

ENERGY FOCUS, INC/DE

Form 3

May 30, 2014

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Huang Gina

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/27/2012

3. Issuer Name **and** Ticker or Trading Symbol
ENERGY FOCUS, INC/DE [EFOI]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)PORTCULLIS TRUSTNET
CHAMBERS,Â ROAD TOWN,
TORTOLA

(Street)

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer ____ Other
(give title below) (specify below)6. Individual or Joint/Group
Filing(Check Applicable Line)
____ Form filed by One Reporting
Person
X Form filed by More than One
Reporting PersonBRITISH VIRGIN
ISLANDSÂ 3444

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

4,000,000

I

Held by Jag International Co Ltd.
(1)Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security4. Conversion
or Exercise5. Ownership
Form of6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Warrants to purchase Common Stock	02/27/2012	02/27/2015	Common Stock	2,000,000	\$ 0.43 ⁽³⁾	I	Held by Jag International Ltd. ⁽¹⁾
Convertible Subordinated Note	04/30/2013	12/31/2015	Common Stock	4,347,826	\$ 0.23	I	Held by Brilliant Start Enterprise, Inc. ⁽²⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Huang Gina PORTCULLIS TRUSTNET CHAMBERS ROAD TOWN, TORTOLA BRITISH VIRGIN ISLANDS 3444	Â	Â X	Â	Â
Brilliant Start Enterprise, Inc. PORTCULLIS TRUSTNET CHAMBERS ROAD TOWN, TORTOLA BRITISH VIRGIN ISLANDS 3444	Â	Â X	Â	Â

Signatures

/s/ Frank Lamana as
Attorney-in-Fact

05/30/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Ms. Huang is the sole owner of Jag International Ltd.

(2) Ms. Huang is the Chairman of Brilliant Start Enterprise, Inc.

(3) Warrant was originally issued at \$0.54/share; however, was re-priced per the terms of the warrant to \$0.43/share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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