

Interactive Brokers Group, Inc.  
Form 10-Q  
May 11, 2015  
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UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 10-Q

(Mark One)

QUARTERLY REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

For the quarterly period ended March 31, 2015

OR

TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

For the transition period from            to

Commission File Number: 001-33440

INTERACTIVE BROKERS GROUP, INC.

(Exact name of registrant as specified in its charter)

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Delaware 30-0390693  
(State or other jurisdiction (I.R.S. Employer  
of incorporation or organization) Identification No.)

One Pickwick Plaza

Greenwich, Connecticut 06830

(Address of principal executive office)

(203) 618-5800

(Registrant's telephone number, including area code)

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days. Yes No .

Indicate by check mark whether the registrant has submitted electronically and posted on its corporate Web site, if any, every Interactive Data File required to be submitted and posted pursuant to Rule 405 of Regulation S-T during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files). Yes No

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, or a non-accelerated filer. See definition of "accelerated filer" and large accelerated filer" in Rule 12b-2 of the Exchange Act. (Check one):

Large Accelerated Non-accelerated Smaller reporting company  
accelerated filer filer  
filer

(Do not check if  
a smaller  
reporting  
company)

Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Exchange Act).  
Yes No .

As of May 7, 2015, there were 58,478,751 shares of the issuer's Class A common stock, par value \$0.01 per share, outstanding and 100 shares of the issuer's Class B common stock, par value \$0.01 per share, outstanding.



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QUARTERLY REPORT ON FORM 10 Q FOR THE QUARTER ENDED MARCH 31, 2015

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## PART 1. FINANCIAL INFORMATION

## ITEM 1. FINANCIAL STATEMENTS (Unaudited)

Interactive Brokers Group, Inc. and Subsidiaries

Condensed Consolidated Statements of Financial Condition

(Unaudited)

(in millions, except share amounts)	March 31, 2015	December 31, 2014
Assets		
Cash and cash equivalents	\$ 990	\$ 1,269
Cash and securities - segregated for regulatory purposes	16,723	15,404
Securities borrowed	2,925	3,660
Securities purchased under agreements to resell	167	386
Financial instruments owned, at fair value:		
Financial instruments owned	2,043	1,998
Financial instruments owned and pledged as collateral	1,430	1,936
Total financial instruments owned, at fair value	3,473	3,934
Receivables:		
Customers, less allowance for doubtful accounts of \$128 and \$7 as of March 31, 2015 and December 31, 2014	17,437	17,051
Brokers, dealers and clearing organizations	1,015	1,131
Interest	54	37
Total receivables	18,506	18,219
Other assets	510	513
Total assets	\$ 43,294	\$ 43,385
Liabilities and equity		
Short-term borrowings	\$ 29	\$ 34
Securities loaned	3,105	3,199
Financial instruments sold, but not yet purchased, at fair value	2,238	2,561
Payables		
Customers	32,225	31,796
Brokers, dealers and clearing organizations	291	234

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Affiliate	277	277
Accounts payable, accrued expenses and other liabilities	88	