Bodine Bruce M. Form 4 March 11, 2019

## FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

0.5

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Bodine Bruce M.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Middle)

(Zip)

MOSAIC CO [MOS]

(Check all applicable)

C/O THE MOSAIC

COMPANY, 3033 CAMPUS DRIVE, SUITE E490

3. Date of Earliest Transaction

(Month/Day/Year) 03/07/2019

Director 10% Owner X\_ Officer (give title Other (specify below) below)

Senior VP - Phosphates

(Street)

(State)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PLYMOUTH, MN 55441

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned (Instr. 4) Following

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4)

Reported (A) Transaction(s) or

(Instr. 3 and 4)

Common Stock

Code V Amount (D) Price

15,522 D

Common Stock

674.378 I

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

### Edgar Filing: Bodine Bruce M. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

I S	. Title of Derivative Decurity Hinstr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8		5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(A) ed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					Code	V	(1	<b>A</b> )	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
(	Stock Option Right to Buy)	\$ 52.72								<u>(1)</u>	07/27/2019	Common Stock	553
(	Stock Option Right to Buy)	\$ 44.93								<u>(1)</u>	07/27/2020	Common Stock	577
(	Stock Option Right to Buy)	\$ 70.62								<u>(1)</u>	07/21/2021	Common Stock	678
(	Stock Option Right to Buy)	\$ 28.49								<u>(1)</u>	03/03/2026	Common Stock	17,921
(	Stock Option Right to Buy)	\$ 30.42								(2)	03/02/2027	Common Stock	25,227
5	Restricted Stock Jnits	\$ 0 (3)								03/08/2021	<u>(4)</u>	Common Stock	13,436
5	Restricted Stock Jnits	\$ 0 (3)	03/07/2019		A		13,	133		03/07/2022	<u>(4)</u>	Common Stock	13,133

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				

Reporting Owners 2

Bodine Bruce M. C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441 Senior VP - Phosphates

## **Signatures**

Mark J. Isaacson, Attorney-in-Fact for Bruce M. Bodine

03/11/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) Grant Date 03/02/2017; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (3) One-for-One
- (4) Not Applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3