

SCHWARTZ ALICE N  
Form 4  
January 03, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SCHWARTZ ALICE N

2. Issuer Name and Ticker or Trading Symbol  
BIO-RAD LABORATORIES, INC.  
[BIO, BIOB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/10/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O BIO-RAD LABORATORIES, INC., 1000 ALFRED NOBEL DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

HERCULES, CA 94547

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4)    |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--------------------------------------|
| Bio-Rad A Common Stock          | 12/10/2018                           |  | G                              | V 3,970 D \$0   | 1,796,311   | I  | By Alice N. Schwartz Revocable Trust |
| Bio-Rad A Common Stock          |                                      |  |                                |   | 90  | I  | By David Schwartz Exemption Trust    |
| Bio-Rad A Common                |                                      |  |                                |   | 240   | I  | By David Schwartz                    |

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| Stock                  |            |  |         |   |      |           |   |  | Exempt Marital Trust                       |
|------------------------|------------|--|---------|---|------|-----------|---|--|--|
| Bio-Rad A Common Stock |            |  |         |   |      | 898,931   | I |  | By David Schwartz Non-Exempt Marital Trust |
| Bio-Rad A Common Stock |            |  |         |   |      | 34,311    | I |  | By Charitable Remainder Unitrust           |
| Bio-Rad B Common Stock | 12/10/2018 |  | G V 324 | D | \$ 0 | 438,920   | I |  | By Alice N. Schwartz Revocable Trust       |
| Bio-Rad B Common Stock |            |  |         |   |      | 57,000    | I |  | By David Schwartz Non-Exempt Marital Trust |
| Bio-Rad B Common Stock |            |  |         |   |      | 4,060,054 | I |  | By Blue Raven Partners, L.P.<br><u>(1)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                                       |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| SCHWARTZ ALICE N<br>C/O BIO-RAD LABORATORIES, INC.<br>1000 ALFRED NOBEL DRIVE<br>HERCULES, CA 94547 | X             | X         |         |       |

## Signatures

Alice N.  
Schwartz

01/03/2019

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares are held by a limited partnership of which the reporting person is a limited and general partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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