Edgar Filing: NanoString Technologies Inc - Form SD

NanoString Technologies Inc Form SD May 27, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

FORM SD

Specialized Disclosure Report

NanoString Technologies, Inc.

(Exact name of registrant as specified in its charter)

Delaware 001-35980 20-0094687 (State or other jurisdiction of incorporation) (Commission File Number) (IRS Employer Identification No.)

530 Fairview Avenue North Seattle, Washington 98109 (Address of principal executive offices, including zip code)

R. Bradley Gray President and Chief Executive Officer (206) 378-6266

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

ýRule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2015.

#### Section 1 - Conflict Minerals Disclosure

### Item 1.01 Conflict Minerals Disclosure Report

NanoString Technologies, Inc. (the "Company") has determined that only three of its products, the three instruments comprising the nCounter Analysis Systems, contain conflict materials as defined in Rule 13p-1 under the Securities Exchange Act of 1934, as amended. The Company has performed a reasonable country of origin inquiry and concluded that the origin of some of such conflict materials cannot be determined. As such, the Company proceeded to exercise due diligence in accordance with the OECD framework on the source and chain of custody of the conflict materials.

The Company has prepared a Conflict Minerals Report, which is filed as an exhibit to this Form SD. The Conflict Minerals Report includes a discussion of the due diligence procedures performed, the ultimate determination of origin and conflict status reached, and the disclosures required by the SEC.

The Company has disclosed the information above, along with a copy of its Conflict Minerals Report, on its website at http://investors.nanostring.com/governance.cfm.

Item 1.02 Exhibit

The Conflict Minerals Report required by Item 1.01 is filed as Exhibit 1.01 of this Form SD.

Section 2 - Exhibits

Item 2.01 Exhibits

Exhibit No. Description

1.01 Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NanoString Technologies, Inc.

Date: May 27, 2016 By: /s/ R. Bradley Gray

R. Bradley Gray

President and Chief Executive Officer

# Edgar Filing: NanoString Technologies Inc - Form SD

## EXHIBIT INDEX

Exhibit No. Description

1.01 Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form.