

COLUMBUS MCKINNON CORP
Form 4
May 28, 2008

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HARVEY TIMOTHY R

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
General Counsel and Secretary

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/19/2008

AMHERST, NY 14228-1197

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/19/2008		A		680 ⁽¹⁾	A	\$ 0
Common Stock	05/19/2008		A		887 ⁽²⁾	A	\$ 0
Common Stock	05/27/2008		M		6,250	A	\$ 5.46
Common Stock	05/27/2008		S		100	D	\$ 28.327
Common Stock	05/27/2008		S		200	D	\$ 28.337
							9,067
							8,967
							8,767

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Common Stock	05/27/2008	S	200	D	\$ 28.355	8,567	D
Common Stock	05/27/2008	S	100	D	\$ 28.364	8,467	D
Common Stock	05/27/2008	S	100	D	\$ 28.375	8,367	D
Common Stock	05/27/2008	S	350	D	\$ 28.384	8,017	D
Common Stock	05/27/2008	S	250	D	\$ 28.393	7,767	D
Common Stock	05/27/2008	S	200	D	\$ 28.45	7,567	D
Common Stock	05/27/2008	S	258	D	\$ 28.55	7,309	D
Common Stock	05/27/2008	S	3,392	D	\$ 28.309	3,917	D
Common Stock	05/27/2008	S	100	D	\$ 28.318	3,817	D
Common Stock						1,334 ⁽³⁾	D

Common Stock 842,501 I Additional shares held by ESOP; reporting person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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and 5)

	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)					05/17/2005	05/16/2014	Common Stock	0 ⁽⁴⁾
\$ 5.46								
Incentive Stock Options (Right to Buy)							Common Stock	1,289
\$ 28.45					<u>(5)</u>	05/18/2018		
05/19/2008				A				
1,289								

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARVEY TIMOTHY R 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197			General Counsel and Secretary	

Signatures

Timothy R.
Harvey

05/28/2008

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture; shares become fully vested and non-forfeitable 33.33% on May 19, 2011, 33.33% on May 19, 2012 and 33.33% on May 19, 2013, if reporting person remains an employee of issuer.
- (2) Represents restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; shares become fully vested and non-forfeitable 33.33% on May 19, 2012, 33.33% on May 19, 2013 and 33.33% on May 19, 2014, if reporting person remains an employee of issuer and achieves performance targets.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Originally a 25,000 share stock option, reporting person exercised 6,250 options on 6/9/2005, 6,250 options on 7/28/2006, 6,250 options on 5/31/2007 and 6,250 options on 5/27/2008.
- (5) Represents incentive stock options issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006; options become exercisable 25% per year, beginning 5/19/09 for the next 4 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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