For
4	Face value and issue price	Mgmt	For	For	For
5	Issue method and allotment	Mgmt	For	For	For
6	Term and type of bonds	Mgmt	For	For	For
7	Coupon rate	Mgmt	For	For	For
8	Initial exchange price	Mgmt	For	For	For
9	Guarantee arrangements	Mgmt	For	For	For
10	Use of proceeds and special account for raised funds	Mgmt	For	For	For

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11	Repayment assurance measures	Mgmt	For	For	For
12	Listing arrangements	Mgmt	For	For	For
13	Underwriting method	Mgmt	For	For	For
14	Other matters	Mgmt	For	For	For
15	Validity of resolution	Mgmt	For	For	For
16	Resolution of Issuance of Corporate Bonds	Mgmt	For	For	For
17	Board Authorization to Implement Issuance of Corporate Bonds	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For
19	Permanent Supplement of Working Capital	Mgmt	For	For	For
20	For Shanghai High Strength Bolt Factory Company Limited	Mgmt	For	For	For
21	For Shanghai Prime (HK) Investment Management Company Limited	Mgmt	For	For	For
22	For Nedschroef Fasteners Kunshan Co., Ltd.	Mgmt	For	For	For
23	For Shanghai Prime Tension Control Bolts Co., Ltd	Mgmt	For	For	For

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Shanghai Electric Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600021	CINS Y7T829116	04/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Agreement on Equipment Purchase and Construction	Mgmt	For	For	For
9	Authority for Registration of Super and Short-term Commercial Papers	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	LI Tiezheng	Mgmt	For	Abstain	Against

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12	LI Shengbo	Mgmt	For	Abstain	Against
Shanghai Electric Power Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600021	CINS Y7T829116	12/18/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase of Financing Needs of Parent Company	Mgmt	For	Abstain	Against
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Set up of Joint Venture	Mgmt	For	Abstain	Against
4	Extension of Validity Period for Asset Purchase	Mgmt	For	Abstain	Against
5	Extension of Validity period for Authorization Period	Mgmt	For	Abstain	Against
6	Elect WANG Xianchun	Mgmt	For	For	For
7	Elect SHOU Rufeng	Mgmt	For	For	For
Shanghai Fosun Pharmaceutical (Group) Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
2196	CINS Y7687D117	06/27/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Estimates of Ongoing Related Party Transactions	Mgmt	For	For	For
8	Appraisal Results and Directors' Fees	Mgmt	For	For	For
9	Appraisal Program for 2018	Mgmt	For	For	For
10	Approval of Entrusted Loans	Mgmt	For	For	For
11	Approval of Line of Credit	Mgmt	For	For	For
12	Approval to Dispose of Listed Shares and Securities	Mgmt	For	For	For
13	Authority to Give Guarantees	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
15	Elect MU Haining	Mgmt	For	For	For

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16	Elect ZHANG Xueqing	Mgmt	For	For	For
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Shanghai International Airport Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600009	CINS Y7682X100	06/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Appointment of Internal Control Audit Firm	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Elect HU Zhihong	Mgmt	For	For	For
9	Elect SI Xiaolu	Mgmt	For	Against	Against
<hr/>					
Shanghai International Airport Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600009	CINS Y7682X100	11/17/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Appointment of Internal Control Auditor	Mgmt	For	For	For
<hr/>					
Shanghai International Port (Group) Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600018	CINS Y7683N101	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Authority to Issue Debt Instruments	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Elect TANG Shifang	Mgmt	For	Against	Against
<hr/>					
Shanghai International Port (Group) Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600018	CINS Y7683N101	11/20/2017	Voted		
Meeting Type	Country of Trade				

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Shanghai International Port (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600018	CINS Y7683N101	12/21/2017	Voted

Meeting Type: China

Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Entrusted Operation and Management	Mgmt	For	For	For

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Shanghai Lujiazui Finance & Trade Zone Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600663	CINS Y7686Q101	06/26/2018	Voted

Meeting Type: China

Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	2017 Directors' Report, Annual Work Report and 2018 Work Plan Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
6	2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For
7	2018 ANNUAL FINANCING PLAN	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	2018 Acceptance of Loans from Controlling Shareholder	Mgmt	For	For	For
10	Appointment of Financial Audit Firm	Mgmt	For	For	For
11	Appointment of Internal Control Audit Firm	Mgmt	For	For	For
12	2018 REMUNERATION BUDGET FOR DIRECTORS AND SUPERVISORS	Mgmt	For	For	For
13	Extension of Valid Period of Resolution on Issuance of Corporate Bonds	Mgmt	For	For	For
14	Amendments to Articles and Procedural Rules Governing Board	Mgmt	For	For	For

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	Meetings				
15	Allowance for Independent Director	Mgmt	For	For	For
16	Elect LI Jinzhao	Mgmt	For	For	For
17	Elect XU Erjin	Mgmt	For	For	For
18	Elect CAI Rong	Mgmt	For	For	For
19	Elect LI Zuoqiang	Mgmt	For	For	For
20	Elect DENG Weili	Mgmt	For	For	For
21	Elect LV Wei	Mgmt	For	For	For
22	Elect QIAO Wenjun	Mgmt	For	For	For
23	Elect QIAN Shizheng	Mgmt	For	For	For
24	Elect TANG Zilai	Mgmt	For	For	For
25	Elect MA Xuejie	Mgmt	For	For	For
26	Elect MA Shijing	Mgmt	For	Against	Against
27	Elect WANG Xiaofang	Mgmt	For	Against	Against

Shanghai Lujiazui Finance & Trade Zone Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600663	CINS Y7686Q101	10/30/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Merger/Acquisition	Mgmt	For	For	For

Shanghai Pharmaceuticals Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
2607	CINS Y7685S116	06/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Profit Distribution Plan 2017	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Amendments to Articles	Mgmt	For	For	For
10	Authority to Issue Debt Financing Products	Mgmt	For	For	For
11	Authority to Issue Corporate Bonds	Mgmt	For	For	For
12	Face Value of Bonds and Scale of Issuance	Mgmt	For	For	For
13	Price of Bonds and Interest Rate	Mgmt	For	For	For
14	Term of Bonds	Mgmt	For	For	For

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15	Principal and Interest Payment	Mgmt	For	For	For
16	Target of Issuance	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Placement to Shareholders	Mgmt	For	For	For
19	Guarantees	Mgmt	For	For	For
20	Redemption and Put Provision	Mgmt	For	For	For
21	Credit Standing	Mgmt	For	For	For
22	Underwriting	Mgmt	For	For	For
23	Listing	Mgmt	For	For	For
24	Validity	Mgmt	For	For	For
25	Authorisations	Mgmt	For	For	For
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Shanghai Pudong Development Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600000	CINS Y7689F102	05/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Authority to Issue Capital Bonds	Mgmt	For	Abstain	Against
7	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For

Shanghai Pudong Development Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600000	CINS Y7689F102	11/17/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
2	Type of Securities	Mgmt	For	For	For
3	Issue Scale	Mgmt	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For
5	Bond Maturity	Mgmt	For	For	For
6	Bond Interest Rate	Mgmt	For	For	For
7	Period and Method for Interest Payment	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
10	Downward Adjustment on	Mgmt	For	For	For

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	Conversion Price				
11	Determination Method of Number of Share Conversion	Mgmt	For	For	For
12	Terms of Redemption	Mgmt	For	For	For
13	Terms of Sell-back	Mgmt	For	For	For
14	Attributable Relevant Dividend During the Year of Conversion	Mgmt	For	For	For
15	Issuance Method and Target Subscribers	Mgmt	For	For	For
16	Placing Arrangements for Shareholders	Mgmt	For	For	For
17	Bondholders Meetings	Mgmt	For	For	For
18	Use of Proceeds	Mgmt	For	For	For
19	Guarantee Matters	Mgmt	For	For	For
20	Validity Period of Resolution	Mgmt	For	For	For
21	Plan for Issuance of Convertible Bonds	Mgmt	For	For	For
22	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
23	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
24	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
25	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
26	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For

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Shanghai RAAS Blood Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002252	CINS Y7687Z100	05/22/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Directors' Report	Mgmt	For
3	Supervisors' Report	Mgmt	For
4	Annual Report	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Related Party Transactions	Mgmt	For
7	Appointment of Auditor	Mgmt	For
8	Increase of Registered Capital	Mgmt	For
9	Amendments to Articles	Mgmt	For

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10	Shareholder Returns Plan	Mgmt	For	For	For
11	Extension of Trading Suspension for Major Assets Restructuring	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Shanghai RAAS Blood Products Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002252	CINS Y7687Z100	07/19/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Continued Trade Suspension	Mgmt	For	Against	Against
<hr/>					
Shanghai RAAS Blood Products Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002252	CINS Y7687Z100	09/13/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Waiver of Preemptive Rights	Mgmt	For	For	For
<hr/>					
Shanghai SMI Holding Co Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600649	CINS Y7689R106	11/01/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
2	Amendments to Procedural Rules: Shareholders Meetings	Mgmt	For	For	For
3	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Shanghai Tunnel Engineering Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600820	CINS Y7692K106	06/19/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Board Authorization to	Mgmt	For	For	For

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Handle Related Party  
Transactions

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### Shanghai Tunnel Engineering Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600820	CINS Y7692K106	10/31/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Appointment of Auditor	Mgmt	For
2	Amendments to Articles	Mgmt	For

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### Shanghai Wangsu Science & Technology Co.

Ticker	Security ID:	Meeting Date	Meeting Status
300017	CINS Y7689Q108	08/01/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Election of ZHOU Liping	Mgmt	For
2	Purchase of Wealth Management Products with Idle Funds	Mgmt	For
3	CASH MANAGEMENT WITH IDLE RAISED FUNDS	Mgmt	For
4	Audit Report and Pro Forma Review Report for Major Asset Purchase	Mgmt	For

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### Shanghai Wangsu Science & Technology Co.

Ticker	Security ID:	Meeting Date	Meeting Status
300017	CINS Y7689Q108	09/07/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Termination of Restricted Stock Plan	Mgmt	For
2	Connected Transaction for Granting Stock Options	Mgmt	For
3	Amendments to Articles	Mgmt	For

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### Shanghai Zhangjiang Hi-tech Park Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600895	CINS Y7699D105	06/15/2018	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Appointment of Auditor	Mgmt	For

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	and Internal Control Auditor				
7	2018 FINANCING AND STOCK CAPITAL MANAGEMENT	Mgmt	For	Against	Against
8	Liability Insurance	Mgmt	For	For	For

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Shanghai Zhangjiang Hi-tech Park Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600895	CINS Y7699D105	12/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Elect LIU Ying	Mgmt	For	For	For
5	Elect XI Yongping	Mgmt	For	For	For
6	Elect CHEN Yamin	Mgmt	For	Against	Against
7	Elect JIN Mingda	Mgmt	For	For	For
8	Elect LI Ruoshan	Mgmt	For	For	For
9	Elect YOU Jianxin	Mgmt	For	For	For
10	Elect CHEN Zhijun	Mgmt	For	Against	Against
11	Elect WU Xiaomin	Mgmt	For	For	For

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Shanxi Meijin Energy Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
000723	CINS Y26777105	05/29/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Authority to Issue Overseas Bonds and Full Authorization to Board to Handle the Issuance	Mgmt	For	For	For
10	Guarantee for Issuance of Overseas Bonds by Overseas Wholly-owned Subsidiary	Mgmt	For	For	For
11	Amendments to External Guarantee Management System	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	IMPLEMENTING RULES FOR CUMULATIVE VOTING SYSTEM	Mgmt	For	For	For
14	Authority to Give Guarantees For	Mgmt	For	For	For

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Existing Bank Loans  
of  
Subsidiaries

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Shanxi Meijin Energy Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status			
000723	CINS Y26777105	06/27/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	2018 Restricted Stock Incentive Plan(Draft) and Its Summary	Mgmt	For	For	For	
2	APPRAISAL MANAGEMENT MEASURES FOR 2018 RESTRICTED STOCK INCENTIVE PLAN	Mgmt	For	For	For	
3	Authorization to The Board to Handle Matters in Relation to the 2018 Restricted Stock Incentive Plan	Mgmt	For	For	For	
4	Implementing Plan for Share Compensation Involved in the Performance Commitments Regarding Underlying Assets of the 2015 Major Assets Restructuring	Mgmt	For	For	For	
5	Full Authorization to The Board to Handle Matters in Relation to The Share Repurchase and Cancellation	Mgmt	For	For	For	
6	Amendments to Articles	Mgmt	For	For	For	
7	Application for Extension of Trading Suspension for Planning Major Assets Restructuring	Mgmt	For	For	For	

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Shanxi Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
002500	CINS Y7700J109	02/01/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Amendments to Procedural Rules	Mgmt	For	For	For	
3	Election of Directors	Mgmt	For	For	For	

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Shanxi Securities Company Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
002500	CINS Y7700J109	04/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	For	For
6	Shanxi Financial Investment Holding Group	Mgmt	For	For	For
7	Taiyuan Iron and Steel (Group)	Mgmt	For	For	For
8	Shanxi International Power Group	Mgmt	For	For	For
9	Other Related Parties	Mgmt	For	For	For
10	Deutsche Bank	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	Against	Against
12	Directors' Fees	Mgmt	For	For	For
13	Performance Report of the Board of Senior Management for the Last Fiscal Year	Mgmt	For	For	For
14	Scale	Mgmt	For	For	For
15	Method	Mgmt	For	For	For
16	Bond Duration	Mgmt	For	For	For
17	Interest Rate and Its Determination Method, Roll-over and Interest Rate Adjustment	Mgmt	For	For	For
18	Target	Mgmt	For	For	For
19	Purpose of the Raised Funds	Mgmt	For	For	For
20	Listing Arrangement	Mgmt	For	For	For
21	Guarantee Arrangement	Mgmt	For	For	For
22	Repayment Guarantee Measures	Mgmt	For	For	For
23	The Valid Period of the Resolution	Mgmt	For	For	For
24	Authorization for the Issuance	Mgmt	For	For	For
25	Scale	Mgmt	For	For	For
26	Method	Mgmt	For	For	For
27	Duration	Mgmt	For	For	For
28	Interest Rate	Mgmt	For	For	For
29	Target	Mgmt	For	For	For
30	Purpose of the Raised Funds	Mgmt	For	For	For
31	The Valid Period of The	Mgmt	For	For	For

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	Resolution				
32	Authorization for The Issuance	Mgmt	For	For	For
33	Authorization of Legal Formalities	Mgmt	For	For	For
34	Type	Mgmt	For	For	For
35	Scale	Mgmt	For	For	For
36	Par value	Mgmt	For	For	For
37	Bond Duration	Mgmt	For	For	For
38	Interest Rate	Mgmt	For	For	For
39	Time and Method for Paying The Principal and Interests	Mgmt	For	For	For
40	Conversion Period	Mgmt	For	For	For
41	Determination and Adjustment of the Conversion Price	Mgmt	For	For	For
42	Downward Adjustment of Conversion Price	Mgmt	For	For	For
43	Determining Method for The Number of Converted Shares	Mgmt	For	For	For
44	Redemption Clauses	Mgmt	For	For	For
45	Resale Clauses	Mgmt	For	For	For
46	Attribution of Related Dividends fro Conversion Years	Mgmt	For	For	For
47	Targets and Method	Mgmt	For	For	For
48	Arrangements for Placement to Existing Shareholders	Mgmt	For	For	For
49	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
50	Purpose of the Raised Funds	Mgmt	For	For	For
51	Guarantee Matters	Mgmt	For	For	For
52	Management of Raised Funds and Its Deposit Account	Mgmt	For	For	For
53	The Valid Period of the Resolution	Mgmt	For	For	For
54	Preplan for Issue Convertible Bonds	Mgmt	For	For	For
55	Feasibility report of Issuing Convertible Bonds	Mgmt	For	For	For
56	Raised funds report	Mgmt	For	For	For
57	Commitments of Management for Dilution Measures	Mgmt	For	For	For
58	Board Authorization	Mgmt	For	For	For
59	Constitution of Procedural	Mgmt	For	For	For

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### Rules

Shanxi Xinghuacun Fen Wine Factory Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
600809	CINS Y77013103	06/26/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Independent Directors' Report	Mgmt	For	For	For	
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Annual Report	Mgmt	For	For	For	
7	2018 CONTINUING CONNECTED TRANSACTIONS PLAN	Mgmt	For	For	For	
8	2017 ADDITIONAL CONTINUING CONNECTED TRANSACTIONS PLAN	Mgmt	For	For	For	
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
10	Amendments to Articles	Mgmt	For	For	For	
11	ADJUSTMENT OF ALLOWANCE FOR INDEPENDENT DIRECTORS AND SUPERVISORS	Mgmt	For	For	For	
Shanxi Xishan Coal and Electricity Power Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
000983	CINS Y7701C103	05/18/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Related Party Transactions for 2017	Mgmt	For	For	For	
8	Related Party Transactions for 2018	Mgmt	For	For	For	
9	Intra-company Contracts/Control Agreements	Mgmt	For	For	For	
10	Authority to Give Guarantees	Mgmt	For	For	For	
11	Authority to Give Guarantees	Mgmt	For	For	For	

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12	Independent Directors' Report	Mgmt	For	For	For
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Shanxi Xishan Coal and Electricity Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000983	CINS Y7701C103	08/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Shanxi Xishan Coal and Electricity Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000983	CINS Y7701C103	10/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	WANG YUBAO	Mgmt	For	For	For
2	LI XINGREN	Mgmt	For	For	For
3	GUO FUZHONG	Mgmt	For	For	For
4	FAN DAHONG	Mgmt	For	For	For
5	ZHI YAYI	Mgmt	For	For	For
6	HUANG ZHENTAO	Mgmt	For	For	For
7	ZHAO LIXIN	Mgmt	For	For	For
8	LI YONGQING	Mgmt	For	For	For
9	CAO SHENGGEN	Mgmt	For	For	For
10	ZHOU JIAN	Mgmt	For	For	For
11	WANG Yongxin	Mgmt	For	Against	Against
12	LI Xiaodong	Mgmt	For	Against	Against
13	MENG Jun	Mgmt	For	Against	Against
14	LI Jun	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Constitution of Procedural Rules: Shareholder General Meetings	Mgmt	For	For	For

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Shanxi Xishan Coal and Electricity Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000983	CINS Y7701C103	12/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Related Party Transactions: Capacity Replacement and Index	Mgmt	For	For	For
3	Acquisition of Assets	Mgmt	For	For	For
4	Related Party Transactions: Purchase of Fuel	Mgmt	For	For	For

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Shenwan Hongyuan Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000166	CINS Y774B4102	05/11/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	2018 SCHEME FOR AUTHORIZATION TO THE BOARD	Mgmt	For	For	For
7	Shareholder Returns Plan	Mgmt	For	For	For
8	Issuing Scale	Mgmt	For	For	For
9	Issuing Method	Mgmt	For	For	For
10	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
11	Bond Duration	Mgmt	For	For	For
12	Bond Type	Mgmt	For	For	For
13	Interest Rate and Its Determining Method	Mgmt	For	For	For
14	Issuing Targets	Mgmt	For	For	For
15	Listing Place	Mgmt	For	For	For
16	Purpose of The Raised Funds	Mgmt	For	For	For
17	Guarantee Matters	Mgmt	For	For	For
18	The Valid Period of The Resolution	Mgmt	For	For	For
19	Authorization for The Issuance	Mgmt	For	For	For

Shenwan Hongyuan Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000166	CINS Y774B4102	10/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

Shenzhen Goodix Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
603160	CINS Y774BW100	06/22/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustments to Allocation of Profits/Dividends	Mgmt	For	For	For

Shenzhen Huiding Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
603160	CINS Y774BW100	03/26/2018	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Restricted Stock Incentive Plan	Mgmt	For	For	For
3	Constitution of Procedural Rules: Restricted Stock Plan	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Election of Directors	Mgmt	For	For	For
7	Election of Supervisors	Mgmt	For	For	For

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### Shenzhen Huiding Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
603160	CINS Y774BW100	05/18/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2017 Annual Report	Mgmt	For	For	For
2	Annual Accounts	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Special Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
5	Work Report of Board of Directors	Mgmt	For	For	For
6	Work Report of Board of Independent Directors	Mgmt	For	For	For
7	Work Report of Supervisory Committee	Mgmt	For	For	For
8	Cash Management with Idle Funds	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Amendments to Procedural Rules	Mgmt	For	For	For
11	Amendments to Procedural Rules	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For

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### Shenzhen Inovance Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300124	CINS Y7744Z101	05/17/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For

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2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Remuneration for Directors	Mgmt	For	For	For
6	Remuneration for Supervisors	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	PURCHASE OF WEALTH MANAGEMENT PRODUCTS WITH IDLE PROPRIETARY FUNDS	Mgmt	For	For	For
9	PURCHASE OF WEALTH MANAGEMENT PRODUCTS FROM BANKS WITH IDLE RAISED FUNDS	Mgmt	For	For	For
10	Repurchase and Cancellation of Locked Restricted Stocks Granted to Plan Participants Who Left the Company (Relevant to 3rd Phase Equity Incentive Plan)	Mgmt	For	For	For
11	Repurchase and Cancellation of Locked Restricted Stocks Granted to Plan Participants Who Left the Company (Relevant to 3rd Phase Equity Incentive Plan)	Mgmt	For	For	For
12	Repurchase and Cancellation of Locked Restricted Stocks Granted to Plan Participants Who Left the Company (Relevant to 3rd Phase Equity Incentive Plan)	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Amendments to Procedure and Rules for Major Investment Decision-making	Mgmt	For	Abstain	Against

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Shenzhen Inovance Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300124	CINS Y7744Z101	11/16/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

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1	Elect ZHU Xingming	Mgmt	For	For	For
2	Elect SONG Jun'en	Mgmt	For	For	For
3	Elect LI Juntian	Mgmt	For	For	For
4	Elect ZHOU Bin	Mgmt	For	For	For
5	Elect LIU Yuchuan	Mgmt	For	For	For
6	Elect WANG Wei	Mgmt	For	For	For
7	Elect QU Jian	Mgmt	For	For	For
8	Elect ZHAO Zhengming	Mgmt	For	For	For
9	Elect GONG Yin	Mgmt	For	For	For
10	Elect BAI Ziping	Mgmt	For	Against	Against
11	Elect LIU Guowei	Mgmt	For	For	For
12	Amendments to Procedural Rules: Investment Decision Making Process	Mgmt	For	Abstain	Against
13	Authority to Repurchase Shares (1)	Mgmt	For	For	For
14	Amendments to Registered Capital and Articles	Mgmt	For	For	For
15	Authority to Repurchase Shares (2)	Mgmt	For	For	For
16	Authority to Repurchase Shares (3)	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For
18	Completion of Projects and Use of Proceeds	Mgmt	For	For	For

Shenzhen Kingdom Sci-tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	08/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

Shenzhen Kingdom Sci-tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	10/17/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

Shenzhen Kingdom Sci-tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	11/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Approval of Line of Credit	Mgmt	For	For	Mgmt For
2	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against

Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	07/28/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Adjustment to Share Repurchase and Cancellation of Restricted Stocks	Mgmt	For	For	For
3	Secondary Amendments to Articles	Mgmt	For	For	For
4	Restricted Stock Repurchase	Mgmt	For	For	For
5	Tertiary Amendments to Articles	Mgmt	For	For	For
6	Authority to Give Guarantees and Bank Credit	Mgmt	For	Against	Against
7	Elect CAI Yuanqing	Mgmt	For	For	For

Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	09/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Hanbin as Independent Director	Mgmt	For	For	For

Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	11/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect CAI Rongjun	Mgmt	For	For	For
2	Elect CAI Gaoxiao	Mgmt	For	For	For
3	Elect HUANG Lihui	Mgmt	For	For	For
4	Elect ZHAO Wei	Mgmt	For	For	For
5	Elect GUAN Saixin	Mgmt	For	For	For
6	Elect MA Jingren	Mgmt	For	For	For
7	Elect CAI Yuanqing	Mgmt	For	For	For
8	Elect ZHANG Hanbin	Mgmt	For	For	For
9	Elect CHEN Junfa	Mgmt	For	For	For
10	Elect XUAN Li	Mgmt	For	Against	Against
11	Elect HAI Jiang	Mgmt	For	For	For

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12	Directors' and Supervisors' Fees	Mgmt	For	For	For
13	Amendments to Use of Proceeds	Mgmt	For	For	For
14	Amendments to Articles (1)	Mgmt	For	For	For
15	Amendments to Articles (2)	Mgmt	For	For	For
16	Adoption of Employee Stock Ownership Plan	Mgmt	For	For	For
17	Change of Company Name	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Authority to Give Guarantees	Mgmt	For	Against	Against

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Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002456	CINS Y7744T105	12/15/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Fees	Mgmt	For
2	Authority to Repurchase Shares	Mgmt	For
3	7th Phase Employee Stock Plan	Mgmt	For

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Shenzhen Overseas Chinese Town Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000069	CINS Y7742V102	05/18/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Annual Report and Its Summary	Mgmt	For
2	Director's Report	Mgmt	For
3	Supervisors' Report	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Approval of Line of Credit	Mgmt	For
6	Approval of Entrusted Loans	Mgmt	For
7	Authority to Give Guarantees	Mgmt	For
8	Approval to Offer Loans	Mgmt	For
9	Ratification of Board Acts	Mgmt	For
10	Related Party Transactions	Mgmt	For
11	Authorization of Legal Formalities	Mgmt	For
12	Issuing Scale	Mgmt	For
13	Bond Maturity	Mgmt	For

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14	Interest Rate	Mgmt	For	For	For
15	Issuing Methods	Mgmt	For	For	For
16	Matters of Guarantees	Mgmt	For	For	For
17	Redemption Clauses and Resale Clauses	Mgmt	For	For	For
18	Use of the Raised Funds	Mgmt	For	For	For
19	Target	Mgmt	For	For	For
20	Listing Agreement	Mgmt	For	For	For
21	The Company's Credit Conditions and Repayment Guarantee Measures	Mgmt	For	For	For
22	The Valid Period of The Resolution	Mgmt	For	For	For
23	Ratification of Board Acts	Mgmt	For	For	For
24	Appointment of Auditor	Mgmt	For	For	For

Shenzhen Overseas Chinese Town Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000069	CINS Y7742V102	12/15/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Articles	Mgmt	For
2	Re-Appointment of Auditor	Mgmt	For
3	Change in Purpose of Raised Funds	Mgmt	For

Shenzhen Salubris Pharmaceuticals Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002294	CINS Y77443102	02/09/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect YAN Jie	Mgmt	For
2	Appointment of Auditor	Mgmt	For

Shenzhen Salubris Pharmaceuticals Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002294	CINS Y77443102	04/16/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Appointment of Auditor	Mgmt	For
6	Authority to Give Guarantees	Mgmt	For

Shenzhen Sunway Communication Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
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300136	CINS Y77453101	06/27/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	2017 INTERNAL CONTROL SELF-EVALUATION REPORT	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Decrease of Registered Capital	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	LAUNCHING FOREIGN EXCHANGE HEDGING BUSINESS	Mgmt	For	For	For
12	Approval for Line of Credit	Mgmt	For	For	For
13	Adjustment of Plan for Repurchase and Cancellation of Restricted Stocks in Equity Incentive Plan	Mgmt	For	For	For

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Shenzhen Sunway Communication Co., Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
300136	CINS Y77453101	12/21/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Second Phase Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Project Construction	Mgmt	For	For	For
5	Secondary Project Construction	Mgmt	For	For	For

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Shenzhen YUTO Packaging Technology Co Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
002831	CINS Y7T460102	01/29/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Equity Incentive Plan	Mgmt	For	For	For

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2	Management Measures on Equity Incentive Plan	Mgmt	For	For	For
3	Board Authorization to Implement Equity Incentive Plan	Mgmt	For	For	For

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Shenzhen YUTO Packaging Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002831	CINS Y7T460102	05/10/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Internal Control Self-Assessment	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Increase of Foreign Exchange Hedging Quota	Mgmt	For	For	For
10	Approval to Engage in Asset Pool Business	Mgmt	For	For	For
11	Investment in Wealth Management Products	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Shareholder Returns Plan	Mgmt	For	For	For

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Shenzhen YUTO Packaging Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002831	CINS Y7T460102	12/18/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Business Scope and Articles	Mgmt	For	For	For
2	Directors' Fees	Mgmt	For	For	For
3	Constitution of Procedural Rules	Mgmt	For	For	For

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Shenzhen Zhongjin Lingnan Nonfemet Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000060	CINS Y77458100	04/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For

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### Shenzhen Zhongjin Lingnan Nonfemet Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000060	CINS Y77458100	11/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Declare Interim Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
4	Amendments to Procedural Rules: General Meeting of Shareholders	Mgmt	For	For	For
5	Amendments to Procedural Rules: Board of Directors	Mgmt	For	For	For
6	Amendments to Procedural Rules: Board of Supervisors	Mgmt	For	For	For
7	Amendments to Working Policy on Independent Directors	Mgmt	For	For	For

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### Siasun Robot and Automation Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300024	CINS Y7912E104	04/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Elect HU Tianlong as Independent director	Mgmt	For	For	For

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### Sichuan Chuantou Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600674	CINS Y7925C103	02/26/2018	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Previously Raised Funds	Mgmt	For	For	For	
3	Authentication Report for Previously Raised Funds	Mgmt	For	For	For	
4	Capital Increase Agreement	Mgmt	For	For	For	
5	Amendments to Procedural Rules: Meeting of Convertible Bondholders	Mgmt	For	Abstain	Against	
6	Revision of Promise of Peer Competition	Mgmt	For	For	For	
7	Amendments to Procedural Rules: Management Policy for Related Party Transactions	Mgmt	For	Abstain	Against	
8	Approval of Borrowing	Mgmt	For	For	For	
9	Approval of Financing Quota	Mgmt	For	For	For	

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Sichuan Chuantou Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600674	CINS Y7925C103	05/18/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Director's Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	2018 Financial Work Report	Mgmt	For	Abstain	Against	
6	Authority to Issue Super Short-Term Bills	Mgmt	For	Abstain	Against	
7	Authority to Issue Debt Instruments	Mgmt	For	Abstain	Against	
8	Annual Report and Its Summary	Mgmt	For	For	For	
9	Appointment of Auditor	Mgmt	For	For	For	
10	Elect LIU Guoqiang	Mgmt	For	For	For	
11	Elect LIU Tabin	Mgmt	For	For	For	
12	Elect LI Wenzhi	Mgmt	For	For	For	
13	Elect YANG Hong	Mgmt	For	For	For	
14	Elect CHEN Changjiang	Mgmt	For	For	For	
15	Elect MAO Xuegong	Mgmt	For	For	For	
16	Elect ZHAO Zhichao	Mgmt	For	For	For	
17	Elect WANG Minpu	Mgmt	For	For	For	
18	Elect SHENG Yi	Mgmt	For	For	For	
19	Elect YAO Guoshou	Mgmt	For	For	For	
20	Elect WANG Xiuping	Mgmt	For	For	For	

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21	Elect ZHENG Shihong	Mgmt	For	Against	Against
22	Elect NI Sha	Mgmt	For	For	For
23	Elect WANG Jingyi	Mgmt	For	Against	Against
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sichuan Chuantou Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600674	CINS Y7925C103	06/05/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authorization of Legal Formalities	Mgmt	For	For	For
2	Type of Bonds	Mgmt	For	For	For
3	Issuing Scale	Mgmt	For	For	For
4	Bond Duration	Mgmt	For	For	For
5	Par Value and Issue Price	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Time and Method for Paying the Principal and Interest	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Determination of The Conversion Price	Mgmt	For	For	For
10	Adjustment and Calculation Method of Conversion Price	Mgmt	For	For	For
11	Provisions on Downward Adjustment of The Conversion Price	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Attribution of Related Dividends After the Conversion	Mgmt	For	For	For
15	Issuing Targets and Method	Mgmt	For	For	For
16	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
	Matters Regarding Bondholders Meetings				
17	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
18	Purpose of The Raised Funds	Mgmt	For	For	For
19	Guarantee Matters	Mgmt	For	For	For
20	The Valid Period of The Plan for Convertible Bond Issuance	Mgmt	For	For	For
21	Feasibility Analysis Report on The Use of Raised	Mgmt	For	For	For

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22	Funds NO NEED TO PREPARE A REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
23	Diluted Immediate Return After the Public Issuance of Convertible Corporate Bonds and Filing Measures	Mgmt	For	For	For
24	Constitution of Procedural Rules	Mgmt	For	For	For
25	Ratification of Board Acts	Mgmt	For	For	For

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Sichuan Chuantou Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600674	CINS Y7925C103	07/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
3	Bond Type	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Duration of Bond	Mgmt	For	For	For
6	Par Value and Price of Issuance	Mgmt	For	For	For
7	Interest Rates	Mgmt	For	For	For
8	Payment of Interests	Mgmt	For	For	For
9	Conversion Period	Mgmt	For	For	For
10	Determination of Conversion Price	Mgmt	For	For	For
11	Adjustment and Calculation Method of Conversion Price	Mgmt	For	For	For
12	Downward Adjustment on Conversion Price	Mgmt	For	For	For
13	Redemption Clauses	Mgmt	For	For	For
14	Resale Clauses	Mgmt	For	For	For
15	Dividend Distribution After Conversion	Mgmt	For	For	For
16	Targets and Method of Issuance	Mgmt	For	For	For
17	Placement to Shareholders	Mgmt	For	For	For
18	Bondholders' Meeting	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Guarantees	Mgmt	For	For	For
21	Validity of Resolution	Mgmt	For	For	For
22	Signing of Capital Increase Agreement	Mgmt	For	For	For

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23	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
24	Verification Report on Proceeds	Mgmt	For	For	For
25	Approval of Dilution Measures for Bond Issuance	Mgmt	For	For	For
26	Procedural Rules for Bondholders' Meetings	Mgmt	For	For	For
27	Shareholder Returns Plan	Mgmt	For	For	For
28	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
29	Amendments to Articles	Mgmt	For	Abstain	Against
30	Elect NI Sha as Supervisor	Mgmt	For	Against	Against

Sinolink Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600109	CINS Y8004P106	02/01/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules	Mgmt	For	For	For
3	Election of Directors	Mgmt	For	For	For

Sinolink Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600109	CINS Y8004P106	04/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	De facto Controller and Other Affiliated Entities	Mgmt	For	For	For
8	Tsinghua Holdings	Mgmt	For	For	For
9	Guojin Funds Management	Mgmt	For	For	For
10	Directors, Supervisors and Senior Management	Mgmt	For	For	For
11	Directors' Fees for 2017	Mgmt	For	For	For
12	Supervisors' Fees 2017	Mgmt	For	For	For
13	Shareholders' Return Plan for the Next	Mgmt	For	For	For

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	Three Years (2018-2020)					
14	Approval of Amendments to the Pre-plan for Issuance of the Convertible Bonds	Mgmt	For	For	For	For
15	Approval of Amended Pre-plan of the Issuance of Convertible Bonds	Mgmt	For	For	For	For
16	Amendments to The Feasibility Analysis Report on The Use of Funds to Be Raised from The Public Issuance of Convertible Corporate Bonds	Mgmt	For	For	For	For
17	Approval of Dilution Measures	Mgmt	For	For	For	For

Sinolink Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600109	CINS Y8004P106	09/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
2	Type of Securities	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Time and Method for Interest Repayment	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
10	Downward Adjustment of Conversion Price	Mgmt	For	For	For
11	Determination Method for Conversion	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Dividend Distribution	Mgmt	For	For	For
15	Issuance Targets and Method	Mgmt	For	For	For
16	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For

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17	Matters Regarding Bondholders Meeting	Mgmt	For	For	For
18	Purpose of Raised Funds	Mgmt	For	For	For
19	Guarantee Matters	Mgmt	For	For	For
20	Depository of Raised Funds	Mgmt	For	For	For
21	Validity Period	Mgmt	For	For	For
22	Preplan for Issuance	Mgmt	For	For	For
23	Feasibility Report	Mgmt	For	For	For
24	Diluted Immediate Returns	Mgmt	For	For	For
25	Statement on Use of Previously Raised Funds	Mgmt	For	For	For
26	Board Authorization to Implement Convertible Bond Issuance	Mgmt	For	For	For

Sinopec Oilfield Service Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
1033	CINS Y9841Z109	02/08/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' and Supervisors' Fees	Mgmt	For	For	For
3	Finance Leasing Agreement	Mgmt	For	For	For
4	Elect JIAO Fangzheng	Mgmt	For	Against	Against
5	Elect SUN Qingde	Mgmt	For	For	For
6	Elect CHEN Xikun	Mgmt	For	For	For
7	Elect YE Guohua	Mgmt	For	For	For
8	Elect LU Baoping	Mgmt	For	For	For
9	Elect FAN Zhonghai	Mgmt	For	For	For
10	Elect JIANG Bo	Mgmt	For	For	For
11	Elect ZHANG Huaqiao	Mgmt	For	Against	Against
12	Elect PAN Ying	Mgmt	For	For	For
13	Elect ZOU Huiping	Mgmt	For	For	For
14	Elect DU Jiang Bo	Mgmt	For	Against	Against
15	Elect ZHANG Qin	Mgmt	For	Against	Against
16	Elect ZHANG Jianbo	Mgmt	For	Against	Against

Sinopec Oilfield Service Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
1033	CINS Y9841Z109	06/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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6	Elect WEI Ran	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Elect CHEN Weidong	Mgmt	For	For	For
9	Elect DONG Xiucheng	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Sinopec Oilfield Service Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
1033	CINS Y9841Z109	11/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Class and par value of shares	Mgmt	For	For	For
3	Methods of issuance	Mgmt	For	For	For
4	Subscribers	Mgmt	For	For	For
5	Method of subscription	Mgmt	For	For	For
6	Issue price and pricing policy	Mgmt	For	For	For
7	Number of shares	Mgmt	For	For	For
8	Total proceeds and use of proceeds	Mgmt	For	For	For
9	Lock-up period and place of listing	Mgmt	For	For	For
10	Arrangement for the accumulated profits	Mgmt	For	For	For
11	Relationship between issuance of A Shares and H Shares	Mgmt	For	For	For
12	Validity of resolution	Mgmt	For	For	For
13	Conditional Subscription Agreements	Mgmt	For	For	For
14	Related Party Transactions	Mgmt	For	For	For
15	Resolution on Non-public Issuance of A Shares	Mgmt	For	For	For
16	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
17	Report on Use of Proceeds from Previous Fund Raising Activity	Mgmt	For	For	For
18	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
19	Shareholder Returns Plan (2017-2019)	Mgmt	For	For	For
20	Board Authority to	Mgmt	For	For	For

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	Implement Issuance of A Shares and H Shares					
21	Qi Xin Gong Ying Management Investment Plan	Mgmt	For	For	For	
22	Approval of Trustee Agreement	Mgmt	For	For	For	
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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Sinopec Oilfield Service Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
1033	CINS Y9841Z109	11/16/2017	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and par value of shares	Mgmt	For	For	For
2	Methods of issuance	Mgmt	For	For	For
3	Subscribers	Mgmt	For	For	For
4	Method of subscription	Mgmt	For	For	For
5	Issue price and pricing policy	Mgmt	For	For	For
6	Number of shares	Mgmt	For	For	For
7	Total proceeds and use of proceeds	Mgmt	For	For	For
8	Lock-up period and place of listing	Mgmt	For	For	For
9	Arrangement for Accumulated Profits	Mgmt	For	For	For
10	Relationship between issuance of A Shares and H Shares	Mgmt	For	For	For
11	Validity of resolution	Mgmt	For	For	For
12	Conditional Subscription Agreements	Mgmt	For	For	For
13	Related Party Transactions	Mgmt	For	For	For
14	Resolution on Non-public Issuance of A Shares	Mgmt	For	For	For
15	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
16	Board Authority to Implement Issuance of A Shares and H Shares	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sinopec Shanghai Petrochemical Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
0338	CINS Y80373114	06/13/2018	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For
6	Appointment of International and Domestic Auditors and Authority to Set Fees	Mgmt	For	For	For
7	Elect JIN Wenmin	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Sinopec Shanghai Petrochemical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0338	CINS Y80373114	08/02/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Elect LI Yuanqin	Mgmt	For	For	For

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### Songcheng Performance Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300144	CINS Y30421104	04/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Audit Report	Mgmt	For	For	For
5	Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

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### Songcheng Performance Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300144	CINS Y30421104	11/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Management Policy	Mgmt	For	For	For

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### Soochow Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601555	CINS Y8074Z117	04/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	2018 Proprietary Investment Quota	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For

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Soochow Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601555	CINS Y8074Z117	11/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Volume	Mgmt	For	For	For
3	Bond Duration	Mgmt	For	For	For
4	Issuance Method and Targets	Mgmt	For	For	For
5	Interest Rate and Determination Method	Mgmt	For	For	For
6	Extension of Bond Duration and Interest Rate Adjustment	Mgmt	For	For	For
7	Purpose of Raised Funds	Mgmt	For	For	For
8	Validity Period	Mgmt	For	For	For
9	Authorization	Mgmt	For	For	For
10	Guarantee Issues	Mgmt	For	For	For
11	Eligibility for Rights Issuance	Mgmt	For	For	For
12	Securities Type	Mgmt	For	For	For
13	Method	Mgmt	For	For	For
14	Amount	Mgmt	For	For	For
15	Pricing	Mgmt	For	For	For
16	Targets	Mgmt	For	For	For
17	Purpose	Mgmt	For	For	For
18	Issuance Date	Mgmt	For	For	For
19	Underwriting Method	Mgmt	For	For	For
20	Retained Profit	Mgmt	For	For	For
21	Validity Period	Mgmt	For	For	For
22	Listing Place	Mgmt	For	For	For
23	Preplan for Rights Issuance	Mgmt	For	For	For
24	Feasibility Analysis Report	Mgmt	For	For	For
25	Use of Previously Raised Funds	Mgmt	For	For	For
26	Shareholder Return Plan	Mgmt	For	For	For

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27	Diluted Returns Measures	Mgmt	For	For	For
28	Authorization for Rights Issuance	Mgmt	For	For	For
29	ZHENG Gang	Mgmt	For	For	For
30	YIN Chen	Mgmt	For	For	For
31	QUAN Xiaofang	Mgmt	For	For	For
32	Amendments to Articles	Mgmt	For	For	For

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Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600369	CINS Y8103U105	05/24/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Chongqing Yufu Holding Group Co., Ltd., Chongqing Yufu Asset Management Group Co., Ltd.	Mgmt	For	For	For
5	Chongqing Jiangbeizui Central Business District Investment Group Co., Ltd. and its subsidiaries	Mgmt	For	For	For
6	China Jianyin Investment Co., Ltd., China Construction Investment Trust Co., Ltd. and subsidiaries of these entities	Mgmt	For	For	For
7	Chongqing City Construction Investment Co., Ltd. and its subsidiaries	Mgmt	For	For	For
8	Chongqing Expressway Group Co., Ltd. and its subsidiaries	Mgmt	For	For	For
9	Excluding related transactions other than transactions of 4.01-4.05	Mgmt	For	For	For
10	Annual Report	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Supervisors' Report	Mgmt	For	For	For

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Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600369	CINS Y8103U105	07/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Approval of Donations	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Appointment of Internal Control Auditor	Mgmt	For	For	For

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Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600369	CINS Y8103U105	09/22/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Spring Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601021	CINS Y8131G102	05/21/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Supervisors' Fees	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Shareholder Returns Plan	Mgmt	For	For	For
12	Amendments to Use of Proceeds	Mgmt	For	For	For

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Spring Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601021	CINS Y8131G102	09/14/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authorization of Legal Formalities	Mgmt	For	For	For
2	Stock Price Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Pricing	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Amount and Purpose of Raised Funds	Mgmt	For	For	For

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9	Accumulated Retained Profits	Mgmt	For	For	For
10	Validity Period	Mgmt	For	For	For
11	Preplan of Private Placement	Mgmt	For	For	For
12	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
13	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
14	Measures for Diluted Returns	Mgmt	For	For	For
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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### STO Express Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002468	CINS Y988B5105	01/11/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Cash Management	Mgmt	For	For	For
2	Investment in Wealth Management Products	Mgmt	For	For	For

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### STO Express Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002468	CINS Y988B5105	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Amendments to Use of Proceeds	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For

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### Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	01/12/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Introduction of Strategic Investors and Related Party Transactions	Mgmt	For	For	For

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### Suning Commerce Group Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	01/31/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Companys Name	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	09/08/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Board Authorization to Implement External Investment	Mgmt	For	For	For

Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	12/01/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Dispose of Financial Asset	Mgmt	For	For	For
2	FINANCIAL AID QUOTA FOR SUBSIDIARIES	Mgmt	For	For	For
3	Expansion of Business Scope	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

Suning Universal Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000718	CINS Y8234T100	10/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	ZHANG Guiping	Mgmt	For	For	For
2	ZHANG Kangli	Mgmt	For	For	For
3	LI Wei	Mgmt	For	For	For
4	GUO Rujin	Mgmt	For	For	For
5	ZHAO Shuming	Mgmt	For	For	For
6	ZHOU Kai	Mgmt	For	For	For
7	WU Bin	Mgmt	For	For	For
8	NI Peiling	Mgmt	For	Against	Against
9	LI Jun	Mgmt	For	For	For

Suning.com Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	02/27/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Repurchase Method	Mgmt	For	For	For
2	Price and Pricing Principles	Mgmt	For	For	For
3	Type, Number and Percentage of Shares	Mgmt	For	For	For
4	Total Amount and Source of Funds	Mgmt	For	For	For
5	Time Limit	Mgmt	For	For	For
6	Board Authorization to Repurchase Share	Mgmt	For	For	For

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Suning.com Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	05/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Approval of Risk Investment	Mgmt	For	For	For
12	Investment in Wealth Management Products Using Idle Funds	Mgmt	For	For	For
13	Disposal of Equity Stake	Mgmt	For	For	For
14	Amendments to Management System for Major Investment and Finance	Mgmt	For	For	For
15	Directors' and Supervisors' Fees	Mgmt	For	For	For
16	Approval to Offer Loans	Mgmt	For	For	For

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Suning.com Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	05/30/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Amendments to Incentive Plan	Mgmt	For	For	For
2	Employee Share Purchase Plan Phase 3	Mgmt	For	For	For
3	Employee Share Purchase Plan Phase 3 Management Method	Mgmt	For	For	For
4	Board Authorization to Implement Employee Share Purchase Plan	Mgmt	For	For	For

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Sunshine City Group Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	01/15/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for a Subsidiary	Mgmt	For	Against	Against
2	Authority to Give Guarantees for a Joint Stock Subsidiary	Mgmt	For	Against	Against

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Sunshine City Group Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	02/05/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees (1)	Mgmt	For	Against	Against
2	Authority to Give Guarantees (2)	Mgmt	For	Against	Against
3	Authority to Give Guarantees (3)	Mgmt	For	Against	Against
4	Authority to Give Guarantees (4)	Mgmt	For	Against	Against
5	Authority to Give Guarantees (5)	Mgmt	For	Against	Against

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	07/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect ZHU Rongbin as	Mgmt	For	For	For

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3	Director Approval to Carry Out Innovative Assets Operation Model	Mgmt	For	For	For
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SUNSHINE CITY GROUP COMPANY

Ticker	Security ID: ISIN Y2653W108	Meeting Date 02/13/2018	Meeting Status Voted		
Meeting Type Special		Country of Trade CHINA			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility For Public Issuance of Corporate Bonds	MGMT	For	For	For
2.1	Issuing Volume	MGMT	For	For	For
2.2	Method Of Issuance	MGMT	For	For	For
2.3	Targets And Arrangement For Placement To Shareholders	MGMT	For	For	For
2.4	Par Value And Issue Price	MGMT	For	For	For
2.5	Bond Type And Bond Duration	MGMT	For	For	For
2.6	Interest Rate And Its Determination Method	MGMT	For	For	For
2.7	Method Of Paying The Principal And Interest	MGMT	For	For	For
2.8	Purpose Of The Raised Funds	MGMT	For	For	For
2.9	Redemption Or Resale Provisions	MGMT	For	For	For
2.10	Underwriting Method	MGMT	For	For	For
2.11	Guarantee Arrangements	MGMT	For	For	For
2.12	Repayment Guarantee Measures	MGMT	For	For	For
2.13	Listing Arrangement	MGMT	For	For	For
2.14	Validity of Resolution	MGMT	For	For	For
3	Eligibility For Non-Public Issuance Of Corporate Bonds	MGMT	For	For	For
4.1	Issuing Volume	MGMT	For	For	For
4.2	Method Of Issuance	MGMT	For	For	For

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4.3	Issuance Targets And Arrangement For Placement To Shareholders	MGMT	For	For	For
4.4	Par Value And Issue Price	MGMT	For	For	For
4.5	Bond Type And Bond Duration	MGMT	For	For	For
4.6	Interest Rate And Its Determination Method	MGMT	For	For	For
4.7	Method Of Paying The Principal And Interest	MGMT	For	For	For
4.8	Purpose Of The Raised Funds	MGMT	For	For	For
4.9	Redemption Or Resale Provisions	MGMT	For	For	For
4.10	Underwriting Method	MGMT	For	For	For
4.11	Guarantee Arrangements	MGMT	For	For	For
4.12	Repayment Guarantee Measures	MGMT	For	For	For
4.13	Trading Place Of The Bonds To Be Issued	MGMT	For	For	For
4.14	Validity of Resolution	MGMT	For	For	For
5	Board Authorization to Implement Public And Non-Public Issuance Of Corporate Bonds	MGMT	For	For	For
6	Authority to Give Guarantees For A Subsidiary	MGMT	For	Against	Against
7	Authority to Give Guarantees For A Second Subsidiary	MGMT	For	Against	Against
8	Authority to Give Guarantees For A Third Subsidiary	MGMT	For	Against	Against
9	Authority to Give Guarantees For A Fourth Subsidiary	MGMT	For	Against	Against
10	Authority to Give Guarantees For A	MGMT	For	Against	Against

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Fifth  
Subsidiary

11	Authority to Give Guarantees For A Sixth Subsidiary	MGMT	For	Against	Against
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SUNSHINE CITY GROUP COMPANY

Ticker	Security ID: ISIN Y2653W108	Meeting Date 03/05/2018	Meeting Status Voted
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Meeting Type Special	Country of Trade CHINA
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Authority to Give Guarantees: Jiaxing Xinlize Real Estate	MGMT	For	Against	Against
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2	Authority to Give Guarantees: Shanghai Jinzhe Real Estate	MGMT	For	Against	Against
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3	Authority to Give Guarantees: Shanghai Juanlong Real Estate	MGMT	For	Against	Against
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4	Authority to Give Guarantees: Loans of Foshan Xincai	MGMT	For	Against	Against
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SUNSHINE CITY GROUP COMPANY

Ticker	Security ID: ISIN Y2653W108	Meeting Date 03/23/2018	Meeting Status Voted
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Meeting Type Special	Country of Trade CHINA
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against
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2	GUARANTEE FOR A PRIVATE PLACEMENT FINANCING PLAN OF A SECOND SUBSIDIARY	MGMT	For	Against	Against
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3	GUARANTEE FOR A THIRD SUBSIDIARY	MGMT	For	Against	Against
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4	GUARANTEE FOR A FOURTH SUBSIDIARY	MGMT	For	Against	Against
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5	GUARANTEE FOR A FIFTH	MGMT	For	Against	Against
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### SUBSIDIARY

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SUNSHINE CITY GROUP COMPANY						
Ticker	Security ID:	Meeting Date		Meeting Status		
	ISIN Y2653W108	03/29/2018		Voted		
Meeting Type		Country of Trade				
Special		CHINA				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	ISSUANCE OF CREDITOR S RIGHTS FINANCING PLAN IN BEIJING FINANCIAL ASSETS EXCHANGE	MGMT	For	Against	Against	
2	GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against	
3	GUARANTEE FOR ANOTHER SUBSIDIARY	MGMT	For	Against	Against	

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SUNSHINE CITY GROUP COMPANY						
Ticker	Security ID:	Meeting Date		Meeting Status		
	ISIN Y2653W108	04/16/2018		Voted		
Meeting Type		Country of Trade				
Special		CHINA				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	PROVISION OF GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against	
2	PROVISION OF GUARANTEE FOR ANOTHER SUBSIDIARY	MGMT	For	Against	Against	
3	PROVISION OF GUARANTEE FOR A JOINT STOCK SUBSIDIARY	MGMT	For	Against	Against	
4	PROVISION OF GUARANTEE FOR ANOTHER JOINT STOCK SUBSIDIARY	MGMT	For	Against	Against	
5	PROVISION OF GUARANTEE FOR A THIRD JOINT STOCK SUBSIDIARY	MGMT	For	Against	Against	

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SUNSHINE CITY GROUP COMPANY						
Ticker	Security ID:	Meeting Date		Meeting Status		
	ISIN Y2653W108	05/04/2018		Voted		
Meeting Type		Country of Trade				
Special		CHINA				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	PROVISION OF GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against
2	PROVISION OF GUARANTEE FOR ANOTHER SUBSIDIARY	MGMT	For	Against	Against
3	PROVISION OF GUARANTEE FOR A THIRD SUBSIDIARY	MGMT	For	Against	Against
4	PROVISION OF GUARANTEE FOR A FOURTH SUBSIDIARY	MGMT	For	Against	Against
5	PROVISION OF GUARANTEE FOR A FIFTH SUBSIDIARY AND A SIXTH SUBSIDIARY	MGMT	For	Against	Against
6	PROVISION OF GUARANTEE FOR A JOINT STOCK SUBSIDIARY	MGMT	For	Against	Against

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SUNSHINE CITY GROUP COMPANY

Ticker	Security ID: ISIN Y2653W108	Meeting Date 05/09/2018	Meeting Status Voted			
Meeting Type Annual	Country of Trade CHINA					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	2017 WORK REPORT OF THE BOARD OF DIRECTORS	MGMT	For	For	For	
2	2017 WORK REPORT OF THE SUPERVISORY COMMITTEE	MGMT	For	For	For	
3	2017 ANNUAL REPORT AND ITS SUMMARY	MGMT	For	For	For	
4	2017 ANNUAL ACCOUNTS	MGMT	For	For	For	
5	2017 PROFIT DISTRIBUTION PLAN: THE DETAILED PROFIT DISTRIBUTION PLAN ARE AS FOLLOWS: 1) CASH DIVIDEND/10 SHARES (TAX INCLUDED):CNY0.5000000 0 2) BONUS ISSUE FROM PROFIT (SHARE/10 SHARES):NONE 3) BONUS ISSUE FROM CAPITAL RESERVE (SHARE/10	MGMT	For	For	For	

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SHARES) :NONE

6	2018 FINANCIAL BUDGET REPORT	MGMT	For	For	For
7	REAPPOINTMENT OF 2018 FINANCIAL AND INTERNAL CONTROL AUDIT FIRM: LIXIN ZHONGLIAN CPAS	MGMT	For	For	For
8	2017 REMUNERATION FOR DIRECTORS, SUPERVISORS AND SENIOR MANAGEMENT	MGMT	For	For	For
9	SPECIAL REPORT ON THE DEPOSIT AND USE OF RAISED FUNDS	MGMT	For	For	For
10	APPLICATION FOR FINANCING QUOTA TO FINANCIAL INSTITUTIONS	MGMT	For	For	For
11	AUTHORIZATION TO THE MANAGEMENT TEAM TO BID FOR LAND	MGMT	For	For	For
12	2018 GUARANTEE PLAN	MGMT	For	Against	Against
13	SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2018 TO 2020	MGMT	For	For	For
14	AMENDMENTS TO THE COMPANY S ARTICLE OF ASSOCIATION	MGMT	For	For	For

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SUNSHINE CITY GROUP COMPANY

Ticker	Security ID:	Meeting Date	Meeting Status		
	ISIN Y2653W108	05/24/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	CHINA				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	PROVISION OF GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against
2	CREDITOR S RIGHT TRANSFER AND PROVISION OF GUARANTEE	MGMT	For	Against	Against



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SUNSHINE CITY GROUP COMPANY  
 Ticker Security ID: Meeting Date Meeting Status  
 ISIN Y2653W108 07/31/2017 Voted  
 Meeting Type Country of Trade  
 Special CHINA

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	EXPANSION OF THE COOPERATION SCOPE WITH A COMPANY	MGMT	For	Against	Against
2	PROVISION OF GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against
3	PROVISION OF GUARANTEE FOR ANOTHER SUBSIDIARY	MGMT	For	Against	Against

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SUNSHINE CITY GROUP COMPANY  
 Ticker Security ID: Meeting Date Meeting Status  
 ISIN Y2653W108 08/14/2017 Voted  
 Meeting Type Country of Trade  
 Special CHINA

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	PROVISION OF GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against
2	PROVISION OF GUARANTEE FOR ANOTHER SUBSIDIARY	MGMT	For	Against	Against

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SUNSHINE CITY GROUP COMPANY  
 Ticker Security ID: Meeting Date Meeting Status  
 ISIN Y2653W108 08/18/2017 Voted  
 Meeting Type Country of Trade  
 Special CHINA

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	PROVISION OF GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against
2	PROVISION OF GUARANTEE FOR ANOTHER SUBSIDIARY	MGMT	For	Against	Against

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SUNSHINE CITY GROUP COMPANY  
 Ticker Security ID: Meeting Date Meeting Status  
 ISIN Y2653W108 09/08/2017 Voted  
 Meeting Type Country of Trade

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Special Issue No.	Description	CHINA Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	MGMT	For	For	For
2	PROVISION OF GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against

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### SUNSHINE CITY GROUP COMPANY

Ticker	Security ID: ISIN Y2653W108	Meeting Date 09/22/2017	Meeting Status Voted		
Meeting Type Special	Country of Trade CHINA				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	CHANGE OF THE ENTRUSTING PARTY IN THE GUARANTEE FOR THE COMPANY S FINANCING OF CNY1.05 BILLION PROVIDED BY A SUBSIDIARY	MGMT	For	Against	Against

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### SUNSHINE CITY GROUP COMPANY

Ticker	Security ID: ISIN Y2653W108	Meeting Date 09/29/2017	Meeting Status Voted		
Meeting Type Special	Country of Trade CHINA				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	PROVISION OF GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against
2	PROVISION OF GUARANTEE FOR THE FINANCING OF ANOTHER SUBSIDIARY	MGMT	For	Against	Against
3	PROVISION OF COUNTER GUARANTEE FOR THE LETTER OF GUARANTEE FOR LOANS OF THE SECOND SUBSIDIARY	MGMT	For	Against	Against
4	PROVISION OF GUARANTEE FOR A JOINT STOCK SUBSIDIARY	MGMT	For	Against	Against
5	CARRYING OUT INNOVATIVE ASSETS OPERATION MODEL WITH PROPERTY FEE CREDITOR	MGMT	For	Against	Against

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S RIGHT ASSET  
SECURITIZATION

6	ISSUANCE OF COMMERCIAL PROPERTY MORTGAGE LOAN ASSET-BACKED PLAN	MGMT	For	Against	Against
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SUNSHINE CITY GROUP COMPANY

Ticker	Security ID: ISIN Y2653W108	Meeting Date 10/12/2017	Meeting Status Voted		
Meeting Type Special		Country of Trade CHINA			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	CONDUCTING INNOVATIVE ASSETS OPERATION MODEL WITH THE UNDERLYING ASSETS OF SOME ACCOUNTS RECEIVABLES FROM HOUSE SALES	MGMT	For	For	For
2	PROVISION OF GUARANTEE FOR THE LOANS OF A SUBSIDIARY	MGMT	For	Against	Against
3	PROVISION OF GUARANTEE FOR THE MERGER AND ACQUISITION LOANS OF ANOTHER SUBSIDIARY	MGMT	For	Against	Against
4	PROVISION OF GUARANTEE FOR THE LOANS OF A THIRD SUBSIDIARY	MGMT	For	Against	Against
5	PROVISION OF GUARANTEE FOR THE LOANS OF A FOURTH SUBSIDIARY	MGMT	For	Against	Against
6	PROVISION OF GUARANTEE FOR THE LOANS OF A FIFTH SUBSIDIARY	MGMT	For	Against	Against
7	PROVISION OF GUARANTEE FOR THE MERGER AND ACQUISITION LOANS OF A SIXTH SUBSIDIARY	MGMT	For	Against	Against
8	PROVISION OF GUARANTEE FOR THE LOANS OF A SEVENTH SUBSIDIARY	MGMT	For	Against	Against

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9	PROVISION OF GUARANTEE FOR AN EIGHTH SUBSIDIARY	MGMT	For	Against	Against
10	SUBSIDIARIES PROVISION OF GUARANTEE FOR THE COMPREHENSIVE CREDIT LINE APPLIED FOR BY THE COMPANY	MGMT	For	Against	Against

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SUNSHINE CITY GROUP COMPANY

Ticker	Security ID: ISIN Y2653W108	Meeting Date 11/01/2017	Meeting Status Voted		
Meeting Type Special		Country of Trade CHINA			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	PROVISION OF GUARANTEE FOR LOAN APPLICATION OF A SUBSIDIARY	MGMT	For	Against	Against
2	PROVISION OF GUARANTEE FOR BUYOUT LOAN APPLICATION OF ANOTHER SUBSIDIARY	MGMT	For	Against	Against
3	PROVISION OF GUARANTEE FOR LOAN APPLICATION OF A THIRD SUBSIDIARY	MGMT	For	Against	Against
4	PROVISION OF GUARANTEE FOR LOAN APPLICATION OF A FOURTH SUBSIDIARY	MGMT	For	Against	Against
5	PROVISION OF GUARANTEE FOR LOAN APPLICATION OF A FIFTH SUBSIDIARY	MGMT	For	Against	Against
6	PROVISION OF GUARANTEE FOR LOAN APPLICATION OF A SIXTH SUBSIDIARY	MGMT	For	Against	Against
7	PROVISION OF GUARANTEE FOR FINANCING OF A JOINT STOCK SUBSIDIARY	MGMT	For	Against	Against

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SUNSHINE CITY GROUP COMPANY

Ticker	Security ID: ISIN Y2653W108	Meeting Date 11/13/2017	Meeting Status Voted		
Meeting Type Special		Country of Trade CHINA			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	ISSUANCE OF PRIVATE PLACEMENT NOTES IN THE INTER-BANK MARKET	MGMT	For	For	For
2	PROVISION OF GUARANTEE FOR LOANS OF A SUBSIDIARY	MGMT	For	Against	Against
3	PROVISION OF GUARANTEE FOR LOANS OF A JOINT STOCK SUBSIDIARY	MGMT	For	Against	Against
4	PROVISION OF GUARANTEE FOR LOANS OF ANOTHER JOINT STOCK SUBSIDIARY	MGMT	For	Against	Against

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### SUNSHINE CITY GROUP COMPANY

Ticker	Security ID:	Meeting Date	Meeting Status		
	ISIN Y2653W108	12/04/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	CHINA				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	PROVISION OF GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against
2	PROVISION OF GUARANTEE FOR ANOTHER SUBSIDIARY	MGMT	For	Against	Against
3	PROVISION OF GUARANTEE FOR A THIRD SUBSIDIARY	MGMT	For	Against	Against
4	PROVISION OF GUARANTEE FOR A FOURTH SUBSIDIARY	MGMT	For	Against	Against
5	PROVISION OF GUARANTEE FOR THE BUYOUT LOAN OF A SUBSIDIARY	MGMT	For	Against	Against
6	PROVISION OF GUARANTEE FOR ANOTHER SUBSIDIARY	MGMT	For	Against	Against
7	PROVISION OF GUARANTEE FOR A THIRD SUBSIDIARY	MGMT	For	Against	Against
8	PROVISION OF GUARANTEE FOR THE LOAN OF A	MGMT	For	Against	Against

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### FOURTH SUBSIDIARY

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
9	CHANGE OF THE COLLATERAL INVOLVED IN THE GUARANTEE FOR A FIFTH SUBSIDIARY	MGMT	For	Against	Against
10	CHANGE OF THE ENTRUSTING PARTY INVOLVED IN THE GUARANTEE FOR BUYOUT LOAN OF A SIXTH SUBSIDIARY	MGMT	For	Against	Against
11	PROVISION OF GUARANTEE FOR A SEVENTH SUBSIDIARY	MGMT	For	Against	Against

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### SUNSHINE CITY GROUP COMPANY

Ticker	Security ID: ISIN	Meeting Date	Meeting Status		
	Y2653W108	12/28/2017	Voted		
Meeting Type	Country of Trade				
Special	CHINA				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	PROVISION OF GUARANTEE FOR A SUBSIDIARY	MGMT	For	Against	Against
2	PROVISION OF GUARANTEE FOR A JOINT STOCK SUBSIDIARY	MGMT	For	Against	Against

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### Suofeiya Home Collection Company Limited

Ticker	Security ID: CINS	Meeting Date	Meeting Status		
002572	Y29336107	04/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Purchase of Wealth Management Products with Partial Idle Raised Funds	Mgmt	For	For	For
7	Purchase of Wealth Management Products with Proprietary Funds	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Shareholder Returns	Mgmt	For	For	For

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Plan (2018-2020)						
10	Industry Fund Investment	Mgmt	For	For	For	
<hr/>						
Suzhou Gold Mantis Construction and Decoration Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002081	CINS Y8315V107	05/22/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	Approval of Line of Credit	Mgmt	For	For	For	
7	Authority to Give Guarantees	Mgmt	For	For	For	
8	Launching Bill Pool Business	Mgmt	For	For	For	
9	LAUNCHING FINANCIAL ASSETS TRANSFER AND REPURCHASE BUSINESS	Mgmt	For	For	For	
10	Investment in Wealth Management Products	Mgmt	For	For	For	
11	Appointment of Auditor	Mgmt	For	For	For	
12	Intra-company Contracts/Control Agreements	Mgmt	For	For	For	
13	Expansion of Business Scope	Mgmt	For	For	For	
14	Amendments to Articles	Mgmt	For	For	For	
<hr/>						
Suzhou Gold Mantis Construction and Decoration Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002081	CINS Y8315V107	09/14/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees for Employees	Mgmt	For	Against	Against	
2	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against	
3	Authority to Give Guarantees for Subsidiaries	Mgmt	For	Against	Against	
4	Authority to Give Guarantees for Loan Application	Mgmt	For	Against	Against	
5	Authority to Give Guarantees for General Financing	Mgmt	For	Against	Against	

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6	By-election of Director	Mgmt	For	For	For
Suzhou Victory Precision Manufacture Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002426	CINS Y83165103	01/25/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Objectives And Principles	Mgmt	For	For	For
2	Management Institution	Mgmt	For	For	For
3	Basis For Determining Plan Participants And The Scope	Mgmt	For	For	For
4	Source, Number And Distribution Of The Restricted Stocks	Mgmt	For	For	For
5	Valid Period, Grant Date, Waiting Period Or Lock-Up Period, Vesting Date Or Unlocking Date And Non-Tradable Period	Mgmt	For	For	For
6	Exercise Price Or Grant Price	Mgmt	For	For	For
7	Granting And Exercising The Stock Options And For Granting And Unlocking The Restricted Stocks	Mgmt	For	For	For
8	Method And Procedure For Adjustments to Plan	Mgmt	For	For	For
9	Accounting Treatment	Mgmt	For	For	For
10	Principles For The Repurchase And Cancellation of Restricted Stocks	Mgmt	For	For	For
11	Procedure For Implementing The Plan	Mgmt	For	For	For
12	Rights And Obligations Of The Company And Plan Participants	Mgmt	For	For	For
13	Treatment Under Unusual Situations	Mgmt	For	For	For
14	Management Measures on Appraisal of 2018 Stock Option And Restricted Stock Incentive Plan	Mgmt	For	For	For

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15	Board Authorization to Implement The 2018 Stock Option And Restricted Stock Incentive Plan	Mgmt	For	For	For
16	Approval of Comprehensive Credit Line	Mgmt	For	For	For

Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002426	CINS Y83165103	04/03/2018	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Authority to Give Guarantees	Mgmt	For	Against	Against

Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002426	CINS Y83165103	05/30/2018	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Misc. Management Proposal	Mgmt	For	For	For

Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002426	CINS Y83165103	07/17/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of a Construction Project	Mgmt	For	For	For
2	Amendments to Investment Projects	Mgmt	For	For	For

Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002426	CINS Y83165103	09/04/2017	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Authority to Give Guarantees for Anhui Victory Precision Manufacturing Technology Co., Ltd	Mgmt	For	Against	Against	Against
2	Authority to Give Guarantees for Hefei Victory Electronic Technology Co., Ltd	Mgmt	For	Against	Against	Against
3	Authority to Give Guarantees for Nanjing Dele Technology Co., Ltd	Mgmt	For	Against	Against	Against
4	Authority to Give Guarantees for Qingdao Feituo Electrical Appliance Co., Ltd	Mgmt	For	Against	Against	Against
5	Authority to Give Guarantees for Victory Precision Technology (Poland) Co., Ltd	Mgmt	For	Against	Against	Against
6	Authority to Give Guarantees for Victory Technology (Hong Kong) Co., Ltd	Mgmt	For	Against	Against	Against
7	Authority to Give Guarantees for Suzhou Fuqiang Technology Co., Ltd	Mgmt	For	Against	Against	Against
8	Authority to Give Guarantees for Suzhou City Zhicheng Optical Technology Co., Ltd	Mgmt	For	Against	Against	Against
9	Authority to Give Guarantees for Kunshan Longfei Photoelectric Co., Ltd	Mgmt	For	Against	Against	Against
10	Authority to Give Guarantees for Fuqing Fu Jie Plastic Co., Ltd.	Mgmt	For	Against	Against	Against
11	Authority to Give Guarantees for Suzhou Jie Li New Energy Materials Co., Ltd	Mgmt	For	Against	Against	Against
12	Authority to Give	Mgmt	For	Against	Against	Against

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	Guarantees for Xing Gao Sheng (Xiamen) Electronic Technology Co., Ltd					
13	Authority to Receive Guarantees from a Wholly-owned Subsidiary	Mgmt	For	Against	Against	

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Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002426	CINS Y83165103	11/14/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees for a Wholly-Owned Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees for a Joint Stock Subsidiary	Mgmt	For	Against	Against

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Tasly Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600535	CINS Y88318103	01/24/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Issue Medium-term Notes	Mgmt	For	For	For
3	Investment in Wealth Management Products	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

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Tasly Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600535	CINS Y88318103	04/16/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Amendments to Procedural Rules: The	Mgmt	For	Abstain	Against

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	Professional Risk Allowance System for Senior Management				
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Authorization of Legal Formalities	Mgmt	For	For	For
12	Type	Mgmt	For	For	For
13	Method	Mgmt	For	For	For
14	Face Value	Mgmt	For	For	For
15	Scale	Mgmt	For	For	For
16	Target	Mgmt	For	For	For
17	Issue Price	Mgmt	For	For	For
18	Date	Mgmt	For	For	For
19	Commitment of the Company on Maintaining the Status of Independent Listing	Mgmt	For	For	For
20	STATEMENT ON SUSTAINABLE PROFITABILITY AND PROSPECTS OF THE COMPANY	Mgmt	For	For	For
21	Ratification of Board Acts	Mgmt	For	For	For
22	Elect YAN Kaijing	Mgmt	For	For	For
23	Elect JIANG Xiaomeng	Mgmt	For	For	For
24	Elect SUN He	Mgmt	For	For	For
25	Elect WU Naifeng	Mgmt	For	For	For
26	Elect ZHU Yonghong	Mgmt	For	For	For
27	Elect SU Jing	Mgmt	For	For	For
28	Elect GUO Yunpei	Mgmt	For	For	For
29	Elect TIAN Kunru	Mgmt	For	For	For
30	Elect LIU Xin	Mgmt	For	For	For
31	Elect YE Zhengliang	Mgmt	For	For	For
32	Elect LIU Hongwei	Mgmt	For	Against	Against
33	Elect ZHANG Shunnan	Mgmt	For	Against	Against

TBEA Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600089	CINS Y8550D101	01/29/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Par Value, Issuance Volume	Mgmt	For
2	Bond Type	Mgmt	For
3	Interest Rate	Mgmt	For
4	Purpose	Mgmt	For
5	Method of Repayment	Mgmt	For
6	Guarantee Method	Mgmt	For
7	Repayment Guarantee	Mgmt	For
8	Underwriting Method	Mgmt	For
	Listing Arrangement		
9	Issuance Targets and Arrangement	Mgmt	For
10	Authorization	Mgmt	For

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11	Validity Period	Mgmt	For	For	For
TBEA Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	02/26/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
TBEA Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	03/15/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Project Construction of a Subsidiary	Mgmt	For	For	For
TBEA Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	05/07/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Hedging and Forward Foreign Exchange Transactions	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Shareholder Returns Plan	Mgmt	For	For	For
11	Authority to Issue Medium-term Notes	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
TBEA Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	10/10/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

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2	Election of Directors	Mgmt	For	For	For
3	Authority to Issue Super and Short-term Commercial Paper	Mgmt	For	For	For
4	Issuance Volume	Mgmt	For	For	For
5	Bond Type and Bond Duration	Mgmt	For	For	For
6	Interest Rate and Determination Method	Mgmt	For	For	For
7	Method of Issuance	Mgmt	For	For	For
8	Purpose of Raised Funds	Mgmt	For	For	For
9	Options for Deferred Payment	Mgmt	For	For	For
10	Mandatory Payment of Interest and Restrictions on Deferred Interest Payment	Mgmt	For	For	For
11	Redemption Clauses	Mgmt	For	For	For
12	Method of Repaying Principal and Interest	Mgmt	For	For	For
13	Guarantee Method	Mgmt	For	For	For
14	Repayment Guarantee Measures	Mgmt	For	For	For
15	Underwriting Method and Listing Arrangements	Mgmt	For	For	For
16	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For
17	Validity Period of Resolution	Mgmt	For	For	For
18	Authorization Matters	Mgmt	For	For	For

TBEA Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600089	CINS Y8550D101	11/27/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
1	Approval of Financing Plan	Mgmt	For
			Vote Cast
			For/Against Mgmt
			For

TCL Corp

Ticker	Security ID:	Meeting Date	Meeting Status
000100	CINS Y8549C107	06/06/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
1	Approval of Investment	Mgmt	For
			Vote Cast
			For/Against Mgmt
			Abstain
			Against

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000100	CINS Y8549C107	03/19/2018	Voted
Meeting Type		Country of Trade	
Special		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Stock Ownership Plan	Mgmt	For	Abstain	Against
2	Board Authorization to Implement Stock Ownership	Mgmt	For	Against	Against
3	Restricted Stock Incentive Plan	Mgmt	For	For	For
4	Constitution of Procedural Rules: Appraisal Management Measures	Mgmt	For	For	For
5	Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For
6	Elect DU Juan as Director	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	Abstain	Against

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000100	CINS Y8549C107	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Authority to Extend Valid Period	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000100	CINS Y8549C107	07/31/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Asset Purchase	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Issuance Price and Pricing Principle	Mgmt	For	For	For
6	Underlying Assets and Transaction	Mgmt	For	For	For

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	Price				
7	Size of Issuance	Mgmt	For	For	For
8	Lockup Period for Issued Shares	Mgmt	For	For	For
9	Accumulated Retained Profits	Mgmt	For	For	For
10	Listing Arrangement	Mgmt	For	For	For
11	Arrangement of Profits and Losses	Mgmt	For	For	For
12	Personnel Arrangement	Mgmt	For	For	For
13	Treatment for Creditors Rights and Debts	Mgmt	For	For	For
14	Implementation of the Issuance and Liability for Breach of Contract	Mgmt	For	For	For
15	Validity Period of Resolution	Mgmt	For	For	For
16	Related Party Transactions	Mgmt	For	For	For
17	Non-Constitution of Major Asset Restructuring	Mgmt	For	For	For
18	Report on Transaction	Mgmt	For	For	For
19	Conditional Agreement for Asset Purchase	Mgmt	For	For	For
20	Audit Report, Pro Forma Review and Asset Evaluation Report	Mgmt	For	For	For
21	Independence of Evaluation Institution	Mgmt	For	For	For
22	Statement Regarding Compliance	Mgmt	For	For	For
23	Compliance with Article 4	Mgmt	For	For	For
24	Compliance with Article 43	Mgmt	For	For	For
25	Risk Warning for Diluted Immediate Returns	Mgmt	For	For	For
26	Board Authorization to Implement Transaction	Mgmt	For	For	For
27	Shareholder Return Plan	Mgmt	For	For	For
28	Amendments to Articles	Mgmt	For	For	For
29	Authority to Give Guarantees	Mgmt	For	Against	Against
30	Termination of 2nd Phase Share Repurchase	Mgmt	For	For	For
31	Adjustment to Project Investment	Mgmt	For	For	For

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TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000100	CINS Y8549C107	09/01/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Elect LI Dongsheng	Mgmt	For	For	For
2	Elect LIU Bin	Mgmt	For	For	For
3	Elect BO Lianming	Mgmt	For	For	For
4	Elect HUANG Xubin	Mgmt	For	For	For
5	Elect HUANG Wei	Mgmt	For	For	For
6	Elect LIAO Qian	Mgmt	For	For	For
7	Elect HE Jinlei	Mgmt	For	For	For
8	Elect WU Xiaohui	Mgmt	For	For	For
9	Elect LU Xin	Mgmt	For	For	For
10	Elect ZHOU Guofu	Mgmt	For	For	For
11	Elect YAN Yan	Mgmt	For	For	For
12	Elect LIU Xunci	Mgmt	For	For	For
13	Elect HE Zhuohui	Mgmt	For	Against	Against
14	Elect QIU Haiyan	Mgmt	For	For	For

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000100	CINS Y8549C107	11/13/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Amendments to Articles	Mgmt	For	For	For

The Pacific Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601099	CINS Y6587L104	04/13/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Main Body of the Debt Financing	Mgmt	For	Abstain	Against
8	Type of Debt Financing Instruments	Mgmt	For	Abstain	Against
9	Scale of the Debt Financing	Mgmt	For	Abstain	Against
10	Duration of the Debt Financing	Mgmt	For	Abstain	Against
11	Debt Financing Method	Mgmt	For	Abstain	Against
12	Interest Rate of the Debt Financing	Mgmt	For	Abstain	Against
13	Guarantee and Other Arrangement	Mgmt	For	Abstain	Against

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14	Purpose of the Funds to be Raised from the Debt Financing	Mgmt	For	Abstain	Against
15	Issue Price	Mgmt	For	Abstain	Against
16	Issuing Targets	Mgmt	For	Abstain	Against
17	Listing of Debt Financing Instruments	Mgmt	For	Abstain	Against
18	Repayment Guarantee Measures	Mgmt	For	Abstain	Against
19	Valid Period of the Resolution	Mgmt	For	Abstain	Against
20	Authorization Matters Regarding Debt Financing	Mgmt	For	Abstain	Against
21	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
22	Appointment of Auditor	Mgmt	For	For	For
23	Shareholder Return Plan for 2018 to 2020	Mgmt	For	For	For

The Pacific Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601099	CINS Y6587L104	12/05/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
1	Amendments to Articles	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For

Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002129	CINS Y88171106	09/28/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
1	Authority to Give Guarantees	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
2	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
3	Issuing Volume	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
4	Arrangement for Placement to Existing Shareholders	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
5	Par Value And Issue Price	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
6	Bond Duration	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
7	Interest Rate	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
8	Use of Proceeds	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
9	Issuing Method	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
10	Issuance Targets	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
11	Guarantee Method	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
12	Valid Period of Resolution	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
13	Listing	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For
14	Board Authorization to Implement Public	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For

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	Issuance of Corporate Bonds				
15	Eligibility for Non-Public Issuance of Corporate Bonds	Mgmt	For	For	For
16	Issuing Volume	Mgmt	For	For	For
17	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
18	Par Value And Issue Price	Mgmt	For	For	For
19	Bond Duration	Mgmt	For	For	For
20	Interest Rate	Mgmt	For	For	For
21	Use of Proceeds	Mgmt	For	For	For
22	Issuing Method	Mgmt	For	For	For
23	Issuance Targets	Mgmt	For	For	For
24	Guarantee Method	Mgmt	For	For	For
25	Valid Period of Resolution	Mgmt	For	For	For
26	Bond Listing	Mgmt	For	For	For
27	Board Authorization to Implement Non-Public Issuance of Corporate Bonds	Mgmt	For	For	For
28	Related Party Transactions Regarding Provision of Loan	Mgmt	For	For	For

Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002129	CINS Y88171106	11/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Project Investment	Mgmt	For	For	For
2	Investment in Reconstruction	Mgmt	For	For	For
3	Shareholder Returns Plan	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Authority to Give Guarantees	Mgmt	For	For	For
6	Elect SHEN Haoping	Mgmt	For	For	For
7	Elect ZHANG Taijin	Mgmt	For	For	For
8	Elect ZHANG Xiongwei	Mgmt	For	For	For
9	Elect QIN Yumao	Mgmt	For	For	For
10	Elect AN Yanqing	Mgmt	For	For	For
11	Elect ZHANG Changxu	Mgmt	For	For	For
12	Elect GAO Shuliang	Mgmt	For	For	For
13	Elect ZHOU Hong	Mgmt	For	For	For
14	Elect BI Xiaofang	Mgmt	For	For	For
15	Elect ZHANG Bo	Mgmt	For	For	For
16	Elect CHEN Rongling	Mgmt	For	For	For
17	Elect SHENG Kefa	Mgmt	For	Against	Against
18	Elect ZHOU Tao	Mgmt	For	For	For

Tianqi Lithium Corporation

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Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	02/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment on Foreign Exchange Hedging Transactions	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Tianqi Lithium Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	04/24/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Issuance and Listing of H-shares	Mgmt	For	For	For
10	Stock type and par value	Mgmt	For	For	For
11	Issuing date	Mgmt	For	For	For
12	Issuing method	Mgmt	For	For	For
13	Issuing scale	Mgmt	For	For	For
14	Pricing method	Mgmt	For	For	For
15	Issuing targets	Mgmt	For	For	For
16	Issuing principles	Mgmt	For	For	For
17	Company Conversion	Mgmt	For	For	For
18	Plan for Use of Funds Raised from H-share Offering	Mgmt	For	For	For
19	The Valid Period of Resolution on H-share Offering and Listing	Mgmt	For	For	For
20	Board Authorization to Handle Matters in Relation to H-share Offering and Listing	Mgmt	For	For	For
21	Distribution Plan for Accumulated Retained Profits Before H-share Offering	Mgmt	For	For	For
22	Purchase of Liability Insurance for	Mgmt	For	For	For

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Directors,  
Supervisors, Senior  
Management and  
Prospectus

Tianqi Lithium Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
002466	CINS Y79327105	06/22/2018		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Intra-company Acquisition Agreement	Mgmt	For	For	For	
2	Eligibility for Major Assets Restructuring	Mgmt	For	For	For	
3	Acquisition Method, Counterparties, and Target	Mgmt	For	For	For	
4	Price	Mgmt	For	For	For	
5	Pricing Basis	Mgmt	For	For	For	
6	Source of the Funds	Mgmt	For	For	For	
7	The Valid Period of Resolution	Mgmt	For	For	For	
8	Authority to the Board	Mgmt	For	For	For	
9	REPORT (DRAFT) ON MAJOR ASSETS PURCHASE AND ITS SUMMARY	Mgmt	For	For	For	
10	Independence of Appraiser	Mgmt	For	For	For	
11	Compliance with Article 11	Mgmt	For	For	For	
12	Compliance with Article IV	Mgmt	For	For	For	
13	Not Qualified for Article 13	Mgmt	For	For	For	
14	Transaction Does Not Constitute as Related Party Transaction	Mgmt	For	For	For	
15	Implementation of Legal Proceedings	Mgmt	For	For	For	
16	Evaluation Report	Mgmt	For	For	For	
17	Pro Forma Review Report	Mgmt	For	For	For	
18	Verification Report on Difference of Standards	Mgmt	For	For	For	
19	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For	
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Tianqi Lithium Corporation

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Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	11/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Bonds and Authority to Give Guarantees	Mgmt	For	Against	Against
2	Board Authorization to Implement Issuance of Foreign Bonds	Mgmt	For	Against	Against

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Tongling Nonferrous Metals Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	05/08/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For
7	LAUNCHING 2018 FOREIGN EXCHANGE TRANSACTIONS	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Assets Impairment	Mgmt	For	For	For
10	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
11	Relocation of a Subsidiary	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Shareholder Returns Plan	Mgmt	For	For	For
14	Related Party Transactions: Financial Service Agreement to be Signed with a Company	Mgmt	For	For	For
15	Deposit Services	Mgmt	For	Against	Against

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Tongling Nonferrous Metals Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	09/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision for Asset Impairment	Mgmt	For	For	For
2	Absorption of Subsidiary	Mgmt	For	For	For

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3	Equity Aquisition	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

Triangle Tyre Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601163	CINS Y89772100	06/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Supervisors' Fees	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Approval of Borrowing/Line of Credit	Mgmt	For	Abstain	Against
13	Investment in Wealth Management Products	Mgmt	For	For	For
14	Elect SHAO Zaidong as Supervisor	Mgmt	For	Against	Against
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Triangle Tyre Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601163	CINS Y89772100	11/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Construction of Production Base	Mgmt	For	For	For

Tsinghua Tongfang Co. Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600100	CINS Y8997R119	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and Its Summary	Mgmt	For	For	For
2	Director's Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For

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7	Appointment of Auditor	Mgmt	For	For	For
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	Authority to Set Auditor's Fees	Mgmt	For	For	For
10	Independent directors' Fees	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	For	For
12	Approval of Line of Credit	Mgmt	For	Against	Against
13	Authority to Give Guarantees	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Subsidiaries with over 70% Leverage Ratio	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Subsidiaries when Cumulative Guarantees Exceeding 50% of Net Assets	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Shenzhen Huarongtai, Tongfa Investment and Their Subsidiaries	Mgmt	For	Against	Against
17	Authorization of Chairman or President to Give Guarantees	Mgmt	For	Against	Against
18	Amendments to Articles	Mgmt	For	For	For
19	Amendments to Articles: Business Scope	Mgmt	For	For	For
20	Amendments to Procedural Rules	Mgmt	For	Abstain	Against
21	Constitution of the Remuneration System for Director and Supervisors	Mgmt	For	Abstain	Against
22	Elect LI Yanhe	Mgmt	For	For	For

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Tsinghua Tongfang Co. Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600100	CINS Y8997R119	07/19/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
1	Extension of Trading Suspension	Mgmt	For
			Vote Cast
			For/Against Mgmt
			Against

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Tsinghua Tongfang Co. Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600100	CINS Y8997R119	11/16/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

  

1	Authority to Issue Medium-Term Note	Mgmt	For	For	For
2	Authority to Issue Super-Short-Term Note	Mgmt	For	For	For

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Tus-Sound Environmental Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000826	CINS Y8076H107	05/03/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

  

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
9	Issuing Scale	Mgmt	For	For	For
10	Bond Duration	Mgmt	For	For	For
11	Interest Rate and Its Determining Method	Mgmt	For	For	For
12	Method of Paying the Principal and Interest	Mgmt	For	For	For
13	Issuing Method	Mgmt	For	For	For
14	Issuing Targets and Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
15	Purpose of the Raised Funds	Mgmt	For	For	For
16	Underwriting Method	Mgmt	For	For	For
17	Trading and Circulation of the Bonds	Mgmt	For	For	For
18	Guarantee Method	Mgmt	For	For	For
19	Repayment Guarantee Measures	Mgmt	For	For	For
20	The Valid Period of the Resolution	Mgmt	For	For	For
21	Board Authorization and Its Authorized Management Team to	Mgmt	For	For	For

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	Handle Matters in Relation Proposal 9				
22	Approval of Line of Credit	Mgmt	For	For	For
23	Authority to Give Guarantees	Mgmt	For	For	For
24	Authority to Issue Super and Short-term Commercial Papers	Mgmt	For	For	For
25	Authority to Issue Commercial Papers	Mgmt	For	For	For
26	Authority to Issue Medium-term Notes	Mgmt	For	For	For
27	Approval of Extendable Trust Loans	Mgmt	For	Against	Against
28	ISSUANCE OF WEALTH MANAGEMENT DIRECT FINANCING INSTRUMENTS	Mgmt	For	For	For
29	Authority to Issue Debt Financing Plans	Mgmt	For	For	For
30	Set up of a Subsidiary in Xiongan New Area	Mgmt	For	For	For
31	Joint Venture in Hubei	Mgmt	For	For	For
32	Capital Increase in Wholly-owned and Controlled Subsidiaries	Mgmt	For	For	For
33	Joint Venture in Guangxi	Mgmt	For	For	For
34	Capital Increase in Wholly-owned and Controlled Subsidiaries	Mgmt	For	For	For
35	Capital Increase in Wholly-owned and Controlled Subsidiaries	Mgmt	For	For	For
36	Capital Increase in Wholly-owned and Controlled Subsidiaries	Mgmt	For	For	For
37	Capital Increase in Wholly-owned and Controlled Subsidiaries	Mgmt	For	For	For
38	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
39	Connected transaction in Regards to Financial Leasing Business	Mgmt	For	For	For
40	Election of Non-independent	Mgmt	For	For	For

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41	Directors Election of Independent Directors	Mgmt	For	For	For
42	Elect WEN Yibo	Mgmt	For	For	For
43	Elect WANG Shugui	Mgmt	For	For	For
44	Elect ZHANG Zhonghua	Mgmt	For	For	For
45	Elect SUN Juan	Mgmt	For	For	For
46	Elect MA Xiaopeng	Mgmt	For	For	For
47	Elect MA Lesi	Mgmt	For	For	For
48	Elect LIAO Lianghan	Mgmt	For	For	For
49	Elect LIU Junhai	Mgmt	For	For	For
50	Elect ZHOU Qi	Mgmt	For	For	For
51	Elect YANG Lei	Mgmt	For	Against	Against
52	Elect LIU Huarong	Mgmt	For	For	For
53	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Tus-Sound Environmental Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000826	CINS Y8076H107	09/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Registered Capital	Mgmt	For	For	For
2	Amendments to Procedural Rules: External Guarantee Management System	Mgmt	For	For	For
3	Provision of Guarantee	Mgmt	For	For	For
4	Connected Party Transactions: Provision of Guarantee for a Joint Stock Company	Mgmt	For	For	For

Tus-Sound Environmental Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000826	CINS Y8076H107	11/21/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Bonds and Give Guarantees	Mgmt	For	For	For
2	Authority to Issue Short-term Commercial Papers	Mgmt	For	For	For
3	Elect SUN Juan as Director	Mgmt	For	For	For

Tus-Sound Environmental Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000826	CINS Y8076H107	12/18/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Authority to Give Guarantees	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For

### Unigroup Guoxin Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002049	CINS Y8488J105	12/12/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size	Mgmt	For	For	For
3	Duration	Mgmt	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Method of Payment	Mgmt	For	For	For
7	Issuance Method	Mgmt	For	For	For
8	Issuance Targets	Mgmt	For	For	For
9	Underwriting Method	Mgmt	For	For	For
10	Listing Arrangement	Mgmt	For	For	For
11	Purpose of Raised Funds	Mgmt	For	For	For
12	Guarantee Matters	Mgmt	For	For	For
13	Repayment Guarantee Measures	Mgmt	For	For	For
14	Validity Period	Mgmt	For	For	For
15	Board Authorization for Corporate Bond Issuance	Mgmt	For	For	For

### Unisplendour Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000938	CINS Y8997B106	04/24/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions	Mgmt	For	For	For
3	Related Party Transactions: Agreement to be Signed by a Subsidiary	Mgmt	For	For	For
4	Elect WANG Huixuan as Director	Mgmt	For	For	For

### Unisplendour Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000938	CINS Y8997B106	05/17/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For

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4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Financial Service Agreement to be Signed with a Company	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For

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Wan Xiang Qianchao Shares Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000559	CINS Y9505H106	04/23/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Annual Report	Mgmt	For
3	Supervisors' Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Related Party Transactions	Mgmt	For
7	Financial Service Framework Agreement	Mgmt	For
8	Appointment of Auditor	Mgmt	For
9	Authority to Give Guarantees: Bank Credit Line of Subsidiaries	Mgmt	For
10	Authority to Give Guarantees: Comprehensive Credit Line	Mgmt	For
11	Extension of Validity of Resolutions on Rights Issue	Mgmt	For

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Wan Xiang Qianchao Shares Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000559	CINS Y9505H106	12/28/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Increase in Related Party Transactions	Mgmt	For

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Wanda Film Holding Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002739	CINS Y94885103	06/11/2018	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Investment Plan	Mgmt	For	For	For
10	Approval of a general bank line of credit	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For

Wanda Film Holding Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002739	CINS Y94885103	09/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trade Suspension	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For

Wangsu Science & Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	01/12/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For

Wangsu Science & Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	05/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Approval of Repurchase	Mgmt	For	For	For

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	and Cancellation of Stocks				
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Shareholders Return Plan for the Next Three Years (2018-2020)	Mgmt	For	For	For

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Wangsu Science & Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	12/27/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Basis for Determining Plan Participants	Mgmt	For	For	For
2	Source, Number and Distribution of Underlying Stocks	Mgmt	For	For	For
3	Exercise Price of Stock Options, Grant Price of Restricted Stocks and Method of Determining Price	Mgmt	For	For	For
4	Validity, Grant Date, Lock-Up Period, Exercise Date, Unlocking Date and Restrictions	Mgmt	For	For	For
5	Conditions for Granting and Exercising Stock Options and Unlocking Restricted Stocks	Mgmt	For	For	For
6	Method and Procedure for Adjustments	Mgmt	For	For	For
7	Accounting Treatments	Mgmt	For	For	For
8	Implementing Procedures	Mgmt	For	For	For
9	Rights and Obligations of Participants	Mgmt	For	For	For
10	Treatment Under Unusual Situations	Mgmt	For	For	For
11	Adoption of Appraisal Management Measures on Incentive Plan	Mgmt	For	For	For
12	Board Authorization to Implement Incentive Plan	Mgmt	For	For	For

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Wanhua Chemical Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600309	ISIN CNE0000016J9	02/28/2018	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	EXTENSION OF TRADING SUSPENSION	MGMT	For	For	For

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Wanhua Chemical Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600309	CINS Y9520G109	01/12/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Declare Interim Dividends	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

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Wanhua Chemical Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600309	CINS Y9520G109	05/10/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Implementation of 2017 and 2018 Investment Plan	Mgmt	For	Abstain	Against
4	Annual Report	Mgmt	For	For	For
5	Directors' Report	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Payment of Remuneration to Auditors	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Performance of Agreements on Continuing Connected Transactions with Related Parties	Mgmt	For	For	For
10	Guarantee for Subsidiaries and Mutual Guarantee Between Subsidiaries	Mgmt	For	Against	Against
11	Guarantee for Joint Venture and Subsidiaries Guarantee for Joint Venture	Mgmt	For	Against	Against
12	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
13	Amendments to	Mgmt	For	Abstain	Against

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	Management Measures on Lending to Employees for Purpose of House Purchases					
14	PLEDGING OF NOTES BY THE COMPANY	Mgmt	For	For	For	For
15	Conducting Entrusted Wealth Management and Formulation of Management Measures on Entrusted Wealth Management	Mgmt	For	For	For	For
16	Shareholder Returns Plan	Mgmt	For	For	For	For
17	Supervisors' Report	Mgmt	For	For	For	For
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Weichai Power Co						
Ticker	Security ID:	Meeting Date		Meeting Status		
2338	CINS Y9531A117	06/14/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Final Financial Report	Mgmt	For	For	For	
6	2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For	
7	Allocation of Profits/Dividends	Mgmt	For	For	For	
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against	
9	Appointment of Internal Control Auditor	Mgmt	For	For	For	
10	Allocation of Interim Dividends	Mgmt	For	For	For	
11	Amendments to Articles	Mgmt	For	For	For	
12	Amendments to Procedural Rules for Board Meetings	Mgmt	For	For	For	
13	Amendments to Procedural Rules for General Meetings	Mgmt	For	For	For	
14	Authority to Give Guarantees	Mgmt	For	For	For	
15	Provision for Assets Impairment	Mgmt	For	For	For	
16	Elect LU Wenwu	Mgmt	For	For	For	
17	Elect WU Hongwei	Mgmt	For	Against	Against	
18	Elect Tan Xuguang	Mgmt	For	Against	Against	
19	Elect WANG Yuepu	Mgmt	For	For	For	
20	Elect JIANG Kui	Mgmt	For	For	For	
21	Elect ZHANG Quan	Mgmt	For	For	For	
22	Elect XU Xinyu	Mgmt	For	For	For	

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23	Elect	SUN Shaojun	Mgmt	For	For	For
24	Elect	YUAN Hongming	Mgmt	For	For	For
25	Elect	YAN Jianbo	Mgmt	For	For	For
26	Elect	Gordon Riske	Mgmt	For	For	For
27	Elect	Michael Martin Macht	Mgmt	For	For	For
28	Elect	ZHANG Zhong	Mgmt	For	Against	Against
29	Elect	WANG Gongyong	Mgmt	For	Against	Against
30	Elect	NING Xiangdong	Mgmt	For	For	For
31	Elect	LI Hongwu	Mgmt	For	For	For
32	Elect	WEN Daocai	Mgmt	For	For	For

Weichai Power Co

Ticker	Security ID:	Meeting Date	Meeting Status		
2338	CINS Y9531A117	11/30/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

Weichai Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2338	CINS Y9531A117	08/30/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Bonds	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Supplemental Agreement to the Weichai Westport Supply Agreement	Mgmt	For	For	For
5	Supplemental Agreement to the Weichai Westport Purchase Agreement	Mgmt	For	For	For
6	Supplemental Agreement to the Weichai Westport Logistics Agreement	Mgmt	For	For	For
7	Supplemental Agreement to the Weichai Westport Leasing Agreement	Mgmt	For	For	For
8	Supplemental Agreement to the Shaanxi Zhongqi Purchase Agreement	Mgmt	For	For	For
9	Elect WU Hongwei as Supervisor	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Weichai Power (Hong Kong) International Development Co.,	Mgmt	For	For	For

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Western Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002673	CINS Y9382Q104	02/12/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Misc. Management Proposal	Mgmt	For	For	For
2	Election of Directors	Mgmt	For	For	For

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Western Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002673	CINS Y9382Q104	05/15/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Connected Transactions Between the Company and Its Subsidiaries and A Company	Mgmt	For	For	For
6	Connected Transactions Between the Company and Its Subsidiaries and Another Company	Mgmt	For	For	For
7	Connected Transactions Between the Company and Its Subsidiaries and A Third Company	Mgmt	For	For	For
8	Connected Transactions Between the Company and Its Subsidiaries and A Fourth Company	Mgmt	For	For	For
9	Connected Transactions Between the Company and Its Subsidiaries and A Fifth Company	Mgmt	For	For	For
10	Connected Transactions Between the Company and Its Subsidiaries and A Sixth Company	Mgmt	For	For	For
11	Connected Transactions Between the Company and Its Subsidiaries and A Seventh Company	Mgmt	For	For	For
12	Connected Transactions Between the Company	Mgmt	For	For	For

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	and Its Subsidiaries and An Eighth Company				
13	Connected Transactions Between the Company and Its Subsidiaries and A Ninth Company	Mgmt	For	For	For
14	Connected Transactions Between the Company and Its Subsidiaries and A 10th Company	Mgmt	For	For	For
15	Connected Transactions Between the Company and Its Subsidiaries and an 11th Company	Mgmt	For	For	For
16	Connected Transactions Between the Company and Its Subsidiaries and A 12th Company	Mgmt	For	For	For
17	Connected Transactions Between the Company and Its Subsidiaries and A 13th Company	Mgmt	For	For	For
18	Connected Transactions Between the Company and Its Subsidiaries and A 14th Company	Mgmt	For	For	For
19	Connected Transactions Between the Company and Its Subsidiaries and A 15th Company	Mgmt	For	For	For
20	Connected Transactions Between the Company and Its Subsidiaries and A 16th Company	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For

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Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002673	CINS Y9382Q104	09/06/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Articles	Mgmt	For
2	Equity Transfer	Mgmt	For
3	Founding of Subsidiary	Mgmt	For
4	Related Party Transactions	Mgmt	For

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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600157	CINS Y9649L104	01/03/2018	Voted
Meeting Type		Country of Trade	

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Commercial Paper	Mgmt	For	For	For
2	Application for Financial Leasing	Mgmt	For	For	For
3	Authority to Give Guarantees to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Shanxi Kang Wei Group Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Shanxi Kang Wei Group Mencius Yu Coal Industry Co., Ltd.	Mgmt	For	Against	Against

Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	02/06/2018	Voted		
Meeting Type	Country of Trade	China			
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Suspension of Share Trading	Mgmt	For	For	For
2	Authority to Issue Financing Plan	Mgmt	For	For	For
3	Approval of Line of Credit	Mgmt	For	For	For
4	Application for Financial Leasing Business	Mgmt	For	Abstain	Against
5	APPLICATION FOR HANDLING OF FINANCIAL LEASING BUSINESS TO ANOTHER COMPANY	Mgmt	For	Abstain	Against
6	Authority to Give Guarantees: Zhengzhou Yuzhong	Mgmt	For	Against	Against
7	Authority to Give Guarantees: Zhangjiagang Shazhou	Mgmt	For	Against	Against
8	Authority to Give Guarantees: Zhoukou Long	Mgmt	For	Against	Against
9	Authority to Give Guarantees: Xintou Huaying	Mgmt	For	Against	Against
10	Authority to Give	Mgmt	For	Against	Against

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11	Guarantees: Huaxi Mining Authority to Give Guarantees: Shanxi Qinyuan	Mgmt	For	Against	Against
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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	04/12/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application for Trust Loans	Mgmt	For	Against	Against
2	Authority for Huachen Energy Co., Ltd. to Give Guarantees for Zhangjiagang Shazhou Electric Power Co., Ltd	Mgmt	For	Against	Against
3	Authority for Huachen Energy Co., Ltd. to Give Guarantees for Zhangjiagang Huaxing Power Co., Ltd	Mgmt	For	Against	Against
4	Authority for Zhangjiagang Huaxing Power Co., Ltd to Give Guarantees for Zhangjiagang Shazhou Electric Power Co., Ltd	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Huaxi Mining Co., Ltd	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Shanxi Kangwei Group Nanshan Coal Industry Co., Ltd	Mgmt	For	Against	Against
7	Authority for Shanxi Qinyuan Kangwei Sendayuan Coal Industry Co., Ltd. to Give Guarantees for Shanxi Kangwei Group Co., Ltd.	Mgmt	For	Against	Against
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Give Guarantees Between the Company and Subsidiaries and Between Subsidiaries Themselves	Mgmt	For	Against	Against
<hr/>					
Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	

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600157	CINS Y9649L104	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Related Party Transactions: Cooperation Agreement on Debts	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Supervisors' Fees	Mgmt	For	For	For
12	Annual Report	Mgmt	For	For	For
13	Related Party Transactions: Joint Venture	Mgmt	For	For	For

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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	06/19/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	07/24/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Application of Loan	Mgmt	For	Against	Against
3	Application for Financial Leasing Loan	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Zhangjiagang Shazhou Electric Power Co., Ltd	Mgmt	For	Against	Against
5	Authority for Huachen Energy Co., Ltd. to Give Guarantees for Zhangjiagang Shazhou Electric Power Co., Ltd	Mgmt	For	Against	Against
6	Authority for Huachen Energy Co., Ltd. to	Mgmt	For	Against	Against

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	Give Guarantees for Zhangjiagang Huaxing Power Co., Ltd					
7	Authority for Zhangjiagang Shazhou Electric Power Co., Ltd to Give Guarantees for Zhangjiagang Huaxing Power Co., Ltd	Mgmt	For	Against	Against	
8	Authority to Give Guarantees for Xintou Huaying Petrochemical (Shenzhen) Co., Ltd	Mgmt	For	Against	Against	
9	Authority to Give Guarantees to Indirectly Owned Subsidiary	Mgmt	For	Against	Against	
10	Authority to Give Guarantees to Subsidiary	Mgmt	For	Against	Against	
<hr/>						
Wintime Energy Co., Ltd.						
Ticker Security ID:		Meeting Date		Meeting Status		
600157 CINS Y9649L104		08/28/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Approval of Financial Leasing Business	Mgmt	For	Abstain	Against	
2	Authority to Give Guarantees for Zhangjiagang Shazhou Electric Power Co., Ltd	Mgmt	For	Against	Against	
3	Authority to Give Guarantees for Huaying Petrochemical Co., Ltd.	Mgmt	For	Against	Against	
4	Authority to Give Guarantees for Huaxi Mining Co., Ltd	Mgmt	For	Against	Against	
5	Authority to Give Guarantees for Lingshi Yinyuan Coal Development Co., Ltd	Mgmt	For	Against	Against	
6	Amendments to Articles	Mgmt	For	For	For	
7	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For	
8	Shareholder Returns Plan	Mgmt	For	Abstain	Against	

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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	10/17/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application for Financial Leasing Business	Mgmt	For	Abstain	Against
2	Authority to Give Guarantees to Huachen Energy Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Zhengzhou Yuzhong Energy LLC	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Huayan Logistics Co., Ltd.	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	11/13/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Corporate Bonds	Mgmt	For	For	For
3	Asset Securitization	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For
5	Application for Financial Leasing Business	Mgmt	For	For	For
6	Provision of Guarantee by Huachen Electric	Mgmt	For	Against	Against
7	Huaxin Mining Provision of Guarantee	Mgmt	For	Against	Against
8	Provision of Guarantee for Huachen Electric	Mgmt	For	Against	Against
9	Provision of Guarantee for Zhangjiagang Shazhou Electric Power	Mgmt	For	Against	Against
10	Provision of Guarantee for Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
11	Provision of Guarantee for Zhangjiagang Huaxing Electric Power	Mgmt	For	Against	Against

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12	Provision of Guarantee for Zhangjiagang Huaxing Electric Inspection and Repair Co., Ltd.	Mgmt	For	Against	Against
13	Provision of Guarantee for Huaxi Mining	Mgmt	For	Against	Against
14	Provision of Guarantee for Lingshi Yinyuan Cokes Development Co., Ltd.	Mgmt	For	Against	Against
15	Provision of Guarantee for Huayan Logistics	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Lingshi Silver Source Coal Development Co., Ltd.	Mgmt	For	Against	Against
17	Authority to Give Guarantees to Hua Yan Logistics Co., Ltd.	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	12/18/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Special	China				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Establishment of a Joint Venture	Mgmt	For	For	For
2	Approval of Line of Credit: China Minsheng Bank	Mgmt	For	For	For
3	Approval of Line of Credit: Guangzhou Rural Commercial Bank	Mgmt	For	For	For
4	Authority to Give Guarantees: Huachen Electricity	Mgmt	For	Against	Against
5	Authority to Give Guarantees: Guotou Nanyang Power Generation	Mgmt	For	Against	Against
6	Huachen Electricity's Provision of Guarantees For Zhangjiagang Shazhou Electricity	Mgmt	For	Against	Against
7	Huachen Electricity's Provision of Guarantees For Zhangjiagang Huaxing Electricity	Mgmt	For	Against	Against

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8	Huachen Electricity's Provision of Guarantees For Zhoukou Longda Electricity	Mgmt	For	Against	Against
9	Zhangjiagang Shazhou Electricity's Provision of Guarantees For Zhangjiagang Huaxing Electricity	Mgmt	For	Against	Against
10	Huaxi Mining's Provision of Guarantees for The Company	Mgmt	For	Against	Against
11	Authority to Give Guarantees: Lingshi Yinyuan Cokes Development	Mgmt	For	Against	Against

Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	07/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	TERMINATION OF THE MAJOR ASSETS RESTRUCTURING	Mgmt	For	For	For
2	Signing of Major Assets Restructuring Termination Agreement	Mgmt	For	For	For

Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	08/07/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	08/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Use of Proceeds	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
300168	CINS Y9669S104	09/27/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

Wuchan Zhongda Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600704	CINS Y9890U100	05/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Elect ZHEN Jianmin	Mgmt	For	Against	Against
9	Amendments to Articles	Mgmt	For	For	For
10	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
11	Liability Insurance	Mgmt	For	Abstain	Against
12	Appointment of Auditor	Mgmt	For	For	For

Wuhu Shunrong Sanqi Interactive Entertainment Network Technology Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002555	CINS Y9717X105	09/20/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Equity	Mgmt	For	For	For
2	Eligibility for Corporate Bonds Issuance	Mgmt	For	For	For
3	Type of Securities	Mgmt	For	For	For
4	Issuing Volume	Mgmt	For	For	For
5	Par Value and Issue Price	Mgmt	For	For	For
6	Bond Duration	Mgmt	For	For	For
7	Interest Rate	Mgmt	For	For	For
8	Time and Method for Repaying Interest	Mgmt	For	For	For
9	Conversion Period	Mgmt	For	For	For
10	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
11	Downward Adjustment Clauses on Conversion Price	Mgmt	For	For	For
12	Determination Method	Mgmt	For	For	For

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	of Number of Converted Shares and Treatment for Non-convertible Bonds				
13	Redemption Clauses	Mgmt	For	For	For
14	Resale Clauses	Mgmt	For	For	For
15	Dividend Distribution after Conversion	Mgmt	For	For	For
16	Issuance Targets and Method	Mgmt	For	For	For
17	Arrangement for Placement to Existing A-Share Shareholders	Mgmt	For	For	For
18	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Guarantee Matters	Mgmt	For	For	For
21	Depository of Proceeds	Mgmt	For	For	For
22	Validity of Resolution	Mgmt	For	For	For
23	Preplan to Issue Convertible Corporate Bonds	Mgmt	For	For	For
24	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
25	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
26	Impact on Major Financial Indicators By Diluted Immediate Returns	Mgmt	For	For	For
27	Approval of Dilution Measures for Bond Issuance	Mgmt	For	For	For
28	Adoption of Procedural Rules for Bondholders Meetings	Mgmt	For	For	For
29	Approval of Audit Report and Appraisal Report	Mgmt	For	For	For
30	Shareholder Returns Plan	Mgmt	For	For	For
31	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For

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Wuhu Shunrong Sanqi Interactive Entertainment Network Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002555	CINS Y9717X105	02/09/2018	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Approval of Borrowing	Mgmt	For	For	For	
2	Misc. Management Proposal	Mgmt	For	For	For	

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Wuhu Shunrong Sanqi Interactive Entertainment Network Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002555	CINS Y9717X105	05/14/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	2017 Annual Report and Its Summary in Chinese and English	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	REPURCHASE AND CANCELLATION OF COMPENSATION SHARES FROM YANG DONGMAI	Mgmt	For	For	For	
7	Authorization to the Board to Handle Matters Regarding Share Repurchase	Mgmt	For	For	For	
8	Decrease of Registered Capital and Share Capital	Mgmt	For	For	For	
9	Amendments to Articles	Mgmt	For	For	For	

Wuhu Shunrong Sanqi Interactive Entertainment Network Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002555	CINS Y9717X105	08/03/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Increase in Authorized Capital	Mgmt	For	For	For	
2	Amendments to Articles	Mgmt	For	For	For	

Wuhu Shunrong Sanqi Interactive Entertainment Network Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002555	CINS Y9717X105	11/15/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Appointment of Auditor	Mgmt	For	For	For	

Wuliangye Yibin Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
000858	CINS Y9718N106	06/08/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	

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5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Budget Plan	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Against	Against
8	Appointment of Auditor	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	03/30/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions: Purchase Raw Material/Products	Mgmt	For	For	For
2	Related Party Transactions: Sale of Materials or Products	Mgmt	For	For	For
3	Related Party Transactions: Renting House, Equipment, Products from or to Related Parties	Mgmt	For	For	For
4	Related Party Transactions: Licensing Trademarks to Related Parties	Mgmt	For	For	For
5	Related Party Transactions: Cooperative Technology Developments with Related Parties	Mgmt	For	For	For
6	Related Party Transactions: Providing/Receiving Services, Labor Service to/from Related Parties	Mgmt	For	For	For
7	Related Party Transactions: Mangement Entrusted by Related Parties	Mgmt	For	For	For
8	Authority to Give Guarantees To Mortgage Business	Mgmt	For	Abstain	Against
9	Authority to Give Guarantees to Financing Business	Mgmt	For	Abstain	Against
10	Approval of Line of	Mgmt	For	For	For

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11	Credit AMENDMENTS TO THE REMUNERATION SYSTEM FOR SENIOR MANAGEMENT	Mgmt	For	Abstain	Against
12	Amendments to The Incentive Fund Management Measures for The Senior Management	Mgmt	For	Abstain	Against

XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	PROVISION FOR ASSETS IMPAIRMENT	Mgmt	For	For	For
6	WRITE-OFF OF ASSETS	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Annual Report	Mgmt	For	For	For

XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	07/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	WANG Min	Mgmt	For	For	For
2	LU Chuan	Mgmt	For	For	For
3	YANG Dongsheng	Mgmt	For	For	For
4	WU Jianglong	Mgmt	For	For	For
5	WANG Feiyue	Mgmt	For	For	For
6	LIN Aimei	Mgmt	For	For	For
7	ZHOU Wei	Mgmt	For	For	For
8	QIN Yuemin	Mgmt	For	For	For
9	LI Ge	Mgmt	For	Against	Against
10	ZHANG Shouhang	Mgmt	For	Against	Against
11	XU Qingwen	Mgmt	For	For	For
12	JI Dongsheng	Mgmt	For	For	For

XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	12/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period	Mgmt	For	For	For
2	Board Authorization for Implement Private Placement	Mgmt	For	For	For
3	Authority to Give	Mgmt	For	For	For

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4	Guarantees Purchase of Materials and Products	Mgmt	For	For	For
5	Sale of Materials and Products to Related Parties	Mgmt	For	For	For
6	Acceptance of Services and Labor	Mgmt	For	For	For
7	PROVISION FOR ASSET DEPRECIATION	Mgmt	For	For	For

Xiamen C&D Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
600153	CINS Y9721Y107	05/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Related Party Transactions	Mgmt	For	For	For
8	Approval to Invest in Wealth Management Products Use Idle Funds	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Procedural Rules	Mgmt	For	For	For
12	Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	For	For
13	Approval of the Establishment of the Procedural Rules for Related Party Transactions	Mgmt	For	For	For

Xiamen C&D Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
600153	CINS Y9721Y107	07/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Commercial Paper	Mgmt	For	For	For

Xiamen Tungsten Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
600549	CINS Y6454T107	04/24/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Shareholder Return Plan	Mgmt	For	For	For
7	Authority to Give Guarantees: Controlled Subsidiaries	Mgmt	For	For	For
8	Authority to Give Guarantees: Wholly-Owned Subsidiary	Mgmt	For	For	For
9	Entrusted Wealth Management	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Amendments to Procedural Rules: Annual Remuneration	Mgmt	For	For	For
13	Independent Directors' Fees	Mgmt	For	For	For
14	Related Party Transactions	Mgmt	For	For	For
15	Long-Term Purchase Agreement	Mgmt	For	For	For
16	Long-Term Design Agreement	Mgmt	For	For	For
17	Entrusted Management of a Branch Company	Mgmt	For	For	For
18	Long-Term Purchase Agreement with a Branch Company	Mgmt	For	For	For
19	Elect Huang Changgeng	Mgmt	For	For	For
20	Elect Shangen Yingxiong	Mgmt	For	For	For
21	Elect Hou Xiaoliang	Mgmt	For	For	For
22	Elect Zhou Min	Mgmt	For	For	For
23	Elect Deng Yingjie	Mgmt	For	For	For
24	Elect Wu Gaochao	Mgmt	For	For	For
25	Elect Hong Maochun	Mgmt	For	For	For
26	Elect Shen Weitao	Mgmt	For	For	For
27	Elect Ni Longteng	Mgmt	For	For	For
28	Elect Xu Jisong	Mgmt	For	Against	Against
29	Elect Qi Shen	Mgmt	For	Against	Against
30	Elect Shengu Fangzhu	Mgmt	For	Against	Against
31	Elect Chen Guanghong	Mgmt	For	For	For
32	Elect Zhang Zhen	Mgmt	For	For	For
33	Elect Zhong Bingxian	Mgmt	For	For	For

Xiamen Tungsten Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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600549	CINS Y6454T107	06/22/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Agreement on Procurement with China Tungsten & Hightech	Mgmt	For	For	For
2	Agreement on Sales with China Tungsten & Hightech	Mgmt	For	For	For
3	Supply Agreement Renewal with China Tungsten & Hightech	Mgmt	For	For	For

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Xiamen Tungsten Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600549	CINS Y6454T107	10/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Participation in Setting Up a Limited Partnership and Acquisition of Equity	Mgmt	For	For	For
2	Termination of Equity Trusteeship Agreement	Mgmt	For	For	For
3	Termination of another Equity Trusteeship Agreement	Mgmt	For	For	For

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Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	05/18/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	2017 Financial Statements	Mgmt	For	For	For
5	2018 Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	2018-2020 Shareholder Returns Plan	Mgmt	For	For	For
8	Authority to Continue Mutual Guarantees with Meidou Energy Co.	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against
10	Authority to Continue	Mgmt	For	Against	Against

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	Mutual Guarantees with Zhejiang Xinhua Group Co.				
11	2018 Related Party Transactions	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Audit Fees	Mgmt	For	For	For
13	Directors' and Supervisors' Fees	Mgmt	For	Abstain	Against
14	Authority to Establish Short-term Wealth Management Business	Mgmt	For	For	For

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Xinhua Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	06/27/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Elect LIN Junbo	Mgmt	For	For	For
3	Elect YE Zhengmeng	Mgmt	For	For	For
4	Elect HUANG Fang	Mgmt	For	For	For
5	Elect CHEN Shucui	Mgmt	For	For	For
6	Elect XUE Anke	Mgmt	For	For	For
7	Elect CAI Jiamei	Mgmt	For	For	For
8	Elect XU Xiaodong	Mgmt	For	For	For
9	Elect JIN Xuejun	Mgmt	For	Against	Against
10	Elect HUANG Licheng	Mgmt	For	For	For

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Xinhua Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	10/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Equity Transfer Agreement	Mgmt	For	For	For

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Xinhua Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	10/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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Xinhua Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600208	CINS Y9724T105	11/09/2017	Voted
Meeting Type		Country of Trade	
Special		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Financing of A Company	Mgmt	For	For	For

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Xinjiang Goldwind Science & Technology Co Ltd

Ticker Security ID: Meeting Date Meeting Status  
 002202 CINS Y97237104 06/12/2018 Voted

Meeting Type Country of Trade  
 Other China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Rights Issue	Mgmt	For	For	For
2	Type and Nominal Value	Mgmt	For	For	For
3	Method of Rights Issue	Mgmt	For	For	For
4	Basis, Proportion and Number of Shares	Mgmt	For	For	For
5	Subscription Price	Mgmt	For	For	For
6	Target subscribers	Mgmt	For	For	For
7	Distribution Plan	Mgmt	For	For	For
8	Issue Period	Mgmt	For	For	For
9	Underwriting Arrangement	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Effective Period	Mgmt	For	For	For
12	Listing	Mgmt	For	For	For
13	Feasibility Analysis Report	Mgmt	For	For	For
14	Report on Use of Proceeds	Mgmt	For	For	For
15	Risk of Dilution	Mgmt	For	For	For
16	Board Authorization for the Rights Issue	Mgmt	For	For	For
17	Shareholders' Return Plan for the Three Years 2018-2020	Mgmt	For	For	For

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Xinjiang Goldwind Science & Technology Co Ltd

Ticker Security ID: Meeting Date Meeting Status  
 002202 CINS Y97237104 06/12/2018 Voted

Meeting Type Country of Trade  
 Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Eligibility for Rights Issue	Mgmt	For	For	For
3	Type and Nominal Value	Mgmt	For	For	For
4	Method of Rights Issue	Mgmt	For	For	For
5	Basis, Proportion and Number of Shares	Mgmt	For	For	For
6	Subscription Price	Mgmt	For	For	For
7	Target subscribers	Mgmt	For	For	For
8	Distribution Plan	Mgmt	For	For	For
9	Issue Period	Mgmt	For	For	For
10	Underwriting Arrangement	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For

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12	Effective Period	Mgmt	For	For	For
13	Listing	Mgmt	For	For	For
14	Feasibility Analysis Report	Mgmt	For	For	For
15	Report on Use of Proceeds	Mgmt	For	For	For
16	Risk of Dilution	Mgmt	For	For	For
17	Board Authorization for the Rights Issue	Mgmt	For	For	For
18	Overseas Perpetual Bonds and Provision of Guarantee	Mgmt	For	For	For
19	Transactions with Xinjiang Wind Power Co., Ltd.	Mgmt	For	For	For
20	Transactions with China Three Gorges New Energy Co., Ltd.	Mgmt	For	For	For
21	Transactions with Xinjiang New Energy (Group) Co., Ltd.	Mgmt	For	For	For
22	Directors' Report	Mgmt	For	For	For
23	Supervisors' Report	Mgmt	For	For	For
24	Accounts and Reports	Mgmt	For	For	For
25	Allocation of Profits/Dividends	Mgmt	For	For	For
26	Annual Report	Mgmt	For	For	For
27	Credit Facilities	Mgmt	For	For	For
28	Authority to Give Guarantees (Subsidiaries and Certain Associates)	Mgmt	For	Abstain	Against
29	Authority to Give Guarantees (Wholly-owned Subsidiaries and Controlling Subsidiaries)	Mgmt	For	Abstain	Against
30	Operation of Foreign Exchange Hedging Business	Mgmt	For	For	For
31	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
32	Shareholders' Return Plan for the Three Years 2018-2020	Mgmt	For	For	For

Yango Group Co Ltd

Ticker Security ID:  
000671 CINS Y2653W108

Meeting Date  
02/13/2018

Meeting Status  
Voted

Meeting Type  
Special

Country of Trade  
China

Issue No. Description

Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

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1	Eligibility For Public Issuance of Corporate Bonds	Mgmt	For	For	For
2	Issuing Volume	Mgmt	For	For	For
3	Method Of Issuance	Mgmt	For	For	For
4	Targets And Arrangement For Placement To Shareholders	Mgmt	For	For	For
5	Par Value And Issue Price	Mgmt	For	For	For
6	Bond Type And Bond Duration	Mgmt	For	For	For
7	Interest Rate And Its Determination Method	Mgmt	For	For	For
8	Method Of Paying The Principal And Interest	Mgmt	For	For	For
9	Purpose Of The Raised Funds	Mgmt	For	For	For
10	Redemption Or Resale Provisions	Mgmt	For	For	For
11	Underwriting Method	Mgmt	For	For	For
12	Guarantee Arrangements	Mgmt	For	For	For
13	Repayment Guarantee Measures	Mgmt	For	For	For
14	Listing Arrangement	Mgmt	For	For	For
15	Validity of Resolution	Mgmt	For	For	For
16	Eligibility For Non-Public Issuance Of Corporate Bonds	Mgmt	For	For	For
17	Issuing Volume	Mgmt	For	For	For
18	Method Of Issuance	Mgmt	For	For	For
19	Issuance Targets And Arrangement For Placement To Shareholders	Mgmt	For	For	For
20	Par Value And Issue Price	Mgmt	For	For	For
21	Bond Type And Bond Duration	Mgmt	For	For	For
22	Interest Rate And Its Determination Method	Mgmt	For	For	For
23	Method Of Paying The Principal And Interest	Mgmt	For	For	For
24	Purpose Of The Raised Funds	Mgmt	For	For	For
25	Redemption Or Resale Provisions	Mgmt	For	For	For
26	Underwriting Method	Mgmt	For	For	For
27	Guarantee Arrangements	Mgmt	For	For	For
28	Repayment Guarantee Measures	Mgmt	For	For	For
29	Trading Place Of The Bonds To Be Issued	Mgmt	For	For	For
30	Validity of Resolution	Mgmt	For	For	For
31	Board Authorization to	Mgmt	For	For	For

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Implement Public And Non-Public Issuance Of Corporate Bonds					
32	Authority to Give Guarantees For A Subsidiary	Mgmt	For	Against	Against
33	Authority to Give Guarantees For A Second Subsidiary	Mgmt	For	Against	Against
34	Authority to Give Guarantees For A Third Subsidiary	Mgmt	For	Against	Against
35	Authority to Give Guarantees For A Fourth Subsidiary	Mgmt	For	Against	Against
36	Authority to Give Guarantees For A Fifth Subsidiary	Mgmt	For	Against	Against
37	Authority to Give Guarantees For A Sixth Subsidiary	Mgmt	For	Against	Against

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Yango Group Co Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	03/23/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Private Placement	Mgmt	For	Against	Against
3	Authority to Give Guarantees For Third Subsidiary	Mgmt	For	Against	Against
4	Authority to Give Guarantees For Fourth Subsidiary	Mgmt	For	Against	Against
5	Authority to Give Guarantees For Fifth Subsidiary	Mgmt	For	Against	Against

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Yango Group Co Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	03/29/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority for Financing Plan	Mgmt	For	Against	Against
2	Authority to Give	Mgmt	For	Against	Against

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3	Guarantees Authority to Give Guarantees	Mgmt	For	Against	Against
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Yango Group Co Ltd

Ticker	Security ID:	Meeting Date		Meeting Status	
000671	CINS Y2653W108	04/16/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Yango Group Co Ltd

Ticker	Security ID:	Meeting Date		Meeting Status	
000671	CINS Y2653W108	05/04/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against

Yango Group Co Ltd

Ticker	Security ID:	Meeting Date		Meeting Status	
000671	CINS Y2653W108	05/09/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	2017 REMUNERATION FOR DIRECTORS, SUPERVISORS AND	Mgmt	For	For	For

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SENIOR MANAGEMENT						
9	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	For
10	Application for Financing Quota	Mgmt	For	For	For	For
11	Authorization to Management Team to Bid for Land	Mgmt	For	For	For	For
12	Authority to Give Guarantees	Mgmt	For	Against	Against	Against
13	Shareholder Returns Plan	Mgmt	For	For	For	For
14	Amendments to Articles	Mgmt	For	For	For	For

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Yango Group Co Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
000671	CINS Y2653W108	05/24/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees	Mgmt	For	Against	Against	
2	Authority to Give Guarantees	Mgmt	For	Against	Against	

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Yango Group Co Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
000671	CINS Y2653W108	05/30/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect ZHONG Changhao as Director	Mgmt	For	For	For	
2	Elect LIU Chijin as Independent Director	Mgmt	For	For	For	

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Yango Group Co Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
000671	CINS Y2653W108	06/19/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Issue Debt Instrument	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	Against	Against	
3	Authority to Give Guarantees	Mgmt	For	Against	Against	
4	Authority to Give Guarantees	Mgmt	For	Against	Against	

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Yango Group Co., Ltd

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Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	07/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority for Subsidiary to Issue Bonds	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	07/31/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Expansion of Cooperation Scope	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Additional Guarantees	Mgmt	For	Against	Against

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	08/14/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantee to Subsidiary	Mgmt	For	Against	Against

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	08/18/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantee to Subsidiary	Mgmt	For	Against	Against

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	09/08/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and	Mgmt	For	For	For

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		Use of Raised Funds			
2	Authority to Give Guarantees	Mgmt	For	Against	Against
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Yango Group Co., Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
000671	CINS Y2653W108	09/22/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Financing Plan and Authority for Subsidiaries to Give Guarantees	Mgmt	For	Against	Against
<hr/>					
Yango Group Co., Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
000671	CINS Y2653W108	09/29/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
<hr/>					
Yango Group Co., Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
000671	CINS Y2653W108	10/12/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Conducting Innovative Assets Operations Model	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against

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9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Authority to Give Guarantees	Mgmt	For	Against	Against

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Yango Group Co., Ltd  
Ticker Security ID: Meeting Date Meeting Status  
000671 CINS Y2653W108 11/01/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Authority to Give Guarantees for Shanghai Xingshen Real Estate	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Shanghai Zhengsin Enterprise	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Tianjin Baoji	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Foshan Xincai Real Estate	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Zhongda Real Estate Nanchang	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Shanxi Yiliang Real Estate	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Deqing Dejin Real Estate	Mgmt	For	Against	Against

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Yango Group Co., Ltd  
Ticker Security ID: Meeting Date Meeting Status  
000671 CINS Y2653W108 11/13/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Authority to Issue Debt Instruments	Mgmt	For	For	For
2	Authority to Give Guarantees to Shaanxi Feng Hao Home Loans	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Shanghai Shaoyi Real Estate	Mgmt	For	Against	Against
4	Authority to Give Guarantees to	Mgmt	For	Against	Against

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Hangzhou Zhuo Yin  
Real Estate  
Loan

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Yango Group Co., Ltd		Meeting Date		Meeting Status	
Ticker	Security ID:	12/04/2017		Voted	
000671	CINS Y2653W108	Country of Trade			
Meeting Type		China			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees for a Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees for another Subsidiary	Mgmt	For	Against	Against
4	Authority to Give Guarantees for a Third Subsidiary	Mgmt	For	Against	Against
5	Authority to Give Guarantees for a Fourth Subsidiary	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Loan	Mgmt	For	Against	Against
7	Authority to Give Guarantees for a Fifth Subsidiary	Mgmt	For	Against	Against
8	Authority to Give Guarantees for a Sixth Subsidiary	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Loan (2)	Mgmt	For	Against	Against
10	Authority to Give Guarantees: Change of Collateral	Mgmt	For	Against	Against
11	Authority to Give Guarantees: Change of Entrusting Party	Mgmt	For	Against	Against
12	Authority to Give Guarantees for a Seventh Subsidiary	Mgmt	For	Against	Against

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Yango Group Co., Ltd		Meeting Date		Meeting Status	
Ticker	Security ID:	12/28/2017		Voted	
000671	CINS Y2653W108	Country of Trade			
Meeting Type		China			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees For	Mgmt	For	Against	Against

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2	Subsidiary Authority to Give Guarantees For Joint Stock Company	Mgmt	For	Against	Against
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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417110	01/26/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Mutual Provision of Labour and Services Agreement	Mgmt	For	For	For
2	Insurance Fund Administrative Services Agreement	Mgmt	For	For	For
3	Materials Supply Agreement	Mgmt	For	For	For
4	Products, Materials and Equipment Leasing Agreement	Mgmt	For	For	For
5	Chemical Projects Entrusted Management Agreement	Mgmt	For	For	For
6	Bulk Commodities Sale and Purchase Agreement	Mgmt	For	For	For
7	Bulk Commodities Mutual Supply Agreement with Century Ruifeng	Mgmt	For	For	For

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417110	05/25/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Liability Insurance	Mgmt	For	For	For
7	Appointment of Auditors and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Approval of Domestic and Overseas Financing	Mgmt	For	For	For

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	Businesses				
10	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to H Repurchase Shares	Mgmt	For	For	For

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### Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417110	05/25/2018	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to H Repurchase Shares	Mgmt	For	For	For

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### Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417110	08/25/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	Compliance with the Requirements of Non-public Issuance of Shares	Mgmt	For	For	For
3	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
6	Approval of Commitments of Controlling Shareholders, Directors, Management for Dilution Measures	Mgmt	For	For	For
7	Non-necessity for Report of Previous Fund-raising	Mgmt	For	For	For
8	Class and Nominal Value	Mgmt	For	For	For
9	Method and Time of Issuance	Mgmt	For	For	For
10	Issue Price and Pricing Principle	Mgmt	For	For	For
11	Size of Issuance	Mgmt	For	For	For
12	Use of Proceeds	Mgmt	For	For	For
13	Lock-up Period	Mgmt	For	For	For
14	Arrangement relating to Accumulated Undistributed	Mgmt	For	For	For

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15	Profits Validity of Resolution of the Issuance	Mgmt	For	For	For
16	Place of Listing	Mgmt	For	For	For
17	Method of Subscription	Mgmt	For	For	For
18	Proposal of Non-public Issuance of A shares of the Company	Mgmt	For	For	For

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417110	08/25/2017	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Nominal Value	Mgmt	For	For	For
2	Method and Time of Issuance	Mgmt	For	For	For
3	Issue Price and Pricing Principle	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Lock-up Period	Mgmt	For	For	For
7	Arrangement relating to Accumulated Undistributed Profits	Mgmt	For	For	For
8	Validity of Resolution of the Issuance	Mgmt	For	For	For
9	Place of Listing	Mgmt	For	For	For
10	Method of Subscription	Mgmt	For	For	For
11	Proposal of Non-public Issuance of A shares of the Company	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417110	11/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect CAI Chang	Mgmt	For	For	For

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Yonghui Superstores Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601933	CINS Y9841N106	04/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its	Mgmt	For	For	For

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	Summary				
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2017 Related Party Transactions-1	Mgmt	For	For	For
7	2017 Related Party Transactions-2	Mgmt	For	For	For
8	2017 Related Party Transactions-3	Mgmt	For	For	For
9	2017 Related Party Transactions-4	Mgmt	For	For	For
10	2017 Related Party Transactions-5	Mgmt	For	For	For
11	2017 Related Party Transactions-6	Mgmt	For	For	For
12	2017 Related Party Transactions-7	Mgmt	For	For	For
13	2017 Related Party Transactions-8	Mgmt	For	For	For
14	2017 Related Party Transactions-9	Mgmt	For	For	For
15	2017 Related Party Transactions-10	Mgmt	For	For	For
16	2017 Related Party Transactions-11	Mgmt	For	For	For
17	2017 Related Party Transactions-12	Mgmt	For	For	For
18	2017 Related Party Transactions-13	Mgmt	For	For	For
19	2017 Related Party Transactions-14	Mgmt	For	For	For
20	2017 Related Party Transactions-15	Mgmt	For	For	For
21	2017 Related Party Transactions-16	Mgmt	For	For	For
22	2017 Related Party Transactions-17	Mgmt	For	For	For
23	2017 Related Party Transactions-18	Mgmt	For	For	For
24	2017 Related Party Transactions-19	Mgmt	For	For	For
25	2017 Related Party Transactions-20	Mgmt	For	For	For
26	2017 Related Party Transactions-21	Mgmt	For	For	For
27	2018 Related Party Transactions-1	Mgmt	For	For	For
28	2018 Related Party Transactions-2	Mgmt	For	For	For
29	2018 Related Party Transactions-3	Mgmt	For	For	For
30	2018 Related Party Transactions-4	Mgmt	For	For	For
31	2018 Related Party Transactions-5	Mgmt	For	For	For
32	2018 Related Party Transactions-6	Mgmt	For	For	For
33	2018 Related Party Transactions-7	Mgmt	For	For	For
34	2018 Related Party Transactions-8	Mgmt	For	For	For

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35	2018 Related Party Transactions-9	Mgmt	For	For	For
36	2018 Related Party Transactions-10	Mgmt	For	For	For
37	2018 Related Party Transactions-11	Mgmt	For	For	For
38	2018 Related Party Transactions-12	Mgmt	For	For	For
39	2018 Related Party Transactions-13	Mgmt	For	For	For
40	2018 Related Party Transactions-14	Mgmt	For	For	For
41	2018 Related Party Transactions-15	Mgmt	For	For	For
42	2018 Related Party Transactions-16	Mgmt	For	For	For
43	2018 Related Party Transactions-17	Mgmt	For	For	For
44	2018 Related Party Transactions-18	Mgmt	For	For	For
45	2018 Related Party Transactions-19	Mgmt	For	For	For
46	Approval of Line of Credit	Mgmt	For	For	For
47	Directors' Fees	Mgmt	For	For	For
48	Appointment of Auditor	Mgmt	For	For	For
49	Authority to Issue Short-Term Bonds	Mgmt	For	For	For
50	Independent Directors'Report	Mgmt	For	For	For
51	Elect LIAO Jianwen	Mgmt	For	Abstain	Against
52	Elect ZHU Wenjun	Mgmt	For	Against	Against

Yonghui Superstores Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601933	CINS Y9841N106	11/21/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect Ian James Winward McLeod	Mgmt	For
			For
			For

Yonghui Superstores Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601933	CINS Y9841N106	12/18/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Restricted Stock Incentive Plan	Mgmt	For
			For
			For
2	Share Repurchase	Mgmt	For
			For
3	Constitution of Procedural Rules: Restricted Stock Incentive Plan	Mgmt	For
			For
			For
4	Board Authorization	Mgmt	For
			For
			For

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for Share Repurchase  
and Equity Incentive  
Plan

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Yonyou Network Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600588	CINS Y9042R104	04/13/2018	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Accounts and Reports	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Allocation of Profits/Dividends: Bonus Issue From Capital Reserve	Mgmt	For
6	Annual Report and Its Summary	Mgmt	For
7	Appointment of Auditor	Mgmt	For
8	Appointment of Internal Control Auditor	Mgmt	For
9	Directors' Fees	Mgmt	For
10	Supervisors' Fees	Mgmt	For
11	Approval of Changing the Company's Registered Capital	Mgmt	For
12	Amendments to Articles	Mgmt	For
13	Shareholder Return Plan for fiscal years 2018 to 2020	Mgmt	For
14	Non-Voting Meeting Note	N/A	N/A

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Yonyou Network Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600588	CINS Y9042R104	08/04/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Proposal to Delay Investment Projects	Mgmt	For
2	Modification of Investment Projects	Mgmt	For

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Youngor Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600177	CINS Y9858M108	05/18/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Provision for Assets Impairment	Mgmt	For

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2	Amendments to Articles	Mgmt	For	For	For
Youngor Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600177	CINS Y9858M108	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Against	Against
8	Management Authorization to Adjust the Structure of Financial Assets and to Purchase Assets Management Products	Mgmt	For	For	For
9	Management Authorization on Land Bidding	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Procedural Rules	Mgmt	For	For	For
12	Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	For	For
Youzu Interactive Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002174	CINS Y8315B101	02/12/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase of The Company's Registered Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Elect LIN Qi	Mgmt	For	For	For
4	Elect CHEN Libiao	Mgmt	For	For	For
5	Elect CUI Rong	Mgmt	For	For	For
6	Elect ZHENG Jiayao	Mgmt	For	For	For
7	Elect XU Yao	Mgmt	For	For	For
8	Elect WANG Pengfei	Mgmt	For	For	For
9	Elect CHEN Donghua	Mgmt	For	For	For
10	Elect FENG Lun	Mgmt	For	For	For
11	Elect LI Xindan	Mgmt	For	For	For
12	Elect LIU Wanqin	Mgmt	For	Against	Against
13	Elect JIANG Hao	Mgmt	For	For	For

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Youzu Interactive Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002174	CINS Y8315B101	08/15/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Options Plan	Mgmt	For	For	For
2	Management Measures on Employee Stock Options Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Options Plan	Mgmt	For	For	For
4	Approval of Line of Credit	Mgmt	For	For	For
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Issue Debt Instruments	Mgmt	For	For	For

Youzu Interactive Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002174	CINS Y8315B101	09/29/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against

Youzu Interactive Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002174	CINS Y8315B101	12/25/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Repurchase Method	Mgmt	For	For	For
3	Price Range of Shares	Mgmt	For	For	For
4	Total Amount and Source of Funds	Mgmt	For	For	For
5	Type, Number and Percentage of Shares	Mgmt	For	For	For
6	Time Limit of Repurchase	Mgmt	For	For	For
7	Analysis on Impact of Repurchase on Operational Activities, Financial Status And Major Future	Mgmt	For	For	For

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8	Development Report and Explanation on Trading of Stocks	Mgmt	For	For	For
9	Board Authorization to Implement Shares Repurchase	Mgmt	For	For	For

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YTO Express Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600233	CINS Y1963V107	01/08/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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YTO Express Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600233	CINS Y1963V107	04/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Confirmation of 2017 Continuing Connected Transactions Results and Estimation of 2018 Continuing Connected Transactions	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Objective and principles of the incentive plan	Mgmt	For	For	For
9	Management organization of the plan	Mgmt	For	For	For
10	Basis of determining plan participants and the scope thereof	Mgmt	For	For	For
11	Source and number of stocks under the incentive plan	Mgmt	For	For	For
12	Valid period, grant date, lock-up period, unlocking arrangement and non-tradable period of the restricted stock incentive	Mgmt	For	For	For

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	plan				
13	Grant price of the restricted stocks and its determining method	Mgmt	For	For	For
14	Conditions for granting and unlocking the restricted stocks	Mgmt	For	For	For
15	Method and procedure for adjusting the restricted stock incentive plan	Mgmt	For	For	For
16	Accounting treatment for the restricted stocks and impact on the company s business performance	Mgmt	For	For	For
17	Procedure for implementing the equity incentive plan	Mgmt	For	For	For
18	Rights and obligations of the company and the plan participants	Mgmt	For	For	For
19	Treatment under unusual situations of the company and plan participants	Mgmt	For	For	For
20	Appraisal Management Measures on the Second Phase Restricted Stock Incentive Plan	Mgmt	For	For	For
21	Authorization to the board to handle matters in relation to proposal 8	Mgmt	For	For	For
22	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
23	Type of securities to be issued	Mgmt	For	For	For
24	Issuing volume	Mgmt	For	For	For
25	Par value and issue price	Mgmt	For	For	For
26	Bond duration	Mgmt	For	For	For
27	Interest rate	Mgmt	For	For	For
28	Time and methods for paying the interest	Mgmt	For	For	For
29	Conversion period	Mgmt	For	For	For
30	Determination of and adjustment to the	Mgmt	For	For	For

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	conversion price				
31	Downward adjustment to the conversion price	Mgmt	For	For	For
32	Determining method for the number of converted shares	Mgmt	For	For	For
33	Redemption clauses	Mgmt	For	For	For
34	Resale clauses	Mgmt	For	For	For
35	Attribution of related dividends for conversion years	Mgmt	For	For	For
36	Issuing targets and method	Mgmt	For	For	For
37	Arrangement for placement to existing shareholders	Mgmt	For	For	For
38	Matters regarding bondholders meetings	Mgmt	For	For	For
39	Purpose of the raised funds	Mgmt	For	For	For
40	Guarantee matters	Mgmt	For	For	For
41	Rating arrangement	Mgmt	For	For	For
42	Depository of the raised funds	Mgmt	For	For	For
43	The valid period of the issuance plan	Mgmt	For	For	For
44	Preplan for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
45	Feasibility Analysis Report on Use of Funds Raised from Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
46	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
47	Diluted Immediate Returns after Issuance of Convertible Corporate Bonds and Filling Measures	Mgmt	For	For	For
48	Full Authorization to the Board to Handle Matters Related to Proposal	Mgmt	For	For	For
49	Rules Governing the Bondholders Meetings of the Company's Convertible Bonds	Mgmt	For	For	For

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50	SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS	Mgmt	For	For	For
51	Elect WAN Lin as Director	Mgmt	For	For	For
52	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

YTO Express Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600233	CINS Y1963V107	09/18/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Objectives	Mgmt	For	For	For
2	Administration	Mgmt	For	For	For
3	Participants	Mgmt	For	For	For
4	Share Volume and Source	Mgmt	For	For	For
5	Period	Mgmt	For	For	For
6	Grant Price	Mgmt	For	For	For
7	Conditions for Granting and Unlocking	Mgmt	For	For	For
8	Method and Procedures for Adjusting	Mgmt	For	For	For
9	Accounting Treatment	Mgmt	For	For	For
10	Implementation Procedures	Mgmt	For	For	For
11	Rights and Obligations	Mgmt	For	For	For
12	Treatment Under Unusual Circumstances	Mgmt	For	For	For
13	Constitution of Procedural Rules: Appraisal Management Measures	Mgmt	For	For	For
14	Board Authorization to Implement Plan	Mgmt	For	For	For
15	Extension of Cash Management Period	Mgmt	For	For	For
16	Extension of Period of Investment	Mgmt	For	For	For
17	Appointment of Auditor	Mgmt	For	For	For

Yunnan Baiyao Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000538	CINS Y9879F108	05/10/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2018 FINANCIAL BUDGET	Mgmt	For	For	For

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	REPORT					
7	Appointment of Auditor	Mgmt	For	For	For	For
8	MANAGEMENT MEASURES ON ALLOWANCE FOR INDEPENDENT DIRECTORS	Mgmt	For	For	For	For

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Zhangzhou Pientzhuang Pharmaceutical Co. Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
600436	ISIN CNE000001F21	03/29/2018			Voted	
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect FAN Zhipeng as Director	MGMT	For	For	For	
2	Amendments to Articles	MGMT	For	For	For	

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Zhangzhou Pientzhuang Pharmaceutical Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
600436	CINS Y9890Q109	05/08/2018			Voted	
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Independent Directors' Report	Mgmt	For	For	For	
4	2017 ANNUAL ACCOUNTS AND 2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Related Party Transactions	Mgmt	For	For	For	
9	Amendments to Articles	Mgmt	For	For	For	

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Zhangzhou Pientzhuang Pharmaceutical Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
600436	CINS Y9890Q109	07/17/2017			Voted	
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	TERMINATION OF AN INDUSTRIAL PARK INVESTMENT PLAN	Mgmt	For	For	For	
2	Amendments to Management System for External Investments	Mgmt	For	For	For	

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Zhangzhou Pientzhuang Pharmaceutical Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
600436	CINS Y9890Q109	08/01/2017			Voted	
Meeting Type		Country of Trade				
Special		China				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect JIA Jianjun	Mgmt	For	For	For
2	Elect LI Guangpei	Mgmt	For	For	For

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### Zhangzhou Pientzehuang Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600436	CINS Y9890Q109	09/25/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Work Report of Directors	Mgmt	For	For	For
2	Work Report of Supervisors	Mgmt	For	For	For
3	LIU Jianshun	Mgmt	For	For	For
4	LIN Liuqiang	Mgmt	For	For	For
5	HUANG Jinming	Mgmt	For	For	For
6	HONG Dongming	Mgmt	For	For	For
7	CHEN Jipeng	Mgmt	For	For	For
8	ZHUANG Jianzhen	Mgmt	For	For	For
9	CHEN Dong	Mgmt	For	For	For
10	CHEN Gong	Mgmt	For	For	For
11	LIN Jing	Mgmt	For	For	For
12	JIA Jianjun	Mgmt	For	For	For
13	LI Guangpei	Mgmt	For	For	For
14	ZHANG Weichang	Mgmt	For	Against	Against
15	HE Jianguo	Mgmt	For	Against	Against
16	WU Xiaohua	Mgmt	For	For	For

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### Zhejiang Century Huatong Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002602	CINS Y988BH109	01/08/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of a Director	Mgmt	For	For	For

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### Zhejiang Century Huatong Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002602	CINS Y988BH109	02/27/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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### Zhejiang Century Huatong Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002602	CINS Y988BH109	06/19/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Miaotong	Mgmt	For	For	For
2	Elect WANG Yifeng	Mgmt	For	For	For
3	Elect SHAO Heng	Mgmt	For	For	For
4	Elect WANG Ji	Mgmt	For	For	For
5	Elect HU Hui	Mgmt	For	For	For
6	Elect ZHAO Qi	Mgmt	For	For	For

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7	Elect WANG Qian	Mgmt	For	For	For
8	Elect LIANG Feiyuan	Mgmt	For	For	For
9	Elect CHEN Weidong	Mgmt	For	For	For
10	Elect HUANG Weifeng as Supervisor	Mgmt	For	Against	Against
11	Related Party Transactions	Mgmt	For	For	For
12	Amendments to Procedural Rules	Mgmt	For	Abstain	Against
13	Amendments to Articles	Mgmt	For	For	For
14	Director's Report	Mgmt	For	For	For
15	Annual Report	Mgmt	For	For	For
16	Accounts and Reports	Mgmt	For	For	For
17	Allocation of Profits/Dividends	Mgmt	For	For	For
18	Appointment of Auditor	Mgmt	For	For	For
19	Supervisor's Report	Mgmt	For	For	For

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Zhejiang Century Huatong Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002602	CINS Y988BH109	11/14/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Declare Interim Dividends	Mgmt	For	For	For	

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Zhejiang Century Huatong Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002602	CINS Y988BH109	12/15/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Extension of Validity of Resolution on Major Assets Restructuring	Mgmt	For	For	For	
2	Extension of Board Authorization on Major Assets Restructuring	Mgmt	For	For	For	

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Zhejiang China Commodities City Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600415	CINS Y98916102	05/11/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For	
5	2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	

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8	Authority to Issue Various Debt Financing Instruments within 12 Months	Mgmt	For	For	For
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Zhejiang China Commodities City Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600415	CINS Y98916102	12/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' and Supervisors' Fees	Mgmt	For	For	For
2	Election of Directors	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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Zhejiang Chint Electrics Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601877	CINS Y988AY103	01/29/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Financial Services Agreement	Mgmt	For	Against	Against
2	Amendments to Articles	Mgmt	For	For	For
<hr/>					
Zhejiang Chint Electrics Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601877	CINS Y988AY103	05/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
10	Change of Projects Funded with Raised Funds	Mgmt	For	For	For
11	Elect LIU Shuzhe as Independent Director	Mgmt	For	For	For
12	Elect ZHANG Zhihuan	Mgmt	For	For	For
13	Elect GUO Meijun	Mgmt	For	For	For
14	Elect LU Chuan	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Zhejiang Chint Electrics Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601877	CINS Y988AY103	10/26/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect CHOU Zhanwei as Director	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	03/06/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Management Rules of Core Employee Venture Business	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	04/27/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors and Supervisors' Fees	Mgmt	For	For	For
7	Reappointment of Auditor	Mgmt	For	Against	Against
8	Foreign Exchange Transactions	Mgmt	For	For	For
9	Approval of line of credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Shareholder Returns Plan	Mgmt	For	For	For
13	AMENDMENTS TO THE EXTERNAL GUARANTEE MANAGEMENT SYSTEM	Mgmt	For	Abstain	Against
14	Amendments to Related Party Transactions Management System	Mgmt	For	Abstain	Against
15	Constitution of Procedural Rules: Risk Investment Management System	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002236	CINS Y988AP102	05/28/2018	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase of Foreign Exchange Hedging Quota	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002236	CINS Y988AP102	08/24/2017	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect FU Liquan	Mgmt	For	Against	Against
2	Elect WU Jun	Mgmt	For	For	For
3	Elect ZHU Jiangming	Mgmt	For	For	For
4	Elect CHEN Ailing	Mgmt	For	For	For
5	Elect LI Ke	Mgmt	For	For	For
6	Elect HE Chao	Mgmt	For	For	For
7	Elect WANG Zexia	Mgmt	For	For	For
8	Elect HUANG Siying	Mgmt	For	For	For
9	Elect SONG Ke as Supervisor	Mgmt	For	Against	Against
10	Independent Directors' Fees	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	For	For
13	Adjustment to Credit Quota and Quota of Notes Pool Business for Banks	Mgmt	For	Abstain	Against

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Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300133	CINS Y988B8109	07/11/2017	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For

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Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300133	CINS Y988B8109	11/14/2017	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For

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Zhejiang Huayou Cobalt Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status
603799	CINS Y9898D100	03/28/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For
2	Investment in Construction of Production Base	Mgmt	For

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Zhejiang Huayou Cobalt Co.,Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status
603799	CINS Y9898D100	04/26/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Approval of Joint Ventures	Mgmt	For

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Zhejiang Huayou Cobalt Co.,Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status
603799	CINS Y9898D100	05/16/2018	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report and Its Summary	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	2017 Related Party Transactions	Mgmt	For
6	2018 Estimation of Related Party Transactions	Mgmt	For
7	Allocation of Profits/Dividends	Mgmt	For
8	Shareholder Return Plan for the Next Three Year(2018-2020)	Mgmt	For
9	Directors' Fees	Mgmt	For
10	Appointment of Auditor	Mgmt	For
11	Approval of Line of Credit	Mgmt	For
12	Authority to Give Guarantees	Mgmt	For
13	Authority to Issue Debt Instrument	Mgmt	For
14	Foreign Forward Exchange Transactions	Mgmt	For
15	Independent Directors' Report	Mgmt	For
16	Related Party Transactions:	Mgmt	For

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Financial  
Aid

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Zhejiang Longsheng Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600352	CINS Y98918108	05/04/2018	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec    Vote Cast    For/Against Mgmt
1	Directors' Report	Mgmt	For    For    For
2	Supervisors' Report	Mgmt	For    For    For
3	Accounts and Reports	Mgmt	For    For    For
4	Financial Budget	Mgmt	For    For    For
5	Annual Report	Mgmt	For    For    For
6	Allocation of Profits/Dividends	Mgmt	For    For    For
7	Remuneration for Directors and Supervisors	Mgmt	For    For    For
8	Authority to Give Guarantees: Subsidiaries	Mgmt	For    Against    Against
9	Appointment of Auditor	Mgmt	For    For    For
10	Authority to Give Guarantees: Dealer Bank Loan	Mgmt	For    Against    Against

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Zhejiang Zheneng Electric Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600023	CINS Y9897X107	01/11/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec    Vote Cast    For/Against Mgmt
1	Elect SUN Weiheng	Mgmt	For    For    For
2	Elect CAO Lu	Mgmt	For    For    For
3	Elect WANG Jiantang	Mgmt	For    For    For
4	Elect DAI Xinmin	Mgmt	For    For    For
5	Elect YING Miaofu	Mgmt	For    For    For
6	Elect HAN Lingli	Mgmt	For    For    For
7	Elect HE Daan	Mgmt	For    For    For
8	Elect HAN Hongling	Mgmt	For    For    For
9	Elect WANG Lina	Mgmt	For    Against    Against
10	Elect CHEN Lixin	Mgmt	For    For    For

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Zhejiang Zheneng Electric Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600023	CINS Y9897X107	05/30/2018	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec    Vote Cast    For/Against Mgmt
1	Directors' Report	Mgmt	For    For    For
2	Supervisors' Report	Mgmt	For    For    For
3	2017 ANNUAL ACCOUNTS	Mgmt	For    For    For
4	2018 FINANCIAL BUDGET REPORT	Mgmt	For    For    For
5	Allocation of Profits/Dividends	Mgmt	For    For    For
6	2018 REAPPOINTMENT OF	Mgmt	For    For    For

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	AUDIT FIRM				
7	APPOINTMENT OF 2018 INTERNAL CONTROL AUDIT FIRM	Mgmt	For	For	For
8	Annual Report	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	ENTRUSTMENT OF TECHNOLOGY SUPERVISION AND SERVICE TO A COMPANY	Mgmt	For	For	For

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Zhejiang Zheneng Electric Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600023	CINS Y9897X107	11/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Launch of Hedging Business	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules: Governing Shareholders General Meetings	Mgmt	For	For	For
4	Amendments to Procedural Rules:Board Meetings	Mgmt	For	For	For
5	Amendments to Procedural Rules:Supervisor Meetings	Mgmt	For	For	For

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Zhengzhou Yutong Bus Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600066	CINS Y98913109	06/29/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	Against	Against
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	PROVISION OF TEMPORARY GUARANTEE	Mgmt	For	Abstain	Against
9	LAUNCHING BILLS POOL AND OTHER FINANCING BUSINESS	Mgmt	For	For	For

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10	Amendments to Articles	Mgmt	For	Abstain	Against
11	Elect LI Keqiang as Independent Director	Mgmt	For	For	For

Zheshang Securities Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601878	CINS Y989BG109	06/20/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Connected Transactions with the Controlling Shareholder and Its Related Parties	Mgmt	For	For	For
7	Connected Transactions with Other Related Enterprises	Mgmt	For	For	For
8	Connected Transactions with Related Natural Persons	Mgmt	For	For	For
9	CONTROL OF 2018 TOTAL FINANCIAL INVESTMENT SCALE	Mgmt	For	For	For
10	General Authorization for Issuance of Domestic and Overseas Debt Financing Instruments	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Eligibility for Issuance of convertible bonds	Mgmt	For	For	For
13	Type of Securities to Be Issued	Mgmt	For	For	For
14	Issuing Scale	Mgmt	For	For	For
15	Par Value and Issue Price	Mgmt	For	For	For
16	Bond Duration	Mgmt	For	For	For
17	Interest Rate	Mgmt	For	For	For
18	Time and Method for Paying the Principal and Interest	Mgmt	For	For	For
19	Conversion Period	Mgmt	For	For	For
20	Adjustment and Determination of The Conversion Price	Mgmt	For	For	For
21	Clauses on Downward Adjustment of The Conversion	Mgmt	For	For	For

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	Price				
22	Determining Method for The Number of Converted Shares and Treatment Method in Case the Remaining Convertible Bonds Cannot Be Converted into One Common Share When Conversion Happens	Mgmt	For	For	For
23	Redemption Clauses	Mgmt	For	For	For
24	Resale Clauses	Mgmt	For	For	For
25	Attribution of Related Dividends for Conversion Years	Mgmt	For	For	For
26	Issuing Targets and Method	Mgmt	For	For	For
27	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
28	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
29	Purpose of The Raised Funds	Mgmt	For	For	For
30	Guarantee Matters	Mgmt	For	For	For
31	Depository and Management of The Raised Funds	Mgmt	For	For	For
32	The Valid Period of The Resolution	Mgmt	For	For	For
33	PREPLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE BONDS	Mgmt	For	For	For
34	Feasibility Analysis Report on Use of Raised Funds	Mgmt	For	For	For
35	Report on Use of Previously Raised Funds	Mgmt	For	For	For
36	Shareholder Returns Plan	Mgmt	For	For	For
37	Full Authorization to Board to Handle Matters Regarding Public Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
38	Diluted Immediate Return After Public Issuance of Convertible Corporate Bonds and Filing Measures	Mgmt	For	For	For
39	Formulation of Rules Governing Meetings of	Mgmt	For	For	For

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Bondholders of  
Convertible  
Bonds

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Zhongjin Gold Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600489	CINS Y9890R107	06/28/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Independent Directors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Annual Report	Mgmt	For	For	For	
7	Related Party Transactions	Mgmt	For	Against	Against	
8	Appointment of Auditor	Mgmt	For	For	For	
9	Amendments to Articles	Mgmt	For	For	For	
10	Shareholder Returns Plan	Mgmt	For	For	For	
11	Authority to Give Guarantees to Songxian Jinniu Co., Ltd.	Mgmt	For	For	For	
12	Authority to Give Guarantees to Zhongjin Songxian Songyuan Gold Smelting Co., Ltd.	Mgmt	For	For	For	
13	Authority to Give Guarantees to China Gold Group Jia Pi Gou Mining Co., Ltd.	Mgmt	For	For	For	
14	Authority to Issue Debt Instruments	Mgmt	For	Abstain	Against	
15	Board Authorization to Handle Matters Regarding the Issuance of Debt Instruments	Mgmt	For	Abstain	Against	
16	Independent Directors' Fees	Mgmt	For	For	For	

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Zhongtian Financial Group Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
000540	CINS Y2943D100	01/15/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Related Party Transactions	Mgmt	For	For	For	
2	Amendments to Articles	Mgmt	For	For	For	

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3 Constitution of  
Procedural Rules:  
Shareholder General  
Meetings

Zhongtian Financial Group Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
000540	CINS Y2943D100	03/30/2018	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Asset Sale	Mgmt	For	Abstain	Against	
2	Asset	Mgmt	For	Abstain	Against	
3	Transaction Counterparts	Mgmt	For	Abstain	Against	
4	Consideration	Mgmt	For	Abstain	Against	
5	Payment Method	Mgmt	For	Abstain	Against	
6	Attribution of Profits	Mgmt	For	Abstain	Against	
7	Delivery of Assets	Mgmt	For	Abstain	Against	
8	Employee Placement	Mgmt	For	Abstain	Against	
9	Validity Period	Mgmt	For	Abstain	Against	
10	Constitution of of Major Asset Restructuring	Mgmt	For	Abstain	Against	
11	Constitution of Connected Transaction	Mgmt	For	Abstain	Against	
12	Equity Transaction Agreement	Mgmt	For	Abstain	Against	
13	Compliance with Article 4 of Major Asset Restructuring Regulation	Mgmt	For	Abstain	Against	
14	Report on Transaction	Mgmt	For	Abstain	Against	
15	Audit Report, Pro Forma Review Report and Evaluation Report	Mgmt	For	Abstain	Against	
16	Independence of Evaluation Institution and Fairness of Evaluation	Mgmt	For	Abstain	Against	
17	Statement on Compliance and Completeness of Legal Procedures	Mgmt	For	Abstain	Against	
18	Dilution of Immediate Returns after Major Asset Sales	Mgmt	For	Abstain	Against	
19	Authorization of Legal Formalities	Mgmt	For	Abstain	Against	
20	Board Authorization to Implement Asset Sale	Mgmt	For	Abstain	Against	
21	Authority to Give Guarantees	Mgmt	For	For	For	
22	Related Party Transactions for	Mgmt	For	Abstain	Against	

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23	Guarantees Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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Zhongtian Financial Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	04/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	Against	Against

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Zhongtian Financial Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	06/07/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement of Corporate Bonds	Mgmt	For	For	For
2	Issuing Volume	Mgmt	For	For	For
3	Par Value and Issue Price	Mgmt	For	For	For
4	Issuing Targets and Method	Mgmt	For	For	For
5	Listing and Transfer Method	Mgmt	For	For	For
6	Bond Type and Duration	Mgmt	For	For	For
7	Interest Rate	Mgmt	For	For	For
8	Guarantee Method	Mgmt	For	For	For
9	Purpose of the Raised Funds	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For
11	Valid Period of Resolution	Mgmt	For	For	For
12	Authorization to Board to Handle Matters Related to 2018 Private Placement of Corporate Bonds	Mgmt	For	For	For

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Zhongtian Financial Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
000540	CINS Y2943D100	08/10/2017	Voted
Meeting Type	Country of Trade		
Special	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Housing Balance Payment Asset-Backed Plan	Mgmt	For	For	For
2	Authority for Subsidiary to Issue Fed Securities Hyatt Regency Hotel Asset-Backed Plan	Mgmt	For	For	For
3	Authority to Issue Short Term Commercial Paper	Mgmt	For	For	For

Zhongtian Financial Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	09/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

Zhongtian Financial Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	10/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

Zhongtian Financial Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	11/17/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Trading Suspension	Mgmt	For	For	For

Zijin Mining Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2899	CINS Y9892H115	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
3	Authority to Issue Debt Instruments	Mgmt	For	For	For
4	Authority to Give Guarantees (Overseas Wholly-Owned	Mgmt	For	For	For

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5	Subsidiaries) Authority to Give Guarantees (Makeng Mining)	Mgmt	For	For	For
6	Authority to Give Guarantees (Wengfu Zijin)	Mgmt	For	For	For
7	Directors' Report	Mgmt	For	For	For
8	Independent Directors' Report	Mgmt	For	For	For
9	Supervisors' Report	Mgmt	For	For	For
10	Accounts and Reports	Mgmt	For	For	For
11	Annual Report	Mgmt	For	For	For
12	Allocation of Profits/Dividends	Mgmt	For	For	For
13	Executive Directors' and Supervisory Committee Chair Fees	Mgmt	For	For	For
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
15	Change in Use of Proceeds	Mgmt	For	For	For

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Zoomlion Heavy Industry Science and Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
1157	CINS Y1293Y102	06/29/2018			Voted	
Meeting Type		Country of Trade				
Other		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	

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Zoomlion Heavy Industry Science and Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
1157	CINS Y1293Y102	06/29/2018			Voted	
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Report of A Shares	Mgmt	For	For	For	
5	Report of H Shares	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Appointment of Domestic Auditor	Mgmt	For	For	For	
8	Appointment of International Auditor	Mgmt	For	For	For	
9	Authority to Set Auditor's Fees	Mgmt	For	For	For	
10	Application for Banking Credit Line	Mgmt	For	For	For	
11	Financing Limits	Mgmt	For	For	For	
12	Authority to Give	Mgmt	For	For	For	

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	Mortgage Guarantees				
13	Authorization to Zoomlion Industrial Vehicles to Engage in Business relating to Banker's Acceptance Secured by Goods	Mgmt	For	For	For
14	Authorization to Zoomlion Heavy Machinery to Engage in Business relating to Banker's Acceptance Secured by Goods	Mgmt	For	For	For
15	Authority to Give Guarantees	Mgmt	For	For	For
16	Investment and Financial Management	Mgmt	For	For	For
17	Development of Financial Derivatives Business	Mgmt	For	For	For
18	Related Party Transactions in Relation to Product Sales	Mgmt	For	For	For
19	Related Party Transactions in Relation to Procurement of Spare Parts and Components	Mgmt	For	For	For
20	Related Party Transactions in Relation to in Relation to Products Processing	Mgmt	For	For	For
21	Related Party Transactions in Relation to the Provision of Finance Services	Mgmt	For	For	For
22	Establishment and Authorization to Hunan Zhicheng Financing and Guarantee Company Limited to Provide Guarantees	Mgmt	For	For	For
23	Authority to Issue Medium-Term Notes	Mgmt	For	Against	Against
24	Approve Compliance with Conditions of Bonds Issuance	Mgmt	For	Against	Against
25	Authority to Issue Bonds	Mgmt	For	Against	Against
26	Board Authorization to Deal with Matters	Mgmt	For	Against	Against

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	Relating to the Issue of Bonds					
27	Amendments to Articles Regarding Registered Capital	Mgmt	For	For	For	For
28	Amendments to Articles Regarding Business Scope	Mgmt	For	For	For	For
29	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
30	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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Zoomlion Heavy Industry Science and Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
1157	CINS Y1293Y102	11/01/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Increase of Quota of Investment in Low-risk Investment and Financial Management	Mgmt	For	For	For	
3	Provision for 2017 Interim Asset Impairment	Mgmt	For	For	For	
4	TRANSFER OF ACCOUNTS RECEIVABLE	Mgmt	For	For	For	
5	Sale of Products	Mgmt	For	For	For	
6	Purchasing Parts	Mgmt	For	For	For	
7	Product Processing	Mgmt	For	For	For	
8	Provision of Financial Services	Mgmt	For	For	For	
9	Amendments to Articles	Mgmt	For	For	For	
10	Share Option Scheme and Restricted A Share Incentive Scheme	Mgmt	For	For	For	
11	Appraisal Measures for Share Option Scheme and Restricted A Share Incentive Scheme	Mgmt	For	For	For	
12	Board Authorization to Implement Share Option Scheme and Restricted A Share Incentive Scheme	Mgmt	For	For	For	

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Zoomlion Heavy Industry Science and Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
1157	CINS Y1293Y102	11/01/2017	Voted			
Meeting Type	Country of Trade					
Other	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Articles	Mgmt	For	For	For	
3	Share Option Scheme	Mgmt	For	For	For	

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	and Restricted A Share Incentive Scheme				
4	Appraisal Measures for Share Option Scheme and Restricted A Share Incentive Scheme	Mgmt	For	For	For
5	Board Authorization to Implement Share Option Scheme and Restricted A Share Incentive Scheme	Mgmt	For	For	For

ZTE Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
000063	ISIN CNE000000TK5	03/28/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Fulfillment of Criteria for the Proposed Non-public Issuance of A Shares	MGMT	For	For	For
2.1	Class and Par Value	MGMT	For	For	For
2.2	Method and Time of Issue	MGMT	For	For	For
2.3	Target Subscribers and Subscription Method	MGMT	For	For	For
2.4	Subscription Price and Pricing Principles	MGMT	For	For	For
2.5	Issuing Volume	MGMT	For	For	For
2.6	Lock-up Period	MGMT	For	For	For
2.7	Amounts and Use of Proceeds	MGMT	For	For	For
2.8	Listing Place	MGMT	For	For	For
2.9	Undistributed Profits	MGMT	For	For	For
2.10	Validity of Resolution	MGMT	For	For	For
3	Proposal for the Proposed Private Issuance of A Shares	MGMT	For	For	For
4	Feasibility Analysis Report on the Use of Proceeds	MGMT	For	For	For
5	Exemption from the Preparation of the Report on the Use of Proceeds	MGMT	For	For	For
6	Remedial Measures regarding Dilution on Returns	MGMT	For	For	For
7	Shareholders' Return Plan 2018-2020	MGMT	For	For	For
8	Board Authorization	MGMT	For	For	For
9	Amendments to Articles	MGMT	For	For	For

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	of				
	Association				
10	Entrustment in	MGMT	For	For	For
	relation to the				
	Development,				
	Construction, Sales				
	and Operation of				
	Shenzhen Bay Super				
	Headquarters				
	Base				

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ZTE Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
0763	CINS Y0004F121	06/29/2018	Voted

Meeting Type	Country of Trade
Annual	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	President's Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of PRC Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Appointment of Hong Kong Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Application to Bank of China Limited	Mgmt	For	For	For
11	Application to China Development Bank	Mgmt	For	For	For
12	Application for Investment Limits in Derivative Products	Mgmt	For	For	For
13	Authority to Give Guarantees (Overseas Subsidiaries)	Mgmt	For	For	For
14	Authority to Give Guarantees (Debt Financing of ZTE (HK) Limited)	Mgmt	For	For	For
15	Adjustment to Independent Non-Executive Directors' Allowance	Mgmt	For	For	For
16	Authority to Issue	Mgmt	For	Against	Against

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	Shares w/o Preemptive Rights				
17	Rules of Procedure of the Board of Directors Meetings	Mgmt	For	For	For
18	Elect LI Zixue	Mgmt	For	For	For
19	Elect LI Buqing	Mgmt	For	For	For
20	Elect GU Junying	Mgmt	For	For	For
21	Elect ZHU Weimin	Mgmt	For	For	For
22	Elect FANG Rong	Mgmt	For	For	For
23	Elect CAI Manli	Mgmt	For	For	For
24	Elect YUMING Bao	Mgmt	For	For	For
25	Election of Directors	Mgmt	Abstain	Abstain	For

ZTE Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0763	CINS Y0004F121	12/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Authority to Issue Perpetual Medium-term Notes	Mgmt	For	For	For
3	Authority to Issue Short-term Commercial Papers	Mgmt	For	For	For
Fund Name : VanEck Vectors ChinaAMC SME-Chinext ETF					

Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status		
002292	CINS Y2927R109	03/27/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
2	Amendment to Articles of Association	Mgmt	For	For	For
3	Approval of Application for Line of Credit	Mgmt	For	For	For

Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status		
002292	CINS Y2927R109	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For

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4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Shareholder Returns Plan	Mgmt	For	For	For

Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status		
002292	CINS Y2927R109	06/07/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Equities in A Wholly-Owned Sub-Subsidiary	Mgmt	For	For	For

AVIC Electromechanical Systems Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002013	CINS Y37456111	04/12/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	Against	Against
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Elect JING Xu as Independent Director	Mgmt	For	For	For

AVIC Electromechanical Systems Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002013	CINS Y37456111	09/22/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
3	Reports on Deposit and Use of Raised Funds	Mgmt	For	For	For
4	Internal Control Self-Assessment Report	Mgmt	For	For	For
5	Adoption of Procedural	Mgmt	For	For	For

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	Rules for Bondholders Meetings				
6	Shareholder Returns Plan	Mgmt	For	For	For
7	Approval of Dilution Measures for Bond Issuance	Mgmt	For	For	For
8	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
9	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
10	Type of Securities	Mgmt	For	For	For
11	Issuing Volume	Mgmt	For	For	For
12	Par Value and Issuing Price	Mgmt	For	For	For
13	Bond Duration	Mgmt	For	For	For
14	Interest Rate	Mgmt	For	For	For
15	Time Limit and Method of Paying Interest	Mgmt	For	For	For
16	Conversion Period	Mgmt	For	For	For
17	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
18	Downward Adjustment Clauses on Conversion Price	Mgmt	For	For	For
19	Determination Method for Amount of Converted Shares	Mgmt	For	For	For
20	Redemption Clauses	Mgmt	For	For	For
21	Resale Clauses	Mgmt	For	For	For
22	Attribution of Related Dividends for Conversion Years	Mgmt	For	For	For
23	Issuance Targets and Method	Mgmt	For	For	For
24	Arrangement for Placement to Shareholders	Mgmt	For	For	For
25	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
26	Use of Proceeds	Mgmt	For	For	For
27	Depository of Proceeds	Mgmt	For	For	For
28	Guarantee Matters	Mgmt	For	For	For
29	Validity of Resolution	Mgmt	For	For	For
30	Preplan for Issuance of Corporate Bonds (Revised)	Mgmt	For	For	For
31	Acquisition of Equity Constituting Related Party Transactions	Mgmt	For	For	For
32	Acquisition of 27.45%	Mgmt	For	For	For

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	Equity Stake in Sanjiang Machinery				
33	Conditional Agreement on Acquisition of 100% Equity Stake in Xinxiang Aviation	Mgmt	For	For	For
34	Conditional Agreement on Acquisition of 72.55% Equity Stake in Sanjiang Machinery	Mgmt	For	For	For
35	Conditional Agreement on Acquisition of 27.45% Equity Stake in Sanjiang Machinery	Mgmt	For	For	For
36	Approval of Audit Report and Appraisal Report	Mgmt	For	For	For
37	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For
38	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
39	Permanent Replenishment of Working Capital	Mgmt	For	For	For

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AVIC Jonhon Optron Technology Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002179	CINS Y14228103	01/12/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Benchmarking	Mgmt	For	For	For

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AVIC Jonhon Optron Technology Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002179	CINS Y14228103	04/13/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Estimation of 2018 Related Party Transactions	Mgmt	For	Against	Against

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7	Annual Report and Its Summary	Mgmt	For	For	For
8	Financial Budgets	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	REPURCHASE AND CANCELLATION OF PARTIAL RESTRICTED STOCKS	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Amendments to Procedural Rules	Mgmt	For	For	For
13	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For

AVIC Jonhon Optron Technology Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002179	CINS Y14228103	10/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect TANG Jun	Mgmt	For	For	For
2	Elect ZHAO Yong	Mgmt	For	For	For
3	Elect WANG Bo	Mgmt	For	For	For
4	Elect ZHAO Zhuo	Mgmt	For	Against	Against
5	Repurchase and Cancellation of Restricted Stocks	Mgmt	For	For	For
6	Shareholder Returns Plan 2017-2019	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

AVIC Jonhon Optron Technology Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002179	CINS Y14228103	12/08/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Type of Bonds	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Par Value and Issue Price	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Time and Method for Repaying Principal and Interest	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Determination and Adjustment of Conversion Price	Mgmt	For	For	For

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10	Adjustment Clauses on the Conversion Price	Mgmt	For	For	For
11	Determination and Treatment Method in Case Remaining Bonds Cannot be Converted	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Attribution of Related Dividends for Conversion Years	Mgmt	For	For	For
15	Targets and Method	Mgmt	For	For	For
16	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
17	Matters Regarding Bondholders Meeting	Mgmt	For	For	For
18	Purpose of Raised Funds	Mgmt	For	For	For
19	Depository of Raised Funds	Mgmt	For	For	For
20	Guarantee Matters	Mgmt	For	For	For
21	Validity Period	Mgmt	For	For	For
22	Preplan for Issuance	Mgmt	For	For	For
23	Feasibility Report	Mgmt	For	For	For
24	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
25	2017 Internal Control Evaluation Report	Mgmt	For	For	For
26	Diluted Immediate Returns	Mgmt	For	For	For
27	Constitution of Procedural Rules: Bondholder Meetings	Mgmt	For	For	For
28	Authorization for Persons to Handle Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
29	Renewal of Financial Services Agreement	Mgmt	For	For	For

Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002142	CINS Y0698G104	05/16/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Annual Report and Its Summary	Mgmt	For
3	Accounts and Reports	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For

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5	Related Party Transactions	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Authority to Issue Green Financial Bonds	Mgmt	For	For	For
8	Extension of the Valid Period of Resolution and Relevant Authorization for The Non-Public A-Share Offering	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Supervisor' Report	Mgmt	For	For	For
11	Supervisors' Performance Evaluation Report	Mgmt	For	For	For
12	Directors' Performance Evaluation Report	Mgmt	For	For	For

Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002142	CINS Y0698G104	10/12/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Issue Financial Bonds	Mgmt	For
			For
2	Adjustment of Capital Injection Scheme of Yongying Financial Leasing	Mgmt	For
			For

Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002142	CINS Y0698G104	12/28/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Eligibility for Private Placement of Preference Shares	Mgmt	For
			For
2	Issue Type and Size	Mgmt	For
			For
3	Issue Manner	Mgmt	For
			For
4	Target Subscribers	Mgmt	For
			For
5	Par Value and Issue Price	Mgmt	For
			For
6	Duration	Mgmt	For
			For
7	Determination Principle of Par Interest Rate	Mgmt	For
			For
8	Profit Distribution Method	Mgmt	For
			For

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9	Conditional Terms of Redemption	Mgmt	For	For	For
10	Mandatory Conversion Terms	Mgmt	For	For	For
11	Voting Restrictions	Mgmt	For	For	For
12	Voting Recovery	Mgmt	For	For	For
13	Liquidation Order and Liquidation Method	Mgmt	For	For	For
14	Rating Arrangement	Mgmt	For	For	For
15	Guarantee Arrangement	Mgmt	For	For	For
16	Transfer Arrangements	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Resolution Validity Period	Mgmt	For	For	For
19	Authorization of the Board	Mgmt	For	For	For
20	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
21	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
22	Related Party Transactions	Mgmt	For	For	For
23	Elect HU Pingxi as Director	Mgmt	For	For	For

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Baofeng Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300431	CINS Y07770103	09/04/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Approval of Asset-Backed Special Plan	Mgmt	For
			For
2	Board Authorization to Implement Asset-Backed Special Plan	Mgmt	For
			For
3	Amendments to Business Scope	Mgmt	For
			For
4	Amendments to Articles	Mgmt	For
			For
5	Extension of Validity of Resolution on Private Placement	Mgmt	For
			For
6	Extension of Board Authorization to Implement Private Placement	Mgmt	For
			For

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Baofeng Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300431	CINS Y07770103	10/16/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Extension of Trading	Mgmt	For
			For

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### Suspension

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Baofeng Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300431	CINS Y07770103	12/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect FENG Xin	Mgmt	For	For	For
2	Elect JIANG Hao	Mgmt	For	For	For
3	Elect CUI Tianlong	Mgmt	For	For	For
4	Elect ZHAO Jun	Mgmt	For	For	For
5	Elect LIU Chunlu	Mgmt	For	For	For
6	Elect LUO Yibing	Mgmt	For	For	For
7	Elect ZHANG Lin	Mgmt	For	For	For
8	Elect LI Yongqiang	Mgmt	For	Against	Against
9	Elect LI Li	Mgmt	For	For	For

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Baofeng Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300431	CINS Y07770103	12/26/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Capital Increase	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co					
Ticker	Security ID:	Meeting Date	Meeting Status		
002385	ISIN CNE100000N61	03/19/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles (1)	MGMT	For	For	For
2	Amendments to Articles (2)	MGMT	For	For	For
3	Authority to Issue Short-Term Commercial Papers	MGMT	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	04/23/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Reports	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Audit Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Ratification of Board Acts	Mgmt	For	For	For

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9	Authority to Give Guarantees	Mgmt	For	For	For
10	Elect SONG Weipin	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	Abstain	Against
12	Authority to Give Guarantees	Mgmt	For	For	For
13	Authority to Give Guarantees	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	05/14/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Method of The Share Repurchase	Mgmt	For	For	For
2	Objective and Purpose of The Shares to Be Repurchased	Mgmt	For	For	For
3	Total Amount and Source of The Funds to Be Used for The Repurchase	Mgmt	For	For	For
4	Time for The Share Repurchase and The Price Range	Mgmt	For	For	For
5	Type, Number and Percentage of Shares to Be Repurchased	Mgmt	For	For	For
6	The Valid Period of The Resolution	Mgmt	For	For	For
7	Authorization to Board to Handle Matters Regarding Share Repurchase	Mgmt	For	For	For

Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	08/07/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Authority to Give Financial Assistance	Mgmt	For	For	For

Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	10/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Adoption of Equity Incentive Plan	Mgmt	For	For	For
2	Appraisal Management Measures for Equity Incentive Plan	Mgmt	For	For	For
3	Board Authorization to Implement Equity Incentive Plan	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For
5	Amendments to Use of Proceeds	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Issue Medium-term Notes	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	11/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Hedging Business	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300251	CINS Y0773B103	03/28/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	SALE OF ASSET	Mgmt	For	Abstain	Against
2	Approval of strategic agreement	Mgmt	For	For	For

Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300251	CINS Y0773B103	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Elect CAO Xiaobei as Supervisor	Mgmt	For	Against	Against
7	Directors' Fees	Mgmt	For	For	For

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8	Related Party Transactions	Mgmt	For	For	For
Beijing Enlight Media Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
300251	CINS Y0773B103	09/20/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
Beijing Kunlun Tech Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300418	CINS Y0R7Z5109	02/23/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Board Authorization to Implement Bond Issuance	Mgmt	For	Against	Against
4	Approval of Line of Credit	Mgmt	For	For	For
5	Amendments to Registered Capital	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Replenish Working Capital with Project Funds	Mgmt	For	For	For
Beijing Kunlun Tech Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300418	CINS Y0R7Z5109	04/13/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of 2017 Restricted Stock Plan	Mgmt	For	For	For
Beijing Kunlun Tech Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300418	CINS Y0R7Z5109	05/11/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	ZHAO Baoqing	Mgmt	For	For	For

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8	CHEN Hao	Mgmt	For	For	For
9	XUE Lei	Mgmt	For	For	For
10	AUTHORIZATION TO CHAIRMAN OF THE BOARD ON EXTERNAL TRANSACTIONS	Mgmt	For	For	For
11	Elect JIN Tian as Non-independent Director	Mgmt	For	For	For
12	Issuance of Overseas USD-denominated Bonds and Provision of Guarantee	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Shareholder Returns Plan	Mgmt	For	For	For
15	Decrease of Registered Capital	Mgmt	For	For	For
16	Amendments to Articles Due to Repurchase and Cancellation of Restricted Stocks	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300418	CINS Y0R7Z5109	07/03/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	ACQUISITION OF GRINDR LLC	Mgmt	For
3	Amendments to Articles	Mgmt	For
4	Capital Increase in Wholly-owned Subsidiaries	Mgmt	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300418	CINS Y0R7Z5109	07/19/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect ZHOU Yahui	Mgmt	For
2	Elect WANG Liwei	Mgmt	For
3	Elect HUANG Guoqiang	Mgmt	For
4	Elect ZHANG Ting	Mgmt	For
5	Elect ZHAO Baoqing	Mgmt	For
6	Elect CHEN Hao	Mgmt	For
7	Elect XUE Lei	Mgmt	For
8	Elect YOU Hongwei	Mgmt	Against
9	Elect SUN Qian	Mgmt	For
10	Amendments to Articles	Mgmt	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300418	CINS Y0R7Z5109	08/18/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Line of Credit to Wholly-owned Subsidiary	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	09/20/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer Agreement	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	10/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Basis For Determining Plan Participants and Scope	Mgmt	For	For	For
2	Source, Number and Distribution of Restricted Stocks	Mgmt	For	For	For
3	Time Schedule	Mgmt	For	For	For
4	Grant Price	Mgmt	For	For	For
5	Conditions For Granting and Unlocking Stocks	Mgmt	For	For	For
6	Method and Procedure for Plan Adjustments	Mgmt	For	For	For
7	Accounting Treatment	Mgmt	For	For	For
8	Procedure for Implementing the Plan	Mgmt	For	For	For
9	Rights and Obligations of Company and Plan Participants	Mgmt	For	For	For
10	Treatment Under Unusual Situations	Mgmt	For	For	For
11	Principles for Repurchase and Cancellation of Stocks	Mgmt	For	For	For
12	Appraisal Management Measures for Implementation of 2017 Restricted Stock Incentive Plan	Mgmt	For	For	For

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13	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	11/03/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	11/20/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Decrease Registered Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	12/18/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For

Beijing Kunlun Tech Co.Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	ISIN CNE100001YF2	03/07/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	MGMT	For	For	For
2	Performance Guarantees	MGMT	For	For	For

Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	02/09/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Borrowing	Mgmt	For	For	For
2	Authority to Issue Debt Instruments	Mgmt	For	For	For
3	Authority to Issue Debt Instruments	Mgmt	For	For	For

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4	Authority to Issue Debt Instruments	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For

Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	04/24/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Changing Performance Compensation Commitment	Mgmt	For	For	For

Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	05/16/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	2017 FINANCIAL REPORT	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
9	2018 REMUNERATION PLAN FOR SENIOR MANAGEMENT	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Change of Business Scope	Mgmt	For	For	For

Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	08/21/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Third Phase Employee Stock Ownership Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
4	Change of the Company's Business	Mgmt	For	For	For

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5	Scope Amendments to the Company's Articles of Association	Mgmt	For	For	For
6	Change of the Use of Raised Capital to Replenish Working Capital	Mgmt	For	For	For
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Beijing Orient Landscape Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	12/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HU Jihua as Director	Mgmt	For	For	For
2	Change of Business Scope	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
<hr/>					
Beijing Oriental Yuhong Waterproof Technology Co Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
002271	ISIN CNE100000CS3	03/20/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Construction of Special Mortar Project in Jingmen, Hubei	MGMT	For	For	For
2	Investment in Construction of East China Management Headquarters Project in Qingpu, Shanghai	MGMT	For	For	For
3	Investment in Construction of Three Projects in Laibin, Guangxi	MGMT	For	For	For
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Beijing Oriental Yuhong Waterproof Technology Company Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002271	CINS Y07729109	05/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	2017 REMUNERATION FOR	Mgmt	For	For	For

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	DIRECTORS					
9	2017 REMUNERATION FOR SUPERVISORS	Mgmt	For	For	For	For
10	Approval for Line of Credit	Mgmt	For	For	For	For
11	Authority to Give Guarantees	Mgmt	For	Against	Against	Against
12	Investment of Construction Project in Sichuan	Mgmt	For	For	For	For
13	EXTERNAL GUARANTEE MANAGEMENT SYSTEM	Mgmt	For	For	For	For
14	EXTERNAL GUARANTEE	Mgmt	For	Against	Against	Against
15	Authority to Issue Super and Short-term Commercial Papers	Mgmt	For	For	For	For

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Beijing Originwater Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
300070	CINS Y0772Q101	03/16/2018			Voted	
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Authority to Give Guarantees: Fenyang Originwater Municipal Engineering Co., Ltd.	Mgmt	For	For	For	
3	Authority to Give Guarantees: Fenyang Originwater Water Services Co., Ltd.	Mgmt	For	For	For	
4	Authority to Give Guarantees: Hanzhong Hanyuan Urban Construction Development Co., Ltd.	Mgmt	For	For	For	
5	Authority to Give Guarantees: Jilin Originwater Environmental Science and Technology Co., Ltd.	Mgmt	For	For	For	
6	Authority to Give Guarantees: Pingdingshan Shiyu Originwater Technology Co., Ltd.	Mgmt	For	For	For	
7	Authority to Give Guarantees: Wuyang Originwater Water Services Technology Co., Ltd.	Mgmt	For	For	For	

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8	Authority to Give Guarantees: Tonghua Originwater Environmental Science and Technology Co., Ltd.	Mgmt	For	For	For
9	Authority to Give Guarantees: Xinjiang Originwater Environmental Resources Co., Ltd.	Mgmt	For	For	For
10	Authority to Give Guarantees: Beijing Deqingyuan Agricultural Science and Technology Co., Ltd. and Its Wholly-owned Subsidiary	Mgmt	For	For	For
11	Authority to Give Guarantees: Xinjiang Kunlun New Water Technology Co., Ltd.	Mgmt	For	For	For
12	Authority to Give Guarantees: Yidu Water Environment Technology Co., Ltd.	Mgmt	For	For	For
13	Authority to Issue Perpetual Medium-Term Notes	Mgmt	For	For	For
14	Directors' Fees	Mgmt	For	For	For
15	Elect WEN Jianping	Mgmt	For	For	For
16	Elect LIU Zhenguo	Mgmt	For	For	For
17	Elect DAI Richeng	Mgmt	For	For	For
18	Elect LONG Limin	Mgmt	For	For	For
19	Elect FAN Kangping	Mgmt	For	For	For
20	Elect WANG Yueyong	Mgmt	For	For	For
21	Elect LIU Wenjun	Mgmt	For	For	For
22	Elect CHEN Yili	Mgmt	For	Against	Against
23	Elect ZHOU Nianyun	Mgmt	For	For	For

Beijing Originwater Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300070	CINS Y0772Q101	04/16/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Authority to Give Guarantees: Enshi Biyuan Technology Co.,Ltd	Mgmt	For	Against	Against
2	Authority to Give Guarantees: Taiyuan Originwater Water Co.,Ltd	Mgmt	For	Against	Against

Beijing Originwater Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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300070	CINS Y0772Q101	05/11/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Jilin Originwater Technology Co., Ltd.	Mgmt	For	For	For
8	Nanjing Urban Construction Environmental Protection Water Co., Ltd.	Mgmt	For	For	For
9	Wuhan Sanzhen Industry Holding Co.,Ltd.	Mgmt	For	For	For
10	Yunnan Water Investment Co.,Ltd.	Mgmt	For	For	For
11	Xinjiang Kunlun New Water Technology Co., Ltd.	Mgmt	For	For	For
12	Inner Mongolia Chunyuan Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For
13	Yunnan Chengtou Originwater Technology Co., Ltd.	Mgmt	For	For	For
14	Hunan Heyuan Water Environment Co., Ltd.	Mgmt	For	For	For
15	Xinjiang Originwater Environmental Resources Co., Ltd.	Mgmt	For	For	For
16	Guizhou Xinchuiyuan Ecological Environmental Technology Co., Ltd.	Mgmt	For	For	For
17	Guangdong Haiyuan Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For
18	Wuhan Water Environment Technology Co., Ltd.	Mgmt	For	For	For
19	Appointment of Auditor	Mgmt	For	For	For
20	Authority to Give	Mgmt	For	For	For

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	Guarantees to ZTE Instruments					
	I					
21	Authority to Give Guarantees to ZTE Instruments	Mgmt	For	For	For	
	II					
22	Authority to Give Guarantees to Jiujiang Originwater Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For	
<hr/>						
Beijing Originwater Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
300070	CINS Y0772Q101	09/11/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees for Xi'an Bishuiwan Water Limited Liability Company	Mgmt	For	For	For	
2	Authority to Give Guarantees for Xinjiang Originwater Environmental Resources Co., Ltd	Mgmt	For	For	For	
3	Authority to Give Guarantees for Beijing Originwater Jingliang Water Supply Co., Ltd	Mgmt	For	For	For	
4	Authority to Give Guarantees for Dalian Xiaogushan Water Technology Co., Ltd	Mgmt	For	For	For	
5	Authority to Give Guarantees for Huzhou Originwater Environmental Technology Co., Ltd	Mgmt	For	For	For	
6	Authority to Give Guarantees for Sihong Jiu'an Water Supply Co., Ltd	Mgmt	For	For	For	
7	Authority to Give Guarantees for Yanji Hisense Bio Energy Technology Co., Ltd	Mgmt	For	For	For	
8	Authority to Give Guarantees for	Mgmt	For	For	For	

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	Langfang City Originwater Recycled Water Co., Ltd				
9	Authority to Give Guarantees for Shangqiu Shuiyunjian Sewage Treatment Co., Ltd	Mgmt	For	For	For
10	Authority to Give Guarantees for Tianjin Originwater Environmental Technology Co., Ltd	Mgmt	For	For	For
11	Authority to Give Guarantees for Tianjin Ningyuan Water Co., Ltd.	Mgmt	For	For	For
12	Authority to Give Guarantees for Hunan Guokai Originwater Ecological Environment Technology Co., Ltd	Mgmt	For	For	For
13	Authority to Give Guarantees for Shandong Lubei Originwater Desalination Co., Ltd	Mgmt	For	For	For
14	Authority to Give Guarantees for Beijing Liangye Environmental Technology Co., Ltd (I)	Mgmt	For	For	For
15	Authority to Give Guarantees for Beijing Liangye Environmental Technology Co., Ltd (II)	Mgmt	For	For	For
16	Authority to Give Guarantees for Beijing Liangye Environmental Technology Co., Ltd (III)	Mgmt	For	For	For
17	Termination of Authority to Give Guarantees for Qinhuangdao Originwater Environmental Technology Co., Ltd	Mgmt	For	For	For
18	Termination of Authority to Give Guarantees for	Mgmt	For	For	For

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Originwater Hong Kong  
Environmental  
Protection Co.,  
Ltd

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Beijing Originwater Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
300070	CINS Y0772Q101	10/16/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Initial Public Issuance Raised Fund Investment Project	Mgmt	For	For	For	
2	Amendments to Private Placement Raised Fund Investment Projects	Mgmt	For	For	For	
3	Authority to Give Guarantees	Mgmt	For	For	For	
4	Termination of Provision of Guarantees	Mgmt	For	For	For	

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Beijing Originwater Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
300070	CINS Y0772Q101	12/04/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Give Guarantees: Chifeng Jinyuan Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For	
3	Authority to Give Guarantees: Qitai County Originwater Source Industrial Water Treatment Co., Ltd.	Mgmt	For	For	For	
4	Authority to Give Guarantees: Qitai County Originwater Sunshine Water Services Co., Ltd.	Mgmt	For	For	For	
5	Authority to Give Guarantees: Qinhuangdao Originwater Source Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For	
6	Authority to Give	Mgmt	For	For	For	

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	Guarantees: Qinhuangdao Originwater Source Recycled Water Co., Ltd.					
7	Authority to Give Guarantees: Ruzhou Originwater Source Environmental Technology Co., Ltd.	Mgmt	For	For	For	
8	Authority to Give Guarantees: Taiyuan Originwater Source Water Services Co., Ltd.	Mgmt	For	For	For	
9	Authority to Give Guarantees: Tianjin City Originwater Source Environmental Technology Co., Ltd.	Mgmt	For	For	For	
10	Authority to Give Guarantees: Yining City Originwater Source Environmental Technology Co., Ltd.	Mgmt	For	For	For	
11	Authority to Give Guarantees: Zhongxiang City Qingyuan Water Source Services Technology Co., Ltd.	Mgmt	For	For	For	
12	Authority to Give Guarantees: Beijing Liangye Environmental Technology Co., Ltd.	Mgmt	For	For	For	

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Beijing Sanju Environmental Protection and New Material Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
300072	CINS Y0773K103	05/15/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Amendments to Articles	Mgmt	For	For	For	
6	Annual Report and Its Summary	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Authority to Give Guarantees	Mgmt	For	For	For	
9	Authority to Give Guarantees	Mgmt	For	For	For	
10	Authority to Give	Mgmt	For	For	For	

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### Guarantees

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Beijing Sanju Environmental Protection and New Material Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	06/28/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect MAO Ting	Mgmt	For	For	For
2	Elect LIANG Jian	Mgmt	For	For	For
3	Approval for Line of Credit	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing Sanju Environmental Protection and New Material Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	09/26/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	LIU Lei	Mgmt	For	For	For
2	LIN Ke	Mgmt	For	For	For
3	REN Xiangkun	Mgmt	For	For	For
4	WANG Qingming	Mgmt	For	For	For
5	LIU Mingyong	Mgmt	For	For	For
6	FU Xingguo	Mgmt	For	For	For
7	LI Lin	Mgmt	For	For	For
8	HAN Xiaojing	Mgmt	For	For	For
9	SHEN Baojian	Mgmt	For	For	For
10	TAN Xiangyang	Mgmt	For	For	For
11	YANG Wenbiao	Mgmt	For	For	For
12	DU Wei	Mgmt	For	Against	Against
13	YU Ziyao	Mgmt	For	For	For
14	Directors and Supervisors' Fees	Mgmt	For	For	For
15	Authority to Issue Debt Instruments	Mgmt	For	For	For
16	Authority to Give Guarantees	Mgmt	For	For	For
17	Authority to Give Guarantees	Mgmt	For	For	For
18	Authority to Give Guarantees	Mgmt	For	For	For
19	Authority to Give Guarantees	Mgmt	For	For	For
20	Authority to Give Guarantees	Mgmt	For	For	For
21	Authority to Issue Debt Instruments	Mgmt	For	For	For
22	Related Party Transactions	Mgmt	For	For	For

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Beijing Sanju Environmental Protection and New Material Co., Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status
300072	CINS Y0773K103	10/27/2017	Voted
Meeting Type		Country of Trade	

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Termination of 2017 Rights Issue	Mgmt	For	For	For
4	Issuing Volume And Method	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate And Its Determination Method	Mgmt	For	For	For
7	Listing Place	Mgmt	For	For	For
8	Purpose of Raised Funds	Mgmt	For	For	For
9	Credit Enhancing Method	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For
11	Authorization	Mgmt	For	For	For
12	Valid Period of Resolution	Mgmt	For	For	For
13	Authority to Give Guarantees	Mgmt	For	Against	Against
14	Authority to Give Guarantees	Mgmt	For	Against	Against
15	Amendments to Articles	Mgmt	For	For	For

Beijing Sanju Environmental Protection and New Material Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	12/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Project Cooperation Agreement	Mgmt	For	For	For
3	Authority to Give Guarantees (1)	Mgmt	For	Against	Against
4	Authority to Give Guarantees (2)	Mgmt	For	Against	Against
5	Authority to Give Guarantees (3)	Mgmt	For	Against	Against
6	Authority to Give Guarantees: Sale-and-Leaseback Business	Mgmt	For	Against	Against

Beijing Shiji Information Technology Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002153	CINS Y0772L102	02/26/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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2	Intra-company Contracts/Control Agreements	Mgmt	For	For	For
3	Misc. Management Proposal	Mgmt	For	For	For

Beijing Shiji Information Technology Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002153	CINS Y0772L102	05/15/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Beijing Sinnet Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300383	CINS Y0R7V6101	04/09/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2018 REMUNERATION INCENTIVE SYSTEM FOR DIRECTORS AND SUPERVISORS	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

Beijing Sinnet Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300383	CINS Y0R7V6101	04/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Assets Purchase Via Share Offering And Cash Payment And Matching Fund Raising	Mgmt	For	Against	Against
2	The Proposed Transaction Constitutes a Connected Transaction	Mgmt	For	Against	Against
3	Transaction Counterparts	Mgmt	For	Against	Against
4	Underlying Assets	Mgmt	For	Against	Against

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5	Transaction Price and Pricing Basis of the Underlying Assets	Mgmt	For	Against	Against
6	Payment Method	Mgmt	For	Against	Against
7	Cash Payment Period	Mgmt	For	Against	Against
8	Stock Type and Par Value	Mgmt	For	Against	Against
9	Issuing Method	Mgmt	For	Against	Against
10	Issuing Targets and subscription Method	Mgmt	For	Against	Against
11	Issue Price	Mgmt	For	Against	Against
12	Issuing Volume	Mgmt	For	Against	Against
13	Arrangement for The Profits and Losses from The Assessment Base Date to The Settlement Date	Mgmt	For	Against	Against
14	Transfer of Underlying Assets and Liabilities for Breach of Contract	Mgmt	For	Against	Against
15	Lock-up Period	Mgmt	For	Against	Against
16	Listing Place	Mgmt	For	Against	Against
17	Arrangement for The Accumulated Retained Profits Before The Issuance	Mgmt	For	Against	Against
18	The Valid Period of The Resolution	Mgmt	For	Against	Against
19	Auxiliary Funds Raising: Stock Type and Par Value	Mgmt	For	Against	Against
20	Auxiliary Funds Raising: Issuing Method and Date	Mgmt	For	Against	Against
21	Auxiliary Funds Raising: Issuing Targets and Subscription Method	Mgmt	For	Against	Against
22	Auxiliary Funds Raising: Amount of The Matching Funds to Be Raised	Mgmt	For	Against	Against
23	Auxiliary Funds Raising: Pricing Base Date, Pricing Method and Issue Price	Mgmt	For	Against	Against
24	Auxiliary Funds Raising: Issuing Volume	Mgmt	For	Against	Against
25	Auxiliary Funds Raising: Lock-up	Mgmt	For	Against	Against

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	Period				
26	Auxiliary Funds Raising: Purpose of The Raised Funds	Mgmt	For	Against	Against
27	Auxiliary Funds Raising: Listing Place	Mgmt	For	Against	Against
28	Auxiliary Funds Raising: Arrangement for Accumulated Profits	Mgmt	For	Against	Against
29	Auxiliary Funds Raising: The Valid Period of the Resolution	Mgmt	For	Against	Against
30	Signing the Conditional Agreement on Assets Purchase Via Share Offering and Cash Payment and Its Supplementary Agreement	Mgmt	For	Against	Against
31	Signing the Conditional Performance Compensation Agreement and Its Supplementary Agreement	Mgmt	For	Against	Against
32	Approval of the Report of the Connected Transaction Regarding Assets Purchase Via Share Offering and Cash Payment and Matching Fund Raising and Its Summary	Mgmt	For	Against	Against
33	Compliance with Article 4 of the Provisions on Several Issues Regarding Regulation Major Asset Restructuring of Listing Company	Mgmt	For	Against	Against
34	The Restructuring Does Not Constitute a Backdoor Listing As Defined By Article 13 of The Management Measures on Major Assets Restructuring of Listed Companies	Mgmt	For	Against	Against
35	Approval of Commitments of Management for Dilution Measures	Mgmt	For	Against	Against
36	Approval of An	Mgmt	For	Against	Against

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	Appraisal Report from an Independent Auditor				
37	Ratification of Board Acts	Mgmt	For	Against	Against
38	Shareholders Return Plan for 2018-2020	Mgmt	For	For	For

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Beijing Sinnet Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300383	CINS Y0R7V6101	09/12/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Waiver of Preemptive Rights	Mgmt	For	For	For

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Beijing SL Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002038	CINS Y0773L119	04/10/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	USE OF PROPRIETARY FUNDS FOR SHORT-TERM LOW-RISK INVESTMENT	Mgmt	For	For	For
9	Elect XU Mingbo	Mgmt	For	For	For
10	Elect CHEN Yulin	Mgmt	For	For	For
11	Elect LIANG Shujie	Mgmt	For	For	For
12	Elect WANG Wenxin	Mgmt	For	For	For
13	Elect SU Zhiguo	Mgmt	For	For	For
14	Elect WEI Suyan	Mgmt	For	For	For
15	Elect QI Yanming	Mgmt	For	Against	Against
16	Elect ZHANG Chunlei	Mgmt	For	For	For

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Beijing SL Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002038	CINS Y0773L119	05/10/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Shareholder Meetings	Mgmt	For	Abstain	Against
3	Board Meetings	Mgmt	For	Abstain	Against
4	Supervisory Board Meetings	Mgmt	For	Abstain	Against
5	Management System for Connected	Mgmt	For	Abstain	Against

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6	Transaction Management System for External Investment	Mgmt	For	Abstain	Against
7	Management System for External Guarantees	Mgmt	For	Abstain	Against
8	Work System for Independent Directors	Mgmt	For	Abstain	Against
9	Management System for External Financial Aid	Mgmt	For	Abstain	Against
10	Management System for Raised Funds	Mgmt	For	Abstain	Against
11	Cumulative Voting System	Mgmt	For	Abstain	Against
12	Management System for Remuneration	Mgmt	For	Abstain	Against
13	Constitution of Management System for Venture Investment	Mgmt	For	For	For

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Beijing SPC Environment Protection Tech Co.,Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002573	CINS Y0773P102	05/22/2018		Voted		
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	2017 Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	2017 Financial Statement	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Director Renumeration	Mgmt	For	For	For	
7	Re-appointment of Auditor	Mgmt	For	For	For	
8	Authorize Launch of Financial Leasing Business	Mgmt	For	For	For	
9	Approval of Borrowing	Mgmt	For	For	For	
10	Approval of Borrowing Foreign-Denominated Debt	Mgmt	For	Against	Against	
11	Authority to Give Guarantees to Affiliated Entities	Mgmt	For	For	For	
12	Authority to Issue Short-Term Debt	Mgmt	For	For	For	
13	Elect HU Rui as Supervisor	Mgmt	For	Against	Against	

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Beijing SPC Environment Protection Tech Co.,Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002573	CINS Y0773P102	07/06/2017		Voted		

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Borrowing	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing SPC Environment Protection Tech Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002573	CINS Y0773P102	08/28/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Management Rules on Employee Stock Ownership Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For

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Beijing Ultrapower Software Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300002	CINS Y0775T102	10/17/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing Water Business Doctor Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300055	CINS Y07760104	04/19/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect XU Chunlai	Mgmt	For	For	For
2	Elect ZHANG Hui	Mgmt	For	Against	Against

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Beijing Water Business Doctor Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300055	CINS Y07760104	05/16/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	2017 FINANCIAL REPORT	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect SU Guojian as	Mgmt	For	For	For

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	Non-independent Director					
8	Elect ZHANG Yabing as Independent Director	Mgmt	For	For	For	
9	ADJUSTMENT OF ALLOWANCE FOR INDEPENDENT DIRECTORS	Mgmt	For	For	For	
10	Amendments to Articles	Mgmt	For	For	For	
11	FORMULATION OF THE IMPLEMENTING RULES FOR CUMULATIVE VOTING SYSTEM	Mgmt	For	For	For	

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Beijing Water Business Doctor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300055	CINS Y07760104	09/12/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
2	Amendments to Use of Proceeds	Mgmt	For	For	For

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BlueFocus Communication Group Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
300058	CINS Y091AZ103	05/22/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Internal Control Self-Assessment Report	Mgmt	For	For	For
10	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
11	Authority to Repurchase and Cancel Shares	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For

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BlueFocus Communication Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300058	CINS Y091AZ103	02/09/2018	Voted		
Meeting Type		Country of Trade			

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Amendments to Procedural Rules	Mgmt	For	For	For
3	Authority to Issue Debt Instruments	Mgmt	For	For	For
4	Authority to Issue Debt Instruments	Mgmt	For	For	For
5	Ratification of Board Acts	Mgmt	For	For	For
6	Constitution of Procedural Rules	Mgmt	For	For	For
7	Misc. Management Proposal	Mgmt	For	For	For
8	Authority to Repurchase Shares	Mgmt	For	For	For

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BlueFocus Communication Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300058	CINS Y091AZ103	04/10/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Procedural Rules:The Company's Restricted Stock Incentive Plan (Draft)	Mgmt	For	For	For
3	Authority to Repurchase Shares and Cancel Shares	Mgmt	For	For	For
4	Authority to Issue Asset-backed Notes	Mgmt	For	For	For
5	Ratification of Board Acts	Mgmt	For	For	For
6	Elect SUN Taoran	Mgmt	For	For	For
7	Elect XU Qing	Mgmt	For	For	For

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BlueFocus Communication Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300058	CINS Y091AZ103	04/27/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Rectification Commitment	Mgmt	For	For	For
2	Approval of changing the Company's	Mgmt	For	For	For

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Name					
3	Approval of Changing the Company's Business Scope	Mgmt	For	For	For
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BlueFocus Communication Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300058	CINS Y091AZ103	09/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Overseas Bond	Mgmt	For	Abstain	Against
2	Board Authorization to Implement Overseas Bond Issuance	Mgmt	For	Abstain	Against
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	Abstain	Against
5	Board Authorization to Implement Related Party Transaction	Mgmt	For	Abstain	Against
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Approval of Line of Credit for Wholly-owned Subsidiary	Mgmt	For	For	For
9	Approval of Line of Credit for Subsidiary	Mgmt	For	For	For
10	Approval of Line of Credit for the Company	Mgmt	For	For	For
11	Cancellation of Restricted Stocks	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	Abstain	Against
<hr/>					
BYD Co					
Ticker	Security ID:	Meeting Date	Meeting Status		
1211	CINS Y1045E107	06/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

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	and Authority to Set Fees					
8	Provision of Guarantee by the Group	Mgmt	For	Against	Against	
9	Guarantees to External Company in Respect of Sales of Products	Mgmt	For	Against	Against	
10	Estimated Caps of Continuing Connected Transactions	Mgmt	For	For	For	
11	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
12	Authority to Issue Shares w/o Preemptive Rights of BYD Electronic (International) Company Limited	Mgmt	For	Against	Against	
13	Investment in Wealth Management Products	Mgmt	For	For	For	
14	Provision of Guarantee by a Subsidiary (Home Buyers)	Mgmt	For	Against	Against	
15	Provision of Guarantee by a Subsidiary (Car Buyers)	Mgmt	For	Against	Against	
16	Increase in Estimated of Connected Transactions for 2018	Mgmt	For	For	For	
17	Adjustment and Change of Use of Partial Proceeds from Non-Public Issuance and Introduction of New Implementation Entity	Mgmt	For	For	For	
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

BYD Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
1211	CINS Y1045E107	05/09/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Authority to Issue Asset-Backed Securities	Mgmt	For	For	For	
2	Authorised Matters	Mgmt	For	For	For	
3	Authority to Issue Financial Debt Instruments	Mgmt	For	For	For	
4	Alteration of Use and Proceeds for Investment	Mgmt	For	For	For	

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### Project

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BYD Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
1211	CINS Y1045E107	09/08/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Chuan Fu	Mgmt	For	Against	Against
2	Elect Lv Xiang Yang	Mgmt	For	Against	Against
3	Elect XIA Zuo Quan	Mgmt	For	For	For
4	Elect WANG Zi Dong	Mgmt	For	Against	Against
5	Elect ZOU Fei	Mgmt	For	For	For
6	Elect ZHANG Ran	Mgmt	For	For	For
7	Elect DONG Jun Qing	Mgmt	For	For	For
8	Elect LI Yong Zhao	Mgmt	For	For	For
9	Elect HUANG Jiang Feng	Mgmt	For	For	For
10	Supervisor Service Contracts	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
12	Supervisors' Fees	Mgmt	For	For	For

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Cangzhou Mingzhu Plastic Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002108	CINS Y1099L106	04/12/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Approval of Borrowing Authority to Give Guarantees	Mgmt	For	For	For
8		Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For

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Cangzhou Mingzhu Plastic Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002108	CINS Y1099L106	07/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHAO Ruoqi as Non-Independent Director	Mgmt	For	For	For
2	Amendments to Remuneration Incentive Measures	Mgmt	For	For	For

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Chaozhou Three-Circle(Group) Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		

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300408	CINS Y1R99A101	01/23/2018	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Registered Capital	Mgmt	For	For	For	
3	Amendments to Articles	Mgmt	For	For	For	
4	Shareholder Returns Plan	Mgmt	For	For	For	

Chaozhou Three-Circle(Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
300408	CINS Y1R99A101	05/11/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report and Its Summary	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Directors' Fees	Mgmt	For	For	For	
8	Elect QIU Jihua	Mgmt	For	For	For	

Chaozhou Three-Circle(Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
300408	CINS Y1R99A101	09/13/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For	
2	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For	
3	Amendments to Procedural Rules: Supervisory Committee	Mgmt	For	For	For	
4	Amendments to Procedural Rules: Work System for Independent Directors	Mgmt	For	For	For	
5	Amendments to Procedural Rules: Related Party Transaction Management System	Mgmt	For	For	For	
6	Amendments to	Mgmt	For	For	For	

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Procedural Rules:						
External Guarantee Management System						
7	Adjustment to Price of Restricted Shares	Mgmt	For	For	For	For
8	Repurchase and Cancellation of Granted Restricted Shares	Mgmt	For	For	For	For
9	Modification of Registered Capital	Mgmt	For	For	For	For
10	Amendments to Articles	Mgmt	For	For	For	For

Chaozhou Three-Circle(Group) Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
300408	CINS Y1R99A101	10/09/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Termination of External Investment	Mgmt	For	For	For	
2	Cancellation of Joint Venture	Mgmt	For	For	For	

Chaozhou Three-Circle(Group) Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
300408	CINS Y1R99A101	11/28/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Adoption of Restricted Shares Incentive Plan	Mgmt	For	For	For	
2	Performance of Plan Participants	Mgmt	For	For	For	
3	Board Authorization to Implement Restricted Shares Incentive Plan	Mgmt	For	For	For	

Da An Gene Co., Ltd. of Sun Yat-sen University						
Ticker	Security ID:	Meeting Date	Meeting Status			
002030	CINS Y1856D107	02/01/2018	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Restructuring	Mgmt	For	For	For	

Da An Gene Co., Ltd. of Sun Yat-sen University						
Ticker	Security ID:	Meeting Date	Meeting Status			
002030	CINS Y1856D107	04/20/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	2018 FINANCIAL BUDGET	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	Purchase of Wealth Management Products with Idle Funds	Mgmt	For	For	For

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DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002065	CINS Y0771R100	01/25/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Adoption of Management Measures on Stock Ownership Plan	Mgmt	For	For	For

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DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002065	CINS Y0771R100	05/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For

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DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002065	CINS Y0771R100	09/18/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Registered	Mgmt	For	For	For

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### Capital and Amendments to Articles

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DHC Software Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
002065	CINS Y0771R100	11/24/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	

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Do-Fluoride Chemicals Co. Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002407	CINS Y2090T106	05/11/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Annual Report and Its Summary	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Approval of Line of Credit	Mgmt	For	For	For	
8	Related Party Transactions	Mgmt	For	For	For	
9	Directors' Fees	Mgmt	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	
11	Report on the Use of Previously Raised Funds	Mgmt	For	For	For	

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Do-Fluoride Chemicals Co. Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002407	CINS Y2090T106	06/07/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Extension of Validity of Resolution on Non-public Share Offering	Mgmt	For	For	For	
2	Extension of Validity of the Authorization to Board to Handle Matters in Relation to Non-public Share Offering	Mgmt	For	For	For	
3	Elect GU Zhengyan as Director	Mgmt	For	For	For	
4	Elect HAN Shijun as Supervisor	Mgmt	For	Against	Against	
5	Amendments to Articles	Mgmt	For	For	For	

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Do-Fluoride Chemicals Co. Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002407	CINS Y2090T106	07/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Issuance Price and Pricing Principle	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Issuance Targets and Subscription Method	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Purpose of Raised Funds	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Pre-plan for Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Related Party Transactions related to Private Placement	Mgmt	For	For	For
15	Conditional Share Subscription Agreements	Mgmt	For	For	For
16	SPECIAL REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement Issuance	Mgmt	For	For	For
18	Share Dilution Measures	Mgmt	For	For	For
19	Commitments for Dilution Measures	Mgmt	For	For	For
20	Shareholder Return Plan	Mgmt	For	For	For
21	Cash Management	Mgmt	For	For	For

Do-Fluoride Chemicals Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002407	CINS Y2090T106	07/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Public Issuance of Corporate	Mgmt	For	For	For

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Bonds					
2	Size of Issuance	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Targets of Issuance	Mgmt	For	For	For
5	Duration of Bonds	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Method of Repayment of Principal and Interests	Mgmt	For	For	For
8	Redemption or Resale Provisions	Mgmt	For	For	For
9	Guarantee Matters	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Repayment Guarantees Measures	Mgmt	For	For	For
12	Trading and Circulation of Bonds to be Issued	Mgmt	For	For	For
13	Validity of Resolution	Mgmt	For	For	For
14	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
15	Authority to Issue Super Short-term and Short-term Commercial Papers	Mgmt	For	For	For
16	Board Authorization to Implement Issuance of Super Short-term and Short-term Commercial Papers	Mgmt	For	For	For
17	Authority to Issue Medium-term Notes	Mgmt	For	For	For
18	Board Authorization to Implement Issuance of Medium-term Notes	Mgmt	For	For	For

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Do-Fluoride Chemicals Co. Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002407	CINS Y2090T106	09/11/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Adoption of Employee Restricted Shares Plan	Mgmt	For	For	For	
2	Management Measures on Employee Restricted Shares Plan	Mgmt	For	For	For	
3	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	

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Do-Fluoride Chemicals Co. Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status

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002407	CINS Y2090T106	10/12/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Agreement Share Purchase	Mgmt	For	For	For

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Do-Fluoride Chemicals Co. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002407	CINS Y2090T106	12/29/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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East Money Information Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
300059	CINS Y2234B102	04/09/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Expansion of Business Scope	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
10	Authority to Give Guarantees	Mgmt	For	For	For

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East Money Information Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
300059	CINS Y2234B102	06/25/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Elect HUANG Liming as Supervisor	Mgmt	For	Against	Against

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Eternal Asia Supply Chain Management Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	01/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Authority to Give Guarantees	Mgmt	For	Against	Against
12	Introduction of Business Team	Mgmt	For	For	For
13	Asset Purchase	Mgmt	For	For	For
14	Asset Purchase	Mgmt	For	For	For
15	Capital Increase	Mgmt	For	For	For
16	Capital Increase	Mgmt	For	For	For
17	Capital Increase	Mgmt	For	For	For
18	Set up of Subsidiaries	Mgmt	For	For	For
19	Set up of Subsidiaries	Mgmt	For	For	For

Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	02/05/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Replenish Working Capital with Idle Funds	Mgmt	For	For	For
2	Amendments to Project	Mgmt	For	For	For
3	Authority to Give Guarantees (1)	Mgmt	For	Against	Against
4	Authority to Give Guarantees (2)	Mgmt	For	Against	Against
5	Authority to Give Guarantees (3)	Mgmt	For	Against	Against
6	Authority to Give Guarantees (4)	Mgmt	For	Against	Against

Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	03/01/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Application For Comprehensive Quota And Provision Of Guarantee	Mgmt	For	Against	Against
2	Application For Domestic Factoring Business Comprehensive Credit Line And Provision Of Guarantee	Mgmt	For	Against	Against
3	Application For Accounts Receivable Factoring Business And Provision Of Guarantee	Mgmt	For	Against	Against
4	Application For Comprehensive Quota To A Bank And Provision Of Guarantee	Mgmt	For	Against	Against
5	Application For Comprehensive Quota And Provision Of Guarantee	Mgmt	For	Against	Against
6	Application For Working Capital Loan Quota And Provision Of Guarantee	Mgmt	For	Against	Against
7	Application For Comprehensive Quota And Provision Of Guarantee	Mgmt	For	Against	Against
8	Application For Comprehensive Quota And Provision Of Guarantee	Mgmt	For	Against	Against
9	Application For Comprehensive Quota And Provision Of Guarantee	Mgmt	For	Against	Against
10	Application For Comprehensive Quota And Provision Of Guarantee	Mgmt	For	Against	Against
11	Application For Comprehensive Quota And Provision Of Guarantee	Mgmt	For	Against	Against
12	Application For Comprehensive Quota And Provision Of Guarantee	Mgmt	For	Against	Against
13	Application For A Bank Letter Of Guarantee For A Controlled Subsidiary	Mgmt	For	Against	Against
14	Application For A Bank Letter Of Guarantee For Another Controlled Subsidiary	Mgmt	For	Against	Against

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15	Application For A Bank Letter Of Guarantee For A Third Controlled Subsidiary	Mgmt	For	Against	Against
16	Application For Comprehensive Credit Quota By The Company	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002183 CINS Y2346W101 04/02/2018 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against

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Eternal Asia Supply Chain Management Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002183 CINS Y2346W101 04/13/2018 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Authority to Give Guarantees	Mgmt	For	Against	Against

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11	Guarantees Authority to Give Guarantees	Mgmt	For	Against	Against
12	Authority to Give Guarantees	Mgmt	For	Against	Against
13	Authority to Give Guarantees	Mgmt	For	Against	Against
14	Authority to Give Guarantees	Mgmt	For	Against	Against
15	Authority to Give Guarantees	Mgmt	For	Against	Against
16	Authority to Give Guarantees	Mgmt	For	Against	Against
17	Authority to Give Guarantees	Mgmt	For	Against	Against
18	Authority to Give Guarantees	Mgmt	For	Against	Against
19	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
20	Board Authorization to Issue Financing Bills	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	04/25/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Misc. Management Proposal	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	05/10/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Allocation of	Mgmt	For	For	For

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6	Profits/Dividends Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Internal Control Self-evaluation Report	Mgmt	For	For	For
8	2018 FINANCIAL DERIVATIVES TRANSACTIONS	Mgmt	For	For	For
9	Investment in Bank Wealth Management Products	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	05/14/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Authority to Give Guarantees	Mgmt	For	Against	Against
12	Authority to Give Guarantees	Mgmt	For	Against	Against
13	Authority to Give Guarantees	Mgmt	For	Against	Against
14	Authority to Give Guarantees	Mgmt	For	Against	Against
15	Authority to Give Guarantees	Mgmt	For	Against	Against
16	Authority to Give Guarantees	Mgmt	For	Against	Against
17	Authority to Give Guarantees	Mgmt	For	Against	Against
18	Authority to Give Guarantees	Mgmt	For	Against	Against
19	Authority to Give Guarantees	Mgmt	For	Against	Against
20	Authority to Give Guarantees	Mgmt	For	Against	Against

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21	Authority to Give Guarantees	Mgmt	For	Against	Against
22	Authority to Give Guarantees	Mgmt	For	Against	Against
23	Authority to Give Guarantees	Mgmt	For	Against	Against
24	Amendments to Articles	Mgmt	For	For	For

Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	05/25/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against

Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	07/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Anhui Eternal Asia Deep Supply Chain Management Co., Ltd. To Apply To Hefei Science Technology Rural Commercial Bank For Line of Credits	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Anhui Eternal Asia Deep Supply Chain Management Co., Ltd. To Apply To China Minsheng Banking For Line of Credits	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Zhumadian Huatongyuan Supply Chain Management Co., Ltd. To Apply To China Minsheng Banking For Line of Credits	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Zhengzhou Fenghetong Supply Chain Management Co., Ltd. To Apply To China	Mgmt	For	Against	Against

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	Minsheng Banking For Line of Credits				
5	Authority to Give Guarantees for Shenzhen Eternal Asia Deep Supply Chain Management Co., Ltd. To Apply To Baoshang Bank For Line of Credits	Mgmt	For	Against	Against
6	Authority to Apply for Line of Credit and Give Guarantees for Shenzhen Eternal Asia Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Liaoning Eternal Asia Deep Supply Chain Management Co., Ltd. To Apply To Bank of Yingkou For Line of Credits	Mgmt	For	Against	Against
8	Authority to Give Guarantees for Shanxi Eternal Asia Xinde Supply Chain Management Co., Ltd. To Apply To Jinshang Bank for Working Capital Loans	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Shanxi Eternal Asia Yunchang Supply Chain Management Co ., Ltd. To Apply To Jinshang Bank For Working Capital Loans	Mgmt	For	Against	Against
10	Authority to Give Guarantees for Taiyuan Jibaijia Business Co., Ltd. To Apply To Jinshang Bank For Working Capital Loans	Mgmt	For	Against	Against
11	Authority to Provide Bank Guarantee Letter for Shanxi Yixinde Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
12	Authority to Give Guarantees for Shanghai Shenyi Supply Chain	Mgmt	For	Against	Against

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	Management Co., Ltd. To Apply To China Everbright Bank for Line of Credits				
13	Authority to Give Guarantees for Zhejiang Eternal Asia Deep Supply Chain Management Co., Ltd. To Apply To China Minsheng Banking For Line of Credits	Mgmt	For	Against	Against
14	Authority to Give Guarantees for Shenzhen Esunny Small Loan Co., Ltd.	Mgmt	For	Against	Against
15	Bengbu Yizhou Deep Supply Chain Management Co., Ltd. To Acquire Bengbu Jiahua FMCG Trade Co., Ltd.	Mgmt	For	Abstain	Against
16	Investment in the Establishment of Wenzhou Zhongbai Supply Chain Management Co., Ltd	Mgmt	For	For	For
17	Investment in the Establishment of Yangzhou Youpi Nshenghuo Intelligent Technology Co., Ltd	Mgmt	For	For	For
18	Investment in the Establishment of Yunnan Eternal Asia Zhizhong Deep Supply Chain Management Co., Ltd	Mgmt	For	For	For
19	Investment in the Establishment of Hebei Eternal Asia Demin Supply Chain Management Co., Ltd	Mgmt	For	For	For
20	Increase of Investment in Jilin Shunfu Supply Chain Management Co., Ltd	Mgmt	For	For	For
21	Increase of Investment in Xinjiang Eternal Asia Deep Supply Chain Management Co., Ltd	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	07/21/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Replenishment of Working Capital with Raised Idle Funds	Mgmt	For	For	For
2	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
3	Authority to Issue Corporate Bonds	Mgmt	For	For	For
4	Authority to Privately Issue Corporate Bonds	Mgmt	For	For	For
5	Board Authorization for Bond Issuance	Mgmt	For	For	For
6	Application for Credit Line and Guarantee	Mgmt	For	Against	Against
7	Subsidiary Application for Credit Line and Guarantee	Mgmt	For	Against	Against
8	Company Credit Line Application	Mgmt	For	For	For
9	Increasing of Investment for Wholly-owned Subsidiary	Mgmt	For	For	For
10	Subsidiary Investment Increase	Mgmt	For	For	For
11	Acquisition by the Company	Mgmt	For	For	For
12	Acquisition by the Company	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	08/21/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cooperation for Financial Business	Mgmt	For	For	For
2	Authority to Give Guarantees to Controlled Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Another Controlled Subsidiary	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against

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5	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
8	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
10	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
11	Authority to Give Operational Guarantee	Mgmt	For	Against	Against
12	Authority to Give Guarantee to Factoring Company	Mgmt	For	Against	Against
13	Authority to Give Guarantees	Mgmt	For	Against	Against
14	Approval to Set Up Company	Mgmt	For	For	For
15	Approval to Set Up Company	Mgmt	For	For	For
16	Approval to Set Up Company	Mgmt	For	For	For
17	Increase in Investment of Wholly-owned Subsidiary	Mgmt	For	For	For
18	Investment in the Company	Mgmt	For	For	For
19	Adjustment of the Company's Credit Quota	Mgmt	For	For	For
20	Authority to Give Guarantees	Mgmt	For	Against	Against

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002183	CINS Y2346W101	09/11/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
			Against
1	Authority to Give Guarantees and Line of credit	Mgmt	For
			Against
2	Authority to Give Guarantees and Line of credit for Subsidiary	Mgmt	For
			Against
3	Authority to Give Guarantees and Loan Application	Mgmt	For
			Against

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4	Authority to Give Guarantees and Line of Credit for Controlled Subsidiary	Mgmt	For	Against	Against
5	Authority to Give Guarantees and Comprehensive Credit Quota	Mgmt	For	Against	Against
6	Set-up of Subsidiary	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002183	CINS Y2346W101	09/25/2017	Voted

Meeting Type	Country of Trade
Special	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application for a Bank Letter of Guarantee for Bangbu Jiahua FMCG Trade Co., Ltd	Mgmt	For	Against	Against
2	Application for Comprehensive Credit Line to Bank of China and Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Jiangsu Eastwell Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
4	Transfer of Creditor's Rights to a Trust Company and Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Guangyuan Yifei Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Henan Eternal Asia Shendu Supply Chain Management Co., Ltd in Connection with Line of Credit at Zhongyuan Bank	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Henan Eternal Asia Shendu Supply Chain Management Co., Ltd in Connection with Line of Credit at Pingdingshan	Mgmt	For	Against	Against

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8	Bank Authority to Give Guarantees for Fujian Zhongyin Xing Trading Co., Ltd	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Xiamen Zhongbian Baotian Trade Co., Ltd	Mgmt	For	Against	Against
10	Authority to Give Guarantees for Zhejiang Eternal Asia Shendu Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
11	Application for Credit Line To Jiujiang Bank	Mgmt	For	For	For
12	Application for Credit Line To Zhongyuan Bank	Mgmt	For	For	For
13	Application for Issuance of Financing Plan to Beijing Financial Assets Exchange	Mgmt	For	For	For
14	Authorization to Implement Issuance of Financing Plan	Mgmt	For	For	For
15	Increase of Investment in Anyang Guangchengtong Supply Chain Management Co., Ltd	Mgmt	For	For	For
16	Increase of Investment in Beijing Dingsheng Yitong Electronic Commerce Co., Ltd	Mgmt	For	For	For
17	Increase of Investment in Beijing Eternal Asia Supply Chain Management Co., Ltd	Mgmt	For	For	For
18	Investment in Establishment of a Research Center	Mgmt	For	For	For
19	Investment in Establishment of Shenzhen Eternal Asia Power Supply Chain Co., Ltd	Mgmt	For	For	For
20	Change of Business Scope and Amendments to Articles	Mgmt	For	For	For

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21	ISSUANCE OF ACCOUNTS RECEIVABLE ASSETS-BACKED NOTES	Mgmt	For	For	For
22	Authority to Give Guarantees for Shenzhen Eternal Asia Shendu Supply Chain Management Co., Ltd. for Transfer of Rights	Mgmt	For	Against	Against
23	Authority to Give Guarantees for Shenzhen Eternal Asia Shendu Supply Chain Management Co., Ltd. for Application of Loans	Mgmt	For	Against	Against
24	Authority to Give Guarantees for Shanxi Eternal Asia Shendu Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
25	Signing of a Related-Party Business Contract	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	11/01/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for a Controlled Subsidiary	Mgmt	For	Against	Against
2	Authority to Give Guarantees for a Wholly-Owned Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Another Wholly-Owned Subsidiary	Mgmt	For	Against	Against
4	Authority to Give Guarantees for a Third Wholly-Owned Subsidiary	Mgmt	For	Against	Against
5	Authority to Give Guarantees for a Second Controlled Subsidiary	Mgmt	For	Against	Against
6	Authority to Give Guarantees for a Fourth Wholly-Owned Subsidiary	Mgmt	For	Against	Against
7	Authority to Give Guarantees for a	Mgmt	For	Against	Against

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	Fifth Wholly-Owned Subsidiary					
8	Authority to Give Guarantees for a Sixth Wholly-Owned Subsidiary	Mgmt	For	Against	Against	
9	Authority to Give Guarantees for a Third Controlled Subsidiary	Mgmt	For	Against	Against	
10	Authority to Give Guarantees for a Fourth Controlled Subsidiary	Mgmt	For	Against	Against	
11	Authority to Give Guarantees for a Fifth Controlled Subsidiary	Mgmt	For	Against	Against	
12	Authority to Give Guarantees for a Seventh Wholly-Owned Subsidiary	Mgmt	For	Against	Against	
13	Authority to Give Guarantees for a Eighth Wholly-Owned Subsidiary	Mgmt	For	Against	Against	
14	Authority to Give Guarantees for a Ninth Wholly-Owned Subsidiary In Connection with Creditor Rights Transfer	Mgmt	For	Against	Against	
15	Authority to Give Guarantees for a Ninth Wholly-Owned Subsidiary In Connection with Bond Issuance	Mgmt	For	Against	Against	
16	Approval of Credit Line	Mgmt	For	For	For	
17	Wholly-owned Subsidiary to Establish a Company	Mgmt	For	For	For	
18	Another Subsidiary to Establish a Company	Mgmt	For	For	For	

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	11/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Set up of Subsidiary	Mgmt	For	For	For
2	External Investment	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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002183	CINS Y2346W101	12/04/2017			Voted	
Meeting Type			Country of Trade			
Special			China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees	Mgmt	For	Against	Against	
2	Authority to Give Guarantees	Mgmt	For	Against	Against	
3	Authority to Give Guarantees	Mgmt	For	Against	Against	
4	Authority to Give Guarantees	Mgmt	For	Against	Against	
5	Authority to Give Guarantees	Mgmt	For	Against	Against	
6	Authority to Give Guarantees	Mgmt	For	Against	Against	
7	Authority to Give Guarantees	Mgmt	For	Against	Against	
8	Authority to Give Guarantees	Mgmt	For	Against	Against	
9	Authority to Give Guarantees	Mgmt	For	Against	Against	
10	Authority to Give Guarantees	Mgmt	For	Against	Against	
11	Authority to Give Guarantees	Mgmt	For	Against	Against	
12	Authority to Give Guarantees	Mgmt	For	Against	Against	
13	Authority to Give Guarantees	Mgmt	For	Against	Against	
14	Authority to Give Guarantees	Mgmt	For	Against	Against	
15	Authority to Give Guarantees	Mgmt	For	Against	Against	
16	Authority to Give Guarantees	Mgmt	For	Against	Against	
17	Account Receivables Businss	Mgmt	For	For	For	
18	Factoring Business	Mgmt	For	For	For	
19	Credit Leasing	Mgmt	For	For	For	
20	Internal Investment	Mgmt	For	For	For	
21	Subsidiary Investment	Mgmt	For	For	For	

Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date			Meeting Status	
002183	CINS Y2346W101	12/21/2017			Voted	
Meeting Type			Country of Trade			
Special			China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees: Controlled Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against	
2	Authority to Give Guarantees: Another Controlled Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against	
3	Authority to Give	Mgmt	For	Against	Against	

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	Guarantees: A Third Controlled Subsidiary for Comprehensive Credit				
4	Authority to Give Guarantees: A Wholly-Owned Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
5	Authority to Give Guarantees: A Fourth Controlled Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
6	Authority to Give Guarantees: A Fifth Controlled Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
7	Authority to Give Guarantees: Another Wholly-Owned Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
8	Authority to Give Guarantees: A Third Wholly-Owned Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
9	Authority to Give Guarantees: A Sixth Controlled Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
10	Authority to Give Guarantees: A Seventh Controlled Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
11	Authority to Give Guarantees: A Eighth Controlled Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
12	Authority to Give Guarantees: A Ninth Controlled Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
13	Authority to Give Guarantees: A Tenth Controlled Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against

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14	Authority to Give Guarantees: A Fourth Wholly-Owned Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
15	Authority to Give Guarantees: A Fifth Wholly-Owned Subsidiary for Comprehensive Credit	Mgmt	For	Against	Against
16	Authority to Give Guarantees: Issuance of Bills	Mgmt	For	Against	Against
17	Approval of Acceptance of Equities	Mgmt	For	Abstain	Against
18	Wholly-Owned Subsidiary's Investment in Establishment of a Company	Mgmt	For	For	For
19	The Company's Investment in Establishment of a Company	Mgmt	For	For	For
20	Another Wholly-Owned Subsidiary's Investment in Establishment of a Company	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002183	CINS Y2346W101	05/30/2018	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	2018 Stock Option Incentive Plan	Mgmt	For	For	For	For
2	Stock Option Incentive Plan Appraisal Management Measures	Mgmt	For	For	For	For
3	Board Authorization to Implement Stock Option Incentive Plan	Mgmt	For	For	For	For

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Eternal Asia Supply Chain Management Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002183	CINS Y2346W101	06/15/2018	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against	Against

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2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Authority to Give Guarantees	Mgmt	For	Against	Against
12	Authority to Give Guarantees	Mgmt	For	Against	Against
13	Authority to Give Guarantees	Mgmt	For	Against	Against
14	Authority to Give Guarantees	Mgmt	For	Against	Against
15	Authority to Give Guarantees	Mgmt	For	Against	Against
16	Authority to Give Guarantees	Mgmt	For	Against	Against
17	Approval of Line of Credit	Mgmt	For	For	For
18	Shareholder Return Plan for the Next Three Years (2018-2020)	Mgmt	For	For	For

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EVE Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300014	CINS Y2303F109	02/01/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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EVE Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300014	CINS Y2303F109	04/10/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Audit Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Report on Deposit and Use of Raised	Mgmt	For	For	For

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	Funds					
7	Remuneration and Appraisal Plan for Directors and Senior Management	Mgmt	For	For	For	
8	Appointment of Auditor	Mgmt	For	For	For	
9	Approval of Line of Credit	Mgmt	For	For	For	
10	Amendments to Articles	Mgmt	For	For	For	
11	Authority to Issue (Short-term) Corporate Bonds or Medium-term Notes	Mgmt	For	For	For	
12	Board Authorization to Issuance of Debt Financing Instruments in Inter-Bank Market	Mgmt	For	For	For	
13	Cancellation of Partial Stock Options and Restricted Shares Plan	Mgmt	For	For	For	
14	Authority to Give Guarantees	Mgmt	For	Against	Against	
15	Supervisors' Report	Mgmt	For	For	For	
16	Supervisors' Fees	Mgmt	For	For	For	
17	Authority to Issue Debt Instruments	Mgmt	For	For	For	
18	Board Authorization to Issue Debt Instruments	Mgmt	For	For	For	
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
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EVE Energy Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
300014	CINS Y2303F109	05/21/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Financial Leasing Business Conducted by Subsidiaries	Mgmt	For	For	For	
3	Provision of Guarantee for Financial Leasing Business of Subsidiaries	Mgmt	For	Against	Against	
4	Repurchase and Cancellation of Some Incentive Stocks Under 2nd Phase Equity Incentive Plan	Mgmt	For	For	For	
5	Amendments to Articles	Mgmt	For	For	For	
6	Amendments to Articles Adopted by 21st Board Meeting	Mgmt	For	For	For	
7	Provision of Guarantee for Bank Credit Line	Mgmt	For	Against	Against	

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Applied by  
Subsidiaries

EVE Energy Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	09/11/2017		Voted	
300014	CINS Y2303F109	Country of Trade			
Meeting Type		China			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Type of Issuance	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	PAR VALUE AND ISSUE PRICE	Mgmt	For	For	For
5	BOND DURATION	Mgmt	For	For	For
6	INTEREST RATE	Mgmt	For	For	For
7	Time and Method for Repayment of Interest	Mgmt	For	For	For
8	CONVERSION PERIOD	Mgmt	For	For	For
9	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
10	Downward Adjustment Clauses	Mgmt	For	For	For
11	Determining Method for Number of Converted Shares	Mgmt	For	For	For
12	REDEMPTION PROVISIONS	Mgmt	For	For	For
13	RESALE PROVISIONS	Mgmt	For	For	For
14	ATTRIBUTION OF DIVIDENDS FOR CONVERSION YEARS	Mgmt	For	For	For
15	ISSUANCE METHOD AND TARGETS	Mgmt	For	For	For
16	ARRANGEMENT FOR PLACEMENT TO EXISTING SHAREHOLDERS	Mgmt	For	For	For
17	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
18	Use of Proceeds	Mgmt	For	For	For
19	GUARANTEE MATTERS	Mgmt	For	For	For
20	Management of Proceeds and Depository Account	Mgmt	For	For	For
21	Validity of Resolution	Mgmt	For	For	For
22	Preliminary Plan for Issuance of Corporate Bonds	Mgmt	For	For	For
23	Demonstration Analysis Report on Issuance of Corporate Bonds	Mgmt	For	For	For
24	Feasibility Analysis Report on Issuance of Corporate	Mgmt	For	For	For

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25	Bonds Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
26	Approval of Dilution Measures and Commitments for Bond Issuance	Mgmt	For	For	For
27	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
28	Rules Governing Meetings of Bondholders	Mgmt	For	For	For
29	Shareholder Returns Plan	Mgmt	For	For	For
30	Internal Control Self-Assessment Report	Mgmt	For	For	For
31	Amendments to Articles	Mgmt	For	For	For
32	Related Party Transactions	Mgmt	For	For	For

EVE Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300014	CINS Y2303F109	11/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Adoption of the Third Phrase of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Verification of Participant List for Employee Stock Ownership Plan	Mgmt	For	For	For

First Capital Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002797	CINS Y2R9TR100	06/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Approval of Proprietary Business Investment	Mgmt	For	For	For

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	Quota				
8	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For
9	Authority to Issue Financing Bills/Commercial Paper	Mgmt	For	For	For
10	Huaxi Haoyu Investment Co., Ltd.	Mgmt	For	For	For
11	Beijing Capital Group Co., Ltd.	Mgmt	For	For	For
12	Nengxing Holdings Group Co., Ltd.	Mgmt	For	For	For
13	Zhejiang Hangmin Industrial Group Co., Ltd.	Mgmt	For	For	For
14	Yinhua Fund Management Co., Ltd.	Mgmt	For	For	For
15	Beijing Yuanfuyuan Investment Management Co., Ltd.	Mgmt	For	For	For
16	Other Affiliated Entities	Mgmt	For	For	For
17	Other Joint Ventures/Associates	Mgmt	For	For	For
18	Directors' Fees	Mgmt	For	For	For
19	Supervisors' Fees	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For
21	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
22	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
23	Elect LIU Xuemin	Mgmt	For	For	For
24	Elect WANG Fang	Mgmt	For	For	For
25	Elect ZHANG Xing	Mgmt	For	For	For
26	Elect ZHANG Yiwei	Mgmt	For	For	For
27	Elect YANG Weibin	Mgmt	For	For	For
28	Elect LIN Wei	Mgmt	For	For	For
29	Elect GAO Tianxiang	Mgmt	For	For	For
30	Elect CAI Qixiao	Mgmt	For	For	For
31	Elect LIU Bin	Mgmt	For	For	For
32	Elect LONG Yifei	Mgmt	For	For	For
33	Elect LUO Fei	Mgmt	For	For	For
34	Elect PENG Peiran	Mgmt	For	For	For
35	Elect LIANG Qi	Mgmt	For	For	For
36	Elect QIAN Longhai	Mgmt	For	For	For
37	Elect WANG Jia	Mgmt	For	Against	Against
38	Elect LI Zhang	Mgmt	For	Against	Against
39	Elect YANG Hong	Mgmt	For	Against	Against

Focus Media Information Technology Co., Ltd.,

Ticker Security ID:

Meeting Date

Meeting Status

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002027	CINS Y29327106	05/17/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Method of Repurchase	Mgmt	For	For	For
8	Price range	Mgmt	For	For	For
9	Total funds and sources of funds	Mgmt	For	For	For
10	Type	Mgmt	For	For	For
11	Implementation deadline	Mgmt	For	For	For
12	Validity of resolution	Mgmt	For	For	For
13	Specific authorization	Mgmt	For	For	For
14	Investment in Wealth Management Products	Mgmt	For	For	For
15	Authority to Give Guarantees	Mgmt	For	Against	Against
16	Approval of Risk Investment	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For
18	Shareholder Returns Plan	Mgmt	For	For	For

Focus Media Information Technology Co., Ltd.,					
Ticker Security ID:		Meeting Date		Meeting Status	
002027 CINS Y29327106		11/14/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Structured Finance	Mgmt	For	For	For

Focus Media Information Technology Co., Ltd.,					
Ticker Security ID:		Meeting Date		Meeting Status	
002027 CINS Y29327106		12/05/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Provision of Financial Aid	Mgmt	For	For	For

GEM Co., Ltd.					
Ticker Security ID:		Meeting Date		Meeting Status	
002340 CINS Y7744C102		02/05/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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2	Approval of Line of Credit	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Related Party Transactions	Mgmt	For	For	For
5	Adoption of Incentive Plan	Mgmt	For	Against	Against

GEM Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002340	CINS Y7744C102	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
7	Extension of the Valid Period for the Non-Public Share Offering authorization	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For

GEM Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002340	CINS Y7744C102	08/22/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application for Bank Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Authority to Give Financial Leasing Guarantees	Mgmt	For	For	For
4	Application for Asset Securitization	Mgmt	For	For	For

GEM Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002340	CINS Y7744C102	11/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

GEM Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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002340	CINS Y7744C102	12/26/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Shareholder Returns Plan	Mgmt	For	For	For

Giant Network Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002558	CINS Y1593Y109	05/30/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	CONNECTED TRANSACTION REGARDING SUBSCRIPTION OF A FUND	Mgmt	For	For	For

Giant Network Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002558	CINS Y1593Y109	06/28/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Investment in Wealth Management Products	Mgmt	For	For	For

Giant Network Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002558	CINS Y1593Y109	07/18/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Giant Network Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002558	CINS Y1593Y109	10/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For

Giant Network Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		

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002558	CINS Y1593Y109	11/06/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity of Resolution	Mgmt	For	For	For
2	Extension of Board Authorization	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	Abstain	Against

GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002241	CINS Y27360109	01/16/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Employee Stock Ownership Plan	Mgmt	For	For	For

GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002241	CINS Y27360109	04/23/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Foreign Exchange Derivatives Transactions Estimated in 2018	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Shareholder Returns Plan	Mgmt	For	For	For
13	Amendments to Connected Transactions Decision-making System	Mgmt	For	Abstain	Against

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GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
002241	CINS Y27360109	09/12/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Articles and Change of Registered Capital	Mgmt	For
			For
			For
2	Appointment of Auditor	Mgmt	For
			For
			For

GRC Banking Equipment Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002152	CINS Y2889S103	12/05/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Articles	Mgmt	For
			For
2	YANG HAIZHOU	Mgmt	For
			For
3	HUANG YUEZHEN	Mgmt	For
			For
4	YE ZIYU	Mgmt	For
			For
5	LUO PANFENG	Mgmt	For
			For
6	YANG WENFENG	Mgmt	For
			For
7	CHEN JIANLIANG	Mgmt	For
			For
8	YANG RUN	Mgmt	For
			For
9	XING LIANGWEN	Mgmt	For
			For
10	ZHU GUILONG	Mgmt	For
			For
11	ZHU LIXIN	Mgmt	For
			Against
			Against
12	MO DONGCHENG	Mgmt	For
			For

Guangdong Advertising Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002400	CINS Y2924R102	04/20/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Report	Mgmt	For
			For
3	Annual Report	Mgmt	For
			For
4	Accounts and Reports	Mgmt	For
			For
5	Allocation of Profits/Dividends	Mgmt	For
			For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For
			For
7	Appointment of Auditor	Mgmt	For
			For
8	Directors' and Supervisors' Fees	Mgmt	For
			For
9	Related Party Transactions: GDAD-Hakuhodo	Mgmt	For
			For
10	Related Party Transactions: GIMC Delphys Hakuhodo	Mgmt	For
			For
11	Related Party	Mgmt	For
			For
			For

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	Transactions: Guangdong Guangying Pictures Co., Ltd.				
12	Related Party Transactions: Zhuhai GIMC Xingmeida Culture Media Co., Ltd.	Mgmt	For	For	For
13	Related Party Transactions: Shenzhen Taibo New Media Marketing Co., Ltd	Mgmt	For	For	For
14	Related Party Transactions: GIMC Juhe (Beijing) Digital Technology Co., Ltd.	Mgmt	For	For	For
15	Related Party Transactions: Hebao Entertainment Media Co., Ltd.	Mgmt	For	For	For
16	Related Party Transactions: Zhuhai BERNERS Brand Management Consulting Co., Ltd.	Mgmt	For	For	For
17	Related Party Transactions: Guangzhou Duochudian Media Co., Ltd.	Mgmt	For	For	For
18	Related Party Transactions: Guangzhou Zhongmao Advertising Co., Ltd.	Mgmt	For	For	For
19	Related Party Transactions: Beijing GIMC Yangguang Media Co., Ltd.	Mgmt	For	For	For
20	Related Party Transactions: Zhuhai GIMC Huayi Intelligent Digital Marketing Co., Ltd.	Mgmt	For	For	For
21	Related Party Transactions: Donson Times Information Technology Co., Ltd	Mgmt	For	For	For
22	Related Party Transactions: Zhuhai GIMC Shengshi Marketing Co., Ltd.	Mgmt	For	For	For

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23	Amendments to Use of Proceeds	Mgmt	For	For	For
24	Authority to Cancel Shares	Mgmt	For	For	For
25	Amendments to Articles	Mgmt	For	For	For
26	Authority to Issue Short-term Commercial Papers and Medium-term Notes	Mgmt	For	For	For
27	Approval of Line of Credit	Mgmt	For	For	For

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Guangdong Advertising Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002400	CINS Y2924R102	11/10/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Appointment of Auditor	Mgmt	For
2	Approval of Line of Credit	Mgmt	For

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Guangdong Wens Foodstuffs Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300498	CINS Y2R30P108	03/29/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	The First Phase Restricted Stock Incentive Plan (Revised Draft) and Its Summary	Mgmt	For
2	Approval of Measurements on the First Phase Restricted Stock Incentive Plan	Mgmt	For
3	Authorize the Board to Implement the First Phase Restricted Stock Incentive Plan	Mgmt	For
4	CONFIRMATION OF THE PREVIOUS ENTRUSTED WEALTH MANAGEMENT	Mgmt	For
5	Authority to Give Guarantees	Mgmt	For
6	Authority to Issue Used-Denominated Bonds Overseas	Mgmt	For

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Guangdong Wens Foodstuffs Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300498	CINS Y2R30P108	05/03/2018	Voted

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Internal Control Self-Assessment Report	Mgmt	For	For	For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Transfers of Properties to Related Parties	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Authority to Issue Commercial Paper and Medium-term Notes	Mgmt	For	For	For
12	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
13	Authority to Issue Corporate Bonds	Mgmt	For	For	For
14	Approval of Donation to a Charity	Mgmt	For	For	For
15	Directors' and Supervisors' Fees	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For

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Guangdong Wens Foodstuffs Group Co. Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300498	CINS Y2R30P108	10/31/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Settlement of Projects	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Authority to Issue Debt Instruments	Mgmt	For	For	For

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Guangzhou Haige Communications Group					
Ticker	Security ID:	Meeting Date		Meeting Status	
002465	CINS Y29328104	05/24/2018		Voted	
Meeting Type		Country of Trade			
Special		China			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds: Yichuang Technology Regional Marketing Center	Mgmt	For	For	For
2	Amendments to Use of Proceeds: Yousheng Aviation Parts Production and Assembly Base	Mgmt	For	For	For
3	Authority to Repurchase Shares	Mgmt	For	For	For

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### Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002465 CINS Y29328104 04/20/2018 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Remove the Position of Vice Chairman	Mgmt	For	For	For
8	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
9	Elect DENG Jiaqing	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	For	For

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### Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002465 CINS Y29328104 09/28/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Registered Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Investment in Wealth Management Products	Mgmt	For	For	For

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### Guangzhou Tinci Materials Technology Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002709 CINS Y2R33P105 01/29/2018 Voted

Meeting Type Country of Trade  
Special China

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of a Director	Mgmt	For	For	For
2	Investment in a Project	Mgmt	For	For	For
3	Investment in a Second Project	Mgmt	For	For	For
4	Approval of Financing Quota	Mgmt	For	Against	Against
5	Authority to Give Guarantees	Mgmt	For	For	For

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Guangzhou Tinci Materials Technology Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002709 CINS Y2R33P105 04/13/2018 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	Abstain	Against
2	Intra-company Contracts/Control Agreements	Mgmt	For	For	For

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Guangzhou Tinci Materials Technology Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002709 CINS Y2R33P105 05/21/2018 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Audit report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval to Financing Qouta	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Approval of Project Construction	Mgmt	For	For	For
12	Restricted Shares Incentive Plan	Mgmt	For	For	For
13	Constitution of Procedural Rules	Mgmt	For	For	For
14	Board Authorization to Implement Restricted Shares Incentive Plan	Mgmt	For	For	For

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Guangzhou Tinci Materials Technology Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002709 CINS Y2R33P105 09/25/2017 Voted

Meeting Type Country of Trade  
Special China

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase of Registered Capital and Amendments to Articles	Mgmt	For	For	For
2	Authority to Repurchase Shares	Mgmt	For	For	For
3	Decrease of Registered Capital and Amendments to Articles	Mgmt	For	For	For
4	Investment in Wealth Management Products	Mgmt	For	For	For
5	Amendments to Procedural Rules: Investment Decision-Making Management System	Mgmt	For	Abstain	Against
6	Amendments to Procedural Rules: Financing and External Guarantees Management System	Mgmt	For	Abstain	Against

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Guizhou Bailing Group Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002424	CINS Y2945M108	04/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

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Guizhou Bailing Group Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002424	CINS Y2945M108	12/04/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Guosen Securities Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002736	CINS Y295A2103	03/28/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Amendments to Articles	Mgmt	For	Abstain	Mgmt Against
Guosen Securities Co. Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002736	CINS Y295A2103	05/21/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Related Party Transactions with Shenzhen Investment Holdings Co.,Ltd.	Mgmt	For	For	For
8	Related Party Transactions with Huarun Investment Trust Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with Yunnan Hehe Investment Co., Ltd.	Mgmt	For	For	For
10	Related Party Transactions with Penghua Fund Management Co.,Ltd.	Mgmt	For	For	For
11	Related Party Transactions with Others	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Proprietary Investment Amount	Mgmt	For	For	For
14	Extension of The Valid Period of the Resolution on Rights Issue	Mgmt	For	For	For
15	Authorization to the Board to Handle Matters regarding the Rights Issue	Mgmt	For	For	For

Guosen Securities Co. Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002736	CINS Y295A2103	09/28/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Issue	Mgmt	For	For	For

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Debt Instruments					
3	Related Party Transactions	Mgmt	For	For	For
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Guosen Securities Co. Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002736	CINS Y295A2103	10/16/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	ELECTION OF DIRECTORS	Mgmt	For	For	For
2	ELECTION OF SUPERVISORS	Mgmt	For	Against	Against
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Guosen Securities Co. Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002736	CINS Y295A2103	12/21/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HE Ru	Mgmt	For	For	For
2	Elect WANG Yongjian	Mgmt	For	For	For
3	Elect LI Xinjian	Mgmt	For	For	For
4	Elect LIU Xiaola	Mgmt	For	For	For
5	Elect LI Shuangyou	Mgmt	For	For	For
6	Elect JIANG Yuexiang	Mgmt	For	For	For
7	Elect XIAO Youmei	Mgmt	For	For	For
8	Elect BAI Tao	Mgmt	For	For	For
9	Elect ZHENG Xueding	Mgmt	For	For	For
10	Elect FENG Xiaodong	Mgmt	For	Against	Against
11	Elect ZHANG Caiguang	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Authority to Issue Debt Instruments	Mgmt	For	Abstain	Against
<hr/>					
Guoxuan High-tech Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002074	CINS Y4439F110	01/08/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase in a Subsidiary	Mgmt	For	For	For
2	Capital Increase By a Wholly-Owned Subsidiary	Mgmt	For	For	For
3	Establishment of a Hong Kong-Based Subsidiary	Mgmt	For	For	For
4	Establishment of an Industrial Fund By a Wholly-Owned Subsidiary	Mgmt	For	For	For
5	Change of Registered Capital and Amendments to Articles	Mgmt	For	For	For

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Guoxuan High-tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002074	CINS Y4439F110	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Adoption of Employee Stock Purchase Plan	Mgmt	For	Against	Against
13	Constitution of Procedural Rules: Employee Stock Purchase Plan	Mgmt	For	Against	Against
14	Amendments to Articles	Mgmt	For	For	For
15	Amendments to Procedural Rules: Meetings of Board of Directors	Mgmt	For	Abstain	Against

Guoxuan High-tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002074	CINS Y4439F110	11/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules: General Meetings of Shareholders	Mgmt	For	Abstain	Against
4	Amendments to Procedural Rules: Meetings of Board of Supervisors	Mgmt	For	Abstain	Against

Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002008	CINS Y3063F107	02/28/2018	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Use of Idle Capital for Wealth Management Products	Mgmt	For	For	For

Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002008	CINS Y3063F107	05/07/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	WEALTH MANAGEMENT WITH PROPRIETARY FUNDS	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For

Hangzhou Hik-Vision Digital Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002415	ISIN CNE100000PM8	03/07/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect CHEN Zongnian	MGMT	For	For	For
1.2	Elect GONG Hongjia	MGMT	For	For	For
1.3	Elect QU Liyang	MGMT	For	For	For
1.4	Elect HU Yangzhong	MGMT	For	For	For
1.5	Elect WU Weiqi	MGMT	For	For	For
2.1	Elect CHENG Tianzong	MGMT	For	For	For
2.2	Elect LU Jianzhong	MGMT	For	For	For
2.3	Elect WANG Zhidong	MGMT	For	For	For
2.4	Elect HONG Tianfeng	MGMT	For	For	For
3.1	Elect CHENG Huifang	MGMT	For	Against	Against
3.2	Elect WANG Qiuchao	MGMT	For	For	For
4	Independent Directors' Fees	MGMT	For	For	For
5	Supervisors' Fees	MGMT	For	For	For

Hangzhou Hikvision Digital Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002415	CINS Y3038Z105	05/11/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Allocation of	Mgmt	For	For	For

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6	Profits/Dividends 2017 INTERNAL CONTROL SELF-EVALUATION REPORT	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Approval for Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Amendments to Authorization Management System	Mgmt	For	Abstain	Against

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Hangzhou Robam Appliances Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002508	CINS Y3041Z100	02/02/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Employee Share Purchase Plan	Mgmt	For	Against	Against
2	Board Authorization to Implement Employee Share Purchase Plan	Mgmt	For	Against	Against

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Hangzhou Robam Appliances Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002508	CINS Y3041Z100	05/03/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	2018 Budget Plan	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Approval to invest in wealth management products	Mgmt	For	For	For
8	Shareholders Return Plan for the Next Three Years (2018-2020)	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For

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Hangzhou Robam Appliances Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002508	CINS Y3041Z100	08/18/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

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1	Elect	REN Jianhua	Mgmt	For	For	For
2	Elect	REN Fujia	Mgmt	For	For	For
3	Elect	ZHAO Jihong	Mgmt	For	For	For
4	Elect	REN Luozhong	Mgmt	For	For	For
5	Elect	WANG Gang	Mgmt	For	For	For
6	Elect	SHEN Guoliang	Mgmt	For	For	For
7	Elect	ZHANG Guangjie	Mgmt	For	For	For
8	Elect	DONG Jing	Mgmt	For	For	For
9	Elect	MA Guoxin	Mgmt	For	For	For
10	Elect	ZHANG Linyong	Mgmt	For	Against	Against
11	Elect	ZHANG Songnian	Mgmt	For	Against	Against
12	Elect	SHEN Yueming	Mgmt	For	For	For

Hangzhou Shunwang Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300113	CINS Y30419108	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	CASH MANAGEMENT WITH IDLE PROPRIETARY FUNDS	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

Hangzhou Shunwang Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300113	CINS Y30419108	11/09/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Hithink RoyalFlush Information Network Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
300033	CINS Y3181P102	03/20/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Investment in Wealth Management Products	Mgmt	For	For	For
8	Election of Independent Director	Mgmt	For	For	For

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Hualan Biological Engineering, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
002007	CINS Y3737H125	04/27/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report and Its Summary	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Investment in Wealth Management Products	Mgmt	For
7	Appointment of Auditor	Mgmt	For
8	Authority to Give Guarantees	Mgmt	For
9	Allocation of Profits/Dividends	Mgmt	For

Huapont Life Science Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002004	CINS Y15896114	12/29/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Procedural Rules: Decision Making System for Connected Transactions	Mgmt	For
			Abstain
			Against
2	Amendments to Procedural Rules: External Financial Aid	Mgmt	For
			Abstain
			Against
3	Amendments to Procedural Rules: Guarantee Management System	Mgmt	For
			Abstain
			Against
4	Amendments to Articles	Mgmt	For
5	CHANGE OF PROJECTS FUNDED WITH RAISED FUNDS	Mgmt	For
			For
6	Authority to Give Guarantees	Mgmt	For
			Against
			Against
7	Estimation of 2018 Forward Foreign Exchange Sale and Settlement Business	Mgmt	For
			For
8	Authority to Issue Commercial Paper	Mgmt	For
			For

Huayi Brothers Media Corp

Ticker	Security ID:	Meeting Date	Meeting Status
300027	CINS Y3743R109	05/07/2018	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Approval of Guarantees Provided by the Related Entities	Mgmt	For	For	For

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Huayi Brothers Media Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	06/28/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	The 2nd Phase Restricted Stock Incentive Plan(Draft) and Its Summary	Mgmt	For	For	For
2	Appraisal Management Measures for the 2nd Phase Restricted Stock Incentive Plan	Mgmt	For	For	For
3	Authorization to the Board to Handle Matters in Relation to the 2nd Phase Restricted Stock Incentive Plan	Mgmt	For	For	For
4	Approval for Line of Credit	Mgmt	For	For	For
5	Related Party Transactions: Provision of Guarantee for Comprehensive Credit by De Facto Controller and Wholly-owned Subsidiaries	Mgmt	For	For	For

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	01/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	01/22/2018	Voted		

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Application of Loans by a Subsidiary	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	02/05/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Comprehensive Credit Line	Mgmt	For	For	For
2	Authority to Receive Guarantees From Related Natural Person	Mgmt	For	For	For
3	Approval of Comprehensive Credit Line by a Wholly-Owned Subsidiary	Mgmt	For	For	For
4	Authority to Give Guarantees for Wholly-Owned Subsidiary	Mgmt	For	For	For
5	Approval of Foreign Currency-Denominated Loans	Mgmt	For	For	For
6	Approval of Credit Line to a Second Bank	Mgmt	For	For	For
7	Authority to Receive Guarantees from Actual Controller	Mgmt	For	For	For

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	04/18/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and Its Summary	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For

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7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	08/07/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	08/25/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Subsidiary's Application of Loan	Mgmt	For	For	For
2	Approval of Application of Credit Quota	Mgmt	For	For	For
3	Authority to Pledge for Loan Application	Mgmt	For	For	For

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	08/30/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Zhongjun	Mgmt	For	For	For
2	Elect WANG Zhonglei	Mgmt	For	For	For
3	Elect YE Ning	Mgmt	For	For	For
4	Elect DING Qi	Mgmt	For	For	For
5	Elect LIU Xiaomei	Mgmt	For	For	For
6	Elect WANG Fuye	Mgmt	For	For	For
7	Elect WANG Liqun	Mgmt	For	For	For
8	Elect SHENG Xitai	Mgmt	For	For	For
9	Elect WANG Chaoqun	Mgmt	For	For	For
10	Elect WU Ying	Mgmt	For	Against	Against
11	Elect XUE Guizhi	Mgmt	For	For	For
12	Approval for Subsidiary to Launch Factoring Business	Mgmt	For	For	For

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Huayi Brothers Media Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
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300027	CINS Y3743R109	09/27/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Huayi Brothers Media Corporation					
Ticker Security ID:		Meeting Date		Meeting Status	
300027 CINS Y3743R109		11/29/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Credit Line	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Huayi Brothers Media Corporation					
Ticker Security ID:		Meeting Date		Meeting Status	
300027 CINS Y3743R109		12/14/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority for Subsidiary to Give Guarantees	Mgmt	For	For	For

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Huayi Brothers Media Corporation					
Ticker Security ID:		Meeting Date		Meeting Status	
300027 CINS Y3743R109		12/20/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Iflytek Co., Ltd.					
Ticker Security ID:		Meeting Date		Meeting Status	
002230 CINS Y013A6101		04/18/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2017 Remuneration of Directors, Supervisors and Senior Management's	Mgmt	For	For	For

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	Fees				
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	Repurchase and Cancellation of Granted Restricted Stocks	Mgmt	For	For	For
11	Amendments to Use of Partial Raised Funds for Equity Acquisition	Mgmt	For	For	For

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Iflytek Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002230	CINS Y013A6101	05/30/2018	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
2	Eligibility for Private Placement	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Issuing Method	Mgmt	For	For	For
5	Issuing Targets	Mgmt	For	For	For
6	Issue Price, Pricing Principles and Pricing Base Date	Mgmt	For	For	For
7	Issuing Volume	Mgmt	For	For	For
8	Lockup Period	Mgmt	For	For	For
9	Listing Place	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Arrangement for the Accumulated Retained Profits	Mgmt	For	For	For
12	Valid Period of the Resolution	Mgmt	For	For	For
13	Plan for the Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Board Authorization to Handle Matters Regarding the Private Placement	Mgmt	For	For	For
16	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For

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Inner Mongolia M-Grass Ecology And Enviroment (Group) Co Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300355	CINS Y4R82M108	02/14/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Overseas Bond	Mgmt	For	For	For
2	Authorization to Handle Overseas Bond Issuance	Mgmt	For	For	For
3	Change of Company Support	Mgmt	For	For	For

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Inner Mongolia M-Grass Ecology And Enviroment (Group) Co Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300355	CINS Y4R82M108	05/16/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approval for Line of Credit	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	CONNECTED TRANSACTIONS REGARDING LOANS FROM SHAREHOLDERS	Mgmt	For	For	For
10	Authority to Issue Non-public Corporate Bonds	Mgmt	For	For	For
11	Full Authorization to Board to Handle Matters Regarding to Non-public Issuance of Corporate Bonds	Mgmt	For	For	For

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Inner Mongolia M-Grass Ecology And Enviroment (Group) Co Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300355	CINS Y4R82M108	06/08/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Purchase Plan	Mgmt	For	Against	Against
2	Constitution of Procedural Rules	Mgmt	For	For	For
3	Ratification of Board	Mgmt	For	Against	Against

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Acts						
4	Amendments to Articles	Mgmt	For	For	For	
<hr/>						
Jiangsu Akcome Science and Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002610	CINS Y443AB109	07/12/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Amendments to Decision-Making System on Related Party Transactions	Mgmt	For	For	For	
<hr/>						
Jiangsu Yanghe Brewery Joint-Stock Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002304	CINS Y444AE101	01/29/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Elect WANG Yao	Mgmt	For	For	For	
3	Elect ZHONG Yu	Mgmt	For	For	For	
4	Elect HAN Feng	Mgmt	For	For	For	
5	Elect WANG Kai	Mgmt	For	For	For	
6	Elect CONG Xuenian	Mgmt	For	For	For	
7	Elect ZHOU Xihu	Mgmt	For	For	For	
8	Elect LIU Huashuang	Mgmt	For	For	For	
9	Elect XU Zhijian	Mgmt	For	For	For	
10	Elect CAI Yunqing	Mgmt	For	For	For	
11	Elect JI Xueqing	Mgmt	For	For	For	
12	Elect CHEN Tongguang	Mgmt	For	For	For	
13	Elect CHEN Yiqin	Mgmt	For	Against	Against	
14	Elect ZHOU Wenqi	Mgmt	For	Against	Against	
15	Elect CHEN Taisong	Mgmt	For	For	For	
<hr/>						
Jiangsu Yanghe Brewery Joint-Stock Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002304	CINS Y444AE101	05/23/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
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Jiangsu Yuyue Medical Equipment and Supply Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002223	CINS Y4449E103	05/21/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	2017 Financial Statement & 2018 Earnings Projections	Mgmt	For	For	For
5	2017 Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Use of Proceeds	Mgmt	For	For	For

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Jiangsu Yuyue Medical Equipment and Supply Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002223	CINS Y4449E103	12/04/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Merger/Acquisition	Mgmt	For	For	For	

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Jiangxi Ganfeng Lithium Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002460	CINS Y444B3104	01/15/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Issuance and Listing of H Shares	Mgmt	For	For	For	
3	Stock Type and Par Value	Mgmt	For	For	For	
4	Issuance Date	Mgmt	For	For	For	
5	Method of Issuance	Mgmt	For	For	For	
6	Issuance Volume	Mgmt	For	For	For	
7	Pricing Method	Mgmt	For	For	For	
8	Issuance Targets	Mgmt	For	For	For	
9	Offering Principles	Mgmt	For	For	For	
10	Company Conversion	Mgmt	For	For	For	
11	Report on Previously Raised Funds	Mgmt	For	For	For	
12	Plan for Fund Usage	Mgmt	For	For	For	
13	Validity Period	Mgmt	For	For	For	
14	Board Authorization	Mgmt	For	For	For	
15	Allocation of Profits/Dividends	Mgmt	For	For	For	
16	Election of Directors	Mgmt	For	For	For	
17	Indemnification of Directors/Officers	Mgmt	For	For	For	
18	Increase in Authorized Capital	Mgmt	For	For	For	
19	Amendments to Articles	Mgmt	For	For	For	
20	Amendments to Articles [Bundled]	Mgmt	For	For	For	
21	Amendments to Procedural	Mgmt	For	For	For	

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22	Rules Amendments to Procedural Rules	Mgmt	For	For	For
23	Amendments to Procedural Rules	Mgmt	For	For	For
24	Amendments to Procedural Rules	Mgmt	For	For	For

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Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	01/24/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	INVESTMENT IN WEALTH MANAGEMENT PRODUCTS WITH PARTIAL IDLE RAISED FUNDS	Mgmt	For	For	For
2	Share Repurchase	Mgmt	For	For	For
3	Increase in Authorized Capital	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

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Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	05/02/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Directors' and Supervisors' Fees	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Investment in Wealth Management Products	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	06/11/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Line of Credit	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Jiangxi Ganfeng Lithium Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002460	CINS Y444B3104	11/10/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Restricted Stock Incentive Plan	Mgmt	For	For	For
2	Constitution of Procedural Rules: Appraisal Management Measures for Stock Plan	Mgmt	For	For	For
3	Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For
4	Amendments to Procedural Rules: Incentive Fund Management Measures	Mgmt	For	For	For
5	Authority to Give Guarantees and Bank Credit	Mgmt	For	Against	Against

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Jiangxi Ganfeng Lithium Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002460	CINS Y444B3104	12/22/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of a Subsidiary	Mgmt	For	For	For
2	Project Appraisal and Incentive Plan for Subsidiary	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

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Jiangxi Special Electric Motor Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002176	CINS Y4448B100	03/22/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Jiangxi Special Electric Motor Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002176	CINS Y4448B100	05/04/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For

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2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS AND 2018 FINANCIAL BUDGET PLAN	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Remuneration for Directors and Supervisors	Mgmt	For	For	For
7	2018 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Report on Use of Previously Raised Funds	Mgmt	For	For	For
10	Extension of Validity Period of Resolution on Non-public Share Issuance	Mgmt	For	For	For
11	Board Authorization to Handle Matters in Regards to Non-public Share Offering	Mgmt	For	For	For

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Jiangxi Special Electric Motor Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002176	CINS Y4448B100	11/10/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give External Guarantees	Mgmt	For	For	For	
2	Authority to Give Guarantees For Subsidiaries	Mgmt	For	For	For	

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Kangde Xin Composite Material Group Co Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002450	CINS Y0772X106	05/14/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Annual Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Remuneration for Directors, Supervisors and Senior Management	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	

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7	Appointment of Auditor	Mgmt	For	For	For
8	Shareholders Returns Plan	Mgmt	For	For	For
9	Internal Control Self-evaluation Report	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Supervisors' Report	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Kangde Xin Composite Material Group Co Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002450 CINS Y0772X106 08/24/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	Against	Against
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	Against	Against
3	Risk Assessment with Proprietary Funds	Mgmt	For	Abstain	Against

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Kangde Xin Composite Material Group Co Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002450 CINS Y0772X106 10/23/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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Kangde Xin Composite Material Group Co Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002450 CINS Y0772X106 11/09/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-Term Notes	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co. Ltd.

Ticker Security ID: Meeting Date Meeting Status  
002470 CINS Y7684A108 02/27/2018 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Acquisition by Issuance of Shares	Mgmt	For	For	For

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	and Related-party Transaction				
2	Approval of Transaction Constituting a Related-party Transaction	Mgmt	For	For	For
3	Overall Transaction	Mgmt	For	For	For
4	Target Subscribers	Mgmt	For	For	For
5	Issuance Method	Mgmt	For	For	For
6	Target Assets	Mgmt	For	For	For
7	Transaction Price of Target Assets	Mgmt	For	For	For
8	Price Reference Date and Issuance Price	Mgmt	For	For	For
9	Target Issuance Price Adjustment	Mgmt	For	For	For
10	Period for Price Adjustment	Mgmt	For	For	For
11	Trigger Conditions for Price Adjustments	Mgmt	For	For	For
12	Issuance Price Adjustment	Mgmt	For	For	For
13	Adjustment on Issue Size	Mgmt	For	For	For
14	Ex-rights and Ex-dividends from Price Reference Date to Issuance Date	Mgmt	For	For	For
15	Issue Size and Proportion to Total Share Capital After Issuance	Mgmt	For	For	For
16	Type and Par Value	Mgmt	For	For	For
17	Lock-up Period	Mgmt	For	For	For
18	Distribution of Undistributed Earnings	Mgmt	For	For	For
19	Profit or Loss During the Period	Mgmt	For	For	For
20	Staffing Arrangements	Mgmt	For	For	For
21	Credit and Debt Arrangements for Target Assets	Mgmt	For	For	For
22	Delivery of Target Assets	Mgmt	For	For	For
23	Contractual Obligations and Liability for Breach of the Transfer of the Underlying Asset Ownership	Mgmt	For	For	For
24	Performance Commitments and Compensation Arrangements	Mgmt	For	For	For

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25	Resolution Validity Period	Mgmt	For	For	For
26	Draft and Summary on Acquisition by Issuance of Shares and Related-party Transaction	Mgmt	For	For	For
27	Acknowledgment that Acquisition Does Not Constitute Major Asset Restructuring	Mgmt	For	For	For
28	Article 11 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
29	Article 43 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
30	Article 14 and 44 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
31	Article 3 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
32	Relevant Laws of Questions and Answers on the Record of Private Equity Funds Related to M & A and Reorganization Administrative License Examination	Mgmt	For	For	For
33	Article 13 of Strengthening the Supervision over Abnormal Stock Trading Related to the Material Asset Reorganizations of Listed Companies	Mgmt	For	For	For
34	Transaction Does Not Constitute as Article 13 of the Management Approach Regarding Major Asset Restructuring of Listed	Mgmt	For	For	For

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	Companies				
35	Transaction Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies	Mgmt	For	For	For
36	Company Stock Price Volatility Did Not Achieve Relevant Standards of Regulating the Information Disclosure of Listed Companies and the Acts of All the Related Parties	Mgmt	For	For	For
37	Signing of Conditional Acquisition by Issuance of Shares Agreement	Mgmt	For	For	For
38	Signing of Conditional Profit Forecast Compensation Agreement	Mgmt	For	For	For
39	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For
40	Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	Mgmt	For	For	For
41	Approval of Audit Report, Appraisal Report and Profit Forecast Report	Mgmt	For	For	For
42	Basis and Fairness of Pricing for This Transaction	Mgmt	For	For	For
43	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
44	Board Authorization to Implement Acquisition	Mgmt	For	For	For
45	Appointment of Relevant Intermediaries for	Mgmt	For	For	For

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This  
Transaction

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Kingenta Ecological Engineering Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002470	CINS Y7684A108	01/03/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect LI Jieli as Independent Director	Mgmt	For	For	For	
2	Amendments to Articles	Mgmt	For	For	For	
3	Shareholder Returns Plan	Mgmt	For	For	For	

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Kingenta Ecological Engineering Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002470	CINS Y7684A108	02/28/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Capital Increase and Subscription Agreement	Mgmt	For	For	For	
2	Approval of Loan Agreement by Controlled Subsidiary and Authority to Give Guarantees	Mgmt	For	For	For	
3	Authority to Give Guarantees for Compo GMBH	Mgmt	For	For	For	

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Kingenta Ecological Engineering Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002470	CINS Y7684A108	05/11/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	2017 Final Accounts Report	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Report on Actual Deposit and Uses of Proceeds	Mgmt	For	For	For	
7	Self Assessment Report on Internal Control	Mgmt	For	For	For	
8	Appointment of Auditor	Mgmt	For	For	For	
9	Approval for Line of Credit	Mgmt	For	For	For	
10	Authority to Give Guarantees	Mgmt	For	For	For	
11	Purchase of Bank	Mgmt	For	For	For	

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	Wealth Management Products					
12	Related Party Transactions	Mgmt	For	For	For	
13	Supervisors' Fees	Mgmt	For	For	For	
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
<hr/>						
Kingenta Ecological Engineering Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002470	CINS Y7684A108	06/19/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
<hr/>						
Kingenta Ecological Engineering Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002470	CINS Y7684A108	07/12/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Related Party Transactions	Mgmt	For	For	For	
<hr/>						
Kingenta Ecological Engineering Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002470	CINS Y7684A108	07/14/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Signing of Capital Increase and Subscription Agreement	Mgmt	For	For	For	
2	Signing of Loan Agreement and Guarantee Provision	Mgmt	For	For	For	
<hr/>						
Kingenta Ecological Engineering Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002470	CINS Y7684A108	09/08/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Expansion of Business Scope	Mgmt	For	Abstain	Against	
2	Cash Management With Idle Funds	Mgmt	For	For	For	
3	Modification of Guarantees	Mgmt	For	For	For	
<hr/>						
Lens Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
300433	CINS Y5227A106	01/12/2018		Voted		
Meeting Type		Country of Trade				
Special		China				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination First Phase of Stock Option Plan	Mgmt	For	For	For

Lens Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300433	CINS Y5227A106	05/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS AND 2018 FINANCIAL BUDGET	Mgmt	For	For	For
5	Deposit and Use of Raised Funds Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	2018 Remuneration for Directors and Senior Management	Mgmt	For	For	For
9	2018 REMUNERATION PLAN FOR SUPERVISORS	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Expansion of Business Scope	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Lens Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300433	CINS Y5227A106	06/26/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve the Proposal on the Downward Adjustment of Conversion Price for Convertible Corporate Bond	Mgmt	For	For	For
2	Ratification of Board Acts	Mgmt	For	For	For

Lens Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300433	CINS Y5227A106	10/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Restricted Stock Plan	Mgmt	For	For	For

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2	Constitution of Procedural Rules: Appraisal Management Rules	Mgmt	For	For	For
3	Board Authorization to Implement Stock Plan	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For

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Lepu Medical Technology (Beijing) Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
300003 CINS Y52384107 04/26/2018 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report and its Summary	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Supervisors' Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Authority to Issue Convertible Bonds	Mgmt	For	For	For
11	Authority to Issue Convertible Bonds: Type	Mgmt	For	For	For
12	Authority to Issue Convertible Bonds: Volume	Mgmt	For	For	For
13	Authority to Issue Convertible Bonds: Face Value and Issue Price	Mgmt	For	For	For
14	Authority to Issue Convertible Bonds: Maturity	Mgmt	For	For	For
15	Authority to Issue Convertible Bonds: Interest Rate	Mgmt	For	For	For
16	Authority to Issue Convertible Bonds: Time and Method for Paying the Principle and Interest	Mgmt	For	For	For
17	Authority to Issue Convertible Bonds: Guarantees	Mgmt	For	For	For
18	Authority to Issue Convertible Bonds: Conversion Period	Mgmt	For	For	For
19	Authority to Issue	Mgmt	For	For	For

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	Convertible Bonds: Determining Method for The Number of Converted Shares				
20	Authority to Issue Convertible Bonds: Determination and Adjustment of the Conversion Price	Mgmt	For	For	For
21	Authority to Issue Convertible Bonds: Downward Adjustment Clauses on the Conversion Price	Mgmt	For	For	For
22	Authority to Issue Convertible Bonds: Redemption Clauses	Mgmt	For	For	For
23	Authority to Issue Convertible Bonds: Resale Clauses	Mgmt	For	For	For
24	Authority to Issue Convertible Bonds: Attribution of Related Dividends for Conversion Years	Mgmt	For	For	For
25	Authority to Issue Convertible Bonds: Issuance Targets and Methods	Mgmt	For	For	For
26	Authority to Issue Convertible Bonds: Arrangement for placement to existing shareholders	Mgmt	For	For	For
27	Authority to Issue Convertible Bonds: Matter Regarding Bondholders Meetings	Mgmt	For	For	For
28	Authority to Issue Convertible Bonds: Purpose of the Raised Funds	Mgmt	For	For	For
29	Authority to Issue Convertible Bonds: Management of the Raised Funds and the Deposit Account	Mgmt	For	For	For
30	Authority to Issue Convertible Bonds: The Valid Period of the Plan for Convertible Corporate Bond Issuance	Mgmt	For	For	For

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31	Pre-arranged plan for the Issuance of Convertible Bonds	Mgmt	For	For	For
32	Constitution of Procedural Rules of the Bondholders' Meeting	Mgmt	For	For	For
33	Demonstration Analysis Report on Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
34	Feasibility Report on the Use of Funds to be Raised	Mgmt	For	For	For
35	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
36	Approval of An Appraisal Report from an Independent Auditor	Mgmt	For	For	For
37	Ratification of Board Acts	Mgmt	For	For	For
38	Shareholder Return Plan for the Next Three Years (2018-2020)	Mgmt	For	For	For
39	Commitments of management on dilution remedial measures	Mgmt	For	For	For
40	Authority to Issue Short-Term Bonds	Mgmt	For	For	For

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Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	07/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Articles (06 July)	Mgmt	For	For	For
4	EXTENSION OF TRADING SUSPENSION FOR MAJOR ASSETS RESTRUCTURING	Mgmt	For	Against	Against
5	Elect SUN Hongbin	Mgmt	For	For	For
6	Elect LIANG Jun	Mgmt	For	For	For
7	Elect ZHANG Zhao	Mgmt	For	For	For

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Leyard Optoelectronic Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300296	CINS Y5171W104	01/10/2018	Voted
Meeting Type		Country of Trade	
Special		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period	Mgmt	For	For	For

Leyard Optoelectronic Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300296	CINS Y5171W104	04/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For

Leyard Optoelectronic Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300296	CINS Y5171W104	05/03/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

Leyard Optoelectronic Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300296	CINS Y5171W104	06/04/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Approval of External Investment	Mgmt	For	For	For

Lomon Billions Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2601	CINS Y3122W109	03/02/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of an Industry Investment Fund	Mgmt	For	For	For
2	Adjustment to Rewards for Profits to Key Employees	Mgmt	For	For	For
3	Formulation of Remuneration Management Measures	Mgmt	For	For	For

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Lomon Billions Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002601	CINS Y3122W109	04/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control Self-Assessment Report	Mgmt	For	For	For
7	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

Lomon Billions Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2601	CINS Y3122W109	06/04/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authorization of Legal Formalities	Mgmt	For	For	For
2	Type	Mgmt	For	For	For
3	Issuing Scale	Mgmt	For	For	For
4	Par Value and Issue Price	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Time Limit and Method for Paying the Principal and Interest	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Determination Method for The Number of Converted Shares and Treatment Method in Case the Remaining Convertible Bonds Cannot Be Converted into One Common Share When Conversion Occurs	Mgmt	For	For	For
10	Determination and Adjustment of The Conversion Price	Mgmt	For	For	For
11	Downward Adjustment to The Conversion Price	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Attribution of	Mgmt	For	For	For

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	Accumulated Retained Profits Before the Issuance				
15	Issuing Targets and Method	Mgmt	For	For	For
16	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
17	Bondholders Meetings	Mgmt	For	For	For
18	Purpose of The Raised Funds	Mgmt	For	For	For
19	Depository of The Raised Funds	Mgmt	For	For	For
20	Guarantee Arrangements	Mgmt	For	For	For
21	The Valid Period of The Resolution	Mgmt	For	For	For
22	PREPLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS	Mgmt	For	For	For
23	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
24	Feasibility Analysis Report (Revision) On the Use of Funds to Be Raised	Mgmt	For	For	For
25	Risk Warning on Diluted Immediate Returns	Mgmt	For	For	For
26	Commitments of Directors and Senior Management on Implementation of Filing Measures for Diluted Immediate Returns After the Public Issuance of Convertible	Mgmt	For	For	For
27	Constitution of Procedural Rules	Mgmt	For	For	For
28	Ratification of Board Acts	Mgmt	For	For	For
29	Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	For	For
30	LAUNCHING FOREIGN EXCHANGE HEDGING BUSINESS	Mgmt	For	For	For

Luxshare Precision Industry Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 002475 CINS Y7744X106 05/22/2018 Voted

Meeting Type Country of Trade  
 Annual China

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Decrease in Registered Capital and Amendments to Articles	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Independent Directors' Fees	Mgmt	For	For	For
11	Elect WANG Laichun	Mgmt	For	For	For
12	Elect WANG Laisheng	Mgmt	For	For	For
13	Elect LI Bin	Mgmt	For	For	For
14	Elect YE Yiling	Mgmt	For	For	For
15	Elect LIN Yifei	Mgmt	For	For	For
16	Elect XU Huaibin	Mgmt	For	For	For
17	Elect ZHANG Ying	Mgmt	For	For	For
18	Elect XIA Yanrong	Mgmt	For	Against	Against
19	Elect MO Rongying	Mgmt	For	For	For

Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	09/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Approval of Subsidiary Merger	Mgmt	For	For	For
3	Modification of Investment	Mgmt	For	For	For

Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	12/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Foreign Exchange Transactions	Mgmt	For	For	For
2	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
3	Amendments to Use of Proceeds	Mgmt	For	For	For
4	Elect XIA Yanrong as Supervisor	Mgmt	For	Against	Against

Meinian Onehealth Healthcare Holdings Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002044	ISIN CNE000001LV7	04/11/2018	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds and to Acquire Equity in Five Companies	MGMT	For	For	For
2	Related Party Transactions: External Investments	MGMT	For	For	For
3	Related Party Transactions: Equity Acquisition	MGMT	For	For	For
4	Waiver of Right of Capital Injection and Preemptive Rights	MGMT	For	For	For

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Meinian Onehealth Healthcare Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002044	CINS ADPV39738	02/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Replacement of The Self-Raised Funds Previously Invested In Projects With Raised Funds	Mgmt	For	For	For
2	Capital Increase in A Wholly-Owned Subsidiary	Mgmt	For	For	For
3	Application for Comprehensive Bank Credit and Authority to Give Guarantees	Mgmt	For	Against	Against
4	External Investment in Setting Up Subsidiaries	Mgmt	For	For	For
5	Investment in Setting Up a Fund and Authority to Give Guarantees	Mgmt	For	Against	Against
6	Use of Proprietary Funds to Conduct Structured Deposits	Mgmt	For	For	For
7	Related Party Transactions: External Investment	Mgmt	For	Abstain	Against

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Meinian Onehealth Healthcare Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002044	CINS ADPV39738	05/15/2018	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Merger retrospective adjustment	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Connected Transaction for External Investment	Mgmt	For	For	For
12	Connected Transaction for Equity Acquisition	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Meinian Onehealth Healthcare Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002044	CINS ADPV39738	09/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions Regarding A Subsidiary's Joint Investment	Mgmt	For	For	For
2	Related Party Transactions Regarding a Wholly-owned Subsidiary's Joint Investment	Mgmt	For	For	For

Meinian Onehealth Healthcare Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002044	CINS ADPV39738	12/01/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization for Stock Ownership Plan	Mgmt	For	For	For
3	Related Party Transactions	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For

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5	Amendments to Articles	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

Meinian Onehealth Healthcare Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002044	CINS ADFV39738	12/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Buyout Fund	Mgmt	For	For	For
2	Capital Increase	Mgmt	For	For	For

Muyuan Foods Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002714	CINS Y6149B107	02/12/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Misc. Management Proposal	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Muyuan Foods Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002714	CINS Y6149B107	05/04/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS AND 2018 FINANCIAL BUDGET REPORT	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For
7	2018 ENTRUSTED WEALTH MANAGEMENT WITH IDLE PROPRIETARY FUNDS	Mgmt	For	For	For
8	Purchase of Wealth Management Products From Banks With Partial Idle Raised Funds	Mgmt	For	For	For
9	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Remuneration for Directors, Supervisors and Senior Management	Mgmt	For	For	For

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12	Appointment of Auditor	Mgmt	For	For	For
13	2018 Estimated Continuing Connected Transactions	Mgmt	For	For	For
14	Connected Transaction Regarding House Leasing Contract	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For

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### Muyuan Foods Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002714	CINS Y6149B107	05/21/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Perpetual Medium-term Notes	Mgmt	For	For	For
2	Full Authorization to Management Team to Handle Matters Regarding Issuance of Perpetual Medium-term Notes	Mgmt	For	For	For

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### Muyuan Foodstuff Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002714	ISIN CNE100001RQ3	03/20/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	MGMT	For	For	For
2.1	Size of Issuance and Issuance Method	MGMT	For	For	For
2.2	Interest Rate and Determination Method	MGMT	For	For	For
2.3	Bond Duration, Method for Payment of Principal	MGMT	For	For	For
2.4	Issuance Targets, Arrangement for Placement to Shareholders	MGMT	For	For	For
2.5	Guarantees	MGMT	For	For	For
2.6	Redemption or Resale Provisions	MGMT	For	For	For
2.7	Purpose of Raised Funds	MGMT	For	For	For
2.8	Credit Status and Guarantee Repayment Measures	MGMT	For	For	For
2.9	Underwriting Method	MGMT	For	For	For
2.10	Listing Place	MGMT	For	For	For
2.11	Validity Period	MGMT	For	For	For
3	Authorization Regarding Public	MGMT	For	For	For

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### Issuance of Corporate Bonds

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Navinfo Company Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	05/14/2018		Voted	
002405	CINS Y62121101	Country of Trade			
Meeting Type		China			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Equity Incentive Plan (Draft)	Mgmt	For	For	For
2	Appraisal Management Measures on Implementation of the 2018 Restricted Stock Incentive Plan	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
4	Elect LIU Tiejun as Supervisor	Mgmt	For	Against	Against
5	Authority to Repurchase Shares	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For

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Navinfo Company Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	06/29/2018		Voted	
002405	CINS Y62121101	Country of Trade			
Meeting Type		China			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director' Report	Mgmt	For	For	For
2	Supervisor' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Estimation of 2018 Related Party Transactions	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Amendments to Procedural Rules for Shareholder Meeting	Mgmt	For	Abstain	Against
10	Amendments to Procedural Rules for Administrative Measures for Related Party Transactions	Mgmt	For	Abstain	Against
11	Amendments to Procedural Rules for Administrative Measures for Investments	Mgmt	For	Abstain	Against

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12	Amendments to Procedural Rules for Administrative Measures for Information Disclosure	Mgmt	For	Abstain	Against
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O-film Tech Co. Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002456 CINS Y7744T105 01/26/2018 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees (1)	Mgmt	For	Against	Against
3	Authority to Give Guarantees (2)	Mgmt	For	Against	Against

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O-film Tech Co. Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002456 CINS Y7744T105 03/26/2018 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	2018 Estimated Continuing Related Party Transactions	Mgmt	For	For	For
2	Authority to Issue Short-Term Commercial Papers and Perpetual Medium-Term Notes	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

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O-film Tech Co. Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002456 CINS Y7744T105 06/22/2018 Voted  
Meeting Type Country of Trade  
Annual China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	2017 Annual Accounts	Mgmt	For	For	For
4	Internal Control Self-Assessment Report	Mgmt	For	For	For
5	2017 SPECIAL REPORT ON THE DEPOSIT AND USE OF RAISED FUNDS	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Supervisors' Report	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For

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9	Shareholder Returns Plan	Mgmt	For	For	For
10	BANK CREDIT AND GUARANTEE I	Mgmt	For	Against	Against
11	Authority to Issue Medium-term Notes	Mgmt	For	For	For
12	BANK CREDIT AND GUARANTEE II	Mgmt	For	Against	Against
13	Authority to Issue Overseas Bond by a Wholly-owned Subsidiary	Mgmt	For	For	For
14	Provision of Guarantee for Issuance of Overseas Bonds by an Overseas Wholly-owned Subsidiary	Mgmt	For	Against	Against
15	Rectification of Accounting Errors	Mgmt	For	For	For
16	Amendments to Use of Proceeds	Mgmt	For	For	For

ORG Packaging Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002701	CINS Y6S74V102	07/03/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHOU Yunjie	Mgmt	For	For	For
2	Elect ZHOU Yuan	Mgmt	For	For	For
3	Elect SHEN Tao	Mgmt	For	For	For
4	Elect Zhao Yuhui	Mgmt	For	For	For
5	Elect WANG Dong	Mgmt	For	For	For
6	Elect WEI Qiong	Mgmt	For	For	For
7	Elect ZHANG Yuehong	Mgmt	For	For	For
8	Elect SHAN Zhemin	Mgmt	For	For	For
9	Elect WU Jian	Mgmt	For	For	For
10	Election of CHEN Zhongge as Supervisor	Mgmt	Against	Against	For

Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	02/27/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	[Equity Compensation Plan]	Mgmt	For	For	For
2	Constitution of Procedural Rules	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
4	Election of Directors	Mgmt	For	For	For
5	Election of Directors	Mgmt	For	For	For

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6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
Ourpalm Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300315	CINS Y6298P105	04/27/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Ping as Non-independent Director	Mgmt	For	For	For

Ourpalm Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300315	CINS Y6298P105	05/16/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and Its Summary	Mgmt	For	For	For
2	Director's Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Provision for Assets Write-Off	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Ratification of Board Acts	Mgmt	For	For	For
10	Amendments to Registered Capital	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Ourpalm Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300315	CINS Y6298P105	12/15/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	For	For
2	Election of Supervisor	Mgmt	For	Against	Against
3	Related Party Transactions	Mgmt	For	For	For

Perfect World Co Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
002624	CINS Y988BN106	02/14/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party	Mgmt	For	For	For

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	Transactions				
3	CHANGE OF PROJECTS FUNDED WITH RAISED FUNDS	Mgmt	For	For	For
4	Elect CHI Yufeng	Mgmt	For	For	For
5	Elect XIAO Hong	Mgmt	For	For	For
6	Elect WEI Song	Mgmt	For	For	For
7	Elect HONG Yu	Mgmt	For	For	For
8	Elect SHI Dandan	Mgmt	For	For	For
9	Elect LIANG Tian	Mgmt	For	For	For
10	Elect YANG Li	Mgmt	For	Against	Against
11	Directors' Fees	Mgmt	For	For	For

Perfect World Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002624	CINS Y988BN106	04/19/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Investment in Wealth Management Products	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For

Perfect World Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002624	CINS Y988BN106	06/27/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	03/05/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Issue Medium-term Notes	Mgmt	For	For	For
3	Authorization for Issuance of Medium Term Notes	Mgmt	For	For	For
4	Authority to Issue	Mgmt	For	For	For

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	Super and Short-term Commercial Paper				
5	Authorization for Registration for Issuance of Short Term Commercial Paper	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	05/08/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Financial Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	08/09/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity of Resolution for Private Placement	Mgmt	For	For	For
2	Extension of Board Authorization to Implement Private Placement	Mgmt	For	For	For
3	Authority to Give Guarantees for Handan RiseSun Real Estate Development Co., Ltd	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Tangshan RiseSun Real Estate Development Co., Ltd	Mgmt	For	Against	Against
5	Authority to Give Guarantees for RiseSun (Xuzhou) Real Estate Development Co., Ltd	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002146	CINS Y7286J101	08/28/2017	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Asset-Backed Special Plan for Housing Balance Payment	Mgmt	For	For	For
2	Provision for Enhancing Credit	Mgmt	For	For	For
3	Board Authorization to Implement Asset-backed Special Plan	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002146	CINS Y7286J101	09/18/2017	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Second Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Third Subsidiary	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Fourth Subsidiary	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Fifth Subsidiary	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Sixth Subsidiary	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Seventh Subsidiary	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Eighth and Ninth Subsidiary	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002146	CINS Y7286J101	11/13/2017	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give	Mgmt	For	Against	Against

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	Guarantees for Loan Application of a Company				
2	Authority to Give Guarantees for Financing of Another Company	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Financing of a Third Company	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Financing of a Fourth Company	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Financing of a Fifth Company	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Financing of a Sixth Company	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Financing of a Seventh Company	Mgmt	For	Against	Against
8	Authority to Give Guarantees for Financing of an Eighth Company	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Financing of a Ninth Company	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002146	CINS Y7286J101	12/04/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Management Measures	Mgmt	For	For	For	
2	Authority to Give Guarantees For Financing	Mgmt	For	Against	Against	
3	Authority to Give Guarantees For Loans	Mgmt	For	Against	Against	
4	Authority to Give Guarantees For Loans of A Third Company	Mgmt	For	Against	Against	
5	Authority to Give Guarantees For Loans of a Fourth Company	Mgmt	For	Against	Against	
6	Authority to Give Guarantees For Loans	Mgmt	For	Against	Against	

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of a Fifth  
Company

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S.F. Holding Co. Ltd		Meeting Date		Meeting Status	
Ticker	Security ID:	04/03/2018		Voted	
002352	ISIN CNE100000L63	Country of Trade			
Meeting Type		China			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	MGMT	For	For	For
2	Directors' Report	MGMT	For	For	For
3	Supervisors' Report	MGMT	For	For	For
4	Accounts and Reports	MGMT	For	For	For
5	Allocation of Profits/Dividends	MGMT	For	For	For
6	Appointment of Auditor	MGMT	For	For	For
7	Amendments to Articles	MGMT	For	For	For
8	Estimation of 2018 Related Party Transactions	MGMT	For	For	For
9	Authority to Give Guarantees	MGMT	For	For	For
10	Approval of Entrusted Financial Management	MGMT	For	For	For

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S.F. Holding Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	01/12/2018		Voted	
002352	CINS Y5349E101	Country of Trade			
Meeting Type		China			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	For	For

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S.F. Holding Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	05/17/2018		Voted	
002352	CINS Y5349E101	Country of Trade			
Meeting Type		China			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase Shares	Mgmt	For	For	For
2	Adoption of Employee Restricted Shares Plan	Mgmt	For	For	For
3	Adoption of Appreciation Right Incentive Plan	Mgmt	For	For	For
4	Appraisal Management Measures on Implementation of Equity Incentive	Mgmt	For	For	For

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5	Plan Board Authorization to Implement Equity Incentive Plan	Mgmt	For	For	For
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S.F. Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002352	CINS Y5349E101	09/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Projects Funded by Proceeds	Mgmt	For	For	For
2	Adjustment of Idle Raised Funds For Cash Management	Mgmt	For	For	For
3	Change of Registered Capital	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	Abstain	Against
5	Adjustment of 2017 Estimated Guarantees	Mgmt	For	Against	Against
6	Equity Transfer	Mgmt	For	Abstain	Against
7	Purchase of Liability Insurance	Mgmt	For	For	For

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S.F. Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002352	CINS Y5349E101	11/30/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Restricted Stock Incentive Plan	Mgmt	For	For	For
2	Stock Appreciation Right Incentive Plan	Mgmt	For	For	For
3	Constitution of Procedural Rules: Appraisal Management Measures	Mgmt	For	For	For
4	Board Authorization to Implement Stock Option Plan	Mgmt	For	For	For
5	Securities Investment with Funds	Mgmt	For	For	For

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Shanghai 2345 Network Holding Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002195	CINS Y7683P106	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For

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2	Accounts and Reports	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Replenish Working Capital with Surplus Funds	Mgmt	For	For	For
8	Investment in Wealth Management Products: Idle Raised Funds	Mgmt	For	For	For
9	Investment in Wealth Management Products: Idle Self-owned Funds	Mgmt	For	For	For
10	Authority to Repurchase Shares	Mgmt	For	For	For
11	Amendments to Procedural Rules	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Shareholder Returns Plan	Mgmt	For	For	For

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Shanghai 2345 Network Holding Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002195 CINS Y7683P106 07/31/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Equity Fund	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules: Guarantees	Mgmt	For	For	For
4	Amendments to Procedural Rules: Management System for Major Operational Decision Making	Mgmt	For	For	For

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Shanghai 2345 Network Holding Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002195 CINS Y7683P106 09/15/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Adoption of Employee Restricted Shares Plan	Mgmt	For	For	For
2	Formulation of Appraisal Management Measures on Employee Restricted Shares Plan	Mgmt	For	For	For
3	Board Authorization to	Mgmt	For	For	For

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	Implement Employee Restricted Shares Plan					
4	Granting Restricted Shares to Chairman and General Manager	Mgmt	For	For	For	
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Shanghai 2345 Network Holding Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002195	CINS Y7683P106	11/27/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Election of Directors	Mgmt	For	For	For	
2	Capital Increase	Mgmt	For	For	For	
3	Authority to Issue Debt	Mgmt	For	For	For	
4	Alteration of Investment	Mgmt	For	For	For	
5	Authority to Repurchase Shares	Mgmt	For	For	For	
6	Amendments to Articles	Mgmt	For	For	For	
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Shanghai RAAS Blood Products Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002252	CINS Y7687Z100	05/22/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Related Party Transactions	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Increase of Registered Capital	Mgmt	For	For	For	
9	Amendments to Articles	Mgmt	For	For	For	
10	Shareholder Returns Plan	Mgmt	For	For	For	
11	Extension of Trading Suspension for Major Assets Restructuring	Mgmt	For	For	For	
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
<hr/>						
Shanghai RAAS Blood Products Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002252	CINS Y7687Z100	07/19/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Continued Trade Suspension	Mgmt	For	Against	Against	

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Shanghai RAAS Blood Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002252	CINS Y7687Z100	09/13/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Waiver of Preemptive Rights	Mgmt	For
		For	For

Shanghai Wangsu Science & Technology Co.

Ticker	Security ID:	Meeting Date	Meeting Status
300017	CINS Y7689Q108	08/01/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Election of ZHOU Liping	Mgmt	For
		For	For
2	Purchase of Wealth Management Products with Idle Funds	Mgmt	For
		For	For
3	CASH MANAGEMENT WITH IDLE RAISED FUNDS	Mgmt	For
		For	For
4	Audit Report and Pro Forma Review Report for Major Asset Purchase	Mgmt	For
		For	For

Shanghai Wangsu Science & Technology Co.

Ticker	Security ID:	Meeting Date	Meeting Status
300017	CINS Y7689Q108	09/07/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Termination of Restricted Stock Plan	Mgmt	For
		For	For
2	Connected Transaction for Granting Stock Options	Mgmt	For
		For	For
3	Amendments to Articles	Mgmt	For
		For	For

Shanxi Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002500	CINS Y7700J109	02/01/2018	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For
		For	For
2	Amendments to Procedural Rules	Mgmt	For
		For	For
3	Election of Directors	Mgmt	For
		For	For

Shanxi Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002500	CINS Y7700J109	04/27/2018	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	For	For
6	Shanxi Financial Investment Holding Group	Mgmt	For	For	For
7	Taiyuan Iron and Steel (Group)	Mgmt	For	For	For
8	Shanxi International Power Group	Mgmt	For	For	For
9	Other Related Parties	Mgmt	For	For	For
10	Deutsche Bank	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	Against	Against
12	Directors' Fees	Mgmt	For	For	For
13	Performance Report of the Board of Senior Management for the Last Fiscal Year	Mgmt	For	For	For
14	Scale	Mgmt	For	For	For
15	Method	Mgmt	For	For	For
16	Bond Duration	Mgmt	For	For	For
17	Interest Rate and Its Determination Method, Roll-over and Interest Rate Adjustment	Mgmt	For	For	For
18	Target	Mgmt	For	For	For
19	Purpose of the Raised Funds	Mgmt	For	For	For
20	Listing Arrangement	Mgmt	For	For	For
21	Guarantee Arrangement	Mgmt	For	For	For
22	Repayment Guarantee Measures	Mgmt	For	For	For
23	The Valid Period of the Resolution	Mgmt	For	For	For
24	Authorization for the Issuance	Mgmt	For	For	For
25	Scale	Mgmt	For	For	For
26	Method	Mgmt	For	For	For
27	Duration	Mgmt	For	For	For
28	Interest Rate	Mgmt	For	For	For
29	Target	Mgmt	For	For	For
30	Purpose of the Raised Funds	Mgmt	For	For	For
31	The Valid Period of The Resolution	Mgmt	For	For	For
32	Authorization for The Issuance	Mgmt	For	For	For

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33	Authorization of Legal Formalities	Mgmt	For	For	For
34	Type	Mgmt	For	For	For
35	Scale	Mgmt	For	For	For
36	Par value	Mgmt	For	For	For
37	Bond Duration	Mgmt	For	For	For
38	Interest Rate	Mgmt	For	For	For
39	Time and Method for Paying The Principal and Interests	Mgmt	For	For	For
40	Conversion Period	Mgmt	For	For	For
41	Determination and Adjustment of the Conversion Price	Mgmt	For	For	For
42	Downward Adjustment of Conversion Price	Mgmt	For	For	For
43	Determining Method for The Number of Converted Shares	Mgmt	For	For	For
44	Redemption Clauses	Mgmt	For	For	For
45	Resale Clauses	Mgmt	For	For	For
46	Attribution of Related Dividends fro Conversion Years	Mgmt	For	For	For
47	Targets and Method	Mgmt	For	For	For
48	Arrangements for Placement to Existing Shareholders	Mgmt	For	For	For
49	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
50	Purpose of the Raised Funds	Mgmt	For	For	For
51	Guarantee Matters	Mgmt	For	For	For
52	Management of Raised Funds and Its Deposit Account	Mgmt	For	For	For
53	The Valid Period of the Resolution	Mgmt	For	For	For
54	Preplan for Issue Convertible Bonds	Mgmt	For	For	For
55	Feasibility report of Issuing Convertible Bonds	Mgmt	For	For	For
56	Raised funds report	Mgmt	For	For	For
57	Commitments of Management for Dilution Measures	Mgmt	For	For	For
58	Board Authorization	Mgmt	For	For	For
59	Constitution of Procedural Rules	Mgmt	For	For	For

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Ticker	Security ID:	Meeting Date	Meeting Status		
300156	CINS Y8817D100	05/21/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	Against	Against
4	Annual Report	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report for the Auditor Opinion	Mgmt	For	Against	Against
8	Elect Xue Xun	Mgmt	For	For	For
9	Elect Chen Jinxia	Mgmt	For	For	For

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### Shenwu Environmental Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300156	CINS Y8817D100	10/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trading Suspension	Mgmt	For	For	For

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### Shenwu Environmental Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300156	CINS Y8817D100	11/30/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Commitments to Share Lock-up	Mgmt	For	For	For

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### Shenzhen Evenwin Precision Technology

Ticker	Security ID:	Meeting Date	Meeting Status		
300115	CINS Y7744V100	04/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Statements	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Procedural Rules: Remuneration Management System of Directors, Supervisors and Senior Managers	Mgmt	For	For	For

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9	Approval of Bank Credit Lines	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Forward Sales Business	Mgmt	For	For	For
<hr/>					
SHENZHEN EVERWIN PRECISION TECHNOLOGY CO LTD, SHEN					
Ticker	Security ID:	Meeting Date	Meeting Status		
300115	CINS Y7744V100	01/31/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	For	For
2	Amendments to Procedural Rules	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
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Shenzhen Inovance Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300124	CINS Y7744Z101	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Remuneration for Directors	Mgmt	For	For	For
6	Remuneration for Supervisors	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	PURCHASE OF WEALTH MANAGEMENT PRODUCTS WITH IDLE PROPRIETARY FUNDS	Mgmt	For	For	For
9	PURCHASE OF WEALTH MANAGEMENT PRODUCTS FROM BANKS WITH IDLE RAISED FUNDS	Mgmt	For	For	For
10	Repurchase and Cancellation of Locked Restricted Stocks Granted to Plan Participants Who Left the Company (Relevant to 3rd Phase Equity Incentive Plan)	Mgmt	For	For	For
11	Repurchase and Cancellation of	Mgmt	For	For	For

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	Locked Restricted Stocks Granted to Plan Participants Who Left the Company (Relevant to 3rd Phase Equity Incentive Plan)					
12	Repurchase and Cancellation of Locked Restricted Stocks Granted to Plan Participants Who Left the Company (Relevant to 3rd Phase Equity Incentive Plan)	Mgmt	For	For	For	
13	Amendments to Articles	Mgmt	For	For	For	
14	Amendments to Procedure and Rules for Major Investment Decision-making	Mgmt	For	Abstain	Against	

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Shenzhen Inovance Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300124	CINS Y7744Z101	11/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Elect ZHU Xingming	Mgmt	For	For	For
2	Elect SONG Jun'en	Mgmt	For	For	For
3	Elect LI Juntian	Mgmt	For	For	For
4	Elect ZHOU Bin	Mgmt	For	For	For
5	Elect LIU Yuchuan	Mgmt	For	For	For
6	Elect WANG Wei	Mgmt	For	For	For
7	Elect QU Jian	Mgmt	For	For	For
8	Elect ZHAO Zhengming	Mgmt	For	For	For
9	Elect GONG Yin	Mgmt	For	For	For
10	Elect BAI Ziping	Mgmt	For	Against	Against
11	Elect LIU Guowei	Mgmt	For	For	For
12	Amendments to Procedural Rules: Investment Decision Making Process	Mgmt	For	Abstain	Against
13	Authority to Repurchase Shares (1)	Mgmt	For	For	For
14	Amendments to Registered Capital and Articles	Mgmt	For	For	For
15	Authority to Repurchase Shares (2)	Mgmt	For	For	For
16	Authority to Repurchase Shares (3)	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For
18	Completion of Projects	Mgmt	For	For	For

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and Use of  
Proceeds

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Shenzhen O-film Tech Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002456 CINS Y7744T105 07/28/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Adjustment to Share Repurchase and Cancellation of Restricted Stocks	Mgmt	For	For	For
3	Secondary Amendments to Articles	Mgmt	For	For	For
4	Restricted Stock Repurchase	Mgmt	For	For	For
5	Tertiary Amendments to Articles	Mgmt	For	For	For
6	Authority to Give Guarantees and Bank Credit	Mgmt	For	Against	Against
7	Elect CAI Yuanqing	Mgmt	For	For	For

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Shenzhen O-film Tech Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002456 CINS Y7744T105 09/15/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Hanbin as Independent Director	Mgmt	For	For	For

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Shenzhen O-film Tech Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002456 CINS Y7744T105 11/24/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect CAI Rongjun	Mgmt	For	For	For
2	Elect CAI Gaoxiao	Mgmt	For	For	For
3	Elect HUANG Lihui	Mgmt	For	For	For
4	Elect ZHAO Wei	Mgmt	For	For	For
5	Elect GUAN Saixin	Mgmt	For	For	For
6	Elect MA Jingren	Mgmt	For	For	For
7	Elect CAI Yuanqing	Mgmt	For	For	For
8	Elect ZHANG Hanbin	Mgmt	For	For	For
9	Elect CHEN Junfa	Mgmt	For	For	For
10	Elect XUAN Li	Mgmt	For	Against	Against
11	Elect HAI Jiang	Mgmt	For	For	For
12	Directors' and Supervisors' Fees	Mgmt	For	For	For
13	Amendments to Use of Proceeds	Mgmt	For	For	For

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14	Amendments to Articles (1)	Mgmt	For	For	For
15	Amendments to Articles (2)	Mgmt	For	For	For
16	Adoption of Employee Stock Ownership Plan	Mgmt	For	For	For
17	Change of Company Name	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Authority to Give Guarantees	Mgmt	For	Against	Against

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### Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	12/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Directors' Fees	Mgmt	For	For	For
2	Authority to Repurchase Shares	Mgmt	For	For	For
3	7th Phase Employee Stock Plan	Mgmt	For	For	For

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### Shenzhen Salubris Pharmaceuticals Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002294	CINS Y77443102	02/09/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Elect YAN Jie	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For

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### Shenzhen Salubris Pharmaceuticals Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002294	CINS Y77443102	04/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	For	For

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### Shenzhen Sunway Communication Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
300136	CINS Y77453101	06/27/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	2017 INTERNAL CONTROL SELF-EVALUATION REPORT	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Decrease of Registered Capital	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	LAUNCHING FOREIGN EXCHANGE HEDGING BUSINESS	Mgmt	For	For	For
12	Approval for Line of Credit	Mgmt	For	For	For
13	Adjustment of Plan for Repurchase and Cancellation of Restricted Stocks in Equity Incentive Plan	Mgmt	For	For	For

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Shenzhen Sunway Communication Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
300136	CINS Y77453101	12/21/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Second Phase Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Project Construction	Mgmt	For	For	For
5	Secondary Project Construction	Mgmt	For	For	For

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Siasun Robot and Automation Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300024	CINS Y7912E104	04/18/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approval of Line of	Mgmt	For	For	For

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8	Credit Elect HU Tianlong as Independent director	Mgmt	For	For	For
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Sichuan Kelun Pharmaceutical Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002422	ISIN CNE100000PW7	03/16/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	MGMT	For	For	For
2	Amendments to Procedural Rules: Connected Transaction System	MGMT	For	For	For
3	Extension of Validity Period	MGMT	For	For	For
4	Launch of Financial Leasing Business	MGMT	For	For	For
5	Provisions for Asset Impairment	MGMT	For	For	For
6	Authority to Issue Debt Financing Instruments	MGMT	For	For	For
7	Approval of Financing Plan	MGMT	For	For	For
8	Purchase of Wealth Management Product	MGMT	For	For	For

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Sichuan Kelun Pharmaceutical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002422	CINS Y7931Q104	05/31/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Elect LIU Gexin	Mgmt	For	For	For
9	Elect LIU Sichuan	Mgmt	For	For	For
10	Elect PAN Hui	Mgmt	For	For	For
11	Elect WANG Jingyi	Mgmt	For	For	For
12	Elect ZHANG Tengwen	Mgmt	For	For	For
13	Elect HUANG Fuxing	Mgmt	For	For	For
14	Elect ZHANG Tao	Mgmt	For	For	For
15	Elect LI Yuedong	Mgmt	For	For	For
16	Elect WANG Guangji	Mgmt	For	For	For
17	DETERMINATION OF REMUNERATION FOR DIRECTORS	Mgmt	For	For	For
18	Elect WAN Peng	Mgmt	For	For	For

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19	Elect GUO Yunpei	Mgmt	For	Against	Against
20	DETERMINATION OF REMUNERATION FOR SUPERVISORS	Mgmt	For	For	For
21	Repurchase and Cancellation of Locked Restricted Stocks	Mgmt	For	For	For
22	Decrease of the Company's Registered Capital and Amendments to Articles	Mgmt	For	For	For
23	2018 RESTRICTED STOCK INCENTIVE PLAN (DRAFT) AND ITS SUMMARY	Mgmt	For	For	For
24	Appraisal management Measures on Implementation of 2018 Restricted Stock Incentive Plan	Mgmt	For	For	For
25	Authorization to Board to Handle Matters Regarding Restricted Stock Incentive Plan	Mgmt	For	For	For
26	The Company's eligibility for Corporate Bond Issuance	Mgmt	For	For	For
27	Issuing Volume	Mgmt	For	For	For
28	Bond Type and Duration	Mgmt	For	For	For
29	Issuing Method	Mgmt	For	For	For
30	Interest Rate and Its Determining Method	Mgmt	For	For	For
31	Guarantee Arrangement	Mgmt	For	For	For
32	Redemption or Resale Terms	Mgmt	For	For	For
33	Purpose of The Raised Funds	Mgmt	For	For	For
34	Issuing Targets and Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
35	Listing Arrangement	Mgmt	For	For	For
36	Repayment Guarantee Measures	Mgmt	For	For	For
37	Valid Period of The Resolution	Mgmt	For	For	For
38	Authorization to Board to Handle Matters Regarding Issuance of Corporate Bonds	Mgmt	For	For	For
39	PROVISION OF FINANCIAL AID TO CONTROLLED SUBSIDIARIES	Mgmt	For	For	For

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Songcheng Performance Development Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status
300144	CINS Y30421104	04/23/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Annual Report	Mgmt	For
4	Audit Report	Mgmt	For
5	Directors' Report	Mgmt	For
6	Supervisors' Report	Mgmt	For
7	Appointment of Auditor	Mgmt	For

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### Songcheng Performance Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300144	CINS Y30421104	11/13/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Management Policy	Mgmt	For

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### Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002024	CINS Y82211106	01/12/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Introduction of Strategic Investors and Related Party Transactions	Mgmt	For

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### Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002024	CINS Y82211106	01/31/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Change of Companys Name	Mgmt	For
2	Amendments to Articles	Mgmt	For

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### Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002024	CINS Y82211106	09/08/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Related Party Transactions	Mgmt	For
2	Board Authorization to Implement External Investment	Mgmt	For

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### Suning Commerce Group Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	12/01/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Dispose of Financial Asset	Mgmt	For	For	For
2	FINANCIAL AID QUOTA FOR SUBSIDIARIES	Mgmt	For	For	For
3	Expansion of Business Scope	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

Suning.com Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	ISIN CNE000001KF2	02/27/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Repurchase Method	MGMT	For	For	For
1.2	Price and Pricing Principles	MGMT	For	For	For
1.3	Type, Number and Percentage of Shares	MGMT	For	For	For
1.4	Total Amount and Source of Funds	MGMT	For	For	For
1.5	Time Limit	MGMT	For	For	For
2	Board Authorization to Repurchase Share	MGMT	For	For	For

Suning.com Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	05/08/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Approval of Risk Investment	Mgmt	For	For	For

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12	Investment in Wealth Management Products Using Idle Funds	Mgmt	For	For	For
13	Disposal of Equity Stake	Mgmt	For	For	For
14	Amendments to Management System for Major Investment and Finance	Mgmt	For	For	For
15	Directors' and Supervisors' Fees	Mgmt	For	For	For
16	Approval to Offer Loans	Mgmt	For	For	For

Suning.com Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	05/30/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Incentive Plan	Mgmt	For	For	For
2	Employee Share Purchase Plan Phase 3	Mgmt	For	For	For
3	Employee Share Purchase Plan Phase 3 Management Method	Mgmt	For	For	For
4	Board Authorization to Implement Employee Share Purchase Plan	Mgmt	For	For	For

Suofeiya Home Collection Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002572	CINS Y29336107	04/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Purchase of Wealth Management Products with Partial Idle Raised Funds	Mgmt	For	For	For
7	Purchase of Wealth Management Products with Proprietary Funds	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For

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(2018-2020)						
10	Industry Fund Investment	Mgmt	For	For	For	For
<hr/>						
Suofeiya Home Collection Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
002572	CINS Y29336107	08/29/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Related Party Transactions	Mgmt	For	For	For	
2	Amendments to Management System for External Financial Aids	Mgmt	For	Abstain	Against	
3	Amendments to Management System for External Guarantees	Mgmt	For	Abstain	Against	
4	Approval to Adopt Employee Stock Purchase Plan	Mgmt	For	For	For	
5	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	For	For	
6	Approval of Investment Plan for a Production Base	Mgmt	For	For	For	
7	Approval of Establishment of a Subsidiary	Mgmt	For	For	For	
<hr/>						
Suzhou Gold Mantis Construction and Decoration Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002081	CINS Y8315V107	05/22/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	Approval of Line of Credit	Mgmt	For	For	For	
7	Authority to Give Guarantees	Mgmt	For	For	For	
8	Launching Bill Pool Business	Mgmt	For	For	For	
9	LAUNCHING FINANCIAL ASSETS TRANSFER AND REPURCHASE BUSINESS	Mgmt	For	For	For	
10	Investment in Wealth Management Products	Mgmt	For	For	For	

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11	Appointment of Auditor	Mgmt	For	For	For
12	Intra-company Contracts/Control Agreements	Mgmt	For	For	For
13	Expansion of Business Scope	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
<hr/>					
Suzhou Gold Mantis Construction and Decoration Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002081	CINS Y8315V107	09/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Employees	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Subsidiaries	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Loan Application	Mgmt	For	Against	Against
5	Authority to Give Guarantees for General Financing	Mgmt	For	Against	Against
6	By-election of Director	Mgmt	For	For	For
<hr/>					
Suzhou Victory Precision Manufacture Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002426	CINS Y83165103	01/25/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Objectives And Principles	Mgmt	For	For	For
2	Management Institution	Mgmt	For	For	For
3	Basis For Determining Plan Participants And The Scope	Mgmt	For	For	For
4	Source, Number And Distribution Of The Restricted Stocks	Mgmt	For	For	For
5	Valid Period, Grant Date, Waiting Period, Or Lock-Up Period, Vesting Date Or Unlocking Date And Non-Tradable Period	Mgmt	For	For	For
6	Exercise Price Or Grant Price	Mgmt	For	For	For
7	Granting And	Mgmt	For	For	For

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	Exercising The Stock Options And For Granting And Unlocking The Restricted Stocks					
8	Method And Procedure For Adjustments to Plan	Mgmt	For	For	For	
9	Accounting Treatment	Mgmt	For	For	For	
10	Principles For The Repurchase And Cancellation of Restricted Stocks	Mgmt	For	For	For	
11	Procedure For Implementing The Plan	Mgmt	For	For	For	
12	Rights And Obligations Of The Company And Plan Participants	Mgmt	For	For	For	
13	Treatment Under Unusual Situations	Mgmt	For	For	For	
14	Management Measures on Appraisal of 2018 Stock Option And Restricted Stock Incentive Plan	Mgmt	For	For	For	
15	Board Authorization to Implement The 2018 Stock Option And Restricted Stock Incentive Plan	Mgmt	For	For	For	
16	Approval of Comprehensive Credit Line	Mgmt	For	For	For	

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Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002426	CINS Y83165103	04/03/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Accounts and Reports	Mgmt	For
4	Annual Report	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Appointment of Auditor	Mgmt	For
7	Authority to Give Guarantees	Mgmt	For
			Against
8	Authority to Give Guarantees	Mgmt	For
			Against
9	Authority to Give Guarantees	Mgmt	For
			Against
10	Authority to Give	Mgmt	For
			Against

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11	Guarantees Authority to Give Guarantees	Mgmt	For	Against	Against
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Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
002426	CINS Y83165103	05/30/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Misc. Management Proposal	Mgmt	For	For	For

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Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
002426	CINS Y83165103	07/17/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of a Construction Project	Mgmt	For	For	For
2	Amendments to Investment Projects	Mgmt	For	For	For

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Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
002426	CINS Y83165103	09/04/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Anhui Victory Precision Manufacturing Technology Co., Ltd	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Hefei Victory Electronic Technology Co., Ltd	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Nanjing Dele Technology Co., Ltd	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Qingdao Feituo Electrical Appliance Co., Ltd	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Victory Precision Technology (Poland) Co.,	Mgmt	For	Against	Against

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6	Ltd Authority to Give Guarantees for Victory Technology (Hong Kong) Co., Ltd	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Suzhou Fuqiang Technology Co., Ltd	Mgmt	For	Against	Against
8	Authority to Give Guarantees for Suzhou City Zhicheng Optical Technology Co., Ltd	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Kunshan Longfei Photoelectric Co., Ltd	Mgmt	For	Against	Against
10	Authority to Give Guarantees for Fuqing Fu Jie Plastic Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Give Guarantees for Suzhou Jie Li New Energy Materials Co., Ltd	Mgmt	For	Against	Against
12	Authority to Give Guarantees for Xing Gao Sheng (Xiamen) Electronic Technology Co., Ltd	Mgmt	For	Against	Against
13	Authority to Receive Guarantees from a Wholly-owned Subsidiary	Mgmt	For	Against	Against

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Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002426	CINS Y83165103	11/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees for a Wholly-Owned Subsidiary	Mgmt	For	Against	Against
3	Authority to Give Guarantees for a Joint Stock Subsidiary	Mgmt	For	Against	Against

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Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002129	CINS Y88171106	04/16/2018	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Fees	Mgmt	For	For	For
2	Replenish Working Capital with Idle Funds	Mgmt	For	For	For
3	Issuance of Debt Instruments	Mgmt	For	For	For

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### Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002129	CINS Y88171106	06/13/2018	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report and Its Summary	Mgmt	For	For	For
6	Report on the Use of Previously Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Against	Against
8	2018 Estimation of Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Amendments to Procedural Rules	Mgmt	For	For	For
11	Authorization of Legal Formalities	Mgmt	For	For	For
12	Issuing Scale	Mgmt	For	For	For
13	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
14	Par Value and Issue Price	Mgmt	For	For	For
15	Conversion Period	Mgmt	For	For	For
16	Interest Rate	Mgmt	For	For	For
17	Purpose of The Raised Funds	Mgmt	For	For	For
18	Issuing Method	Mgmt	For	For	For
19	Issuing Target	Mgmt	For	For	For
20	Guarantee Matters	Mgmt	For	For	For
21	The Valid Period	Mgmt	For	For	For
22	Listing Matters	Mgmt	For	For	For
23	Ratification of Board Acts	Mgmt	For	For	For
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002129	CINS Y88171106	09/28/2017	Voted
Meeting Type	Country of Trade		
Special	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
3	Issuing Volume	Mgmt	For	For	For
4	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
5	Par Value And Issue Price	Mgmt	For	For	For
6	Bond Duration	Mgmt	For	For	For
7	Interest Rate	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Issuing Method	Mgmt	For	For	For
10	Issuance Targets	Mgmt	For	For	For
11	Guarantee Method	Mgmt	For	For	For
12	Valid Period of Resolution	Mgmt	For	For	For
13	Listing	Mgmt	For	For	For
14	Board Authorization to Implement Public Issuance of Corporate Bonds	Mgmt	For	For	For
15	Eligibility for Non-Public Issuance of Corporate Bonds	Mgmt	For	For	For
16	Issuing Volume	Mgmt	For	For	For
17	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
18	Par Value And Issue Price	Mgmt	For	For	For
19	Bond Duration	Mgmt	For	For	For
20	Interest Rate	Mgmt	For	For	For
21	Use of Proceeds	Mgmt	For	For	For
22	Issuing Method	Mgmt	For	For	For
23	Issuance Targets	Mgmt	For	For	For
24	Guarantee Method	Mgmt	For	For	For
25	Valid Period of Resolution	Mgmt	For	For	For
26	Bond Listing	Mgmt	For	For	For
27	Board Authorization to Implement Non-Public Issuance of Corporate Bonds	Mgmt	For	For	For
28	Related Party Transactions Regarding Provision of Loan	Mgmt	For	For	For

Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 002129 CINS Y88171106 11/28/2017 Voted

Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Change of Project Investment	Mgmt	For	For	For
2	Investment in Reconstruction	Mgmt	For	For	For
3	Shareholder Returns Plan	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Authority to Give Guarantees	Mgmt	For	For	For
6	Elect SHEN Haoping	Mgmt	For	For	For
7	Elect ZHANG Taijin	Mgmt	For	For	For
8	Elect ZHANG Xiongwei	Mgmt	For	For	For
9	Elect QIN Yumao	Mgmt	For	For	For
10	Elect AN Yanqing	Mgmt	For	For	For
11	Elect ZHANG Changxu	Mgmt	For	For	For
12	Elect GAO Shuliang	Mgmt	For	For	For
13	Elect ZHOU Hong	Mgmt	For	For	For
14	Elect BI Xiaofang	Mgmt	For	For	For
15	Elect ZHANG Bo	Mgmt	For	For	For
16	Elect CHEN Rongling	Mgmt	For	For	For
17	Elect SHENG Kefa	Mgmt	For	Against	Against
18	Elect ZHOU Tao	Mgmt	For	For	For

Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002129	CINS Y88171106	12/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Assets Purchase via Share Offering: Transaction Counterparts	Mgmt	For	For	For
2	Assets Purchase via Share Offering: Underlying Assets	Mgmt	For	For	For
3	Assets Purchase via Share Offering: Transaction Price	Mgmt	For	For	For
4	Assets Purchase via Share Offering: Issue Price	Mgmt	For	For	For
5	Assets Purchase via Share Offering: Issuing Volume	Mgmt	For	For	For
6	Assets Purchase via Share Offering: Lock-Up Period	Mgmt	For	For	For
7	Auxiliary Fund Raising: Issuance Targets and Method	Mgmt	For	For	For
8	Auxiliary Fund Raising: Issue Price	Mgmt	For	For	For
9	Auxiliary Fund Raising: Issuing	Mgmt	For	For	For

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10	Volume Auxiliary Fund Raising: Issuance Targets	Mgmt	For	For	For
11	Auxiliary Fund Raising: Lock-Up Period	Mgmt	For	For	For
12	Auxiliary Fund Raising: Use of Proceeds	Mgmt	For	For	For
13	Auxiliary Fund Raising: Attribution of Profits and Losses	Mgmt	For	For	For
14	Auxiliary Fund Raising: Accumulated Retained Profits	Mgmt	For	For	For
15	Auxiliary Fund Raising: Listing Market	Mgmt	For	For	For
16	Auxiliary Fund Raising: Validity of Resolution	Mgmt	For	For	For
17	Assets Purchase Compliance with Relevant Regulations	Mgmt	For	For	For
18	Assets Purchase Compliance with Article 4 Provisions on Issues Concerning Assets Restructuring	Mgmt	For	For	For
19	Assets Purchase Compliance with Article 43 Management Measures	Mgmt	For	For	For
20	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For
21	Statement on Pricing Basis	Mgmt	For	For	For
22	Acknowledgment of Transactions Constitutes Related Party Transactions	Mgmt	For	For	For
23	Conditional Agreement on Assets Purchase	Mgmt	For	For	For
24	Share Subscription Agreements	Mgmt	For	For	For
25	Report on Assets Purchase	Mgmt	For	For	For
26	Statement on Compliance and Completeness of Legal	Mgmt	For	For	For

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27	Procedure Approval of Audit Report, Appraisal Report and Profit Forecast Report	Mgmt	For	For	For
28	Investments with Auxiliary Fund Raised	Mgmt	For	For	For
29	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
30	Price Fluctuation and Standards	Mgmt	For	For	For
31	Board Authorization to Implement Assets Purchase	Mgmt	For	For	For
32	Capital Increase in Subsidiary	Mgmt	For	For	For
33	INVESTMENT IN SETTING UP A COMPANY	Mgmt	For	For	For
34	Authority to Give Guarantees	Mgmt	For	For	For

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Tianqi Lithium Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
002466	CINS Y79327105	02/12/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Adjustment on Foreign Exchange Hedging Transactions	Mgmt	For	For	For	
2	Amendments to Articles	Mgmt	For	For	For	

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Tianqi Lithium Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
002466	CINS Y79327105	04/24/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Authority to Give Guarantees	Mgmt	For	Against	Against	
7	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For	
8	Appointment of Auditor	Mgmt	For	For	For	
9	Issuance and Listing of H-shares	Mgmt	For	For	For	
10	Stock type and par value	Mgmt	For	For	For	

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11	Issuing date	Mgmt	For	For	For
12	Issuing method	Mgmt	For	For	For
13	Issuing scale	Mgmt	For	For	For
14	Pricing method	Mgmt	For	For	For
15	Issuing targets	Mgmt	For	For	For
16	Issuing principles	Mgmt	For	For	For
17	Company Conversion	Mgmt	For	For	For
18	Plan for Use of Funds Raised from H-share Offering	Mgmt	For	For	For
19	The Valid Period of Resolution on H-share Offering and Listing	Mgmt	For	For	For
20	Board Authorization to Handle Matters in Relation to H-share Offering and Listing	Mgmt	For	For	For
21	Distribution Plan for Accumulated Retained Profits Before H-share Offering	Mgmt	For	For	For
22	Purchase of Liability Insurance for Directors, Supervisors, Senior Management and Prospectus	Mgmt	For	For	For

Tianqi Lithium Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	06/22/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Intra-company Acquisition Agreement	Mgmt	For	For	For
2	Eligibility for Major Assets Restructuring	Mgmt	For	For	For
3	Acquisition Method, Counterparties, and Target	Mgmt	For	For	For
4	Price	Mgmt	For	For	For
5	Pricing Basis	Mgmt	For	For	For
6	Source of the Funds	Mgmt	For	For	For
7	The Valid Period of Resolution	Mgmt	For	For	For
8	Authority to the Board	Mgmt	For	For	For
9	REPORT (DRAFT) ON MAJOR ASSETS PURCHASE AND ITS SUMMARY	Mgmt	For	For	For
10	Independence of Appraiser	Mgmt	For	For	For
11	Compliance with Article	Mgmt	For	For	For

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12	Compliance with Article IV	Mgmt	For	For	For
13	Not Qualified for Article 13	Mgmt	For	For	For
14	Transaction Does Not Constitute as Related Party Transaction	Mgmt	For	For	For
15	Implementation of Legal Proceedings	Mgmt	For	For	For
16	Evaluation Report	Mgmt	For	For	For
17	Pro Forma Review Report	Mgmt	For	For	For
18	Verification Report on Difference of Standards	Mgmt	For	For	For
19	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Tianqi Lithium Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	11/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Bonds and Authority to Give Guarantees	Mgmt	For	Against	Against
2	Board Authorization to Implement Issuance of Foreign Bonds	Mgmt	For	Against	Against

Transfar Zhilian Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002010	CINS Y98924106	05/16/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 ANNUAL ACCOUNTS	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Approval for Line of Credit	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For

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11	Deposit and Use of Raised Funds	Mgmt	For	For	For
12	The Company's eligibility for Non-public Share Offering	Mgmt	For	For	For
13	Stock Type and Par Value	Mgmt	For	For	For
14	Issuing Method	Mgmt	For	For	For
15	Pricing Base Date, Pricing Principles and Issue Price	Mgmt	For	For	For
16	Issuing Targets and Subscription Method	Mgmt	For	For	For
17	Issuing Volume	Mgmt	For	For	For
18	Lockup Period	Mgmt	For	For	For
19	Amount and Purpose of The Raised Funds	Mgmt	For	For	For
20	Arrangement for The Accumulated Retained Profits Before the Issuance	Mgmt	For	For	For
21	Listing Place	Mgmt	For	For	For
22	Valid Period of The Resolution	Mgmt	For	For	For
23	PREPLAN FOR NON-PUBLIC SHARE OFFERING	Mgmt	For	For	For
24	Feasibility Study Report on Use of Funds Raised from Non-public Share Offering	Mgmt	For	For	For
25	Diluted Immediate Returns After the Non-public Share Offering and Filling Measures	Mgmt	For	For	For
26	Commitments of Relevant Parties to Ensure Implementation of Filling Measures for Diluted Immediate Return	Mgmt	For	For	For
27	Authorization to Board to Handle Matters Regarding Proposal	Mgmt	For	For	For

Transfar Zhilian Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002010	CINS Y98924106	08/01/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue	Mgmt	For	For	For

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	Commercial Paper					
3	Authorization of Legal Formalities	Mgmt	For	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For	For
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Transfar Zhilian Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002010	CINS Y98924106	09/19/2017		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Objective	Mgmt	For	For	For	
2	Participants	Mgmt	For	For	For	
3	Underlying Shares	Mgmt	For	For	For	
4	Periods	Mgmt	For	For	For	
5	Exercise Price	Mgmt	For	For	For	
6	Conditions for GRanting	Mgmt	For	For	For	
7	Method and Adjustment Procedures	Mgmt	For	For	For	
8	Accounting Treatment	Mgmt	For	For	For	
9	Procedures for Plan Implementation	Mgmt	For	For	For	
10	Rights and Obligations for Participants	Mgmt	For	For	For	
11	Treatment Under Unusual Situations	Mgmt	For	For	For	
12	Constitution of Procedural Rules: Appraisal Management Measures for Stock Option Incentive Plan	Mgmt	For	For	For	
13	Board Authorization to Implement Plan	Mgmt	For	For	For	
14	Change of Raised Fund Investment	Mgmt	For	For	For	

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Unigroup Guoxin Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002049	CINS Y8488J105	04/19/2018		Voted		
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Routine Meeting Item	Mgmt	For	For	For	
3	Routine Meeting Item	Mgmt	For	For	For	
4	Routine Meeting Item	Mgmt	For	For	For	
5	Routine Meeting Item	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Amendments to Articles	Mgmt	For	For	For	
9	Related Party Transactions	Mgmt	For	For	For	
10	Amendments to Articles	Mgmt	For	For	For	

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11	Amendments to Procedural Rules	Mgmt	For	For	For
12	Amendments to Procedural Rules	Mgmt	For	For	For
13	Election of Directors	Mgmt	For	For	For
14	Election of Directors	Mgmt	For	For	For

Unigroup Guoxin Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002049	CINS Y8488J105	12/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size	Mgmt	For	For	For
3	Duration	Mgmt	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Method of Payment	Mgmt	For	For	For
7	Issuance Method	Mgmt	For	For	For
8	Issuance Targets	Mgmt	For	For	For
9	Underwriting Method	Mgmt	For	For	For
10	Listing Arrangement	Mgmt	For	For	For
11	Purpose of Raised Funds	Mgmt	For	For	For
12	Guarantee Matters	Mgmt	For	For	For
13	Repayment Guarantee Measures	Mgmt	For	For	For
14	Validity Period	Mgmt	For	For	For
15	Board Authorization for Corporate Bond Issuance	Mgmt	For	For	For

Venustech Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002439	CINS Y07764106	05/02/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Use of Previously Raised Funds	Mgmt	For	For	For

Venustech Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002439	CINS Y07764106	05/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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6	2017 Report on Deposit and Actual Use of Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Remuneration for Directors	Mgmt	For	For	For
9	Remuneration for Supervisors	Mgmt	For	For	For
10	INVESTMENT AND WEALTH MANAGEMENT WITH IDLE PROPRIETARY FUNDS	Mgmt	For	For	For
11	Amendments to Raised Funds Management System	Mgmt	For	For	For

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Venustech Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002439	CINS Y07764106	11/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Reorganization	Mgmt	For	For	For

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Venustech Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002439	CINS Y07764106	12/11/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
2	Preliminary Plan to Issue Convertible Corporate Bonds	Mgmt	For	For	For
3	Type	Mgmt	For	For	For
4	Issuing Volume	Mgmt	For	For	For
5	Par Value and Issue Price	Mgmt	For	For	For
6	Bond Duration	Mgmt	For	For	For
7	Interest Rate	Mgmt	For	For	For
8	Time and Method of Repaying Principal and Interest	Mgmt	For	For	For
9	Conversion Period	Mgmt	For	For	For
10	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
11	Downward Adjustment Clauses on Conversion Price	Mgmt	For	For	For
12	Determination Method for Number of	Mgmt	For	For	For

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	Converted Shares				
13	Redemption Clauses	Mgmt	For	For	For
14	Resale Clauses	Mgmt	For	For	For
15	Attribution of Related Dividends	Mgmt	For	For	For
16	Issuance Targets	Mgmt	For	For	For
17	Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
18	Bondholders Meetings	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Depository of Proceeds	Mgmt	For	For	For
21	Guarantee Matters	Mgmt	For	For	For
22	Validity Period	Mgmt	For	For	For
23	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
24	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
25	Approval of Dilution Measures for Issuance	Mgmt	For	For	For
26	Establishment of Procedural Rules for Bondholders Meetings	Mgmt	For	For	For
27	Shareholder Returns Plan	Mgmt	For	For	For
28	Establishment of Account for Proceeds	Mgmt	For	For	For
29	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For

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Wanda Film Holding Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002739	CINS Y94885103	06/11/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For
7	Internal Control Self-Assessment Report	Mgmt	For
8	Related Party Transactions	Mgmt	For
9	Investment Plan	Mgmt	For
10	Approval of a general bank line of credit	Mgmt	For

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11	Appointment of Auditor	Mgmt	For	For	For
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Wanda Film Holding Co. Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002739	CINS Y94885103	09/29/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trade Suspension	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
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Wangsu Science & Technology Co Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
300017	CINS Y7689Q108	01/12/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For
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Wangsu Science & Technology Co Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
300017	CINS Y7689Q108	05/08/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Approval of Repurchase and Cancellation of Stocks	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Shareholders Return Plan for the Next Three Years (2018-2020)	Mgmt	For	For	For
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Wangsu Science & Technology Co Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
300017	CINS Y7689Q108	12/27/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Basis for Determining Plan Participants	Mgmt	For	For	For
2	Source, Number and Distribution of	Mgmt	For	For	For

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	Underlying Stocks				
3	Exercise Price of Stock Options, Grant Price of Restricted Stocks and Method of Determining Price	Mgmt	For	For	For
4	Validity, Grant Date, Lock-Up Period, Exercise Date, Unlocking Date and Restrictions	Mgmt	For	For	For
5	Conditions for Granting and Exercising Stock Options and Unlocking Restricted Stocks	Mgmt	For	For	For
6	Method and Procedure for Adjustments	Mgmt	For	For	For
7	Accounting Treatments	Mgmt	For	For	For
8	Implementing Procedures	Mgmt	For	For	For
9	Rights and Obligations of Participants	Mgmt	For	For	For
10	Treatment Under Unusual Situations	Mgmt	For	For	For
11	Adoption of Appraisal Management Measures on Incentive Plan	Mgmt	For	For	For
12	Board Authorization to Implement Incentive Plan	Mgmt	For	For	For

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Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002673	CINS Y9382Q104	02/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Misc. Management Proposal	Mgmt	For	For	For
2	Election of Directors	Mgmt	For	For	For

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Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002673	CINS Y9382Q104	05/15/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Connected Transactions	Mgmt	For	For	For

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	Between the Company and Its Subsidiaries and A Company				
6	Connected Transactions Between the Company and Its Subsidiaries and Another Company	Mgmt	For	For	For
7	Connected Transactions Between the Company and Its Subsidiaries and A Third Company	Mgmt	For	For	For
8	Connected Transactions Between the Company and Its Subsidiaries and A Fourth Company	Mgmt	For	For	For
9	Connected Transactions Between the Company and Its Subsidiaries and A Fifth Company	Mgmt	For	For	For
10	Connected Transactions Between the Company and Its Subsidiaries and A Sixth Company	Mgmt	For	For	For
11	Connected Transactions Between the Company and Its Subsidiaries and A Seventh Company	Mgmt	For	For	For
12	Connected Transactions Between the Company and Its Subsidiaries and An Eighth Company	Mgmt	For	For	For
13	Connected Transactions Between the Company and Its Subsidiaries and A Ninth Company	Mgmt	For	For	For
14	Connected Transactions Between the Company and Its Subsidiaries and A 10th Company	Mgmt	For	For	For
15	Connected Transactions Between the Company and Its Subsidiaries and an 11th Company	Mgmt	For	For	For
16	Connected Transactions Between the Company and Its Subsidiaries and A 12th Company	Mgmt	For	For	For
17	Connected Transactions Between the Company and Its Subsidiaries	Mgmt	For	For	For

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	and A 13th Company					
18	Connected Transactions Between the Company and Its Subsidiaries and A 14th Company	Mgmt	For	For	For	For
19	Connected Transactions Between the Company and Its Subsidiaries and A 15th Company	Mgmt	For	For	For	For
20	Connected Transactions Between the Company and Its Subsidiaries and A 16th Company	Mgmt	For	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For	For

Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002673	CINS Y9382Q104	09/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Equity Transfer	Mgmt	For	For	For
3	Founding of Subsidiary	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For

Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	05/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	2017 AUDIT REPORT	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect YANG Ling as Non-independent Director	Mgmt	For	For	For
8	Objective and Principles of The Incentive Plan	Mgmt	For	For	For
9	Management Organization of The Incentive Plan	Mgmt	For	For	For
10	Basis of Determining Plan Participants and The Scope Thereof	Mgmt	For	For	For
11	Source, Number and	Mgmt	For	For	For

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	Distribution of Stocks					
12	Valid Period, Grant Date, Waiting Period, Vesting Date and Non-Tradable Period of The Incentive Plan	Mgmt	For	For	For	
13	Exercise Price of The Stock Options and Its Determining Method	Mgmt	For	For	For	
14	Conditions for Granting and Exercising the Stock Options, And Exercise Arrangement	Mgmt	For	For	For	
15	Method and Procedure for Adjusting the Stock Options	Mgmt	For	For	For	
16	Procedure for Implementation, Alteration and Termination of The Incentive Plan	Mgmt	For	For	For	
17	Rights and Obligations of The Company and The Plan Participants	Mgmt	For	For	For	
18	Treatment Under Unusual Situations of The Company and Plan Participants	Mgmt	For	For	For	
19	Accounting Treatment for The Incentive Plan and Impact on The Company's Business Performance	Mgmt	For	For	For	
20	APPRAISAL MANAGEMENT MEASURES FOR 2018 STOCK OPTION INCENTIVE PLAN	Mgmt	For	For	For	
21	Authorization to Board to Handle Matters Regarding to The Stock Option Incentive Plan	Mgmt	For	For	For	
22	Management Measures for Key Employees Investment in Innovative Business (Draft)	Mgmt	For	For	For	
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
300168	CINS Y9669S104	07/14/2017	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	TERMINATION OF THE MAJOR ASSETS RESTRUCTURING	Mgmt	For	For	For
2	Signing of Major Assets Restructuring Termination Agreement	Mgmt	For	For	For

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### Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	08/07/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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### Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	08/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Use of Proceeds	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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### Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	09/27/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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### Wuhu Shunrong Sanqi Interactive Entertainment Network Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002555	CINS Y9717X105	02/09/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Borrowing	Mgmt	For	For	For
2	Misc. Management Proposal	Mgmt	For	For	For

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### Wuhu Shunrong Sanqi Interactive Entertainment Network Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002555	CINS Y9717X105	05/14/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 Annual Report and Its Summary in Chinese and English	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	REPURCHASE AND CANCELLATION OF COMPENSATION SHARES FROM YANG DONGMAI	Mgmt	For	For	For
7	Authorization to the Board to Handle Matters Regarding Share Repurchase	Mgmt	For	For	For
8	Decrease of Registered Capital and Share Capital	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

Xinjiang Goldwind Science & Technology Co Ltd

Ticker Security ID: Meeting Date Meeting Status  
002202 CINS Y97237104 06/12/2018 Voted

Meeting Type Country of Trade  
Other China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Rights Issue	Mgmt	For	For	For
2	Type and Nominal Value	Mgmt	For	For	For
3	Method of Rights Issue	Mgmt	For	For	For
4	Basis, Proportion and Number of Shares	Mgmt	For	For	For
5	Subscription Price	Mgmt	For	For	For
6	Target subscribers	Mgmt	For	For	For
7	Distribution Plan	Mgmt	For	For	For
8	Issue Period	Mgmt	For	For	For
9	Underwriting Arrangement	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Effective Period	Mgmt	For	For	For
12	Listing	Mgmt	For	For	For
13	Feasibility Analysis Report	Mgmt	For	For	For
14	Report on Use of Proceeds	Mgmt	For	For	For
15	Risk of Dilution	Mgmt	For	For	For
16	Board Authorization for the Rights Issue	Mgmt	For	For	For
17	Shareholders' Return Plan for the Three Years 2018-2020	Mgmt	For	For	For

Xinjiang Goldwind Science & Technology Co Ltd

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Ticker	Security ID:	Meeting Date	Meeting Status		
002202	CINS Y97237104	06/12/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Eligibility for Rights Issue	Mgmt	For	For	For
3	Type and Nominal Value	Mgmt	For	For	For
4	Method of Rights Issue	Mgmt	For	For	For
5	Basis, Proportion and Number of Shares	Mgmt	For	For	For
6	Subscription Price	Mgmt	For	For	For
7	Target subscribers	Mgmt	For	For	For
8	Distribution Plan	Mgmt	For	For	For
9	Issue Period	Mgmt	For	For	For
10	Underwriting Arrangement	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For
12	Effective Period	Mgmt	For	For	For
13	Listing	Mgmt	For	For	For
14	Feasibility Analysis Report	Mgmt	For	For	For
15	Report on Use of Proceeds	Mgmt	For	For	For
16	Risk of Dilution	Mgmt	For	For	For
17	Board Authorization for the Rights Issue	Mgmt	For	For	For
18	Overseas Perpetual Bonds and Provision of Guarantee	Mgmt	For	For	For
19	Transactions with Xinjiang Wind Power Co., Ltd.	Mgmt	For	For	For
20	Transactions with China Three Gorges New Energy Co., Ltd.	Mgmt	For	For	For
21	Transactions with Xinjiang New Energy (Group) Co., Ltd.	Mgmt	For	For	For
22	Directors' Report	Mgmt	For	For	For
23	Supervisors' Report	Mgmt	For	For	For
24	Accounts and Reports	Mgmt	For	For	For
25	Allocation of Profits/Dividends	Mgmt	For	For	For
26	Annual Report	Mgmt	For	For	For
27	Credit Facilities	Mgmt	For	For	For
28	Authority to Give Guarantees (Subsidiaries and Certain Associates)	Mgmt	For	Abstain	Against
29	Authority to Give Guarantees (Wholly-owned	Mgmt	For	Abstain	Against

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	Subsidiaries and Controlling Subsidiaries)					
30	Operation of Foreign Exchange Hedging Business	Mgmt	For	For	For	For
31	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For
32	Shareholders' Return Plan for the Three Years 2018-2020	Mgmt	For	For	For	For

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Xinjiang Zhongtai Chemical Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
002092	CINS Y9723L103	01/16/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Xinjiang Zhongtai (Group)	Mgmt	For	For	For	
2	Xinjiang Tiantai Fiber	Mgmt	For	For	For	
3	Xinjiang Shengxiong Energy	Mgmt	For	For	For	
4	Authority to Give Guarantees for Credit Lines	Mgmt	For	Against	Against	
5	Authority to Give Guarantees for Commercial Acceptance Bill	Mgmt	For	Against	Against	

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Xinjiang Zhongtai Chemical Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
002092	CINS Y9723L103	02/06/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees	Mgmt	For	Against	Against	
2	Authority to Give Guarantees	Mgmt	For	Against	Against	
3	Authority to Give Guarantees	Mgmt	For	Against	Against	
4	Authority to Give Guarantees	Mgmt	For	Against	Against	
5	Authority to Give Guarantees	Mgmt	For	Against	Against	
6	Authority to Give Guarantees	Mgmt	For	Against	Against	
7	Authority to Give Guarantees	Mgmt	For	Against	Against	
8	Authority to Give Guarantees	Mgmt	For	Against	Against	
9	Authority to Give Guarantees	Mgmt	For	Against	Against	
10	Authority to Give Guarantees	Mgmt	For	Against	Against	
11	Authority to Give	Mgmt	For	Against	Against	

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	Guarantees					
12	Authority to Give Guarantees	Mgmt	For	Against	Against	
13	Authority to Give Guarantees	Mgmt	For	Against	Against	
14	Authority to Give Guarantees	Mgmt	For	Against	Against	
15	Authority to Give Guarantees	Mgmt	For	Against	Against	
16	Authority to Give Guarantees	Mgmt	For	Against	Against	
17	Authority to Give Guarantees	Mgmt	For	Against	Against	
18	Authority to Give Guarantees	Mgmt	For	Against	Against	
19	Authority to Give Guarantees	Mgmt	For	Against	Against	
20	Authority to Give Guarantees	Mgmt	For	Against	Against	
21	Authority to Give Guarantees	Mgmt	For	Against	Against	
22	Authority to Give Guarantees	Mgmt	For	Against	Against	
23	Authority to Give Guarantees	Mgmt	For	Against	Against	
24	Authority to Give Guarantees	Mgmt	For	Against	Against	
25	Authority to Give Guarantees	Mgmt	For	Against	Against	
26	Authority to Give Guarantees	Mgmt	For	Against	Against	
27	Authority to Give Guarantees	Mgmt	For	Against	Against	
28	Authority to Give Guarantees	Mgmt	For	Against	Against	
29	Authority to Give Guarantees	Mgmt	For	Against	Against	
30	Authority to Give Guarantees	Mgmt	For	Against	Against	
31	Authority to Give Guarantees	Mgmt	For	Against	Against	
32	Authority to Give Guarantees	Mgmt	For	Against	Against	
33	Authority to Give Guarantees	Mgmt	For	Against	Against	
34	Authority to Give Guarantees	Mgmt	For	Against	Against	
35	Authority to Give Guarantees	Mgmt	For	Against	Against	
36	Authority to Give Guarantees	Mgmt	For	Against	Against	
37	Authority to Give Guarantees	Mgmt	For	Against	Against	
38	Authority to Give Guarantees	Mgmt	For	Against	Against	
39	Authority to Give Guarantees	Mgmt	For	Against	Against	
40	Authority to Give Guarantees	Mgmt	For	Against	Against	
41	Authority to Give Guarantees	Mgmt	For	Against	Against	
42	Authority to Give	Mgmt	For	Against	Against	

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	Guarantees					
43	Authority to Give Guarantees	Mgmt	For	Against	Against	
44	Authority to Give Guarantees	Mgmt	For	Against	Against	
45	Authority to Give Guarantees	Mgmt	For	Against	Against	
46	Authority to Give Guarantees	Mgmt	For	Against	Against	
47	Authority to Give Guarantees	Mgmt	For	Against	Against	
48	Authority to Give Guarantees	Mgmt	For	Against	Against	
49	Authority to Give Guarantees	Mgmt	For	Against	Against	
50	Authority to Give Guarantees	Mgmt	For	Against	Against	
51	Authority to Give Guarantees	Mgmt	For	Against	Against	
52	Authority to Give Guarantees	Mgmt	For	Against	Against	
53	Authority to Give Guarantees	Mgmt	For	Against	Against	
54	Authority to Give Guarantees	Mgmt	For	Against	Against	
55	Authority to Give Guarantees	Mgmt	For	Against	Against	
56	Authority to Give Guarantees	Mgmt	For	Against	Against	
57	Authority to Give Guarantees	Mgmt	For	Against	Against	
58	Authority to Give Guarantees	Mgmt	For	Against	Against	
59	Authority to Give Guarantees	Mgmt	For	Against	Against	
60	Authority to Give Guarantees	Mgmt	For	Against	Against	
61	Authority to Give Guarantees	Mgmt	For	Against	Against	
62	Authority to Give Guarantees	Mgmt	For	Against	Against	
63	Authority to Give Guarantees	Mgmt	For	Against	Against	
64	Authority to Give Guarantees	Mgmt	For	Against	Against	
65	Authority to Give Guarantees	Mgmt	For	Against	Against	
66	Authority to Give Guarantees	Mgmt	For	Against	Against	
67	Authority to Give Guarantees	Mgmt	For	Against	Against	
68	Authority to Give Guarantees	Mgmt	For	Against	Against	
69	Authority to Give Guarantees	Mgmt	For	Against	Against	
70	Authority to Give Guarantees	Mgmt	For	Against	Against	
71	Authority to Give Guarantees	Mgmt	For	Against	Against	
72	Authority to Give Guarantees	Mgmt	For	Against	Against	
73	Authority to Give	Mgmt	For	Against	Against	

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74	Guarantees Related Party Transactions	Mgmt	For	For	For
75	Related Party Transactions	Mgmt	For	For	For
76	Related Party Transactions	Mgmt	For	For	For
77	Related Party Transactions	Mgmt	For	For	For
78	Related Party Transactions	Mgmt	For	For	For
79	Related Party Transactions	Mgmt	For	For	For
80	Related Party Transactions	Mgmt	For	For	For
81	Related Party Transactions	Mgmt	For	For	For
82	Related Party Transactions	Mgmt	For	For	For
83	Related Party Transactions	Mgmt	For	For	For
84	Related Party Transactions	Mgmt	For	For	For
85	Related Party Transactions	Mgmt	For	For	For
86	Related Party Transactions	Mgmt	For	For	For
87	Related Party Transactions	Mgmt	For	For	For
88	Related Party Transactions	Mgmt	For	For	For
89	Related Party Transactions	Mgmt	For	For	For
90	Related Party Transactions	Mgmt	For	For	For
91	Related Party Transactions	Mgmt	For	For	For
92	Related Party Transactions	Mgmt	For	For	For
93	Related Party Transactions	Mgmt	For	For	For
94	Related Party Transactions	Mgmt	For	For	For
95	Related Party Transactions	Mgmt	For	For	For
96	Related Party Transactions	Mgmt	For	For	For
97	Related Party Transactions	Mgmt	For	For	For

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Xinjiang Zhongtai Chemical Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
002092	CINS Y9723L103	02/27/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Extension of Validity Period	Mgmt	For	For	For	
2	Extension of Authorization Period	Mgmt	For	For	For	

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3	Approval of Borrowing	Mgmt	For	For	For
4	Approval of Borrowing	Mgmt	For	For	For
5	Approval of Borrowing	Mgmt	For	For	For
6	Approval of Borrowing	Mgmt	For	For	For
7	Approval of Borrowing	Mgmt	For	For	For
8	Approval of Borrowing	Mgmt	For	For	For
9	Approval of Borrowing	Mgmt	For	For	For
10	Approval of Borrowing	Mgmt	For	For	For
11	Approval of Borrowing	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For
13	Related Party Transactions	Mgmt	For	For	For
14	Related Party Transactions	Mgmt	For	For	For
15	Related Party Transactions	Mgmt	For	For	For

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Xinjiang Zhongtai Chemical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002092	CINS Y9723L103	03/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Use of Raised Funds Report	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Expansion of Business Scope	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Xinjiang Zhongtai Chemical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002092	CINS Y9723L103	04/13/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Commitments Relating to Horizontal Competition	Mgmt	For	For	For
2	Authority to Issue Renewable Corporate Bonds	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For
4	A company's application for issuance of asset-backed property trust products to the	Mgmt	For	For	For

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	registration and transfer center of bank credit asset					
5	A company's application for registration and issuance of asset-backed notes to national association of financial market institutional investors	Mgmt	For	For	For	
6	Investment in Reverse Repurchase of Treasury Bonds with Partial Raised Funds	Mgmt	For	For	For	

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Xinjiang Zhongtai Chemical Company Limited						
Ticker	Security ID:	Meeting Date			Meeting Status	
002092	CINS Y9723L103	05/09/2018			Voted	
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees to Beijing Zhongtai Qili International Trade Co., Ltd.	Mgmt	For	For	For	
2	Authority to Give Guarantees to Xinjiang Tianyu Coal Chemical Group Co., Ltd.	Mgmt	For	For	For	

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Xinjiang Zhongtai Chemical Company Limited						
Ticker	Security ID:	Meeting Date			Meeting Status	
002092	CINS Y9723L103	06/08/2018			Voted	
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Approval of Line of Credit	Mgmt	For	For	For	
2	Authority to Give Guarantees to Subsidiary 1	Mgmt	For	For	For	
3	Authority to Give Guarantees to Subsidiary 2	Mgmt	For	For	For	
4	Authority to Give Guarantees to Subsidiary 3	Mgmt	For	For	For	

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Xinjiang Zhongtai Chemical Company Limited						
Ticker	Security ID:	Meeting Date			Meeting Status	

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002092	CINS Y9723L103	07/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	The Company's application for Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees for Xinjiang Lantian Petrochemical Logistics for Line of Credit	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Xinjiang Zhongtai Import and Export Trading Co., Ltd for Line of Credit	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Xinjiang Zhongtai Chemical Tokkson Chemical Co., Ltd for Line of Credit	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Xinjiang Zhongtai Finance Leasing Co., Ltd for Line of Credit	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Zhongtai International Development (Hong Kong) Co., Ltd for Line of Credit	Mgmt	For	Against	Against
7	Application for Bills Pool Business and Authority to Give Guarantees	Mgmt	For	Against	Against
8	Application for Leasing and Mortgage Business and Authority to Give Guarantees	Mgmt	For	Against	Against

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Xinjiang Zhongtai Chemical Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
002092	CINS Y9723L103	08/25/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision of Financial Aid	Mgmt	For	For	For

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2	For Loan Application with Xinjiang Tianshan Rural Commercial Bank	Mgmt	For	For	For
3	For Loan Application with Agricultural Bank of China	Mgmt	For	For	For
4	Between Zhongtai International Development And Zhongtai New Silkroad Textile Industry	Mgmt	For	For	For
5	Between Xinjiang Zhongtai Chemical Fukang Energy And Xinjiang Zhongtai Xinxin Chemical Technology	Mgmt	For	For	For
6	Between Xinjiang Zhongtai Import and Export And Zhongtai New Silkroad Textile Industry	Mgmt	For	For	For
7	Between the Company and Subsidiaries And Urumchi Huanpeng	Mgmt	For	For	For
8	Between the Company and Subsidiaries And Xinjiang Zhongtai Wuchan	Mgmt	For	For	For
9	Between the Company and Subsidiaries And Xinjiang Zhongtai Group and Its Subsidiaries	Mgmt	For	For	For
10	Between the Company and Subsidiaries And Tuokexun Xinye Mining	Mgmt	For	For	For
11	Between Xinjiang Zhongtai Financial Leasing And Xinjiang Taichang Industrial	Mgmt	For	For	For
12	Between the Company and Subsidiaries And Xinjiang Tiantai Fibers and Its Subsidiaries	Mgmt	For	For	For
13	Between Alabu Fulida Fibers And Zhejiang Fulida	Mgmt	For	For	For
14	Between the Company and Subsidiaries And Xinjiang Shenhong Group and Its Subsidiaries	Mgmt	For	For	For
15	Between the Company	Mgmt	For	For	For

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and Subsidiaries And  
Xinjiang Zhongtai  
International Supply  
Chain  
Management

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Xinjiang Zhongtai Chemical Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
002092	CINS Y9723L103	10/12/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Issue Short Term Financing	Mgmt	For	For	For	
2	Authority to Issue Medium Term Notes	Mgmt	For	For	For	
3	Preplan for Private Placement	Mgmt	For	For	For	
4	Subsidiary 1	Mgmt	For	For	For	
5	Subsidiary 2	Mgmt	For	For	For	
6	Subsidiary 3	Mgmt	For	For	For	
7	Subsidiary 4	Mgmt	For	For	For	
8	Subsidiary 5	Mgmt	For	For	For	
9	Subsidiary 6	Mgmt	For	For	For	

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Xinjiang Zhongtai Chemical Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
002092	CINS Y9723L103	11/07/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Appointment of Auditor	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	For	For	

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Xinjiang Zhongtai Chemical Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
002092	CINS Y9723L103	11/24/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees 1	Mgmt	For	Against	Against	
2	Authority to Give Guarantees 2	Mgmt	For	Against	Against	
3	Xinjiang Tiantai Fibers Co., Ltd.	Mgmt	For	For	For	
4	Xinjiang Taichang Industrial Co., Ltd.	Mgmt	For	For	For	
5	Urumchi Huanpeng Co., Ltd.	Mgmt	For	For	For	
6	Xinjiang Shengxiong Energy Co.,	Mgmt	For	For	For	

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7	Ltd. Xinjiang Heshun Zhongtai Mining	Mgmt	For	For	For
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Xinjiang Zhongtai Chemical Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
002092	CINS Y9723L103	12/21/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Additional Transactions	Mgmt	For	For	For
2	Additional Transactions	Mgmt	For	For	For
3	Leasing of Assets by Subordinate Companies	Mgmt	For	For	For
<hr/>					
Yantai Jereh Oilfield Services Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002353	CINS Y9729Z106	11/14/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Wealth Management Products	Mgmt	For	For	For
<hr/>					
Yifan Pharmaceutical Co Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002019	CINS Y98922100	06/12/2018		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For
<hr/>					
Yifan Pharmaceutical Co.,Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002019	CINS Y98922100	04/20/2018		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	2017 Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Raised Funds Management Measures	Mgmt	For	Abstain	Against
9	2018 LAUNCHING FORWARD FOREIGN EXCHANGE TRANSACTIONS	Mgmt	For	For	For

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10	Elect ZHANG Yingting as Non-independent Director	Mgmt	For	For	For
11	Elect XU Guohan as Supervisor	Mgmt	For	Against	Against

Zhejiang Crystal Optech Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002273	CINS Y98938106	05/11/2018	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

Zhejiang Crystal Optech Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002273	CINS Y98938106	11/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Authorized Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Zhejiang Crystal-OpTech Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002273	CINS Y98938106	06/12/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase Shares	Mgmt	For	For	For
2	Approval of Change of Registered Capital	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	03/06/2018	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Management Rules of Core Employee Venture	Mgmt	For	For	For

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Business

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002236	CINS Y988AP102	04/27/2018	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors and Supervisors' Fees	Mgmt	For	For	For
7	Reappointment of Auditor	Mgmt	For	Against	Against
8	Foreign Exchange Transactions	Mgmt	For	For	For
9	Approval of line of credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Shareholder Returns Plan	Mgmt	For	For	For
13	AMENDMENTS TO THE EXTERNAL GUARANTEE MANAGEMENT SYSTEM	Mgmt	For	Abstain	Against
14	Amendments to Related Party Transactions Management System	Mgmt	For	Abstain	Against
15	Constitution of Procedural Rules: Risk Investment Management System	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002236	CINS Y988AP102	05/28/2018	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase of Foreign Exchange Hedging Quota	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002236	CINS Y988AP102	08/24/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect FU Liqun	Mgmt	For	Against	Against

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2	Elect WU Jun	Mgmt	For	For	For
3	Elect ZHU Jiangming	Mgmt	For	For	For
4	Elect CHEN Ailing	Mgmt	For	For	For
5	Elect LI Ke	Mgmt	For	For	For
6	Elect HE Chao	Mgmt	For	For	For
7	Elect WANG Zexia	Mgmt	For	For	For
8	Elect HUANG Siying	Mgmt	For	For	For
9	Elect SONG Ke as Supervisor	Mgmt	For	Against	Against
10	Independent Directors' Fees	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	For	For
13	Adjustment to Credit Quota and Quota of Notes Pool Business for Banks	Mgmt	For	Abstain	Against

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Zhejiang Dian Diagnostics Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300244	CINS Y988BG101	07/17/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Second Phrase Employee Stock Purchase Plan	Mgmt	For	Against	Against
2	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	Against	Against
3	Elect CHEN Haibin	Mgmt	For	For	For
4	Elect XU Min	Mgmt	For	For	For
5	Elect CHEN Zuoxiu	Mgmt	For	For	For
6	Elect JIANG Tang	Mgmt	For	For	For
7	Elect CHEN Qiyu	Mgmt	For	For	For
8	Elect HUA Ping	Mgmt	For	For	For
9	Elect CAI Jiangnan	Mgmt	For	For	For
10	Elect CHEN Weiru	Mgmt	For	For	For
11	Elect DING Guoqi	Mgmt	For	For	For
12	Elect WANG Rongxing as Supervisor	Mgmt	For	Against	Against

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Zhejiang Dian Diagnostics Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300244	CINS Y988BG101	07/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Independent Directors' Allowance	Mgmt	For	For	For

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Zhejiang Dian Diagnostics Co.,Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
300244	CINS Y988BG101	10/09/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Issue Price and Pricing Principle	Mgmt	For	For	For
6	Issuance Volume	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Attribution of Accumulated Retained Profits	Mgmt	For	For	For
10	Purpose of Raised Funds	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Private Placement Preplan	Mgmt	For	For	For
13	Report for Private Placement	Mgmt	For	For	For
14	Feasibility Report for Private Placement	Mgmt	For	For	For
15	Report on Previously Raised Funds	Mgmt	For	For	For
16	Diluted Immediate Returns after Private Placement	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For
18	Related Party Transactions	Mgmt	For	For	For
19	Report Regarding Independence of Evaluation Institution	Mgmt	For	For	For
20	Shareholder Returns Plan for 2018-2020	Mgmt	For	For	For
21	Amendments to Articles	Mgmt	For	For	For

Zhejiang Dian Diagnostics Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300244	CINS Y988BG101	11/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Adjustment 2017 Non-Public Share Offering Plan	Mgmt	For	For	For
2	PREPLAN FOR 2017 NON-PUBLIC SHARE OFFERING (REVISED)	Mgmt	For	For	For
3	Demonstration Analysis Report On Non-Public Share Offering Plan (Revised)	Mgmt	For	For	For
4	Feasibility Analysis Report on Use of Funds Raised	Mgmt	For	For	For
5	Adjustment to Diluted Immediate Return for 2017 Non-Public Share Offering	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For

Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300133	CINS Y988B8109	03/22/2018	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Amendments to Articles	Mgmt	For
			For
			For

Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300133	CINS Y988B8109	05/14/2018	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Annual Report	Mgmt	For
			For
2	Directors' Report	Mgmt	For
			For
3	Supervisors' Report	Mgmt	For
			For
4	Accounts and Reports	Mgmt	For
			For
5	Auditor's Report	Mgmt	For
			For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For
			For
7	Allocation of Profits/Dividends	Mgmt	For
			For
8	Directors' and Supervisors' Fees	Mgmt	For
			For
9	Approval of Line of Credit	Mgmt	For
			For
10	Appointment of Auditor	Mgmt	For
			For

Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300133	CINS Y988B8109	07/11/2017	Voted
Meeting Type	Country of Trade		
Special	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For

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### Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300133	CINS Y988B8109	11/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For

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### Zhejiang NHU Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002001	CINS Y98910105	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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### Zhejiang NHU Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002001	CINS Y98910105	01/15/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cash Management with Idle Funds	Mgmt	For	For	For

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### Zhejiang NHU Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002001	CINS Y98910105	02/08/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Business Scope and Articles	Mgmt	For	For	For

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### Zhejiang NHU Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002001	CINS Y98910105	03/23/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Subsidiaries Investment in	Mgmt	For	For	For

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Project

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Zhejiang NHU Company Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002001	CINS Y98910105	07/12/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect HU BAIFAN	Mgmt	For	For	For	
2	Elect HU BAISHAN	Mgmt	For	For	For	
3	Elect SHI GUANQUN	Mgmt	For	For	For	
4	Elect WANG XUEWEN	Mgmt	For	For	For	
5	Elect CUI XINRONG	Mgmt	For	For	For	
6	Elect WANG ZHENGJIANG	Mgmt	For	For	For	
7	Elect ZHOU GUIYANG	Mgmt	For	For	For	
8	Elect HAN LINGLI	Mgmt	For	For	For	
9	Elect HUANG CAN	Mgmt	For	For	For	
10	Elect JIN ZANFANG	Mgmt	For	For	For	
11	Elect ZHU JIANMIN	Mgmt	For	For	For	
12	Elect YE YUEHENG	Mgmt	For	Against	Against	
13	Elect LU Jinmei	Mgmt	For	For	For	
14	Elect CHEN XUECAO	Mgmt	For	Against	Against	
15	Independent Directors' Fees	Mgmt	For	For	For	

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Zhejiang NHU Company Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002001	CINS Y98910105	12/28/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Absorption of a Wholly-owned Subsidiary	Mgmt	For	For	For	

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Zhejiang Sanhua Intelligent Controls Co. Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002050	CINS Y9890L126	05/15/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Approval for Line of Credit	Mgmt	For	For	For	
7	LAUNCH OF BILL POOL BUSINESS	Mgmt	For	For	For	
8	Authority to Give Guarantees	Mgmt	For	For	For	
9	Appointment of Auditor	Mgmt	For	For	For	

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Zhejiang Wanfeng Auto Wheel						
Ticker	Security ID:	Meeting Date	Meeting Status			
002085	CINS Y9892K100	01/29/2018	Voted			
Meeting Type		Country of Trade				

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Adoption of Management Rules of Employee Stock Ownership Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For

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Zhejiang Wanfeng Auto Wheel  
Ticker 002085 Security ID: CINS Y9892K100 Meeting Date 05/02/2018 Meeting Status Voted  
Meeting Type Annual Country of Trade China

Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report and Its Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Approval of a General Bank Line of Credit	Mgmt	For	For	For
8	Approval of Conducting Aluminum Hedging Business	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For

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Zhejiang Wanfeng Auto Wheel  
Ticker 002085 Security ID: CINS Y9892K100 Meeting Date 06/01/2018 Meeting Status Voted  
Meeting Type Special Country of Trade China

Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authorization of Legal Formalities	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Issuing Method	Mgmt	For	For	For
4	Issuing Targets and Subscription Method	Mgmt	For	For	For
5	Pricing Base Date, Pricing Basis and Issue	Mgmt	For	For	For

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	Price				
6	Issuing Volume	Mgmt	For	For	For
7	Lockup Period	Mgmt	For	For	For
8	Purpose of The Raised Funds	Mgmt	For	For	For
9	Attribution of Accumulated Retained Profits Before the Issuance	Mgmt	For	For	For
10	The Valid Period of The Resolution	Mgmt	For	For	For
11	Listing Place	Mgmt	For	For	For
12	PREPLAN (REVISION) FOR 2018 NON-PUBLIC SHARE OFFERING	Mgmt	For	For	For
13	Feasibility Analysis Report (Revision) On the Use of Funds	Mgmt	For	For	For
14	Audit Report and Assets Evaluation Report Regarding the Assets Purchase with Raised Funds from the Non-Public Share Offering	Mgmt	For	For	For
15	Diluted Immediate Returns After the Non-Public Share Offering and Filing Measures (Revision)	Mgmt	For	For	For
16	Report on the Use of Previously Raised Funds	Mgmt	For	For	For
17	Commitments of Relevant Parties on Implementation of Filing Measures for Diluted Immediate Returns After the Non-Public Share Offering	Mgmt	For	For	For
18	Shareholder Return Plan for the Next Three Year (2018-2020)	Mgmt	For	For	For
19	Ratification of Board Acts	Mgmt	For	For	For

Zhejiang Wanfeng Auto Wheel

Ticker	Security ID:	Meeting Date	Meeting Status
002085	CINS Y9892K100	11/09/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For
			For
			For

Fund Name : VanEck Vectors Coal ETF

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Arch Coal Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
ARCH	CUSIP 039380407	04/30/2018	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Patrick J. Bartels, Jr.	Mgmt	For	For	For	
2	Elect James N. Chapman	Mgmt	For	For	For	
3	Elect John W. Eaves	Mgmt	For	For	For	
4	Elect Sherman K. Edmiston	Mgmt	For	For	For	
5	Elect Patrick A. Krieghauser	Mgmt	For	For	For	
6	Elect Richard A. Navarre	Mgmt	For	For	For	
7	Elect Scott D. Vogel	Mgmt	For	For	For	
8	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against	
9	Ratification of Auditor	Mgmt	For	For	For	

Aurizon Holdings Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
AZJ	CINS Q0695Q104	10/18/2017	Voted			
Meeting Type	Country of Trade					
Annual	Australia					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Re-elect John D. Cooper	Mgmt	For	For	For	
3	Equity Grant (FY2017)	Mgmt	For	For	For	
4	Equity Grant (FY2018 - 3 Years)	Mgmt	For	For	For	
5	Equity Grant (FY2018 - 4 Years)	Mgmt	For	For	For	
6	Approve Termination Payments	Mgmt	For	For	For	
7	REMUNERATION REPORT	Mgmt	For	For	For	

China Coal Energy Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1898	CINS Y1434L100	06/25/2018	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	2018 Capital Investment Budget	Mgmt	For	For	For	
6	Appointment of PRC and International	Mgmt	For	For	For	

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	Auditors and Authority to Set Fees					
7	Directors' and Supervisors' Fees	Mgmt	For	For	For	
8	Merger/Acquisition	Mgmt	For	Abstain	Against	
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

China Coal Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
1898	CINS Y1434L100	12/19/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Articles	Mgmt	For	For	For	
3	Amendments to Rules of Procedures of Board	Mgmt	For	For	For	
4	Change of Use of Part of Proceeds from A-Share issue in Investment Project	Mgmt	For	For	For	
5	Elect NIU Jianhua	Mgmt	For	For	For	

China Shenhua Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
1088	CINS Y1504C113	04/27/2018	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Articles	Mgmt	For	For	For	
4	Amendments to Existing Non-Competition Agreement	Mgmt	For	For	For	
5	Joint Venture Agreement	Mgmt	For	For	For	
6	Revised Annual Caps of Existing Mutual Coal Supply Agreement and the Existing Mutual Supplies and Services Agreement	Mgmt	For	For	For	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Elect GAO Song	Mgmt	For	For	For	
9	Elect MI Shuhua	Mgmt	For	For	For	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Elect PENG Suping	Mgmt	For	For	For	
12	Elect HUANG Ming	Mgmt	For	For	For	

China Shenhua Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
1088	CINS Y1504C113	06/22/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Reports	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

Consol Energy Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
CEIX	CUSIP 20854L108	05/09/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect James A. Brock	Mgmt	For	For	For
1.2	Elect Alvin R. Carpenter	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Delta Dunia Makmur Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
DOID	CINS Y2036T103	05/24/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Election of Directors and/or Commissioners(Slate)	Mgmt	For	Against	Against
7	Authority to Increase Issued Shares Resulting from the Exercising of Shares under the MESOP	Mgmt	For	For	For

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Exxaro Resources Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
EXX	CINS S26949107	05/24/2018	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Divestiture of All Remaining Tronox Shares	Mgmt	For	For	For
2	Authorize Ratification of Approved Resolution	Mgmt	For	For	For

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Exxaro Resources Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
EXX	CINS S26949107	05/24/2018	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Monhla W. Hlahla	Mgmt	For	For	For
2	Elect Daphne Mashile-Nkosi	Mgmt	For	For	For
3	Elect Likhapha Mbatha	Mgmt	For	For	For
4	Re-elect Vincent Z. Mntambo	Mgmt	For	For	For
5	Re-elect Vuyisa Nkonyeni	Mgmt	For	For	For
6	Elect Anuradha (Anu) Singh	Mgmt	For	For	For
7	Re-elect Jeffrey van Rooyen	Mgmt	For	For	For
8	Elect Audit Committee Member (Ras Myburgh)	Mgmt	For	For	For
9	Elect Audit Committee Member (Vuyisa Nkonyeni)	Mgmt	For	For	For
10	Elect Audit Committee Member (Jeffrey van Rooyen)	Mgmt	For	For	For
11	Elect Social and Ethics Committee Member (Likhapha Mbatha)	Mgmt	For	For	For
12	Elect Social and Ethics Committee Member (Anu Singh)	Mgmt	For	For	For
13	Elect Social and Ethics Committee Member (Peet Snyders)	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	General Authority to Issue Ordinary	Mgmt	For	For	For

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17	Shares Authorisation of Legal Formalities	Mgmt	For	For	For
18	Approve NEDs' Fees	Mgmt	For	For	For
19	Amendments to Memorandum	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Approve Remuneration Policy	Mgmt	For	For	For
22	Approve Remuneration Implementation Report	Mgmt	For	For	For

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Exxaro Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status
EXX	CINS S26949107	11/20/2017	Voted
Meeting Type	Country of Trade		
Ordinary	South Africa		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Specific Authority to Repurchase Shares	Mgmt	For
			For
2	Revocation of Proposal 1	Mgmt	For
			For
3	Authority to Issue Shares for Cash Pursuant to the Replacement BEE Transaction	Mgmt	For
			For
4	Approve Financial Assistance	Mgmt	For
			For
5	Authorisation of Legal Formalities	Mgmt	For
			For
6	Non-Voting Meeting Note	N/A	N/A
			N/A

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Jastrzebska Spolka Weglowa SA

Ticker	Security ID:	Meeting Date	Meeting Status
JSW	CINS X4038D103	01/18/2018	Voted
Meeting Type	Country of Trade		
Special	Poland		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
			N/A
2	Non-Voting Agenda Item	N/A	N/A
			N/A
3	Election of Presiding Chair	Mgmt	For
			For
4	Compliance with Rules of Convocation	Mgmt	For
			For
5	ELECTION OF SCRUTINY COMMISSION	Mgmt	For
			For
6	Agenda	Mgmt	For
			For
7	Acquisition of Shares in Jastrzebskie Zaklady Remontowe Sp. z o.o.	Mgmt	For
			For
8	Acquisition of Investment	Mgmt	For
			For

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	Certificates of JSW Stabilisation Close-End Mutual Fund					
9	Presentation of Report on Procedure of Election of Supervisory Board Members	Mgmt	For	For	For	
10	Changes to Supervisory Board Composition	Mgmt	For	Abstain	Against	
11	Presentation of Report on Procedure of Election of Management Board Members	Mgmt	For	For	For	
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

Jastrzebska Spolka Weglowa SA

Ticker	Security ID:	Meeting Date	Meeting Status		
JSW	CINS X4038D103	05/29/2018	Voted		
Meeting Type	Country of Trade				
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Election of Scrutiny Commission	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Presentation of Supervisory Board Report (Accounts and Reports; Allocation of Profits)	Mgmt	For	For	For
8	Presentation of Supervisory Board Report (Consolidated Accounts and Reports)	Mgmt	For	For	For
9	Presentation of Supervisory Board Report (Activities)	Mgmt	For	For	For
10	Presentation of Financial Statements	Mgmt	For	For	For
11	Presentation of Management Board Report	Mgmt	For	For	For
12	Presentation of Report on Public Administration Payments	Mgmt	For	For	For
13	Presentation of	Mgmt	For	For	For

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	Management Board Motion on Allocation of Profits					
14	Presentation of Management Board Report on Other Comprehensive Income	Mgmt	For	For	For	
15	Financial Statements	Mgmt	For	For	For	
16	Management Board Report	Mgmt	For	For	For	
17	Report on Public Administration Payments	Mgmt	For	For	For	
18	Allocation of Profits/Dividends	Mgmt	For	For	For	
19	Coverage of Loss in Other Comprehensive Income Account	Mgmt	For	For	For	
20	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For	
21	Presentation of Management Board Report (Consolidated)	Mgmt	For	For	For	
22	Financial Statements (Consolidated)	Mgmt	For	For	For	
23	Management Board Report (Consolidated)	Mgmt	For	For	For	
24	Presentation of Report on 2017 Expenditures	Mgmt	For	For	For	
25	Ratification of Management Acts	Mgmt	For	For	For	
26	Ratification of Supervisory Board Acts	Mgmt	For	For	For	
27	Presentation on Election of Employee Representatives	Mgmt	For	For	For	
28	Changes to the Supervisory Board Composition	Mgmt	For	Abstain	Against	
29	Amendments to Articles	Mgmt	For	For	For	
30	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

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Peabody Energy Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
BTU	CUSIP 704551100	05/10/2018		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Elect Robert A. Malone	Mgmt	For		For	For
2	Elect Nicholas J. Chirekos	Mgmt	For		For	For
3	Elect Stephen E. Gorman	Mgmt	For		For	For
4	Elect Glenn L. Kellow	Mgmt	For		For	For

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5	Elect Joe W. Laymon	Mgmt	For	For	For
6	Elect Teresa Madden	Mgmt	For	For	For
7	Elect Kenneth W. Moore	Mgmt	For	For	For
8	Elect Michael W. Sutherlin	Mgmt	For	For	For
9	Elect Shaun Usmar	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For

PT Adaro Energy Tbk

Ticker	Security ID:	Meeting Date	Meeting Status
ADRO	CINS Y7087B109	04/23/2018	Voted
Meeting Type	Country of Trade		
Annual	Indonesia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Election of Commissioners; Approval of Director Resignation	Mgmt	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For
5	Directors' and Commissioners' Fees	Mgmt	For
			Against
			Against

PT Bukit Asam Tbk

Ticker	Security ID:	Meeting Date	Meeting Status
PTBA	CINS Y8520P101	04/11/2018	Voted
Meeting Type	Country of Trade		
Annual	Indonesia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Accounts and Reports	MGMT	For
2	Partnership and Community Development Program Report	MGMT	For
3	Allocation of Profits/Dividends	MGMT	For
4	Directors' and Commissioners' Fees	MGMT	For
5	Appointment of Auditor and Authority to Set Fees	MGMT	For
6	Amendments to Articles	MGMT	For
7	Election of Directors and/or Commissioners (Slate)	MGMT	For
			Against
			Against

PT Bumi Resources

Ticker	Security ID:	Meeting Date	Meeting Status
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BUMI	CINS Y7122M110	03/27/2018	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles of Association	Mgmt	For	Against	Against

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PT Bumi Resources

Ticker	Security ID:	Meeting Date	Meeting Status		
BUMI	CINS Y7122M110	06/07/2018	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against
6	Use of Proceeds from Shares and Bonds Issue	Mgmt	For	For	For

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Pt Indika Energy Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
INDY	CINS Y71247103	04/26/2018	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against
6	Directors' and Commissioners' Fees	Mgmt	For	For	For

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PT Indo Tambangraya Megah Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
ITMG	CINS Y71244100	03/23/2018	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For

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	and Authority to Set Fees				
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Election of Directors and Commissioners (Slate)	Mgmt	For	Against	Against
6	Use of Proceeds from IPO	Mgmt	For	For	For

Semirara Mining and Power Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
SCC	CINS Y7628G112	05/07/2018	Voted		
Meeting Type		Country of Trade			
Annual		Philippines			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Call to Order and Proof of Notice	Mgmt	For	For	For
3	CERTIFICATION OF QUORUM	Mgmt	For	For	For
4	Meeting Minutes	Mgmt	For	For	For
5	Management Report	Mgmt	For	For	For
6	Ratification of Board and Management Acts	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Elect Isidro A. Consunji	Mgmt	For	For	For
9	Elect Victor A. Consunji	Mgmt	For	For	For
10	Elect Jorge A. Consunji	Mgmt	For	For	For
11	Elect Cesar A. Buenaventura	Mgmt	For	Against	Against
12	Elect Herbert M. Consunji	Mgmt	For	Against	Against
13	Elect Maria Cristina C. Gotianun	Mgmt	For	For	For
14	Elect Edwina C. Laperal	Mgmt	For	For	For
15	Elect Josefa Consuelo C. Reyes	Mgmt	For	For	For
16	Elect Luz Consuelo A. Consunji	Mgmt	For	For	For
17	Elect Rogelio M. Murga	Mgmt	For	Against	Against
18	Elect Honorio Reyes-Lao	Mgmt	For	For	For
19	ADJOURNMENT	Mgmt	For	For	For

Shougang Fushan Resources Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0639	CINS Y7760F104	05/18/2018	Voted		
Meeting Type		Country of Trade			
Annual		Hong Kong			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of	Mgmt	For	For	For

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Profits/Dividends					
5	Elect LI Shaofeng	Mgmt	For	For	For
6	Elect SO Kwok Hoo	Mgmt	For	For	For
7	Elect LIU Qingshan	Mgmt	For	For	For
8	Elect KEE Wah Sze	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

Shougang Fushan Resources Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0639	CINS Y7760F104	12/21/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Second Master Agreement	Mgmt	For	For	For

SunCoke Energy Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SXC	CUSIP 86722A103	05/03/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alvin Bledsoe	Mgmt	For	For	For
2	Elect Susan R. Landahl	Mgmt	For	For	For
3	Amendment to Long-Term Performance Enhancement Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Ratification of Auditor	Mgmt	For	For	For

Tambang Batubara Bukit Asam Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
PTBA	CINS Y8520P101	11/29/2017	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	Against	Against
2	Stock Split	Mgmt	For	Against	Against
3	Election of Directors	Mgmt	For	Against	Against

Teck Resources Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
TECK.B	CUSIP 878742204	04/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mayank M. Ashar	Mgmt	For	For	For
1.2	Elect Quan Chong	Mgmt	For	For	For
1.3	Elect Laura L. Dottori-Attanasio	Mgmt	For	For	For
1.4	Elect Edward C. Dowling	Mgmt	For	For	For
1.5	Elect Eiichi Fukuda	Mgmt	For	For	For
1.6	Elect Norman B. Keevil	Mgmt	For	For	For
1.7	Elect Norman B. Keevil III	Mgmt	For	For	For
1.8	Elect Takeshi Kubota	Mgmt	For	For	For
1.9	Elect Donald R. Lindsay	Mgmt	For	For	For
1.10	Elect Tracey L. McVicar	Mgmt	For	For	For
1.11	Elect Sheila A. Murray	Mgmt	For	For	For
1.12	Elect Kenneth W. Pickering	Mgmt	For	For	For
1.13	Elect Una M. Power	Mgmt	For	For	For
1.14	Elect Warren S.R. Seyffert	Mgmt	For	For	For
1.15	Elect Timothy R. Snider	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

United Tractors

Ticker	Security ID:	Meeting Date	Meeting Status		
UNTR	CINS Y7146Y140	04/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' and Commissioners' Fees	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

Warrior Met Coal, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HCC	CUSIP 93627C101	04/24/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Walter J. Scheller, III	Mgmt	For	For	For
1.2	Elect Stephen D. Williams	Mgmt	For	For	For
1.3	Elect Michael Addeo	Mgmt	For	For	For

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1.4	Elect J. Brett Harvey	Mgmt	For	For	For
1.5	Elect Keith Luh	Mgmt	For	For	For
1.6	Elect Blaine MacDougald	Mgmt	For	For	For
1.7	Elect Matthew R. Michelini	Mgmt	For	For	For
1.8	Elect Alan H. Schumacher	Mgmt	For	For	For
1.9	Elect Gareth N. Turner	Mgmt	For	For	For
2	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
3	Ratification of Auditor	Mgmt	For	For	For

Washington H. Soul Pattinson & Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SOL	CINS Q85717108	12/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Elect Tiffany L. Fuller	Mgmt	For	For	For
4	Re-elect Thomas C.D. Millner	Mgmt	For	For	For
5	Re-elect Warwick M. Negus	Mgmt	For	For	For
6	Re-elect Melinda Roderick	Mgmt	For	Against	Against
7	Approve Rights Plan	Mgmt	For	For	For
8	Equity Grant (MD Todd J. Barlow)	Mgmt	For	For	For
9	Equity Grant (Finance Director Melinda Roderick)	Mgmt	For	For	For

Westshore Terminals Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
WTE	CINS 96145A200	06/19/2018	Voted		
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect William W. Stinson	Mgmt	For	For	For
3	Elect M. Dallas H. Ross	Mgmt	For	Against	Against
4	Elect Brian Canfield	Mgmt	For	Against	Against
5	Elect Glen Clark	Mgmt	For	For	For
6	Elect H. Clark Hollands	Mgmt	For	For	For
7	Elect Steve Akazawa	Mgmt	For	Against	Against
8	Elect Nick Desmarais	Mgmt	For	For	For
9	Elect Dianne Watts	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Whitehaven Coal Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WHC	CINS Q97664108	10/25/2017	Voted		
Meeting Type		Country of Trade			

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Annual Issue No.	Description	Australia Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	REMUNERATION REPORT	Mgmt	For	For	For
3	Equity Grant (MD/CEO Paul Flynn)	Mgmt	For	For	For
4	Re-elect Mark Vaile	Mgmt	For	For	For
5	Re-elect John C. Conde	Mgmt	For	For	For
6	Authority to Reduce Share Capital	Mgmt	For	For	For
7	Approve Amendment to the Long Incentive Plan	Mgmt	For	For	For

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	01/26/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Mutual Provision of Labour and Services Agreement	Mgmt	For	For	For
3	Insurance Fund Administrative Services Agreement	Mgmt	For	For	For
4	Materials Supply Agreement	Mgmt	For	For	For
5	Products, Materials and Equipment Leasing Agreement	Mgmt	For	For	For
6	Chemical Projects Entrusted Management Agreement	Mgmt	For	For	For
7	Bulk Commodities Sale and Purchase Agreement	Mgmt	For	For	For
8	Bulk Commodities Mutual Supply Agreement with Century Ruifeng	Mgmt	For	For	For

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	05/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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6	Directors' and Supervisors' Fees	Mgmt	For	For	For
7	Liability Insurance	Mgmt	For	For	For
8	Appointment of Auditors and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Approval of Domestic and Overseas Financing Businesses	Mgmt	For	For	For
11	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to H Repurchase Shares	Mgmt	For	For	For

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	05/25/2018	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to H Repurchase Shares	Mgmt	For	For	For

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	08/25/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition	Mgmt	For	For	For
3	Compliance with the Requirements of Non-public Issuance of Shares	Mgmt	For	For	For
4	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
5	Ratification of Board Acts	Mgmt	For	For	For
6	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
7	Approval of Commitments of Controlling Shareholders, Directors, Management for Dilution Measures	Mgmt	For	For	For

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8	Non-necessity for Report of Previous Fund-raising	Mgmt	For	For	For
9	Class and Nominal Value	Mgmt	For	For	For
10	Method and Time of Issuance	Mgmt	For	For	For
11	Issue Price and Pricing Principle	Mgmt	For	For	For
12	Size of Issuance	Mgmt	For	For	For
13	Use of Proceeds	Mgmt	For	For	For
14	Lock-up Period	Mgmt	For	For	For
15	Arrangement relating to Accumulated Undistributed Profits	Mgmt	For	For	For
16	Validity of Resolution of the Issuance	Mgmt	For	For	For
17	Place of Listing	Mgmt	For	For	For
18	Method of Subscription	Mgmt	For	For	For
19	Proposal of Non-public Issuance of A shares of the Company	Mgmt	For	For	For

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	08/25/2017	Voted		
Meeting Type	Other	Country of Trade			
		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Nominal Value	Mgmt	For	For	For
2	Method and Time of Issuance	Mgmt	For	For	For
3	Issue Price and Pricing Principle	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Lock-up Period	Mgmt	For	For	For
7	Arrangement relating to Accumulated Undistributed Profits	Mgmt	For	For	For
8	Validity of Resolution of the Issuance	Mgmt	For	For	For
9	Place of Listing	Mgmt	For	For	For
10	Method of Subscription	Mgmt	For	For	For
11	Proposal of Non-public Issuance of A shares of the Company	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1171	CINS Y97417102	11/27/2017	Voted
Meeting Type	Special	Country of Trade	
		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Elect CAI Chang	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Fund Name : VanEck Vectors Egypt Index ETF

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### ADES International Holding Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ADES	CINS M0179B106	03/18/2018	Voted		
Meeting Type	Country of Trade				
Special	United Arab Emirates				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
2	Increase in Authorised Capital	Mgmt	For	For	For

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### ADES International Holding Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ADES	CINS M0179B106	05/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	United Arab Emirates				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Ayman Mamdouh Abbas	Mgmt	For	For	For
3	Elect Mohamed Farouk	Mgmt	For	Against	Against
4	Elect Yasser Hashem	Mgmt	For	For	For
5	Elect Nabil Kassem	Mgmt	For	Against	Against
6	Elect Ulf Henriksson	Mgmt	For	For	For
7	Elect Mohamed Walid Cherif	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
10	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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### Alexandria Mineral Oils Company

Ticker	Security ID:	Meeting Date	Meeting Status		
AMOC	CINS M0726T105	09/23/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A

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6	Directors' Fees	Mgmt	For	TNA	N/A
7	Ratification of Board Acts	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Netting Contracts	Mgmt	For	TNA	N/A

Alexandria Mineral Oils Company

Ticker	Security ID:	Meeting Date	Meeting Status		
AMOC	CINS M0726T105	09/23/2017	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Bonus Share Issuance	Mgmt	For	TNA	N/A
3	Authority to Increase Capital for Bonus Share Issuance	Mgmt	For	TNA	N/A
4	Amendments to Articles	Mgmt	For	TNA	N/A

Amer Group

Ticker	Security ID:	Meeting Date	Meeting Status		
AMER.CA	CINS M0989W100	02/27/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Directors' Report	Mgmt	For	TNA	N/A
4	Auditor's Report	Mgmt	For	TNA	N/A
5	Financial Statements	Mgmt	For	TNA	N/A
6	Allocation of Profits	Mgmt	For	TNA	N/A
7	Dividends	Mgmt	For	TNA	N/A
8	Ratification of Board Acts	Mgmt	For	TNA	N/A
9	Directors' Fees	Mgmt	For	TNA	N/A
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
11	Charitable Donations	Mgmt	For	TNA	N/A
12	Transfer of Management Rights	Mgmt	For	TNA	N/A
13	Netting Contracts (Total F. M.)	Mgmt	For	TNA	N/A
14	Related Party Transactions (b/w Delmar Touristic Developments and Porto Pyramids)	Mgmt	For	TNA	N/A
15	Related Party Transactions (b/w Delmar Touristic Developments and Delmar Real-Estate Developments)	Mgmt	For	TNA	N/A

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16	Related Party Transactions (b/w Tropi 2 and Porto Pyramids)	Mgmt	For	TNA	N/A
17	Related Party Transactions (b/w Tropi 2 and Delmar Real-Estate Developments)	Mgmt	For	TNA	N/A

Arabian Food Industries Co

Ticker	Security ID:	Meeting Date	Meeting Status		
DOMT	CINS M1376L103	04/19/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Acts; Election of Directors	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Netting Contracts	Mgmt	For	TNA	N/A

Centamin Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
CEY	ISIN JE00B5TT1872	03/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	JE				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3.1	Remuneration Report (Advisory)	Mgmt	For	For	For
3.2	Remuneration Policy (Advisory -- Non-UK Issuer)	Mgmt	For	For	For
4	Increase in Directors' Fee Cap	Mgmt	For	For	For
5.1	Elect Josef El-Raghy	Mgmt	For	For	For
5.2	Elect Andrew Pardey	Mgmt	For	For	For
5.3	Elect Ross Jerrard	Mgmt	For	For	For
5.4	Elect Gordon E. Haslam	Mgmt	For	For	For
5.5	Elect Alison Baker	Mgmt	For	For	For
5.6	Elect Mark R. Arnesen	Mgmt	For	For	For
5.7	Elect Mark Bankes	Mgmt	For	For	For
6.1	Appointment of Auditor	Mgmt	For	For	For
6.2	Authority to Set Auditor's Fees	Mgmt	For	For	For

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7	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
8.1	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
8.2	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
9	Authority to Repurchase Shares	Mgmt	For	For	For

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Commercial International Bank of Egypt

Ticker	Security ID:	Meeting Date	Meeting Status		
COMI	CINS 201712205	03/04/2018	Voted		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Presentation of Directors' Report	Mgmt	For	For	For
3	Presentation of Auditor's Report	Mgmt	For	For	For
4	Financial Statements	Mgmt	For	For	For
5	Allocation of Profits/Dividends; Employee Profit-Sharing Plan	Mgmt	For	Abstain	Against
6	Authority to Increase Capital for Bonus Share Issuance	Mgmt	For	For	For
7	Ratification of Board Acts; Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
9	Charitable Donations	Mgmt	For	For	For
10	Presentation of Information on Committee Members' Fees	Mgmt	For	For	For
11	Presentation on Changes in Board Composition	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	Abstain	Against

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Commercial International Bank of Egypt

Ticker	Security ID:	Meeting Date	Meeting Status		
COMI	CINS 201712205	07/18/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Bonus Share Issuance	Mgmt	For	For	Mgmt For
2	Information on Board Changes	Mgmt	For	For	For
<hr/>					
Eastern Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
EAST	CINS M2932V106	10/01/2017		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Employees' Bonus	Mgmt	For	TNA	N/A
<hr/>					
Eastern Tobacco					
Ticker	Security ID:	Meeting Date		Meeting Status	
EAST	CINS M2932V106	03/25/2018		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Approval of 2018/19 Budget	Mgmt	For	TNA	N/A
<hr/>					
Eastern Tobacco					
Ticker	Security ID:	Meeting Date		Meeting Status	
EAST	CINS M2932V106	03/25/2018		Take No Action	
Meeting Type		Country of Trade			
Special		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Stock Split	Mgmt	For	TNA	N/A
3	Amendments to Articles	Mgmt	For	TNA	N/A
<hr/>					
Eastern Tobacco					
Ticker	Security ID:	Meeting Date		Meeting Status	
EAST	CINS M2932V106	05/29/2018		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
3	Amend Proposed Allocation of Profits	Mgmt	For	TNA	N/A
4	Dividends	Mgmt	For	TNA	N/A
5	Bonus Share Issuance	Mgmt	For	TNA	N/A
<hr/>					
Eastern Tobacco					
Ticker	Security ID:	Meeting Date		Meeting Status	
EAST	CINS M2932V106	05/29/2018		Take No Action	
Meeting Type		Country of Trade			

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Special Issue No.	Description	Egypt Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Increase Capital for Bonus Share Issuance	Mgmt	For	TNA	N/A
3	Amendments to Memorandum of Association	Mgmt	For	TNA	N/A

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EFG-Hermes Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status
HRHO	CINS M3047P109	05/06/2018	Take No Action
Meeting Type	Country of Trade		
Annual	Egypt		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Bonus share Issuance	Mgmt	For	TNA	N/A
6	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
7	Amendments to Articles 6 and 7	Mgmt	For	TNA	N/A
8	Ratification of Board Acts	Mgmt	For	TNA	N/A
9	Election of Directors	Mgmt	For	TNA	N/A
10	Directors' Fees	Mgmt	For	TNA	N/A
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
12	Charitable Donations	Mgmt	For	TNA	N/A
13	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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EFG-Hermes Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status
HRHO	CINS 268425402	05/06/2018	Voted
Meeting Type	Country of Trade		
Annual	Egypt		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Auditor's Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Bonus share Issuance	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	Abstain	Against
6	Amendments to Articles 6 and 7	Mgmt	For	For	For
7	Ratification of Board Acts	Mgmt	For	For	For
8	Election of Directors	Mgmt	For	Abstain	Against
9	Directors' Fees	Mgmt	For	Abstain	Against
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against

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11	Charitable Donations	Mgmt	For	Abstain	Against
Egypt Kuwait Holding Co.					
Ticker	Security ID:	Meeting Date		Meeting Status	
EKHO	CINS M3400B101	03/24/2018		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Presentation of Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Election of Directors	Mgmt	For	TNA	N/A
Elsewedy Electric Company SAE					
Ticker	Security ID:	Meeting Date		Meeting Status	
SWDY	CINS M398AL106	05/22/2018		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Netting Contracts	Mgmt	For	TNA	N/A
7	Ratification of Board Resolutions	Mgmt	For	TNA	N/A
8	Ratification of Board Acts	Mgmt	For	TNA	N/A
9	Directors' Fees	Mgmt	For	TNA	N/A
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
11	Charitable Donations	Mgmt	For	TNA	N/A
Elsewedy Electric Company SAE					
Ticker	Security ID:	Meeting Date		Meeting Status	
SWDY	CINS M398AL106	05/22/2018		Take No Action	
Meeting Type		Country of Trade			
Special		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Amend PAR Value of Shares	Mgmt	For	TNA	N/A
3	Amendments to Articles	Mgmt	For	TNA	N/A

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Elsewedy Electric Company SAE

Ticker	Security ID:	Meeting Date	Meeting Status
SWDY	CINS M398AL106	09/11/2017	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Directors' Report (1H2017)	Mgmt	For
3	Auditor's Report (1H2017)	Mgmt	For
4	Interim Financial Statements (1H2017)	Mgmt	For
5	Interim Dividend (1H2017)	Mgmt	For
			TNA
			N/A

Elsewedy Electric Company SAE

Ticker	Security ID:	Meeting Date	Meeting Status
SWDY	CINS M398AL106	10/22/2017	Take No Action
Meeting Type	Country of Trade		
Special	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Sign Agreement Contract	Mgmt	For
3	Amendments to Article 21	Mgmt	For
			TNA
			N/A

Emaar Misr for Development

Ticker	Security ID:	Meeting Date	Meeting Status
EMFD	ISIN EGS673Y1C015	03/29/2018	Take No Action
Meeting Type	Country of Trade		
Ordinary	EG		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Auditor's Report	Mgmt	For
3	Financial Statements	Mgmt	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For
5	Ratification of Board Acts	Mgmt	For
6	Election of Directors	Mgmt	For
7	Directors' Fees	Mgmt	For
8	Charitable Donations	Mgmt	For
			TNA
			N/A

Ezz Steel Co SAE

Ticker	Security ID:	Meeting Date	Meeting Status
ESRS	CINS M07095108	04/30/2018	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Directors' Report	Mgmt	For
3	Presentation of Auditor's Report	Mgmt	For
4	Financial Statements	Mgmt	For
			TNA
			N/A

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5	Use of Reserves	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Netting Contracts; Authority to Give Guarantees	Mgmt	For	TNA	N/A
10	Charitable Donations	Mgmt	For	TNA	N/A

Ezz Steel Co SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
ESRS	CINS M07095108	04/30/2018	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Give Guarantees	Mgmt	For	TNA	N/A

Ezz Steel Co SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
ESRS	CINS M07095108	06/28/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Presentation of Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Use of Reserves	Mgmt	For	TNA	N/A
6	Charitable Donations	Mgmt	For	TNA	N/A

Ezz Steel Co SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
ESRS	CINS M07095108	08/23/2017	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Give Guarantees	Mgmt	For	TNA	N/A

Global Telecom Holding

Ticker	Security ID:	Meeting Date	Meeting Status		
GTHE	CINS M7526D107	04/17/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Appointment of Auditor	Mgmt	For	TNA	N/A

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	and Authority to Set Fees				
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Charitable Donations	Mgmt	For	TNA	N/A

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Global Telecom Holding					
Ticker	Security ID:	Meeting Date		Meeting Status	
GTHE	CINS M7526D107	05/30/2018		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Approval of Credit Facility Agreement	Mgmt	For	TNA	N/A
3	Board Changes	Mgmt	For	TNA	N/A

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Heliopolis Housing					
Ticker	Security ID:	Meeting Date		Meeting Status	
HELI	CINS M52987100	06/09/2018		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Approve 2018-19 Budget	Mgmt	For	TNA	N/A
3	Authority to Issue Bonds or Sukuk	Mgmt	For	TNA	N/A
4	Directors' Fees	Mgmt	For	TNA	N/A

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Heliopolis Housing					
Ticker	Security ID:	Meeting Date		Meeting Status	
HELI	CINS M52987100	10/29/2017		Take No Action	
Meeting Type		Country of Trade			
Annual		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Performance Report	Mgmt	For	TNA	N/A
5	Financial Statements	Mgmt	For	TNA	N/A
6	Employee Bonus	Mgmt	For	TNA	N/A
7	Charitable Donations	Mgmt	For	TNA	N/A
8	Ratification of Board Acts	Mgmt	For	TNA	N/A
9	Elect Hani Saad Ibrahim Al-Dib	Mgmt	For	TNA	N/A
10	Directors' Fees	Mgmt	For	TNA	N/A
11	Review Projects Under Implementation	Mgmt	For	TNA	N/A

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Ibnsina Pharma					
Ticker	Security ID:	Meeting Date		Meeting Status	
ISPH	CINS M2R3P4101	04/02/2018		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Netting Contracts	Mgmt	For	TNA	N/A

Integrated Diagnostics Holdings Plc

Ticker	Security ID:	Meeting Date	Meeting Status
IDHC	CINS G4836Q107	05/14/2018	Voted
Meeting Type	Country of Trade		
Annual	Jersey		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Anthony Tudor St. John	Mgmt	For	For	For
3	Elect Hend El-Sherbini	Mgmt	For	For	For
4	Elect Richard Henry Phillips	Mgmt	For	For	For
5	Elect James Patrick Nolan	Mgmt	For	For	For
6	Elect Dan Johan Wilmar Olsson	Mgmt	For	For	For
7	Elect Hussein Hassan Choucri	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Authority to Set Auditor's Fees	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Juhayna Food Industries

Ticker	Security ID:	Meeting Date	Meeting Status
JUFO	ISIN EGS30901C010	03/08/2018	Take No Action
Meeting Type	Country of Trade		
Ordinary	EG		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	N/A	For	TNA	N/A
2	Auditors' Report	N/A	For	TNA	N/A
3	Financial Statements	N/A	For	TNA	N/A
4	Allocation of	N/A	For	TNA	N/A

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	Profits/Dividends				
5	Ratification of Board Acts	N/A	For	TNA	N/A
6	Election of Directors	N/A	For	TNA	N/A
7	Directors' Fees	N/A	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	N/A	For	TNA	N/A
9	Charitable Donations	N/A	For	TNA	N/A

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Medinet Nasr Housing

Ticker	Security ID:	Meeting Date	Meeting Status		
MNHD	CINS M6879R101	04/01/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends; Bonus Share Issuance	Mgmt	For	TNA	N/A
6	Charitable Donations	Mgmt	For	TNA	N/A
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
8	Directors' Fees	Mgmt	For	TNA	N/A
9	Ratification of Board Acts	Mgmt	For	TNA	N/A
10	Ratification of Co-Option of Yasser Mohamed K. Al Mallah	Mgmt	For	TNA	N/A

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Medinet Nasr Housing

Ticker	Security ID:	Meeting Date	Meeting Status		
MNHD	CINS M6879R101	04/01/2018	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Increase Capital for Bonus Share Issuance	Mgmt	For	TNA	N/A
3	Amendments to Articles	Mgmt	For	TNA	N/A
4	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

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Orascom Telecom Media and Technology Holding SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
OTMT	CINS M7525Q109	06/05/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A

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3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Board Changes	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Adopt Board Resolutions	Mgmt	For	TNA	N/A
10	Authority to Grant Loans and Give Guarantees; Netting Contracts	Mgmt	For	TNA	N/A
11	Charitable Donations	Mgmt	For	TNA	N/A
12	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Orascom Telecom Media and Technology Holding SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
OTMT	CINS M7525Q109	06/05/2018	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Amendments to Article 2 (Company Name)	Mgmt	For	TNA	N/A
3	Amendments to Article 4 (Company Address)	Mgmt	For	TNA	N/A

Oriental Weavers

Ticker	Security ID:	Meeting Date	Meeting Status		
ORWE	CINS M7558V108	05/03/2018	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Increase in Authorised Capital	Mgmt	For	TNA	N/A
3	Amendments to Article 6	Mgmt	For	TNA	N/A

Oriental Weavers Carpet Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
ORWE	CINS M7558V108	03/28/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Ratification of Board Acts	Mgmt	For	TNA	N/A
8	Board Changes	Mgmt	For	TNA	N/A
9	Election of Directors	Mgmt	For	TNA	N/A

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10	Appointment of Auditor	Mgmt	For	TNA	N/A
11	Charitable Donations	Mgmt	For	TNA	N/A
12	Netting Contracts	Mgmt	For	TNA	N/A

Oriental Weavers Carpet Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
ORWE	CINS M7558V108	09/10/2017	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Cancellation of Shares/Authority to Reduce Share Capital	Mgmt	For	TNA	N/A
3	Amendments to Articles	Mgmt	For	TNA	N/A

Palm Hills Development Company

Ticker	Security ID:	Meeting Date	Meeting Status		
PHDC	ISIN EGS655L1C012	03/29/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	EG				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	TNA	N/A
2	Auditor's Report	Mgmt	For	TNA	N/A
3	Financial Statements	Mgmt	For	TNA	N/A
4	Ratification of Board Acts; Board Changes	Mgmt	For	TNA	N/A
5	Directors' Fees	Mgmt	For	TNA	N/A
6	Charitable Donations	Mgmt	For	TNA	N/A
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A

Palm Hills Developments SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
PHDC	CINS M7778G105	05/13/2018	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Renew Employee Incentive Scheme	Mgmt	For	TNA	N/A

Pioneers Holding

Ticker	Security ID:	Meeting Date	Meeting Status		
	CINS M7925C106	06/12/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Management Report	Mgmt	For	TNA	N/A
3	Auditors' Report	Mgmt	For	TNA	N/A
4	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	TNA	N/A

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5	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A
6	Issuance Terms	Mgmt	For	TNA	N/A
7	Amendments to Articles	Mgmt	For	TNA	N/A

Pioneers Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
PIOH	CINS M7925C106	04/14/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Board Transactions	Mgmt	For	TNA	N/A

Sidi Kerir Petrochemicals Company

Ticker	Security ID:	Meeting Date	Meeting Status		
SKPC	CINS M8411S100	03/31/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Presentation of Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
8	Charitable Donations	Mgmt	For	TNA	N/A
9	Ratification of Board Acts	Mgmt	For	TNA	N/A
10	Election of Directors	Mgmt	For	TNA	N/A

Six of October Development & Investment (SODIC)

Ticker	Security ID:	Meeting Date	Meeting Status		
SODIC	ISIN EGS65851C015	03/29/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	EG				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	TNA	N/A
2	Auditor's Report	Mgmt	For	TNA	N/A
3	Financial Statements	Mgmt	For	TNA	N/A
4	Appointment of Auditor	Mgmt	For	TNA	N/A

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	and Authority to Set Fees				
5	Netting Contracts	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Charitable Donations	Mgmt	For	TNA	N/A
8	Ratification of Board Acts	Mgmt	For	TNA	N/A
9	Election of Directors	Mgmt	For	TNA	N/A

Talaat Moustafa Group (TMG)

Ticker	Security ID:	Meeting Date	Meeting Status		
TMGH	CINS M8763H108	03/24/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
7	Board Changes	Mgmt	For	TNA	N/A
8	Directors' Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Netting Contracts	Mgmt	For	TNA	N/A

Telecom Egypt

Ticker	Security ID:	Meeting Date	Meeting Status		
ETEL	ISIN EGS48031C016	03/29/2018	Take No Action		
Meeting Type	Country of Trade				
Special	EG				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Article 21	Mgmt	For	TNA	N/A

Telecom Egypt

Ticker	Security ID:	Meeting Date	Meeting Status		
ETEL	ISIN EGS48031C016	03/29/2018	Take No Action		
Meeting Type	Country of Trade				
Ordinary	EG				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	TNA	N/A
2	Auditor's Report	Mgmt	For	TNA	N/A
3	Financial Statements	Mgmt	For	TNA	N/A
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
5	Board Changes	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Charitable Donations	Mgmt	For	TNA	N/A
8	Directors' Fees	Mgmt	For	TNA	N/A
9	Netting Contracts	Mgmt	For	TNA	N/A
10	Allocation of	Mgmt	For	TNA	N/A

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11 Profits/Dividends  
 Approve Establishment of Charity Entity  
 Mgmt For TNA N/A

Fund Name : VanEck Vectors Emerging Markets High Yield Bond ETF

Reliance Communications Limited

Ticker Security ID: Meeting Date Meeting Status  
 RCOM CINS Y7S31HAA1 03/20/2018 Take No Action

Meeting Type Country of Trade  
 Bond India

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1 Non-Voting Meeting Note N/A N/A TNA N/A

2 Non-Voting Meeting Note N/A N/A TNA N/A

3 Holders Of The Usd Mgmt N/A TNA N/A

300,000,000 Senior Secured 6.5 Per Cent. Approves The Modification Of Condition 17 Of The Notes Relating To The Definition Of The Term Unrestricted Subsidiary, By Deleting The Definition Of Unrestricted Subsidiary In Its Entirety And Replacing It With The Following: 1.1 Unrestricted Subsidiary Means (I) Each Of Reliance Infocomm Infrastructure Limited, Independent Tv Ltd (Formerly Reliance Big Tv Limited) And Global Cloud Xchange Limited And Its Subsidiaries,

4 Holders of The Usd Mgmt N/A TNA N/A

300,000,000 Senior Secured 6.5 Per Cent. Approves, Modification Of Condition 5 And Any Related Clauses, Sections Or Conditions Of The Notes As Indicated Below, By: 1.1 The Insertion Of The Following As The New Condition 5(E): (E) Mandatory Partial Redemption: The Issuer Shall Be Entitled (I) To

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Redeem Notes At Par,  
Pro Rata, In An  
Amount Equivalent To  
The First Redemption  
Payment.

Fund Name : VanEck Vectors Environmental Services ETF

ABM Industries Incorporated						
Ticker	Security ID:	Meeting Date	Meeting Status			
ABM	CUSIP 000957100	03/07/2018	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Linda L. Chavez	Mgmt	For	For	For	
2	Elect J. Philip Ferguson	Mgmt	For	For	For	
3	Elect Art A. Garcia	Mgmt	For	For	For	
4	Elect Scott Salmirs	Mgmt	For	For	For	
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
6	2006 Equity Incentive Plan	Mgmt	For	For	For	
7	Ratification of Auditor	Mgmt	For	For	For	
Advanced Disposal Services, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
ADSW	CUSIP 00790X101	05/23/2018	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Michael J. Hoffman	Mgmt	For	For	For	
1.2	Elect Ernest J. Mrozek	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
Calgon Carbon Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
CCC	CUSIP 129603106	12/28/2017	Voted			
Meeting Type	Country of Trade					
Special	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Acquisition	Mgmt	For	For	For	
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against	
3	Right to Adjourn Meeting	Mgmt	For	For	For	
Cantel Medical Corp.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CMD	CUSIP 138098108	01/03/2018	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Elect Charles M. Diker	Mgmt	For	For	For
2	Elect Alan R. Batkin	Mgmt	For	For	For
3	Elect Ann E. Berman	Mgmt	For	For	For
4	Elect Mark N. Diker	Mgmt	For	For	For
5	Elect Anthony B. Evnin	Mgmt	For	For	For
6	Elect Laura L. Forese	Mgmt	For	For	For
7	Elect George L. Fotiades	Mgmt	For	For	For
8	Elect Jorgen B. Hansen	Mgmt	For	For	For
9	Elect Ronnie Myers	Mgmt	For	For	For
10	Elect Peter J. Pronovost	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Adoption of Exclusive Forum Provision	Mgmt	For	Against	Against
14	Ratification of Auditor	Mgmt	For	For	For

Casella Waste Systems, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CWST	CUSIP 147448104	06/01/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John W. Casella	Mgmt	For	For	For
1.2	Elect William P. Hulligan	Mgmt	For	For	For
1.3	Elect James E. O'Connor	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Ceco Environmental Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
CECE	CUSIP 125141101	06/12/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jason DeZwirek	Mgmt	For	Withhold	Against
1.2	Elect Eric Goldberg	Mgmt	For	For	For
1.3	Elect David B. Liner	Mgmt	For	Withhold	Against
1.4	Elect Claudio A. Mannarino	Mgmt	For	For	For
1.5	Elect Munish Nanda	Mgmt	For	For	For
1.6	Elect Jonathan Pollack	Mgmt	For	Withhold	Against
1.7	Elect Valerie G. Sachs	Mgmt	For	For	For
1.8	Elect Dennis Sadlowski	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Clean Harbors, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
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CLH	CUSIP 184496107	06/06/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Alan S. McKim	Mgmt	For	For	For
1.2	Elect Rod Marlin	Mgmt	For	For	For
1.3	Elect John T. Preston	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Covanta Holding Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
CVA	CUSIP 22282E102	05/03/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David M. Barse	Mgmt	For	For	For
1.2	Elect Ronald J. Broglio	Mgmt	For	For	For
1.3	Elect Peter C. B. Bynoe	Mgmt	For	For	For
1.4	Elect Linda J. Fisher	Mgmt	For	For	For
1.5	Elect Joseph M. Holsten	Mgmt	For	For	For
1.6	Elect Stephen J. Jones	Mgmt	For	For	For
1.7	Elect Danielle Pletka	Mgmt	For	For	For
1.8	Elect Michael W. Ranger	Mgmt	For	For	For
1.9	Elect Robert S. Silberman	Mgmt	For	Withhold	Against
1.10	Elect Jean Smith	Mgmt	For	For	For
1.11	Elect Samuel Zell	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

Darling Ingredients Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
DAR	CUSIP 237266101	05/08/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Randall C. Stuewe	Mgmt	For	For	For
2	Elect Charles Adair	Mgmt	For	For	For
3	Elect D. Eugene Ewing	Mgmt	For	For	For
4	Elect Linda A. Goodspeed	Mgmt	For	For	For
5	Elect Dirk Kloosterboer	Mgmt	For	For	For
6	Elect Mary R. Korby	Mgmt	For	For	For
7	Elect Cynthia Pharr Lee	Mgmt	For	For	For
8	Elect Charles Macaluso	Mgmt	For	For	For
9	Elect Gary W. Mize	Mgmt	For	For	For
10	Elect Michael Rescoe	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Donaldson Company, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		

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DCI	CUSIP 257651109	11/17/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Tod E. Carpenter	Mgmt	For	For	For
1.2	Elect Pilar Cruz	Mgmt	For	For	For
1.3	Elect Ajita G. Rajendra	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Heritage-Crystal Clean, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HCCI	CUSIP 42726M106	05/01/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Fred Fehsenfeld, Jr.	Mgmt	For	For	For
1.2	Elect Jim Schumacher	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Transaction of Other Business	Mgmt	For	Against	Against

Layne Christensen Company

Ticker	Security ID:	Meeting Date	Meeting Status		
LAYN	CUSIP 521050104	06/13/2018	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against
3	Right to Adjourn Meeting	Mgmt	For	For	For

Newpark Resources, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NR	CUSIP 651718504	05/17/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Anthony J. Best	Mgmt	For	For	For
1.2	Elect G. Stephen Finley	Mgmt	For	For	For
1.3	Elect Paul L. Howes	Mgmt	For	For	For
1.4	Elect Roderick A. Larson	Mgmt	For	For	For
1.5	Elect John C. Minge	Mgmt	For	For	For
1.6	Elect Rose M. Robeson	Mgmt	For	For	For
1.7	Elect Gary L. Warren	Mgmt	For	For	For

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2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

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Republic Services, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
RSG	CUSIP 760759100	05/11/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Manuel Kadre	Mgmt	For	For	For
2	Elect Tomago Collins	Mgmt	For	For	For
3	Elect Thomas W. Handley	Mgmt	For	For	For
4	Elect Jennifer M Kirk	Mgmt	For	For	For
5	Elect Michael Larson	Mgmt	For	For	For
6	Elect Kim S. Pegula	Mgmt	For	For	For
7	Elect Ramon A. Rodriguez	Mgmt	For	For	For
8	Elect Donald W. Slager	Mgmt	For	For	For
9	Elect John M. Trani	Mgmt	For	For	For
10	Elect Sandra M Volpe	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Approval of the 2018 Employee Stock Purchase Plan	Mgmt	For	For	For
14	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For	Against

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Schnitzer Steel Industries, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SCHN	CUSIP 806882106	01/30/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Rhonda D. Hunter	Mgmt	For	For	For
1.2	Elect John D. Carter	Mgmt	For	For	For
1.3	Elect Michael W. Sutherlin	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

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Stericycle, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SRCL	CUSIP 858912108	05/23/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Elect Robert S. Murley	Mgmt	For	For	For
2	Elect Charles A. Alutto	Mgmt	For	For	For
3	Elect Brian P. Anderson	Mgmt	For	For	For
4	Elect Lynn D. Bleil	Mgmt	For	For	For
5	Elect Thomas D. Brown	Mgmt	For	For	For
6	Elect Thomas F. Chen	Mgmt	For	Against	Against
7	Elect Mark C. Miller	Mgmt	For	For	For
8	Elect John Patience	Mgmt	For	Against	Against
9	Elect Mike S. Zafirovski	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Shareholder Proposal Regarding Right to Call Special Meetings	ShrHoldr	Against	For	Against
13	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	Against	For

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Steris Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
STE	CUSIP G84720104	08/01/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Richard C. Breeden	Mgmt	For	For	For
2	Elect Cynthia Feldmann	Mgmt	For	For	For
3	Elect Jacqueline B. Kosecoff	Mgmt	For	For	For
4	Elect David B. Lewis	Mgmt	For	For	For
5	Elect Sir Duncan K. Nichol	Mgmt	For	For	For
6	Elect Walter M. Rosebrough, Jr.	Mgmt	For	For	For
7	Elect Mohsen M. Sohi	Mgmt	For	For	For
8	Elect Richard M. Steeves	Mgmt	For	For	For
9	Elect John P. Wareham	Mgmt	For	For	For
10	Elect Loyal W. Wilson	Mgmt	For	For	For
11	Elect Michael B. Wood	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Appointment of Statutory Auditor	Mgmt	For	For	For
14	Authority to Set Statutory Auditor's Fees	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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17	Remuneration Report (Advisory)	Mgmt	For	For	For
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### Tennant Company

Ticker	Security ID:	Meeting Date	Meeting Status		
TNC	CUSIP 880345103	04/25/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Azita Arvani	Mgmt	For	For	For
1.2	Elect Steven A. Sonnenberg	Mgmt	For	For	For
1.3	Elect David S. Wichmann	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

### Tenneco, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TEN	CUSIP 880349105	05/16/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Thomas C. Freyman	Mgmt	For	For	For
2	Elect Brian J. Kessler	Mgmt	For	For	For
3	Elect Dennis J. Letham	Mgmt	For	For	For
4	Elect James S. Metcalf	Mgmt	For	For	For
5	Elect Roger B. Porter	Mgmt	For	For	For
6	Elect David B. Price, Jr.	Mgmt	For	For	For
7	Elect Gregg M. Sherrill	Mgmt	For	For	For
8	Elect Paul T. Stecko	Mgmt	For	For	For
9	Elect Jane L. Warner	Mgmt	For	For	For
10	Elect Roger J. Wood	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

### Tetra Tech, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TTEK	CUSIP 88162G103	03/08/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Dan L. Batrack	Mgmt	For	For	For
1.2	Elect Hugh M. Grant	Mgmt	For	For	For
1.3	Elect Patrick C. Haden	Mgmt	For	For	For
1.4	Elect J. Christopher Lewis	Mgmt	For	For	For
1.5	Elect Joanne M. Maguire	Mgmt	For	For	For
1.6	Elect Kimberly E. Ritrievi	Mgmt	For	For	For
1.7	Elect Albert E. Smith	Mgmt	For	For	For
1.8	Elect J. Kenneth	Mgmt	For	For	For

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	Thompson					
1.9	Elect Kirsten M. Volpi	Mgmt	For	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	For
3	2018 Equity incentive Plan	Mgmt	For	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For	For

US Ecology Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ECOL	CUSIP 91732J102	05/22/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Joe F. Colvin	Mgmt	For	For	For
2	Elect Katina Dorton	Mgmt	For	For	For
3	Elect Glenn A. Eisenberg	Mgmt	For	For	For
4	Elect Jeffrey R. Feeler	Mgmt	For	For	For
5	Elect Daniel Fox	Mgmt	For	For	For
6	Elect Ronald C. Keating	Mgmt	For	For	For
7	Elect Stephen A. Romano	Mgmt	For	For	For
8	Elect John T. Sahlberg	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Waste Connections Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
WCN	CUSIP 94106B101	05/24/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ronald J. Mittelstaedt	Mgmt	For	For	For
1.2	Elect Robert H. Davis	Mgmt	For	For	For
1.3	Elect Edward E. Gullet	Mgmt	For	For	For
1.4	Elect Michael W. Harlan	Mgmt	For	For	For
1.5	Elect Larry S. Hughes	Mgmt	For	For	For
1.6	Elect Susan Lee	Mgmt	For	For	For
1.7	Elect William J. Razzouk	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Against	Against	For

Waste Management, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WM	CUSIP 94106L109	05/14/2018	Voted		
Meeting Type		Country of Trade			

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Bradbury H. Anderson	Mgmt	For	For	For
2	Elect Frank M. Clark, Jr.	Mgmt	For	For	For
3	Elect James C Fish	Mgmt	For	For	For
4	Elect Andres R. Gluski	Mgmt	For	For	For
5	Elect Patrick W. Gross	Mgmt	For	For	For
6	Elect Victoria M. Holt	Mgmt	For	For	For
7	Elect Kathleen M. Mazarella	Mgmt	For	For	For
8	Elect John C. Pope	Mgmt	For	For	For
9	Elect Thomas H. Weidemeyer	Mgmt	For	Against	Against
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	Against	For

Fund Name : VanEck Vectors Gaming ETF

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Aristocrat Leisure Limited		Meeting Date	Meeting Status
Ticker	Security ID:	02/22/2018	Voted
ALL	CINS Q0521T108		
Meeting Type	Country of Trade		
Annual	Australia		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Neil G. Chatfield	Mgmt	For	For	For
3	Approve Increase in NEDs' Fee Cap	Mgmt	N/A	For	N/A
4	Equity Grant (MD/CEO Trevor Croker)	Mgmt	For	For	For
5	Remuneration Report	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Betsson AB		Meeting Date	Meeting Status
Ticker	Security ID:	05/15/2018	Voted
BETSB	CINS W1556U344		
Meeting Type	Country of Trade		
Annual	Sweden		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Accounts and Reports	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	Ratification of Board and CEO Acts	Mgmt	For	For	For
15	Board Size; Number of Auditors	Mgmt	For	For	For
16	Directors and Auditors' Fees	Mgmt	For	Against	Against
17	Election of Directors; Appointment of the Auditor	Mgmt	For	For	For
18	Nomination Committee	Mgmt	For	For	For
19	Remuneration Guidelines	Mgmt	For	For	For
20	Transferable Option Plan	Mgmt	For	For	For
21	Stock Option Plan	Mgmt	For	For	For
22	Share Redemption Plan	Mgmt	For	For	For
23	Authority to Repurchase and Transfer Shares	Mgmt	For	For	For
24	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
25	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

### Betsson AB

Ticker	Security ID:	Meeting Date	Meeting Status		
BETSB	CINS W1556U344	08/07/2017	Voted		
Meeting Type	Country of Trade				
Special	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Board Size	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
12	Elect Fredrik Carlsson	Mgmt	For	For	For
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

### Boyd Gaming Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
BYD	ISIN US1033041013	04/12/2018	Voted		
Meeting Type	Country of Trade				
Annual	US				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	Non Voting	For	N/A
1.1	Elect John R. Bailey	Mgmt	For	Withhold	Against
1.2	Elect Robert L.	Mgmt	For	Withhold	Against

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	Boughner					
1.3	Elect William R. Boyd	Mgmt	For	For	For	For
1.4	Elect William S. Boyd	Mgmt	For	For	For	For
1.5	Elect Richard E. Flaherty	Mgmt	For	For	For	For
1.6	Elect Marianne Boyd Johnson	Mgmt	For	For	For	For
1.7	Elect Keith E. Smith	Mgmt	For	For	For	For
1.8	Elect Christine J. Spadafor	Mgmt	For	For	For	For
1.9	Elect Peter M. Thomas	Mgmt	For	For	For	For
1.10	Elect Paul W. Whetsell	Mgmt	For	For	For	For
1.11	Elect Veronica J. Wilson	Mgmt	For	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For	For

Caesars Entertainment Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
CZR	CUSIP 127686103	05/30/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark Frissora	Mgmt	For	For	For
1.2	Elect James S. Hunt	Mgmt	For	For	For
1.3	Elect John Dionne	Mgmt	For	For	For
1.4	Elect Richard P. Schifter	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Transaction of Other Business	Mgmt	For	Against	Against

Churchill Downs Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
CHDN	CUSIP 171484108	04/24/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William C. Carstanjen	Mgmt	For	For	For
1.2	Elect Karole F. Lloyd	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Crown Resorts Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CWN	CINS Q3015N108	10/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect James D. Packer	Mgmt	For	For	For
3	Re-Elect Andrew Demetriou	Mgmt	For	For	For
4	Re-Elect Harold C. Mitchell	Mgmt	For	For	For
5	REMUNERATION REPORT	Mgmt	For	Against	Against
6	Approve Termination	Mgmt	For	For	For

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Benefits (Former  
MD/CEO Rowen  
Craigie)

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Eldorado Resorts Inc						
Ticker	Security ID:	Meeting Date	Meeting Status			
ERI	CUSIP 28470R102	06/20/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1.1	Elect Gary L. Carano	Mgmt	For		For	For
1.2	Elect Frank J. Fahrenkopf, Jr.	Mgmt	For		For	For
1.3	Elect James B. Hawkins	Mgmt	For		Withhold	Against
1.4	Elect Michael E. Pegram	Mgmt	For		For	For
1.5	Elect Thomas R. Reeg	Mgmt	For		Withhold	Against
1.6	Elect David P. Tomick	Mgmt	For		For	For
1.7	Elect Roger P. Wagner	Mgmt	For		For	For
1.8	Elect Bonnie Biumi	Mgmt	For		For	For
1.9	Elect Gregory J. Kozicz	Mgmt	For		For	For
2	Ratification of Auditor	Mgmt	For		For	For
3	Increase of Authorized Common Stock	Mgmt	For		For	For
4	Advisory Vote on Executive Compensation	Mgmt	For		For	For

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Galaxy Entertainment Group Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
0027	CINS Y2679D118	05/03/2018	Voted			
Meeting Type		Country of Trade				
Annual		Hong Kong				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
3	Accounts and Reports	Mgmt	For		For	For
4	Elect Francis LUI Yiu Tung	Mgmt	For		Against	Against
5	Elect Patrick WONG Lung Tak	Mgmt	For		Against	Against
6	Directors' Fees	Mgmt	For		For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For		For	For
8	Authority to Repurchase Shares	Mgmt	For		For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For		Against	Against
10	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
11	Authority to Issue Repurchased Shares	Mgmt	For		Against	Against

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Gaming And Leisure Properties, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			

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GLPI	CUSIP 36467J108	06/14/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David A. Handler	Mgmt	For	For	For
1.2	Elect Joseph W. Marshall, III	Mgmt	For	For	For
1.3	Elect James B. Perry	Mgmt	For	For	For
1.4	Elect Barry F. Schwartz	Mgmt	For	For	For
1.5	Elect Earl Shanks	Mgmt	For	For	For
1.6	Elect E. Scott Urdang	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For

Genting Malaysia Berhad					
Ticker	Security ID:	Meeting Date	Meeting Status		
GENM	CINS Y2698A103	06/05/2018	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Directors' Fees	Mgmt	For	For	For
3	Directors' benefits-in-kind	Mgmt	For	For	For
4	Elect LIM Kok Thay	Mgmt	For	For	For
5	Elect TEO Eng Siong	Mgmt	For	For	For
6	Elect Mohammed Hanif bin Omar	Mgmt	For	Against	Against
7	Elect Alwi Jantan	Mgmt	For	Against	Against
8	Elect Clifford Francis Herbert	Mgmt	For	Against	Against
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
11	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For

Genting Singapore PLC					
Ticker	Security ID:	Meeting Date	Meeting Status		
G13	CINS G3825Q102	04/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	ISLE OF MAN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of	Mgmt	For	For	For

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	Profits/Dividends				
2	Elect TAN Hee Teck	Mgmt	For	Against	Against
3	Elect KOH Seow Chuan	Mgmt	For	For	For
4	Elect Jonathan Asherson	Mgmt	For	For	For
5	Elect TAN Wah Yeow	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Genting Singapore PLC

Ticker	Security ID:	Meeting Date	Meeting Status		
G13	CINS G3825Q102	04/17/2018	Voted		
Meeting Type		Country of Trade			
Special		ISLE OF MAN			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Domicile of the Company	Mgmt	For	For	For
2	Change in Company Name	Mgmt	For	For	For
3	Adoption of New Constitution	Mgmt	For	For	For

Greek Organisation Of Football Prognostics S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
OPAP	CINS X3232T104	04/25/2018	Voted		
Meeting Type		Country of Trade			
Ordinary		Greece			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Bonus	Mgmt	For	For	For
4	Ratification of Board and Auditor Acts	Mgmt	For	For	For
5	Directors' Fees FY 2017	Mgmt	For	For	For
6	Directors' Fees FY 2018	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Board Transactions	Mgmt	For	For	For
9	Trademark License Agreement with Hellenic Lotteries S.A.	Mgmt	For	For	For
10	Lease Agreement with KKCG UK Limited.	Mgmt	For	For	For
11	Name and Trademark	Mgmt	For	For	For

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	License Agreement with Tora Direct S.A.					
12	Name and Trademark License Agreement with Tora Wallet S.A.	Mgmt	For	For	For	
13	Corporate Guarantee with Hellenic Lotteries S.A.	Mgmt	For	For	For	
14	Subscription Agreement with Tora Direct S.A (Bond Loan I)	Mgmt	For	For	For	
15	Subscription Agreement with Tora Direct S.A (Bond Loan II)	Mgmt	For	For	For	
16	Subscription Agreement with Horseraces S.A (Bond Loan)	Mgmt	For	For	For	
17	Elect Kamil Ziegler	Mgmt	For	For	For	
18	Elect Damian Cope	Mgmt	For	For	For	
19	Elect Spyridon Fokas	Mgmt	For	Against	Against	
20	Elect Pavel Saroch	Mgmt	For	For	For	
21	Elect Michal Houst	Mgmt	For	For	For	
22	Elect Pavel Horak	Mgmt	For	Against	Against	
23	Elect Robert Chvatal	Mgmt	For	For	For	
24	Elect Christos Kopelouzos	Mgmt	For	For	For	
25	Elect Marco Sala	Mgmt	For	For	For	
26	Elect Igor Rusek	Mgmt	For	For	For	
27	Elect Rudolf Jurcik	Mgmt	For	For	For	
28	Elect Dimitrios Potamitis	Mgmt	For	For	For	
29	Elect Stylianos Kostopoulos	Mgmt	For	For	For	
30	Election of Audit Committee Members	Mgmt	For	For	For	
31	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Greek Organisation Of Football Prognostics S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
OPAP	CINS X3232T104	12/06/2017	Take No Action		
Meeting Type	Country of Trade				
Special	Greece				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Dividends from Retained Earnings	Mgmt	For	TNA	N/A
4	Employment Agreement with Kamil Ziegler, Chair and CEO	Mgmt	For	TNA	N/A
5	Employment Agreement with Michal Houst,	Mgmt	For	TNA	N/A

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6	CFO Non-Voting Meeting Note	N/A	N/A	TNA	N/A
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GVC Holdings Plc						
Ticker	Security ID:	Meeting Date		Meeting Status		
GVC	ISIN IM00B5VQMV65	03/08/2018		Voted		
Meeting Type		Country of Trade				
Special		IM				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Acquisition	N/A	For	For	For	
2	Issuance of Shares for Acquisition	N/A	For	For	For	
3	Increase in Authorized Share Capital	N/A	For	For	For	
4	Indemnity in CVR Instrument	N/A	For	For	For	

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GVC Holdings Plc						
Ticker	Security ID:	Meeting Date		Meeting Status		
GVC	CINS G427A6103	06/06/2018		Voted		
Meeting Type		Country of Trade				
Annual		ISLE OF MAN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Remuneration Report (Advisory)	Mgmt	For	Against	Against	
3	Appointment of Auditor	Mgmt	For	For	For	
4	Authority to Set Auditor's Fees	Mgmt	For	For	For	
5	Elect Jane Anscombe	Mgmt	For	For	For	
6	Elect Paul Bowtell	Mgmt	For	For	For	
7	Elect Kenneth J Alexander	Mgmt	For	For	For	
8	Elect Karl Diacono	Mgmt	For	Against	Against	
9	Elect Lee Feldman	Mgmt	For	For	For	
10	Elect Peter Isola	Mgmt	For	Against	Against	
11	Elect Stephen Morana	Mgmt	For	For	For	
12	Elect Will Whitehorn	Mgmt	For	For	For	
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
15	Authority to Repurchase Shares	Mgmt	For	For	For	
16	Amendments to Articles	Mgmt	For	For	For	

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GVC Holdings Plc						
Ticker	Security ID:	Meeting Date		Meeting Status		
GVC	CINS G427A6103	12/14/2017		Voted		
Meeting Type		Country of Trade				
Special		ISLE OF MAN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Remuneration Policy (Binding)	Mgmt	For	Against	Against	

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2	Annual and Deferred Bonus Plan	Mgmt	For	Against	Against
3	Long Term Incentive Plan	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### Heiwa Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
6412	CINS J19194109	06/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Katsuya Minei	Mgmt	For	Against	Against
4	Elect Toshinobu Moromizato	Mgmt	For	For	For
5	Elect Yutaka Ohta	Mgmt	For	For	For
6	Elect Mikio Miyara	Mgmt	For	For	For
7	Elect Toshio Yoshino	Mgmt	For	For	For
8	Elect Katsumasa Nakada	Mgmt	For	For	For
9	Elect Takashi Sagehashi	Mgmt	For	For	For
10	Elect Nobuki Katsumata	Mgmt	For	For	For
11	Elect Hisao Arai	Mgmt	For	For	For
12	Elect Tamiki Kaneshi	Mgmt	For	Against	Against
13	Elect Kohta Yamaguchi	Mgmt	For	For	For
14	Elect Yasuaki Ikemoto	Mgmt	For	For	For
15	Elect Akinori Endoh	Mgmt	For	For	For

### International Game Technology PLC

Ticker	Security ID:	Meeting Date	Meeting Status		
IGT	CUSIP G4863A108	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Elect Marco Sala	Mgmt	For	For	For
5	Elect Paget Alves	Mgmt	For	For	For
6	Elect Alberto Dessy	Mgmt	For	For	For
7	Elect Marco Drago	Mgmt	For	For	For
8	Elect Patti Hart	Mgmt	For	For	For
9	Elect James McCann	Mgmt	For	For	For
10	Elect Heather McGregor	Mgmt	For	For	For
11	Elect Lorenzo Pelliccioli	Mgmt	For	For	For
12	Elect Vincent Sadusky	Mgmt	For	For	For
13	Elect Philip Satre	Mgmt	For	For	For
14	Elect Gianmario Tondato Da Ruos	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For

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17	Authorisation of Political Donations	Mgmt	For	For	For
18	Adoption of New Articles of Association	Mgmt	For	Abstain	Against

Kangwon Land, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
035250	CINS Y4581L105	03/29/2018	Voted		
Meeting Type		Country of Trade			
Annual		Korea, Republic of			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Amendments to Articles	Mgmt	For	Against	Against
3	Directors' Fees	Mgmt	For	Against	Against

Kangwon Land, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
035250	CINS Y4581L105	12/21/2017	Voted		
Meeting Type		Country of Trade			
Special		Korea, Republic of			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Elect MOON Tae Gon	Mgmt	For	Against	Against
4	Elect YOO Tae Yeol	Mgmt	For	N/A	N/A
5	Elect LEE Seung Jin	Mgmt	For	N/A	N/A
6	Elect LEE Wook	Mgmt	For	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Elect KIM Sung Won	Mgmt	For	Against	Against
9	Elect Seok In Young	Mgmt	For	Against	Against
10	Elect SUNG Chul Kyung	Mgmt	For	Against	Against
11	Elect HAN Hyung Min	Mgmt	For	Against	Against
12	Elect KWON Soon Rok	Mgmt	For	For	For
13	Elect YANG Min Seok	Mgmt	For	For	For
14	Elect KIM Joo Il	Mgmt	For	For	For
15	Elect KIM Jin Kak	Mgmt	For	For	For
16	Elect LEE Yoon Jae	Mgmt	For	For	For
17	Directors' Fees	Mgmt	For	Against	Against

Kindred Group plc

Ticker	Security ID:	Meeting Date	Meeting Status		
KINDSDB	CINS X9415A119	05/15/2018	Voted		
Meeting Type		Country of Trade			
Annual		Malta			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Accounts and Reports	Mgmt	For	For	For

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10	Remuneration Report	Mgmt	For	For	For
11	Board Size	Mgmt	For	For	For
12	Directors' Fees	Mgmt	For	For	For
13	Elect Kristofer Arwin	Mgmt	For	For	For
14	Elect Peter Boggs	Mgmt	For	For	For
15	Elect Peter Friis	Mgmt	For	Against	Against
16	Elect Stefan Lundborg	Mgmt	For	For	For
17	Elect Anders Strom	Mgmt	For	Against	Against
18	Elect Helene Barnekow	Mgmt	For	For	For
19	Elect Gunnel Duveblad	Mgmt	For	For	For
20	Elect Anders Strom as Chair	Mgmt	For	Against	Against
21	Nomination Committee	Mgmt	For	For	For
22	Appointment of Auditor	Mgmt	For	For	For
23	Remuneration Guidelines	Mgmt	For	For	For
24	Authority to Issue Shares Pursuant to LTIP	Mgmt	For	For	For
25	Authority to Repurchase Shares	Mgmt	For	For	For
26	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
27	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
28	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
29	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
30	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
31	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Ladbrokes Coral Group Plc						
Ticker	Security ID:	Meeting Date		Meeting Status		
LCL	CINS G5337D107	03/08/2018		Voted		
Meeting Type		Country of Trade				
Court		United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Approve Scheme of Arrangement	Mgmt	For	For	For	

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Ladbrokes Coral Group Plc						
Ticker	Security ID:	Meeting Date		Meeting Status		
LCL	CINS G5337D107	03/08/2018		Voted		
Meeting Type		Country of Trade				
Ordinary		United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Effect Scheme of Arrangement	Mgmt	For	For	For	
3	Amend Articles of Association	Mgmt	For	For	For	
4	Re-Register Company as Private Company	Mgmt	For	For	For	

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Landing International Development Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
582	CINS G5369T129	04/30/2018		Voted		
Meeting Type		Country of Trade				
Annual		Bermuda				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect ZHOU Xueyun	Mgmt	For	For	For
5	Elect BAO Jinqiao	Mgmt	For	Against	Against
6	Elect WONG Chun Hung	Mgmt	For	For	For
7	Authority to Appoint Additional Directors	Mgmt	For	Against	Against
8	Directors' Fees	Mgmt	For	Against	Against
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
10	Authority to Repurchase Shares	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
13	Refreshment of Share Option Scheme	Mgmt	For	Against	Against
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Las Vegas Sands Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
LVS	CUSIP 517834107	06/07/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Repeal of Classified Board	Mgmt	For	For	For
2	Elect Sheldon G. Adelson	Mgmt	For	For	For
3	Elect Irwin Chafetz	Mgmt	For	For	For
4	Elect Micheline Chau	Mgmt	For	For	For
5	Elect Patrick Dumont	Mgmt	For	Abstain	Against
6	Elect Charles D. Forman	Mgmt	For	For	For
7	Elect Steven L. Gerard	Mgmt	For	Abstain	Against
8	Elect Robert Glen Goldstein	Mgmt	For	For	For
9	Elect George Jamieson	Mgmt	For	For	For
10	Elect Charles A. Koppelman	Mgmt	For	For	For
11	Elect Lewis Kramer	Mgmt	For	For	For
12	Elect David F. Levi	Mgmt	For	For	For
13	Elect Micheline Chau	Mgmt	For	For	For
14	Elect Patrick Dumont	Mgmt	For	Abstain	Against
15	Elect David F. Levi	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
18	Amendment to the Executive Cash Incentive	Mgmt	For	Against	Against

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Plan

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Melco International Development Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0200	CINS Y59683188	06/07/2018		Voted	
Meeting Type		Country of Trade			
Annual		Hong Kong			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Clarence CHUNG Yuk Man	Mgmt	For	For	For
5	Elect Frank TSUI Che Yin	Mgmt	For	Against	Against
6	Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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MGM China Holdings Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
2282	CINS G60744102	05/24/2018		Voted	
Meeting Type		Country of Trade			
Annual		Cayman Islands			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect WONG Chen Yau	Mgmt	For	For	For
6	Elect William Joseph Hornbuckle	Mgmt	For	Against	Against
7	Elect Daniel J. D'Arrigo	Mgmt	For	Against	Against
8	Elect Russell Francis Banham	Mgmt	For	For	For
9	Elect Kenneth Xiaofeng Feng	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Authority to	Mgmt	For	For	For

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14	Repurchase Shares Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
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MGM Resorts International					
Ticker	Security ID:	Meeting Date		Meeting Status	
MGM	CUSIP 552953101	05/02/2018		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert H. Baldwin	Mgmt	For	For	For
2	Elect William A. Bible	Mgmt	For	For	For
3	Elect Mary Chris Gay	Mgmt	For	For	For
4	Elect William W. Grounds	Mgmt	For	For	For
5	Elect Alexis M. Herman	Mgmt	For	For	For
6	Elect Roland Hernandez	Mgmt	For	For	For
7	Elect John B. Kilroy, Jr.	Mgmt	For	For	For
8	Elect Rose McKinney-James	Mgmt	For	For	For
9	Elect James J. Murren	Mgmt	For	For	For
10	Elect Gregory M. Spierkel	Mgmt	For	For	For
11	Elect Jan Swartz	Mgmt	For	For	For
12	Elect Daniel J. Taylor	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
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Nagacorp Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
3918	CINS G6382M109	04/27/2018		Voted	
Meeting Type		Country of Trade			
Annual		Cayman Islands			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Timothy P. McNally	Mgmt	For	For	For
6	Elect Philip LEE Wai Tuck	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Authority to Repurchase Shares	Mgmt	For	For	For
11	Authority to Issue Repurchased	Mgmt	For	Against	Against

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Shares

NetEnt publ AB						
Ticker	Security ID:	Meeting Date		Meeting Status		
NET'B	CINS W5938J307	04/25/2018		Voted		
Meeting Type		Country of Trade				
Annual		Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
12	Accounts and Reports	Mgmt	For	For	For	
13	Allocation of Profits/Dividends	Mgmt	For	For	For	
14	Ratification of Board and CEO Acts	Mgmt	For	For	For	
15	Board Size	Mgmt	For	For	For	
16	Directors and Auditors' Fees	Mgmt	For	For	For	
17	Election of Directors	Mgmt	For	For	For	
18	Appointment of Auditor	Mgmt	For	For	For	
19	Nomination Committee	Mgmt	For	For	For	
20	Remuneration Guidelines	Mgmt	For	For	For	
21	Share Redemption Plan	Mgmt	For	For	For	
22	Authority to Repurchase Shares	Mgmt	For	For	For	
23	Authority to Issue Treasury Shares	Mgmt	For	For	For	
24	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
25	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

  

Paddy Power Betfair Plc						
Ticker	Security ID:	Meeting Date		Meeting Status		
PPB	CINS G68673113	05/18/2018		Voted		
Meeting Type		Country of Trade				
Annual		Ireland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Remuneration Report (Advisory)	Mgmt	For	For	For	
4	Remuneration Policy (Advisory - Non-UK Issuer)	Mgmt	For	For	For	
5	Elect Jan Bolz	Mgmt	For	For	For	
6	Elect Emer Timmons	Mgmt	For	For	For	
7	Re-elect Zillah	Mgmt	For	For	For	

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8	Byng-Thorne Re-elect Michael E. Cawley	Mgmt	For	For	For
9	Re-elect Ian Dyson	Mgmt	For	For	For
10	Re-elect Alex Gersh	Mgmt	For	For	For
11	Re-elect Peter Jackson	Mgmt	For	For	For
12	Re-elect Gary McGann	Mgmt	For	For	For
13	Re-elect Peter Rigby	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Authority to set price range for reissuance of treasury shares	Mgmt	For	For	For

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Paradise Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
034230	CINS Y6727J100	03/23/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Elect CHOI Young Il	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	Against	Against
4	Corporate Auditors' Fees	Mgmt	For	For	For

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Penn National Gaming, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PENN	CUSIP 707569109	03/29/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

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Penn National Gaming, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PENN	CUSIP 707569109	06/13/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1.1	Elect David A. Handler	Mgmt	For	For	For
1.2	Elect John M. Jacquemin	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	2018 Long Term Incentive Compensation Plan	Mgmt	For	For	For

Playtech Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
PTEC	CINS G7132V100	05/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	ISLE OF MAN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Paul Hewitt	Mgmt	For	For	For
6	Elect John E. Jackson	Mgmt	For	For	For
7	Elect Claire Milne	Mgmt	For	For	For
8	Elect Andrew Thomas	Mgmt	For	For	For
9	Elect Alan Jackson	Mgmt	For	For	For
10	Elect Andrew Smith	Mgmt	For	For	For
11	Elect Mor Weizer	Mgmt	For	For	For
12	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For

Playtech Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
PTEC	CINS G7132V100	05/29/2018	Voted		
Meeting Type	Country of Trade				
Ordinary	ISLE OF MAN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For

Sands China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1928	CINS G7800X107	05/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For

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4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Robert Glen Goldstein	Mgmt	For	For	For
6	Elect Charles Daniel Forman	Mgmt	For	For	For
7	Elect Steven Zygmunt Strasser	Mgmt	For	For	For
8	Elect WANG Sing	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

Sankyo Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
6417	CINS J67844100	06/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Hideyuki Busujima	Mgmt	For	For	For
4	Elect Kimihisa Tsutsui	Mgmt	For	For	For
5	Elect Ichiroh Tomiyama	Mgmt	For	For	For
6	Elect Taroh Kitani	Mgmt	For	For	For
7	Elect Hiroyuki Yamasaki	Mgmt	For	For	For

Scientific Games Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
SGMS	CUSIP 80874P109	06/13/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ronald O. Perelman	Mgmt	For	For	For
1.2	Elect Barry L. Cottle	Mgmt	For	For	For
1.3	Elect Peter A. Cohen	Mgmt	For	For	For
1.4	Elect Richard M. Haddrill	Mgmt	For	For	For
1.5	Elect M. Gavin Isaacs	Mgmt	For	For	For
1.6	Elect Viet D. Dinh	Mgmt	For	Withhold	Against
1.7	Elect Gerald J. Ford	Mgmt	For	For	For
1.8	Elect David L. Kennedy	Mgmt	For	Withhold	Against
1.9	Elect Gabrielle K. McDonald	Mgmt	For	For	For
1.10	Elect Paul M. Meister	Mgmt	For	For	For
1.11	Elect Michael J. Regan	Mgmt	For	For	For
1.12	Elect Barry F. Schwartz	Mgmt	For	For	For
1.13	Elect Kevin M. Sheehan	Mgmt	For	For	For

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1.14	Elect Frances F. Townsend	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Adoption of Shareholder Rights Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

Sega Sammy Holdings Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
6460	CINS J7028D104	06/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Elect Hajime Satomi	Mgmt	For	Against	Against
4	Elect Haruki Satomi	Mgmt	For	For	For
5	Elect Naoya Tsurumi	Mgmt	For	For	For
6	Elect Kohichi Fukazawa	Mgmt	For	For	For
7	Elect Hideki Okamura	Mgmt	For	For	For
8	Elect Takeshi Natsuno	Mgmt	For	Against	Against
9	Elect Kohhei Katsukawa	Mgmt	For	For	For
10	Elect Hiroshi Ohnishi	Mgmt	For	For	For

SJM Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
088	CINS Y8076V106	06/12/2018	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect David SHUM Hong Kuen	Mgmt	For	For	For
6	Elect Abraham SHEK Lai Him	Mgmt	For	Against	Against
7	Elect Aloysius TSE Hau Yin	Mgmt	For	For	For
8	Elect CHAN Un Chan	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Grant Options under Share Option Scheme	Mgmt	For	Against	Against
12	Authority to Repurchase Shares	Mgmt	For	For	For

Skycity Entertainment Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status
SKC	CINS Q8513Z115	10/20/2017	Voted

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Meeting Type		Country of Trade			
Annual		New Zealand			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jennifer Owen	Mgmt	For	For	For
2	Elect Murray P. Jordan	Mgmt	For	For	For
3	Elect Robert (Rob) J. Campbell	Mgmt	For	For	For
4	Re-elect Sue H. Suckling	Mgmt	For	For	For
5	Re-elect Brent Harman	Mgmt	For	For	For
6	Authorise Board to Set Auditor's Fees	Mgmt	For	For	For

Tabcorp Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TAH	CINS Q8815D101	10/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Equity Grant (MD/CEO David Attenborough)	Mgmt	For	For	For
4	Elect Bruce Akhurst	Mgmt	For	For	For
5	Elect Vickki McFadden	Mgmt	For	For	For
6	Re-elect Zygmunt (Ziggy) E. Switkowski	Mgmt	For	Against	Against
7	Approve Financial Assistance (Intecq Acquisition)	Mgmt	For	For	For
8	Approve Financial Assistance (Tatts Group)	Mgmt	For	For	For

Tatts Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TTS	CINS Q8852J102	12/12/2017	Voted		
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	Against	Against
3	Re-elect Kevin Seymour	Mgmt	For	For	For
4	Re-elect David Watson	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Tatts Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TTS	CINS Q8852J102	12/12/2017	Voted		
Meeting Type		Country of Trade			
Special		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Scheme of Arrangement	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### The Star Entertainment Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SGR	CINS Q8719T103	10/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect John O'Neill	Mgmt	For	For	For
3	Re-elect Katie Lahey	Mgmt	For	For	For
4	REMUNERATION REPORT	Mgmt	For	For	For
5	Equity Grant (MD/CEO Matt Bekier)	Mgmt	For	For	For
6	Amendments to Constitution	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Renew Proportional Takeover Provisions	Mgmt	For	For	For

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### The Stars Group Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
TSGI	CUSIP 85570W100	05/10/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Divyesh Gadhia	Mgmt	For	For	For
1.2	Elect Rafael Ashkenazi	Mgmt	For	For	For
1.3	Elect Harlan W. Goodson	Mgmt	For	For	For
1.4	Elect Alfred F. Hurley, Jr.	Mgmt	For	For	For
1.5	Elect David A. Lazzarato	Mgmt	For	For	For
1.6	Elect Mary Turner	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Amendment to the Equity Incentive Plan	Mgmt	For	For	For

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### Tsogo Sun Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TSH	CINS S32244113	09/14/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	Share Issuance Pursuant to Acquisition	Mgmt	For	For	For
3	Cancellation of Shares Pursuant to Transaction	Mgmt	For	For	For
4	Authorization of Legal Formalities Pursuant to Transaction	Mgmt	For	For	For

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Tsogo Sun Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
TSH	CINS S32244113	10/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Elect Jacques Booysen	Mgmt	For	For	For
4	Re-elect Marcel Golding	Mgmt	For	Against	Against
5	Re-elect Elias Mphande	Mgmt	For	Against	Against
6	Re-elect Jabu Ngcobo	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Mac Gani)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Busi Mabuza)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Jabu Ngcobo)	Mgmt	For	For	For
10	APPROVE REMUNERATION POLICY	Mgmt	For	Against	Against
11	Approve Implementation Report	Mgmt	For	Against	Against
12	Approve NEDs' Fees	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
15	Approve Financial Assistance (Section 41, 42, 44 and 45)	Mgmt	For	For	For

William Hill plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
WMH	CINS G9645P117	05/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	Against	Against
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Roger Devlin	Mgmt	For	For	For
5	Elect Ruth Prior	Mgmt	For	For	For
6	Elect Philip Bowcock	Mgmt	For	For	For
7	Elect Mark Brooker	Mgmt	For	For	For
8	Elect Sir Roy Gardner	Mgmt	For	For	For
9	Elect Georgina Harvey	Mgmt	For	For	For
10	Elect David S. Lowden	Mgmt	For	For	For
11	Elect John P O'Reilly	Mgmt	For	For	For
12	Elect Robin Terrell	Mgmt	For	For	For

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13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Authorisation of Political Donations	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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Wynn Macau Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1128	CINS G98149100	06/01/2018	Voted		
Meeting Type		Country of Trade			
Annual		Cayman Islands			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect Matthew O. Maddox	Mgmt	For	For	For
5	Elect Linda Chen	Mgmt	For	For	For
6	Elect Maurice L. Wooden	Mgmt	For	For	For
7	Elect Bruce P. Rockowitz	Mgmt	For	For	For
8	Elect Jeffrey LAM Kin Fung	Mgmt	For	Against	Against
9	Elect Nicholas R. Sallnow-Smith	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
15	Authority to Issue Shares Under the Employee Ownership Scheme	Mgmt	For	Against	Against

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Wynn Resorts, Limited

Ticker	Security ID:	Meeting Date	Meeting Status
WYNN	CUSIP 983134107	05/16/2018	Take No Action
Meeting Type		Country of Trade	

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Proxy Contest		United States		Vote Cast	For/Against Mgmt
Issue No.	Description	Proponent	Mgmt Rec		
1.1	Elect Management Nominee John J. Hagenbuch	ShrHolder	N/A	TNA	N/A
1.2	Elect Management Nominee Betsy Atkins	ShrHolder	N/A	TNA	N/A
1.3	Elect Management Nominee Patricia Mulroy	ShrHolder	N/A	TNA	N/A
2	Ratification of Auditor	ShrHolder	N/A	TNA	N/A
3	Advisory Vote on Executive Compensation	ShrHolder	N/A	TNA	N/A
4	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHolder	N/A	TNA	N/A

Wynn Resorts, Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WYNN	CUSIP 983134107	05/16/2018	Voted		
Meeting Type		Country of Trade			
Proxy Contest		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Betsy Atkins	Mgmt	For	For	For
1.2	Elect John J. Hagenbuch	Mgmt	For	Withhold	Against
1.3	Elect Patricia Mulroy	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHolder	Against	For	Against

Fund Name : VanEck Vectors Generic Drug ETF

Albemarle Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ALB	CUSIP 012653101	05/08/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Advisory Vote on Executive Compensation	Mgmt	For	For	For
2	Elect M. Laurie Brlas	Mgmt	For	For	For
3	Elect William H. Hernandez	Mgmt	For	For	For
4	Elect Luther C. Kissam IV	Mgmt	For	For	For
5	Elect Douglas L. Maine	Mgmt	For	For	For
6	Elect J. Kent Masters	Mgmt	For	For	For
7	Elect James J. O'Brien	Mgmt	For	For	For
8	Elect Diarmuid B.	Mgmt	For	For	For

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	O'Connell					
9	Elect Dean Seavers	Mgmt	For	For	For	For
10	Elect Gerald A. Steiner	Mgmt	For	For	For	For
11	Elect Harriett Tee Taggart	Mgmt	For	For	For	For
12	Elect Alejandro D. Wolff	Mgmt	For	For	For	For
13	Elimination of Supermajority Requirement	Mgmt	For	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For	For

Alkermes plc

Ticker	Security ID:	Meeting Date	Meeting Status		
ALKS	CUSIP G01767105	05/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Floyd E. Bloom	Mgmt	For	For	For
2	Elect Nancy L. Snyderman	Mgmt	For	For	For
3	Elect Nancy Wysenski	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Approval of the 2018 Stock Option and Incentive Plan	Mgmt	For	For	For

Aspen Pharmacare Holdings Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
APN	CINS S0754A105	12/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Social and Ethics Committee Report	Mgmt	For	For	For
3	Re-elect Roy C. Andersen	Mgmt	For	For	For
4	Re-elect John F. Buchanan	Mgmt	For	For	For
5	Re-elect Douglas (Kuseni) Dlamini	Mgmt	For	For	For
6	Re-elect Maureen Manyama-Matome	Mgmt	For	For	For
7	Re-elect Christopher (Chris) N. Mortimer	Mgmt	For	Against	Against
8	Appointment of Auditor	Mgmt	For	For	For
9	Elect Audit and Risk	Mgmt	For	For	For

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	Committee Member (Roy Andersen)				
10	Elect Audit and Risk Committee Member (John Buchanan)	Mgmt	For	For	For
11	Elect Audit and Risk Committee Member (Maureen Manyama-Matome)	Mgmt	For	For	For
12	Elect Audit and Risk Committee Member (Babalwa Ngonyama)	Mgmt	For	For	For
13	Elect Audit and Risk Committee Member (Sindi Zilwa)	Mgmt	For	For	For
14	General Authority to Issue Shares	Mgmt	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	Approve Remuneration Policy	Mgmt	For	For	For
17	Approve Remuneration Implementation Report	Mgmt	For	For	For
18	Authorisation of Legal Formalities	Mgmt	For	For	For
19	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
20	Approve NEDs' Fees (Member)	Mgmt	For	For	For
21	Approve NEDs' Fees (Audit and Risk Committee Chairman)	Mgmt	For	For	For
22	Approve NEDs' Fees (Audit and Risk Committee Member)	Mgmt	For	For	For
23	Approve NEDs' Fees (Remuneration and Nomination Committee Chairman)	Mgmt	For	For	For
24	Approve NEDs' Fees (Remuneration and Nomination Committee Member)	Mgmt	For	For	For
25	Approve NEDs' Fees (Social and Ethics Committee Chairman)	Mgmt	For	For	For
26	Approve NEDs' Fees (Social and Ethics Committee Member)	Mgmt	For	For	For
27	Approval of Financial Assistance	Mgmt	For	For	For
28	Authority to	Mgmt	For	For	For

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Repurchase  
Shares

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Aurobindo Pharma Ltd		Meeting Date		Meeting Status	
Ticker	Security ID:	08/31/2017		Voted	
AUROPHARMA	CINS Y04527142	Country of Trade			
Meeting Type		India			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports (Standalone)	Mgmt	For	For	For
2	Accounts and Reports (Consolidated)	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect P. Sarath Chandra Reddy	Mgmt	For	For	For
5	Elect M. Sivakumaran	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Reappointment P.V. Ramaprasad Reddy as Managing Director and Executive Chairman of a Subsidiary; Approval of Remuneration	Mgmt	For	For	For
8	Elect Rangaswamy R. Iyer	Mgmt	For	For	For
9	Approve Revised Remuneration of N. Govindarajan (Managing Director)	Mgmt	For	For	For

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Biocon Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	07/28/2017		Voted	
BIOCON	CINS Y0905C102	Country of Trade			
Meeting Type		India			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Ravi R. Mazumdar	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Russell Walls	Mgmt	For	For	For
6	Elect Mary Harney	Mgmt	For	For	For
7	Elect Daniel M. Bradbury	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Biocon Limited

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Ticker	Security ID:	Meeting Date	Meeting Status
BIOCON	CINS Y0905C102	12/05/2017	Voted
Meeting Type		Country of Trade	
Other		India	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Business Transfer Agreement	Mgmt	For
			For

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Cadila Healthcare Limited			
Ticker	Security ID:	Meeting Date	Meeting Status
CADILAHC	CINS Y1R73U123	08/11/2017	Voted
Meeting Type		Country of Trade	
Annual		India	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Accounts and Reports	Mgmt	For
3	Allocation of Profits/Dividends	Mgmt	For
4	Elect Mukesh M. Patel	Mgmt	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For
			Against
6	Appointment of Sharvil P. Patel (Joint Managing Director); Approval of Remuneration	Mgmt	For
			For
7	Authority to Set Cost Auditor's Fees	Mgmt	For
			For
8	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For
			For
9	Authority to Issue Non-Convertible Debentures	Mgmt	For
			For
10	Elect Ganesh Narayan Nayak	Mgmt	For
11	Appointment of Ganesh N. Nayak (COO and Executive Director); Approval of Remuneration	Mgmt	For
			For
12	Appointment of Sharvil P. Patel (Managing Director)	Mgmt	For
			For

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Celltrion, Inc.			
Ticker	Security ID:	Meeting Date	Meeting Status
068270	CINS Y1242A106	03/23/2018	Voted
Meeting Type		Country of Trade	
Annual		Korea, Republic of	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Accounts and	Mgmt	For
			Against
			Against

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Allocation of Profits/Dividends					
2	Elect SEO Jeong Jin	Mgmt	For	For	For
3	Elect KIM Dong Il	Mgmt	For	For	For
4	Elect LEE Yo Sep	Mgmt	For	For	For
5	Elect LEE Chong Seok	Mgmt	For	For	For
6	Elect JEON Byung Hoon	Mgmt	For	For	For
7	Elect CHO Gyun Suk	Mgmt	For	For	For
8	Elect CHO Hong Hui	Mgmt	For	For	For
9	Election of Audit Committee Member: KIM Dong Il	Mgmt	For	For	For
10	Election of Audit Committee Member: LEE Yo Sep	Mgmt	For	For	For
11	Election of Audit Committee Member: LEE Chong Seok	Mgmt	For	For	For
12	Election of Audit Committee Member: CHO Gyun Suk	Mgmt	For	For	For
13	Election of Audit Committee Member: CHO Hong Hui	Mgmt	For	For	For
14	Directors' Fees	Mgmt	For	Against	Against
15	Share Option Grant	Mgmt	For	Against	Against

Celltrion, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
068270	CINS Y1242A106	09/29/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Listing	Mgmt	For	For	For

Charles River Laboratories International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CRL	CUSIP 159864107	05/08/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James C. Foster	Mgmt	For	For	For
2	Elect Robert J. Bertolini	Mgmt	For	For	For
3	Elect Stephen D. Chubb	Mgmt	For	For	For
4	Elect Deborah T. Kochevar	Mgmt	For	For	For
5	Elect Martin Mackay	Mgmt	For	For	For
6	Elect Jean-Paul Mangeolle	Mgmt	For	For	For
7	Elect George E. Massaro	Mgmt	For	For	For
8	Elect George M. Milne, Jr.	Mgmt	For	Against	Against
9	Elect C. Richard Reese	Mgmt	For	For	For
10	Elect Craig B. Thompson	Mgmt	For	For	For

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11	Elect Richard F. Wallman	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	2018 Incentive Plan	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For

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### Chemical Works of Gedeon Richter Plc.

Ticker	Security ID:	Meeting Date	Meeting Status		
RICHTER	CINS X3124S107	04/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	Hungary				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Voting Method	Mgmt	For	For	For
6	Recording Device for Meeting	Mgmt	For	For	For
7	Election of Presiding Chair, Individuals to Keep Minutes and Counting Commission	Mgmt	For	For	For
8	Financial Statements (Consolidated)	Mgmt	For	For	For
9	Directors' Report	Mgmt	For	For	For
10	Financial Statements	Mgmt	For	For	For
11	Allocation of Profits/Dividends	Mgmt	For	For	For
12	Corporate Governance Report	Mgmt	For	For	For
13	Amendments to Article 2	Mgmt	For	For	For
14	Amendments to Articles 10.15 and 15.2-15.9	Mgmt	For	For	For
15	Amendments to Article 15.5	Mgmt	For	For	For
16	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	Against	Against
17	Directors' Report on Acquired Treasury Shares	Mgmt	For	For	For
18	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
19	Elect Anett Pandurics	Mgmt	For	For	For
20	Elect Balint Szecsenyi	Mgmt	For	For	For
21	Elect Klara Csikos Kovacsne	Mgmt	For	For	For
22	Elect Eva Kozsda Kovacsne	Mgmt	For	For	For
23	Elect Attila Chikan	Mgmt	For	For	For
24	Elect Jonathan Robert Bedros	Mgmt	For	For	For
25	Appoint Attila Chikan	Mgmt	For	For	For

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	and Jonathan Robert Bedros to the Audit Committee					
26	Elect Zsolt Harmath	Mgmt	For	For	For	
27	Appoint Zsolt Harmath to the Audit Committee	Mgmt	For	For	For	
28	Directors' Fees	Mgmt	For	For	For	
29	Supervisory Board Fees	Mgmt	For	For	For	
30	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Cipla Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CIPLA	CINS Y1633P142	08/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Radhakrishnan Sethuraman	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Ireena Vittal	Mgmt	For	For	For
7	Elect Peter A. Lankau	Mgmt	For	For	For
8	Revised Appointment Terms of Samina Vaziralli (Executive Vice-Chair); Approval of Remuneration	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
10	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
11	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

CSPC Pharmaceutical Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1093	CINS Y1837N109	05/25/2018	Voted		
Meeting Type		Country of Trade			
Annual		Hong Kong			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect WANG Huaiyu	Mgmt	For	For	For
6	Elect WANG Zhenguo	Mgmt	For	For	For
7	Elect LU Hua	Mgmt	For	For	For

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8	Elect LI Chunlei	Mgmt	For	For	For
9	Elect Yuk Lam Lo	Mgmt	For	For	For
10	Elect YU Jinming	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
15	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
16	Authority to Grant Options Under Share Option Scheme	Mgmt	For	Against	Against

Dr. Reddy's Laboratories

Ticker	Security ID:	Meeting Date	Meeting Status		
DRREDDY	CUSIP 256135203	01/20/2018	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendment to the Dr.Reddy's Employees Stock Option Scheme 2002 and Dr. Reddy's Employees ADR Stock Option Scheme 2007	Mgmt	For	Against	Against
2	Extension of Amended Dr. Reddy's Employee Stock Option Scheme 2002 and Dr. Reddy's ADR Stock Option Scheme 2007 to the Employee of Subsidiary Company	Mgmt	For	Against	Against
3	Holding of Office or Place of Profit by Akhil Ravi	Mgmt	For	For	For

Dr. Reddy's Laboratories

Ticker	Security ID:	Meeting Date	Meeting Status		
DRREDDY	CUSIP 256135203	07/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect G. V. Prasad	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set	Mgmt	For	For	For

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5	Fees Appointment of K. Satish Reddy (Whole-time Director - Chairman); Approval of Remuneration	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Guangzhou Baiyunshan Pharmaceutical Holdings Co. Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
0874	CINS Y2932P106	03/29/2018		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Conformity of Transaction with Provisions of Relevant Laws	Mgmt	For	For	For	
3	Resolution on Transaction Not Constituting a Connected Transaction	Mgmt	For	For	For	
4	Resolution on Conformity of Transaction with Rule 4 of Regulations of Major Assets Restructuring	Mgmt	For	For	For	
5	Implementation of Legal Procedures, Compliance, and Validity of Legal Documents	Mgmt	For	For	For	
6	Independence of Valuer, Reasonableness of Appraisal Assumptions, Relevance Between Appraisal Method and Purpose	Mgmt	For	For	For	
7	Confirmation of Audit Report and Valuation Report	Mgmt	For	For	For	
8	Resolution on Current Earnings will Not be Diluted	Mgmt	For	For	For	
9	The Overall Plan	Mgmt	For	For	For	
10	The Specific Plan: Evaluation Base Date	Mgmt	For	For	For	
11	The Specific Plan: Transaction Manner and Counterparties	Mgmt	For	For	For	

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12	The Specific Plan: Value of Target Assets	Mgmt	For	For	For
13	The Specific Plan: Payment of Consideration	Mgmt	For	For	For
14	The Specific Plan: Put Options	Mgmt	For	For	For
15	The Specific Plan: Arrangement of Profits and Losses	Mgmt	For	For	For
16	The Specific Plan: Employees	Mgmt	For	For	For
17	The Specific Plan: Compensation Scheme	Mgmt	For	For	For
18	The Specific Plan: Validity of Resolution	Mgmt	For	For	For
19	Agreements Related to Major Assets Restructuring	Mgmt	For	For	For
20	Report on The Material Acquisition of Assets	Mgmt	For	For	For
21	Board Authority to Implement Major Assets Restructuring	Mgmt	For	For	For

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Guangzhou Baiyunshan Pharmaceutical Holdings Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
0874	CINS Y2932P106	12/22/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Appointment of Internal Control Auditor	Mgmt	For	For	For	
4	Use of Proceeds from Placement	Mgmt	For	For	For	

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Guangzhou Baiyunshan Pharmaceutical Holdings Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
0874	CINS Y2932P106	06/22/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Directors' Report	Mgmt	For	For	For	
4	Supervisors' Report	Mgmt	For	For	For	
5	Financial Report	Mgmt	For	For	For	
6	Accounts and Reports	Mgmt	For	For	For	
7	Allocation of	Mgmt	For	For	For	

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	Profits/Dividends					
8	Financial Budget Report	Mgmt	For	For	For	For
9	Fees for LI Chuyuan	Mgmt	For	For	For	For
10	Fees for CHEN Mao	Mgmt	For	For	For	For
11	Fees for LIU Juyan	Mgmt	For	For	For	For
12	Fees for CHENG Ning	Mgmt	For	For	For	For
13	Fees for NI Yidong	Mgmt	For	For	For	For
14	Fees for WU Changhai	Mgmt	For	For	For	For
15	Fees for CHU Xiaoping	Mgmt	For	For	For	For
16	Fees for JIANG Wenqi	Mgmt	For	For	For	For
17	Fees for WONG Hin Wing	Mgmt	For	For	For	For
18	Fees for WANG Weihong	Mgmt	For	For	For	For
19	Fees for XIAN Jiaxiong	Mgmt	For	For	For	For
20	Fees for LI Jinyun	Mgmt	For	For	For	For
21	Fees for GAO Yanzhu	Mgmt	For	For	For	For
22	Authority to Give Guarantees	Mgmt	For	For	For	For
23	Application for Bank Loans	Mgmt	For	For	For	For
24	Approval of Entrusted Loans	Mgmt	For	Abstain	Against	Against
25	Continuing Connected Transactions for 2018	Mgmt	For	For	For	For
26	Shareholders' Return Plan 2018-2020	Mgmt	For	For	For	For
27	Amendments to Use of Proceeds	Mgmt	For	For	For	For
28	Utilization of Proceeds from Previous Fund Raising	Mgmt	For	For	For	For
29	Utilization of Proceeds from Internal Fund	Mgmt	For	For	For	For
30	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For
31	Appointment of Internal Auditor and Authority to Set Fees	Mgmt	For	For	For	For
32	Amendments to Procedural Rules for Board of Directors	Mgmt	For	For	For	For
33	Amendments to Procedural Rules for Supervisory Committee	Mgmt	For	For	For	For
34	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	Against
35	Amendments to Articles	Mgmt	For	For	For	For
36	Elect LI Hong	Mgmt	For	For	For	For
37	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
38	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

Hanmi Science

Ticker Security ID:

Meeting Date

Meeting Status

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008930	ISIN KR7008930000	03/16/2018	Voted		
Meeting Type		Country of Trade			
Annual		Korea			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Corporate Auditor	MGMT	For	For	For
2	Directors' Fees	MGMT	For	For	For
3	Corporate Auditors' Fees	MGMT	For	For	For

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Hikma Pharmaceuticals Plc					
Ticker Security ID:		Meeting Date		Meeting Status	
HIK CINS G4576K104		05/18/2018		Voted	
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Authority to Set Auditor's Fees	Mgmt	For	For	For
5	Elect Sigurdur Olafsson	Mgmt	For	For	For
6	Elect Said Darwazah	Mgmt	For	For	For
7	Elect Mazen Darwazah	Mgmt	For	For	For
8	Elect Robert Pickering	Mgmt	For	For	For
9	Elect Ali Al-Husry	Mgmt	For	For	For
10	Elect Pat Butler	Mgmt	For	For	For
11	Elect Jochen Gann	Mgmt	For	For	For
12	Elect John Castellani	Mgmt	For	For	For
13	Elect Pamela J. Kirby	Mgmt	For	For	For
14	Elect Mary Henderson	Mgmt	For	For	For
15	Remuneration Report (Advisory)	Mgmt	For	For	For
16	Management Incentive Plan 2018	Mgmt	For	For	For
17	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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Hisamitsu Pharmaceutical			
Ticker Security ID:		Meeting Date	
4530 CINS J20076121		05/24/2018	
		Meeting Status	
		Voted	

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Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect Hirotaka Nakatomi	Mgmt	For	Against	Against
3	Elect Kazuhide Nakatomi	Mgmt	For	For	For
4	Elect Kohsuke Sugiyama	Mgmt	For	For	For
5	Elect Tetsuo Akiyama	Mgmt	For	For	For
6	Elect Naruhito Higo	Mgmt	For	For	For
7	Elect Toshiaki Tsuruda	Mgmt	For	For	For
8	Elect Shinichiroh Takao	Mgmt	For	For	For
9	Elect Kyu Saitoh	Mgmt	For	For	For
10	Elect Nobuo Tsutsumi	Mgmt	For	Against	Against
11	Elect Shinichi Murayama	Mgmt	For	Against	Against
12	Elect Isao Ichikawa	Mgmt	For	For	For
13	Elect Teijiroh Furukawa	Mgmt	For	For	For

Icon Plc		Country of Trade			
Ticker Security ID: ICLR CUSIP G4705A100		Meeting Date 07/25/2017		Meeting Status Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Dermot Kelleher	Mgmt	For	For	For
2	Elect Dr. Hugh Brady	Mgmt	For	For	For
3	Elect Mary Pendergast	Mgmt	For	For	For
4	Elect Ronan Murphy	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Authority to Set Auditor's Fees	Mgmt	For	For	For
7	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
10	Authority to Repurchase Shares	Mgmt	For	For	For
11	Authority to Set Price Range for Re-Issuance of Treasury Shares	Mgmt	For	For	For

IQVIA Holdings Inc		Country of Trade			
Ticker Security ID: IQV ISIN US74876Y1010		Meeting Date 04/10/2018		Meeting Status Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.	Election of Directors	MGMT	N/A	N/A	N/A
1.1	Elect Ari Bousbib	MGMT	For	For	For

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1.2	Elect Collen A. Goggins	MGMT	For	For	For
1.3	Elect John M. Leonard	MGMT	For	Withhold	Against
1.4	Elect Todd B. Sisitsky	MGMT	For	For	For
2.	Ratification of Auditor	MGMT	For	For	For

Kalbe Farma

Ticker	Security ID:	Meeting Date	Meeting Status		
KLBF	CINS Y71287208	06/05/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Commissioners (Slate)	Mgmt	For	Against	Against
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

Kyowa Hakko Kirin Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
4151	ISIN JP3256000005	03/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	MGMT	For	For	For
2.1	Elect Nobuo Hanai	MGMT	For	For	For
2.2	Elect Masashi Miyamoto	MGMT	For	For	For
2.3	Elect Toshifumi Mikayama	MGMT	For	For	For
2.4	Elect Yutaka Ohsawa	MGMT	For	For	For
2.5	Elect Noriya Yokota	MGMT	For	For	For
2.6	Elect Yoshiko Leibowitz	MGMT	For	For	For
2.7	Elect Kentaroh Uryu	MGMT	For	For	For
3.1	Elect Hiroshi Komatsu	MGMT	For	Against	Against
3.2	Elect Yuji Inoue	MGMT	For	For	For
4	Appointment of Auditor	MGMT	For	For	For
5	Stock Option Plan	MGMT	For	For	For

Lonza Group AG

Ticker	Security ID:	Meeting Date	Meeting Status		
LONN	CINS H50524133	05/04/2018	Take No Action		
Meeting Type	Country of Trade				
Annual	Switzerland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports	Mgmt	For	TNA	N/A
3	Compensation Report	Mgmt	For	TNA	N/A
4	Ratification of Board and Management Acts	Mgmt	For	TNA	N/A
5	Allocation of Profits; Dividends from	Mgmt	For	TNA	N/A

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Reserves						
6	Elect Patrick Aebischer	Mgmt	For	TNA	N/A	
7	Elect Werner J. Bauer	Mgmt	For	TNA	N/A	
8	Elect Albert M. Baehny	Mgmt	For	TNA	N/A	
9	Elect Christoph Mader	Mgmt	For	TNA	N/A	
10	Elect Barbara M. Richmond	Mgmt	For	TNA	N/A	
11	Elect M. A. Scheltema	Mgmt	For	TNA	N/A	
12	Elect Jurgen B. Steinemann	Mgmt	For	TNA	N/A	
13	Elect Antonio Trius	Mgmt	For	TNA	N/A	
14	Elect Angelica Kohlmann	Mgmt	For	TNA	N/A	
15	Elect Olivier Verscheure	Mgmt	For	TNA	N/A	
16	Appoint Albert M. Baehny as Board Chair	Mgmt	For	TNA	N/A	
17	Elect Christoph Mader as Nominating and Compensation Committee Member	Mgmt	For	TNA	N/A	
18	Elect Jurgen B. Steinemann as Nominating and Compensation Committee Member	Mgmt	For	TNA	N/A	
19	Elect Angelica Kohlmann as Nominating and Compensation Committee Member	Mgmt	For	TNA	N/A	
20	Appointment of Auditor	Mgmt	For	TNA	N/A	
21	Appointment of Independent Proxy	Mgmt	For	TNA	N/A	
22	Board Compensation	Mgmt	For	TNA	N/A	
23	Executive Compensation (Fixed)	Mgmt	For	TNA	N/A	
24	Executive Compensation (Short-Term Variable)	Mgmt	For	TNA	N/A	
25	Executive Compensation (Long-Term Variable)	Mgmt	For	TNA	N/A	
26	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	

Lupin Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
LUPIN	CINS Y5362X101	08/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports (Standalone)	Mgmt	For	For	For
2	Accounts and Reports (Consolidated)	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For

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4	Elect Kamal Kishore Sharma	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Authority to Give Guarantees, Grant Loans and Make Investments	Mgmt	For	For	For

Lupin Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
LUPIN	CINS Y5362X101	09/27/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reappoint Kamal K. Sharma (Vice Chairman); Approve Remuneration	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Mallinckrodt plc

Ticker	Security ID:	Meeting Date	Meeting Status		
MNK	CUSIP G5785G107	05/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David R. Carlucci	Mgmt	For	For	For
2	Elect J. Martin Carroll	Mgmt	For	For	For
3	Elect Paul R. Carter	Mgmt	For	For	For
4	Elect David Y. Norton	Mgmt	For	For	For
5	Elect JoAnn A. Reed	Mgmt	For	For	For
6	Elect Angus C. Russell	Mgmt	For	For	For
7	Elect Mark C. Trudeau	Mgmt	For	For	For
8	Elect Anne C. Whitaker	Mgmt	For	For	For
9	Elect Kneeland C. Youngblood	Mgmt	For	For	For
10	Elect Joseph A. Zaccagnino	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Amendment to the Stock and Incentive Plan	Mgmt	For	For	For
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For
17	Authority to Determine	Mgmt	For	For	For



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2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Orion Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ORNBV	CINS X6002Y112	03/20/2018	Voted		
Meeting Type		Country of Trade			
Annual		Finland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Accounts and Reports	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Ratification of Board and CEO Acts	Mgmt	For	For	For
12	Directors' Fees	Mgmt	For	For	For
13	Board Size	Mgmt	For	For	For
14	Election of Directors	Mgmt	For	For	For
15	Authority to Set Auditor's Fees	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Perrigo Company plc

Ticker	Security ID:	Meeting Date	Meeting Status		
PRGO	CUSIP G97822103	05/04/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Bradley A. Alford	Mgmt	For	For	For
2	Elect Laurie Brlas	Mgmt	For	For	For
3	Elect Rolf A. Classon	Mgmt	For	For	For
4	Elect Gary M. Cohen	Mgmt	For	For	For
5	Elect Adriana Karaboutis	Mgmt	For	For	For
6	Elect Jeffrey B. Kindler	Mgmt	For	For	For
7	Elect Donal O'Connor	Mgmt	For	For	For
8	Elect Geoffrey M. Parker	Mgmt	For	For	For
9	Elect Uwe F. Roehrhoff	Mgmt	For	For	For
10	Elect Theodore R. Samuels	Mgmt	For	For	For
11	Elect Jeffrey C. Smith	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Advisory Vote on	Mgmt	For	For	For

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Executive Compensation						
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	

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Perrigo Company plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
PRGO	CUSIP G97822103	07/20/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Elect Bradley A. Alford	Mgmt	For	For	For	
2	Elect Laurie Brlas	Mgmt	For	For	For	
3	Elect Rolf A. Classon	Mgmt	For	For	For	
4	Elect Gary M. Cohen	Mgmt	For	For	For	
5	Elect John T. Hendrickson	Mgmt	For	For	For	
6	Elect Adriana Karaboutis	Mgmt	For	For	For	
7	Elect Jeffrey B. Kindler	Mgmt	For	Against	Against	
8	Elect Donal O'Connor	Mgmt	For	For	For	
9	Elect Geoffrey M. Parker	Mgmt	For	For	For	
10	Elect Theodore R. Samuels	Mgmt	For	For	For	
11	Elect Jeffrey C. Smith	Mgmt	For	For	For	
12	Ratification of Auditor	Mgmt	For	For	For	
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
15	Authority to Repurchase Shares	Mgmt	For	For	For	
16	Price Range for Reissuance of Treasury Shares	Mgmt	For	For	For	
17	Proxy Access	Mgmt	For	For	For	

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PRA Health Sciences, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
PRAH	CUSIP 69354M108	05/31/2018	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1.1	Elect Max C. Lin	Mgmt	For	Withhold	Against	
1.2	Elect Matthew P. Young	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
4	Approval of the 2018	Mgmt	For	For	For	

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### Stock Incentive Plan

Shanghai Fosun Pharmaceutical (Group) Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
2196	CINS Y7687D109	06/27/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Annual Report	Mgmt	For	For	For	
3	Directors' Report	Mgmt	For	For	For	
4	Supervisors' Report	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
8	Estimates of Ongoing Related Party Transactions	Mgmt	For	For	For	
9	Appraisal Results and Directors' Fees	Mgmt	For	For	For	
10	Appraisal Program for 2018	Mgmt	For	For	For	
11	Approval of Entrusted Loans	Mgmt	For	For	For	
12	Approval of Line of Credit	Mgmt	For	For	For	
13	Approval to Dispose of Listed Shares and Securities	Mgmt	For	For	For	
14	Authority to Give Guarantees	Mgmt	For	For	For	
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
17	Elect MU Haining	Mgmt	For	For	For	
18	Elect ZHANG Xueqing	Mgmt	For	For	For	
Shanghai Pharmaceuticals Holding Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
2607	CINS Y7685S108	06/26/2018		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
7	Profit Distribution Plan 2017	Mgmt	For	For	For	

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8	Authority to Give Guarantees	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Amendments to Articles	Mgmt	For	For	For
11	Authority to Issue Debt Financing Products	Mgmt	For	For	For
12	Authority to Issue Corporate Bonds	Mgmt	For	For	For
13	Face Value of Bonds and Scale of Issuance	Mgmt	For	For	For
14	Price of Bonds and Interest Rate	Mgmt	For	For	For
15	Term of Bonds	Mgmt	For	For	For
16	Principal and Interest Payment	Mgmt	For	For	For
17	Target of Issuance	Mgmt	For	For	For
18	Use of Proceeds	Mgmt	For	For	For
19	Placement to Shareholders	Mgmt	For	For	For
20	Guarantees	Mgmt	For	For	For
21	Redemption and Put Provision	Mgmt	For	For	For
22	Credit Standing	Mgmt	For	For	For
23	Underwriting	Mgmt	For	For	For
24	Listing	Mgmt	For	For	For
25	Validity	Mgmt	For	For	For
26	Authorisations	Mgmt	For	For	For
27	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sino Biopharmaceutical Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1177	CINS G8167W138	02/12/2018	Voted
Meeting Type	Country of Trade		
Special	Cayman Islands		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	The First Agreement	Mgmt	For
4	The Second Agreement	Mgmt	For
5	Issuance of Consideration Shares	Mgmt	For
6	Ratification of Board Acts	Mgmt	For
7	Whitewash Waiver	Mgmt	For

Sino Biopharmaceutical Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
1177	CINS G8167W138	05/29/2018	Voted
Meeting Type	Country of Trade		
Annual	Cayman Islands		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A

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3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect TSE Ping	Mgmt	For	For	For
6	Elect LI Mingqin	Mgmt	For	For	For
7	Elect LU Zhengfei	Mgmt	For	For	For
8	Elect LI Dakui	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
14	Bonus Share Issuance	Mgmt	For	For	For

Sinopharm Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1099	CINS Y8008N107	03/09/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect RONG Yan	Mgmt	For	Against	Against
4	Elect WU Yijian	Mgmt	For	Against	Against
5	Authority to Issue Corporate Bonds	Mgmt	For	For	For

Sinopharm Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1099	CINS Y8008N107	06/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Supervisors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Amendments to Procedural Rules of Board of Directors	Mgmt	For	For	For

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12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Amendments to Articles	Mgmt	For	For	For
14	Authority to Issue Debt Instruments	Mgmt	For	For	For

Sinopharm Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1099	CINS Y8008N107	09/20/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect LI Zhiming	Mgmt	For	Against	Against
4	Elect CHEN Qiyu	Mgmt	For	For	For
5	Elect SHE Lulin	Mgmt	For	For	For
6	Elect WANG Qunbin	Mgmt	For	For	For
7	Elect MA Ping	Mgmt	For	For	For
8	Elect DENG Jindong	Mgmt	For	Against	Against
9	Elect LI Dongjiu	Mgmt	For	Against	Against
10	Elect LIAN Wanyong	Mgmt	For	For	For
11	Elect WEN Deyong	Mgmt	For	For	For
12	Elect LI Ling	Mgmt	For	For	For
13	Elect Hailson YU Tze Shan	Mgmt	For	For	For
14	Elect TAN Wee Seng	Mgmt	For	Against	Against
15	Elect LIU Zhengdong	Mgmt	For	For	For
16	Elect ZHUO Fumin	Mgmt	For	Against	Against
17	Elect YAO Fang	Mgmt	For	For	For
18	Elect TAO Wuping	Mgmt	For	For	For
19	Elect LI Xiaojuan	Mgmt	For	Against	Against
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sinopharm Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1099	CINS Y8008N107	12/18/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Procurement Framework Agreement	Mgmt	For	For	For
5	Elect LIU Yong	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Stada Arzneimittel AG

Ticker	Security ID:	Meeting Date	Meeting Status		
SAZ	CINS D76226113	02/02/2018	Take No Action		
Meeting Type	Country of Trade				
Special	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Approval of Intra-Company Control Agreement with Nidda Healthcare GmbH	Mgmt	For	TNA	N/A
6	Additional or Miscellaneous Shareholder Proposals	ShrHoldr	N/A	TNA	N/A

Stada Arzneimittel AG

Ticker	Security ID:	Meeting Date	Meeting Status
SAZ	CINS D76226113	06/06/2018	Voted

Meeting Type	Country of Trade
Annual	Germany

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Postpone Ratification of Matthias Wiedenfels	Mgmt	For	For	For
8	Postpone Ratification of Helmut Kraft	Mgmt	For	For	For
9	Ratify Engelbert Coster Tjeenk Willink	Mgmt	For	For	For
10	Ratify Bernhard Duttmann	Mgmt	For	For	For
11	Ratify Claudio Albrecht	Mgmt	For	For	For
12	Ratify Mark Keatley	Mgmt	For	For	For
13	Ratify Barthold Piening	Mgmt	For	For	For
14	Ratify Carl Ferdinand Oetker	Mgmt	For	For	For
15	Ratify Rolf Hoffmann	Mgmt	For	For	For
16	Ratify Birgit Kudlek	Mgmt	For	For	For
17	Ratify Tina Muller	Mgmt	For	For	For
18	Ratify Gunnar Riemann	Mgmt	For	For	For
19	Ratify Gunter von Au	Mgmt	For	For	For
20	Ratify Jens Steegers	Mgmt	For	For	For
21	Ratify Eric Cornut	Mgmt	For	For	For
22	Ratify Halil Duru	Mgmt	For	For	For
23	Ratify Jan-Nicolas Garbe	Mgmt	For	For	For
24	Ratify Benjamin Kunstler	Mgmt	For	For	For
25	Ratify Ute Pantke	Mgmt	For	For	For
26	Ratify Bruno Schick	Mgmt	For	For	For
27	Ratify Michael Siefke	Mgmt	For	For	For
28	Appointment of Auditor	Mgmt	For	For	For
29	Elect Gunter von Au	Mgmt	For	For	For
30	Elect Eric Cornut	Mgmt	For	For	For
31	Elect Jan-Nicolas Garbe	Mgmt	For	For	For
32	Elect Benjamin Kunstler	Mgmt	For	For	For

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33	Elect Bruno Schick	Mgmt	For	For	For
34	Elect Michael Siefke	Mgmt	For	Against	Against
35	Increase in Authorised Capital	Mgmt	For	Against	Against
36	Amendments to Articles	Mgmt	For	For	For

Stada Arzneimittel AG

Ticker	Security ID:	Meeting Date	Meeting Status		
SAZ	CINS D76226113	08/30/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
6	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
7	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
8	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
9	Appointment of Auditor	Mgmt	For	TNA	N/A
10	Remuneration Policy	Mgmt	For	TNA	N/A
11	Transaction of Other Business	Mgmt	For	TNA	N/A

Sun Pharmaceuticals Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SUNPHARMA	CINS Y8523Y158	06/01/2018	Voted		
Meeting Type	Country of Trade				
Court	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Arrangement	Mgmt	For	For	For

Sun Pharmaceuticals Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SUNPHARMA	CINS Y8523Y158	09/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Sailesh T. Desai	Mgmt	For	Against	Against
4	Elect Israel Makov	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Elect Subramanian Kalyanasundaram	Mgmt	For	Against	Against
8	Appointment of	Mgmt	For	For	For

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	Subramanian Kalyanasundaram (Whole Time Director); Approval of Remuneration					
9	Appointment of Dilip S. Shanghvi (Managing Director); Approval of Remuneration	Mgmt	For	For	For	
10	Related Party Transactions with Aditya Medisales Limited	Mgmt	For	Against	Against	

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Syneos Health, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SYNH	CUSIP 87166B102	05/24/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Thomas Allen	Mgmt	For	For	For
2	Elect Linda S. Harty	Mgmt	For	For	For
3	Elect Alistair Macdonald	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Approval of the 2018 Equity Incentive Plan	Mgmt	For	For	For
6	Amendment to the 2016 Employee Stock Purchase Plan	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For

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Taisho Pharmaceutical Holdings Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
4581	CINS J79885109	06/28/2018	Voted		
Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For

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Taro Pharmaceutical Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
TARO	CUSIP M8737E108	12/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Dilip S. Shanghvi	Mgmt	For	For	For
2	Elect Abhay Gandhi	Mgmt	For	For	For
3	Elect Sudhir V. Valia	Mgmt	For	For	For
4	Elect Uday Baldota	Mgmt	For	For	For
5	Elect James Kedrowski	Mgmt	For	For	For

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6	Elect Dov Pekelman	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Teva Pharmaceutical Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
TEVA	CUSIP 881624209	06/05/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Rosemary A. Crane	Mgmt	For	For	For
2	Elect Gerald M. Lieberman	Mgmt	For	For	For
3	Elect Ronit Satchi-Fainaro	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendment to the 2008 Employee Stock Purchase Plan	Mgmt	For	For	For

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Teva Pharmaceutical Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
TEVA	CUSIP 881624209	07/13/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Sol J. Barer	Mgmt	For	For	For
2	Elect Jean-Michel Halfon	Mgmt	For	For	For
3	Elect Murray A. Goldberg	Mgmt	For	For	For
4	Elect Nechemia Peres	Mgmt	For	For	For
5	Elect Roberto A. Mignone	Mgmt	For	For	For
6	Elect Perry Nisen	Mgmt	For	For	For
7	Compensation Terms of Chair	Mgmt	For	For	For
8	Employment Terms of Interim President and CEO	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Amendment to the 2015 Long-Term Equity-Based Incentive Plan	Mgmt	For	For	For
11	2017 Executive Incentive Compensation Plan	Mgmt	For	For	For
12	Reduction of Authorized	Mgmt	For	For	For

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13	Shares	Appointment of Auditor	Mgmt	For	For	For
Torrent Pharmaceuticals Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
TORNTPHARM	CINS Y8896L148	02/27/2018		Voted		
Meeting Type	Country of Trade					
Other	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Increase in Authorized Capital	Mgmt	For	For	For	
3	Amendment to Borrowing Powers	Mgmt	For	For	For	
4	Authority to Mortgage Assets	Mgmt	For	For	For	
5	Authority to Issue Redeemable Non-Convertible Debentures	Mgmt	For	For	For	
6	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	Against	Against	
Torrent Pharmaceuticals Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
TORNTPHARM	CINS Y8896L148	07/31/2017		Voted		
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Elect Chaitanya M. Dutt	Mgmt	For	Against	Against	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
6	Appointment of Chaitanya Dutt (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For	
7	Authority to Issue Non-Convertible Debentures/Bonds	Mgmt	For	For	For	
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
UCB SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
UCB	CINS B93562120	04/26/2018		Voted		
Meeting Type	Country of Trade					
Mix	Belgium					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	For	For
8	Remuneration Report	Mgmt	For	Against	Against
9	Ratification of Board Acts	Mgmt	For	For	For
10	Ratification of Auditor's Acts	Mgmt	For	For	For
11	Elect Jean-Christophe Tellier	Mgmt	For	For	For
12	Elect Kay Davies	Mgmt	For	For	For
13	Acknowledgement of Kay Davies' Independence	Mgmt	For	For	For
14	Elect Cedric Van Rijckevorsel	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Adoption of Restricted Stock Plan	Mgmt	For	For	For
17	Change of Control Clause (EMTN Program)	Mgmt	For	For	For
18	Change of Control Clause (Amendment and Restatement Agreement)	Mgmt	For	For	For
19	Approval of Long-Term Incentive Plans	Mgmt	For	For	For
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
21	Increase in Authorised Capital	Mgmt	For	For	For
22	Authority to Repurchase Shares	Mgmt	For	For	For

Fund Name : VanEck Vectors Global Alternative Energy ETF

AVX Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AVX	CUSIP 002444107	08/02/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Hideo Tanimoto	Mgmt	For	For	For
1.2	Elect Donald B. Christiansen	Mgmt	For	For	For
1.3	Elect Shohichi Aoki	Mgmt	For	For	For
1.4	Elect Hiroshi Fure	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
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### Badger Meter, Inc.

Ticker	Security ID: BMI CUSIP 056525108	Meeting Date 04/27/2018	Meeting Status Voted		
Meeting Type Annual		Country of Trade United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Todd A. Adams	Mgmt	For	Withhold	Against
1.2	Elect Thomas J. Fischer	Mgmt	For	For	For
1.3	Elect Gale E. Klappa	Mgmt	For	Withhold	Against
1.4	Elect Gail A. Lione	Mgmt	For	For	For
1.5	Elect Richard A. Meeusen	Mgmt	For	For	For
1.6	Elect James F. Stern	Mgmt	For	For	For
1.7	Elect Glen E. Tellock	Mgmt	For	For	For
1.8	Elect Todd J. Teske	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

### Canadian Solar Inc.

Ticker	Security ID: CSIQ CUSIP 136635109	Meeting Date 06/29/2018	Meeting Status Voted		
Meeting Type Annual		Country of Trade United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Shawn Qu	Mgmt	For	For	For
1.2	Elect Robert McDermott	Mgmt	For	For	For
1.3	Elect Lars-Eric Johansson	Mgmt	For	For	For
1.4	Elect Harry E. Ruda	Mgmt	For	For	For
1.5	Elect Andrew (Luen Cheung) Wong	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

### China Longyuan Power Group Limited

Ticker	Security ID: 0916 CINS Y1501T101	Meeting Date 05/25/2018	Meeting Status Voted		
Meeting Type Annual		Country of Trade China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Final Financial Accounts	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2018 Financial Budget	Mgmt	For	For	For
7	Elect LIU Jinhuan as Director	Mgmt	For	For	For
8	Elect CHEN Bin as	Mgmt	For	Against	Against

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9	Supervisor Directors' and Supervisors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Issuance of Debt Financing Instruments	Mgmt	For	For	For
12	Issuance of Debt Financing Instruments of Non-Financial Enterprises	Mgmt	For	For	For
13	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Longyuan Power Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0916	CINS Y1501T101	12/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approval of New Guodian Master Agreement	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Authority to Issue Debt Instruments	Mgmt	For	For	For

Covanta Holding Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CVA	CUSIP 22282E102	05/03/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David M. Barse	Mgmt	For	For	For
1.2	Elect Ronald J. Broglio	Mgmt	For	For	For
1.3	Elect Peter C. B. Bynoe	Mgmt	For	For	For
1.4	Elect Linda J. Fisher	Mgmt	For	For	For
1.5	Elect Joseph M. Holsten	Mgmt	For	For	For
1.6	Elect Stephen J. Jones	Mgmt	For	For	For
1.7	Elect Danielle Pletka	Mgmt	For	For	For
1.8	Elect Michael W. Ranger	Mgmt	For	For	For
1.9	Elect Robert S. Silberman	Mgmt	For	Withhold	Against
1.10	Elect Jean Smith	Mgmt	For	For	For
1.11	Elect Samuel Zell	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive	Mgmt	For	Against	Against

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### Compensation

Cree, Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
CREE	CUSIP 225447101	10/24/2017		Voted		
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Gregg A. Lowe	Mgmt	For	For	For	
1.2	Elect Clyde R. Hosein	Mgmt	For	For	For	
1.3	Elect Robert A. Ingram	Mgmt	For	For	For	
1.4	Elect Darren R. Jackson	Mgmt	For	For	For	
1.5	Elect C. Howard Nye	Mgmt	For	For	For	
1.6	Elect John B. Replogle	Mgmt	For	For	For	
1.7	Elect Thomas H. Werner	Mgmt	For	Withhold	Against	
1.8	Elect Anne C. Whitaker	Mgmt	For	For	For	
2	Amendment to the 2005 Employee Stock Purchase Plan	Mgmt	For	For	For	
3	Ratification of Auditor	Mgmt	For	For	For	
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	

Dongfang Electric Co Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
1072	CINS Y20958107	06/29/2018		Voted		
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Amendments to Articles	Mgmt	For	For	For	
7	Board Size	Mgmt	For	For	For	
8	Elect BAI Yong as Supervisor	Mgmt	For	Against	Against	
9	Elect FU Haibo as Supervisor	Mgmt	For	For	For	
10	Directors' Fees	Mgmt	For	For	For	
11	Supervisors' Fees	Mgmt	For	For	For	
12	Elect ZOU Lei	Mgmt	For	For	For	
13	Elect ZHANG Xiaolun	Mgmt	For	Against	Against	
14	Elect HUANG Wei	Mgmt	For	For	For	
15	Elect XU Peng	Mgmt	For	For	For	
16	Elect ZHANG Jilie	Mgmt	For	For	For	
17	Elect GU Dake	Mgmt	For	For	For	
18	Elect XU Haihe	Mgmt	For	For	For	
19	Elect LIU Dengqing	Mgmt	For	For	For	
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
Eaton Corporation plc					
Ticker	Security ID:	Meeting Date		Meeting Status	
ETN	CUSIP G29183103	04/25/2018		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Craig Arnold	Mgmt	For	For	For
2	Elect Todd M. Bluedorn	Mgmt	For	Against	Against
3	Elect Christopher M. Connor	Mgmt	For	For	For
4	Elect Michael J. Critelli	Mgmt	For	For	For
5	Elect Richard H. Fearon	Mgmt	For	Against	Against
6	Elect Charles E. Golden	Mgmt	For	For	For
7	Elect Arthur E. Johnson	Mgmt	For	For	For
8	Elect Deborah L. McCoy	Mgmt	For	For	For
9	Elect Gregory R. Page	Mgmt	For	For	For
10	Elect Sandra Pianalto	Mgmt	For	For	For
11	Elect Gerald B. Smith	Mgmt	For	For	For
12	Elect Dorothy C. Thompson	Mgmt	For	For	For
13	Ratification of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
17	Authority to Repurchase Overseas Market Shares	Mgmt	For	For	For

Energy Development Corporation					
Ticker	Security ID:	Meeting Date		Meeting Status	
EDC	CINS Y2292T102	05/08/2018		Voted	
Meeting Type		Country of Trade			
Annual		Philippines			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	CALL TO ORDER	Mgmt	For	For	For
2	Proof of Notice and Determination of Quorum	Mgmt	For	For	For
3	Meeting Minutes	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Ratification of Board and Management Acts	Mgmt	For	For	For
6	Elect Federico R. Lopez	Mgmt	For	Against	Against
7	Elect Richard B.	Mgmt	For	For	For

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8	Tantoco Elect Francis Giles B. Puno	Mgmt	For	Against	Against
9	Elect Jonathan C. Russell	Mgmt	For	Against	Against
10	Elect Joaquin E. Quintos IV	Mgmt	For	Against	Against
11	Elect David Simon Luboff	Mgmt	For	For	For
12	Elect David Andrew Baldwin	Mgmt	For	Against	Against
13	Elect Christopher Eu Sun Low	Mgmt	For	For	For
14	Elect Manuel I. Ayala	Mgmt	For	For	For
15	Elect Edgar O. Chua	Mgmt	For	For	For
16	Elect Francisco Ed Lim	Mgmt	For	For	For
17	Appointment of Auditor	Mgmt	For	For	For
18	Transaction of Other Business	Mgmt	For	Against	Against
19	ADJOURNMENT	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Energysys

Ticker	Security ID:	Meeting Date	Meeting Status
ENS	CUSIP 29275Y102	08/02/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
1	Elect John F. Lehman	Mgmt	For
2	Elect Dennis S. Marlo	Mgmt	For
3	Elect Paul J. Tufano	Mgmt	For
4	Approval of the 2017 Equity Incentive Plan	Mgmt	For
5	Ratification of Auditor	Mgmt	For
6	Advisory Vote on Executive Compensation	Mgmt	For

ESCO Technologies Inc

Ticker	Security ID:	Meeting Date	Meeting Status
ESE	CUSIP 296315104	02/02/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
1.1	Elect Gary E. Muenster	Mgmt	For
1.2	Elect James M. Stolze	Mgmt	For
2	Allow Shareholders to Amend the Company's Bylaws	Mgmt	For
3	2018 Omnibus Incentive Plan	Mgmt	For
4	Ratification of Auditor	Mgmt	For
5	Advisory Vote on Executive Compensation	Mgmt	For

First Solar, Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status		
FSLR	CUSIP 336433107	05/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael J. Ahearn	Mgmt	For	For	For
2	Elect Sharon L. Allen	Mgmt	For	For	For
3	Elect Richard D. Chapman	Mgmt	For	For	For
4	Elect George A. Hambro	Mgmt	For	For	For
5	Elect Molly Joseph	Mgmt	For	For	For
6	Elect Craig Kennedy	Mgmt	For	For	For
7	Elect William J. Post	Mgmt	For	For	For
8	Elect Paul H. Stebbins	Mgmt	For	Against	Against
9	Elect Michael T. Sweeney	Mgmt	For	For	For
10	Elect Mark Widmar	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Shareholder Proposal Regarding Report on Conducting Business in Conflict-Affected Areas	ShrHoldr	Against	Against	For

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### Franklin Electric Co., Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FELE	CUSIP 353514102	05/04/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gregg C. Sengstack	Mgmt	For	For	For
2	Elect David M. Wathen	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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### GCL-Poly Energy Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
3800	CINS G3774X108	01/05/2018	Voted		
Meeting Type	Country of Trade				
Special	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Wafer Products supply framework agreement	Mgmt	For	For	For

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### GCL-Poly Energy Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
3800	CINS G3774X108	05/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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3	Accounts and Reports	Mgmt	For	For	For
4	Elect JIANG Wenwu	Mgmt	For	Against	Against
5	Elect Raymond HO Chung Tai	Mgmt	For	For	For
6	Elect SHEN Wenzhong	Mgmt	For	For	For
7	Elect Francis WONG Man Chung	Mgmt	For	Against	Against
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

GCL-Poly Energy Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
3800	CINS G3774X108	05/28/2018	Voted
Meeting Type	Country of Trade		
Special	Cayman Islands		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Supplemental Agreement: New Yangzhou Steam Supply Agreement	Mgmt	For
			For
			For

GCL-Poly Energy Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
3800	CINS G3774X108	08/11/2017	Voted
Meeting Type	Country of Trade		
Special	Cayman Islands		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	New JZ Steam Supply Agreement	Mgmt	For
			For
4	New GCL Steam Supply Agreement	Mgmt	For
			For
5	New Yangzhou Steam Supply Agreement	Mgmt	For
			For
6	Taicang Steam Supply Agreement	Mgmt	For
			For
7	JX Steam Supply Agreement	Mgmt	For
			For
8	New Desalted Water Supply Agreement	Mgmt	For
			For

Green Plains Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
GPPE	CUSIP 393222104	05/09/2018	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Todd Becker	Mgmt	For	For	For
1.2	Elect Thomas Manuel	Mgmt	For	For	For
1.3	Elect Brian Peterson	Mgmt	For	For	For
1.4	Elect Alain Treuer	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Innergex Renewable Energy Inc.		Meeting Date		Meeting Status	
Ticker	Security ID:	05/15/2018		Voted	
INE	CINS 45790B104				
Meeting Type		Country of Trade			
Mix		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Jean La Couture	Mgmt	For	For	For
3	Elect Ross J. Beaty	Mgmt	For	For	For
4	Elect Nathalie Francisci	Mgmt	For	For	For
5	Elect Richard Gagnon	Mgmt	For	For	For
6	Elect Daniel L. Lafrance	Mgmt	For	For	For
7	Elect Michel Letellier	Mgmt	For	For	For
8	Elect Dalton McGuinty	Mgmt	For	For	For
9	Elect Monique Mercier	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Reduction of Stated Capital	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Itron, Inc.		Meeting Date		Meeting Status	
Ticker	Security ID:	05/10/2018		Voted	
ITRI	CUSIP 465741106				
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Philip C. Mezey	Mgmt	For	For	For
2	Elect Daniel S. Pelino	Mgmt	For	For	For
3	Elect Timothy Leyden	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

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Kurita Water Industries Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	06/28/2018		Voted	
6370	CINS J37221116				
Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Allocation of Profits	Mgmt	For	For	For
3	Elect Kohichi Iioka	Mgmt	For	For	For
4	Elect Michiya Kadota	Mgmt	For	Against	Against
5	Elect Kiyoshi Itoh	Mgmt	For	For	For
6	Elect Takahito Namura	Mgmt	For	For	For
7	Elect Toshitaka Kodama	Mgmt	For	For	For
8	Elect Yoshio Yamada	Mgmt	For	For	For
9	Elect Hirohiko Ejiri	Mgmt	For	For	For
10	Elect Toshimi Kobayashi	Mgmt	For	For	For
11	Elect Yasuo Suzuki	Mgmt	For	For	For
12	Elect Yukihiko Muto	Mgmt	For	For	For
13	Elect Tsuguto Moriwaki	Mgmt	For	For	For
14	Elect Ryohko Sugiyama	Mgmt	For	For	For
15	Elect Yoshihiro Tsuji as Alternate Statutory Auditor	Mgmt	For	For	For

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Nibe Industrier AB

Ticker	Security ID:	Meeting Date	Meeting Status		
NIBEB	CINS W57113149	05/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Accounts and Reports	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	Ratification of Board and CEO Acts	Mgmt	For	For	For
15	Board Size	Mgmt	For	For	For
16	Number of Auditors	Mgmt	For	For	For
17	Directors and Auditors' Fees	Mgmt	For	For	For
18	Election of Directors	Mgmt	For	Against	Against
19	Appointment of Auditor	Mgmt	For	For	For
20	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
21	Remuneration Guidelines	Mgmt	For	For	For
22	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Ormat Technologies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
ORA	CUSIP 686688102	05/07/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ravit Barniv	Mgmt	For	For	For
2	Elect Dafna Sharir	Mgmt	For	For	For
3	Elect Stan Koyanagi	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Approval of the 2018 Incentive Compensation Plan	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Power Integrations, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
POWI	CUSIP 739276103	06/15/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Wendy Arienzo	Mgmt	For	For	For
1.2	Elect Balu Balakrishnan	Mgmt	For	For	For
1.3	Elect Alan D. Bickell	Mgmt	For	For	For
1.4	Elect Nicholas E. Brathwaite	Mgmt	For	For	For
1.5	Elect William L. George	Mgmt	For	For	For
1.6	Elect Balakrishnan S. Iyer	Mgmt	For	For	For
1.7	Elect E. Floyd Kvamme	Mgmt	For	For	For
1.8	Elect Necip Sayiner	Mgmt	For	For	For
1.9	Elect Steven J. Sharp	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Siemens Gamesa Renewable Energy SA

Ticker	Security ID:	Meeting Date	Meeting Status		
SGRE	CINS E8T87A100	03/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	Spain				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts	Mgmt	For	For	For
2	Management Reports	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Ratify Co-Option and Elect Alberto Alonso Ureba	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Long-Term Incentive Plan 2018-2020	Mgmt	For	For	For
8	Authorisation of Legal Formalities	Mgmt	For	For	For
9	Remuneration Report (Advisory)	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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11	Shareholder Proposal Regarding Related Party Transactions	ShrHolder	N/A	For	N/A
12	Shareholder Proposal Regarding Location of Registered Office	ShrHolder	N/A	Against	N/A
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

SunPower Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
SPWR	CUSIP 867652406	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Francois Badoual	Mgmt	For	For	For
1.2	Elect Antoine Larenaudie	Mgmt	For	For	For
1.3	Elect Pat Wood, III	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Tesla, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TSLA	CUSIP 88160R101	03/21/2018	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Performance Stock Option Agreement	Mgmt	For	Against	Against

Tesla, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TSLA	CUSIP 88160R101	06/05/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Antonio J. Gracias	Mgmt	For	Against	Against
2	Elect James Murdoch	Mgmt	For	Against	Against
3	Elect Kimbal Musk	Mgmt	For	Against	Against
4	Ratification of Auditor	Mgmt	For	For	For
5	Shareholder Proposal Regarding Independent Board Chair	ShrHolder	Against	For	Against
6	Shareholder Proposal Regarding Proxy Access	ShrHolder	Against	For	Against

Veeco Instruments Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
VECO	CUSIP 922417100	05/03/2018	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard A. D'Amore	Mgmt	For	For	For
1.2	Elect Keith D. Jackson	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Ratification of Auditor	Mgmt	For	For	For

Verbund AG

Ticker	Security ID:	Meeting Date	Meeting Status		
VER	CINS A91460104	04/23/2018	Voted		
Meeting Type		Country of Trade			
Annual		Austria			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Ratification of Management Board Acts	Mgmt	For	For	For
5	Ratification of Supervisory Board Acts	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Shareholder Proposal Regarding the Election of Stefan Szyszkowitz to the Supervisory Board	ShrHoldr	N/A	For	N/A

Vestas Wind Systems AS

Ticker	Security ID:	Meeting Date	Meeting Status		
VWS	ISIN DK0010268606	04/03/2018	Voted		
Meeting Type		Country of Trade			
Annual		Denmark			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
2	Accounts and Reports	MGMT	For	For	For
3	Allocation of Profits/Dividends	MGMT	For	For	For
4	Board Size	MGMT	For	For	For
5	Elect Bert Nordberg	MGMT	For	Against	Against
6	Elect Carsten Bjerg	MGMT	For	For	For
7	Elect Eija Pitkanen	MGMT	For	For	For
8	Elect Henrik Andersen	MGMT	For	Against	Against
9	Elect Henry Stenson	MGMT	For	For	For
10	Elect Lars O. Josefsson	MGMT	For	Against	Against
11	Elect Lykke Friis	MGMT	For	For	For
12	Elect Torben Ballegaard Sorensen	MGMT	For	For	For
13	Elect Jens Hesselberg Lund	MGMT	For	For	For

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14	Directors' Fees for 2017	MGMT	For	For	For
15	Directors' Fees for 2018	MGMT	For	For	For
16	Appointment of Auditor	MGMT	For	For	For
17	Authority to Reduce Share Capital	MGMT	For	For	For
18	Authority to Repurchase Shares	MGMT	For	For	For
19	Authority to Issue Shares w/ or w/o Preemptive Rights	MGMT	For	For	For
20	Authority to Carry Out Formalities	MGMT	For	For	For

Xinjiang Goldwind Science & Technology Co Ltd

Ticker Security ID: Meeting Date Meeting Status  
002202 CINS Y97237112 06/12/2018 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Eligibility for Rights Issue	Mgmt	For	For	For
4	Type and Nominal Value	Mgmt	For	For	For
5	Method of Rights Issue	Mgmt	For	For	For
6	Basis, Proportion and Number of Shares	Mgmt	For	For	For
7	Subscription Price	Mgmt	For	For	For
8	Target subscribers	Mgmt	For	For	For
9	Distribution Plan	Mgmt	For	For	For
10	Issue Period	Mgmt	For	For	For
11	Underwriting Arrangement	Mgmt	For	For	For
12	Use of Proceeds	Mgmt	For	For	For
13	Effective Period	Mgmt	For	For	For
14	Listing	Mgmt	For	For	For
15	Feasibility Analysis Report	Mgmt	For	For	For
16	Report on Use of Proceeds	Mgmt	For	For	For
17	Risk of Dilution	Mgmt	For	For	For
18	Board Authorization for the Rights Issue	Mgmt	For	For	For
19	Overseas Perpetual Bonds and Provision of Guarantee	Mgmt	For	For	For
20	Transactions with Xinjiang Wind Power Co., Ltd.	Mgmt	For	For	For
21	Transactions with China Three Gorges New Energy Co.,	Mgmt	For	For	For

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	Ltd.				
22	Transactions with Xinjiang New Energy (Group) Co., Ltd.	Mgmt	For	For	For
23	Directors' Report	Mgmt	For	For	For
24	Supervisors' Report	Mgmt	For	For	For
25	Accounts and Reports	Mgmt	For	For	For
26	Allocation of Profits/Dividends	Mgmt	For	For	For
27	Annual Report	Mgmt	For	For	For
28	Credit Facilities	Mgmt	For	For	For
29	Authority to Give Guarantees (Subsidiaries and Certain Associates)	Mgmt	For	Abstain	Against
30	Authority to Give Guarantees (Wholly-owned Subsidiaries and Controlling Subsidiaries)	Mgmt	For	Abstain	Against
31	Operation of Foreign Exchange Hedging Business	Mgmt	For	For	For
32	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
33	Shareholders' Return Plan for the Three Years 2018-2020	Mgmt	For	For	For

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Xinjiang Goldwind Science & Technology Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002202	CINS Y97237112	06/12/2018	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Rights Issue	Mgmt	For	For	For
3	Type and Nominal Value	Mgmt	For	For	For
4	Method of Rights Issue	Mgmt	For	For	For
5	Basis, Proportion and Number of Shares	Mgmt	For	For	For
6	Subscription Price	Mgmt	For	For	For
7	Target subscribers	Mgmt	For	For	For
8	Distribution Plan	Mgmt	For	For	For
9	Issue Period	Mgmt	For	For	For
10	Underwriting Arrangement	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For
12	Effective Period	Mgmt	For	For	For
13	Listing	Mgmt	For	For	For
14	Feasibility Analysis Report	Mgmt	For	For	For
15	Report on Use of Proceeds	Mgmt	For	For	For

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16	Risk of Dilution	Mgmt	For	For	For
17	Board Authorization for the Rights Issue	Mgmt	For	For	For
18	Shareholders' Return Plan for the Three Years 2018-2020	Mgmt	For	For	For

Fund Name : VanEck Vectors Gold Miners ETF

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Agnico Eagle Mines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
AEM	CUSIP 008474108	04/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Leanne M. Baker	Mgmt	For	For	For
1.2	Elect Sean Boyd	Mgmt	For	For	For
1.3	Elect Martine A. Celej	Mgmt	For	For	For
1.4	Elect Robert J. Gemmell	Mgmt	For	For	For
1.5	Elect Mel Leiderman	Mgmt	For	For	For
1.6	Elect Deborah A. McCombe	Mgmt	For	For	For
1.7	Elect James D. Nasso	Mgmt	For	For	For
1.8	Elect Sean Riley	Mgmt	For	For	For
1.9	Elect J. Merfyn Roberts	Mgmt	For	For	For
1.10	Elect Jamie C. Sokalsky	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Amendment to Stock Option Plan	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Alamos Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGI	CUSIP 011532108	05/07/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark J. Daniel	Mgmt	For	For	For
1.2	Elect Elaine Ellingham	Mgmt	For	For	For
1.3	Elect David Fleck	Mgmt	For	For	For
1.4	Elect David Gower	Mgmt	For	For	For
1.5	Elect Claire Kennedy	Mgmt	For	For	For
1.6	Elect John A. McCluskey	Mgmt	For	For	For
1.7	Elect Paul J. Murphy	Mgmt	For	For	For
1.8	Elect Ronald E. Smith	Mgmt	For	For	For
1.9	Elect Kenneth G. Stowe	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Alamos Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGI	CINS 011532108	05/07/2018	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Mark J. Daniel	Mgmt	For	For	For
3	Elect Elaine Ellingham	Mgmt	For	For	For
4	Elect David Fleck	Mgmt	For	For	For
5	Elect David Gower	Mgmt	For	For	For
6	Elect Claire Kennedy	Mgmt	For	For	For
7	Elect John A. McCluskey	Mgmt	For	For	For
8	Elect Paul J. Murphy	Mgmt	For	For	For
9	Elect Ronald E. Smith	Mgmt	For	For	For
10	Elect Kenneth G. Stowe	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Alamos Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGI	CUSIP 011532108	11/16/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Stock Issuance for Merger	Mgmt	For	For	For

Alamos Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGI	CINS 011532108	11/16/2017	Voted		
Meeting Type	Country of Trade				
Special	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Stock Issuance for Merger	Mgmt	For	For	For

Anglogold Ashanti Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ANG	CUSIP 035128206	05/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Albert Garner	Mgmt	For	For	For
2	Re-elect Nozipho January-Bardill	Mgmt	For	For	For
3	Re-elect Rhidwaan Gasant	Mgmt	For	For	For
4	Re-elect Christine Ramon	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (Rhidwaan)	Mgmt	For	For	For

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6	Gasant) Elect Audit and Risk Committee Member (Michael Kirkwood)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Rodney Ruston)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Maria Richter)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Sindi Zilwa)	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	General Authority to Issue Shares	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Approve Implementation Report	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Authority to Issue Shares for Cash	Mgmt	For	For	For
17	Approve Financial Assistance	Mgmt	For	For	For
18	Authorisation of Legal Formalities	Mgmt	For	For	For

B2Gold Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
BTO	CUSIP 11777Q209	06/08/2018	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Clive T. Johnson	Mgmt	For	For	For
2.2	Elect Robert Cross	Mgmt	For	For	For
2.3	Elect Robert Gayton	Mgmt	For	For	For
2.4	Elect Jerry R. Korpan	Mgmt	For	For	For
2.5	Elect Bongani Mtshisi	Mgmt	For	For	For
2.6	Elect Kevin Bullock	Mgmt	For	For	For
2.7	Elect George Johnson	Mgmt	For	For	For
2.8	Elect Robin Weisman	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Amendment to the 2015 Stock Option Plan	Mgmt	For	For	For
5	Amendment to the 2015 Restricted Stock Unit Plan	Mgmt	For	For	For

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Barrick Gold Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
ABX	CUSIP 067901108	04/24/2018	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Maria Ignacia Benitez	Mgmt	For	For	For	
1.2	Elect Gustavo A. Cisneros	Mgmt	For	For	For	
1.3	Elect Graham G. Clow	Mgmt	For	For	For	
1.4	Elect Kelvin P. M. Dushnisky	Mgmt	For	For	For	
1.5	Elect J. Michael Evans	Mgmt	For	For	For	
1.6	Elect Brian L. Greenspun	Mgmt	For	For	For	
1.7	Elect J. Brett Harvey	Mgmt	For	For	For	
1.8	Elect Patricia A. Hatter	Mgmt	For	For	For	
1.9	Elect Nancy H.O. Lockhart	Mgmt	For	For	For	
1.10	Elect Pablo Marcet	Mgmt	For	For	For	
1.11	Elect Anthony Munk	Mgmt	For	For	For	
1.12	Elect J. Robert S. Prichard	Mgmt	For	For	For	
1.13	Elect Steven J. Shapiro	Mgmt	For	For	For	
1.14	Elect John L. Thornton	Mgmt	For	For	For	
1.15	Elect Ernie L. Thrasher	Mgmt	For	For	For	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

Centamin Plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
CEY	CINS G2055Q105	03/26/2018	Voted			
Meeting Type	Country of Trade					
Annual	Jersey					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Remuneration Report (Advisory)	Mgmt	For	For	For	
4	Remuneration Policy (Advisory -- Non-UK Issuer)	Mgmt	For	For	For	
5	Increase in Directors' Fee Cap	Mgmt	For	For	For	
6	Elect Josef El-Raghy	Mgmt	For	For	For	
7	Elect Andrew Pardey	Mgmt	For	For	For	
8	Elect Ross Jerrard	Mgmt	For	For	For	
9	Elect Gordon E. Haslam	Mgmt	For	For	For	
10	Elect Alison Baker	Mgmt	For	For	For	
11	Elect Mark R. Arnesen	Mgmt	For	For	For	
12	Elect Mark Bankes	Mgmt	For	For	For	

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13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For

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Centerra Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CG	CINS 152006102	05/01/2018	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Richard W. Connor	Mgmt	For	For	For
3	Elect Eduard Kubatov	Mgmt	For	For	For
4	Elect Askar Oskombaev	Mgmt	For	For	For
5	Elect Stephen A. Lang	Mgmt	For	For	For
6	Elect Michael S. Parrett	Mgmt	For	For	For
7	Elect Jacques Perron	Mgmt	For	For	For
8	Elect Scott G. Perry	Mgmt	For	For	For
9	Elect Sheryl K. Pressler	Mgmt	For	For	For
10	Elect Bektur Sagynov	Mgmt	For	For	For
11	Elect Bruce V. Walter	Mgmt	For	For	For
12	Elect Susan Yurkovich	Mgmt	For	For	For
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Transaction of Other Business	Mgmt	For	Against	Against

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Coeur Mining, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CDE	CUSIP 192108504	05/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Linda L. Adamany	Mgmt	For	For	For
2	Elect Kevin S. Crutchfield	Mgmt	For	For	For
3	Elect Sebastian Edwards	Mgmt	For	For	For
4	Elect Randolph E. Gress	Mgmt	For	For	For
5	Elect Mitchell J. Krebs	Mgmt	For	For	For
6	Elect Eduardo Luna	Mgmt	For	For	For
7	Elect Jessica L. McDonald	Mgmt	For	For	For

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8	Elect Robert E. Mellor	Mgmt	For	For	For
9	Elect John H. Robinson	Mgmt	For	For	For
10	Elect J. Kenneth Thompson	Mgmt	For	For	For
11	Approval of the 2018 Long-Term Incentive Plan	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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### Compania de Minas Buenaventura S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
BUENAVC1	CUSIP 204448104	03/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	Abstain	Against
4	Appointment of Auditor	Mgmt	For	Abstain	Against
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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### Detour Gold Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
DGC	CINS 250669108	05/03/2018	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Lisa Colnett	Mgmt	For	For	For
3	Elect Edward C. Dowling, Jr.	Mgmt	For	For	For
4	Elect Robert E. Doyle	Mgmt	For	For	For
5	Elect Andre Falzon	Mgmt	For	For	For
6	Elect Ingrid J. Hibbard	Mgmt	For	For	For
7	Elect J. Michael Kenyon	Mgmt	For	For	For
8	Elect Paul Martin	Mgmt	For	For	For
9	Elect Alex G. Morrison	Mgmt	For	For	For
10	Elect Jonathan A. Rubenstein	Mgmt	For	Against	Against
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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### Eldorado Gold Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELD	CUSIP 284902103	06/21/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect George Albino	Mgmt	For	For	For
1.2	Elect George Burns	Mgmt	For	For	For

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1.3	Elect Teresa Conway	Mgmt	For	For	For
1.4	Elect Pamela M. Gibson	Mgmt	For	For	For
1.5	Elect Geoffrey A. Handley	Mgmt	For	For	For
1.6	Elect Michael A. Price	Mgmt	For	For	For
1.7	Elect Steven P. Reid	Mgmt	For	For	For
1.8	Elect John Webster	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Authority to Set Auditor's Fees	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Share Consolidation	Mgmt	For	For	For
6	Amendment to the Stock Option plan	Mgmt	For	For	For

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Endeavour Mining Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
EDV	CINS G3040R158	06/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Michael E. Beckett	Mgmt	For	For	For
3	Elect James E. Askew	Mgmt	For	For	For
4	Elect Ian D. Cockerill	Mgmt	For	For	For
5	Elect Olivier Colom	Mgmt	For	For	For
6	Elect Livia Mahler	Mgmt	For	For	For
7	Elect Wayne McManus	Mgmt	For	For	For
8	Elect Sebastien de Montessus	Mgmt	For	For	For
9	Elect Naguib Sawiris	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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Evolution Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EVN	CINS Q3647R147	11/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	N/A	For	N/A
3	Elect Andrea Hall	Mgmt	For	For	For
4	Re-elect James E. Askew	Mgmt	For	For	For
5	Re-elect Thomas McKeith	Mgmt	For	For	For
6	Equity Grant (Executive Chairman Jacob Klein)	Mgmt	For	For	For
7	Equity Grant (Finance Director and CFO)	Mgmt	For	For	For

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Lawrence  
Conway)

8	Approve Employee Share Option and Performance Rights Plan	Mgmt	For	For	For
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First Majestic Silver Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
FR	CUSIP 32076V103	05/24/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Keith Neumeyer	Mgmt	For	For	For
2.2	Elect Marjorie Co	Mgmt	For	For	For
2.3	Elect Robert McCallum	Mgmt	For	For	For
2.4	Elect Douglas Penrose	Mgmt	For	For	For
2.5	Elect David Shaw	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Fortuna Silver Mines Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
FVI	CINS 349915108	06/14/2018	Voted
Meeting Type	Country of Trade		
Annual	Canada		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Jorge Ganoza Durant	Mgmt	For	For	For
4	Elect Simon Ridgway	Mgmt	For	For	For
5	Elect Mario Szotlender	Mgmt	For	For	For
6	Elect David Farrell	Mgmt	For	For	For
7	Elect David Laing	Mgmt	For	For	For
8	Elect Alfredo Sillau	Mgmt	For	For	For
9	Elect Kylie Dickson	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Amendment to the Advanced Notice Policy	Mgmt	For	For	For

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Fortuna Silver Mines Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
FVI	CINS 349915108	07/05/2017	Voted
Meeting Type	Country of Trade		
Annual	Canada		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Jorge Ganoza Durant	Mgmt	For	For	For

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4	Elect Simon Ridgway	Mgmt	For	For	For
5	Elect Mario Szotlender	Mgmt	For	For	For
6	Elect Robert Gilmore	Mgmt	For	For	For
7	Elect David Farrell	Mgmt	For	For	For
8	Elect David Laing	Mgmt	For	For	For
9	Elect Alfredo Sillau	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Amendment to the 2015 Share Unit Plan	Mgmt	For	For	For
12	Transaction of Other Business	Mgmt	For	Against	Against

Franco-Nevada Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
FNV	CUSIP 351858105	05/09/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Pierre Lassonde	Mgmt	For	For	For
1.2	Elect David Harquail	Mgmt	For	For	For
1.3	Elect Tom Albanese	Mgmt	For	For	For
1.4	Elect Derek W. Evans	Mgmt	For	For	For
1.5	Elect Catherine Farrow	Mgmt	For	For	For
1.6	Elect Louis P. Gignac	Mgmt	For	For	For
1.7	Elect Randall Oliphant	Mgmt	For	For	For
1.8	Elect David R. Peterson	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Amendment to the Share Compensation Plan	Mgmt	For	For	For

Gold Fields Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GFI	CUSIP 38059T106	05/22/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Cheryl A. Carolus	Mgmt	For	For	For
3	Re-elect Richard (Rick) P. Menell	Mgmt	For	For	For
4	Re-elect Steven P. Reid	Mgmt	For	For	For
5	Elect Audit Committee Member (Yunus G.H. Suleman)	Mgmt	For	For	For
6	Elect Audit Committee Member (Alhassan Andani)	Mgmt	For	For	For
7	Elect Audit Committee Member (Peter Bacchus)	Mgmt	For	For	For
8	Elect Audit Committee	Mgmt	For	For	For

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	Member (Richard P. Menell)					
9	General Authority to Issue Shares	Mgmt	For	For	For	For
10	Authority to Issue Shares for Cash	Mgmt	For	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For	For
12	Approve NEDs' Fees	Mgmt	For	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For	For
15	Approve Amendment to the 2012 Share Plan	Mgmt	For	For	For	For

Goldcorp Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
G	CUSIP 380956409	04/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Beverley A. Briscoe	Mgmt	For	For	For
1.2	Elect Matthew Coon Come	Mgmt	For	For	For
1.3	Elect Margot A. Franssen	Mgmt	For	For	For
1.4	Elect David A. Garofalo	Mgmt	For	For	For
1.5	Elect Clement A. Pelletier	Mgmt	For	For	For
1.6	Elect P. Randy Reifel	Mgmt	For	For	For
1.7	Elect Charlie R. Sartain	Mgmt	For	For	For
1.8	Elect Ian W. Telfer	Mgmt	For	For	For
1.9	Elect Kenneth F. Williamson	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Guyana Goldfields Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GUY	CINS 403530108	05/01/2018	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect J. Patrick Sheridan	Mgmt	For	For	For
3	Elect Scott Caldwell	Mgmt	For	For	For
4	Elect Alan Ferry	Mgmt	For	For	For
5	Elect Jean-Pierre Chauvin	Mgmt	For	For	For

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6	Elect Rene Marion	Mgmt	For	For	For
7	Elect Michael Richings	Mgmt	For	For	For
8	Elect David Beatty	Mgmt	For	For	For
9	Elect Wendy Kei	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Amendment to the Stock Option Plan	Mgmt	For	For	For
12	Renewal of Shareholder Rights Plan	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Harmony Gold Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HAR	CUSIP 413216300	02/01/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	ESOP Trust	Mgmt	For	For	For
3	Authority to issue the Harmony Community Trust Subscription Shares and Conversion Shares	Mgmt	For	For	For
4	Waiver of Pre-emptive Rights	Mgmt	For	For	For
5	Waiver of Mandatory Offer Provisions	Mgmt	For	Against	Against
6	General Authorization	Mgmt	For	For	For
7	Elimination of Par Value	Mgmt	For	For	For
8	Authority to Create Preferred Shares	Mgmt	For	For	For
9	Amendment to Memorandum	Mgmt	For	For	For
10	Authority to Repurchase Shares	Mgmt	For	For	For
11	Issuance of Shares	Mgmt	For	Against	Against

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Harmony Gold Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HAR	CUSIP 413216300	11/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Peter Steenkamp	Mgmt	For	For	For
2	Re-elect Mavuso Msimang	Mgmt	For	For	For
3	Re-elect John L. Wetton	Mgmt	For	For	For
4	Re-elect Kenneth (Ken) V. Dicks	Mgmt	For	For	For

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5	Re-elect Simo Lushaba	Mgmt	For	For	For
6	Elect Audit and Risk Committee Members (John Wetton)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Members (Fikile De Buck)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Members (Simo Lushaba)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Members (Modise Motloba)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Members (Karabo Nondumo)	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Approve Remuneration Report	Mgmt	For	For	For
14	General Authority to Issue Shares	Mgmt	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For
17	Approve NEDs' Fees	Mgmt	For	For	For
18	Approve NEDs' Fees (Once-off Remuneration)	Mgmt	For	For	For

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Hecla Mining Company

Ticker	Security ID:	Meeting Date	Meeting Status
HL	CUSIP 422704106	05/24/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect George R. Nethercutt, Jr.	Mgmt	For
2	Elect Stephen F. Ralbovsky	Mgmt	For
3	Elect Catherine J. Boggs	Mgmt	For
4	Ratification of Auditor	Mgmt	For
5	Advisory Vote on Executive Compensation	Mgmt	For
6	Elimination of Supermajority Requirement	Mgmt	For
7	Amendment Regarding Shareholders Ability	Mgmt	For

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	to Call Special Meetings					
8	Shareholder Proposal Regarding Declassification of the Board	ShrHoldr	N/A	For		N/A

IAMGOLD Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
IMG	CUSIP 450913108	05/08/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect John E. Caldwell	Mgmt	For	For	For	
1.2	Elect Donald K. Charter	Mgmt	For	For	For	
1.3	Elect Richard J. Hall	Mgmt	For	For	For	
1.4	Elect Stephen J.J. Letwin	Mgmt	For	For	For	
1.5	Elect Mahendra Naik	Mgmt	For	For	For	
1.6	Elect Timothy R. Snider	Mgmt	For	For	For	
1.7	Elect Sybil Veenman	Mgmt	For	For	For	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
4	Amendment to the Share Incentive Plan	Mgmt	For	For	For	

Independence Group NL

Ticker	Security ID:	Meeting Date	Meeting Status			
IGO	CINS Q48886107	11/24/2017	Voted			
Meeting Type		Country of Trade				
Annual		Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Debra Bakker	Mgmt	For	For	For	
3	Re-elect Neil Warburton	Mgmt	For	For	For	
4	REMUNERATION REPORT	Mgmt	For	For	For	
5	Equity Grant (MD/CEO - Peter Bradford - Service Rights)	Mgmt	For	For	For	
6	Equity Grant (MD/CEO - Peter Bradford - Performance Rights)	Mgmt	For	For	For	

Kinross Gold Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
K	CUSIP 496902404	05/09/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Ian Atkinson	Mgmt	For	For	For	
1.2	Elect John A. Brough	Mgmt	For	For	For	

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1.3	Elect Kerry D. Dyte	Mgmt	For	For	For
1.4	Elect Ave G. Lethbridge	Mgmt	For	For	For
1.5	Elect Catherine McLeod-Seltzer	Mgmt	For	For	For
1.6	Elect John E. Oliver	Mgmt	For	For	For
1.7	Elect Kelly J. Osborne	Mgmt	For	For	For
1.8	Elect Una M. Power	Mgmt	For	For	For
1.9	Elect J. Paul Rollinson	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Ratification of Shareholder Rights Plan	Mgmt	For	For	For
4	Advisory Resolution On Executive Compensation	Mgmt	For	For	For

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### Kirkland Lake Gold Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
KL	CINS 49741E100	05/02/2018	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Anthony Makuch	Mgmt	For	For	For
2	Elect Jonathan Gill	Mgmt	For	For	For
3	Elect Arnold Klassen	Mgmt	For	For	For
4	Elect Pamela Klessig	Mgmt	For	For	For
5	Elect Barry P. Olson	Mgmt	For	For	For
6	Elect Jeff Parr	Mgmt	For	For	For
7	Elect Eric Sprott	Mgmt	For	For	For
8	Elect Raymond Threlkeld	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### McEwen Mining Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MUX	CUSIP 58039P107	05/24/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert R. McEwen	Mgmt	For	For	For
1.2	Elect Allen Ambrose	Mgmt	For	For	For
1.3	Elect Michele L. Ashby	Mgmt	For	For	For
1.4	Elect Leanne M. Baker	Mgmt	For	For	For
1.5	Elect Richard W. Brissenden	Mgmt	For	For	For
1.6	Elect Gregory P. Fauquier	Mgmt	For	For	For
1.7	Elect Donald R. M. Quick	Mgmt	For	For	For
1.8	Elect Michael L. Stein	Mgmt	For	For	For
1.9	Elect Robin E. Dunbar	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

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### New Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
NGD	CUSIP 644535106	04/25/2018	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Gillian Davidson	Mgmt	For	For	For
2.2	Elect James M. Estey	Mgmt	For	For	For
2.3	Elect Margaret J. Mulligan	Mgmt	For	For	For
2.4	Elect Ian W. Pearce	Mgmt	For	For	For
2.5	Elect Hannes Portmann	Mgmt	For	For	For
2.6	Elect Marilyn Schonberner	Mgmt	For	For	For
2.7	Elect Raymond Threlkeld	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Newcrest Mining Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
NCM	CINS Q6651B114	11/14/2017		Voted	
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Xiaoling Liu	Mgmt	For	For	For
3	Re-elect Roger J. Higgins	Mgmt	For	For	For
4	Re-elect Gerard M. Bond	Mgmt	For	For	For
5	Equity Grant (MD/CEO Sandeep Biswas)	Mgmt	For	For	For
6	Equity Grant (Finance Director/CFO Gerard Bond)	Mgmt	For	For	For
7	Remuneration Report	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Renew Proportional Takeover Provision	Mgmt	For	For	For

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Newmont Mining Corporation					
Ticker	Security ID:	Meeting Date		Meeting Status	
NEM	CUSIP 651639106	04/25/2018		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gregory H. Boyce	Mgmt	For	For	For
2	Elect Bruce R. Brook	Mgmt	For	For	For
3	Elect J. Kofi Bucknor	Mgmt	For	For	For
4	Elect Joseph A. Carrabba	Mgmt	For	For	For
5	Elect Noreen Doyle	Mgmt	For	For	For
6	Elect Gary J. Goldberg	Mgmt	For	For	For
7	Elect Veronica M. Hagen	Mgmt	For	For	For
8	Elect Sheri Hickok	Mgmt	For	For	For
9	Elect Rene Medori	Mgmt	For	For	For

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10	Elect Jane Nelson	Mgmt	For	For	For
11	Elect Julio M. Quintana	Mgmt	For	For	For
12	Elect Molly P. Zhang	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For

### Northern Star Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NST	CINS Q6951U101	11/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Peter O'Connor	Mgmt	For	For	For
4	Re-elect Christopher Rowe	Mgmt	For	For	For
5	Ratify Placement of Securities	Mgmt	For	For	For

### OceanaGold Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
OGC	CINS 675222103	06/01/2018	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect James E. Askew	Mgmt	For	For	For
3	Elect Geoffrey W. Raby	Mgmt	For	For	For
4	Elect Michael F. Wilkes	Mgmt	For	For	For
5	Elect Paul B. Sweeney	Mgmt	For	For	For
6	Elect Nora L. Scheinkestel	Mgmt	For	For	For
7	Elect Ian M. Reid	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Amendment to the Performance Rights Plan	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For

### Osisko Gold Royalties Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
OR	CUSIP 68827L101	05/03/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Francoise Bertrand	Mgmt	For	For	For
1.2	Elect John Burzynski	Mgmt	For	For	For
1.3	Elect Pierre Chenard	Mgmt	For	For	For
1.4	Elect Christopher C. Curfman	Mgmt	For	For	For
1.5	Elect Joanne Ferstman	Mgmt	For	For	For

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1.6	Elect Andre Gaumond	Mgmt	For	For	For
1.7	Elect Pierre Labbe	Mgmt	For	For	For
1.8	Elect Oskar Lewnowski	Mgmt	For	For	For
1.9	Elect Charles E. Page	Mgmt	For	For	For
1.10	Elect Sean Roosen	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Amendment to the Employee Share Purchase Plan	Mgmt	For	For	For
4	Amendment to the Stock Option Plan	Mgmt	For	For	For
5	Amendment to the Restricted Share Unit Plan	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Osisko Gold Royalties Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
OR	CUSIP 68827L101	07/31/2017	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Acquisition (Orion Mine Royalty Portfolio) and Concurrent Equity Placement	Mgmt	For
			For
			For

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Pan American Silver Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
PAAS	CUSIP 697900108	05/09/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Ross J. Beaty	Mgmt	For
			For
			For
1.2	Elect Michael Steinmann	Mgmt	For
			For
			For
1.3	Elect Michael L. Carroll	Mgmt	For
			For
			For
1.4	Elect Neil de Gelder	Mgmt	For
			For
			For
1.5	Elect David C. Press	Mgmt	For
			For
			For
1.6	Elect Walter T. Segsworth	Mgmt	For
			For
			For
1.7	Elect Gillian D. Winckler	Mgmt	For
			For
			For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For
			For
			For
3	Advisory Vote on Executive Compensation	Mgmt	For
			For
			For

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Pretium Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
PVG	CUSIP 74139C102	05/10/2018	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Robert Quartermain	Mgmt	For	For	For
2.2	Elect Joseph J. Ovsenek	Mgmt	For	For	For
2.3	Elect George Paspalas	Mgmt	For	For	For
2.4	Elect Peter Birkey	Mgmt	For	For	For
2.5	Elect Nicole S. Adshear-Bell	Mgmt	For	For	For
2.6	Elect David S. Smith	Mgmt	For	For	For
2.7	Elect Faheem Tejani	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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Randgold Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RRS	CUSIP G73740113	05/08/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Remuneration Policy (Advisory -- Non-UK Issuer)	Mgmt	For	For	For
5	Elect Safiatou F. Ba-N'Daw	Mgmt	For	For	For
6	Elect Mark Bristow	Mgmt	For	For	For
7	Elect Christopher Coleman	Mgmt	For	For	For
8	Elect Jamil Kassum	Mgmt	For	For	For
9	Elect Olivia F. Kirtley	Mgmt	For	For	For
10	Elect Jeanine Mabunda Lioko	Mgmt	For	For	For
11	Elect Andrew Quinn	Mgmt	For	For	For
12	Elect Graham Shuttleworth	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Share Grants (Non-Executive Directors)	Mgmt	For	For	For
17	Share Grants (Senior Independent Director)	Mgmt	For	For	For
18	Share Grants (Chair)	Mgmt	For	For	For

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19	2018 Long-Term Incentive Plan	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For

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Regis Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RRL	CINS Q8059N120	11/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Fiona Morgan	Mgmt	For	For	For
4	Re-elect Ross Kestel	Mgmt	For	For	For
5	Approve Share Option Plan	Mgmt	For	For	For
6	Equity Grant (Executive Chairman Mark Clark)	Mgmt	For	For	For
7	Equity Grant (Executive Director/COO Paul Thomas)	Mgmt	For	For	For
8	Approve Increase in NEDs' Fee Cap	Mgmt	N/A	For	N/A

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Resolute Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RSG	CINS Q81068100	11/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Elect Yasmin Broughton	Mgmt	For	For	For
4	Elect Mark Potts	Mgmt	For	For	For
5	Re-elect Henry Price	Mgmt	For	For	For
6	Renew Performance Rights Plan	Mgmt	For	For	For
7	Performance Rights Grant (MD/CEO John Welborn)	Mgmt	For	For	For
8	Approve Termination Benefits	Mgmt	For	For	For
9	Deeds of Indemnity, Insurance and Access	Mgmt	For	For	For
10	Adopt New Constitution	Mgmt	For	For	For
11	Section 195 Approval	Mgmt	For	For	For

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Royal Gold, Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status
RGLD	CUSIP 780287108	11/16/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect C. Kevin McArthur	Mgmt	For
2	Elect Christopher M.T. Thompson	Mgmt	For
3	Elect Sybil E. Veenman	Mgmt	For
4	Ratification of Auditor	Mgmt	For
5	Advisory Vote on Executive Compensation	Mgmt	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year
			1 Year
			For

Sandstorm Gold Limited			
Ticker	Security ID:	Meeting Date	Meeting Status
SSL	CUSIP 80013R206	06/15/2018	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Board Size	Mgmt	For
2.1	Elect Nolan Watson	Mgmt	For
2.2	Elect David Awram	Mgmt	For
2.3	Elect David De Witt	Mgmt	For
2.4	Elect Andrew T. Swarthout	Mgmt	For
2.5	Elect John P.A. Budreski	Mgmt	For
			Withhold
			Against
2.6	Elect Mary L. Little	Mgmt	For
2.7	Elect Vera Kobalia	Mgmt	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For
4	Approval of Advance Notice Policy	Mgmt	For
5	Transaction of Other Business	Mgmt	For
			Against
			Against

Saracen Mineral Holdings Limited			
Ticker	Security ID:	Meeting Date	Meeting Status
SAR	CINS Q8309T109	11/23/2017	Voted
Meeting Type		Country of Trade	
Annual		Australia	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Elect Roric Smith	Mgmt	For
3	Re-elect Samantha Tough	Mgmt	For
4	Remuneration Report	Mgmt	N/A
5	Approve Long Term Incentive Plan	Mgmt	N/A
6	Equity Grant (MD Raleigh Finlayson)	Mgmt	For
			For
			For

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SEMAFO Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SMF	CINS 816922108	05/10/2018	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Terence F. Bowles	Mgmt	For	For	For
3	Elect Benoit Desormeaux	Mgmt	For	For	For
4	Elect Flore Konan	Mgmt	For	For	For
5	Elect John LeBoutillier	Mgmt	For	For	For
6	Elect Gilles Masson	Mgmt	For	For	For
7	Elect Lawrence I. McBrearty	Mgmt	For	For	For
8	Elect Tertius Zongo	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Sibanye Gold Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SGL	CUSIP 825724206	05/30/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Savannah Danson	Mgmt	For	For	For
3	Re-elect Richard (Rick) P. Menell	Mgmt	For	For	For
4	Re-elect Keith A. Rayner	Mgmt	For	For	For
5	Re-elect Jeremiah (Jerry) S. Vilakazi	Mgmt	For	For	For
6	Elect Audit Committee Member (Keith Rayner)	Mgmt	For	For	For
7	Elect Audit Committee Member (Savannah Danson)	Mgmt	For	For	For
8	Elect Audit Committee Member (Richard Menell)	Mgmt	For	For	For
9	Elect Audit Committee Member (Nkosemntu Nika)	Mgmt	For	For	For
10	Elect Audit Committee Member (Susan van der Merwe)	Mgmt	For	For	For
11	General Authority to Issue Shares	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Authority to Issue	Mgmt	For	For	For

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	Shares pursuant to Share Plan					
14	Approve Remuneration Policy	Mgmt	For	For	For	
15	Approve Remuneration Report	Mgmt	For	For	For	
16	Approve NEDs' Fees	Mgmt	For	For	For	
17	Approve Financial Assistance	Mgmt	For	For	For	
18	Authority to Repurchase Shares	Mgmt	For	For	For	

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Sibanye Gold Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
SGL	CUSIP 825724206	12/04/2017		Voted		
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Specific Authority to Issue Shares	Mgmt	For		For	For

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Sibanye Gold Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
SGL	CUSIP 825724206	12/04/2017		Voted		
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Specific Authority to Issue Shares	Mgmt	For		For	For

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SSR Mining Inc						
Ticker	Security ID:	Meeting Date		Meeting Status		
SSRM	CUSIP 784730103	05/10/2018		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For		For	For
2.1	Elect A.E. Michael Anglin	Mgmt	For		For	For
2.2	Elect Paul Benson	Mgmt	For		For	For
2.3	Elect Brian R. Booth	Mgmt	For		For	For
2.4	Elect Simon Fish	Mgmt	For		For	For
2.5	Elect Gustavo A. Herrero	Mgmt	For		For	For
2.6	Elect Beverlee F. Park	Mgmt	For		For	For
2.7	Elect Richard D. Paterson	Mgmt	For		For	For
2.8	Elect Steven P. Reid	Mgmt	For		For	For
2.9	Elect Elizabeth A. Wademan	Mgmt	For		For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For		For	For
4	Advisory Vote on Executive	Mgmt	For		For	For

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5	Compensation Amendment to Shareholder Rights Plan	Mgmt	For	For	For
6	Advisory Vote on Virtual-Only Annual Meeting of Shareholders	Mgmt	For	Against	Against

### St Barbara Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SBM	CINS Q8744Q173	11/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Tim Netscher	Mgmt	For	For	For
4	Equity Grant (MD/CEO Robert Vassie)	Mgmt	For	For	For

### Tahoe Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
THO	CUSIP 873868103	05/03/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect C. Kevin McArthur	Mgmt	For	For	For
1.2	Elect Ronald W. Clayton	Mgmt	For	For	For
1.3	Elect Tanya M. Jakusconeck	Mgmt	For	For	For
1.4	Elect Charles A. Jeannes	Mgmt	For	For	For
1.5	Elect Drago G. Kistic	Mgmt	For	For	For
1.6	Elect Alan C. Moon	Mgmt	For	For	For
1.7	Elect A. Dan Rovig	Mgmt	For	For	For
1.8	Elect Paul B. Sweeney	Mgmt	For	For	For
1.9	Elect James S. Voorhees	Mgmt	For	For	For
1.10	Elect Kenneth F. Williamson	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Amendment to the Share Option and Incentive Share Plan	Mgmt	For	For	For
5	Performance Share Award Plan	Mgmt	For	For	For
6	Amendments to Articles Regarding Shareholder Meeting Quorum	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For

### Torex Gold Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
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TXG	CINS 891054603	06/21/2018	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect A. Terrance MacGibbon	Mgmt	For	For	For
2	Elect Andrew Adams	Mgmt	For	For	For
3	Elect James Crombie	Mgmt	For	For	For
4	Elect Frank L. Davis	Mgmt	For	For	For
5	Elect David Fennell	Mgmt	For	For	For
6	Elect Michael Murphy	Mgmt	For	For	For
7	Elect William M. Shaver	Mgmt	For	For	For
8	Elect Elizabeth A. Wademan	Mgmt	For	For	For
9	Elect Fred Stanford	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Wheaton Precious Metals Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
WPM	CUSIP 962879102	05/11/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect George L. Brack	Mgmt	For	For	For
1.2	Elect John A. Brough	Mgmt	For	For	For
1.3	Elect R. Peter Gillin	Mgmt	For	For	For
1.4	Elect Chantal Gosselin	Mgmt	For	For	For
1.5	Elect Douglas M. Holtby	Mgmt	For	For	For
1.6	Elect Charles A. Jeannes	Mgmt	For	For	For
1.7	Elect Eduardo Luna	Mgmt	For	For	For
1.8	Elect Marilyn Schonberner	Mgmt	For	For	For
1.9	Elect Randy V.J. Smallwood	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Yamana Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
YRI	CUSIP 98462Y100	05/03/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John A. Begeman	Mgmt	For	For	For
1.2	Elect Christiane Bergevin	Mgmt	For	For	For
1.3	Elect Andrea Bertone	Mgmt	For	For	For
1.4	Elect Alexander J.	Mgmt	For	For	For

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	Davidson					
1.5	Elect Robert Gallagher	Mgmt	For	For	For	For
1.6	Elect Richard P. Graff	Mgmt	For	For	For	For
1.7	Elect Kimberly Keating	Mgmt	For	For	For	For
1.8	Elect Nigel Lees	Mgmt	For	For	For	For
1.9	Elect Peter J. Marrone	Mgmt	For	For	For	For
1.10	Elect Jane Sadowsky	Mgmt	For	For	For	For
1.11	Elect Dino Titaro	Mgmt	For	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	For

Zhaojin Mining Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1818	CINS Y988A6104	04/20/2018	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Authority to Issue Corporate Bonds	Mgmt	For	For	For
4	Authority to Issue Medium Term Note	Mgmt	For	For	For
5	Authority to Issue Overseas Bonds	Mgmt	For	For	For

Zhaojin Mining Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1818	CINS Y988A6104	06/08/2018	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Elect Dong Xin	Mgmt	For	For	For
9	Elect WANG Ligang	Mgmt	For	Against	Against
10	Elect LIU Yongsheng	Mgmt	For	For	For
11	Elect YAO Ziping	Mgmt	For	For	For
12	Elect LI Xiuchen	Mgmt	For	Against	Against
13	Elect XIE Xueming	Mgmt	For	For	For
14	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
15	Authority to H Repurchase Shares	Mgmt	For	For	For

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Zhaojin Mining Industry Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1818	CINS Y988A6104	06/08/2018	Voted			
Meeting Type		Country of Trade				
Other		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Repurchase H Shares	Mgmt	For	For	For	

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Zhaojin Mining Industry Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1818	CINS Y988A6104	09/18/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Issue Super Short-Term Bonds	Mgmt	For	For	For	

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Zhaojin Mining Industry Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1818	CINS Y988A6104	12/29/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	2017 Group Financial Services Agreement	Mgmt	For	Against	Against	
4	2017 Parent Group Financial Services Agreement	Mgmt	For	Against	Against	

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Zijin Mining Group Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
2899	CINS Y9892H107	05/17/2018	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Articles	Mgmt	For	For	For	
3	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
4	Authority to Issue Debt Instruments	Mgmt	For	For	For	
5	Authority to Give Guarantees (Overseas Wholly-Owned Subsidiaries)	Mgmt	For	For	For	
6	Authority to Give Guarantees (Makeng	Mgmt	For	For	For	

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7	Mining) Authority to Give Guarantees (Wengfu Zijin)	Mgmt	For	For	For
8	Directors' Report	Mgmt	For	For	For
9	Independent Directors' Report	Mgmt	For	For	For
10	Supervisors' Report	Mgmt	For	For	For
11	Accounts and Reports	Mgmt	For	For	For
12	Annual Report	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	Executive Directors' and Supervisory Committee Chair Fees	Mgmt	For	For	For
15	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
16	Change in Use of Proceeds	Mgmt	For	For	For

Fund Name : VanEck Vectors High Income Infrastructure MLP ETF

Buckeye Partners LP

Ticker	Security ID:	Meeting Date	Meeting Status
BPL	CUSIP 118230101	06/05/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1.1	Elect Pieter Bakker	Mgmt	For
1.2	Elect Barbara M. Bauman	Mgmt	For
1.3	Elect Mark C. McKinley	Mgmt	For
2	Remove Provisions Regarding Partnership Agreement	Mgmt	For
			Against
3	Ratification of Auditor	Mgmt	For
4	Advisory Vote on Executive Compensation	Mgmt	For
			Against
			Against

Tallgrass Energy Partners LP

Ticker	Security ID:	Meeting Date	Meeting Status
TEP	CUSIP 874697105	06/26/2018	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Merger/Acquisition	Mgmt	For
			For
			For

Western Gas Partners LP

Ticker	Security ID:	Meeting Date	Meeting Status
WES	CUSIP 958254104	10/17/2017	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Approval of the 2017 Long-Term Incentive Plan	Mgmt	For
			For
2	Right to Adjourn Meeting	Mgmt	For
			For
			For

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Fund Name : VanEck Vectors High Income MLP ETF

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Archrock Partners L.P.  
 Ticker Security ID: Meeting Date Meeting Status  
 APLP CUSIP 03957U100 04/25/2018 Voted  
 Meeting Type Country of Trade  
 Special United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

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Black Stone Minerals L.P.  
 Ticker Security ID: Meeting Date Meeting Status  
 BSM CUSIP 09225M101 06/14/2018 Voted  
 Meeting Type Country of Trade  
 Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William G. Bardel	Mgmt	For	For	For
1.2	Elect Carin M. Barth	Mgmt	For	For	For
1.3	Elect Thomas L. Carter, Jr.	Mgmt	For	For	For
1.4	Elect D. Mark DeWalch	Mgmt	For	For	For
1.5	Elect Ricky J. Haeflinger	Mgmt	For	For	For
1.6	Elect Jerry V. Kyle, Jr.	Mgmt	For	For	For
1.7	Elect Michael C. Linn	Mgmt	For	For	For
1.8	Elect John H. Longmaid	Mgmt	For	For	For
1.9	Elect William N. Mathis	Mgmt	For	Withhold	Against
1.10	Elect William E. Randall	Mgmt	For	For	For
1.11	Elect Alexander D. Stuart	Mgmt	For	For	For
1.12	Elect Allison K. Thacker	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Dynagas LNG Partners LP  
 Ticker Security ID: Meeting Date Meeting Status  
 DLNG CUSIP Y2188B108 12/07/2017 Voted  
 Meeting Type Country of Trade  
 Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Levon A. Dedegian	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For

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Gaslog Partners LP  
 Ticker Security ID: Meeting Date Meeting Status  
 GLOP CUSIP Y2687W108 05/11/2018 Voted  
 Meeting Type Country of Trade  
 Annual United States

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Daniel R. Bradshaw	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For

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### Golar LNG Partners LP

Ticker	Security ID:	Meeting Date	Meeting Status
GMLP	CUSIP Y2745C102	09/27/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Carl Erik Steen	Mgmt	For	For	For

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### Hoegh LNG Partners Limited Partnership

Ticker	Security ID:	Meeting Date	Meeting Status
HMLP	CUSIP Y3262R100	09/15/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David Spivak	Mgmt	For	Against	Against

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### KNOT Offshore Partners LP

Ticker	Security ID:	Meeting Date	Meeting Status
KNOP	CUSIP Y48125101	08/09/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Hans Petter Aas	Mgmt	For	Against	Against
2	Resident Status	Mgmt	N/A	Against	N/A

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### Suburban Propane Partners, L.P.

Ticker	Security ID:	Meeting Date	Meeting Status
SPH	CUSIP 864482104	05/15/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Harold R. Logan, Jr.	Mgmt	For	For	For
1.2	Elect John H. Stookey	Mgmt	For	For	For
1.3	Elect Jane M. Swift	Mgmt	For	For	For
1.4	Elect Lawrence C. Caldwell	Mgmt	For	For	For
1.5	Elect Matthew J. Chanin	Mgmt	For	For	For
1.6	Elect Terrence J Connors	Mgmt	For	For	For
1.7	Elect William M Landuyt	Mgmt	For	For	For
1.8	Elect Michael A. Stivala	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Approval of the 2018 Restricted Unit Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Fund Name : VanEck Vectors India Small-Cap Index ETF

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8K Miles Software Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
8KMILES	CINS Y6782A127	09/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Lakshmanan Kannappan	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Reappointment of Venkatachari Suresh (Managing Director)	Mgmt	For	Against	Against
6	Elect Sujatha Chandrasekaran	Mgmt	For	For	For
7	Related Party Transactions with 8K Miles Media Private Limited	Mgmt	For	Against	Against
8	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	Against	Against
9	Adoption of 8K Miles Employees Stock Option Plan	Mgmt	For	Against	Against
10	Extension of 8K Miles Employees Stock Option Plan to Subsidiaries	Mgmt	For	Against	Against
11	Reappointment of R.S.Ramani (Whole Time Director); Approval of Remuneration	Mgmt	For	Against	Against

Aban Offshore Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
ABAN	CINS Y0001N135	09/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect C. P. Gopalkrishnan	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Appointment of Reji Abraham (Managing Director); Approval of Remuneration	Mgmt	For	Against	Against

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5	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	Against	Against
6	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights to Qualified Institutional Buyers	Mgmt	For	Against	Against

### Advanced Enzyme Technologies Limited

Ticker	Security ID:	Meeting Date	Meeting Status
ADVENZYMES	CINS Y0R165120	09/11/2017	Voted
Meeting Type	Country of Trade		
Annual	India		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Elect Vasant Rathi	Mgmt	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For
5	Related Party Transactions with Advanced Bio-Agro Tech Limited	Mgmt	For
6	Related Party Transactions with JC Biotech Private Limited	Mgmt	For
7	Elect Pramod Badrinarayan Kasat	Mgmt	For
8	Appointment of Mukund Kabra (Whole-Time Director); Approval of Remuneration	Mgmt	For
9	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For

### Allahabad Bank

Ticker	Security ID:	Meeting Date	Meeting Status
ALBK	CINS Y0031K101	01/17/2018	Voted
Meeting Type	Country of Trade		
Special	India		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Employee Stock Purchase Scheme	Mgmt	For
2	Non-Voting Meeting Note	N/A	N/A

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3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
<hr/>						
Allahabad Bank						
Ticker	Security ID:	Meeting Date		Meeting Status		
ALBK	CINS Y0031K101	03/21/2018		Voted		
Meeting Type		Country of Trade				
Special		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Preferential Issuance of Shares w/o Preemptive Rights	Mgmt	For	For	For	
<hr/>						
Allahabad Bank						
Ticker	Security ID:	Meeting Date		Meeting Status		
ALBK	CINS Y0031K101	03/21/2018		Voted		
Meeting Type		Country of Trade				
Special		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Elect Arvind Kumar Jain	Mgmt	For	Abstain	Against	
5	Elect Sarath Sura	Mgmt	For	Abstain	Against	
6	Elect Bijaya Kumar Sahoo	Mgmt	For	Abstain	Against	
7	Elect Parthapratim Pal	Mgmt	For	N/A	N/A	
<hr/>						
Allahabad Bank						
Ticker	Security ID:	Meeting Date		Meeting Status		
ALBK	CINS Y0031K101	06/27/2018		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
<hr/>						
Amtek Auto Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
AMTEKAUTO	CINS Y0124E137	07/07/2017		Voted		
Meeting Type		Country of Trade				
Special		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Conversion of Loans into Equity Shares	Mgmt	For	For	For	
<hr/>						
Amtek Auto Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
AMTEKAUTO	CINS Y0124E137	11/30/2017		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	Against	Against	

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3	Elect Arvind Dham	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Appoint Guatam Malhotra as Whole-time Director; Approval of Remuneration	Mgmt	For	Against	Against

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### Anant Raj Limited

Ticker	Security ID:	Meeting Date	Meeting Status
ANANTRAJ	CINS Y01272122	07/29/2017	Voted
Meeting Type	Country of Trade		
Court	India		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Divestiture/Spin-off	Mgmt	For
			For
			For

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### Anant Raj Limited

Ticker	Security ID:	Meeting Date	Meeting Status
ANANTRAJ	CINS Y01272122	09/29/2017	Voted
Meeting Type	Country of Trade		
Annual	India		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Accounts and Reports	Mgmt	For
3	Allocation of Profits/Dividends	Mgmt	For
4	Elect Amit Sarin	Mgmt	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For
6	Appointment of Anil Sarin (Managing Director); Approval of Remuneration	Mgmt	For
			Against
			Against
7	Amendment to Borrowing Powers	Mgmt	For
			For
			For
8	Authority to Set Cost Auditor's Fees	Mgmt	For
			For
			For

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### Andhra Bank

Ticker	Security ID:	Meeting Date	Meeting Status
ANDHRABANK	CINS Y01279119	03/12/2018	Voted
Meeting Type	Country of Trade		
Special	India		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For
			For
			For

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### Andhra Bank

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Ticker	Security ID:	Meeting Date	Meeting Status
ANDHRABANK	CINS Y01279119	07/21/2017	Voted
Meeting Type		Country of Trade	
Annual		India	
Issue No.	Description	Proponent	Mgmt Rec
1	Accounts and Reports	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For

Astec Lifesciences Limited			
Ticker	Security ID:	Meeting Date	Meeting Status
ASTEC	CINS Y0433F106	07/28/2017	Voted
Meeting Type		Country of Trade	
Annual		India	
Issue No.	Description	Proponent	Mgmt Rec
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Elect Nadir B. Godrej	Mgmt	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For
			Vote Cast
			For
			Against
			Against
			For
			For

Astra Microwave Products Limited			
Ticker	Security ID:	Meeting Date	Meeting Status
ASTRAMICRO	CINS Y4066G118	07/28/2017	Voted
Meeting Type		Country of Trade	
Annual		India	
Issue No.	Description	Proponent	Mgmt Rec
1	Non-Voting Meeting Note	N/A	N/A
2	Standalone Financial Statements	Mgmt	For
3	Consolidated Financial Statements	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Elect B. M. Reddy	Mgmt	For
6	Elect S. G. Reddy	Mgmt	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For
			Vote Cast
			N/A
			For
			Against
			Against
			For
			For

Atul Auto Limited			
Ticker	Security ID:	Meeting Date	Meeting Status
ATULAUTO	CINS Y0450K145	09/29/2017	Voted
Meeting Type		Country of Trade	
Annual		India	
Issue No.	Description	Proponent	Mgmt Rec
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Elect Vijay K. Kedia	Mgmt	For
4	Appointment of Auditor and Authority to Set	Mgmt	For
			Vote Cast
			For
			For
			Against
			Against
			For
			For

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Fees		N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Atul Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
ATUL	CINS Y0450Y111	07/28/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Rajendra A. Shah	Mgmt	For	Against	Against
4	Elect T.R. Gopi Kannan	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Samveg A. Lalbhai (Managing Director); Approval of Remuneration	Mgmt	For	For	For
7	Re-Classification in Promoter Group	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
<hr/>					
Azure Power Global Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
AZRE	CUSIP V0393H103	09/25/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Elect Eric Yim On Ng	Mgmt	For	For	For
4	Elect Cyril Sebastien Dominique Cabanes	Mgmt	For	For	For
5	Elect Harkanwal Singh Wadhwa	Mgmt	For	For	For
6	Amendment to the 2016 Equity Incentive Plan	Mgmt	For	For	For
<hr/>					
Bajaj Electricals Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
BAJAJELEC	CINS Y05477164	08/03/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	Against	Against
3	Allocation of Profits/Dividends	Mgmt	For	For	For

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4	Elect Madhur Bajaj	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

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Bajaj Hindusthan Sugar Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BAJAJHIND	CINS Y0547C130	09/15/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Ashok Kumar Gupta	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Shalu Bhandari	Mgmt	For	For	For
6	Elect Mukeshkumar S. Dave	Mgmt	For	Against	Against
7	Appointment of Ashok Kumar Gupta (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Bajaj Hindusthan Sugar Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BAJAJHIND	CINS Y0547C130	12/02/2017	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Increase in Authorized Capital	Mgmt	For	For	For
3	Conversion of Existing Loans/Debt into Optionally Convertible Debentures under an S4A Scheme	Mgmt	For	For	For
4	Authority to Issue Optionally Convertible Debentures to Support the S4A Scheme	Mgmt	For	For	For

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Balrampur Chini Mills Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
BALRAMCHIN	CINS Y0548Y149	08/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Arvind Krishna Saxena	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Vivek Saraogi (Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Appointment of Arvid Krishna Saxena (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For
8	Elect Naresh Dayal	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status		
BEML	CINS Y0881N114	09/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	Against	Against
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Aniruddh Kumar	Mgmt	For	Against	Against
5	Elect Sanjay Prasad	Mgmt	For	Against	Against
6	Authority to Set Auditor's Fees	Mgmt	For	For	For
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status		
BFUTI	CINS Y08804125	11/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Elect Amit B. Kalyani	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set	Mgmt	For	For	For

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Fees

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BGR Energy Systems Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
BGREENERGY	CINS Y08816103	09/27/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Swarnamugi Karthik	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Arjun Govind Raghupathy	Mgmt	For	Against	Against
5	Appointment of Arjun Govind Raghupathy (Deputy Managing Director & COO); Approval of Remuneration	Mgmt	For	For	For
6	Appointment of V.R. Mahadevan (Joint Managing Director); Approval of Remuneration	Mgmt	For	For	For
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Bliss GVS Pharma Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
BLISSGVS	CINS Y0910L121	03/06/2018		Voted	
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Disposal	Mgmt	For	Against	Against
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Bliss GVS Pharma Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
BLISSGVS	CINS Y0910L121	09/26/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Gautam Rasiklal Ashara	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Adoption of New Articles	Mgmt	For	Abstain	Against

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BLS International Services Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	

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BLS	CINS Y0R18P124	09/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Sarthak Behuria	Mgmt	For	For	For
6	Elect Surinder Singh Kohli	Mgmt	For	For	For
7	Appointment of Karan Aggarwal (Executive Director); Approval of Remuneration	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For

Bodal Chemicals Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
BODALCHEM	CINS Y0920D143	09/23/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports (Standalone)	Mgmt	For	For	For
3	Accounts and Reports (Consolidated)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Bhavin S. Patel	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Adoption of New Articles	Mgmt	For	Against	Against
8	Elect Nalin Kumar	Mgmt	For	For	For
9	Elect Neha Huddar	Mgmt	For	For	For
10	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	Against	Against
12	Adoption of the Bodal Chemicals Ltd - Employees Stock Option Plan 2017	Mgmt	For	Against	Against
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

Bombay Dyeing & Manufacturing Company					
Ticker	Security ID:	Meeting Date	Meeting Status		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
BOMDYEING CINS Y0R6AQ102 08/10/2017 Voted					
Meeting Type Country of Trade					
Annual India					
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Ness N. Wadia	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Cost Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect Vinesh Kumar Jairath	Mgmt	For	For	For
8	Elect Minnie Aarasp Bodhanwala	Mgmt	For	Against	Against
9	Elect Keki Elavia	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Capital First Limited					
Ticker Security ID: Meeting Date Meeting Status					
CAPF CINS Y2687H101 07/05/2017 Voted					
Meeting Type Country of Trade					
Annual India					
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Vishal Mahadevia	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Naresh Chand Singhal	Mgmt	For	For	For
7	Elect Sundara Rajan	Mgmt	For	For	For
8	Elect Hemang Raja	Mgmt	For	For	For
9	Amendment to Remuneration of Apul Nayyar (Executive Director)	Mgmt	For	For	For
10	Amendment to Remuneration of Nihal Desai (Executive Director)	Mgmt	For	For	For
11	CFL Employee Stock Option Scheme - 2017	Mgmt	For	Against	Against
12	Extension of CFL Employee Stock Option Scheme - 2017	Mgmt	For	Against	Against
13	Amendment to Borrowing Powers	Mgmt	For	For	For
14	Authority to Issue	Mgmt	For	Against	Against

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	Non-Convertible Debentures				
15	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
16	Relocation of the Company's Registers and Other Records	Mgmt	For	For	For

Capital First Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CAPF	CINS Y2687H101	09/07/2017	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendment to Foreign Investor Restrictions	Mgmt	For	For	For
3	Elect Brinda Jagirdar	Mgmt	For	For	For

CARE Ratings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
CARERATING	CINS Y1R7BV106	08/01/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Rajesh Mokashi	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Elect Sadhana Dhamane	Mgmt	For	For	For
6	Elect Suryakanth B. Mainak	Mgmt	For	For	For
7	Elect Milind S. Sarwate	Mgmt	For	For	For

Ceat Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CEATLTD	CINS Y1229V149	08/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Harsh Vardhan Goenka	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of Branch Auditor	Mgmt	For	For	For
6	Appoint Anant Vardhan	Mgmt	For	For	For

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	Goenka (Managing Director); Approval of Remuneration				
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
8	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

Century Plyboards India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CENTURYPLY	CINS Y1274H102	09/01/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Hari Prasad Agarwal	Mgmt	For	Against	Against
4	Elect Prem Kumar Bhajanka	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Vijay Chibber	Mgmt	For	For	For
7	Elect Debanjan Mandal	Mgmt	For	For	For
8	Elect Sunil Mitra	Mgmt	For	For	For
9	Elect Nikita Bansal	Mgmt	For	For	For
10	Appointment of Nikita Bansal (Executive Director); Approval of Remuneration	Mgmt	For	Against	Against
11	Appointment of Hari Prasad Agarwal (Vice-Chair and Executive Director); Approval of Remuneration	Mgmt	For	For	For

CG Power & Industrial Solutions Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
CGPOWER	CINS Y1788L144	05/29/2018	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

CG Power & Industrial Solutions Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
CGPOWER	CINS Y1788L144	09/22/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Gautam Thapar	Mgmt	For	Against	Against
3	Elect Omkar M. Goswami	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Elect Jitender Balakrishnan	Mgmt	For	For	For

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### Chambal Fertilisers & Chemicals Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CHAMBLFERT	CINS Y12916139	09/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Chandra Shekhar Nopany	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Elect Nimesh Nagindas Kampani	Mgmt	For	For	For
8	Elect Marco Philippus Ardeshir Wadia	Mgmt	For	Against	Against
9	Elect Radha Singh	Mgmt	For	For	For
10	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

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### Chennai Petroleum Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CHENNPETRO	CINS Y53733112	08/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends: Preference Shares	Mgmt	For	For	For
3	Allocation of Profits/Dividends: Ordinary Shares	Mgmt	For	For	For
4	Elect S. Krishna Prasad	Mgmt	For	Against	Against
5	Elect Sanjiv Singh	Mgmt	For	Against	Against
6	Elect Mrutanjay Sahoo	Mgmt	For	Against	Against
7	Elect P. B. Lohiya	Mgmt	For	For	For

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8	Elect S. M. Vaidya	Mgmt	For	Against	Against
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

Cox & Kings India Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
COX&KINGS	CINS Y17730113	05/10/2018		Voted	
Meeting Type		Country of Trade			
Court		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Scheme of Arrangement	Mgmt	For	For	For

Cox & Kings India Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
COX&KINGS	CINS Y17730113	09/21/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Abstain	Against
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Peter Kerkar	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of Urrshila Kerkar (Whole-time Director); Approval of Remuneration	Mgmt	For	Abstain	Against
6	Authority to Issue Non-Convertible Debentures	Mgmt	For	Abstain	Against

DB Realty Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
DBREALTY	CINS Y202CY107	03/29/2018		Voted	
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions Including Corporate Guarantees to Marine Drive Hospitality & Realty Pvt. Ltd.	Mgmt	For	Against	Against
3	Related Party Transactions Including Corporate Guarantees to Bamboo Hotel and Global Centre (Delhi) Pvt. Ltd.	Mgmt	For	Against	Against
4	Related Party Transactions	Mgmt	For	Against	Against

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	Including Corporate Guarantees to Neelkamal Realtors Tower Pvt. Ltd.				
5	Related Party Transactions Including Corporate Guarantees to Horizontal Realty & Aviation Pvt. Ltd.	Mgmt	For	Against	Against
6	Related Party Transactions Including Corporate Guarantees to BD&P Hotels (India) Pvt. Ltd. & Neelkamal Realtors Tower Pvt. Ltd.	Mgmt	For	Against	Against

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DB Realty Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
DBREALTY	CINS Y202CY107	09/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	Against	Against
3	Elect Sunita Goenka	Mgmt	For	For	For
4	Elect Salim U. Balwa	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Sundaram Rajagopal	Mgmt	For	For	For
7	Reappointment of Vinod K. Goenka (Executive Chair and Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
8	Reappointment of Shahid Balwa (Executive Vice Chair and Managing Director); Approval of Remuneration	Mgmt	For	For	For
9	Authority to Give Guarantees for Related Parties	Mgmt	For	For	For

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DCB Bank Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
DCBBANK	CINS Y2051L134	06/02/2018	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Shaffiq Dharamshi	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Elect Jamal Pradhan	Mgmt	For	For	For
6	Elect Iqbal Ishak Khan	Mgmt	For	For	For
7	Elect Ashok Kumar Barat	Mgmt	For	For	For
8	Elect Nasser Mukhtar Munjee	Mgmt	For	Against	Against
9	Reappointment of Murali M. Natrajan (Managing Director & CEO); Approval of Remuneration	Mgmt	For	For	For
10	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
11	Amendment to Borrowing Powers	Mgmt	For	For	For
12	Amendments to Memorandum	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Deep Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
DEEPIND	CINS Y2026S107	09/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Paras S. Savla	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Change of Location of the Company's Documents	Mgmt	For	For	For
6	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	Against	Against
7	Re-Classification in Promoter Group	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Delta Corp Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
DELTACORP	CINS Y1869P105	09/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of	Mgmt	For	For	For

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	Profits/Dividends					
3	Elect Ashish K. Kapadia	Mgmt	For	Against	Against	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
5	Elect Chetan Desai	Mgmt	For	For	For	
6	Related Party Transactions	Mgmt	For	For	For	

Den Networks Ltd

Ticker	Security ID:	Meeting Date	Meeting Status			
DEN	CINS Y2032S109	09/27/2017	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Standalone Accounts and Reports	Mgmt	For	For	For	
2	Consolidated Accounts and Reports	Mgmt	For	For	For	
3	Elect Sameer Manchanda	Mgmt	For	Against	Against	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Elect Krishna Kumar Gangadharan	Mgmt	For	For	For	
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
7	Determination of Fees for Servicing of Documents	Mgmt	For	For	For	

Dena Bank

Ticker	Security ID:	Meeting Date	Meeting Status			
DENABANK	CINS Y2030B116	03/27/2018	Voted			
Meeting Type	Country of Trade					
Special	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Elect Arvind Kumar Jain	Mgmt	For	N/A	N/A	
5	Elect Yasho Verdhan Verma	Mgmt	For	N/A	N/A	
6	Elect Krishan Sethi	Mgmt	For	N/A	N/A	
7	Elect Rakesh Kumar	Mgmt	For	Abstain	Against	
8	Elect V. Chandrasekaran	Mgmt	For	Abstain	Against	

Dena Bank

Ticker	Security ID:	Meeting Date	Meeting Status			
DENABANK	CINS Y2030B116	03/27/2018	Voted			
Meeting Type	Country of Trade					
Special	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Preferential Issuance of Shares w/o Preemptive	Mgmt	For	For	For	

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Dena Bank					
Ticker	Security ID:	Meeting Date		Meeting Status	
DENABANK	CINS Y2030B116	06/27/2018		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For

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Dhampur Sugar Mills Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
DHAMPURSUG	CINS Y2058S124	08/28/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Vijay Kumar Goel	Mgmt	For	Against	Against
5	Elect Ashok Kumar Goel	Mgmt	For	Against	Against
6	Elect Gaurav Goel	Mgmt	For	Against	Against
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Approval to Accept Deposits	Mgmt	For	For	For
10	Non-Executive Directors' Commission	Mgmt	For	For	For
11	Adoption of New Articles	Mgmt	For	Against	Against
12	Appoint Vijay Kumar Goel as Chairman and Executive Director; Approve remuneration	Mgmt	For	For	For
13	Appoint Ashok Kumar Goel as Vice-Chairman and Executive Director; Approve remuneration	Mgmt	For	For	For
14	Appoint Gaurav Goel as Managing Director; Approve remuneration	Mgmt	For	For	For
15	Appoint Gautam Goel as Managing Director; Approve remuneration	Mgmt	For	For	For
16	Appoint Sandeep Sharma as Whole-time Director; Approve remuneration	Mgmt	For	For	For

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Dishman Carbogen Amics Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
DCAL	CINS Y1R03Y104	09/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Arpit J. Vyas	Mgmt	For	For	For
4	Appointment of Joint Auditors and Authority to Set Fees	Mgmt	For	Against	Against
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Dredging Corporation of India Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
DREDGECORP	CINS Y2107Z111	08/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Rajesh Tripathi	Mgmt	For	For	For
3	Elect M. Sankara Rao	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

E.I.D. Parry (India) Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EIDPARRY	CINS Y67828163	02/22/2018	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Divestiture of Bio Pesticides Businesses	Mgmt	For	For	For
3	Divestiture of Parry America	Mgmt	For	For	For

E.I.D. Parry (India) Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EIDPARRY	CINS Y67828163	08/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Venkatachalam Ravichandran	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of S. Suresh (Managing	Mgmt	For	For	For

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	Director); Approval of Remuneration					
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
7	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For	

Eros International Media Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
EROSMEDIA	CINS Y229A9107	09/28/2017	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Elect Kishore Lulla	Mgmt	For	Against	Against	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Appointment of Kishore Lulla (Executive Director); Approval of Remuneration	Mgmt	For	Against	Against	
6	Employee Stock Options Scheme	Mgmt	For	Against	Against	
7	Extension of Employee Stock Options Scheme	Mgmt	For	Against	Against	

Eros International Plc

Ticker	Security ID:	Meeting Date	Meeting Status			
EROS	CUSIP G3788M114	12/20/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Elect David Maisel	Mgmt	For	For	For	
3	Elect Kishore Lulla	Mgmt	For	For	For	
4	Elect Shailendra Swarup	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	

Escorts Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
ESCORTS	CINS Y2296W127	09/21/2017	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Elect Nitasha Nanda	Mgmt	For	Against	Against	
4	Elect Girish Behari Mathur	Mgmt	For	Against	Against	

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5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Payment of Professional Fees to G.B. Mathur	Mgmt	For	Against	Against
8	Appointment of Nikhil Nanda (Managing Director); Approval of Remuneration	Mgmt	For	For	For
9	Elect P. H. Ravikumar	Mgmt	For	Against	Against
10	Elect Vibha Paul Rishi	Mgmt	For	For	For

Eveready Industries India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EVEREADY	CINS Y2361Z120	08/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Brij M. Khaitan	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Ajay Kaul	Mgmt	For	For	For
6	Appointment of Amritanshu Khaitan (Managing Director); Approval of Remuneration	Mgmt	For	For	For
7	Appointment of Suvamoy Saha (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Change in Location of Register of Members	Mgmt	For	For	For

Finolex Cables Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FINCABLES	CINS Y24953146	09/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Mahesh Viswanathan	Mgmt	For	Against	Against

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5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Sumit N. Shah	Mgmt	For	For	For
7	Elect Shishir Lall	Mgmt	For	For	For
8	Elect Sumit N. Shah (Five Year Term)	Mgmt	For	For	For
9	Elect Shishir Lall (Five Year Term)	Mgmt	For	For	For
10	Appointment of D. K Chhabria (Advisor); Approval of Fees	Mgmt	For	For	For
11	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
12	Directors' Commission	Mgmt	For	For	For
13	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
14	Related Party Transactions with Corning Finolex Optical Fibre Private Limited	Mgmt	For	For	For

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Firstsource Solutions Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FSL	CINS Y25655104	08/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Pradip Kumar Khaitan	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Force Motors Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FORCEMOT	CINS Y05481117	09/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Prashant V. Inamdar	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Yeshwant M. Deosthalee	Mgmt	For	For	For
6	Elect Pratap Pawar	Mgmt	For	Against	Against
7	Elect S. Padmanabhan	Mgmt	For	Against	Against

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8	Elect Nitin Desai	Mgmt	For	Against	Against
9	Elect Indira J. Parikh	Mgmt	For	For	For
10	Elect Arun Sheth	Mgmt	For	Against	Against
11	Charitable Donations	Mgmt	For	For	For
12	Authority to Set Auditor's Cost Fees	Mgmt	For	For	For
13	Deposits from Members and/or the Public	Mgmt	For	Against	Against

Future Consumer Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FCONSUMER	CINS Y2677P113	03/23/2018	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Non-Convertible Debentures	Mgmt	For	Against	Against
3	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For

Future Consumer Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FCONSUMER	CINS Y2677P113	08/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Ashni Biyani	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Narendra Baheti	Mgmt	For	For	For
5	Appointment of Narendra Baheti (Executive Director); Approval of Remuneration	Mgmt	For	For	For
6	Appointment of Ashni Biyani (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For

Future Enterprises Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
FEL	CINS Y6722V140	03/19/2018	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Non-Convertible	Mgmt	For	For	For

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### Debentures

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Future Enterprises Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
FEL	CINS Y6722V140	06/28/2018		Voted	
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Future Enterprises Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
FEL	CINS Y6722V140	08/29/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Vijay Biyani	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Revised Remuneration of Vijay Biyani (Managing Director)	Mgmt	For	For	For
7	Appoint Vijay Biyani as Managing Director; Approve Remuneration	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against

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Future Enterprises Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
FEL	CINS Y6722V140	10/04/2017		Voted	
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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Gateway Distriparks Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
GDL	CINS Y26839103	08/02/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For

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4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Mamta Gupta	Mgmt	For	For	For
6	Appointment of Ishaan Gupta (Joint Managing Director); Approval of Remuneration	Mgmt	For	For	For
7	Appointment of Prem Kishan Dass Gupta (Executive Chairman and Managing Director); Approval of Remuneration	Mgmt	For	For	For
8	Non-Executive Directors' Commission	Mgmt	For	For	For

Gati Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GATI	CINS Y26835135	08/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports: Standalone Financial Statements	Mgmt	For	For	For
2	Accounts and Reports: Consolidated Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Yasuhiro Kaneda	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Branch Auditor	Mgmt	For	For	For
7	Holding of Office or Place of Profit by Dhruv Agarwal	Mgmt	For	For	For
8	Holding of Office or Place of Profit by Manish Agarwal	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

Ghcl Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GHCL	CINS Y29443119	05/31/2018	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For

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3	Elect Sanjay Dalmia	Mgmt	For	Against	Against
4	Elect Anurag Dalmia	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Reappointment of Raman Chopra (Whole Time Director); Approval of Remuneration	Mgmt	For	For	For
7	Amendment to Borrowing Powers	Mgmt	For	For	For
8	Authority to Mortgage Assets	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Granules India Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
GRANULES	CINS Y2849A135	09/28/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Kolli Basava Sankar Rao	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of Uma Devi Chigurupati (Whole Time Director); Approval of Remuneration	Mgmt	For	For	For
6	Elect V.V.N.K.V. Prasada Raju	Mgmt	For	Against	Against
7	V.V.N.K.V. Prasada Raju (Whole Time Director); Approval of Remuneration	Mgmt	For	For	For
8	Authority to Issue Shares under the Employee Stock Option Scheme 2017	Mgmt	For	Against	Against
9	Extension of Employee Stock Option Scheme 2017 to Subsidiaries	Mgmt	For	Against	Against
10	Re-Classification in Promoter Group	Mgmt	For	For	For
11	Amendment to Foreign Investor Restrictions	Mgmt	For	For	For

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GTPL Hathway Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
GTPL	CINS Y2R47D106	09/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect A.N. Jadeja	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect B.B. Chovatia	Mgmt	For	For	For
6	Elect Kunal Chandra	Mgmt	For	For	For
7	Elect P.P. Oza	Mgmt	For	For	For
8	Elect F.H. Shah	Mgmt	For	For	For
9	Elect Rajan Gupta	Mgmt	For	For	For
10	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Gujarat Mineral Development Corp. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
GMDCLTD	CINS Y2946M131	09/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Authority to Set Auditor's Fees	Mgmt	For	For	For
4	Charitable Donations	Mgmt	For	For	For
5	Authority to Cost Auditor's Fees	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Gujarat Narmada Valley Fertilizers & Chemicals Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GNFC	CINS Y29446120	09/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Mamta Verma	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Anil G. Mukim	Mgmt	For	For	For
6	Elect Chandra Sekhar Mani	Mgmt	For	Against	Against
7	Elect Arvind Sahay	Mgmt	For	Against	Against

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8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### GVK Power & Infrastructure Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GVKPIL	CINS Y2962K118	09/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect G V Sanjay Reddy	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect P V Prasanna Reddy	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### HCL Infosystems Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HCL-INSYS	CINS Y3119E138	09/02/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Reclassification of Promoter Group Members	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### HCL Infosystems Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HCL-INSYS	CINS Y3119E138	09/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Dilip Kumar Srivastava	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For

### HCL Infosystems Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
HCL-INSYS	ISIN INE236A01020	03/24/2018	Voted		
Meeting Type	Country of Trade				
Other	IN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Disposal	Mgmt	For	For	Mgmt For
Himachal Futuristic Communications Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
HFCL	CINS Y3196Q145	09/25/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports (Standalone)	Mgmt	For	For	For
3	Accounts and Reports (Consolidated)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Arvind Kharabanda	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Revised Remuneration of Mahendra Nahat, (Managing Director)	Mgmt	For	For	For
8	Adoption of HFCL Employees' Long Term Incentive Plan - 2017	Mgmt	For	Against	Against
9	Extension of the Employees' Long Term Incentive Plan - 2017 to Subsidiaries	Mgmt	For	Against	Against
10	Authority for Employee Trust to Acquire Shares to Implement the HFCL Employees' Long Term Incentive Plan - 2017	Mgmt	For	Against	Against
11	Provision of Loans to Employee Trust	Mgmt	For	Against	Against
12	Issuance of Warrants w/o Preemptive Rights	Mgmt	For	For	For
Himatsingka Seide Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
HIMATSEIDE	CINS Y3196U138	09/23/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Dinesh Kumar Himatsingka	Mgmt	For	Against	Against
5	Appointment of Auditor	Mgmt	For	For	For

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	and Authority to Set Fees				
6	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
7	Re-Classification in Promoter Group	Mgmt	For	For	For
8	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Hindustan Construction Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HCC	CINS Y3213Q136	07/06/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	Against	Against
3	Elect Nateri Ramanuj Acharyulu	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Ram P. Gandhi	Mgmt	For	Against	Against
6	Elect Sharad M. Kulkarni	Mgmt	For	Against	Against
7	Revised remuneration of Ajit Gulabchand (Chair and Managing director) for financial year 2016-2017	Mgmt	For	For	For
8	Remuneration of Ajit Gulabchand (Chair and Managing director) for financial year 2017-2018	Mgmt	For	For	For
9	Elect and Appoint Arjun Dhawan (Group CEO and Whole-Time Director); Approve Remuneration	Mgmt	For	For	For
10	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
11	Conversion of Debt into Equity Shares and/or Optionally Convertible Debentures	Mgmt	For	For	For
12	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights (S4A)	Mgmt	For	For	For

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13	Scheme) Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
<hr/>					
Housing Development and Infrastructure Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
HDIL	CINS Y3722J102	09/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
3	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
4	Issuance of Warrants w/o Preemptive Rights	Mgmt	For	For	For
5	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	Against	Against
6	Non-Executive Directors' Commission	Mgmt	For	For	For
7	Appointment of Rakesh Wadhawan (Executive Chairman); Approve Remuneration	Mgmt	For	For	For
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Housing Development and Infrastructure Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
HDIL	CINS Y3722J102	12/27/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Issuance of Warrants w/o Preemptive Rights	Mgmt	For	For	For
<hr/>					
IFCI Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
IFCI	CINS Y8743E123	03/07/2018	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Preferential issuance w/o Preemptive Rights to the Government of India	Mgmt	For	For	For

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IFCI Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IFCI	CINS Y8743E123	10/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Kiran Sahdev	Mgmt	For	Against	Against
4	Authority to Set Auditors' Fees	Mgmt	For	For	For
5	Authority to Issue Non-Convertible Debentures/Bonds	Mgmt	For	For	For
6	Amendments to Articles: Consolidation and Re-issuance of Debt Securities	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Indian Overseas Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
IOB	CINS Y39282119	01/30/2018	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reduction in Share Premium Account	Mgmt	For	For	For

Indian Overseas Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
IOB	CINS Y39282119	03/28/2018	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Preferential Issuance of Shares w/o Preemptive Rights	Mgmt	For	For	For

Indian Overseas Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
IOB	CINS Y39282119	11/29/2017	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Elect Sanjay Rungta	Mgmt	For	Abstain	Against
5	Elect Navin Prakash Sinha	Mgmt	For	Abstain	Against
6	Elect Naresh Kumar	Mgmt	For	N/A	N/A

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Drall

Indo Count Industries Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
ICIL	CINS Y39739167	08/21/2017		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports (Standalone)	Mgmt	For	For	For	
3	Accounts and Reports (Consolidated)	Mgmt	For	For	For	
4	Allocation of Profits/Dividends (Interim)	Mgmt	For	For	For	
5	Allocation of Profits/Dividends (Final)	Mgmt	For	For	For	
6	Elect Kailash R. Lalpuria	Mgmt	For	Against	Against	
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
8	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For	
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
Infibeam Incorporation Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
INFIBEAM	CINS Y408DZ130	03/15/2018		Voted		
Meeting Type		Country of Trade				
Court		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Acquisition	Mgmt	For	For	For	
Infibeam Incorporation Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
INFIBEAM	CINS Y408DZ130	03/15/2018		Voted		
Meeting Type		Country of Trade				
Special		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Issuance of Warrants w/o Preemptive Rights	Mgmt	For	For	For	
Infibeam Incorporation Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
INFIBEAM	CINS Y408DZ130	06/28/2018		Voted		
Meeting Type		Country of Trade				
Special		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Change in Company Name	Mgmt	For	For	For	

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3	Amendments to Memorandum - Object Clause	Mgmt	For	For	For
4	Authority to Issue Shares and Convertible Securities w/o Preemptive Rights	Mgmt	For	Against	Against

Infibeam Incorporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
INFIBEAM	CINS Y408DZ106	08/11/2017	Voted		
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Stock Subdivision	Mgmt	For	For	For
2	Amendments to Memorandum	Mgmt	For	For	For
3	Adoption of the Infibeam Employee Stock Appreciation Rights Scheme 2017	Mgmt	For	Against	Against
4	Extension of the Infibeam Employee Stock Appreciation Rights Scheme 2017 to the Subsidiary Companies	Mgmt	For	Against	Against
5	Approval for the Employees Welfare Trust to acquire shares from the Secondary Market	Mgmt	For	Against	Against
6	Provision of Loan to Employees Welfare Trust to Acquire Shares to Implement the Plan	Mgmt	For	Against	Against
7	Maintenance of Location of Register of Members	Mgmt	For	For	For

Infibeam Incorporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
INFIBEAM	CINS Y408DZ130	09/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Vishal Mehta	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Appointment of S R B C & Co. LLP as Joint	Mgmt	For	For	For

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Statutory  
Auditors and Authority  
to Set  
Fees

Infibeam Incorporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
INFIBEAM	CINS Y408DZ106	09/25/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Increase in Investment and/or Corporate Guarantee Limits	Mgmt	For	Against	Against
3	Authority to Mortgage Assets	Mgmt	For	For	For
4	Change of Location of Registered Office	Mgmt	For	For	For

Inox Wind Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
INOXWIND	CINS Y408DE103	09/04/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Revision of IPO Terms	Mgmt	For	For	For

Inox Wind Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
INOXWIND	CINS Y408DE103	09/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Siddharth Jain	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Appointment of Devansh Jain (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
5	Appointment of Rajeev Gupta (Whole-time Director); Approval of Remuneration (Including Revision for 2016-17)	Mgmt	For	For	For
6	Elect V. Sankaranarayanan	Mgmt	For	For	For
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Inox Wind Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
INOXWIND	CINS Y408DE103	10/04/2017		Voted		
Meeting Type		Country of Trade				
Other		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Change of Location of Registered Office	Mgmt	For	For	For	

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Intellect Design Arena Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
INTELLECT	CINS Y4R932109	01/18/2018		Voted		
Meeting Type		Country of Trade				
Court		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Scheme of Amalgamation	Mgmt	For	For	For	

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Intellect Design Arena Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
INTELLECT	CINS Y4R932109	08/21/2017		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Elect Anil Kumar Verma	Mgmt	For	Against	Against	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
4	Elect V. Balaraman	Mgmt	For	For	For	
5	Elect Aruna Krishnamurthy Rao	Mgmt	For	Against	Against	
6	Appointment of Arun Jain (Managing Director); Approval of Remuneration	Mgmt	For	For	For	
7	Amendment to Borrowing Powers	Mgmt	For	For	For	
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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International Paper APPM Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
IPAPPM	CINS Y0128P146	07/25/2017		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Elect Sheila Pallerna Vinczeller	Mgmt	For	For	For	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
4	Elect W. Michael Amick	Mgmt	For	For	For	

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5	Jr. Appointment of Rampraveen Swaminathan (Chair and Managing Director); Approval of Remuneration (March 1 - April 27, 2017)	Mgmt	For	For	For
6	Elect and Appoint Donald Paul Devlin (Chair and Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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J. B. Chemicals & Pharmaceuticals Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
JBCHEPHARM	CINS Y4429Q142	09/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Kamlesh L. Udani	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Manoj Ramniklal Mashru	Mgmt	For	For	For
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
8	Approve Revised Remuneration of Jyotindra B. Mody (Managing Director)	Mgmt	For	For	For
9	Approve Revised Remuneration of Dinesh B. Mody (Whole-time Director (Administration))	Mgmt	For	For	For
10	Approve Revised Remuneration of Shirish B. Mody (Whole-time Director (Marketing))	Mgmt	For	For	For
11	Approve Revised Remuneration of Bharat P. Mehta (Whole-time Director (Planning and Development))	Mgmt	For	For	For
12	Approve Revised Remuneration of	Mgmt	For	For	For

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Pranabh Mody  
 (President and  
 Whole-time  
 (Operations))

13 Approve Revised Remuneration of Kamlesh L. Udani (Executive Director (Technical & Production))

Mgmt For For For

JAI Corp Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JAICORPLTD	CINS Y4252Z136	09/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Standalone Accounts and Reports	Mgmt	For	For	For
3	Consolidated Accounts and Reports	Mgmt	For	Against	Against
4	Dividend on Preference Shares	Mgmt	For	For	For
5	Dividend on Equity Shares	Mgmt	For	For	For
6	Elect Vasudeo Srinivas Pandit	Mgmt	For	Against	Against
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Rollover of Preference Shares	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

Jain Irrigation Systems Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
JISLJALEQS	CINS Y42531148	09/26/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Mortgage Assets	Mgmt	For	For	For

Jain Irrigation Systems Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
JISLJALEQS	CINS Y42531148	09/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Ajit B. Jain	Mgmt	For	Against	Against

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4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Independent Non-Executive Directors' Commission	Mgmt	For	For	For

Jaiprakash Associates Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JPASSOCIAT	CINS Y42539117	01/20/2018	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Arrangement	Mgmt	For	For	For

Jaiprakash Associates Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JPASSOCIAT	CINS Y42539117	09/07/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Foreign Currency Convertible Bonds and Foreign Currency Amortising Bonds	Mgmt	For	For	For
3	Amendments to Memorandum	Mgmt	For	For	For

Jaiprakash Associates Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JPASSOCIAT	CINS Y42539117	09/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Ranvijay Singh	Mgmt	For	For	For
3	Elect Pankaj Gaur	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Elect Raj Narain Bhardwaj	Mgmt	For	Against	Against
7	Elect Homai A. Daruwalla	Mgmt	For	For	For
8	Elect B.K. Goswami	Mgmt	For	Against	Against
9	Elect Kailash Nath Bhandari	Mgmt	For	For	For
10	Elect Chandra Prakash	Mgmt	For	For	For

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	Jain					
11	Elect Satish C.K. Patne	Mgmt	For	For	For	For
12	Elect Keshav Prasad Rau	Mgmt	For	For	For	For
13	Elect Tilak Raj Kakkar	Mgmt	For	For	For	For
14	Appointment of Ranvijay Singh as Whole-time Director;	Mgmt	For	For	For	For
	Approval of Remuneration					
15	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	Against	Against	Against

Jet Airways (India) Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JETAIRWAYS	CINS Y4440B116	09/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Anita N. Goyal	Mgmt	For	For	For
3	Appointment of BSR & Co. as Joint Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Appointment of D T S & Associates as Joint Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of Gaurang Shetty (Whole Time Director); Approval of Remuneration	Mgmt	For	For	For

Jindal SAW Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JINDALSAW	CINS Y4449A101	09/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Prithvi Raj Jindal	Mgmt	For	Against	Against
5	Elect Shradha Jatia	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Appointment of Hawa Singh Chaudhary (Executive Director); Approval of Remuneration	Mgmt	For	For	For
8	Authority to Set Cost	Mgmt	For	For	For

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	Auditor's Fees				
9	Authority to Issue Non-Convertible Debentures on a Private Placement Basis	Mgmt	For	For	For
10	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	Against	Against
11	Issuance of ADR, GDR & FCCB in Foreign Market	Mgmt	For	Against	Against

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JK Lakshmi Cement Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	09/07/2017		Voted	
JKLAKSHMI	CINS Y44451154	Country of Trade			
Meeting Type		India			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Vinita Singhania	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	For	For

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JK Tyre & Industries Ltd		Meeting Date		Meeting Status	
Ticker	Security ID:	04/16/2018		Voted	
JKTYRE	CINS Y44455197	Country of Trade			
Meeting Type		India			
Other					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	Against	Against

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JK Tyre & Industries Ltd		Meeting Date		Meeting Status	
Ticker	Security ID:	08/04/2017		Voted	
JKTYRE	CINS Y44455197	Country of Trade			
Meeting Type		India			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Arun K. Bajoria	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Johnson Controls-Hitachi Air Conditioning India Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
JCHAC	CINS Y3227X119	08/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Vinay Chauhan	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Elect Indira J. Parikh	Mgmt	For	For	For
7	Appointment of Gurmeet Singh (Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
8	Change in Location of Register of Members	Mgmt	For	For	For

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Just Dial Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JUSTDIAL	CINS Y4S789102	09/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Anita Mani	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Pulak Chandan Prasad	Mgmt	For	For	For

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Kalyani Steels Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
KSL	CINS Y4524V137	08/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For

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2	Allocation of Profits/Dividends	Mgmt	For	For	For
Rec	Vote Cast	For/Against			
lyani	Mgmt	For	Against	Against	Mgmt
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Karnataka Bank Limited		Meeting Date	Meeting Status		
Ticker	Security ID:				
KTKBANK	CINS Y4590V128	07/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Appointment of Branch and Authority to Set Fees	Mgmt	For	For	For
5	Elect D. Surendra Kumar	Mgmt	For	For	For
6	Elect P. Jayarama Bhat	Mgmt	For	For	For
7	Approve Remuneration of P. Jayarama Bhat (Non-Executive Chair)	Mgmt	For	For	For
8	Elect Mahabaleshwara M. S.	Mgmt	For	Against	Against
9	Appointment of Mahabaleshwara M. S. (Managing Director & CEO); Approval of Remuneration	Mgmt	For	Against	Against
10	Adoption of Employees Stock Option Scheme 2017	Mgmt	For	Against	Against

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Kaveri Seed Company Limited		Meeting Date	Meeting Status		
Ticker	Security ID:				
KSCL	CINS Y458A4137	09/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect C. Vamsheedhar	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Kaya Ltd.		Meeting Date	Meeting Status
Ticker	Security ID:		
KAYA	CINS Y4S8B1103	08/02/2017	Voted

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Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Rajen Mariwala	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For

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KEC International Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	07/26/2017		Voted	
KEC	CINS Y4605M113				
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Harsh Vardhan Goenka	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of Branch Auditors	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Adoption of New Articles	Mgmt	For	Against	Against

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Kesoram Industries Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	03/12/2018		Voted	
KESORAMIND	CINS Y4752B134				
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Preferential Issue of Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Preferential Issuance of Warrants w/o Preemptive Rights	Mgmt	For	For	For

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Kitex Garments Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	02/07/2018		Voted	
KITEX	CINS Y4807P120				
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Related Party Transactions	Mgmt	For	For	For	
<hr/>						
KPIT Technologies Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
KPIT	CINS Y4984R147	04/05/2018		Voted		
Meeting Type		Country of Trade				
Special		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Adoption of New Articles	Mgmt	For	Against	Against	
<hr/>						
KPIT Technologies Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
KPIT	CINS Y4984R147	08/23/2017		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports (Standalone)	Mgmt	For	For	For	
2	Accounts and Reports (Consolidated)	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Elect S.B. (Ravi) Pandit	Mgmt	For	Against	Against	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Elect Lila F. Poonawalla	Mgmt	For	For	For	
7	Elect Raghunath A. Mashelkar	Mgmt	For	Against	Against	
8	Elect Adi Engineer	Mgmt	For	Against	Against	
9	Elect Alberto Sangiovanni-Vincentelli	Mgmt	For	For	For	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
<hr/>						
Kushal Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
KUSHAL	CINS Y5058D120	12/30/2017		Voted		
Meeting Type		Country of Trade				
Other		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Memorandum of Association	Mgmt	For	For	For	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
<hr/>						
Kushal Tradelink Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
KUSHAL	CINS Y5058D120	09/22/2017		Voted		
Meeting Type		Country of Trade				
Other		India				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Change in Company Name	Mgmt	For	For	For
3	Amendment to Borrowing Powers	Mgmt	For	For	For
4	Authority to Mortgage Assets	Mgmt	For	For	For

Kushal Tradelink Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KUSHAL	CINS Y5058D120	09/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Manoj Tulsiram Agrawal	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Kushal M. Agrawal	Mgmt	For	Against	Against
6	Appointment of Kushal Agrawal (Executive Director); Approval of Remuneration	Mgmt	For	For	For
7	Appointment of Manoj Agrawal (Executive Director); Approval of Remuneration	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Lakshmi Vilas Bank Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
LAKSHVILAS	CINS Y5155G125	07/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect N. Malayalaramamirtham	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Appointment of Branch Auditor	Mgmt	For	For	For
6	Appoint N.S. Venkatesh (CFO and Executive Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Appoint B.K. Manjunath (Non-Executive	Mgmt	For	For	For

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	Part-Time Chair); Approval of Remuneration					
8	Elect Anuradha Pradeep	Mgmt	For	For	For	
9	Elect Y.N. Lakshminarayana Murthy	Mgmt	For	For	For	
10	Elect Kusuma R. Muniraju	Mgmt	For	For	For	
11	Elect Hemant Kaul	Mgmt	For	For	For	
12	Authority to Issue Equity Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	Against	Against	
13	Authority to Issue Non-Convertible Debt Instruments	Mgmt	For	For	For	
14	Adopt and Issue Shares under LVB Employee Stock Option Scheme 2017	Mgmt	For	Against	Against	

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LEEL Electricals Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
LEEL	CINS Y5324Z129	09/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Mukat Behari Sharma	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Bharat Raj Punj (Deputy Managing Director); Approval of Remuneration	Mgmt	For	For	For
7	Appointment of Mukat Behari Sharma (Whole-Time Director and CFO); Approval of Remuneration	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Determination of Fees for Servicing of Documents	Mgmt	For	For	For

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Mahanagar Telephone Nigam Limited

Ticker	Security ID:	Meeting Date	Meeting Status
MTNL	CINS Y5401F111	09/27/2017	Voted
Meeting Type	Country of Trade		
Annual	India		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Elect Amit Yadav	Mgmt	For	Against	Against
3	Elect Sanjeev Kumar	Mgmt	For	Against	Against
4	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
5	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
6	Authority to Cost Auditor's Fees	Mgmt	For	For	For

Majesco Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
MJCO	CINS Y5400E107	01/11/2018	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Increase in Authorized Capital	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Authority to Issue Securities w/o Preemptive Rights	Mgmt	For	For	For

Majesco Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
MJCO	CINS Y5400E107	08/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Radhakrishnan Sundar	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of Farid Kazani (Managing Director); Approval of Remuneration	Mgmt	For	For	For

Manpasand Beverages Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
MANPASAND	CINS Y5786K107	08/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Dharendra	Mgmt	For	Against	Against

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	Hansraj Singh				
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Revision of Remuneration for Dhirendra Singh (Chairman and Managing Director)	Mgmt	For	For	For
6	Revision of Remuneration for Abhishek Singh (Whole Time Director)	Mgmt	For	For	For

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Manpasand Beverages Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
MANPASAND	CINS Y5786K107	09/19/2017	Voted			
Meeting Type	Country of Trade					
Other	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Bonus Share Issuance	Mgmt	For	For	For	
3	Increased in Authorized Capital; Amendments to Memorandum	Mgmt	For	For	For	

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Manpasand Beverages Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
MANPASAND	CINS Y5786K107	11/28/2017	Voted			
Meeting Type	Country of Trade					
Other	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendment to Foreign Investor Restrictions	Mgmt	For	For	For	

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Marksans Pharma Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
MARKSANS	CINS Y57686100	09/26/2017	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Elect Sandra Saldanha	Mgmt	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Appointment of Sandra Saldanha (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For	

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6	Waiver of Excess Remuneration for Vinay Gopal Nayak for Fiscal Year 2016-17	Mgmt	For	For	For
7	Amendments to Executive Compensation of Vinay Gopal (Whole-time Director)	Mgmt	For	Against	Against
8	Employees Stock Option Schemes 2017	Mgmt	For	Against	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Max India Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
MAXINDIA	CINS Y8551L102	09/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Rahul Khosla	Mgmt	For	For	For
3	Elect Tara Singh Vachani	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Elect Dinesh Kumar Mittal	Mgmt	For	For	For
6	Revised Remuneration of Mohit Talwar, (Managing Director)	Mgmt	For	Against	Against
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

McLeod Russel India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MCLEODRUSS	CINS Y5934T133	08/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Rajeev Takru	Mgmt	For	Against	Against
4	Elect Kamal Kishore Baheti	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Aditya Khaitan (Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Approve Remuneration of Aditya Khaitan (Managing Director)	Mgmt	For	Against	Against

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8	Waiver of Excess Remuneration for Aditya Khaitan (Managing Director)	Mgmt	For	For	For
9	Appointment of Rajeev Takru (Whole-time Director); Approval of Remuneration	Mgmt	For	Against	Against
10	Appointment of Azam Monem (Wholetime Director); Approval of Remuneration	Mgmt	For	Against	Against
11	Appointment of Kamal K. Baheti (Wholetime Director); Approval of Remuneration	Mgmt	For	Against	Against
12	Adoption of New Articles	Mgmt	For	Abstain	Against
13	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
14	Maintenance of Location of Register of Members	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Meghmani Organics Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
MEGH	CINS Y5934S127	07/27/2017	Voted		
Meeting Type	Annual	Country of Trade	India		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Natwarlal Meghajibhai Patel	Mgmt	For	Against	Against
5	Elect Ramesh Meghajibhai Patel	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Reclassification of Promoter Group	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Mercator Limited

Ticker	Security ID:	Meeting Date	Meeting Status
MERCAT	CINS Y60061127	06/04/2018	Voted

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Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

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Mercator Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MERCAT	CINS Y60061127	09/15/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	Against	Against
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Archana A. Mittal	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Desh Raj Dogra	Mgmt	For	For	For
7	Payment of Remuneration of Shalabh Mittal (CEO)	Mgmt	For	For	For
8	Authority to Issue Shares and/or Convertible Debt w/o Preemptive Rights	Mgmt	For	Against	Against

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Mirza International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MIRZAIN	CINS Y6079Q132	01/30/2018	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Reclassification of Promoters	Mgmt	For	For	For

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Mirza International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MIRZAIN	CINS Y6079Q132	09/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Tauseef Ahmad Mirza	Mgmt	For	Against	Against
5	Elect Shahid Ahmad Mirza	Mgmt	For	Against	Against
6	Appointment of Auditor	Mgmt	For	For	For

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	and Authority to Set Fees				
7	Appointment of Irshad Mirza (Executive Chairman); Approval of Remuneration	Mgmt	For	For	For
8	Appointment of Rashid Ahmed Mirza (Managing Director); Approval of Remuneration	Mgmt	For	For	For
9	Appointment of Shahid Ahmed Mirza (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
10	Appointment of Tauseef Ahmed Mirza (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
11	Appointment of Tasneef Ahmed Mirza (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
12	Appointment of Narendra Prasad Upadhyaya (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
13	Appointment of Shuja Mirza (Son of Rashid Ahmed Mirza); Approval of Remuneration	Mgmt	For	For	For
14	Appointment of Faraz Mirza (Son of Shahid Ahmad Mirza); Approval of Remuneration	Mgmt	For	For	For
15	Related Party Transactions	Mgmt	For	For	For
16	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
17	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For
18	Scheme of Amalgamation	Mgmt	For	For	For

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MMTC Ltd.

Ticker  
513377

Security ID:  
CINS Y61323120

Meeting Date  
04/24/2018  
Country of Trade  
India

Meeting Status  
Voted

Meeting Type  
Other

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Increase in Authorized Capital	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Bonus Share Issuance	Mgmt	For	For	For

MOIL Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MOIL	CINS Y6131H104	09/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Manohar Lal Dubey	Mgmt	For	Against	Against
5	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Elect Sunanda Prasad	Mgmt	For	Against	Against
8	Increase in Authorized Capital	Mgmt	For	For	For
9	Amendments to Memorandum (Capital Clause)	Mgmt	For	For	For
10	Bonus Share Issuance	Mgmt	For	For	For

Monsanto India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MONSANTO	CINS Y6137L117	08/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Piyush Ramesh Nagar	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Shilpa Divekar (Managing Director); Approval of Remuneration	Mgmt	For	For	For
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Multi Commodity Exchange of India Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
MCX	CINS Y5S057101	08/22/2017	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Elect Amit Goela	Mgmt	For	For	For	
5	Elect Padma Raghunathan	Mgmt	For	For	For	
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	

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Music Broadcast Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
RADIOCITY	CINS Y6S45S105	09/14/2017	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Elect Sameer Gupta	Mgmt	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
6	Elect Madhukar Kamath	Mgmt	For	For	For	
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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National Fertilizers Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
NFL	CINS Y6228U117	09/27/2017	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Elect Dharam Pal	Mgmt	For	For	For	
6	Authority to Set Auditors' Fees	Mgmt	For	For	For	
7	Elect Meenakshi Gupta	Mgmt	For	For	For	
8	Elect Devinder Singh Ahuja	Mgmt	For	Against	Against	
9	Elect Anil Verma	Mgmt	For	For	For	
10	Elect Ramesh Kumar Agarwal	Mgmt	For	Against	Against	
11	Elect Kalpana Saini	Mgmt	For	For	For	
12	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	

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Navkar Corporation Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
NAVKARCORP	CINS Y6S11Y102	01/05/2018	Voted			
Meeting Type		Country of Trade				
Court		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Scheme of Amalgamation	Mgmt	For	For	For	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Navkar Corporation Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
NAVKARCORP	CINS Y6S11Y102	08/24/2017	Voted			
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Standalone Financial Statements	Mgmt	For	For	For	
2	Consolidated Financial Statements	Mgmt	For	For	For	
3	Elect Dinesh Gautama	Mgmt	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
5	Elect Ashok Kumar Thakur	Mgmt	For	For	For	
6	Appointment of Shantilal Mehta (Chair and Managing Director); Approval of Remuneration	Mgmt	For	Against	Against	
7	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	For	For	
8	Increase in Authorized Capital	Mgmt	For	For	For	

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NCC Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
NCC	CINS Y6198W135	01/08/2018	Voted			
Meeting Type		Country of Trade				
Special		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Issue Shares and/or Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For	

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NCC Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
NCC	CINS Y6198W135	08/24/2017	Voted			
Meeting Type		Country of Trade				
Annual		India				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect J V Ranga Raju	Mgmt	For	Against	Against
4	Elect N R Alluri	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appoint A.A.V. Ranga Raju as Managing Director; Approve remuneration	Mgmt	For	For	For
7	Appoint A.G.K. Raju as Executive Director; Approve remuneration	Mgmt	For	For	For
8	Appoint J.V. Ranga Raju as Whole-time Director; Approve remuneration	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

NIIT Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NIITLTD	CINS Y63532140	09/15/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Amalgamation	Mgmt	For	For	For

NIIT Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NIITLTD	CINS Y63532140	09/16/2017	Voted		
Meeting Type	Country of Trade				
Court	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Amalgamation	Mgmt	For	For	For

NIIT Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NIITLTD	CINS Y63532140	09/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Vijay K. Thadani	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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6	Payment of Minimum Remuneration for Vijay K Thadani, (Vice-Chairman and Managing Director)	Mgmt	For	For	For
7	Payment of Minimum Remuneration for P Rajendran, (Joint Managing Director)	Mgmt	For	For	For
8	Non-Executive Directors' Commission	Mgmt	For	For	For
9	Re-Classification in Promoter Group	Mgmt	For	For	For

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NIIT Technologies Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
NIITTECH CINS Y62769107 09/15/2017 Voted  
Meeting Type Country of Trade  
Other India  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Amalgamation	Mgmt	For	For	For

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NIIT Technologies Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
NIITTECH CINS Y62769107 09/22/2017 Voted  
Meeting Type Country of Trade  
Annual India  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Arvind Thakur	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Holly Jane Morris	Mgmt	For	For	For

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NIIT Technologies Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
NIITTECH CINS Y62769107 10/28/2017 Voted  
Meeting Type Country of Trade  
Court India  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amalgamation	Mgmt	For	For	For

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Nilkamal Limited  
Ticker Security ID: Meeting Date Meeting Status  
NILKAMAL CINS Y6362S118 08/08/2017 Voted  
Meeting Type Country of Trade  
Annual India  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Accounts and Reports	Mgmt	For	For	For
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2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Manish V. Parekh	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Elect K. Venkataramanan	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Appointment of Mihir Parekh (Relative of Hiten V. Parekh); Approval of Remuneration	Mgmt	For	For	For

Nilkamal Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
NILKAMAL	CINS Y6362S118	06/30/2018	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Nayan S. Parekh	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Elect Hiroo Mirchandani	Mgmt	For	For	For
7	Reclassification of Promoters	Mgmt	For	For	For

Nilkamal Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
NILKAMAL	ISIN INE310A01015	06/30/2018	Voted		
Meeting Type		Country of Trade			
Annual		IN			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Nayan S. Parekh	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Elect Hiroo Mirchandani	Mgmt	For	For	For
7	Reclassification of Promoters	Mgmt	For	For	For

Nissei ASB Machine Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
6284	CINS J5730N105	12/19/2017	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	Japan Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect Daiichi Aoki	Mgmt	For	Against	Against
3	Elect Junichi Miyasaka	Mgmt	For	For	For
4	Elect Shuichi Ogihara	Mgmt	For	For	For
5	Elect Masahiko Ooi	Mgmt	For	For	For
6	Elect Kohta Aoki	Mgmt	For	For	For
7	Elect Hideaki Fujiwara	Mgmt	For	For	For
8	Elect Karel Keersmaekers-Michiels	Mgmt	For	For	For
9	Elect Kuniaki Hiromatsu	Mgmt	For	For	For
10	Elect Masayuki Sakai	Mgmt	For	For	For
11	Elect Keiji Himori	Mgmt	For	For	For
12	Elect Masahiro Midorikawa	Mgmt	For	For	For
13	Elect Keiichi Fuse as Statutory Auditor	Mgmt	For	Against	Against
14	Bonus	Mgmt	For	Against	Against
15	Directors' Fees	Mgmt	For	For	For

Nocil Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NOCIL	CINS Y62428126	07/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Vilas R. Gupte	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Priyavrata H. Mafatlal	Mgmt	For	Against	Against
6	Appointment of S. R. Deo (Managing Director and CEO); Approval of Remuneration	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	For	For

Omaxe Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
OMAXE	CINS Y64225108	09/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends on Preferred Shares	Mgmt	For	For	For

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5	Allocation of Profits/Dividends on Ordinary Shares	Mgmt	For	For	For
6	Elect Sunil Goel	Mgmt	For	Against	Against
7	Elect Dissident Nominee Seema Prasad Avasarala	ShrHoldr	For	Against	Against
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Elect Shruti Dvivedi Sodhi	Mgmt	For	For	For
10	Elect Srinivas Kanakagiri	Mgmt	For	For	For
11	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
12	Authority to Issue Non-Convertible Securities	Mgmt	For	For	For
13	Amendment to Borrowing Powers	Mgmt	For	For	For
14	Related Party Transactions Including Corporate Guarantees	Mgmt	For	For	For

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Onmobile Global Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
ONMOBILE	CINS Y6449B104	09/06/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Francois-Charles Sirois	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Chris Arsenault	Mgmt	For	For	For
7	Elect Sanjay Kapoor	Mgmt	For	For	For
8	Elect Rajiv Khaitan	Mgmt	For	For	For
9	Elect Nancy Cruickshank	Mgmt	For	Against	Against
10	Appointment of Francois-Charles Sirois (Chairman and CEO); Approval of Remuneration	Mgmt	For	Against	Against
11	Adoption of New Articles	Mgmt	For	Against	Against

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Oriental Bank of Commerce					
Ticker	Security ID:	Meeting Date		Meeting Status	
ORIENTBANK	CINS Y6495G114	03/15/2018		Voted	
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Preferential Issuance of Shares w/o Preemptive Rights	Mgmt	For	For	Mgmt For
Oriental Bank of Commerce					
Ticker	Security ID:	Meeting Date		Meeting Status	
ORIENTBANK	CINS Y6495G114	06/29/2018		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
Oriental Bank of Commerce					
Ticker	Security ID:	Meeting Date		Meeting Status	
ORIENTBANK	CINS Y6495G114	09/19/2017		Voted	
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Elect Naresh Kumar Drall	Mgmt	For	Abstain	Against
5	Elect Ashok Kumar Sharma	Mgmt	For	Abstain	Against
6	Elect Subhash Chand Bapna	Mgmt	For	Abstain	Against
7	Elect Madan Mohan Lal Verma	Mgmt	For	N/A	N/A
8	Elect Desh Deepak Khetrapal	Mgmt	For	N/A	N/A
9	Elect Dinesh Kumar Agrawal	Mgmt	For	N/A	N/A
Patel Engineering Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
PATELENG	CINS Y6786T148	11/15/2017		Voted	
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Conversion of Existing Loans/Debt into Optionally Convertible Debentures under an S4A Scheme	Mgmt	For	For	For
3	Authority to Issue Optionally Convertible Debentures to Support the S4A	Mgmt	For	For	For

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Scheme

Patel Engineering Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
PATELENG	CINS Y6786T148	12/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Resignation of Pravin Patel	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Appointment of Branch Auditor	Mgmt	For	For	For
5	Elect Sunil Dhananjay Sapre	Mgmt	For	For	For
6	Appointment of Sunil Sapre (Whole-Time Director); Approve Remuneration	Mgmt	For	For	For
7	Elect Kavita Sanjiv Shirvaikar	Mgmt	For	For	For
8	Appoint Kavita Shirvaikar (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For
9	Revision of Remuneration of Rupen Patel (Managing Director)	Mgmt	For	For	For
10	Revision of Remuneration of Chittranjan Kumar Singh (Whole-Time Director)	Mgmt	For	For	For
11	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
12	Holding Office or Place of Profit by Pravin Patel	Mgmt	For	For	For

Phillips Carbon Black Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
PHILIPCA	CINS Y6971C116	04/02/2018	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Stock Split	Mgmt	For	For	For
3	Amendments to Memorandum (Capital Clause)	Mgmt	For	For	For
4	Amendments to Article (Capital Clause)	Mgmt	For	For	For

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5	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
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Phillips Carbon Black Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PHILIPCA	CINS Y6971C116	07/05/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Amalgamation	Mgmt	For	For	For

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Phillips Carbon Black Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PHILIPCA	CINS Y6971C116	09/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Shashwat Goenka	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Amendment to Remuneration of Kaushik Roy (Managing Director)	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Polaris Consulting & Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
POLARIS	CINS Y70587111	09/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Rama Sivaraman	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Adoption of New Articles	Mgmt	For	Abstain	Against

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Polaris Consulting & Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
POLARIS	CINS Y70587111	12/26/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Delisting	Mgmt	For	For	For

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Prabhat Dairy Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
PRABHAT	CINS Y7S52S129	08/10/2017		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	Against	Against	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Elect Sarangdhar R. Nirmal	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Elect Haresh B. Shah	Mgmt	For	For	For	
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	

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Praj Industries Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
PRAJIND	CINS Y70770139	08/11/2017		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Elect Daljit L. Mirchandani	Mgmt	For	Against	Against	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
7	Elect Sachin Raole	Mgmt	For	Against	Against	
8	Appointment of Sachin Raole (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For	
9	Appointment of Pramod Chaudhari (Executive Chairman); Approval of Remuneration	Mgmt	For	Against	Against	

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PTC India Financial Services Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
PFS	CINS Y711AW103	09/25/2017		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	

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3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Ashok Haldia	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Arun Kumar	Mgmt	For	Against	Against
7	Elect Kulamani Biswal	Mgmt	For	Against	Against
8	Elect Rajib Kumar Mishra	Mgmt	For	For	For
9	Appointment of Pawan Singh (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
10	Amendment to Borrowing Powers	Mgmt	For	For	For
11	Authority to Mortgage Assets	Mgmt	For	For	For
12	Authority to Issue Non-Convertible Debentures	Mgmt	For	Against	Against

PTC India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PTC	CINS Y7043E106	09/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Ajit Kumar	Mgmt	For	For	For
6	Elect Rajib Kumar Mishra	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Elect Chinmoy Gangopadhyay	Mgmt	For	For	For
9	Elect Mahesh Kumar Mittal	Mgmt	For	For	For
10	Elect Krishna S. Nagnyal	Mgmt	For	For	For
11	Elect Arun Kumar Verma	Mgmt	For	For	For
12	Elect Rakesh Kacker	Mgmt	For	For	For
13	Elect Jayant Purushottam Gokhale	Mgmt	For	For	For
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Elect Mathew Cyriac	Mgmt	For	Against	Against

PVR Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PVR	CINS Y71626108	07/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Ajay Bijli	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Vishal Mahadevia	Mgmt	For	For	For
7	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
8	Adoption of PVR Employee Stock Option Plan 2017	Mgmt	For	Against	Against
9	Adoption of Articles	Mgmt	For	Against	Against

Quick Heal Technologies Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
QUICKHEAL	CINS Y7S68X112	08/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Kailash Katkar	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Amendment to the Quick Heal Employee Stock Option Scheme 2014	Mgmt	For	For	For
7	Extension of Amended Employee Stock Option Scheme to Subsidiaries	Mgmt	For	Against	Against

Radico Khaitan Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RADICO	CINS Y7174A147	09/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Abhishek Khaitan	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Lalit Khaitan (Chair and Managing Director);	Mgmt	For	Against	Against

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7	Approval of Remuneration Appointment of Abhishek Khaitan (Managing Director); Approval of Remuneration	Mgmt	For	For	For
8	Appointment of K.P. Singh (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Rashtriya Chemicals Fertilizers Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RCF	CINS Y7197K114	09/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Suresh Warior	Mgmt	For	For	For
4	Elect S.K. Lohani	Mgmt	For	Against	Against
5	Authority to Set Auditor's Fees	Mgmt	For	For	For
6	Elect Alka Tiwari	Mgmt	For	Against	Against
7	Elect Suryanarayana Simhadri	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Authority to Issue Non-Convertible Debt Instruments	Mgmt	For	For	For

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Raymond Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RAYMOND	CINS Y72123147	06/02/2018	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Sunder H. Subramanian	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

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7	Approval of the Remuneration Payable to Gautam Hari Singhania (Chairman and Managing Director)	Mgmt	For	For	For
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Redington (India) Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 REDINGTON CINS Y72020111 07/28/2017 Voted  
 Meeting Type Country of Trade  
 Annual India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports - Standalone Financial Statements	Mgmt	For	For	For
2	Accounts and Reports - Consolidated Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect B. Ramaratnam	Mgmt	For	Against	Against
5	Elect TU Shu-Chyuan	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect Udai Dhawan	Mgmt	For	For	For
8	Appointment of Raj Shanka (Managing Director)	Mgmt	For	For	For
9	Appointment of Branch Auditor	Mgmt	For	For	For

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Redington (India) Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 REDINGTON CINS Y72020111 11/19/2017 Voted  
 Meeting Type Country of Trade  
 Other India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of the Reddington Stock Appreciation Rights Scheme 2017	Mgmt	For	For	For
3	Extension of the Reddington Stock Appreciation Rights Scheme 2017 to the Subsidiary Companies	Mgmt	For	For	For
4	Reclassification of Promoter Group	Mgmt	For	For	For

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Reliance Communications Limited  
 Ticker Security ID: Meeting Date Meeting Status  
 RCOM CINS Y72317103 02/15/2018 Voted  
 Meeting Type Country of Trade

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Other Issue No.	Description	India Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Sale of Assets	Mgmt	For	For	For

Reliance Communications Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RCOM	CINS Y72317103	09/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Manjari Kacker	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Increase in Authorized Capital	Mgmt	For	For	For
8	Adoption of New Articles	Mgmt	For	Against	Against
9	Conversion of Loans into Equity Shares	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Reliance Defence & Engineering Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
RDEL	CINS Y6934A108	08/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Nikhil P. Gandhi	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
5	Elect Sateesh Seth	Mgmt	For	For	For
6	Elect and appoint Kartik Subramaniam (Executive Director and CEO); Approve remuneration	Mgmt	For	Against	Against
7	Change in Company Name	Mgmt	For	For	For

Repco Home Finance Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
REPCOHOME	CINS Y7S54C114	09/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect L. Munishwar Ganesan	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect P. Umanath	Mgmt	For	For	For
7	Elect R.S. Isabella	Mgmt	For	For	For
8	Authority to Issue Non-Convertible Debentures and Commercial Papers	Mgmt	For	Against	Against

Rolta India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ROLTA	CINS Y7324A112	09/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Elect Ramakrishna Prabhu	Mgmt	For	Against	Against
5	Appointment of Kamal K. Singh (Executive Chairman & Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
6	Elect Kandarp R. Modi	Mgmt	For	Against	Against

Rushil Decor Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
RUSHIL	CINS Y7366Z108	04/13/2018	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Preferential Issuance of Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Rushil Decor Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
RUSHIL	CINS Y7366Z108	09/23/2017	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	India Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Kaushik J. Thakkar	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Krupeshbhai G. Thakkar (Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Appointment of Ghanshyambhai A. Thakkar (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For
8	Amendment to Borrowing Powers	Mgmt	For	For	For

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### S Chand and Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SCHAND	CINS Y7T3AS108	09/25/2017	Voted		
Annual Issue No.	Description	India Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Savita Gupta	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Revision to Remuneration of Himanshu Gupta (Managing Director)	Mgmt	For	For	For
7	Revision to Remuneration of Dinesh Kumar Jhunjhnuwala (Whole-Time Director)	Mgmt	For	For	For
8	Amend and Ratify the Employee Stock Option Scheme 2012	Mgmt	For	Against	Against
9	Extension of Employee Stock Option Scheme 2012 to Subsidiaries	Mgmt	For	Against	Against
10	Amendments to Articles	Mgmt	For	For	For

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11	Ratify Article 40 Giving Right to Appoint Director on Board, Subsidiaries, and Joint Ventures Governance Committee of Company	Mgmt	For	For	For
12	Approval of Profit Sharing Arrangement between Management Shareholders, International Finance Corporation, and Everstone Capital Partners II LLC	Mgmt	For	Against	Against

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S H Kelkar and Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SHK	CINS Y7T57W116	08/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Amit Dixit	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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S H Kelkar and Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SHK	CINS Y7T57W116	11/01/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of SH Kelkar Stock Appreciation Rights Scheme 2017 (the "Scheme")	Mgmt	For	Against	Against
3	Extension of the Scheme to Subsidiaries	Mgmt	For	Against	Against
4	Acquisition of the Company's Shares on the Secondary Market to Implement the Scheme	Mgmt	For	Against	Against
5	Provision of Loan to Employee Benefits Trust to Purchase Shares to Implement the Scheme	Mgmt	For	Against	Against

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Shankara Building Products Limited

Ticker	Security ID:	Meeting Date	Meeting Status
SHANKARA	CINS Y768B7107	07/21/2017	Voted
Meeting Type	Country of Trade		
Annual	India		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Elect Chowdappa Ravikumar	Mgmt	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For
5	Revised Remuneration of Sukumar Srinivas (Managing Director)	Mgmt	For
6	Revised Remuneration of Chowdappa Ravikumar (Whole-time Director)	Mgmt	For
7	Revised Remuneration of RSV. Siva Prasad (Whole-time Director)	Mgmt	For

Shankara Building Products Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
SHANKARA	CINS Y768B7107	06/12/2018	Voted
Meeting Type	Country of Trade		
Annual	India		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Elect RSV Siva Prasad	Mgmt	Against
4	Re-appointment of RSV Siva Prasad (Whole Time Director); Approval of Remuneration	Mgmt	For
5	Re-appointment of Sukumar Srinivas (Managing Director); Approval of Remuneration	Mgmt	Against
6	Re-Appointment of Chowdappa Ravikumar (Whole Time Director); Approval of Remuneration	Mgmt	For
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For

Sharda Cropchem

Ticker	Security ID:	Meeting Date	Meeting Status
SHARDACROP	CINS Y7T01D107	09/21/2017	Voted

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Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Standalone Accounts and Reports	Mgmt	For	For	For
2	Consolidated Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Sharda R. Bubna	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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Shree Renuka Sugars Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
RENUKA	CINS Y775A1106	02/24/2018		Voted	
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Preferred Shares to Wilmar Sugar Holdings Pte Ltd	Mgmt	For	For	For
3	Authority to Issue Shares w/o Preemptive Rights to Lenders of the Company	Mgmt	For	For	For
4	Authority to Issue Optionally Convertible Preference Shares to Lenders of the Company	Mgmt	For	For	For
5	Authority to Issue Redeemable Preference Shares to Lenders of the Company	Mgmt	For	For	For
6	Authority to Issue Non-Convertible Debentures to Lenders of the Company	Mgmt	For	For	For

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Shree Renuka Sugars Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
RENUKA	CINS Y775A1106	08/24/2017		Voted	
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Authorized Capital	Mgmt	For	For	For
2	Authority to Issue	Mgmt	For	For	For

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	Preferred Shares to Wilmar Sugar Holdings Pte Ltd				
3	Authority to Issue Shares w/o Preemptive Rights to Lenders of the Company	Mgmt	For	For	For
4	Authority to Issue Preferred Shares to Lenders of the Company	Mgmt	For	For	For
5	Authority to Issue Optionally Convertible Preferred Shares to Lenders of the Company	Mgmt	For	For	For
6	Authority to Issue Non-Convertible Debentures to Lenders of the Company	Mgmt	For	For	For
7	Authority to Issue Shares and/or Depository Receipts w/o Preemptive Rights	Mgmt	For	For	For

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Shree Renuka Sugars Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RENUKA	CINS Y775A1106	12/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Jean-Luc Bohbot	Mgmt	For	Against	Against
3	Elect Atul Chaturvedi	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect B. V. Mehta	Mgmt	For	For	For
6	Appointment of Vidya Murkumbi as Whole-time Director; Approval of Remuneration	Mgmt	For	For	For
7	Appoint Vijendra Singh as President Sugar Mills; Approve remuneration	Mgmt	For	For	For
8	Appoint Narendra Murkumbi as Vice Chairman and Managing Director; Approve remuneration	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	Against	Against
10	Authority to Set Cost Auditor's	Mgmt	For	For	For

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	Fees				
11	Preferential Issuance of Shares w/o Preemptive Rights	Mgmt	For	For	For
12	Authority to Issue Redeemable Preference Shares	Mgmt	For	For	For
13	Authority to Issue Optionally Convertible Preferred Shares	Mgmt	For	For	For
14	Authority to Issue Non-Convertible Debt Instruments	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sintex Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SINTEX	CINS Y8064D142	09/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Amit D. Patel	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Adoption of New Articles	Mgmt	For	Against	Against
8	Elect Ramnikbhai Ambani	Mgmt	For	Against	Against
9	Elect Rajesh B. Parikh	Mgmt	For	Against	Against
10	Elect Lavkumar Kantilal Shah	Mgmt	For	For	For
11	Elect Narendra Kumar Bansal	Mgmt	For	For	For
12	Elect Indira J. Parikh	Mgmt	For	Against	Against

SML Isuzu Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SMLISUZU	CINS Y8319Z112	09/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Masaki Nakajima	Mgmt	For	Against	Against
5	Elect Masahiro Narikiyo	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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7	Elect Kazuo Goda	Mgmt	For	For	For
8	Elect Takahiro Imai	Mgmt	For	For	For
9	Appointment of Takahiro Imai (Director - R&D for Isuzu Products & Projects); Approval of Remuneration	Mgmt	For	Against	Against

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Snowman Logistics Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
SNOWMAN	CINS Y8075K101	08/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Mamta Gupta	Mgmt	For	For	For
4	Elect Tomoyuki Masuda	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Sunil Prabhakaran Nair	Mgmt	For	For	For
7	Appointment of Sunil Prabhakaran Nair (CEO and Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For

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Sobha Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SOBHA	CINS Y806AJ100	08/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect J.C. Sharma	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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South Indian Bank Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SOUTHBANK	CINS Y8089N141	07/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of	Mgmt	For	For	For

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	Profits/Dividends					
4	Elect Achal Kumar Gupta	Mgmt	For	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For
6	Appointment of Branch Auditor	Mgmt	For	For	For	For
7	Appointment of Salim Gangadharan (Non-Executive Part-Time Chairman); Approval of Remuneration	Mgmt	For	For	For	For
8	Appoint V. G. Matthew as CEO and Managing Director; Approve remuneration	Mgmt	For	For	For	For
9	Amendment to Borrowing Powers	Mgmt	For	For	For	For
10	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For	For
11	Authority to Issue Debt Instruments	Mgmt	For	For	For	For

Spicejet Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SPICEJET	CINS Y81178108	11/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Shiwani Singh	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Adoption of the SpiceJet Employee Stock Option Scheme 2017	Mgmt	For	Against	Against
5	Extension of the SpiceJet Employee Stock Option Scheme 2017 to the Subsidiary Companies	Mgmt	For	Against	Against

Srei Infrastructure Finance Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SREINFRA	CINS Y8133H116	07/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Sunil Kanoria	Mgmt	For	Against	Against

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4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Srei Infrastructure Finance Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SREINFRA	CINS Y8133H116	12/10/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of New Articles	Mgmt	For	Against	Against

Sterlite Technologies Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
STRTECH	CINS Y8170C137	07/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Anil Agarwal	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
7	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sudarshan Chemical Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SUDARSCHM	CINS Y81821145	08/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Kishor L. Rathi	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set	Mgmt	For	For	For

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	Fees				
5	Elect Ajoy B. Rathi	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Suven Life Sciences Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
SUVEN	CINS Y8317Y133	08/14/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Seyed E. Hasnain	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Swan Energy Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SWANENERGY	CINS Y831AK121	03/13/2018	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares and/or Convertible Debt w/o Preemptive Rights	Mgmt	For	Against	Against

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Swan Energy Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SWANENERGY	CINS Y831AK121	09/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Paresh Vasantlal Merchant	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Syndicate Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
SYNDIBANK	CINS Y8345G112	06/28/2018	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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Syndicate Bank Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SYNDIBANK	CINS Y8345G112	03/16/2018	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Preferential Issuance of Shares w/o Preemptive Rights	Mgmt	For	For	For

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T.V. Today Network Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
TVTODAY	CINS Y9014T104	08/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Devajyoti Bhattacharya	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Take Solutions Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
TAKE	CINS Y8431N104	03/18/2018	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Preferential Issuance of Shares w/o Preemptive Rights	Mgmt	For	For	For

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Take Solutions Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
TAKE	CINS Y8431N104	08/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For

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4	Elect Ram Yeleswarapu	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Venkataraman Murali	Mgmt	For	For	For
7	Elect and Appoint Shobana N. S. (Executive Director); Approval of Remuneration	Mgmt	For	Against	Against
8	Elect and Appoint Subhasri Sriram (CFO); Approval of Remuneration	Mgmt	For	Against	Against
9	Amendments to Articles	Mgmt	For	For	For
10	Authority to Issue Shares and/or Convertible Securities w/o preemptive rights	Mgmt	For	Against	Against

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### Tata Elxsi Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TATAELXSI	CINS Y8560N107	07/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect G. Katragadda	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect Sudhakar Rama Rao	Mgmt	For	For	For
8	Appointment of Madhukar Dev (Managing Director and CEO); Approval of Remuneration	Mgmt	For	For	For

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### Tata Elxsi Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TATAELXSI	CINS Y8560N107	09/07/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Increase in Authorized Capital	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Bonus Share Issuance	Mgmt	For	For	For

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### Tata Metaliks Limited

Ticker	Security ID:	Meeting Date	Meeting Status
TATAMETALI	CINS Y8547P118	07/26/2017	Voted

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Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Samita Jigar Shah	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Amit Ghosh as an Independent Director	Mgmt	For	For	For
6	Elect Rupali Basu as an Independent Director	Mgmt	For	For	For
7	Elect Sandeep Kumar	Mgmt	For	Against	Against
8	Appointment of Sandeep Kumar (Whole-time and Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
9	Related Party Transaction with TS Global Procurement Company Pte. Limited	Mgmt	For	For	For
10	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Tata Metaliks Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
TATAMETALI	CINS Y8547P118	12/15/2017		Voted	
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions	Mgmt	For	For	For

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### Tata Sponge Iron Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
TATASPONGE	CINS Y4175L115	08/04/2017		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Meena Lall	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Related Party	Mgmt	For	For	For

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7	Transactions Appointment of Sanjay Kumar Pattnaik (Managing Director); Approval of Remuneration	Mgmt	For	For	For
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Tejas Networks Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
TEJASNET	CINS Y8T61P105	09/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Sanjay Nayak	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Shirish Saraf	Mgmt	For	For	For
6	RATIFICATION OF TEJAS NETWORKS LIMITED EMPLOYEE STOCK OPTION PLAN - 2014	Mgmt	For	Against	Against
7	Ratification of Tejas Networks Limited Employee Stock Option Plan - 2014 - A	Mgmt	For	Against	Against
8	RATIFICATION OF TEJAS NETWORKS LIMITED EMPLOYEE STOCK OPTION PLAN - 2016	Mgmt	For	Against	Against
9	Approval of Tejas Restricted Stock Unit Plan 2017 and Grant of RSUs	Mgmt	For	For	For
10	Extension of the Tejas Restricted Stock Unit Plan 2017 to Employees of Subsidiaries	Mgmt	For	For	For
11	Non-Executive Directors' Commission	Mgmt	For	For	For
12	Revised Remuneration of Sanjay Nayak (Managing Director & CEO)	Mgmt	For	For	For

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Texmaco Rail & Engineering Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
TEXRAIL	CINS Y85848102	09/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For

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2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect A.K. Vijay	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of Branch Auditor	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Elect Mridula Jhunjhunwala	Mgmt	For	For	For
8	Appointment of Ashok Vijay Kumar (Executive Director); Approval of Remuneration	Mgmt	For	Against	Against
9	Waiver of Excess Remuneration for Saroj Kumar Poddar (Executive Chair)	Mgmt	For	For	For

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The India Cements Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
INDIACEM	CINS Y39167153	09/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports: Standalone Financial Statements	Mgmt	For	For	For
2	Accounts and Reports: Consolidated Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Chitra Srinivasan	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect M.R. Kumar	Mgmt	For	For	For
7	Elect Krishnamurthy Balakrishnan	Mgmt	For	For	For
8	Elect Vijayaragha Vachari Ranganathan	Mgmt	For	For	For
9	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
10	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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The Shipping Corporation of India Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
SCI	CINS Y7757H113	09/26/2017	Voted
Meeting Type	Country of Trade		
Annual	India		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect H.K. Joshi	Mgmt	For	Against	Against
4	Authority to Set Auditor's Fees	Mgmt	For	For	For

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Thyrocare Technologies Limited

Ticker	Security ID:	Meeting Date	Meeting Status
THYROCARE	CINS Y8T01E103	08/12/2017	Voted
Meeting Type	Country of Trade		
Annual	India		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports: Standalone Financial Statements	Mgmt	For	For	For
2	Accounts and Reports: Consolidated Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Sohil Chand	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Reappointment of A. Velumani (Managing Director, CEO, and Chair); Approval of Remuneration	Mgmt	For	Against	Against
7	Reappointment of A. Sundararaju (Executive Director and CFO); Approval of Remuneration	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Adoption of Employees Stock Option Scheme 2017	Mgmt	For	Against	Against

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Titagarh Wagons Limited

Ticker	Security ID:	Meeting Date	Meeting Status
TWL	CINS Y8841L136	07/31/2017	Voted
Meeting Type	Country of Trade		
Annual	India		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Sudipta Mukherjee	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of J. P. Chowdhary (Executive	Mgmt	For	For	For

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	Chair); Approval of Remuneration				
6	Amendment to the Minimum Remuneration to Umesh Chowdhary (Executive Vice Chair - CEO)	Mgmt	For	For	For
7	Approval of Payment of Remuneration to Umesh Chowdhary (Executive Vice Chair - CEO) from a Subsidiary	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For

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Titagarh Wagons Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
TWL	CINS Y8841L136	08/05/2017		Voted		
Meeting Type		Country of Trade				
Other		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendment to Borrowing Powers	Mgmt	For	For	For	
3	Authority to Give Guarantees	Mgmt	For	For	For	

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Titagarh Wagons Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
TWL	ISIN INE615H01020	03/30/2018		Voted		
Meeting Type		Country of Trade				
Other		IN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendment to Borrowing Powers	Mgmt	For	For	For	
2	Authority to Mortgage Assets	Mgmt	For	For	For	
3	Authority to Give Guarantees	Mgmt	For	For	For	

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Trident Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
TRIDENT	CINS Y8973C102	09/23/2017		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Standalone Accounts and Reports	Mgmt	For	For	For	
2	Consolidated Accounts and Reports	Mgmt	For	For	For	
3	Final Dividend for Equity	Mgmt	For	For	For	

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Shares					
4	Interim Equity Share Dividends and Final Dividends for Preference Shares	Mgmt	For	For	For
5	Elect Rajinder Gupta	Mgmt	For	Against	Against
6	Elect Deepak Nanda	Mgmt	For	Against	Against
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Elect Dinesh Kumar Mittal	Mgmt	For	For	For
9	Independent Directors' Commission	Mgmt	For	For	For
10	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
11	Authority to Issue Shares and/or Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
12	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Triveni Engineering & Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TRIVENI	CINS Y89767159	09/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Dhruv M. Sawhney	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

TTK Prestige Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TTKPRESTIG	CINS Y89993110	08/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect T.T. Mukund	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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TV18 Broadcast Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
TV18BRDCST	CINS Y2714T110	09/25/2017	Voted			
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Standalone Accounts and Reports	Mgmt	For	For	For	
3	Consolidated Accounts and Reports	Mgmt	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Elect P. M. S. Prasad	Mgmt	For	For	For	
6	Elect Kolumum Ramachandran Raja	Mgmt	For	Against	Against	
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
8	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For	

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TV18 Broadcast Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
TV18BRDCST	CINS Y2714T110	10/01/2017	Voted			
Meeting Type		Country of Trade				
Other		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Give Guarantees, Grant Loans and Make Investments	Mgmt	For	For	For	

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UCO Bank Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
UCOBANK	CINS Y9035A100	03/21/2018	Voted			
Meeting Type		Country of Trade				
Other		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Preferential Issuance of Shares w/o Preemptive Rights	Mgmt	For	For	For	

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UCO Bank Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
UCOBANK	CINS Y9035A100	06/27/2018	Voted			
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Accounts and Reports	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Unitech Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
UNITECH	CINS Y9164M149	09/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Standalone Financial Statements	Mgmt	For	Against	Against
2	Consolidated Financial Statements	Mgmt	For	Against	Against
3	Elect Minoti Bahri	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

VA Tech Wabag Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WABAG	CINS Y9356W111	07/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect S Varadarajan	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Bhagwan Dass Narang	Mgmt	For	Against	Against
6	Elect Sumit Chandwani	Mgmt	For	Against	Against
7	Elect Revathi Kasturi	Mgmt	For	Against	Against
8	Amendment to Borrowing Powers	Mgmt	For	For	For
9	Authority to Mortgage Assets	Mgmt	For	For	For
10	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	For	For

VIP Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
VIPIND	CINS Y9377U136	07/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Interim Dividend	Mgmt	For	For	For
3	Allocation of Final Dividend	Mgmt	For	For	For
4	Elect Ashish K. Saha	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Dilip G. Piramal (Chairman & Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Appointment of Radhika Piramal (Vice Chairperson & Executive Director); Approval of Remuneration	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

VIP Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
VIPIND	CINS Y9377U136	09/18/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Change of Location of Registered Office	Mgmt	For	For	For

VRL Logistics

Ticker	Security ID:	Meeting Date	Meeting Status		
VRLLOG	CINS Y9380Z106	01/30/2018	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For

VRL Logistics

Ticker	Security ID:	Meeting Date	Meeting Status		
VRLLOG	CINS Y9380Z106	08/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Ashok Shivayogappa Shettar	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Vijay	Mgmt	For	Against	Against

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	Sankeshwar (Chairman and Managing Director); Approval of Remuneration					
7	Amendment to Remuneration of Anand Sankeshwar (Managing Director)	Mgmt	For	For	For	
8	Elect and Appoint K N Umesh (Whole-time Director); Approval of Remuneration	Mgmt	For	Against	Against	
9	Elect and Appoint L R Bhat (Whole-time Director); Approval of Remuneration	Mgmt	For	Against	Against	
10	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	

Welspun Corp Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WELCORP	CINS Y9536Y102	09/20/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Balkrishan Goenka	Mgmt	For	Against	Against
5	Elect Utsav Baijal	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Elect Desh Raj Dogra	Mgmt	For	For	For
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Authority to Issue Non-Convertible Debentures on a Private Placement Basis	Mgmt	For	For	For
10	Directors' Commission	Mgmt	For	For	For

Wonderla Holidays Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WONDERLA	CINS Y73508106	08/09/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Priya Sarah	Mgmt	For	For	For

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	Cheeran Joseph					
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Elect Gopal Srinivasan	Mgmt	For	For	For	
7	Elect Ramakrishnan Lakshminarayanan	Mgmt	For	For	For	
8	Appoint Priya Sarah Cheeran Joseph (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For	

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Wonderla Holidays Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WONDERLA	CINS Y73508106	12/20/2017	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect M. Ramachandran	Mgmt	For	For	For
3	Appointment of George Joseph as Executive Director; Approval of Remuneration	Mgmt	For	For	For

Fund Name : VanEck Vectors Indonesia Index ETF

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Astra International Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
ASII	CINS Y7117N172	04/25/2018	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors and Commissioners and Remuneration of Directors and Commissioners	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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Astra International Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
ASII	CINS Y7117N172	11/28/2017	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Sri Indrastuti Hadiputranto	Mgmt	For	Against	Against

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Bank Danamon

Ticker	Security ID:	Meeting Date	Meeting Status		
BDMN	CINS Y71188190	03/20/2018	Voted		

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Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Stake by MUFG	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	Against	Against
3	Approval of Recovery Plan	Mgmt	For	For	For

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### Bank Danamon

Ticker	Security ID:	Meeting Date	Meeting Status		
BDMN	CINS Y71188190	03/20/2018	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Directors', Commissioners', and Sharia Supervisors' Fees	Mgmt	For	For	For
6	Election of Directors, Commissioners and/or Sharia Supervisors (Slate)	Mgmt	For	For	For

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### Bank Negara

Ticker	Security ID:	Meeting Date	Meeting Status		
BBNI	ISIN ID1000096605	03/20/2018	Voted		
Meeting Type		Country of Trade			
Annual		ID			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' and Commissioners' Fees	Mgmt	For	Against	Against
4	Appointment of Undisclosed Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Approval of the Company's Recovery Plan	Mgmt	For	For	For
6	Implementation of New Regulation	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	Against	Against
8	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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### Bank Pembangunan Daerah Jawa Barat Dan Banten Tbk

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Ticker	Security ID:	Meeting Date	Meeting Status		
BJBR	CINS Y71174109	02/28/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Use of Proceed from Public Offer	Mgmt	For	For	For
6	Amendment to Board of Directors' Structure	Mgmt	For	For	For
7	Election of Directors (Slate)	Mgmt	For	For	For

---

### Bumi Serpong Damai Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
BSDE	CINS Y7125J106	05/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' and Commissioners' Fees	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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### Bumi Serpong Damai Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
BSDE	CINS Y7125J106	06/27/2018	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase Shares	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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### Ciputra Development

Ticker	Security ID:	Meeting Date	Meeting Status		
CTRA	CINS Y7121J134	06/04/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of	Mgmt	For	For	For



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8	Fees Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
<hr/>					
First Resources Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
EB5	CINS Y2560F107	04/30/2018		Voted	
Meeting Type		Country of Trade			
Special		Singapore			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of New Articles	Mgmt	For	For	For
2	Adoption of Interested Persons Transactions Mandate	Mgmt	For	For	For
<hr/>					
Golden Agri-Resources Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
E5H	CINS V39076134	04/23/2018		Voted	
Meeting Type		Country of Trade			
Annual		Mauritius			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Elect Muktar Widjaja	Mgmt	For	Against	Against
6	Elect FOO Meng Kee	Mgmt	For	For	For
7	Elect William CHUNG Nien Chin	Mgmt	For	For	For
8	Elect Kaneyalall Hawabhay	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
11	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For
<hr/>					
Gudang Garam Tbk					
Ticker	Security ID:	Meeting Date		Meeting Status	
GGRM	CINS Y7121F165	06/26/2018		Voted	
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of	Mgmt	For	For	For

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4	Profits/Dividends Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
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Indocement	Tunggal Prakarsa				
Ticker	Security ID:	Meeting Date		Meeting Status	
INTP	CINS Y7127B135	05/22/2018		Voted	
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Election of Directors and/or Commissioners (Slate)	Mgmt	For	For	For
5	Directors' and Commissioners' Fees	Mgmt	For	For	For

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Indofood	CBP Sukses Makmur				
Ticker	Security ID:	Meeting Date		Meeting Status	
ICBP	CINS Y71260106	05/31/2018		Voted	
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report; Use of Proceed Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Election of Directors and Commissioners (Slate)	Mgmt	For	For	For
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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Indofood	Sukses Makmur Tbk PT				
Ticker	Security ID:	Meeting Date		Meeting Status	
INDF	CINS Y7128X128	05/31/2018		Voted	
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Election of Directors and Commissioners (Slate)	Mgmt	For	Against	Against

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5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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Jardine Cycle & Carriage Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
C07	CINS Y43703100	04/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Elect LIM Hwee Hua	Mgmt	For	For	For
6	Elect David Alexander Newbigging	Mgmt	For	For	For
7	Elect Anthony Nightingale	Mgmt	For	For	For
8	Elect Michael KOK Pak Kuan	Mgmt	For	For	For
9	Elect BOON Yoon Chiang	Mgmt	For	Against	Against
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
12	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
13	Related Party Transactions	Mgmt	For	For	For

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Kalbe Farma

Ticker	Security ID:	Meeting Date	Meeting Status		
KLBF	CINS Y71287208	06/05/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Commissioners (Slate)	Mgmt	For	Against	Against
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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Lippo Karawaci Tbk

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Ticker	Security ID:	Meeting Date	Meeting Status		
LPKR	CINS Y7129W186	06/05/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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Ticker	Security ID:	Meeting Date	Meeting Status		
LPKR	CINS Y7129W186	12/15/2017	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
3	Elect Wijaya Subekti	Mgmt	For	For	For

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Ticker	Security ID:	Meeting Date	Meeting Status		
D5IU	CINS Y5309Y113	04/18/2018	Voted		
Meeting Type	Country of Trade				
Annual	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Authority to Issue Units w/ or w/o Preemptive Rights	Mgmt	For	For	For

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Ticker	Security ID:	Meeting Date	Meeting Status		
D5IU	CINS Y5309Y113	04/18/2018	Voted		
Meeting Type	Country of Trade				
Special	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
2	Amendments to Trust Deed	Mgmt	For	For	For

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Ticker	Security ID:	Meeting Date	Meeting Status
D5IU	CINS Y5309Y113	12/20/2017	Voted

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Meeting Type		Country of Trade			
Special		Singapore			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Yogyakarta Properties	Mgmt	For	For	For
2	Acquisition of Kediri Properties	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Mitra Keluarga Karyasehat

Ticker		Security ID:		Meeting Date		Meeting Status	
MIKA		CINS Y603AT109		02/28/2018		Voted	
Meeting Type		Country of Trade					
Special		Indonesia					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt		
1	Authority to Repurchase Shares	Mgmt	For	For	For		

Mitra Keluarga Karyasehat

Ticker		Security ID:		Meeting Date		Meeting Status	
MIKA		CINS Y603AT109		06/27/2018		Voted	
Meeting Type		Country of Trade					
Annual		Indonesia					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt		
1	Accounts and Reports	Mgmt	For	For	For		
2	Allocation of Profits/Dividends	Mgmt	For	For	For		
3	Use of Proceeds	Mgmt	For	For	For		
4	Resignation of Commissioner	Mgmt	For	For	For		
5	Directors' and Commissioners' Fees	Mgmt	For	For	For		
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against		

P.T. Indah Kiat Pulp & Paper Terbuka

Ticker		Security ID:		Meeting Date		Meeting Status	
INKP		CINS Y71278116		06/27/2018		Voted	
Meeting Type		Country of Trade					
Annual		Indonesia					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt		
1	Accounts and Reports	Mgmt	For	For	For		
2	Allocation of Profits/Dividends	Mgmt	For	For	For		
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against		
4	Directors' and Commissioners' Fees	Mgmt	For	For	For		
5	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against		
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A		

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Pakuwon Jati Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
PWON	CINS Y712CA107	06/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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Perusahaan Gas Negara (PGN)

Ticker	Security ID:	Meeting Date	Meeting Status		
PGAS	CINS Y7136Y118	04/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report and Partnership and Community Development Program Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Commissioners' Fees	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Ratification of Board Acts	Mgmt	For	Against	Against
8	Amendments to Articles	Mgmt	For	Against	Against
9	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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PT Adaro Energy Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
ADRO	CINS Y7087B109	04/23/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Commissioners; Approval of Director Resignation	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Directors' and	Mgmt	For	For	For

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Commissioners'  
Fees

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PT Bank Central Asia Tbk		Meeting Date		Meeting Status	
Ticker	Security ID:	04/05/2018		Voted	
BBCA	ISIN ID1000109507	Country of Trade			
Meeting Type		ID			
Special					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	Against	Against

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PT Bank Central Asia Tbk		Meeting Date		Meeting Status	
Ticker	Security ID:	04/05/2018		Voted	
BBCA	ISIN ID1000109507	Country of Trade			
Meeting Type		ID			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Vera Eve Lim	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Allocation of Interim Dividend for Fiscal Year 2018	Mgmt	For	For	For
7	Approval of Recovery Plan	Mgmt	For	For	For

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PT Bank Mandiri (Persero) Tbk		Meeting Date		Meeting Status	
Ticker	Security ID:	03/21/2018		Voted	
BMRI	CINS Y7123S108	Country of Trade			
Meeting Type		Indonesia			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Directors' and Commissioners' Fees	Mgmt	For	Against	Against
5	Approval of the Company's Recovery Plan	Mgmt	For	For	For
6	Implementation of New Regulation	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	Against	Against
8	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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PT Bank Mandiri (Persero) Tbk

Ticker	Security ID:	Meeting Date	Meeting Status
BMRI	CINS Y7123S108	08/21/2017	Voted
Meeting Type		Country of Trade	
Special		Indonesia	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Stock Split	Mgmt	For
2	Resignations of Director and Commissioner	Mgmt	For
			For
			For

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PT Bank Negara Indonesia (Persero) Tbk

Ticker	Security ID:	Meeting Date	Meeting Status
BBNI	CINS Y74568166	11/02/2017	Voted
Meeting Type		Country of Trade	
Special		Indonesia	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Election of Commissioners	Mgmt	For
			Against
			Against

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PT Bank Rakyat Indonesia

Ticker	Security ID:	Meeting Date	Meeting Status
BBRI	CINS Y0697U112	03/22/2018	Voted
Meeting Type		Country of Trade	
Annual		Indonesia	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
			For
3	Directors' and Commissioners' Fees	Mgmt	For
			Against
			Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For
			Against
			Against
5	Approval of the Company's Recovery Plan	Mgmt	For
			For
			For
6	Implementation of New Regulation	Mgmt	For
			For
7	Amendments to Articles	Mgmt	For
			Against
			Against
8	Election of Directors and/or Commissioners (Slate)	Mgmt	For
			Against
			Against

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PT Bank Rakyat Indonesia

Ticker	Security ID:	Meeting Date	Meeting Status
BBRI	CINS Y0697U112	10/18/2017	Voted
Meeting Type		Country of Trade	
Special		Indonesia	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Stock Split	Mgmt	For
2	Election of Directors and/or Commissioners (Slate)	Mgmt	For
			Against
			Against

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PT Bank Tabungan Negara Persero

Ticker	Security ID:	Meeting Date	Meeting Status
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Approval of the Company's Recovery Plan	Mgmt	For	For	For
6	Amendments to Articles of Pension Fund	Mgmt	For	Against	Against
7	Implementation of New Regulation	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	Against	Against
9	Use of Proceeds from 2017 Bond Issuance	Mgmt	For	For	For
10	Election of Sharia Supervisory Board and Approval of Fees	Mgmt	For	Against	Against
11	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors and/or Commissioners	Mgmt	For	Against	Against

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' and Commissioners' Fees	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Election of Directors	Mgmt	For	Against	Against

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	and/or Commissioners (Slate)					
6	Use of Proceeds from LPO and Bond Issuance	Mgmt	For	For	For	
<hr/>						
PT Charoen Pokphand Indonesia Tbk						
Ticker	Security ID:	Meeting Date		Meeting Status		
CPIN	CINS Y71207164	05/23/2018		Voted		
Meeting Type		Country of Trade				
Annual		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
4	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against	
<hr/>						
PT Hanson International Terbuka						
Ticker	Security ID:	Meeting Date		Meeting Status		
MYRX	CINS Y71225166	11/23/2017		Voted		
Meeting Type		Country of Trade				
Special		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
3	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against	
<hr/>						
PT Jasa Marga (Persero) Tbk						
Ticker	Security ID:	Meeting Date		Meeting Status		
JSMR	CINS Y71285103	04/10/2018		Voted		
Meeting Type		Country of Trade				
Annual		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Partnership and Community Development Program Report	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
5	Directors' and Commissioners' Fees	Mgmt	For	For	For	
6	Use of Proceeds from IPO, LPO and Bond Issuance	Mgmt	For	For	For	
7	Implementation of New	Mgmt	For	For	For	

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8	Regulations Amendments to Articles	Mgmt	For	Against	Against
9	Election of Directors and/or Commissioners	Mgmt	For	Against	Against

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PT Matahari Department Store Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
LPPF	CINS Y7139L105	04/27/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Election of Directors and/or Commissioners (Slate); Approval of Fees	Mgmt	For	Against	Against

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PT Pembangunan Perumahan (Persero) Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
PTPP	CINS Y7131Q102	04/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Partnership and Community Development Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Implementation of New Regulation	Mgmt	For	For	For
8	Use of Proceeds from State Equity Participation	Mgmt	For	For	For
9	Use of Proceeds from IPO and Rights Issue	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	Against	Against
11	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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PT Perusahaan Gas Negara (Persero) Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
PGAS	CINS Y7136Y118	01/25/2018	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	Against	Against
3	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

PT Summarecon Agung Tbk

Ticker	Security ID:	Meeting Date	Meeting Status
SMRA	CINS Y8198G144	06/07/2018	Voted
Meeting Type	Country of Trade		
Annual	Indonesia		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Election of Directors (Slate)	Mgmt	For	For	For
6	Use of Proceeds from Bonds	Mgmt	For	For	For

PT Summarecon Agung Tbk

Ticker	Security ID:	Meeting Date	Meeting Status
SMRA	CINS Y8198G144	06/07/2018	Voted
Meeting Type	Country of Trade		
Special	Indonesia		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

PT Telekomunikasi Indonesia

Ticker	Security ID:	Meeting Date	Meeting Status
TLKM	CUSIP 715684106	04/27/2018	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Authority to Reduce Share Capital	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	Against	Against
8	Implementation of New Regulations	Mgmt	For	For	For

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9	Election of Directors and/or Commissioners	Mgmt	For	Against	Against
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PT Waskita Karya Persero Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
WSKT	CINS Y714AE107	04/06/2018	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Management and Employee Stock Option Program ("MESOP")	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Implementation of New Regulations	Mgmt	For	For	For
8	Use of Proceeds from Rights Issue and Bond	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	Against	Against
10	Election of Directors and/or Commissioners	Mgmt	For	Against	Against

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Sawit Sumbermas Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
SSMS	CINS Y71391109	07/17/2017	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Bonds	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Ratification of Board Acts	Mgmt	For	For	For

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Semen Indonesia (Persero) Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
SMGR	CINS Y7142G168	04/30/2018	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Partnership and Community Development Program	Mgmt	For	For	For

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4	Report Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Use of Proceeds from Bonds	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	Against	Against
9	Implementation of New Regulations	Mgmt	For	For	For
10	Resignation of Djamari Chaniago as Commissioner	Mgmt	For	For	For

Semen Indonesia (Persero) Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
SMGR	CINS Y7142G168	09/15/2017	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	Against	Against
3	Implementation of New Regulation	Mgmt	For	For	For
4	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

Surya Citra Media Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
SCMA	CINS Y7148M110	02/20/2018	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares w/o Preemptive Rights; Amendments to Articles	Mgmt	For	For	For

Surya Citra Media Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
SCMA	CINS Y7148M110	06/25/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' and Commissioners' Fees	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Adoption of Management	Mgmt	For	For	For

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and Employee Stock  
Ownership Program  
("MESOP")

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Tower Bersama Infrastructure Tbk						
Ticker	Security ID:	Meeting Date	Meeting Status			
TBIG	CINS Y71372109	04/27/2018	Voted			
Meeting Type		Country of Trade				
Annual		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
4	Directors' and Commissioners Fees	Mgmt	For	For	For	
5	Authority to Repurchase Shares	Mgmt	For	For	For	
6	Authority to Give Guarantees	Mgmt	For	Against	Against	
7	Use of Proceeds from Bond Issuance	Mgmt	For	For	For	

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Unilever Indonesia						
Ticker	Security ID:	Meeting Date	Meeting Status			
UNVR	CINS Y9064H141	05/23/2018	Voted			
Meeting Type		Country of Trade				
Annual		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
4	Election of Directors and Commissioners (Slate) and Approval of Their Fees	Mgmt	For	Against	Against	

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Unilever Indonesia						
Ticker	Security ID:	Meeting Date	Meeting Status			
UNVR	CINS Y9064H141	06/21/2018	Voted			
Meeting Type		Country of Trade				
Special		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Divestiture/Spin-off	Mgmt	For	Abstain	Against	

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Unilever Indonesia						
Ticker	Security ID:	Meeting Date	Meeting Status			
UNVR	CINS Y9064H141	10/18/2017	Voted			
Meeting Type		Country of Trade				
Special		Indonesia				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ira Noviarti	Mgmt	For	For	For

United Tractors

Ticker	Security ID:	Meeting Date	Meeting Status		
UNTR	CINS Y7146Y140	04/16/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' and Commissioners' Fees	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

XL Axiata Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
EXCL	CINS Y7125N107	03/09/2018	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Elect Julianto Sidarto	Mgmt	For	For	For
6	Implementation and Issuance of Shares in Relation to Long Term Incentive Plan 2016 - 2020	Mgmt	For	For	For

XL Axiata Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
EXCL	CINS Y7125N107	08/15/2017	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors and Commissioners (Slate)	Mgmt	For	For	For

Fund Name : VanEck Vectors International High-Yield Bond ETF

Ethias SA

Ticker	Security ID:	Meeting Date	Meeting Status		
N/A	CINS B3812BAA3	05/16/2018	Voted		
Meeting Type	Country of Trade				
Bond	Belgium				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Board Report	Mgmt	For	Abstain	Against
4	Board Report on Accounts and Reports	Mgmt	For	Abstain	Against
5	Auditor Report on Accounts and Reports	Mgmt	For	Abstain	Against
6	Accounts and Reports	Mgmt	For	Abstain	Against
7	Board Report on Consolidated Accounts and Reports	Mgmt	For	Abstain	Against
8	Auditor Report on Consolidated Accounts and Reports	Mgmt	For	Abstain	Against
9	Consolidated Accounts and Reports	Mgmt	For	Abstain	Against
10	Ratification of Board Acts	Mgmt	For	Abstain	Against
11	Ratification of Auditor's Acts	Mgmt	For	Abstain	Against
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Grupo Isolux Corsan

Ticker	Security ID:	Meeting Date	Meeting Status		
N/A	CINS E5752C131	07/03/2017	Take No Action		
Meeting Type	Country of Trade				
Bond	Spain				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Individual Accounts and Reports	Mgmt	For	TNA	N/A
3	Ratification of Board Acts	Mgmt	For	TNA	N/A
4	Consolidated Accounts and Reports	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Evaluation of Equity Situation and Adoption of Measures	Mgmt	For	TNA	N/A
7	Amendments to Articles	Mgmt	For	TNA	N/A
8	Amendments to General Meeting Regulations	Mgmt	For	TNA	N/A
9	Approval of Management Structure	Mgmt	For	TNA	N/A
10	Elect Jaime Fuster Rufilanchas	Mgmt	For	TNA	N/A
11	Elect David Pastor Garcia	Mgmt	For	TNA	N/A
12	Elect David Vilella Silla	Mgmt	For	TNA	N/A

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13	Directors' Fees	Mgmt	For	TNA	N/A
14	Appointment of Auditor	Mgmt	For	TNA	N/A
15	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

Fund Name : VanEck Vectors Israel ETF

888 Holdings plc

Ticker	Security ID:	Meeting Date	Meeting Status		
888	CINS X19526106	05/09/2018	Voted		
Meeting Type		Country of Trade			
Annual		Gibraltar			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Elect Brian Mattingley	Mgmt	For	For	For
4	Elect Itai Frieberger	Mgmt	For	For	For
5	Elect Aviad Kobrine	Mgmt	For	For	For
6	Elect Ron McMillan	Mgmt	For	For	For
7	Elect Zvika Zivlin	Mgmt	For	For	For
8	Elect Anne De Kerckhove	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Authority to Set Auditor's Fees	Mgmt	For	For	For
11	Allocation of Profits/Dividends	Mgmt	For	For	For
12	Special Dividend	Mgmt	For	For	For
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

Aeronautics Defense Systems

Ticker	Security ID:	Meeting Date	Meeting Status		
ARCS	CINS MOR84M115	06/26/2018	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employment Terms of Board Chair	Mgmt	For	For	For
3	Elect Yedidia Yaari	Mgmt	For	For	For
4	Elect Hedi Ben-Sira	Mgmt	For	Against	Against
5	Elect Imanuel Wasserman	Mgmt	For	Against	Against
6	Elect Shai Ayalon	Mgmt	For	For	For
7	Elect Dani Shirazi	Mgmt	For	For	For
8	Elect Jonathan B. Kolber	Mgmt	For	For	For
9	Elect Itzhak Lempel	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set	Mgmt	For	For	For

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Fees		N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
<hr/>					
Aevi Genomic Medicine Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
GNMX	CUSIP 00835P105	10/17/2017		Voted	
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Common Stock pursuant to the Offering	Mgmt	For	For	For
2	Increase of Authorized Common Stock	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	For	For
<hr/>					
Africa Israel Properties Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
AFPR	CINS M0203G105	04/17/2018		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Management Services Agreement with Controlling Shareholder	Mgmt	For	For	For
<hr/>					
Africa Israel Properties Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
AFPR	CINS M0203G105	09/26/2017		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Extension of Management Services Agreement	Mgmt	For	For	For
3	Related Party Transaction	Mgmt	For	For	For
<hr/>					
Africa Israel Properties Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
AFPR	CINS M0203G105	12/18/2017		Voted	
Meeting Type		Country of Trade			
Annual		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Elect Avraham Novogrocki	Mgmt	For	For	For
4	Elect Avinadav Grinshpon	Mgmt	For	For	For
5	Elect Ronit Cohen-Nissan	Mgmt	For	For	For
6	Elect Michal Kamir	Mgmt	For	For	For

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7	Appoint Somekh Chaikin (KPMG) and Brightman Almagor Zohar & Co. (Deloitte) as the Company's joint independent auditors	Mgmt	For	Abstain	Against
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Airport City Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ARPT	CINS M0367L106	12/06/2017	Voted		
Meeting Type	Country of Trade				
Mix	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Haim Tsuff	Mgmt	For	For	For
5	Elect Eitan Voloch	Mgmt	For	For	For
6	Elect Itamar Volkov	Mgmt	For	For	For
7	D&O Liability Insurance Group Policy (Non-Controlling Shareholders)	Mgmt	For	For	For
8	D&O Liability Insurance Group Policy (Controlling Shareholders)	Mgmt	For	For	For
9	D&O Liability Insurance Group Policy (Joint CEOs)	Mgmt	For	For	For
10	D&O Indemnification (Non-Controlling Shareholders)	Mgmt	For	For	For
11	D&O Indemnification (Controlling Shareholders)	Mgmt	For	For	For
12	D&O Indemnification (Joint CEOs)	Mgmt	For	For	For
13	D&O Exemption (Non-Controlling Shareholders)	Mgmt	For	Against	Against
14	D&O Exemption (Controlling Shareholders)	Mgmt	For	Against	Against
15	D&O Exemption (Joint CEOs)	Mgmt	For	Against	Against
16	Increase in Authorized Capital	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Alony Hetz Properties & Investments Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
ALHE	CINS M0867F104	03/27/2018	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	Israel Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Election of External Directors	Mgmt	For	For	For

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Alony Hetz Properties & Investments Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
ALHE	CINS M0867F104	06/12/2018	Voted
Meeting Type	Country of Trade		
Special	Israel		

Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles Relating to Preference Shares	Mgmt	For	For	For

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Alony Hetz Properties & Investments Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
ALHE	CINS M0867F104	10/03/2017	Voted
Meeting Type	Country of Trade		
Ordinary	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Aviram Wertheim	Mgmt	For	For	For
5	Elect Nathan Hetz	Mgmt	For	For	For
6	Elect Adva Sharvit	Mgmt	For	For	For
7	Elect Aaron Nahumi	Mgmt	For	For	For
8	Elect Gittit Guberman	Mgmt	For	For	For
9	Elect Amos Yadlin	Mgmt	For	For	For
10	Indemnification of Directors/Officers	Mgmt	For	For	For
11	Increase to Authorized Preferred Shares	Mgmt	For	For	For
12	Amend Articles and Provide Exemption to Directors/Officers	Mgmt	For	Against	Against

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Alrov Properties & Lodging Limited

Ticker	Security ID:	Meeting Date	Meeting Status
ALRPR	CINS M39713108	01/02/2018	Voted
Meeting Type	Country of Trade		
Special	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employment Terms of Wife of Controlling Shareholder	Mgmt	For	For	For

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Alrov Properties & Lodging Limited

Ticker	Security ID:	Meeting Date	Meeting Status
ALRPR	CINS M39713108	09/17/2017	Voted
Meeting Type	Country of Trade		
Annual	Israel		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Elect Alfred Akirov	Mgmt	For	For	For
4	Elect Joshua Rosensweig	Mgmt	For	For	For
5	Elect Eitan Raff	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

### Amdocs Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
DOX	CUSIP G02602103	01/26/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert A. Minicucci	Mgmt	For	For	For
2	Elect Adrian Gardner	Mgmt	For	For	For
3	Elect John T. McLennan	Mgmt	For	For	For
4	Elect Zohar Zisapel	Mgmt	For	For	For
5	Elect Julian A. Brodsky	Mgmt	For	For	For
6	Elect Eli Gelman	Mgmt	For	For	For
7	Elect James S. Kahan	Mgmt	For	For	For
8	Elect Richard T.C. LeFave	Mgmt	For	For	For
9	Elect Giora Yaron	Mgmt	For	For	For
10	Elect Baroness Ariane de Rothschild	Mgmt	For	For	For
11	Elect Rafael de la Vega	Mgmt	For	For	For
12	Increase Quarterly Dividend	Mgmt	For	For	For
13	Accounts and Reports	Mgmt	For	For	For
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

### Amot Investments Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AMOT	CINS M1035R103	05/02/2018	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Liability Insurance Coverage of Directors/Officers	Mgmt	For	For	For
3	Indemnification Coverage of Directors/Officers	Mgmt	For	For	For
4	Amendments to Articles Regarding D&O Insurance and Indemnification	Mgmt	For	For	For
5	Exemption of Directors/Officers	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Amot Investments Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
AMOT	CINS M1035R103	09/13/2017	Voted			
Meeting Type		Country of Trade				
Annual		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Appointment of Auditor	Mgmt	For	Against	Against	
5	Elect Nathan Hetz	Mgmt	For	For	For	
6	Elect Aviram Wertheim	Mgmt	For	For	For	
7	Elect Moti Barzilay	Mgmt	For	For	For	
8	Elect Amir Amar	Mgmt	For	For	For	
9	Elect Eyal Gabbai	Mgmt	For	Against	Against	
10	Elect Yechiel Gutman	Mgmt	For	For	For	
11	Elect Benjamin Gantz	Mgmt	For	For	For	
12	Amendments to Articles	Mgmt	For	For	For	

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Arotech Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
ARTX	CUSIP 042682203	05/08/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Jon B. Kutler	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	

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Ashtrum Properties Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
ASPR	CINS M1504E104	01/28/2018	Voted			
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	ELECT ELLA FELDER AS EXTERNAL DIRECTOR	Mgmt	For	For	For	
3	Indemnification of External Director	Mgmt	For	For	For	
4	Exemption of External Director	Mgmt	For	Against	Against	

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Attunity Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
ATTU	CUSIP M15332121	12/27/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Shimon Alon	Mgmt	For	Withhold	Against	
1.2	Elect Dov Biran	Mgmt	For	Withhold	Against	
1.3	Elect Dan Falk	Mgmt	For	Withhold	Against	
2	Elect Tali Alush-Aben	Mgmt	For	Against	Against	
3	Declaration of Material Interest	Mgmt	N/A	For	N/A	
4	Amend the Executive	Mgmt	For	Against	Against	

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	Compensation Policy				
5	Declaration of Material Interest	Mgmt	N/A	For	N/A
6	Authorization of Chair to Serve as CEO for Three Year Period	Mgmt	For	Against	Against
7	Declaration of Material Interest	Mgmt	N/A	For	N/A
8	Equity Grants to Directors	Mgmt	For	For	For
9	Declaration of Material Interest	Mgmt	N/A	For	N/A
10	Approval of Chair and CEO's Bonus Terms	Mgmt	For	For	For
11	Declaration of Material Interest	Mgmt	N/A	For	N/A
12	Approval of RSU and Option Grant to Chair and CEO	Mgmt	For	Against	Against
13	Declaration of Material Interest	Mgmt	N/A	For	N/A
14	Approval of Performance Based RSU Grant to Chair and CEO	Mgmt	For	For	For
15	Declaration of Material Interest	Mgmt	N/A	For	N/A
16	Indemnification of Directors/Officers	Mgmt	For	For	For
17	Declaration of Material Interest	Mgmt	N/A	For	N/A
18	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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AudioCodes Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
AUDC	CUSIP M15342104	09/12/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Eyal Kishon	Mgmt	For	For	For
2	Elect Joseph Tenne	Mgmt	For	For	For
3	Approve Annual RSU Grant to Non-Employee Directors	Mgmt	For	For	For
4	Employment Agreement with CEO	Mgmt	For	For	For
5	Confirmation of	Mgmt	N/A	For	N/A

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	Non-Controlling Shareholder					
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	

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Avgol Industries 1953 Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
AVGL	CINS M15565100	04/24/2018		Voted		
Meeting Type		Country of Trade				
Annual		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Adam H. Teeger	Mgmt	For	For	For	
3	Elect Mark R. Jacobson	Mgmt	For	For	For	
4	Elect Mano Stoffer	Mgmt	For	For	For	
5	Elect Jan Moulign	Mgmt	For	For	For	
6	Elect Marc Slendebroek	Mgmt	For	For	For	
7	Elect Saar Avnery	Mgmt	For	For	For	
8	Appointment of Auditor	Mgmt	For	For	For	
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

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Avgol Industries 1953 Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
AVGL	CINS M15565100	12/19/2017		Voted		
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Indemnification of Directors/Officers (Controlling Shareholders)	Mgmt	For	For	For	

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Bank Hapoalim						
Ticker	Security ID:	Meeting Date		Meeting Status		
POLI	CINS M1586M115	02/05/2018		Voted		
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Elect Ronit Abramson as External Director	Mgmt	For	For	For	

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Bank Hapoalim B.M.						
Ticker	Security ID:	Meeting Date		Meeting Status		
POLI	ISIN IL0006625771	04/12/2018		Voted		
Meeting Type		Country of Trade				
Annual		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Agenda Item	MGMT	For	For	For	
2	Appointment of Auditor	MGMT	For	For	For	
3	Bundled Amendments to Articles	MGMT	For	For	For	
4	ELECT DALIA LEV AS EXTERNAL	MGMT	For	For	For	

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DIRECTOR

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Bank Leumi le-Israel Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
LUMI	CINS M16043107	10/03/2017	Voted
Meeting Type	Country of Trade		
Annual	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Samer Haj Yehia	Mgmt	For	For	For
7	Elect David Zvilichovsky	Mgmt	For	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Elect Haim Levy	Mgmt	For	Abstain	Against
10	Elect Zipora Samet	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Bayside Land Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
BYSD	CINS M1971K112	04/30/2018	Voted
Meeting Type	Country of Trade		
Special	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employment Terms of CEO	Mgmt	For	For	For

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Bayside Land Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
BYSD	CINS M1971K112	05/21/2018	Voted
Meeting Type	Country of Trade		
Special	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Bernie Moskowitz as External Director	Mgmt	For	Abstain	Against
4	Elect Ziv Ironi	Mgmt	N/A	For	N/A
5	Reelect Joseph Shattah as External Director	Mgmt	For	For	For

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Bayside Land Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
BYSD	CINS M1971K112	05/24/2018	Voted
Meeting Type	Country of Trade		
Special	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Bernie Moskowitz as External Director	Mgmt	For	For	For

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3	Reelect Joseph Shattah as External Director	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Bayside Land Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BYSD	CINS M1971K112	06/05/2018	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transaction	Mgmt	For	For	For

Bayside Land Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BYSD	CINS M1971K112	08/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Alejandro Gustavo Elsztain	Mgmt	For	For	For
5	Elect Segi Eitan	Mgmt	For	For	For
6	Elect Arnon Rabinovitz	Mgmt	For	For	For

Bezeq The Israel Telecommunication Corp. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BEZQ	CINS M2012Q100	04/26/2018	Voted		
Meeting Type	Country of Trade				
Mix	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Board Composition Alternative A- Up to 13 Directors	Mgmt	For	For	For
7	Board Composition Alternative B- Up to 15 Directors (Proposal Deriving from Shareholder Demand)	ShrHoldr	Against	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Elect Shlomo Rodav	Mgmt	For	For	For
10	Elect Doron Turgeman	Mgmt	For	Against	Against
11	Elect Ami Barlev	Mgmt	For	Against	Against
12	Elect Ilan Biran	Mgmt	For	For	For
13	Elect Orly Guy	Mgmt	For	For	For

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14	Elect Avital Bar-Dayan	Mgmt	For	For	For
15	Elect Rami Nomkin (Employee Representative) as Director	Mgmt	For	For	For
16	Elect David Granot	Mgmt	For	For	For
17	Elect Dov Kotler	Mgmt	For	For	For
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
19	Elect Doron Birger	Mgmt	N/A	Abstain	N/A
20	Elect Edith Lusky	Mgmt	N/A	For	N/A
21	Elect Amnon Dick	Mgmt	N/A	Abstain	N/A
22	Elect David Avner	Mgmt	N/A	For	N/A
23	Elect Yaacov Goldman	Mgmt	N/A	Against	N/A
24	Elect Shlomo Zohar	Mgmt	N/A	Abstain	N/A
25	Elect Naomi Sandhaus	Mgmt	N/A	For	N/A
26	Elect Yigal Bar-Yossef	Mgmt	N/A	Abstain	N/A
27	Dividend for Second Half of 2017	Mgmt	For	For	For
28	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
29	SHP Regarding Expression of No Confidence in Directors Keret and Simon	ShrHoldr	N/A	For	N/A

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### Bezeq The Israel Telecommunication Corp. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BEZQ	CINS M2012Q100	05/21/2018	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendment to Compensation Policy Regarding D&O Liability Insurance	Mgmt	For	For	For

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### Bezeq The Israel Telecommunication Corp. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BEZQ	CINS M2012Q100	08/15/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Elect Haggai Herman as External Director	Mgmt	For	N/A	N/A
5	Elect Shalom Hochman as External Director	Mgmt	For	N/A	N/A
6	Elect Zeev Vurembrand as External Director	Mgmt	For	For	For

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### Bezeq The Israel Telecommunication Corp. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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BEZQ	CINS M2012Q100	09/18/2017	Voted			
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Allocation of Profits	Mgmt	For	For	For	

Big Shopping Centers Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
BIG	CINS M2014C109	04/11/2018	Voted			
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Noga Knaz	Mgmt	For	For	For	

Big Shopping Centers Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
BIG	CINS M2014C109	10/09/2017	Voted			
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Appointment of Auditor	Mgmt	For	For	For	
4	Elect Yehuda Naftali	Mgmt	For	For	For	
5	Elect Eitan Bar Zeev	Mgmt	For	For	For	
6	Elect Barak Ben Eliezer	Mgmt	For	For	For	
7	Elect Israel Yaaqovi	Mgmt	For	For	For	
8	Elect Daniel Naftali	Mgmt	For	For	For	
9	Amendment to Compensation Policy	Mgmt	For	For	For	
10	ELECT VERED YITZHAKI AS EXTERNAL DIRECTOR	Mgmt	For	For	For	

BiolineRx Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
BLRX	CUSIP 09071M106	04/16/2018	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Aharon Schwartz	Mgmt	For	For	For	
2	Elect Michael J. Anghel	Mgmt	For	For	For	
3	Elect B.J. Bormann	Mgmt	For	For	For	
4	Elect Raphael Hofstein	Mgmt	For	For	For	
5	Elect Sandra Panem	Mgmt	For	For	For	
6	Approval of Equity Compensation of Philip Serlin, CEO	Mgmt	For	For	For	
7	Declaration of Material Interest	Mgmt	N/A	Against	N/A	
8	Appointment of Auditor	Mgmt	For	For	For	

Blue Square Real Estate Ltd

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Ticker	Security ID:	Meeting Date	Meeting Status
BLSR	CINS M20259103	04/24/2018	Voted
Meeting Type		Country of Trade	
Special		Israel	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Liability Insurance of Directors/Officers	Mgmt	For	For	For

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Blue Square Real Estate Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
BLSR	CINS M20259103	09/05/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Discovery Period Insurance of Directors/Officers	Mgmt	For	For	For
3	Extend Liability Insurance of Directors/Officers	Mgmt	For	For	For

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Blue Square Real Estate Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
BLSR	CINS M20259103	11/02/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employment Agreement with Board Chair	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Caesarstone Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
CSTE	CUSIP M20598104	09/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt

1	Elect Ariel Halperin	Mgmt	For	For	For
2	Elect Dori Brown	Mgmt	For	For	For
3	Elect Roger Abravanel	Mgmt	For	For	For
4	Elect Eric D. Herschmann	Mgmt	For	For	For
5	Elect Ronald Kaplan	Mgmt	For	For	For
6	Elect Ofer Tsimchi	Mgmt	For	For	For
7	Elect Amit Ben Zvi	Mgmt	For	For	For
8	Elect Ofer Borovsky as External Director	Mgmt	For	Against	Against
9	Elect Irit Ben-Dov as External Director	Mgmt	For	For	For
10	Confirmation of Controlling Shareholder	Mgmt	N/A	Against	N/A

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11	Amend Bonus Mechanism of CEO	Mgmt	For	For	For
12	Confirmation of Controlling Shareholder	Mgmt	N/A	Against	N/A
13	Compensation Terms of Chair	Mgmt	For	For	For
14	Declaration of Material Interest	Mgmt	N/A	Against	N/A
15	Option Grants of Directors	Mgmt	For	For	For
16	Declaration of Material Interest	Mgmt	N/A	Against	N/A
17	Amend Registration Rights Agreement	Mgmt	For	For	For
18	Declaration of Material Interest	Mgmt	N/A	Against	N/A
19	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Camtek Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CAMT	CUSIP M20791105	06/07/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Rafi Amit	Mgmt	For	For	For
2	Elect Yotam Stern	Mgmt	For	For	For
3	Elect Eran Bendoly	Mgmt	For	For	For
4	Elect Moty Ben-Arie	Mgmt	For	For	For
5	Elect Gabriela Heller	Mgmt	For	For	For
6	Elect Raphael Koriat	Mgmt	For	For	For
7	Declaration of Material Interest	Mgmt	N/A	Against	N/A
8	Indemnification & Exemption of Directors/Officers	Mgmt	For	For	For
9	Declaration of Material Interest	Mgmt	N/A	Against	N/A
10	Indemnification & Exemption of Yotam Stern	Mgmt	For	For	For
11	Declaration of Material Interest	Mgmt	N/A	Against	N/A
12	Amend Compensation Policy	Mgmt	For	For	For
13	Declaration of Material Interest	Mgmt	N/A	Against	N/A
14	Special Equity Awards of NEOs (Excluding CEO)	Mgmt	For	For	For

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15	Declaration of Material Interest	Mgmt	N/A	Against	N/A
16	Compensation Terms of CEO	Mgmt	For	For	For
17	Declaration of Material Interest	Mgmt	N/A	Against	N/A
18	Amendment to Articles Regarding Chairman of General Meetings	Mgmt	For	For	For
19	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
20	Accounts and Reports	Mgmt	For	For	For

Cellcom Israel Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CEL	CINS M2196U109	11/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Mauricio Elias Wior	Mgmt	For	For	For
4	Elect Shlomo Waxe	Mgmt	For	Against	Against
5	Elect Ephraim Kunda	Mgmt	For	For	For
6	Compensation Policy	Mgmt	For	For	For
7	CEO Annual Cash Bonus	Mgmt	For	For	For
8	CEO Special Cash Bonus	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Ceragon Networks Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
CRNT	CUSIP M22013102	06/12/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Zohar Zisapel	Mgmt	For	For	For
2	Elect Yael Langer	Mgmt	For	For	For
3	Elect Shlomo Liran	Mgmt	For	For	For
4	Elect Ira Palti	Mgmt	For	For	For
5	Elect Avi Eizenman	Mgmt	For	For	For
6	Elect Avraham Berger	Mgmt	For	For	For
7	Declaration of Material Interest	Mgmt	For	Against	Against
8	Confirmation of Controlling Shareholder	Mgmt	For	Against	Against
9	Elect Meir Sperling	Mgmt	For	For	For
10	Declaration of Material Interest	Mgmt	For	Against	Against
11	Confirmation of Controlling Shareholder	Mgmt	For	Against	Against

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12	Compensation Policy	Mgmt	For	For	For
13	Declaration of Material Interest	Mgmt	For	Against	Against
14	Confirmation of Controlling Shareholder	Mgmt	For	Against	Against
15	Cash Bonus Plan & Equity Compensation of CEO	Mgmt	For	For	For
16	Declaration of Material Interest	Mgmt	For	Against	Against
17	Confirmation of Controlling Shareholder	Mgmt	For	Against	Against
18	Appointment of Auditor	Mgmt	For	For	For

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Ceragon Networks Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
CRNT	CUSIP M22013102	09/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Variable Compensation of CEO for 2017	Mgmt	For	For	For
2	Declaration of Material Interest	Mgmt	N/A	Against	N/A
3	Confirmation of Controlling Shareholder	Mgmt	N/A	Against	N/A
4	Appointment of Auditor	Mgmt	For	For	For

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CEVA, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CEVA	CUSIP 157210105	05/17/2018	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Eliyahu Ayalon	Mgmt	For	For	For
1.2	Elect Zvi Limon	Mgmt	For	For	For
1.3	Elect Bruce A. Mann	Mgmt	For	For	For
1.4	Elect Maria Marced	Mgmt	For	For	For
1.5	Elect Peter McManamon	Mgmt	For	For	For
1.6	Elect Sven-Christer Nilsson	Mgmt	For	For	For
1.7	Elect Louis Silver	Mgmt	For	For	For
1.8	Elect Gideon Wertheizer	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Clal Insurance Enterprises Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
CLIS	CINS M2447P107	01/03/2018	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	Israel Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Dani Naveh	Mgmt	For	For	For
5	Elect Avraham Knobel	Mgmt	For	For	For
6	Elect Varda Alshech	Mgmt	For	For	For
7	Elect Hana Mazal Margaliot	Mgmt	For	For	For

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Clal Insurance Enterprises Holdings Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
CLIS	CINS M2447P107	05/06/2018		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	REELECT JOSEPH YAGIL AS EXTERNAL DIRECTOR	Mgmt	For	For	For

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Clal Insurance Enterprises Holdings Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
CLIS	CINS M2447P107	10/23/2017		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employment Terms of CEO	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Compugen Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
CGEN	CUSIP M25722105	07/13/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ruth Arnon	Mgmt	For	For	For
2	Elect Anat Cohen-Dayag	Mgmt	For	For	For
3	Elect Martin S. Gerstel	Mgmt	For	For	For
4	Elect Dov Hershberg	Mgmt	For	For	For
5	Elect Michal Preminger and Approve Compensation	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	Against	Against
7	CEO Cash Bonus	Mgmt	For	For	For
8	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
9	Chair Cash Bonus	Mgmt	For	For	For
10	Equity Grant for CEO	Mgmt	For	For	For
11	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
12	Equity Grant for Chair	Mgmt	For	For	For
13	Acceleration of Vesting of Equity	Mgmt	For	Against	Against

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	Awards for Chair				
14	Appointment of Auditor	Mgmt	For	For	For
15	Election of Jeffrey Grossman	Mgmt	Against	Against	For
16	Election of Samuel Solomon	Mgmt	Against	Against	For

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Compugen Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CGEN	CUSIP M25722105	10/19/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compensation Policy	Mgmt	For	For	For
2	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
3	Annual Fee and Option Grant of Chair	Mgmt	For	For	For
4	Acceleration of Vesting of Equity Awards for Chair	Mgmt	For	Against	Against
5	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
6	Separation Terms of Outgoing Chair	Mgmt	For	For	For
7	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A

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CyberArk Software Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
CYBR	CUSIP M2682V108	06/21/2018	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ehud Mokady	Mgmt	For	For	For
2	Elect David Schaeffer	Mgmt	For	For	For
3	Equity Awards of CEO	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Delek Automotive Systems Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
DLEA	ISIN IL0008290103	03/13/2018	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Irit Shadar Tobias as External Director	MGMT	For	Against	Against

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Delek Automotive Systems Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
DLEA	CINS M2756V109	06/24/2018	Voted		
Meeting Type		Country of Trade			
Annual		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Compensation Policy	Mgmt	For	Against	Against
5	Amendment to Employment Agreement of Board Chair	Mgmt	For	For	For

Delek Energy Systems Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
DLEN	CINS M2775P109	08/15/2017	Voted		
Meeting Type		Country of Trade			
Mix		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Yuval Rachlevsky	Mgmt	For	For	For

Delek Group Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
DLEKG	CINS M27635107	03/06/2018	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Arie Zief as External Director	Mgmt	For	For	For

Delek Group Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
DLEKG	CINS M27635107	07/24/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Increase in Authorized Capital and Creation of Preferred Shares	Mgmt	For	For	For

Delek Group Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
DLEKG	ISIN IL0010841281	02/28/2018	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Extend Mechanism for Reimbursement of Expenses of Controlling Shareholder	MGMT	For	For	Mgmt For
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Delek Group Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
DLEKG	CINS M27635107	06/19/2018		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Elect Yehudit Tytelman	Mgmt	For	For	For
<hr/>					
Delek US Holdings, Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
DK	CUSIP 24665A103	05/08/2018		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ezra Uzi Yemin	Mgmt	For	For	For
1.2	Elect William J. Finnerty	Mgmt	For	For	For
1.3	Elect Carlos E. Jorda	Mgmt	For	For	For
1.4	Elect Gary M. Sullivan Jr.	Mgmt	For	For	For
1.5	Elect David Wiessman	Mgmt	For	For	For
1.6	Elect Shlomo Zohar	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Amendment to the 2016 Long-Term Incentive Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
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Delta Galil Industries Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
DELT	CINS M2778B107	12/27/2017		Voted	
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Noam Lautman	Mgmt	For	For	For
3	Elect Isaac Dabah	Mgmt	For	For	For
4	Elect Gideon Chitayat	Mgmt	For	For	For
5	Elect Izhak Weinstock	Mgmt	For	For	For
6	Elect Tzipora Carmon	Mgmt	For	For	For
7	Elect Israel Baum	Mgmt	For	Against	Against
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Direct Insurance Financial Investments Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
DIFI	CINS M2807E106	09/11/2017	Voted			
Meeting Type	Country of Trade					
Annual	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Moshe Schneidman	Mgmt	For	For	For	
3	Elect Joseph Kucik	Mgmt	For	For	For	
4	Elect Doron Schneidman	Mgmt	For	For	For	
5	Elect Haim Ramon	Mgmt	For	For	For	
6	Elect Gilead Sher	Mgmt	For	For	For	
7	Indemnification and Exemption of Gilead Sher	Mgmt	For	For	For	
8	ELECT AVI ZAMIR AS EXTERNAL DIRECTOR	Mgmt	For	For	For	
9	Indemnification and Exemption of Avi Zamir	Mgmt	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

Discount Investment Corporation Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
DISI	CINS M28072102	07/06/2017	Voted			
Meeting Type	Country of Trade					
Annual	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Elect Eduardo Sergio Elsztain	Mgmt	For	For	For	
4	Elect Alejandro Gustavo Elsztain	Mgmt	For	Against	Against	
5	Elect Saul Zang	Mgmt	For	For	For	
6	Elect Saul Lapidus	Mgmt	For	For	For	
7	Elect Joseph Singer	Mgmt	For	For	For	
8	Elect Lily Ayalon	Mgmt	For	For	For	
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
10	Compensation Policy	Mgmt	For	Against	Against	
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

DSP Group, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
DSPG	CUSIP 23332B106	05/14/2018	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Election of Directors	Mgmt	For	For	For	
2	Amendment to the 2012 Equity Incentive Plan	Mgmt	For	For	For	
3	Ratification of Auditor	Mgmt	For	For	For	

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4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Elect Yair Seroussi	Mgmt	For	For	For
6	Elect Norman Taffe	Mgmt	For	For	For
7	Elect Patrick Tanguy	Mgmt	For	For	For
8	Elect Kenneth H. Traub	Mgmt	For	For	For
9	Amendment to the 2012 Equity Incentive Plan	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For

El Al Israel Airlines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELAL	CINS M3741D113	02/06/2018	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Eyal Haimovsky	Mgmt	For	For	For

El Al Israel Airlines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELAL	CINS M3741D113	03/06/2018	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employment Terms of New CEO	Mgmt	For	For	For
3	Employment Agreement of Dalit Mozes (Relative of Controlling Shareholder)	Mgmt	For	For	For
4	Bonus of Dalit Mozes	Mgmt	For	For	For

El Al Israel Airlines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELAL	CINS M3741D113	10/29/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Yael Andorn Karni as External Director	Mgmt	For	For	For

El Al Israel Airlines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELAL	CINS M3741D113	11/29/2017	Voted		
Meeting Type	Country of Trade				
Mix	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Eli Defes	Mgmt	For	For	For
5	Elect Tamar Mozes Borovitz	Mgmt	For	For	For
6	Elect Yehuda Levy	Mgmt	For	For	For
7	Elect Amikam Cohen	Mgmt	For	For	For
8	Elect Shlomo Hanael	Mgmt	For	For	For
9	Elect Sofia Kimerling	Mgmt	For	For	For
10	Elect Pinchas Ginsburg	Mgmt	For	Against	Against
11	Elect Ruth Dahan	Mgmt	For	For	For
12	Liability Insurance Framework Agreement with Controlling Shareholder	Mgmt	For	For	For
13	Amendments to Articles Regarding Indemnification	Mgmt	For	For	For
14	Indemnification of Directors/Officers (Controlling Shareholders)	Mgmt	For	For	For

Elbit Systems Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ESLT	CINS M3760D101	04/11/2018	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Options Grant of CEO	Mgmt	For	For	For
4	Options Grant to CEO of Subsidiary	Mgmt	For	For	For

Elbit Systems Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ESLT	CINS M3760D101	11/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Michael Federmann	Mgmt	For	For	For
3	Elect Rina Baum	Mgmt	For	For	For
4	Elect Yoram Ben-Zeev	Mgmt	For	For	For
5	Elect David Federmann	Mgmt	For	For	For
6	Elect Dov Ninveh	Mgmt	For	For	For
7	Elect Ehood Nisan	Mgmt	For	For	For
8	Elect Yuli Tamir	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Liability Insurance of Directors/Officers	Mgmt	For	For	For
11	Indemnification of Directors (Controlling Shareholders)	Mgmt	For	For	For

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12	Appointment of Auditor	Mgmt	For	For	For
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Elco Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
ELCO	CINS M3787F105	03/13/2018		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Compensation Terms of the Joint CEOs	Mgmt	For	Against	Against
4	Indemnification of Directors/Officers	Mgmt	For	For	For
5	Bundled Amendments to Articles	Mgmt	For	Against	Against
6	Revised Employment Terms of Chair	Mgmt	For	For	For
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Elco Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
ELCO	CINS M3787F105	10/02/2017		Voted	
Meeting Type		Country of Trade			
Annual		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Mordechai Friedman	Mgmt	For	For	For
5	Elect Daniel Salkind	Mgmt	For	For	For
6	Elect Michael Salkind	Mgmt	For	For	For
7	Elect Gabriela Heller	Mgmt	For	For	For
8	Amend Compensation Policy	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Elco Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
ELCO	CINS M3787F105	06/12/2018		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Shimon Hybloom	Mgmt	For	For	For
3	Elect Sophie Caspi	Mgmt	For	For	For
4	Elect Ariel Ben Dayan	Mgmt	For	For	For
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Electra Consumer Products Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
ECP	CINS M3798N105	05/15/2018		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	Against	Against

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3	Management Services Agreement with Controlling Shareholder	Mgmt	For	Against	Against
4	Indemnification of Directors/Officers	Mgmt	For	For	For

Electra Consumer Products Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
ECP	CINS M3798N105	10/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Daniel Salkind	Mgmt	For	For	For
5	Elect Michael Salkind	Mgmt	For	For	For
6	Elect Avraham Avishay Israeli	Mgmt	For	For	For
7	Elect Orly Ben-Yosef	Mgmt	For	For	For
8	Amend Compensation Policy	Mgmt	For	For	For

Electra Consumer Products Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
ECP	CINS M3798N105	12/24/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Bundled Amendments to Articles	Mgmt	For	Against	Against

Electra Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELTR	CINS M38004103	02/01/2018	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Bundled Amendments to Articles	Mgmt	For	Against	Against

Electra Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELTR	CINS M38004103	05/02/2018	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Reelect Ariel Even as External Director	Mgmt	For	For	For

Electra Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
ELTR	CINS M38004103	05/15/2018	Voted

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Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Renewal of Management Services Agreement with Controlling Shareholder	Mgmt	For	Against	Against
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Electra Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELTR	CINS M38004103	11/30/2017	Voted		
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Michael Salkind	Mgmt	For	For	For
5	Elect Daniel Salkind	Mgmt	For	For	For
6	Elect Irit Stern	Mgmt	For	For	For
7	Elect Ehud Rassabi as External Director	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Emefcy Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EMC	CINS Q3464Z115	07/12/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Issuance of Consideration Shares	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Issuance of Placement Shares	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Elect Rengarajan Ramesh	Mgmt	For	For	For
8	Equity Grant (NED Rengarajan Ramesh)	Mgmt	For	Against	Against
9	Approve Amendment to the Employee Share Option Plan	Mgmt	For	For	For
10	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For
11	Equity Grant (Executive Chairman Richard Irving)	Mgmt	For	For	For

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12	Equity Grant (NED Ross Haghghat)	Mgmt	For	Against	Against
13	Equity Grant (NED Peter Marks)	Mgmt	For	Against	Against
14	Equity Grant (NED Robert Wale)	Mgmt	For	Against	Against
15	Equity Grant (Current MD/CEO Eytan Levy)	Mgmt	For	For	For
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Acquisition of Relevant Interest by the Company	Mgmt	For	For	For
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
19	Approve Potential Termination Benefits (Henry Charrabe)	Mgmt	For	For	For
20	Approve Potential Termination Benefits (Philippe Laval)	Mgmt	For	For	For
21	Ratify Placement of Securities	Mgmt	For	For	For
22	Change in Company Name	Mgmt	For	For	For

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Energix Renewable Energies Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ENRG	CINS M4047G115	05/02/2018	Voted		
Meeting Type	Country of Trade				
Annual	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Nathan Hetz	Mgmt	For	For	For
5	Elect Aviram Wertheim	Mgmt	For	For	For
6	Elect Oren Frenkel	Mgmt	For	For	For
7	Elect Myriam Guez	Mgmt	For	Against	Against
8	Liability Insurance Framework Policy of Directors/Officers	Mgmt	For	For	For
9	Indemnification of Directors/Officers	Mgmt	For	For	For
10	Amendments to Articles Regarding Liability Insurance	Mgmt	For	For	For
11	Employment Agreement with Related Party	Mgmt	For	For	For

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Enlight Renewable Energy Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
ENLT	CINS M4056D110	03/11/2018	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	CEO Variable Compensation Targets for 2018 and Ratification of the CEO's Full Discretionary Component for 2017	Mgmt	For	For	For
3	VP, Marketing, Sales & Business Development Variable Compensation Targets for 2018 and Ratification of the VP's Full Discretionary Component for 2017	Mgmt	For	For	For
4	VP, Engineering & Operations Variable Compensation Targets for 2018 and Ratification of the VP's Full Discretionary Component for 2017	Mgmt	For	For	For
5	Revision to Base Salary of CEO	Mgmt	For	For	For
6	Revision to Base Salary of VP, Marketing, Sales and Business Development	Mgmt	For	For	For
7	Revision to Base Salary of VP, Engineering & Operations	Mgmt	For	For	For

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Enlight Renewable Energy Ltd

Ticker

Security ID:

Meeting Date

Meeting Status

ENLT

CINS M4056D110