Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form 3

ELLSWORTH GROWTH & INCOME FUND LTD Form 3 November 23, 2015 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB 2025 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and A Person <u>*</u> Mullady		porting	Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol ELLSWORTH GROWTH & INCOME FUND LTD [ECF]				
(Last)	(First)	(Middle)	11/18/2015		4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)		
ONE CORF	PORATE C	ENTER							
	(Street)			(Check a	(Check all applicable)		6. Individual or Joint/Group		
RYE, NY	10580			le la	10% Ov Other) (specify below reasurer	(X_Form) (X_Form) (Y) Person (Y) Form	Filing(Check Applicable Line) _X_ Form filed by One Reporting		
(City)	(State)	(Zip)	Table I	- Non-Derivati	ve Securitie	s Beneficiall	y Owned		
1.Title of Secu (Instr. 4)	ırity				Ownership	4. Nature of Ind Ownership (Instr. 5)	lirect Beneficial		
Reminder: Rep owned directly			ach class of securities ben	eficially SE	EC 1473 (7-02)				
	inforr requi curre	nation conta red to respo ntly valid Ol	pond to the collectior ained in this form are and unless the form d MB control number.	not isplays a					
	Table II - De	rivative Secu	rities Beneficially Owne	d (e.g., puts, calls,	warrants, optic	ons, convertible	e securities)		
1. Title of Der (Instr. 4)	ivative Securi	Expi	ration Date Sec /Day/Year) Der	Fitle and Amount of surities Underlying sivative Security str. 4)	4. Conversior or Exercise Price of	- · · · · r	6. Nature of Indirect Beneficial Ownership (Instr. 5)		

Date

Exercisable

Expiration Title

Date

Derivative

Security

Amount or

Number of

Shares

Security:

Direct (D)

or Indirect

(I)

OMB APPROVAL OMB 3235-0104 Number: January 31, 2005 Estimated average burden hours per

0.5

response...

(Instr. 5)

Reporting Owners

Reporting Owner Name / Addres	s	Relationships					
		10% Owner	Officer	Other			
Mullady Agnes ONE CORPORATE CENTE RYE, NY 10580	R Â	Â	Treasurer	Â			
Signatures							
Agnes Mullady 11	/23/2015						
**Signature of Reporting Person	Date						

Explanation of Responses:

No securities are beneficially owned

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.