#### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

#### ALLIANCE RESOURCE PARTNERS LP

Form 4 April 04, 2006

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number:

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MILLER PRESTON R JR

2. Issuer Name and Ticker or Trading

Symbol

ALLIANCE RESOURCE

PARTNERS LP [ARLP]

(Month/Day/Year)

3. Date of Earliest Transaction

04/03/2006

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

10% Owner

Other (specify

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

1717 S. BOULDER **AVENUE, SUITE 600** 

(First)

(Street) 4. If Amendment, Date Original

(Middle)

(Month/Day/Year) Execution Date, if

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**TULSA, OK 74119** 

Security

(Instr. 3)

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3.

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

Following Reported Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed

5. Number 6. Date Exercisable and

7. Title and Amount of 8. Price Underlying Securities Deriva

1

1. Title of Derivative Conversion

(Month/Day/Year) Execution Date, if

Transactionof

**Expiration Date** 

#### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Secu Acq (A) ( Disp of (I	oosed O) cr. 3, 4,	(Month/Day/Year)		(Instr. 3 and 4)		Securit (Instr. !
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom unit	<u>(2)</u>						<u>(1)</u>	<u>(4)</u>	Common unit	1,984	
Phantom uni	(2)	04/03/2006		A		166	<u>(1)</u>	<u>(4)</u>	Common unit	166	<u>(4)</u>
Restricted unit	<u>(2)</u>						<u>(3)</u>	<u>(4)</u>	Common unit	3,450	
Restricted unit	<u>(2)</u>						(5)	<u>(4)</u>	Common unit	1,850	
Restricted unit	<u>(2)</u>						<u>(6)</u>	<u>(4)</u>	Common unit	1,500	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b></b>	Director	10% Owner	Officer	Other			
MILLER PRESTON R JR 1717 S. BOULDER AVENUE SUITE 600 TULSA, OK 74119	X						

### **Signatures**

/s/ Preston R. Miller Jr. by Megan Cordle, pursuant to power of attorney dated September 11, 2002

04/04/2006

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Phantom units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon the reporting person's death or termination.
- (2) 1 for 1
- (3) The Restricted units will vest on December 31, 2006 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in ARLP common units.
- (4) Not applicable

Reporting Owners 2

#### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

- (5) The Restricted units will vest on January 1, 2008 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in ARLP common units.
- (6) The Restricted units will vest on January 1, 2009 provided that the issuer meets certain financial tests. Once vested the retricted units are to be settled in ARLP units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.