

OPPORTUNITY PARTNERS LP
Form 4
December 02, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bulldog Investors General Partnership

2. Issuer Name and Ticker or Trading Symbol
Firsthand Technology Value Fund, Inc. [SVVC]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
PARK 80 WEST - PLAZA
TWO, 250 PEHLE AVE., SUITE
708

3. Date of Earliest Transaction
(Month/Day/Year)
11/24/2014

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

(Street)
SADDLE BROOK, NJ 07663

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
____ Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	11/24/2014		S		38 D \$ 22.5976	14,509	D <u>(1)</u>
Common Stock	11/26/2014		S		210 D \$ 22.5413	14,299	D <u>(1)</u>
Common Stock	12/01/2014		S		25 D \$ 22.531	14,274	D <u>(1)</u>
Common Stock	11/24/2014		S		2,381 D \$ 22.5976	992,388	D <u>(2)</u> <u>(3)</u>
	11/26/2014		S		13,302 D	979,086	D <u>(2)</u> <u>(3)</u>

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Common Stock					\$				
					22.5413				
Common Stock	12/01/2014		S	1,565	D	\$ 22.531	977,521		D <u>(2)</u> <u>(3)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Date (Instr. 6)
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bulldog Investors General Partnership PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
Opportunity Income Plus LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
OPPORTUNITY PARTNERS LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
Calapasas West Partners LP PARK 80 WEST, 250 PEHLE AVE., STE 708		X		

250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	
Full Value Special Situations Fund LP PARK 80 WEST, 250 PEHLE AVE., STE 708 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	X
Full Value Offshore Fund, Ltd. PARK 80 WEST 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	X
FULL VALUE PARTNERS LP PARK 80 WEST 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	X
MCM Opportunity Partners LP PARK 80 WEST 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	X
STEADY GAIN PARTNERS LP PARK 80 WEST 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	X
MERCURY PARTNERS L P PARK 80 WEST 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663	X

Signatures

/s/ Phillip Goldstein - Manager of the Managing General Partner - Bulldog Investors General Partnership	12/02/2014
**Signature of Reporting Person	Date
/s/ Phillip Goldstein - Manager of the General Partner - Opportunity Income Plus, LP	12/02/2014
**Signature of Reporting Person	Date
/s/ Phillip Goldstein - Manager of the General Partner - Opportunity Partners, LP	12/02/2014
**Signature of Reporting Person	Date
/s/ Phillip Goldstein - Manager of the General Partner - Calapasas West Partners, LP	12/02/2014
**Signature of Reporting Person	Date
/s/ Phillip Goldstein - Manager of the General Partner - Full Value Special Situations Fund, LP	12/02/2014
**Signature of Reporting Person	Date
/s/ Phillip Goldstein - Manager of the General Partner - Full Value Offshore Fund, Ltd.	12/02/2014
**Signature of Reporting Person	Date
/s/ Phillip Goldstein - Manager of the General Partner - Full Value Partners, LP	12/02/2014

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<u>Signature of Reporting Person</u>	Date
/s/ Phillip Goldstein - Manager of the General Partner - MCM Opportunity Partners, LP	12/02/2014
<u>Signature of Reporting Person</u>	Date
/s/ Barry Swidler Member of the General Partner - Steady Gain Partners, LP	12/02/2014
<u>Signature of Reporting Person</u>	Date
/s/ Glenn Goodstein Member of the General Partner - Mercury Partners, LP	12/02/2014
<u>Signature of Reporting Person</u>	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held by Opportunity Income Plus, LP.
- (2) Shares are held by Bulldog Investors General Partnership.
Certain of such shares are also held indirectly by Opportunity Partners, LP, Calapasas West Partners, LP, Full Value Special Situations Fund, LP, Full Value Offshore Fund, Ltd., Full Value Partners, LP, MCM Opportunity Partners, LP, Steady Gain Partners, LP, and
- (3) Mercury Partners, LP (the "Funds"). Each Fund disclaims beneficial ownership of such shares, except to the extent of its pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.