

Anderson Ritchie L.
Form 4
September 12, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Anderson Ritchie L.

2. Issuer Name and Ticker or Trading Symbol
Malibu Boats, Inc. [MBUU]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5075 KIMBERLY WAY

3. Date of Earliest Transaction
(Month/Day/Year)
09/10/2018

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

Chief Operating Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

LOUDON,, TN 37774

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Class A Common Stock	09/10/2018		M	1,290	A \$ 25.85	24,635 ⁽¹⁾	D
Class A Common Stock	09/10/2018		S	3,540	D \$ 53 ⁽²⁾	21,095 ⁽¹⁾	D
Class A Common Stock	09/11/2018		M	5,210	A \$ 25.85	26,305 ⁽¹⁾	D
Class A Common	09/11/2018		S	7,210	D \$ 52.37	19,095 ⁽¹⁾	D

Edgar Filing: Anderson Ritchie L. - Form 4

Stock

(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 25.85	09/10/2018		M	1,290	<u>(4)</u> 06/28/2023	Class A Common Stock	1,290
Employee Stock Option (right to buy)	\$ 25.85	09/11/2018		M	5,210	<u>(4)</u> 06/28/2023	Class A Common Stock	5,210

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Anderson Ritchie L. 5075 KIMBERLY WAY LOUDON,, TN 37774			Chief Operating Officer	

Signatures

Ritchie L. Anderson /s/ Wayne Wilson as attorney in fact 09/12/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 536 restricted stock units vesting on November 6, 2018, 2,607 restricted stock units vesting in two substantially equal annual installments beginning on November 6, 2018, 3,750 shares of restricted stock vesting in three equal annual installments beginning on

(1) November 4, 2018 and 5,500 shares of restricted stock vesting in four equal annual installments beginning on November 6, 2018. The restricted stock units represent the contingent right to receive an equivalent number of shares of Malibu Boats, Inc.'s (the "Issuer") Class A Common Stock.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.50 to \$53.46, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2) and (3).

(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.00 to \$53.00, inclusive.

The option vests in four equal annual installments beginning on June 29, 2018. As of the date hereof, an option to purchase 6,500 shares had fully vested and the remaining option to purchase 19,500 shares will vest in three equal annual installments beginning on June 29, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.