Edgar Filing: Ludwig Helmuth - Form 4

| Form 4 March 27, 202 | | | | | | | | | | | |
|--|--|---|--|---|-----------|---|---|---|------------------|--|--|
| EODM | Л | | | | | | | | OMB A | PPROVAL | |
| | FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | OMB Number: | 3235-0287 | | | |
| Check this if no longe subject to Section 16 Form 4 or | statem | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | Expires: January 31 200 Estimated average burden hours per response 0. | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | · | | | | | |
| (Print or Type Re | esponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Ludwig Helmuth | | | 2. Issuer Name and Ticker or Trading Symbol CIRCOR INTERNATIONAL INC [CIR] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | | | | | | | | | |
| (Last) 30 CORPOR 200 | (First) (M | | 3. Date of (Month/Da 03/27/20 | - | insaction | | | Director Officer (give below) | | 6 Owner er (specify | |
| | (Street) | Filed(Month/Day/Year) Applie | | | | Applicable Line) | dividual or Joint/Group Filing(Check cable Line) Form filed by One Reporting Person | | | | |
| BURLINGT | ON, MA 01803 | | | | | | | | More than One Ro | | |
| (City) | (State) (2 | Zip) | Π.1.1. | I N. D | | · · · | | | с | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deen Execution any | ned n Date, if | Table I - Non-Derivative Securities Action 3. 4. Securities e, if TransactionAcquired (A) or Code Disposed of (D) fear) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price | | guired, Disposed o 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | • | | | |
| Common Stock | 03/27/2018 | 03/27/2 | 018 | М | 1,394 | А | <u>(1)</u> | 3,580 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Unit | \$ 0 | 03/27/2018 | 03/27/2018 | М | 1,394 | 03/27/2018 | 02/27/2027 | Common Stock | 1,394 |

Reporting Owners

| | | Relationships | | | | | |
|---|------------|---------------|---------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| Ludwig Helmuth 30 CORPORATE DRIVE, SUITE 200 BURLINGTON, MA 01803 | I | | | | | | |
| Signatures | | | | | | | |
| /s/ Rajeev Bhalla, attorney-in-fact | 03/27/2018 | | | | | | |
| **Signature of Reporting Person | Date | | | | | | |
| E | | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Restricted Stock Units (RSUs), the conversion of which are reported herein, were granted to the Reporting Person by the issuer as part of equity incentive grants made by the issuer on 2/27/2017 utilizing a fair market value (FMV) of a share of the issuers stock of

(1) \$60.99. The RSU grant vests in its entirety 13 months from date of grant, at which time the RSUs are received by the Reporting Person on either (i) the vest date or (ii) upon the conclusion of a longer deferral period that the Reporting Person elected in advance. This report reflects the full vesting of the original RSU grant and the acquisition by the Reporting Person of the underlying shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.