Edgar Filing: TriState Capital Holdings, Inc. - Form 4

TriState Capital Holdings, Inc. Form 4 December 19, 2016

December 19	9, 2016										
FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							OMB Number: Expires: Estimated a burden hou response	irs per			
Print or Type I	Responses)										
Seidel Richard B. Symbol				Name and Ticker or Trading Capital Holdings, Inc. [TSC]				5. Relationship of Reporting Person(s) to Issuer(Check all applicable)			
	(First) ORD CENTRE, 'REET, SUITE 2		3. Date of (Month/Da 12/15/20	-	nsaction			X Director Officer (give below)	109	6 Owner er (specify	
PITTSBUR	(Street) GH, PA 15219			ndment, Dat h/Day/Year)	e Original			6. Individual or J Applicable Line) _X_ Form filed by Form filed by I	One Reporting Po	erson	
(City)	(State)	(Zip)						Person			
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ate 2A. Dee r) Executio any		3. Transactic Code (Instr. 8) Code V	4. Securit mAcquired Disposed (Instr. 3,	ies (A) o of (D	r)	Juired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	f, or Beneficia 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	lly Owned 7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/15/2016			А	3,000	А	\$0	32,905	D		
Common								5,000	Ι	By spouse	

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(1)

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Other

Reporting Owners

		Relationships		
Reporting Owner Name / Address	Director	10% Owner	Officer	
Seidel Richard B.				
ONE OXFORD CENTRE	x			
301 GRANT STREET, SUITE 2700	А			

Signatures

PITTSBURGH, PA 15219

/s/ Keevican Weiss Bauerle & Hirsch LLC by David J. Hirsch, Attorney-in-Fact

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person disclaims beneficial ownership of these securities, which are owned by his spouse, except to the extent of any indirect pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

12/15/2016

Date