

Stereotaxis, Inc.

Form 4

November 15, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MIDDLETON FRED A

(Last) (First) (Middle)

400 SOUTH EL CAMINO
REAL, SUITE 1200

(Street)

SAN MATEO, CA 94402-1708

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
Stereotaxis, Inc. [STXS]

3. Date of Earliest Transaction
(Month/Day/Year)
11/13/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount or Number of Share
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	563		10/31/2013	11/21/2013	Common Stock	18
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	260		10/31/2013	11/21/2013	Common Stock	86
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	617		10/31/2013	11/21/2013	Common Stock	20
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	785		10/31/2013	11/21/2013	Common Stock	26
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	138		10/31/2013	11/21/2013	Common Stock	46
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	129		10/31/2013	11/21/2013	Common Stock	43
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	460		10/31/2013	11/21/2013	Common Stock	15
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	1		10/31/2013	11/21/2013	Common Stock	0
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	16,440		10/31/2013	11/21/2013	Common Stock	5,48
	\$ 3	11/13/2013	S	117		10/31/2013	11/21/2013		39

Subscription Rights (right to buy) ⁽¹⁾								Common Stock	
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	98	10/31/2013	11/21/2013		Common Stock	32
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	4	10/31/2013	11/21/2013		Common Stock	1
Subscription Rights (right to buy) ⁽¹⁾	\$ 3	11/13/2013	S	1,315	10/31/2013	11/21/2013		Common Stock	43

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MIDDLETON FRED A 400 SOUTH EL CAMINO REAL SUITE 1200 SAN MATEO, CA 94402-1708	X			

Signatures

/s/ Karen Witte Duros,
Attorney-in-Fact

11/15/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Company issued to each holder of record, as of October 31, 2013, and certain warrant holders, subscription rights to acquire shares of (1) common stock of Stereotaxis, Inc. in accordance with a prospectus dated October 29, 2013. Each subscription right entitles the holder to purchase one-third of a share of Stereotaxis, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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