COOL TECHNOLOGIES, INC. Form NT 10-K April 01, 2019

**UNITED STATES** 

OMB APPROVAL

SECURITIES AND EXCHANGE COMMISSION

Number: 3235-0058

Washington, D.C. 20549

Expires: February 28,

2022

**OMB** 

FORM 12b-25

Estimated average burden hours per response...... 2.50

SEC FILE NUMBER

000-53443

NOTIFICATION OF LATE FILING

**CUSIP NUMBER** 21639Y 100

(Check one):

" Form x Form 10-K "Form 20-F "Form 11-K "Form 10-Q "Form 10-D

"Form N-CSR

For Period Ended: December 31, 2018

- "Transition Report on Form 10-K
- "Transition Report on Form 20-F
- "Transition Report on Form 11-K
- "Transition Report on Form 10-Q
- "Transition Report on Form N-SAR

For the Transition Period Ended:

Read Instruction (on back page) Before Preparing Form. Please Print or Type.

Nothing in this form shall be construed to imply that the Commission has verified any information contained herein.

If the notification relates to a portion of the filing checked above, identify the Item(s) to which the notification relates:

#### PART I — REGISTRANT INFORMATION

# **Full Name of Registrant**

Cool Technologies, Inc.

## Former Name if Applicable

N/A

## Address of Principal Executive Office (Street and Number)

8875 Hidden River Parkway, Suite 300

# City, State and Zip Code

Tampa, Florida 33637

#### PART II — RULES 12b-25(b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate)

- (a) The reason described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense
- (b) The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, Form 11-K, Form N-SAR or Form N-CSR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Qorsubject distribution reporton Form 10-D, or portion thereof, will be filed on or before the fifth calendar day following the prescribed due date; and

#### PART III — NARRATIVE

Persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

State below in reasonable detail why Forms 10-K, 20-F, 11-K, 10-Q,10-D, N-SAR, N-CSR, or the transition report or portion thereof, could not be filed within the prescribed time period.

Persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

The Company has encountered a delay in assembling the financial information for the year ended December 31, 2018. The timely filing of the Form 10-K has become impracticable without undue hardship and expense to the Company.

(Attach extra Sheets if Needed)

### PART IV — OTHER INFORMATION

(1) Name and telephone number of person to contact in regard to this notification

Timothy Hassett	813	975-7467
(Name)	(Area Code)	(Telephone Number)

(2) Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed ? If answer is no, identify report(s). Yes x No "

2

## Cool Technologies, Inc.

(Name of Registrant as Specified in Charter)

has caused this notification to be signed on its behalf by the undersigned hereunto duly authorized.

Date: April 1, 2019

By:/s/ Timothy Hassett

Timothy Hassett

Chief Executive Officer (Principal Executive Officer)

3