### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

#### REGENERON PHARMACEUTICALS INC

Form 4 May 04, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

136.63 84,511

D

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

05/02/2012

(Print or Type Responses)

1. Name and Address of Reporting Person \*

GOLDBERG MURRAY A

| GOLDBERG MURKAT A  |   |  | Symbol REGENERON PHARMACEUTICALS INC [REGN]                 |                           |                              |                     | (Check all applicable)  Director 10% Owner   |  |   |  |
|--|---|--|---|---------------------------|------------------------------|---------------------|--|--|---|--|
|  | (First) (SAW MILL RIVE                  | (Mo  | 3. Date of Earliest Transaction (Month/Day/Year) 05/02/2012 |                           |                              |                     | X Officer (give title Other (specify below) SVP Finance and Admin CFO Trea   |  |   |  |
| ROAD  (Street)  4. If Amendme Filed(Month/Da)  TARRYTOWN, NY 10591 |   |  |   | Ü                         | ıl                           | A<br>-<br>-         | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |   |  |
| (City)   | (State)                                 | (Zip)  | Table I - Non-  | Derivative                | Secur                        | ities Acqui         | ired, Disposed of  | , or Beneficial  | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)                               | 2. Transaction Date<br>(Month/Day/Year) | e 2A. Deemed<br>Execution Date<br>any<br>(Month/Day/Yo | Code  | onor Dispos<br>(Instr. 3, | sed of<br>4 and<br>(A)<br>or | 5)                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                             | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock  | 05/02/2012                              |  | S(1)  | 1,200                     | D                            | \$<br>134.64<br>(2) | 85,911   | D  |   |  |
| Common<br>Stock  | 05/02/2012                              |  | S <u>(1)</u>  | 600                       | D                            | \$<br>135.33<br>(3) | 85,311   | D  |   |  |

 $S^{(1)}$ 

800

D

(4)

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| Common<br>Stock | 05/02/2012 | S <u>(1)</u> | 1,355  | D | \$<br>137.52<br>(5)  | 83,156  | D |                   |
|-----------------|------------|--------------|--------|---|----------------------|---------|---|-------------------|
| Common<br>Stock | 05/02/2012 | S <u>(1)</u> | 2,990  | D | \$<br>138.28<br>(6)  | 80,166  | D |                   |
| Common<br>Stock | 05/02/2012 | M(1)         | 25,438 | A | \$ 21.92             | 105,604 | D |                   |
| Common<br>Stock | 05/02/2012 | F <u>(1)</u> | 4,088  | D | \$<br>136.37         | 101,516 | D |                   |
| Common<br>Stock | 05/02/2012 | F(1)         | 9,835  | D | \$<br>136.37         | 91,681  | D |                   |
| Common<br>Stock | 05/02/2012 | M(1)         | 29,000 | A | \$ 21.92             | 120,681 | D |                   |
| Common<br>Stock | 05/02/2012 | F(1)         | 4,661  | D | \$<br>136.37         | 116,020 | D |                   |
| Common<br>Stock | 05/02/2012 | F <u>(1)</u> | 11,212 | D | \$<br>136.37         | 104,808 | D |                   |
| Common<br>Stock | 05/03/2012 | S <u>(1)</u> | 200    | D | \$<br>132.96<br>(7)  | 104,608 | D |                   |
| Common<br>Stock | 05/03/2012 | S <u>(1)</u> | 5,872  | D | \$<br>133.51<br>(8)  | 98,736  | D |                   |
| Common<br>Stock | 05/03/2012 | S <u>(1)</u> | 7,294  | D | \$<br>134.47<br>(9)  | 91,442  | D |                   |
| Common<br>Stock | 05/03/2012 | S <u>(1)</u> | 6,265  | D | \$ 135.6<br>(10)     | 85,177  | D |                   |
| Common<br>Stock | 05/03/2012 | S <u>(1)</u> | 4,211  | D | \$<br>136.34<br>(11) | 80,966  | D |                   |
| Common<br>Stock | 05/03/2012 | S <u>(1)</u> | 700    | D | \$<br>137.19<br>(12) | 80,266  | D |                   |
| Common<br>Stock | 05/03/2012 | S <u>(1)</u> | 100    | D | \$<br>138.48         | 80,166  | D |                   |
| Common<br>Stock |            |              |        |   |                      | 5,560   | I | By 401(k)<br>Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

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# displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of ctionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and A Underlying S (Instr. 3 and |
|---|---|--------------------------------------|---|--|---|--------|--|-----------------|---|
|   |   |                                      |   | Code V                                 | (A)   | (D)    | Date<br>Exercisable                                      | Expiration Date | Title                                     |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 21.92  | 05/02/2012                           |   | M <u>(1)</u>                           |   | 25,438 | (13)   | 12/17/2017      | Common<br>Stock                           |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 21.92  | 05/02/2012                           |   | M(1)                                   |   | 29,000 | (13)   | 12/17/2017      | Common<br>Stock                           |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 136.37   | 05/02/2012                           |   | A(1)                                   | 4,661   |        | 11/02/2012   | 12/17/2017      | Common<br>Stock                           |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 136.37   | 05/02/2012                           |   | A <u>(1)</u>                           | 4,088   |        | 11/02/2012   | 12/17/2017      | Common<br>Stock                           |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GOLDBERG MURRAY A

777 OLD SAW MILL RIVER ROAD SVP Finance and Admin CFO Trea

TARRYTOWN, NY 10591

## **Signatures**

By: Douglas S. McCorkle For: /s/\*\*Murray A. O5/04/2012

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition/acquisition made pursuant to a plan intended to comply with Rule 10b5-1(c).
- (2) Represents volume-weighted average price of sales of 1,200 shares of Company stock on May 2, 2012 at prices ranging from \$134.09 to \$134.95. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide

Reporting Owners 3

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full information regarding the number of shares sold by the reporting person on May 2, 2012 at each separate price.

- Represents volume-weighted average price of sales of 600 shares of Company stock on May 2, 2012 at prices ranging from \$135.05 to \$135.66. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 2, 2012 at each separate price.
- Represents volume-weighted average price of sales of 800 shares of Company stock on May 2, 2012 at prices ranging from \$136.11 to \$136.97. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 2, 2012 at each separate price.
- Represents volume-weighted average price of sales of 1,355 shares of Company stock on May 2, 2012 at prices ranging from \$137.02 to \$137.91. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 2, 2012 at each separate price.
- Represents volume-weighted average price of sales of 2,990 shares of Company stock on May 2, 2012 at prices ranging from \$138.03 to \$138.49. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 2, 2012 at each separate price.
- Represents volume-weighted average price of sales of 200 shares of Company stock on May 3, 2012 at prices ranging from \$132.95 to \$132.96. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 3, 2012 at each separate price.
- Represents volume-weighted average price of sales of 5,872 shares of Company stock on May 3, 2012 at prices ranging from \$133.07 to \$133.98. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 3, 2012 at each separate price.
- Represents volume-weighted average price of sales of 7,294 shares of Company stock on May 3, 2012 at prices ranging from \$134.02 to \$134.96. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 3, 2012 at each separate price.
- Represents volume-weighted average price of sales of 6,265 shares of Company stock on May 3, 2012 at prices ranging from \$135.00 to (10) \$135.96. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 3, 2012 at each separate price.
- Represents volume-weighted average price of sales of 4,211 shares of Company stock on May 3, 2012 at prices ranging from \$136.03 to (11) \$136.85. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 3, 2012 at each separate price.
- Represents volume-weighted average price of sales of 700 shares of Company stock on May 3, 2012 at prices ranging from \$137.00 to (12) \$137.31. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 3, 2012 at each separate price.
- (13) The stock option award (combined incentive stock option and non-qualified stock option) vests in four equal annual installments, commencing one year after the date of grant.
- (14) Exercisable date, exercise date, exercise price, purchase price, sales price, and/or expiration date is/are not applicable in this case.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.