

SWISS HELVETIA FUND, INC.

Form DFAN14A

June 07, 2018

SCHEDULE 14A INFORMATION

PROXY STATEMENT PURSUANT TO SECTION 14(a)  
OF THE SECURITIES EXCHANGE ACT OF 1934

Filed by the Registrant ☐  
Filed by a Party other than the Registrant ☒  
Bulldog Investors, LLC  
Attn: Phillip Goldstein  
250 Pehle Avenue, Suite 708  
Saddle Brook, NJ 07663  
Phone: 201 881-7100  
Fax: 201 556-0097

Check the appropriate box:  
Preliminary Proxy Statement  
Confidential, for Use of the Commission Only (as permitted by  
Rule 14a-6(e)(2))  
Definitive Proxy Statement  
Definitive Additional Materials ☒  
Soliciting Material Pursuant to ss.240.14a-12

The Swiss Helvetia Fund  
(Name of Registrant as Specified in Its Charter)

Bulldog Investors, LLC  
Name of Person(s) Filing Proxy Statement, if other than the  
Registrant)

Payment of Filing Fee (Check the appropriate box):

No fee required ☒.

Fee computed on table below per Exchange Act Rules 14a-6(i)(4)  
and 0-11.

(1) Title of each class of securities to which transaction  
applies:

(2) Aggregate number of securities to which transaction  
applies:

(3) Per unit price or other underlying value of transaction  
computed pursuant to Exchange Act Rule 0-11 (Set forth the  
amount on which the filing fee is calculated and state how it  
was determined):

(4) Proposed maximum aggregate value of transaction:

(5) Total fee paid:

Fee paid previously with preliminary materials ☐.

Check box if any part of the fee is offset as provided by  
Exchange Act Rule 0-11 (a) (2) and identify the filing for which  
the offsetting fee was paid previously. Identify the previous  
filing by registration statement number, or the Form or Schedule

Edgar Filing: SWISS HELVETIA FUND, INC. - Form DFAN14A

and the date of its filing [ ].

- (1) Amount Previously Paid:
- (2) Form, Schedule or Registration Statement No.:
- (3) Filing Party:
- (4) Date Filed:

Bulldog Investors, LLC, 250 Pehle Avenue, Suite 708, Saddle Brook, NJ 07663  
(201) 881-7111 // Fax: (201) 556-0097 // pgoldstein@bulldoginvestors.com

June 6, 2018

Dear Fellow Shareholder of The Swiss Helvetia Fund (SWZ):

The annual meeting is less than two weeks away. If you have not voted, please consider this. The primary difference between our nominees (Jerry Hellerman and me) and the incumbents is that the incumbents believe they can stall or even flatly refuse to implement a proposal that is approved by shareholders. Specifically, they say that if SWZ's shareholders vote in favor of a proposal to conduct a self-tender offer, they "would not be obligated to conduct such a tender offer." Presumably, their lawyers drafted that language for them to hide behind. By contrast, we promise to promptly implement any proposal approved by shareholders - and we don't need any lawyer to tell us right from wrong.

The choice is clear. If you want directors that believe they have a duty to serve you and not to rule you, it is critical that you vote the enclosed Green proxy card today.

IF YOUR SHARES ARE HELD IN STREET NAME, YOU MAY VOTE ONLINE AT WWW.PROXYVOTE.COM OR BY TELEPHONE AT 1-800-454-8683. IF YOU HAVE ALREADY RETURNED MANAGEMENT'S PROXY CARD (EVEN IF YOU VOTED AGAINST ITS NOMINEES), YOU STILL MUST RETURN OUR ENCLOSED GREEN PROXY CARD TO ELECT OUR NOMINEES SINCE THEY ARE COMMITTED TO IMPLEMENT THE SELF-TENDER OFFER IF IT IS APPROVED BY SHAREHOLDERS. IF YOU HAVE ANY QUESTIONS ABOUT HOW TO VOTE, PLEASE CALL INVESTORCOM AT 1-877-972-0090.

Very truly yours,

/s/Phillip Goldstein

Phillip Goldstein  
Principal  
Bulldog Investors, LLC