### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

#### ALLIANCE RESOURCE PARTNERS LP

Form 4 January 03, 2005

FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

burden hours per

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(Street)

SACHSE ROBERT G

2. Issuer Name and Ticker or Trading

Symbol

ALLIANCE RESOURCE

5. Relationship of Reporting Person(s) to

Issuer

PARTNERS LP [ARLP]

(Last) (First) (Middle) 3.

3. Date of Earliest Transaction

\_X\_ Director \_\_\_\_\_ 10% Owner \_X\_ Officer (give title \_\_\_\_ Other (specify below) below)

(Check all applicable)

**Excutive Vice President** 

1717 S. BOULDER AVENUE, SUITE 600

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

(Month/Day/Year)

01/03/2005

Applicable Line)

X Form filed by One Reporti

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**TULSA, OK 74119** 

(City) (State) (Zip)
1.Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if

2A. Deemed 3. 4. Securities

Execution Date, if TransactionAcquired (A) or any Code Disposed of (D)

(Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Form: Direct Indirect
Beneficially (D) or Beneficial
Owned Indirect (I) Ownership
Following (Instr. 4) (Instr. 4)

(A) Reported Transacti

or (Instr. 3 and 4)

Transaction(s)

Code V Amount (D) Price

7,754 D

Common unit

Security

(Instr. 3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price (Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom unit	<u>(2)</u>					<u>(1)</u>	<u>(5)</u>	Common unit	4,314	
Phantom unit	<u>(2)</u>	01/03/2005		A	78	<u>(1)</u>	(5)	Common unit	78	<u>(5)</u>
Restricted unit	<u>(2)</u>					<u>(3)</u>	<u>(5)</u>	Common unit	2,500	
Restricted unit	<u>(2)</u>					<u>(4)</u>	<u>(5)</u>	Common unit	1,725	

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# **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips						
•	Director	10% Owner	Officer	Other			
SACHSE ROBERT G 1717 S. BOULDER AVENUE SUITE 600 TULSA, OK 74119	X		Excutive Vice President				

## **Signatures**

Robert G.
Sachse

\*\*Signature of Reporting Person

O1/03/2005

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Phantom units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon the reporting person's death or termination.
- (**2**) 1 for 1
- The Restricted units will vest on September 30, 2005 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

Reporting Owners 2

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- The Restricted units will vest on December 31, 2006 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.
- (5) Not applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.