

BRAUSEN ANTHONY T

Form 3

February 05, 2018

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â BRAUSEN ANTHONY T

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

01/31/2018

3. Issuer Name **and** Ticker or Trading Symbol  
MOSAIC CO [MOS]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Sr VP -Finance and Interim CFO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting  
Person☐ Form filed by More than One  
Reporting PersonC/O THE MOSAIC  
COMPANY,Â 3033 CAMPUS  
DRIVE, SUITE E490

(Street)

PLYMOUTH,Â MNÂ 55441

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

41,346

D

Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative5. Ownership  
Form of  
Derivative  
Security:6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

# Edgar Filing: BRAUSEN ANTHONY T - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â <u>(1)</u>	07/31/2018	Common Stock	2,195	\$ 127.21	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	07/27/2019	Common Stock	5,258	\$ 52.72	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	07/27/2020	Common Stock	5,105	\$ 44.93	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	07/21/2021	Common Stock	4,307	\$ 70.62	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	07/19/2022	Common Stock	7,284	\$ 57.62	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	07/18/2023	Common Stock	9,470	\$ 54.03	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	03/07/2024	Common Stock	10,644	\$ 49.73	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	03/05/2025	Common Stock	11,658	\$ 50.43	D	Â
Stock Option (Right to Buy)	Â <u>(3)</u>	03/03/2026	Common Stock	24,890	\$ 28.49	D	Â
Restricted Stock Units	03/02/2020	Â <u>(4)</u>	Common Stock	10,958	\$ 0 <u>(5)</u>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRAUSEN ANTHONY T C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441	Â	Â	Â Sr VP -Finance and Interim CFO	Â

## Signatures

/s/ Mark J. Isaacson, Attorney-in-Fact for Anthony T. Brausen

02/05/2018

                    Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This Stock Option is 100% exercisable.

(2)

## Edgar Filing: BRAUSEN ANTHONY T - Form 3

Grant Date 03/05/2015; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

- (3) Grant Date 03/03/2016; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (4) Not Applicable
- (5) One-for-One

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.