Edgar Filing: GAIN Capital Holdings, Inc. - Form 4

GAIN Capita Form 4 May 28, 2015	l Holdings, Inc.								
Check thi if no long	4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							PPROVAL 3235-0287 January 31, 2005	
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.Estimated average burden hours per responseForm 4 or Form 5 obligations may continue. See Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 19400.5									
(Print or Type R 1. Name and A QUICK PET	ddress of Reporting Person *	2. Issuer Name Symbol GAIN Capital [GCAP]		-	2	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(First) (Middle) ICAN STOCK E, 86 TRINITY PL	3. Date of Earlies (Month/Day/Year 03/05/2014				_X_Director10% Owner Officer (give titleOther (specify below)below)			
NEW YORI	4. If Amendment Filed(Month/Day/	/Day/Year) Applicable _X_Form Form				l or Joint/Group Filing(Check ne) ed by One Reporting Person d by More than One Reporting			
(City)	(State) (Zip)	Tabla I No	n Dorivotivo	Socurit	ios A or	Person juired, Disposed o	f or Ronoficia	lly Ownod	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		eemed 3. ion Date, if Trans Code n/Day/Year) (Instr	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or			5. Amount of 6 Securities 1 Beneficially 6 Owned 1	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock (1)	03/05/2014	A	v Amount 7,537	(D) A	Price \$ 0		D		
Common Stock (2)	05/26/2015	А	8,937	А	\$ 0	79,525	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: GAIN Capital Holdings, Inc. - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
QUICK PETER THE AMERICAN STOCK EXCHANGE 86 TRINITY PL NEW YORK, NY 10006		Х						
Signatures								
/s/ Peter Quick	05/28/2015							
**Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Restricted stock units issued to the reporting person as compensation for service as a non-executive director of the issuer during 2014. The RSUs vest in twelve equal monthly installments starting one month after the day following the issuer's annual meeting of

- (1) The Robs vest in twelve equal monthly installinents starting one month are the day following the issuer's reports with the SEC, it has not been reported on a Form 4 by the reporting person.
- Restricted stock units issued to the reporting person as compensation for service as a non-executive director of the issuer. The RSUs vest(2) in twelve equal monthly installments starting one month after the day following the issuer's annual meeting of stockholders in the year of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.