

Enservco Corp
Form 4
May 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Kasch Rick D

(Last) (First) (Middle)

501 S. CHERRY STREET, SUITE
1000

(Street)

DENVER, CO 80246

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Enservco Corp [ENSV]

3. Date of Earliest Transaction
(Month/Day/Year)

05/05/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code	V Amount (D) Price	1,849,324	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option	\$ 0.6	05/05/2016		A		350,000		12/31/2016	05/05/2021	Common Stock	350,000
Stock Option	\$ 0.6	05/05/2016		A		500,000		12/31/2016	05/05/2021	Common Stock	500,000
Stock Option	\$ 1.74							01/01/2016	04/08/2020	Common Stock	210,000
Stock Option	\$ 0.46							06/06/2013	06/06/2017	Common Stock	425,000
Stock Option	\$ 1.1							07/19/2012	07/19/2016	Common Stock	600,000
Warrants	\$ 0.55							11/02/2012	11/30/2017	Common Stock	37,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kasch Rick D 501 S. CHERRY STREET, SUITE 1000 DENVER, CO 80246	X		President and CEO	

Signatures

/s/ Rick D.
Kasch

05/08/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 5/5/16. Options to acquire 116,667 shares vest on 12/31/16; 116,667 on 12/31/17; and the remaining options vest on 12/31/18.
- (2) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 5/5/16. Options to acquire 250,000 shares vest on 12/31/16 and the remaining options vest on 12/31/17.
- (3) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 4/8/15. Options to acquire 70,000 shares vested on 1/1/16; 70,000 vest on 1/1/17; and the remaining options vest on 1/1/18.

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- (4) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 6/5/12. Options to acquire 150,000 shares vested on 6/5/12; 150,000 on 6/5/13; and the remaining options vested on 6/5/14.
- (5) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 7/19/11. Options to acquire 300,000 shares vested on 7/19/11 and the remaining options vested on 7/19/12.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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